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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Form 990-PF

Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation THE EDWIN W. PAULEY FOUNDATION		A Employer identification number 95-4332470
Number and street (or P O box number if mail is not delivered to street address) 1801 CENTURY PARK EAST	Room/suite 2230	B Telephone number 323-954-3131
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90067		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,573,056.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		3,629.	3,629.		STATEMENT 1
4 Dividends and interest from securities		61,925.	56,327.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		98,066.			
b Gross sales price for all assets on line 6a 994,746.					
7 Capital gain net income (from Part IV, line 2)			98,066.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		41,393.	41,393.		STATEMENT 3
12 Total Add lines 1 through 11		205,013.	199,415.		
13 Compensation of officers, directors, trustees, etc		39,400.	19,700.		19,700.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		6,000.	3,000.		3,000.
c Other professional fees					
17 Interest		4.	4.		0.
18 Taxes STMT 5		2,867.	2,817.		50.
19 Depreciation and depletion					
20 Occupancy		7,208.	3,604.		3,604.
21 Travel, conferences, and meetings					
22 Printing and publications		196.	98.		98.
23 Other expenses STMT 6		33,917.	32,783.		1,134.
24 Total operating and administrative expenses. Add lines 13 through 23		89,592.	62,006.		27,586.
25 Contributions, gifts, grants paid		246,000.			246,000.
26 Total expenses and disbursements Add lines 24 and 25		335,592.	62,006.		273,586.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-130,579.			
b Net investment income (if negative, enter -0-)			137,409.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		1,763.	11,218.	11,218.
	2	Savings and temporary cash investments		60,838.	107,967.	107,967.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7	127,170.	123,211.	121,259.	
	b	Investments - corporate stock STMT 8	2,398,709.	2,256,480.	2,376,888.	
	c	Investments - corporate bonds				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other STMT 9	-142,952.	-165,927.	955,724.	
14		Land, buildings, and equipment: basis ▶				
		Less accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,445,528.	2,332,949.	3,573,056.	
17		Accounts payable and accrued expenses				
18		Grants payable	162,000.	180,000.		
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	162,000.	180,000.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds	0.	0.		
28	Paid-in or capital surplus, or land, bldg., and equipment fund	19,778,144.	19,778,144.			
29	Retained earnings, accumulated income, endowment, or other funds	-17,494,616.	-17,625,195.			
30	Total net assets or fund balances	2,283,528.	2,152,949.			
31	Total liabilities and net assets/fund balances	2,445,528.	2,332,949.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,283,528.
2	Enter amount from Part I, line 27a	2	-130,579.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,152,949.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,152,949.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 994,746.		896,680.	98,066.

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			98,066.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	98,066.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	146,573.	3,760,168.	.038980
2014	324,342.	3,970,085.	.081696
2013	437,656.	4,322,624.	.101248
2012	290,745.	4,276,694.	.067984
2011	198,812.	4,571,968.	.043485

2 Total of line 1, column (d)	2	.333393
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.066679
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,498,500.
5 Multiply line 4 by line 3	5	233,276.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,374.
7 Add lines 5 and 6	7	234,650.
8 Enter qualifying distributions from Part XII, line 4	8	273,586.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,374.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,374.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,374.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,350.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,350.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	21.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	45.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ☐ Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► THE FOUNDATION Telephone no. ► 310-557-0008 Located at ► 1801 CENTURY PARK EAST STE 2230, LOS ANGELES, CA ZIP+4 ► 90067		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN M. PAULEY 1801 CENTURY PARK EAST #2230 LOS ANGELES, CA 90067	PRESIDENT/CHAIRMAN 2.00	12,000.	0.	0.
KEVIN PAULEY HILLYER 1801 CENTURY PARK EAST #2230 LOS ANGELES, CA 90067	VICE PRESIDENT 2.00	12,000.	0.	0.
MATTHEW V. PAULEY 1801 CENTURY PARK EAST #2230 LOS ANGELES, CA 90067	TREASURER 2.00	12,000.	0.	0.
TANYA HENDRIX 1801 CENTURY PARK EAST #2230 LOS ANGELES, CA 90067	SECRETARY 2.00	3,400.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,492,166.
b	Average of monthly cash balances	1b	103,887.
c	Fair market value of all other assets	1c	955,724.
d	Total (add lines 1a, b, and c)	1d	3,551,777.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,551,777.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	53,277.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,498,500.
6	Minimum investment return Enter 5% of line 5	6	174,925.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	174,925.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,374.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,374.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	173,551.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	173,551.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	173,551.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	273,586.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	273,586.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,374.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	272,212.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				173,551.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012	76,910.			
c From 2013	225,293.			
d From 2014	129,316.			
e From 2015				
f Total of lines 3a through e	431,519.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$	273,586.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				173,551.
e Remaining amount distributed out of corpus	100,035.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	531,554.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	531,554.			
10 Analysis of line 9:				
a Excess from 2012	76,910.			
b Excess from 2013	225,293.			
c Excess from 2014	129,316.			
d Excess from 2015				
e Excess from 2016	100,035.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BSA TROOP 223 1031 BIENVENEDA AVENUE PACIFIC PALISADES, CA 90272	NONE	OTHER PUBLIC CHARITY	EDUCATION/SCHOLARSHIP	5,000.
CARLTHORP SCHOOL 438 SAN VICENTE BLVD. SANTA MONICA, CA 90402	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	10,000.
CHATHAM BAROQUE/THE ICE HOUSE 100 FORTY-THIRD ST PITTSBURGH, PA 15201	NONE	OTHER PUBLIC CHARITY	MUSIC PROGRAMS	44,000.
CHILDRENS BUREAU FOUNDATION 1910 MAGNOLIA AVENUE LOS ANGELES, CA 90007	NONE	OTHER PUBLIC CHARITY	CHILD ABUSE PREVENTION PROGRAM SERVICES	15,000.
CHILDRENS HOSPITAL LOS ANGELES 4650 SUNSET BLVD., MAIL STOP 4 LOS ANGELES, CA 90027	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	7,000.
Total			SEE CONTINUATION SHEET(S)	246,000.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|----------|---|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

 9/7/17 **TREASURER**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr 1)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature <i>Lynne Leavitt</i>	Date 08/17/17	Check ☐ if self-employed	PTIN P00146565
	Firm's name ▶ ESMOND & ASSOCIATES INC.			Firm's EIN ▶ 20-3250475	
	Firm's address ▶ 23901 CALABASAS RD, #1010 CALABASAS, CA 91302			Phone no. 818-610-2900	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a WELLS FARGO ADVISORS 0565 (ATTACHED)	P		
b WELLS FARGO ADVISORS 0565 (ATTACHED)	P		
c WELLS FARGO ADVISORS 0565 (ATTACHED)	P		
d WELLS FARGO ADVISORS 8861 (ATTACHED)	P		
e WELLS FARGO ADVISORS 8861 (ATTACHED)	P		
f WELLS FARGO ADVISORS 1695 (ATTACHED)	P		
g WELLS FARGO ADVISORS 1695 (ATTACHED)	P		
h WELLS FARGO ADVISORS 1664 (ATTACHED)	P		
i WELLS FARGO ADVISORS 1664 (ATTACHED)	P		
j WELLS FARGO ADVISORS 1664 (ATTACHED)	P		
k WELLS FARGO ADVISORS 1017 (ATTACHED)	P		
l WELLS FARGO ADVISORS 1017 (ATTACHED)	P		
m WELLS FARGO ADVISORS 5016 (ATTACHED)	P		
n WELLS FARGO ADVISORS 5016 (ATTACHED)	P		
o WELLS FARGO ADVISORS 5016 (ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 35,000.		37,345.	-2,345.
b 100,088.		108,943.	-8,855.
c 80,000.		86,091.	-6,091.
d 27,661.		30,662.	-3,001.
e 105,113.		63,930.	41,183.
f 17,466.		17,548.	-82.
g 35,101.		36,011.	-910.
h 21,701.		20,454.	1,247.
i 51,508.		43,122.	8,386.
j 58,658.		32,056.	26,602.
k 2,611.		2,261.	350.
l 24,014.		27,116.	-3,102.
m 84,446.		80,782.	3,664.
n 98,308.		81,148.	17,160.
o 30,166.		14,087.	16,079.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,345.
b			-8,855.
c			-6,091.
d			-3,001.
e			41,183.
f			-82.
g			-910.
h			1,247.
i			8,386.
j			26,602.
k			350.
l			-3,102.
m			3,664.
n			17,160.
o			16,079.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO ADVISORS 1169 (ATTACHED)	P		
b	WELLS FARGO ADVISORS 1169 (ATTACHED)	P		
c	WELLS FARGO ADVISORS 1169 (ATTACHED)	P		
d	WELLS FARGO ADVISORS 8861 (ATTACHED)	P		
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	109,812.	112,145.	-2,333.
b	28,597.	30,390.	-1,793.
c	56.	30.	26.
d	82,664.	72,559.	10,105.
e	1,776.		1,776.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-2,333.
b			-1,793.
c			26.
d			10,105.
e			1,776.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	98,066.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV . Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JOHN TRACY CLINIC 806 WEST ADAMS BLVD. LOS ANGELES, CA 90007	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	3,000.
MCHENRY MANSION FOUNDATION P.O. BOX 642 MODESTO, CA 95353	NONE	OTHER PUBLIC CHARITY	GENERAL OPERATING	1,500.
CATE SCHOOL 1960 CATE MESA ROAD CARPINTERIA, CA 98018	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	100,000.
SAINT MATTHEW PARISH P.O. BOX 37 PACIFIC PALISADES, CA 90272	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	3,500.
COLUMBIA UNIVERSITY 100 HAVE AVENUE #29D NEW YORK, NY 10032	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	10,000.
--- SCI SPECIAL FUND --- 27405 PUERTA REAL, SUITE 120 MISSION VIEJO, CA 92691	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	2,000.
SUN VALLEY SUMMER PROGRAM P.O. BOX 1914 SUN VALLEY, ID 83353	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	10,000.
WESTERN LA CITY COUNCIL BOY SCOUTS 16525 SHERMAN WAY, UNIT C-B VAN NUYS, CA 90140	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	10,000.
ENVIRONMENTAL NATURE CENTER 1601 -16TH STREET NEWPORT BEACH, CA 92663	NONE	OTHER	EDUCATION PROGRAMS	5,000.
SMITH COLLEGE 33 ELM STREET NORTHAMPTON, MA 01063	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	10,000.
Total from continuation sheets				165,000.

95-4332470

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
B.C.&R. LTD	37.	37.	
WELLS FARGO ADVISORS	2.	2.	
WELLS FARGO ADVISORS (1664)	2.	2.	
WELLS FARGO ADVISORS (1695)	3,586.	3,586.	
WELLS FARGO ADVISORS (6945)	1.	1.	
WELLS FARGO ADVISORS (8861)	1.	1.	
TOTAL TO PART I, LINE 3	3,629.	3,629.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO ADVISORS (0565)	27,475.	0.	27,475.	27,475.	
WELLS FARGO ADVISORS (1017)	399.	0.	399.	399.	
WELLS FARGO ADVISORS (1169)	3,164.	0.	3,164.	3,164.	
WELLS FARGO ADVISORS (1664)	5,347.	94.	5,253.	5,253.	
WELLS FARGO ADVISORS (1695)	7,319.	1,682.	5,637.	5,637.	
WELLS FARGO ADVISORS (5016)	6,188.	0.	6,188.	6,188.	
WELLS FARGO ADVISORS (88616)	8,211.	0.	8,211.	8,211.	
WELLS FARGO ADVISORS (NON DIVD DISTN)	5,598.	0.	5,598.	0.	
TO PART I, LINE 4	63,701.	1,776.	61,925.	56,327.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
B.C. & R. LTD PARTNERSHIP INCOME	41,391.	41,391.	
MOKU O LO'E BOOK - ROYALTIES	2.	2.	
TOTAL TO FORM 990-PF, PART I, LINE 11	41,393.	41,393.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	6,000.	3,000.		3,000.
TO FORM 990-PF, PG 1, LN 16B	6,000.	3,000.		3,000.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	990.	990.		0.
STATE TAXES	93.	43.		50.
FEDERAL EXCISE TAX	1,784.	1,784.		0.
TO FORM 990-PF, PG 1, LN 18	2,867.	2,817.		50.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,260.	630.		630.	
OFFICE EXPENSES	701.	351.		350.	
DELIVERY & MESSENGER	138.	69.		69.	
BANK FEES	158.	79.		79.	
INVESTMENT MANAGEMENT FEES	31,654.	31,654.		0.	
OTHER FEES	6.	0.		6.	
TO FORM 990-PF, PG 1, LN 23	33,917.	32,783.		1,134.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
US GOVERNMENT SECURITIES	X		123,211.	121,259.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			123,211.	121,259.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			123,211.	121,259.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK			2,256,480.	2,376,888.
TOTAL TO FORM 990-PF, PART II, LINE 10B			2,256,480.	2,376,888.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BC & R LTD PARTNERSHIP	COST	-170,709.	950,942.
MINERAL RIGHTS	COST	4,782.	4,782.
TOTAL TO FORM 990-PF, PART II, LINE 13		-165,927.	955,724.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 10
	PART XV, LINES 2A THROUGH 2D	

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

STEPHEN M. PAULEY, M.D.
1801 CENTURY PARK EAST #2230
LOS ANGELES, CA 90067

TELEPHONE NUMBER

(323)954-3131

FORM AND CONTENT OF APPLICATIONS

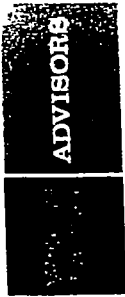
WRITTEN REQUESTS INCLUDING DESCRIPTION OF ORGANIZATION, TAX IDENTIFICATION
NUMBER, AND WHETHER THE ORGANIZATION IS TAX EXEMPT OR NON EXEMPT

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

GIFTS ARE PRIMARILY FOR EDUCATIONAL PURPOSES



2016 Year-End Account Summary

As of Date: 02/21/17

Account Number: 8589-0565

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
NATIXIS MUT FUNDS * LOOMIS SAYLES CORE PLUS CUSIP: 63872R764	2859 47700	03/09/2015	01/14/2016	35,000 00	37,344 78	0 00	0 00	-2,344 78	Box 6: Gross Proceeds

Totals

\$35,000.00 \$37,344.78 \$0.00 \$0.00 (\$2,344.78)

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 8589-0565

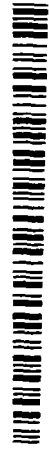
EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
DB-X TRACKERS MSCI ETF EAFE HEDGED EQUITY FUND CUSIP 233051200	710 00000 810 00000	03/05/2015 03/06/2015	05/26/2016 05/26/2016	18,725 41 21,362 81	21,101 20 24,170 40	0 00 0 00	0 00 0 00	-2,375 79 -2,807 59	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	1520.00000			\$40,088.22	\$45,271.60	\$0.00	\$0.00	(\$5,183.38)	
NATIXIS MUT FUNDS * LOOMIS SAYLES CORE PLUS CUSIP 63872R764	1540 83200	03/09/2015	06/30/2016	20,000 00	20,123 27	0 00	0 00	-123 27	Box 6: Gross Proceeds
NEUBERGER BERMAN * INCOME FDS NEW FLOATING CUSIP 64128K694	3125 00000	05/22/2013	01/07/2016	30,000 00	32,406 25	0 00	0 00	-2,406 25	Box 6: Gross Proceeds
OPPENHEIMER DEV MKTS * CL Y CUSIP 683974505	328 19200	11/02/2012	05/26/2016	10,000 00	11,142 13	0 00	0 00	-1,142 13	Box 6: Gross Proceeds
Totals				\$100,088.22	\$108,943.25	\$0.00	\$0.00	(\$8,855.03)	



2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 8589-0565

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

ADVISORS

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
DOUBLELINE FDS TR TOTAL RETURN BD FD CL I CUSIP 258620103	3213 95800	07/28/2011	05/05/2016	35,000.00	35,674.93	0.00	0.00	-674.93	Box 6: Gross Proceeds
TEMPLETON INCOME TR GLOBAL BD FD ADVISOR CL CUSIP 880208400	2666 66700 1325 08800	10/16/2009 10/16/2009	05/26/2016 06/30/2016	30,000.00 15,000.00	33,680.01 16,735.87	0.00 0.00	0.00 0.00	-3,680.01 -1,735.87	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	3991.75500			\$45,000.00	\$50,415.88	\$0.00	\$0.00	(\$5,415.88)	
Totals				\$80,000.00	\$86,090.81	\$0.00	\$0.00	(\$6,090.81)	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 1323-8861

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

This Information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
ADT CORP/THE CUSIP: 00101J106	8 00000	07/01/2015	04/04/2016	330 21	267 34	0 00	0 00	62 87	Box 6: Gross Proceeds
	8 00000	07/22/2015	04/04/2016	330 21	260 05	0 00	0 00	70 16	Box 6: Gross Proceeds
	5 00000	07/28/2015	04/04/2016	206 38	164 27	0 00	0 00	42 11	Box 6: Gross Proceeds
	10 00000	07/30/2015	04/04/2016	412 77	342 29	0 00	0 00	70 48	Box 6: Gross Proceeds
	23 00000	02/02/2016	04/04/2016	949 40	586 04	0 00	0 00	363 36	Box 6: Gross Proceeds
Subtotals	54.00000			\$2,228.97	\$1,619.99	\$0.00	\$0.00	\$608.98	
ALLEGHENY TECHNOLOGIES INC CUSIP: 01741R102	12 00000	05/17/2016	11/10/2016	199 12	169 38	0 00	0 00	29 74	Box 6: Gross Proceeds
	12 00000	07/26/2016	11/10/2016	199 12	208 55	0 00	0 00	-7 43	Box 6: Gross Proceeds
	16 00000	10/25/2016	11/10/2016	265 50	241 12	0 00	0 00	24 38	Box 6: Gross Proceeds
Subtotals	40.00000			\$663.74	\$617.05	\$0.00	\$0.00	\$46.69	
AMERIPRISE FINANCIAL INC CUSIP: 03076C106	1 00000	07/28/2015	07/01/2016	89 66	125 00	0 00	0 00	-35 34	Box 6: Gross Proceeds
BAXTER INTERNATIONAL INC CUSIP: 071813109	15.00000	12/28/2015	05/26/2016	652.19	573.07	0 00	0 00	79 12	Box 6: Gross Proceeds
	10 00000	12/28/2015	09/15/2016	470 99	382 04	0 00	0 00	88 95	Box 6: Gross Proceeds
	1 00000	12/28/2015	09/21/2016	47 59	38 20	0 00	0 00	9 39	Box 6: Gross Proceeds
	11 00000	01/04/2016	09/21/2016	523 58	412 31	0 00	0 00	111 27	Box 6: Gross Proceeds
	1 00000	01/04/2016	09/21/2016	47 62	37 48	0 00	0 00	10 14	Box 6: Gross Proceeds
	6 00000	01/07/2016	09/21/2016	285 78	219 90	0 00	0 00	65 88	Box 6: Gross Proceeds
	4 00000	01/07/2016	09/23/2016	190 32	146 59	0 00	0 00	43 73	Box 6: Gross Proceeds
	9 00000	02/02/2016	09/23/2016	428 23	338 57	0 00	0 00	89 66	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
BAXTER INTERNATIONAL INC CUSIP 071813109	1 00000	03/30/2016	09/23/2016	47 59	41 33	0 00	0 00	6 26	Box 6: Gross Proceeds
	12 00000	03/30/2016	09/26/2016	565 65	495 95	0 00	0 00	69 70	Box 6: Gross Proceeds
	9 00000	05/17/2016	09/26/2016	424 25	399 28	0 00	0 00	24 97	Box 6: Gross Proceeds
Subtotals	79 00000			\$3,683.79	\$3,084.72	\$0.00	\$0.00	\$599.07	
CARDINAL HEALTH INC CUSIP 14149Y108	3 00000	04/21/2016	05/26/2016	230 39	260 61	0 00	0 00	-30 22	Box 6: Gross Proceeds
	4 00000	04/21/2016	09/14/2016	302 52	347 46	0 00	0 00	-44 94	Box 6: Gross Proceeds
	1 00000	04/28/2016	09/14/2016	75 64	79 79	0 00	0 00	-4 15	Box 6: Gross Proceeds
	2 00000	04/28/2016	09/15/2016	153 23	159 58	0 00	0 00	-6 35	Box 6: Gross Proceeds
	2 00000	05/12/2016	09/15/2016	153 24	154 17	0 00	0 00	-0 93	Box 6: Gross Proceeds
Subtotals	12 00000			\$915.02	\$1,001.61	\$0.00	\$0.00	(\$86.59)	
CHESAPEAKE ENERGY CORP CUSIP 165167107	14 00000	02/19/2015	02/08/2016	27 47	283 13	0 00	0 00	-255 66	Box 6: Gross Proceeds
	46 00000	02/25/2015	02/08/2016	90 28	829 68	0 00	0 00	-739 40	Box 6: Gross Proceeds
	16 00000	03/10/2015	02/08/2016	31 40	221 92	0 00	0 00	-190 52	Box 6: Gross Proceeds
	10 00000	03/31/2015	02/08/2016	19 62	141 70	0 00	0 00	-122 08	Box 6: Gross Proceeds
	11 00000	05/01/2015	02/08/2016	21 58	180 56	0 00	0 00	-158 98	Box 6: Gross Proceeds
	39 00000	05/06/2015	02/08/2016	76 54	584 97	0 00	0 00	-508 43	Box 6: Gross Proceeds
	27 00000	07/13/2015	02/08/2016	52 99	298 54	0 00	0 00	-245 55	Box 6: Gross Proceeds
	69 00000	08/05/2015	02/08/2016	135 42	498 17	0 00	0 00	-362 75	Box 6: Gross Proceeds
	43 00000	08/25/2015	02/08/2016	84 39	281 74	0 00	0 00	-197 35	Box 6: Gross Proceeds
	16 00000	08/27/2015	02/08/2016	31 40	113 04	0 00	0 00	-81 64	Box 6: Gross Proceeds
	58 00000	09/09/2015	02/08/2016	113 84	455 30	0 00	0 00	-341 46	Box 6: Gross Proceeds
	44 00000	10/26/2015	02/08/2016	86 36	322 22	0 00	0 00	-235 86	Box 6: Gross Proceeds
	50 00000	11/05/2015	02/08/2016	98 14	375 58	0 00	0 00	-277 44	Box 6: Gross Proceeds
	52 00000	11/25/2015	02/08/2016	102 07	282 90	0 00	0 00	-180 83	Box 6: Gross Proceeds
Subtotals	495 00000			\$971.50	\$4,869.45	\$0.00	\$0.00	(\$3,897.95)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
Subtotals									
COACH INC CUSIP: 189754104	16.00000	02/09/2016	05/26/2016	629.35	544.54	0.00	0.00	84.81	Box 6: Gross Proceeds
	11.00000	02/09/2016	09/15/2016	394.23	374.37	0.00	0.00	19.86	Box 6: Gross Proceeds
	4.00000	02/17/2016	09/15/2016	143.36	143.67	0.00	0.00	-0.31	Box 6: Gross Proceeds
	2.00000	03/07/2016	09/15/2016	71.68	78.14	0.00	0.00	-6.46	Box 6: Gross Proceeds
	33.00000			\$1,238.62	\$1,140.72	\$0.00	\$0.00	\$97.90	
Subtotals									
CONAGRA BRANDS INC CUSIP: 205887102	22.00000	12/22/2015	05/26/2016	999.44	909.95	0.00	0.00	89.49	Box 6: Gross Proceeds
	2.00000	12/22/2015	07/19/2016	95.62	82.72	0.00	0.00	12.90	Box 6: Gross Proceeds
	2.00000	12/28/2015	07/19/2016	95.62	84.53	0.00	0.00	11.09	Box 6: Gross Proceeds
	8.00000	12/28/2015	07/29/2016	374.28	338.11	0.00	0.00	36.17	Box 6: Gross Proceeds
	4.00000	12/28/2015	09/15/2016	174.43	169.05	0.00	0.00	5.38	Box 6: Gross Proceeds
	6.00000	12/31/2015	09/15/2016	261.66	255.43	0.00	0.00	6.23	Box 6: Gross Proceeds
	1.00000	01/14/2016	09/15/2016	43.61	39.48	0.00	0.00	4.13	Box 6: Gross Proceeds
	45.00000			\$2,044.66	\$1,879.27	\$0.00	\$0.00	\$165.39	
Subtotals									
CYPRESS SEMICONDUCTOR CORP CUSIP: 232806109	63.00000	09/21/2015	05/26/2016	631.56	589.27	0.00	0.00	42.29	Original Basis 596.20 Box 6: Gross Proceeds
	38.00000	09/25/2015	05/26/2016	380.95	335.23	0.00	0.00	45.72	Box 6: Gross Proceeds
	11.00000	09/25/2015	09/15/2016	130.45	97.03	0.00	0.00	33.42	Box 6: Gross Proceeds
	63.00000	09/30/2015	09/15/2016	747.18	526.51	0.00	0.00	220.67	Box 6: Gross Proceeds
	175.00000			\$1,890.14	\$1,548.04	\$0.00	\$0.00	\$342.10	
Subtotals									
DOW CHEMICAL COMPANY CUSIP: 260543103	17.00000	02/02/2016	05/26/2016	892.65	762.32	0.00	0.00	130.33	Box 6: Gross Proceeds
	3.00000	02/02/2016	09/15/2016	158.54	134.52	0.00	0.00	24.02	Box 6: Gross Proceeds
	9.00000	02/09/2016	09/15/2016	475.65	423.19	0.00	0.00	52.46	Box 6: Gross Proceeds
	1.00000	02/26/2016	09/15/2016	52.85	48.78	0.00	0.00	4.07	Box 6: Gross Proceeds
	30.00000			\$1,579.69	\$1,368.81	\$0.00	\$0.00	\$210.88	
Subtotals									

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
GAP INC CUSIP: 364760108	6.00000	06/17/2015	05/26/2016	108.90	231.17	0.00	0.00	-122.27	Box 6: Gross Proceeds
	3.00000	07/13/2015	05/26/2016	54.45	112.85	0.00	0.00	-58.40	Box 6: Gross Proceeds
	1.00000	07/17/2015	05/26/2016	18.15	37.77	0.00	0.00	-19.62	Box 6: Gross Proceeds
	7.00000	07/17/2015	06/01/2016	125.70	264.33	0.00	0.00	-138.63	Box 6: Gross Proceeds
	9.00000	07/28/2015	06/01/2016	161.61	323.72	0.00	0.00	-162.11	Box 6: Gross Proceeds
	9.00000	08/13/2015	06/01/2016	161.61	311.56	0.00	0.00	-149.95	Box 6: Gross Proceeds
	8.00000	08/25/2015	06/01/2016	143.66	227.25	0.00	0.00	-101.55	Box 6: Gross Proceeds
	15.00000	11/10/2015	06/01/2016	269.37	399.73	0.00	0.00	-130.36	Box 6: Gross Proceeds
	6.00000	12/07/2015	06/01/2016	107.75	157.82	0.00	0.00	-50.07	Box 6: Gross Proceeds
	8.00000	12/09/2015	06/01/2016	143.67	214.80	0.00	0.00	-71.13	Box 6: Gross Proceeds
Subtotals	79.00000			\$1,420.57	\$2,496.28	\$0.00	\$0.00	(\$1,075.71)	
JOHNSON & JOHNSON CUSIP: 478160104	1.00000	04/06/2016	11/29/2016	112.51	108.75	0.00	0.00	3.76	Box 6: Gross Proceeds
JONES LANG LASALLE INC CUSIP: 48020Q107	6.00000	06/30/2016	09/15/2016	684.41	586.43	0.00	0.00	97.98	Box 6: Gross Proceeds
LAMB WESTON HOLDINGS INC CUSIP: 513272104	1.33333	01/14/2016	11/22/2016	43.90	35.92	0.00	0.00	7.98	Box 6: Gross Proceeds
	2.66667	02/16/2016	11/22/2016	87.80	75.62	0.00	0.00	12.18	Box 6: Gross Proceeds
	3.00000	03/09/2016	11/22/2016	98.78	88.45	0.00	0.00	10.33	Box 6: Gross Proceeds
	0.33333	03/10/2016	11/22/2016	10.97	9.90	0.00	0.00	1.07	Box 6: Gross Proceeds
	2.33333	03/15/2016	11/22/2016	76.83	70.28	0.00	0.00	6.55	Box 6: Gross Proceeds
	2.33333	03/22/2016	11/22/2016	76.83	68.48	0.00	0.00	8.35	Box 6: Gross Proceeds
	4.00001	05/17/2016	11/29/2016	131.73	121.63	0.00	0.00	10.10	Box 6: Gross Proceeds
	2.99999	05/17/2016	11/29/2016	98.87	91.22	0.00	0.00	7.65	Box 6: Gross Proceeds
	1.00000	07/01/2016	11/29/2016	32.95	33.02	0.00	0.00	-0.07	Box 6: Gross Proceeds
	0.66667	08/19/2016	11/29/2016	21.97	21.29	0.00	0.00	0.68	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
LAMB WESTON HOLDINGS INC CUSIP: 513272104	2 33334	09/08/2016	11/29/2016	76 92	72 26	0 00	0 00	4 66	Box 6: Gross Proceeds
Subtotals	23.00000			\$757.55	\$688.07	\$0.00	\$0.00	\$69.48	
MICRON TECHNOLOGY INC CUSIP: 595112103	34 00000	04/04/2016	05/26/2016	401 87	372 00	0 00	0 00	29 87	Box 6: Gross Proceeds
	26 00000	04/04/2016	09/15/2016	452 91	284 47	0 00	0 00	168 44	Box 6: Gross Proceeds
Subtotals	60.00000			\$854.78	\$656.47	\$0.00	\$0.00	\$198.31	
NETAPP INC CUSIP: 64110D104	4 00000	02/10/2015	01/14/2016	92 20	154 92	0 00	0 00	-62 72	Box 6: Gross Proceeds
	11 00000	03/10/2015	01/14/2016	253 55	419 20	0 00	0 00	-165 65	Box 6: Gross Proceeds
	7 00000	03/31/2015	01/14/2016	161 35	248 29	0 00	0 00	-86 94	Box 6: Gross Proceeds
	5 00000	07/28/2015	01/14/2016	115 25	155 01	0 00	0 00	-39 76	Box 6: Gross Proceeds
	17 00000	09/29/2015	01/14/2016	391 86	492 87	0 00	0 00	-101 01	Box 6: Gross Proceeds
	8 00000	10/26/2015	01/14/2016	184 41	267 49	0 00	0 00	-83 08	Box 6: Gross Proceeds
Subtotals	52.00000			\$1,198.62	\$1,737.78	\$0.00	\$0.00	(\$539.16)	
NEWFIELD EXPLORATION COMPANY CUSIP: 651290108	20 00000	02/29/2016	05/26/2016	821 78	543 72	0 00	0 00	278 06	Box 6: Gross Proceeds
	1 00000	02/29/2016	09/15/2016	41 15	27 18	0 00	0 00	13 97	Box 6: Gross Proceeds
	11 00000	03/07/2016	09/15/2016	452 76	324 76	0 00	0 00	128 00	Box 6: Gross Proceeds
	1 00000	03/09/2016	09/15/2016	41 16	30 75	0 00	0 00	10 41	Box 6: Gross Proceeds
	10 00000	03/09/2016	12/16/2016	447 29	307 44	0 00	0 00	139 85	Box 6: Gross Proceeds
Subtotals	43.00000			\$1,804.14	\$1,233.85	\$0.00	\$0.00	\$570.29	
WESTROCK CO CUSIP: 96145D105	8 00000	07/19/2016	09/15/2016	372 11	346 33	0 00	0 00	25 78	Box 6: Gross Proceeds
	5 00000	07/19/2016	11/16/2016	249 26	216 46	0 00	0 00	32 80	Box 6: Gross Proceeds
	3 00000	07/19/2016	11/30/2016	153 88	129 87	0 00	0 00	24 01	Box 6: Gross Proceeds
Subtotals	16.00000			\$775.25	\$692.66	\$0.00	\$0.00	\$82.59	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ZIONS BANCORP CUSIP: 989701107	35 00000	07/01/2015	05/26/2016	974 73	1,117 92	0 00	0 00	-143 19	Box 6: Gross Proceeds
ADIENT PLC CUSIP: G0084W101	15 00000	11/01/2016	11/10/2016	688 24	698 78	0 00	0 00	-10 54	Box 6: Gross Proceeds
JOHNSON CTLS INTL PLC CUSIP: G51502105	0 07183 0 02647	05/17/2016 07/19/2016	09/06/2016 09/06/2016	3 42 1 27	3 01 1 19	0 00 0 00	0 00 0 00	0 41 0 08	Box 6: Gross Proceeds Cash in Lieu Box 6: Gross Proceeds Cash in Lieu
Subtotals	0 09830			\$4 69	\$4 20	\$0 00	\$0 00	\$0 49	
MYLAN NV CUSIP: N59465109	10 00000 11 00000 5 00000 1 00000 4 00000 5 00000 4 00000 11 00000 4 00000 6 00000	03/10/2016 03/10/2016 03/16/2016 03/30/2016 03/30/2016 04/25/2016 05/05/2016 05/17/2016 07/01/2016 08/10/2016 08/29/2016	05/26/2016 09/02/2016 09/02/2016 09/02/2016 09/15/2016 09/15/2016 09/21/2016 09/21/2016 09/27/2016 09/27/2016 09/27/2016	425 09 439 52 199 78 39 96 165 99 207 50 165 99 450 30 163 74 368 43 245 63	477 33 525 06 234 67 46 36 185 40 226 05 163 65 450 01 173 56 440 72 257 21	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00 0 00	-52 24 -85 54 -34 89 -6 40 -19 41 -18 55 2 34 0 29 -9 82 -72 29 -11 58	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	75 00000			\$3,079.41	\$3,386.17	\$0 00	\$0 00	(\$306.76)	
Totals				\$27,660.69	\$30,662.02	\$0 00	\$0 00	(\$3,001.33)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
ADT CORP/THE CUSIP: 00101J106	4 00000	12/03/2012	04/04/2016	165 10	178 33	0 00	0 00	-13 23	Original Basis 179 83 Box 6: Gross Proceeds
	6 00000	12/21/2012	04/04/2016	247 65	266 82	0 00	0 00	-19 17	Original Basis 269 07 Box 6: Gross Proceeds
	19 00000	12/28/2012	04/04/2016	784 25	866 66	0 00	0 00	-82 41	Original Basis 873 80 Box 6: Gross Proceeds
	2 00000	01/02/2014	04/04/2016	82 55	79 58	0 00	0 00	2 97	Box 6: Gross Proceeds
	9 00000	03/31/2014	04/04/2016	371 48	268 00	0 00	0 00	103 48	Box 6: Gross Proceeds
	4 00000	04/30/2014	04/04/2016	165 10	121 20	0 00	0 00	43 90	Box 6: Gross Proceeds
	11 00000	05/15/2014	04/04/2016	454 04	346 77	0 00	0 00	107 27	Box 6: Gross Proceeds
	8 00000	06/09/2014	04/04/2016	330 21	271 45	0 00	0 00	58 76	Box 6: Gross Proceeds
	7 00000	06/20/2014	04/04/2016	288 93	239 28	0 00	0 00	49 65	Box 6: Gross Proceeds
	7 00000	06/30/2014	04/04/2016	288 93	245 34	0 00	0 00	43 59	Box 6: Gross Proceeds
	20 00000	03/10/2015	04/04/2016	825 54	761 40	0 00	0 00	64 14	Box 6: Gross Proceeds
	6 00000	03/31/2015	04/04/2016	247 66	249 11	0 00	0 00	-1 45	Box 6: Gross Proceeds
Subtotals	103 00000			\$4,251.44	\$3,893.94	\$0.00	\$0.00	\$357.50	
ALLEGHENY TECHNOLOGIES INC CUSIP: 01741R102	8 00000	10/31/2013	05/23/2016	93 43	267 46	0 00	0 00	-174 03	Box 6: Gross Proceeds
	9 00000	11/06/2013	05/23/2016	105 11	304 49	0 00	0 00	-199 38	Box 6: Gross Proceeds
	6 00000	01/10/2014	05/23/2016	70 08	210 01	0 00	0 00	-139 93	Box 6: Gross Proceeds
	7 00000	01/13/2014	05/23/2016	81 76	246 42	0 00	0 00	-164 66	Box 6: Gross Proceeds
	1 00000	01/13/2014	05/26/2016	12 17	35 20	0 00	0 00	-23 03	Box 6: Gross Proceeds
	6 00000	01/22/2014	05/26/2016	73 08	196 90	0 00	0 00	-123 82	Box 6: Gross Proceeds
	2 00000	01/23/2014	05/26/2016	24 36	63 93	0 00	0 00	-39 57	Box 6: Gross Proceeds
	11 00000	02/24/2014	05/26/2016	133 98	347 63	0 00	0 00	-213 65	Box 6: Gross Proceeds
	9 00000	03/19/2014	05/26/2016	109 62	327 23	0 00	0 00	-217 61	Box 6: Gross Proceeds
	8 00000	03/24/2014	05/26/2016	97 44	296 32	0 00	0 00	-198 88	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALLEGHENY TECHNOLOGIES INC CUSIP 01741R102	5 00000	03/31/2014	08/29/2016	89.78	187.74	0.00	0.00	-97.96	Box 6: Gross Proceeds
	4 00000	04/02/2014	08/29/2016	71.84	151.84	0.00	0.00	-80.00	Box 6: Gross Proceeds
	4 00000	04/02/2014	08/31/2016	68.72	151.84	0.00	0.00	-83.12	Box 6: Gross Proceeds
	6 00000	04/22/2014	08/31/2016	103.10	239.59	0.00	0.00	-136.49	Box 6: Gross Proceeds
	1 00000	06/13/2014	09/02/2016	17.01	41.53	0.00	0.00	-24.52	Box 6: Gross Proceeds
	8 00000	06/20/2014	09/02/2016	136.13	339.54	0.00	0.00	-203.41	Box 6: Gross Proceeds
	4 00000	06/20/2014	09/15/2016	63.67	169.77	0.00	0.00	-106.10	Box 6: Gross Proceeds
	19 00000	06/30/2014	09/15/2016	302.48	850.87	0.00	0.00	-548.39	Box 6: Gross Proceeds
	2 00000	06/30/2014	11/10/2016	33.18	89.56	0.00	0.00	-56.38	Box 6: Gross Proceeds
	10 00000	07/23/2014	11/10/2016	165.92	421.60	0.00	0.00	-255.68	Box 6: Gross Proceeds
	8 00000	09/25/2014	11/10/2016	132.74	310.79	0.00	0.00	-178.05	Box 6: Gross Proceeds
	11 00000	10/22/2014	11/10/2016	182.52	362.14	0.00	0.00	-179.62	Box 6: Gross Proceeds
	3 00000	01/21/2015	11/10/2016	49.77	85.52	0.00	0.00	-35.75	Box 6: Gross Proceeds
	33 00000	03/10/2015	11/10/2016	547.56	1,046.43	0.00	0.00	-498.87	Box 6: Gross Proceeds
	8 00000	03/31/2015	11/10/2016	132.74	240.67	0.00	0.00	-107.93	Box 6: Gross Proceeds
	11 00000	06/22/2015	11/10/2016	182.52	347.84	0.00	0.00	-165.32	Box 6: Gross Proceeds
	2 00000	06/24/2015	11/10/2016	33.18	64.25	0.00	0.00	-31.07	Box 6: Gross Proceeds
	5 00000	07/17/2015	11/10/2016	82.96	129.17	0.00	0.00	-46.21	Box 6: Gross Proceeds
	16 00000	07/22/2015	11/10/2016	265.49	344.78	0.00	0.00	-79.29	Box 6: Gross Proceeds
	5 00000	07/28/2015	11/10/2016	82.96	109.68	0.00	0.00	-26.72	Box 6: Gross Proceeds
	14 00000	08/25/2015	11/10/2016	232.30	246.33	0.00	0.00	-14.03	Box 6: Gross Proceeds
Subtotals	246.00000			\$3,777.60	\$8,227.07	\$0.00	\$0.00	(\$4,449.47)	
AMERICAN ELECTRIC POWER INC CUSIP 025537101	2 00000	02/15/2013	07/29/2016	138.70	90.00	0.00	0.00	48.70	Box 6: Gross Proceeds
	13 00000	03/10/2015	07/29/2016	901.62	719.03	0.00	0.00	182.59	Box 6: Gross Proceeds
Subtotals	15.00000			\$1,040.32	\$809.03	\$0.00	\$0.00	\$231.29	

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold (Box 1b)	Date Acquired (Box 1h)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AMERIPRISE FINANCIAL INC CUSIP 03076C106	2 00000	07/24/2012	07/01/2016	179.31	97.56	0.00	0.00	81.75	Box 6: Gross Proceeds
	5 00000	02/06/2013	07/01/2016	448.29	329.95	0.00	0.00	118.34	Box 6: Gross Proceeds
	14 00000	03/10/2015	07/01/2016	1,255.24	1,830.64	0.00	0.00	-575.40	Box 6: Gross Proceeds
	4 00000	06/22/2015	07/01/2016	358.64	514.77	0.00	0.00	-156.13	Box 6: Gross Proceeds
	1 00000	06/24/2015	07/01/2016	89.66	128.73	0.00	0.00	-39.07	Box 6: Gross Proceeds
Subtotals	26.00000			\$2,331.14	\$2,901.65	\$0.00	\$0.00	(\$570.51)	
ANTHEM INC CUSIP 036752103	6 00000	06/06/2013	05/05/2016	828.34	454.25	0.00	0.00	374.09	Box 6: Gross Proceeds
	4 00000	06/12/2013	05/05/2016	552.23	315.07	0.00	0.00	237.16	Box 6: Gross Proceeds
	1 00000	06/12/2013	05/26/2016	129.37	78.76	0.00	0.00	50.61	Box 6: Gross Proceeds
	10 00000	06/24/2013	05/26/2016	1,293.77	803.45	0.00	0.00	490.32	Box 6: Gross Proceeds
	4 00000	07/17/2013	05/26/2016	517.52	342.20	0.00	0.00	175.32	Box 6: Gross Proceeds
	1 00000	07/17/2013	09/15/2016	124.45	85.54	0.00	0.00	38.91	Box 6: Gross Proceeds
	4 00000	01/23/2014	09/15/2016	497.83	344.92	0.00	0.00	152.91	Box 6: Gross Proceeds
	2 00000	01/29/2014	09/15/2016	248.91	173.58	0.00	0.00	75.33	Box 6: Gross Proceeds
	3 00000	02/03/2014	09/15/2016	373.38	257.68	0.00	0.00	115.70	Box 6: Gross Proceeds
	2 00000	02/03/2014	10/13/2016	241.86	171.78	0.00	0.00	70.08	Box 6: Gross Proceeds
	2 00000	02/21/2014	10/13/2016	241.86	177.91	0.00	0.00	63.95	Box 6: Gross Proceeds
	6 00000	04/17/2014	11/10/2016	802.35	555.96	0.00	0.00	246.39	Box 6: Gross Proceeds
	2 00000	06/17/2014	11/10/2016	267.45	211.77	0.00	0.00	55.68	Box 6: Gross Proceeds
	6 00000	06/30/2014	11/10/2016	802.36	648.79	0.00	0.00	153.57	Box 6: Gross Proceeds
	2 00000	07/30/2014	11/10/2016	267.45	222.65	0.00	0.00	44.80	Box 6: Gross Proceeds
	5 00000	10/14/2014	11/10/2016	668.63	568.31	0.00	0.00	100.32	Box 6: Gross Proceeds
	9 00000	03/10/2015	11/10/2016	1,203.55	1,303.56	0.00	0.00	-100.01	Box 6: Gross Proceeds
Subtotals	69.00000			\$9,061.31	\$6,716.18	\$0.00	\$0.00	\$2,345.13	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
APPLIED MATERIALS INC CUSIP: 038222105	12 00000	08/02/2012	05/23/2016	274.43	132.47	0.00	0.00	141.96	Box 6: Gross Proceeds
	12 00000	08/02/2012	05/26/2016	284.51	132.46	0.00	0.00	152.05	Box 6: Gross Proceeds
	26 00000	08/13/2012	05/26/2016	616.45	309.69	0.00	0.00	306.76	Box 6: Gross Proceeds
	21 00000	08/16/2012	05/26/2016	497.91	249.23	0.00	0.00	248.68	Box 6: Gross Proceeds
	8 00000	09/11/2012	05/26/2016	189.68	93.14	0.00	0.00	96.54	Box 6: Gross Proceeds
	15 00000	09/11/2012	09/15/2016	451.64	174.61	0.00	0.00	277.03	Box 6: Gross Proceeds
	19 00000	09/21/2012	09/15/2016	572.07	217.67	0.00	0.00	354.40	Box 6: Gross Proceeds
	16 00000	10/04/2012	09/15/2016	481.76	177.11	0.00	0.00	304.65	Box 6: Gross Proceeds
	6 00000	10/04/2012	10/13/2016	166.84	66.41	0.00	0.00	100.43	Box 6: Gross Proceeds
	3 00000	10/11/2012	10/13/2016	83.43	33.00	0.00	0.00	50.43	Box 6: Gross Proceeds
	8 00000	10/11/2012	10/20/2016	225.68	87.97	0.00	0.00	137.71	Box 6: Gross Proceeds
	2 00000	10/11/2012	12/13/2016	64.19	21.99	0.00	0.00	42.20	Box 6: Gross Proceeds
	5 00000	02/06/2013	12/13/2016	160.49	66.45	0.00	0.00	94.04	Box 6: Gross Proceeds
	153.00000			\$4,069.08	\$1,762.20	\$0.00	\$0.00	\$2,306.88	
Subtotals									
BAKER HUGHES INC CUSIP: 057224107	4 00000	08/13/2012	09/15/2016	196.40	194.41	0.00	0.00	1.99	Box 6: Gross Proceeds
	3 00000	08/13/2012	12/15/2016	194.29	145.80	0.00	0.00	48.49	Box 6: Gross Proceeds
	2 00000	09/26/2012	12/15/2016	129.54	91.65	0.00	0.00	37.89	Box 6: Gross Proceeds
Subtotals									
CIGNA CORPORATION CUSIP: 125509109	9.00000			\$520.23	\$431.86	\$0.00	\$0.00	\$88.37	
	2 00000	08/28/2012	04/11/2016	263.74	89.17	0.00	0.00	174.57	Box 6: Gross Proceeds
	4 00000	08/28/2012	05/26/2016	515.43	178.33	0.00	0.00	337.10	Box 6: Gross Proceeds
	4 00000	09/11/2012	05/26/2016	515.43	185.08	0.00	0.00	330.35	Box 6: Gross Proceeds
	1.00000	02/06/2013	05/26/2016	128.85	59.84	0.00	0.00	69.01	Box 6: Gross Proceeds
	2 00000	04/18/2013	05/26/2016	257.72	128.35	0.00	0.00	129.37	Box 6: Gross Proceeds
	1 00000	08/08/2013	05/26/2016	128.86	78.63	0.00	0.00	50.23	Box 6: Gross Proceeds
Subtotals									
2 00000	08/08/2013	06/02/2016	258.13	157.25	0.00	0.00	0.00	100.88	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CIGNA CORPORATION CUSIP: 125509109	3 00000	08/08/2013	08/31/2016	382.77	235.86	0.00	0.00	146.91	Box 6: Gross Proceeds
	5 00000	02/07/2014	09/06/2016	637.59	389.58	0.00	0.00	248.01	Box 6: Gross Proceeds
	2 00000	02/07/2014	09/13/2016	254.06	155.82	0.00	0.00	98.24	Box 6: Gross Proceeds
	5 00000	04/02/2014	09/13/2016	635.16	412.70	0.00	0.00	222.46	Box 6: Gross Proceeds
	1 00000	04/02/2014	09/15/2016	128.62	82.54	0.00	0.00	46.08	Box 6: Gross Proceeds
	3 00000	04/07/2014	09/15/2016	385.89	244.52	0.00	0.00	141.37	Box 6: Gross Proceeds
Subtotals	1 00000	04/07/2014	09/21/2016	132.47	81.50	0.00	0.00	50.97	Box 6: Gross Proceeds
	36.00000			\$4,624.72	\$2,479.17	\$0.00	\$0.00	\$2,145.55	
CHESAPEAKE ENERGY CORP CUSIP: 165167107	14 00000	01/12/2015	02/08/2016	27.47	248.50	0.00	0.00	-221.03	Box 6: Gross Proceeds
	7 00000	01/26/2015	02/08/2016	13.73	141.54	0.00	0.00	-127.81	Box 6: Gross Proceeds
	14 00000	01/30/2015	02/08/2016	27.47	264.77	0.00	0.00	-237.30	Box 6: Gross Proceeds
	15 00000	02/04/2015	02/08/2016	29.43	307.35	0.00	0.00	-277.92	Box 6: Gross Proceeds
	50.00000			\$98.10	\$962.16	\$0.00	\$0.00	(\$864.06)	
CORNING INC CUSIP: 219350105	42 00000	03/25/2013	05/26/2016	839.14	551.51	0.00	0.00	287.63	Box 6: Gross Proceeds
	35 00000	03/26/2013	05/26/2016	699.30	461.30	0.00	0.00	238.00	Box 6: Gross Proceeds
	7 00000	03/26/2013	09/15/2016	158.05	92.26	0.00	0.00	65.79	Box 6: Gross Proceeds
	21 00000	04/01/2013	09/15/2016	474.17	278.13	0.00	0.00	196.04	Box 6: Gross Proceeds
	7 00000	06/06/2013	09/15/2016	158.06	104.84	0.00	0.00	53.22	Box 6: Gross Proceeds
	9 00000	06/18/2013	09/15/2016	203.22	136.71	0.00	0.00	66.51	Box 6: Gross Proceeds
	9 00000	07/17/2013	09/15/2016	203.22	134.09	0.00	0.00	69.13	Box 6: Gross Proceeds
Subtotals	130.00000			\$2,735.16	\$1,758.84	\$0.00	\$0.00	\$976.32	
GAP INC CUSIP: 364760108	9 00000	04/22/2013	05/10/2016	171.68	329.20	0.00	0.00	-157.52	Box 6: Gross Proceeds
	1 00000	04/22/2013	05/11/2016	18.81	36.57	0.00	0.00	-17.76	Box 6: Gross Proceeds
	11 00000	05/08/2013	05/11/2016	206.96	428.33	0.00	0.00	-221.37	Box 6: Gross Proceeds
	4 00000	01/02/2014	05/11/2016	75.26	156.88	0.00	0.00	-81.62	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
GAP INC CUSIP: 364760108	9.00000	03/31/2014	05/17/2016	155.76	357.79	0.00	0.00	-202.03	Box 6: Gross Proceeds
	4.00000	04/14/2014	05/17/2016	69.22	151.28	0.00	0.00	-82.06	Box 6: Gross Proceeds
	15.00000	07/02/2014	05/17/2016	259.62	626.89	0.00	0.00	-367.27	Box 6: Gross Proceeds
	7.00000	07/02/2014	05/23/2016	126.40	292.54	0.00	0.00	-166.14	Box 6: Gross Proceeds
	4.00000	07/09/2014	05/23/2016	72.23	164.22	0.00	0.00	-91.99	Box 6: Gross Proceeds
	11.00000	09/05/2014	05/23/2016	198.63	488.53	0.00	0.00	-289.90	Box 6: Gross Proceeds
	29.00000	03/10/2015	05/23/2016	523.68	1,185.81	0.00	0.00	-662.13	Box 6: Gross Proceeds
	25.00000	04/10/2015	05/23/2016	451.46	1,030.34	0.00	0.00	-578.88	Box 6: Gross Proceeds
	7.00000	05/12/2015	05/23/2016	126.41	270.22	0.00	0.00	-143.81	Box 6: Gross Proceeds
	4.00000	05/12/2015	05/26/2016	72.59	154.40	0.00	0.00	-81.81	Box 6: Gross Proceeds
Subtotals	140.00000			\$2,528.71	\$5,673.00	\$0.00	\$0.00	(\$3,144.29)	
GILEAD SCIENCES INC CUSIP: 375558103	11.00000	04/10/2015	05/26/2016	938.71	1,124.38	0.00	0.00	-185.67	Box 6: Gross Proceeds
	4.00000	04/13/2015	05/26/2016	341.36	413.71	0.00	0.00	-72.35	Box 6: Gross Proceeds
	1.00000	04/15/2015	05/26/2016	85.34	104.08	0.00	0.00	-18.74	Box 6: Gross Proceeds
	3.00000	04/15/2015	09/15/2016	236.63	312.24	0.00	0.00	-75.61	Box 6: Gross Proceeds
	9.00000	04/17/2015	09/15/2016	709.90	910.50	0.00	0.00	-200.60	Box 6: Gross Proceeds
	2.00000	04/21/2015	09/15/2016	157.76	209.73	0.00	0.00	-51.97	Box 6: Gross Proceeds
	1.00000	04/22/2015	09/15/2016	78.88	104.31	0.00	0.00	-25.43	Box 6: Gross Proceeds
Subtotals	31.00000			\$2,548.58	\$3,178.95	\$0.00	\$0.00	(\$630.37)	
HARTFORD FINL SVCS GROUP INC CUSIP: 416515104	21.00000	01/07/2013	05/26/2016	945.60	508.09	0.00	0.00	437.51	Box 6: Gross Proceeds
	17.00000	01/17/2013	05/26/2016	765.49	412.17	0.00	0.00	353.32	Box 6: Gross Proceeds
	8.00000	02/06/2013	05/26/2016	360.24	194.56	0.00	0.00	165.68	Box 6: Gross Proceeds
	3.00000	02/06/2013	09/15/2016	126.41	72.96	0.00	0.00	53.45	Box 6: Gross Proceeds
	10.00000	03/01/2013	09/15/2016	421.39	236.95	0.00	0.00	184.44	Box 6: Gross Proceeds
	11.00000	03/20/2013	09/15/2016	463.53	285.45	0.00	0.00	178.08	Box 6: Gross Proceeds

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Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
HARTFORD FINL SVCS GROUP INC CUSIP 416515104	4 00000	03/26/2013	09/15/2016	168 56	103 89	0 00	0 00	64.67	Box 6: Gross Proceeds
Subtotals	74.00000			\$3,251.22	\$1,814.07	\$0.00	\$0.00	\$1,437.15	
INTERCONTINENTAL EXCH EXCHANGE CUSIP 45866F104	2 00000	01/27/2015	05/18/2016	521 91	429 01	0 00	0 00	92 90	Box 6: Gross Proceeds
	5 00000	01/30/2015	05/26/2016	1,344 22	1,033 67	0 00	0 00	310 55	Box 6: Gross Proceeds
	1 00000	01/30/2015	09/15/2016	281 72	206 73	0 00	0 00	74 99	Box 6: Gross Proceeds
	1 00000	02/27/2015	09/15/2016	281 72	236 78	0 00	0 00	44 94	Box 6: Gross Proceeds
	1 00000	03/03/2015	09/15/2016	281 73	234 28	0 00	0 00	47 45	Box 6: Gross Proceeds
	1 00000	03/10/2015	09/15/2016	281 73	228 94	0 00	0 00	52 79	Box 6: Gross Proceeds
Subtotals	11.00000			\$2,993.03	\$2,369.41	\$0.00	\$0.00	\$623.62	
JOHNSON & JOHNSON CUSIP 478160104	1 00000	07/30/2012	07/20/2016	125 40	69 53	0 00	0 00	55 87	Box 6: Gross Proceeds
	3 00000	07/30/2012	07/22/2016	374 01	208 57	0 00	0 00	165 44	Box 6: Gross Proceeds
	2 00000	08/20/2012	07/22/2016	249 35	135 47	0 00	0 00	113 88	Box 6: Gross Proceeds
	3 00000	08/20/2012	09/15/2016	355 85	203 21	0 00	0 00	152 64	Box 6: Gross Proceeds
	1 00000	08/20/2012	10/19/2016	114 86	67 73	0 00	0 00	47 13	Box 6: Gross Proceeds
	2 00000	01/17/2013	10/19/2016	229 72	145 48	0 00	0 00	84 24	Box 6: Gross Proceeds
	3 00000	01/17/2013	11/02/2016	345 74	218 22	0 00	0 00	127 52	Box 6: Gross Proceeds
	4 00000	07/02/2014	11/10/2016	479 00	423 47	0 00	0 00	55 53	Box 6: Gross Proceeds
	1 00000	03/10/2015	11/10/2016	119 75	99 89	0 00	0 00	19 86	Box 6: Gross Proceeds
	6 00000	03/10/2015	11/23/2016	677 45	599 33	0 00	0 00	78 12	Box 6: Gross Proceeds
	2 00000	03/10/2015	11/29/2016	225 00	199 77	0 00	0 00	25 23	Box 6: Gross Proceeds
	3 00000	07/01/2015	11/29/2016	337 51	295 32	0 00	0 00	42 19	Box 6: Gross Proceeds
	2 00000	07/28/2015	11/29/2016	225 01	197 95	0 00	0 00	27 06	Box 6: Gross Proceeds
Subtotals	33.00000			\$3,858.65	\$2,863.94	\$0.00	\$0.00	\$994.71	

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
MERCK & CO INC NEW CUSIP: 58933Y105	8 00000	10/03/2013	05/17/2016	432.91	387.04	0.00	0.00	45.87	Box 6: Gross Proceeds
	2 00000	10/03/2013	05/26/2016	113.05	96.76	0.00	0.00	16.29	Box 6: Gross Proceeds
	7 00000	10/04/2013	05/26/2016	395.70	337.40	0.00	0.00	58.30	Box 6: Gross Proceeds
	7 00000	10/15/2013	05/26/2016	395.70	326.60	0.00	0.00	69.10	Box 6: Gross Proceeds
	9 00000	11/08/2013	05/26/2016	508.76	415.55	0.00	0.00	93.21	Box 6: Gross Proceeds
	4 00000	11/19/2013	05/26/2016	226.12	191.74	0.00	0.00	34.38	Box 6: Gross Proceeds
	2 00000	11/19/2013	09/15/2016	124.75	95.87	0.00	0.00	28.88	Box 6: Gross Proceeds
	3 00000	12/27/2013	09/15/2016	187.13	149.22	0.00	0.00	37.91	Box 6: Gross Proceeds
	7 00000	12/31/2013	09/15/2016	436.65	349.45	0.00	0.00	87.20	Box 6: Gross Proceeds
	7 00000	01/23/2014	09/15/2016	436.66	357.88	0.00	0.00	78.78	Box 6: Gross Proceeds
	1 00000	01/27/2014	09/15/2016	62.38	53.31	0.00	0.00	9.07	Box 6: Gross Proceeds
Subtotals	57.00000			\$3,319.81	\$2,760.82	\$0.00	\$0.00	\$558.99	
MICROSOFT CORP CUSIP: 594918104	12 00000	09/03/2013	01/29/2016	658.91	376.49	0.00	0.00	282.42	Box 6: Gross Proceeds
	5 00000	09/03/2013	04/12/2016	273.60	156.87	0.00	0.00	116.73	Box 6: Gross Proceeds
	11 00000	09/03/2013	05/26/2016	569.45	345.10	0.00	0.00	224.35	Box 6: Gross Proceeds
	8 00000	09/13/2013	05/26/2016	414.15	263.52	0.00	0.00	150.63	Box 6: Gross Proceeds
	10 00000	09/17/2013	05/26/2016	517.69	329.90	0.00	0.00	187.79	Box 6: Gross Proceeds
	10 00000	09/20/2013	05/26/2016	517.69	328.70	0.00	0.00	188.99	Box 6: Gross Proceeds
	8 00000	09/23/2013	05/26/2016	414.16	262.08	0.00	0.00	152.08	Box 6: Gross Proceeds
	5 00000	11/25/2013	05/26/2016	258.85	188.30	0.00	0.00	70.55	Box 6: Gross Proceeds
	2 00000	11/25/2013	08/10/2016	115.95	75.32	0.00	0.00	40.63	Box 6: Gross Proceeds
	4 00000	12/17/2013	08/10/2016	231.92	147.68	0.00	0.00	84.24	Box 6: Gross Proceeds
	4 00000	12/17/2013	08/16/2016	229.83	147.68	0.00	0.00	82.15	Box 6: Gross Proceeds
	12 00000	12/18/2013	09/15/2016	686.50	432.00	0.00	0.00	254.50	Box 6: Gross Proceeds
	4 00000	12/27/2013	09/15/2016	228.83	149.28	0.00	0.00	79.55	Box 6: Gross Proceeds
	10 00000	01/23/2014	09/15/2016	572.09	356.79	0.00	0.00	215.30	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
MICROSOFT CORP CUSIP: 594918104	4 00000	02/21/2014	09/15/2016	228 84	152 00	0 00	0 00	76 84	Box 6: Gross Proceeds
Subtotals	109.00000			\$5,918.46	\$3,711.71	\$0.00	\$0.00	\$2,206.75	
NETAPP INC CUSIP: 64110D104	6 00000	07/31/2014	01/07/2016	143 20	233 18	0 00	0 00	-89 98	Box 6: Gross Proceeds
	9 00000	08/04/2014	01/07/2016	214 81	347 82	0 00	0 00	-133 01	Box 6: Gross Proceeds
	6 00000	08/14/2014	01/07/2016	143 21	248 07	0 00	0 00	-104 86	Box 6: Gross Proceeds
	10 00000	08/20/2014	01/07/2016	238 68	408 66	0 00	0 00	-169 98	Box 6: Gross Proceeds
	2 00000	09/22/2014	01/07/2016	47 73	85 98	0 00	0 00	-38 25	Box 6: Gross Proceeds
	10 00000	12/09/2014	01/07/2016	238 68	426 04	0 00	0 00	-187 36	Box 6: Gross Proceeds
	2 00000	12/22/2014	01/07/2016	47 74	85 49	0 00	0 00	-37 75	Box 6: Gross Proceeds
	7 00000	01/02/2015	01/07/2016	167 09	287 72	0 00	0 00	-120 63	Box 6: Gross Proceeds
	2 00000	01/02/2015	01/14/2016	46 10	82 20	0 00	0 00	-36 10	Box 6: Gross Proceeds
Subtotals	54.00000			\$1,287.24	\$2,205.16	\$0.00	\$0.00	(\$917.92)	
PENNEY J C CO INC CUSIP: 708160106	53 00000	09/05/2014	05/26/2016	409 89	588 83	0 00	0 00	-178 94	Box 6: Gross Proceeds
	13 00000	09/16/2014	05/26/2016	100 54	143 78	0 00	0 00	-43 24	Box 6: Gross Proceeds
	42 00000	09/22/2014	05/26/2016	324 82	427 78	0 00	0 00	-102 96	Box 6: Gross Proceeds
	60 00000	10/01/2014	05/26/2016	464 03	577 65	0 00	0 00	-113 62	Box 6: Gross Proceeds
	17 00000	10/03/2014	05/26/2016	131 47	169 65	0 00	0 00	-38 18	Box 6: Gross Proceeds
	21 00000	10/07/2014	05/26/2016	162 42	199 05	0 00	0 00	-36 63	Box 6: Gross Proceeds
	10 00000	10/07/2014	09/15/2016	100 74	94 78	0 00	0 00	5 96	Box 6: Gross Proceeds
	43 00000	10/08/2014	09/15/2016	433 22	349 81	0 00	0 00	83 41	Box 6: Gross Proceeds
	65 00000	11/13/2014	09/15/2016	654 86	462 69	0 00	0 00	192 17	Box 6: Gross Proceeds
	31 00000	12/02/2014	09/15/2016	312 33	229 16	0 00	0 00	83 17	Box 6: Gross Proceeds
Subtotals	355.00000			\$3,094.32	\$3,243.18	\$0.00	\$0.00	(\$148.86)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
PEPSICO INCORPORATED CUSIP: 713448108	7.00000	08/13/2012	05/26/2016	712.53	504.71	0.00	0.00	207.82	Box 6: Gross Proceeds
	1.00000	12/05/2012	05/26/2016	101.79	69.51	0.00	0.00	32.28	Box 6: Gross Proceeds
	3.00000	12/05/2012	07/19/2016	327.05	208.52	0.00	0.00	118.53	Box 6: Gross Proceeds
	10.00000	12/28/2012	09/15/2016	1,054.98	684.72	0.00	0.00	370.26	Box 6: Gross Proceeds
Subtotals	21.00000			\$2,196.35	\$1,467.46	\$0.00	\$0.00	\$728.89	
SEALED AIR CORP NEW CUSIP: 81211K100	4.00000	12/19/2012	04/28/2016	196.25	69.22	0.00	0.00	127.03	Box 6: Gross Proceeds
	10.00000	12/19/2012	05/26/2016	464.58	173.03	0.00	0.00	291.55	Box 6: Gross Proceeds
	15.00000	12/19/2012	05/26/2016	696.88	250.66	0.00	0.00	446.22	Box 6: Gross Proceeds
	19.00000	12/24/2012	05/26/2016	882.73	332.70	0.00	0.00	550.03	Box 6: Gross Proceeds
	2.00000	12/27/2012	05/26/2016	92.92	34.76	0.00	0.00	58.16	Box 6: Gross Proceeds
	8.00000	12/27/2012	08/09/2016	374.18	139.03	0.00	0.00	235.15	Box 6: Gross Proceeds
	10.00000	12/27/2012	09/15/2016	462.58	173.77	0.00	0.00	288.81	Box 6: Gross Proceeds
	14.00000	12/28/2012	09/15/2016	647.63	240.42	0.00	0.00	407.21	Box 6: Gross Proceeds
	1.00000	12/31/2012	09/15/2016	46.26	17.48	0.00	0.00	28.78	Box 6: Gross Proceeds
	9.00000	12/31/2012	09/27/2016	417.10	157.25	0.00	0.00	259.85	Box 6: Gross Proceeds
	5.00000	12/31/2012	09/30/2016	229.29	87.36	0.00	0.00	141.93	Box 6: Gross Proceeds
	1.00000	01/23/2013	09/30/2016	45.86	18.91	0.00	0.00	26.95	Box 6: Gross Proceeds
	4.00000	01/23/2013	10/19/2016	177.45	75.60	0.00	0.00	101.85	Box 6: Gross Proceeds
	4.00000	02/06/2013	10/25/2016	169.64	77.52	0.00	0.00	92.12	Box 6: Gross Proceeds
	8.00000	02/06/2013	10/27/2016	359.24	155.04	0.00	0.00	204.20	Box 6: Gross Proceeds
	4.00000	04/03/2013	10/27/2016	179.63	88.48	0.00	0.00	91.15	Box 6: Gross Proceeds
	4.00000	04/03/2013	11/10/2016	187.95	88.48	0.00	0.00	99.47	Box 6: Gross Proceeds
	10.00000	05/02/2013	11/10/2016	469.87	220.60	0.00	0.00	249.27	Box 6: Gross Proceeds
	6.00000	06/21/2013	11/10/2016	281.93	139.26	0.00	0.00	142.67	Box 6: Gross Proceeds
Subtotals	138.00000			\$6,381.97	\$2,539.57	\$0.00	\$0.00	\$3,842.40	

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1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SYSCO CORPORATION CUSIP 871829107	10 00000	06/02/2014	01/21/2016	395 20	375 75	0 00	0 00	19 45	Box 6: Gross Proceeds
	5 00000	06/02/2014	04/21/2016	229 12	187 88	0 00	0 00	41 24	Box 6: Gross Proceeds
	4 00000	06/02/2014	05/10/2016	200 45	150 30	0 00	0 00	50 15	Box 6: Gross Proceeds
	3 00000	06/02/2014	05/17/2016	148 10	112 72	0 00	0 00	35 38	Box 6: Gross Proceeds
	1 00000	06/02/2014	05/26/2016	48 72	37 57	0 00	0 00	11 15	Box 6: Gross Proceeds
	9 00000	06/05/2014	05/26/2016	438 51	335 87	0 00	0 00	102 64	Box 6: Gross Proceeds
	10 00000	06/16/2014	05/26/2016	487 24	368 05	0 00	0 00	119 19	Box 6: Gross Proceeds
	5 00000	06/20/2014	05/26/2016	243 62	186 37	0 00	0 00	57 25	Box 6: Gross Proceeds
	10 00000	06/25/2014	05/26/2016	487 24	374 34	0 00	0 00	112 90	Box 6: Gross Proceeds
	3 00000	06/30/2014	05/26/2016	146 18	112 71	0 00	0 00	33 47	Box 6: Gross Proceeds
	1 00000	06/30/2014	07/12/2016	51 02	37 56	0 00	0 00	13 46	Box 6: Gross Proceeds
	1 00000	07/10/2014	07/12/2016	51 02	37 07	0 00	0 00	13 95	Box 6: Gross Proceeds
	4 00000	07/10/2014	08/11/2016	207 50	148 28	0 00	0 00	59 22	Box 6: Gross Proceeds
	3 00000	08/04/2014	08/11/2016	155 63	107 37	0 00	0 00	48 26	Box 6: Gross Proceeds
	2 00000	08/04/2014	08/15/2016	103 90	71 58	0 00	0 00	32 32	Box 6: Gross Proceeds
	3 00000	08/11/2014	08/15/2016	155 85	112 23	0 00	0 00	43 62	Box 6: Gross Proceeds
	2 00000	08/20/2014	08/15/2016	103 91	74 99	0 00	0 00	28 92	Box 6: Gross Proceeds
	6 00000	08/20/2014	08/22/2016	315 65	224 97	0 00	0 00	90 68	Box 6: Gross Proceeds
	7 00000	09/24/2014	08/29/2016	366 64	263 40	0 00	0 00	103 24	Box 6: Gross Proceeds
	3 00000	09/24/2014	09/08/2016	158 39	112 88	0 00	0 00	45 51	Box 6: Gross Proceeds
	2 00000	10/13/2014	09/08/2016	105 60	72 88	0 00	0 00	32 72	Box 6: Gross Proceeds
	1 00000	12/19/2014	09/08/2016	52 80	41 01	0 00	0 00	11 79	Box 6: Gross Proceeds
	7 00000	12/19/2014	09/15/2016	347 05	287 02	0 00	0 00	60 03	Box 6: Gross Proceeds
	8 00000	02/03/2015	09/15/2016	396 63	313 61	0 00	0 00	83 02	Box 6: Gross Proceeds
	1 00000	03/03/2015	09/15/2016	49 58	38 74	0 00	0 00	10 84	Box 6: Gross Proceeds
	7 00000	03/03/2015	10/19/2016	336 06	271 15	0 00	0 00	64 91	Box 6: Gross Proceeds
	1 00000	03/04/2015	10/19/2016	48 01	38 74	0 00	0 00	9 27	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SYSICO CORPORATION CUSIP: 871829107	4 00000	03/04/2015	11/07/2016	211.08	154.96	0.00	0.00	56.12	Box 6: Gross Proceeds
	9 00000	03/10/2015	11/07/2016	474.94	346.50	0.00	0.00	128.44	Box 6: Gross Proceeds
	3 00000	03/10/2015	11/11/2016	158.67	115.50	0.00	0.00	43.17	Box 6: Gross Proceeds
Subtotals	135.00000			\$6,674.31	\$5,112.00	\$0.00	\$0.00	\$1,562.31	
TRAVELERS COS INC/ THE CUSIP: 89417E109	2 00000	03/31/2014	11/10/2016	218.65	169.44	0.00	0.00	49.21	Box 6: Gross Proceeds
	5 00000	07/22/2014	11/10/2016	546.63	457.60	0.00	0.00	89.03	Box 6: Gross Proceeds
	15 00000	03/10/2015	11/10/2016	1,639.89	1,572.75	0.00	0.00	67.14	Box 6: Gross Proceeds
	2 00000	05/18/2015	11/10/2016	218.65	206.45	0.00	0.00	12.20	Box 6: Gross Proceeds
	3 00000	07/28/2015	11/10/2016	327.98	317.50	0.00	0.00	10.48	Box 6: Gross Proceeds
Subtotals	27.00000			\$2,951.80	\$2,723.74	\$0.00	\$0.00	\$228.06	
ZIONS BANCORP CUSIP: 989701107	27 00000	07/01/2015	09/15/2016	819.43	862.39	0.00	0.00	-42.96	Box 6: Gross Proceeds
	4 00000	07/01/2015	11/18/2016	152.82	127.76	0.00	0.00	25.06	Box 6: Gross Proceeds
	6 00000	07/08/2015	11/18/2016	229.23	178.85	0.00	0.00	50.38	Box 6: Gross Proceeds
	2 00000	07/08/2015	12/28/2016	87.20	59.61	0.00	0.00	27.59	Box 6: Gross Proceeds
	5 00000	07/17/2015	12/28/2016	218.03	152.39	0.00	0.00	65.64	Box 6: Gross Proceeds
Subtotals	44.00000			\$1,506.71	\$1,381.00	\$0.00	\$0.00	\$125.71	
JOHNSON CTLS INTL PLC CUSIP: G51502105	0 04915	12/21/2012	09/06/2016	2.34	1.50	0.00	0.00	0.84	Box 6: Gross Proceeds
	0.00756	02/06/2013	09/06/2016	0.36	0.24	0.00	0.00	0.12	Box 6: Gross Proceeds
	0 04159	03/28/2013	09/06/2016	1.98	1.37	0.00	0.00	0.61	Box 6: Gross Proceeds
	0 08317	06/19/2013	09/06/2016	3.96	2.92	0.00	0.00	1.04	Box 6: Gross Proceeds
	0.03024	06/28/2013	09/06/2016	1.44	1.04	0.00	0.00	0.40	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
JOHNSON CTLS INTL PLC CUSIP: G51502105	0.04915	07/12/2013	09/06/2016	2.34	1.80	0.00	0.00	0.54	Box 6: Gross Proceeds Cash in Lieu
	0.03024	04/25/2014	09/06/2016	1.44	1.30	0.00	0.00	0.14	Box 6: Gross Proceeds Cash in Lieu
	0.09073	03/10/2015	09/06/2016	4.32	3.97	0.00	0.00	0.35	Box 6: Gross Proceeds Cash in Lieu
	0.03024	07/28/2015	09/06/2016	1.44	1.16	0.00	0.00	0.28	Box 6: Gross Proceeds Cash in Lieu
Subtotals	0.41207			\$19.62	\$15.30	\$0.00	\$0.00	\$4.32	
NABORS INDUSTRIES LTD CUSIP: G6359F103	4.00000	02/06/2013	09/15/2016	38.28	65.56	0.00	0.00	-27.28	Box 6: Gross Proceeds
	10.00000	03/11/2013	09/15/2016	95.70	164.96	0.00	0.00	-69.26	Box 6: Gross Proceeds
	1.00000	03/11/2013	12/15/2016	15.97	16.49	0.00	0.00	-0.52	Box 6: Gross Proceeds
	18.00000	04/08/2013	12/15/2016	287.51	283.13	0.00	0.00	4.38	Box 6: Gross Proceeds
	18.00000	10/24/2013	12/15/2016	287.53	300.38	0.00	0.00	-12.85	Box 6: Gross Proceeds
Subtotals	51.00000			\$724.99	\$830.52	\$0.00	\$0.00	(\$105.53)	
PENTAIR PLC CUSIP: G7500T104	3.00000	12/21/2012	08/31/2016	191.51	145.35	0.00	0.00	46.16	Box 6: Gross Proceeds
	12.00000	12/21/2012	09/15/2016	707.74	581.37	0.00	0.00	126.37	Box 6: Gross Proceeds
Subtotals	15.00000			\$899.25	\$726.72	\$0.00	\$0.00	\$172.53	
Totals				\$82,664.12	\$72,558.65	\$0.00	\$0.00	\$10,105.47	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ADT CORP/THE CUSIP 00101J106	10.00000	09/17/2008	03/10/2016	408 67	247 78	0.00	0.00	160 89	Box 6: Gross Proceeds
	2 00000	05/18/2009	03/10/2016	81 73	34 33	0.00	0.00	47 40	Box 6: Gross Proceeds
	10.00000	06/23/2009	03/10/2016	408 67	176 00	0.00	0.00	232 67	Box 6: Gross Proceeds
	4 00000	07/30/2009	03/10/2016	163 47	87 07	0.00	0.00	76 40	Box 6: Gross Proceeds
	4 00000	03/23/2012	03/10/2016	163 48	137 63	0.00	0.00	25 85	Box 6: Gross Proceeds
Subtotals	30.00000			\$1,226.02	\$682.81	\$0.00	\$0.00	\$543.21	
AES CORP CUSIP 00130H105	33 00000	06/29/2011	05/26/2016	362 33	415 70	0.00	0.00	-53 37	Box 6: Gross Proceeds
	10 00000	07/01/2011	05/26/2016	109 79	129 40	0.00	0.00	-19 61	Box 6: Gross Proceeds
	26 00000	07/05/2011	05/26/2016	285 47	334 90	0.00	0.00	-49 43	Box 6: Gross Proceeds
	19 00000	07/06/2011	05/26/2016	208 62	246 43	0.00	0.00	-37 81	Box 6: Gross Proceeds
	15 00000	07/11/2011	05/26/2016	164 70	191 30	0.00	0.00	-26 60	Box 6: Gross Proceeds
	19 00000	07/18/2011	05/26/2016	208 62	233 23	0.00	0.00	-24 61	Box 6: Gross Proceeds
	3 00000	07/18/2011	09/15/2016	37 64	36 82	0.00	0.00	0.82	Box 6: Gross Proceeds
	11 00000	07/22/2011	09/15/2016	138 04	139 59	0.00	0.00	-1 55	Box 6: Gross Proceeds
	10 00000	08/01/2011	09/15/2016	125 50	123 50	0.00	0.00	2 00	Box 6: Gross Proceeds
	9 00000	08/05/2011	09/15/2016	112 95	95 58	0.00	0.00	17 37	Box 6: Gross Proceeds
	11 00000	09/28/2011	09/15/2016	138 05	112 47	0.00	0.00	25 58	Box 6: Gross Proceeds
	18 00000	09/29/2011	09/15/2016	225 90	182 94	0.00	0.00	42 96	Box 6: Gross Proceeds
	22 00000	11/23/2011	09/15/2016	276 10	243 32	0.00	0.00	32 78	Box 6: Gross Proceeds
Subtotals	206.00000			\$2,393.71	\$2,485.18	\$0.00	\$0.00	(\$91.47)	
AT & T INC CUSIP: 00206R102	3 00000	11/15/2006	05/02/2016	116 97	96 30	0.00	0.00	20 67	Box 6: Gross Proceeds
	2 00000	11/15/2006	05/17/2016	78 16	64 20	0.00	0.00	13 96	Box 6: Gross Proceeds
	32 00000	11/15/2006	05/26/2016	1,247 01	1,027 20	0.00	0.00	219 81	Box 6: Gross Proceeds
	1 00000	11/15/2006	07/19/2016	42 74	32 10	0.00	0.00	10 64	Box 6: Gross Proceeds
	2 00000	11/15/2006	09/15/2016	80 83	64 20	0.00	0.00	16 63	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AT & T INC CUSIP: 00206R102	15 00000	11/16/2006	09/15/2016	606 30	484 05	0 00	0 00	122 25	Box 6: Gross Proceeds
Subtotals	55.00000			\$2,172.01	\$1,768.05	\$0.00	\$0.00	\$403.96	
AMERICAN ELECTRIC POWER INC CUSIP: 025537101	1 00000	04/19/2007	04/19/2016	65 74	49 81	0 00	0 00	15 93	Box 6: Gross Proceeds
	6 00000	04/19/2007	04/21/2016	374 45	288 83	0 00	0 00	75 62	Box 6: Gross Proceeds
	3 00000	04/19/2007	05/02/2016	191 22	149 42	0 00	0 00	41 80	Box 6: Gross Proceeds
	7 00000	04/19/2007	05/17/2016	450 14	348 63	0 00	0 00	101 51	Box 6: Gross Proceeds
	11 00000	06/04/2008	05/17/2016	707 38	473 49	0 00	0 00	233 89	Box 6: Gross Proceeds
	14 00000	06/04/2008	05/26/2016	897 80	602 62	0 00	0 00	295 18	Box 6: Gross Proceeds
	4 00000	06/04/2008	07/07/2016	281 07	172 18	0 00	0 00	108 89	Box 6: Gross Proceeds
	8 00000	06/04/2008	07/19/2016	555 14	344 35	0 00	0 00	210 79	Box 6: Gross Proceeds
	5 00000	06/04/2008	07/26/2016	347 71	215 22	0 00	0 00	132 49	Box 6: Gross Proceeds
	13 00000	06/04/2008	07/29/2016	901 60	559 56	0 00	0 00	342 04	Box 6: Gross Proceeds
	7 00000	02/23/2012	07/29/2016	485 48	267 36	0 00	0 00	218 12	Box 6: Gross Proceeds
Subtotals	79.00000			\$5,257.73	\$3,481.47	\$0.00	\$0.00	\$1,776.26	
AMERIPRISE FINANCIAL INC CUSIP: 03076C106	2 00000	08/18/2009	03/10/2016	177 94	55 95	0 00	0 00	121 99	Box 6: Gross Proceeds
	3 00000	09/09/2009	03/10/2016	266 92	88 08	0 00	0 00	178 84	Box 6: Gross Proceeds
	4 00000	09/09/2009	03/21/2016	374 94	117 44	0 00	0 00	257 50	Box 6: Gross Proceeds
	1 00000	09/09/2009	05/17/2016	94 93	29 35	0 00	0 00	65 58	Box 6: Gross Proceeds
	4.00000	12/17/2009	05/17/2016	379 74	151 94	0 00	0 00	227 80	Box 6: Gross Proceeds
	1 00000	12/17/2009	05/26/2016	100 47	37 98	0 00	0 00	62 49	Box 6: Gross Proceeds
	7 00000	02/05/2010	05/26/2016	703 31	264 90	0 00	0 00	438 41	Box 6: Gross Proceeds
	5 00000	03/18/2010	05/26/2016	502 36	224 02	0 00	0 00	278 34	Box 6: Gross Proceeds
	1 00000	04/16/2010	05/26/2016	100 48	47 11	0 00	0 00	53 37	Box 6: Gross Proceeds
	7 00000	04/16/2010	06/27/2016	597 46	329 74	0 00	0 00	267 72	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1A)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1C)	Proceeds (Box 1D)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
AMERIPRISE FINANCIAL INC CUSIP: 03076C106	12 00000	05/26/2010	07/01/2016	1,075.89	473.48	0.00	0.00	602.41	Box 6: Gross Proceeds
	4 00000	06/30/2010	07/01/2016	358.63	145.74	0.00	0.00	212.89	Box 6: Gross Proceeds
	6 00000	10/11/2010	07/01/2016	537.95	297.89	0.00	0.00	240.06	Box 6: Gross Proceeds
	3 00000	02/03/2011	07/01/2016	268.97	174.33	0.00	0.00	94.64	Box 6: Gross Proceeds
	3 00000	06/03/2011	07/01/2016	268.97	173.85	0.00	0.00	95.12	Box 6: Gross Proceeds
	3 00000	06/27/2011	07/01/2016	268.97	167.09	0.00	0.00	101.88	Box 6: Gross Proceeds
	5 00000	08/31/2011	07/01/2016	448.29	229.65	0.00	0.00	218.64	Box 6: Gross Proceeds
	5 00000	06/26/2012	07/01/2016	448.29	247.34	0.00	0.00	200.95	Box 6: Gross Proceeds
Subtotals	76.00000			\$6,974.51	\$3,255.88	\$0.00	\$0.00	\$3,718.63	
BAKER HUGHES INC CUSIP: 057224107	2 00000	03/30/2011	05/26/2016	91.59	148.52	0.00	0.00	-54.93	Box 6: Gross Proceeds
	1 00000	08/24/2011	05/26/2016	45.79	55.33	0.00	0.00	-9.54	Box 6: Gross Proceeds
	3 00000	09/07/2011	05/26/2016	137.39	180.51	0.00	0.00	-43.12	Box 6: Gross Proceeds
	4 00000	09/20/2011	05/26/2016	183.20	239.03	0.00	0.00	-55.83	Box 6: Gross Proceeds
	6 00000	09/28/2011	05/26/2016	274.80	304.77	0.00	0.00	-29.97	Box 6: Gross Proceeds
	1 00000	09/28/2011	05/26/2016	45.80	50.79	0.00	0.00	-4.99	Box 6: Gross Proceeds
	2 00000	09/30/2011	05/26/2016	91.60	93.15	0.00	0.00	-1.55	Box 6: Gross Proceeds
	7 00000	11/01/2011	05/26/2016	320.60	371.30	0.00	0.00	-50.70	Box 6: Gross Proceeds
	2 00000	01/25/2012	05/26/2016	91.60	93.76	0.00	0.00	-2.16	Box 6: Gross Proceeds
	2 00000	03/21/2012	05/26/2016	98.19	90.06	0.00	0.00	1.54	Box 6: Gross Proceeds
	2 00000	03/21/2012	09/15/2016	245.49	90.05	0.00	0.00	8.14	Box 6: Gross Proceeds
	5 00000	04/24/2012	09/15/2016	687.39	217.34	0.00	0.00	28.15	Box 6: Gross Proceeds
	14 00000	06/25/2012	09/15/2016	294.60	532.11	0.00	0.00	155.28	Box 6: Gross Proceeds
	6 00000	06/26/2012	09/15/2016	294.60	227.40	0.00	0.00	67.20	Box 6: Gross Proceeds
Subtotals	57.00000			\$2,699.64	\$2,692.12	\$0.00	\$0.00	\$7.52	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
CHEVRON CORPORATION CUSIP: 166764100	21 00000	02/05/2007	05/26/2016	2,131.87	1,558.20	0.00	0.00	573.67	Box 6: Gross Proceeds
	3 00000	04/19/2007	05/26/2016	304.56	231.69	0.00	0.00	72.87	Box 6: Gross Proceeds
	14 00000	04/19/2007	09/15/2016	1,390.87	1,081.21	0.00	0.00	309.66	Box 6: Gross Proceeds
Subtotals	38 00000			\$3,827.30	\$2,871.10	\$0.00	\$0.00	\$956.20	
CISCO SYSTEMS INC CUSIP: 17275R102	2 00000	07/22/2011	05/17/2016	53.20	32.52	0.00	0.00	20.68	Box 6: Gross Proceeds
	9 00000	08/09/2011	05/17/2016	239.45	124.58	0.00	0.00	114.87	Box 6: Gross Proceeds
	13 00000	08/09/2011	05/26/2016	374.91	179.94	0.00	0.00	194.97	Box 6: Gross Proceeds
	2 00000	08/10/2011	05/26/2016	57.67	28.18	0.00	0.00	29.49	Box 6: Gross Proceeds
	3 00000	08/31/2011	05/26/2016	86.51	47.54	0.00	0.00	38.97	Box 6: Gross Proceeds
	22 00000	10/05/2011	05/26/2016	634.47	357.38	0.00	0.00	277.09	Box 6: Gross Proceeds
	7 00000	11/10/2011	05/26/2016	201.87	130.19	0.00	0.00	71.68	Box 6: Gross Proceeds
	16 00000	11/23/2011	05/26/2016	461.44	282.24	0.00	0.00	179.20	Box 6: Gross Proceeds
	17 00000	12/14/2011	05/26/2016	490.28	305.15	0.00	0.00	185.13	Box 6: Gross Proceeds
	1 00000	12/14/2011	06/07/2016	29.07	17.95	0.00	0.00	11.12	Box 6: Gross Proceeds
	4 00000	12/21/2011	06/07/2016	116.28	72.48	0.00	0.00	43.80	Box 6: Gross Proceeds
	8 00000	12/21/2011	09/15/2016	250.63	144.96	0.00	0.00	105.67	Box 6: Gross Proceeds
	6 00000	03/15/2012	09/15/2016	187.97	119.75	0.00	0.00	68.22	Box 6: Gross Proceeds
	10 00000	03/27/2012	09/15/2016	313.29	209.90	0.00	0.00	103.39	Box 6: Gross Proceeds
	9 00000	04/04/2012	09/15/2016	281.97	183.51	0.00	0.00	98.46	Box 6: Gross Proceeds
	12 00000	05/11/2012	09/15/2016	375.96	200.02	0.00	0.00	175.94	Box 6: Gross Proceeds
	3 00000	05/21/2012	09/15/2016	93.99	49.38	0.00	0.00	44.61	Box 6: Gross Proceeds
Subtotals	144 00000			\$4,248.96	\$2,485.67	\$0.00	\$0.00	\$1,763.29	
CITIGROUP INC NEW CUSIP: 172967424	9 00000	11/22/2011	01/19/2016	379.92	224.16	0.00	0.00	155.76	Box 6: Gross Proceeds
	2 00000	11/22/2011	05/26/2016	92.51	49.81	0.00	0.00	42.70	Box 6: Gross Proceeds
	6 00000	11/23/2011	05/26/2016	277.55	141.71	0.00	0.00	135.84	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
CITIGROUP INC NEW CUSIP: 172967424	4 00000	12/08/2011	05/26/2016	185 03	113 27	0 00	0 00	71 76	Box 6: Gross Proceeds
	8 00000	12/12/2011	05/26/2016	370 07	216 25	0 00	0 00	153 82	Box 6: Gross Proceeds
	5 00000	12/21/2011	05/26/2016	231 30	128 58	0 00	0 00	102 72	Box 6: Gross Proceeds
	8 00000	01/13/2012	05/26/2016	370 08	246 31	0 00	0 00	123 77	Box 6: Gross Proceeds
	7 00000	01/17/2012	05/26/2016	323 82	204 23	0 00	0 00	119 59	Box 6: Gross Proceeds
	3 00000	01/23/2012	05/26/2016	138 78	90 72	0 00	0 00	48 06	Box 6: Gross Proceeds
	6 00000	01/23/2012	09/15/2016	282 71	181 44	0 00	0 00	101 27	Box 6: Gross Proceeds
	5 00000	01/27/2012	09/15/2016	235 59	152 74	0 00	0 00	82 85	Box 6: Gross Proceeds
	4 00000	02/14/2012	09/15/2016	188 47	126 67	0 00	0 00	61 80	Box 6: Gross Proceeds
	2 00000	03/09/2012	09/15/2016	94 24	69 08	0 00	0 00	25 16	Box 6: Gross Proceeds
	7 00000	03/14/2012	09/15/2016	329 84	246 51	0 00	0 00	83 33	Box 6: Gross Proceeds
	5 00000	04/13/2012	09/15/2016	235 60	169 70	0 00	0 00	65 90	Box 6: Gross Proceeds
	81.00000			\$3,735.51	\$2,361.18	\$0.00	\$0.00	\$1,374.33	
Subtotals									
COMCAST CORP NEW CL A CUSIP: 20030N101	8 00000	12/28/2007	04/29/2016	484 01	147 28	0 00	0 00	336 73	Box 6: Gross Proceeds
	12 00000	12/28/2007	05/17/2016	729 54	220 92	0 00	0 00	508 62	Box 6: Gross Proceeds
	23 00000	12/28/2007	05/26/2016	1,437 93	423 43	0 00	0 00	1,014 50	Box 6: Gross Proceeds
	29.00000	09/23/2008	05/26/2016	1,813 05	565 25	0 00	0 00	1,247 80	Box 6: Gross Proceeds
	3 00000	09/23/2008	08/11/2016	201 91	58 48	0 00	0 00	143 43	Box 6: Gross Proceeds
Subtotals									
DANA INC CUSIP: 235825205	30 00000	09/23/2008	09/15/2016	1,985 06	584 74	0 00	0 00	1,400 32	Box 6: Gross Proceeds
	105.00000			\$6,651.50	\$2,000.10	\$0.00	\$0.00	\$4,651.40	
	17.00000	12/14/2010	02/23/2016	202 72	288 72	0 00	0 00	-86 00	Box 6: Gross Proceeds
	16 00000	01/05/2011	02/23/2016	190 80	283 35	0 00	0 00	-92 55	Box 6: Gross Proceeds
	14 00000	01/12/2011	02/23/2016	166 96	255 08	0 00	0 00	-88 12	Box 6: Gross Proceeds
Subtotals									
	7 00000	01/18/2011	02/23/2016	83 48	131 71	0 00	0 00	-48 23	Box 6: Gross Proceeds
	5 00000	01/19/2011	02/23/2016	59 63	90 28	0 00	0 00	-30 65	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
DANA INC CUSIP: 235825205	17.00000	01/19/2011	05/17/2016	202.74	306.92	0.00	0.00	-104.18	Box 6: Gross Proceeds
	5.00000	01/21/2011	05/17/2016	59.64	87.60	0.00	0.00	-27.96	Box 6: Gross Proceeds
	5.00000	01/21/2011	05/26/2016	60.04	87.59	0.00	0.00	-27.55	Box 6: Gross Proceeds
	6.00000	01/24/2011	05/26/2016	72.05	105.32	0.00	0.00	-33.27	Box 6: Gross Proceeds
	4.00000	01/31/2011	05/26/2016	48.04	70.36	0.00	0.00	-22.32	Box 6: Gross Proceeds
	46.00000	07/15/2011	05/26/2016	552.46	842.07	0.00	0.00	-289.61	Box 6: Gross Proceeds
	11.00000	08/03/2011	05/26/2016	132.11	170.03	0.00	0.00	-37.92	Box 6: Gross Proceeds
	5.00000	08/03/2011	06/13/2016	58.90	77.28	0.00	0.00	-18.38	Box 6: Gross Proceeds
	11.00000	08/08/2011	06/13/2016	129.61	122.90	0.00	0.00	6.71	Box 6: Gross Proceeds
	17.00000	08/08/2011	09/15/2016	252.52	189.93	0.00	0.00	62.59	Box 6: Gross Proceeds
	6.00000	04/26/2012	09/15/2016	89.12	89.95	0.00	0.00	-0.83	Box 6: Gross Proceeds
	7.00000	05/31/2012	09/15/2016	103.98	91.69	0.00	0.00	12.29	Box 6: Gross Proceeds
	7.00000	06/08/2012	09/15/2016	103.98	88.89	0.00	0.00	15.09	Box 6: Gross Proceeds
	15.00000	06/25/2012	09/15/2016	222.84	181.63	0.00	0.00	41.21	Box 6: Gross Proceeds
Subtotals	221.00000			\$2,791.62	\$3,561.30	\$0.00	\$0.00	(\$769.68)	
GAP INC CUSIP: 364760108	12.00000	07/16/2008	04/08/2016	290.19	181.39	0.00	0.00	108.80	Box 6: Gross Proceeds
	13.00000	07/16/2008	05/10/2016	247.96	196.49	0.00	0.00	51.47	Box 6: Gross Proceeds
	22.00000	11/20/2008	05/10/2016	419.63	224.25	0.00	0.00	195.38	Box 6: Gross Proceeds
	19.00000	07/09/2009	05/10/2016	362.41	285.41	0.00	0.00	77.00	Box 6: Gross Proceeds
	7.00000	08/09/2010	05/10/2016	133.52	129.56	0.00	0.00	3.96	Box 6: Gross Proceeds
	4.00000	06/03/2011	05/10/2016	76.29	72.43	0.00	0.00	3.86	Box 6: Gross Proceeds
	21.00000	08/08/2011	05/10/2016	400.56	331.92	0.00	0.00	68.64	Box 6: Gross Proceeds
	9.00000	01/19/2012	05/10/2016	171.67	172.26	0.00	0.00	-0.59	Box 6: Gross Proceeds
Subtotals	107.00000			\$2,102.23	\$1,593.71	\$0.00	\$0.00	\$508.52	

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Proceeds from Broker and Barter Exchange Transactions, continued

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
GENERAL ELECTRIC COMPANY CUSIP: 369604103	12 00000	01/14/2009	02/18/2016	348 71	169 76	0 00	0 00	178 95	Box 6: Gross Proceeds
	9 00000	01/14/2009	03/07/2016	272 76	127 32	0 00	0 00	145 44	Box 6: Gross Proceeds
	12 00000	02/23/2009	03/07/2016	363 70	107 40	0 00	0 00	256 30	Box 6: Gross Proceeds
	1 00000	02/23/2009	04/04/2016	31 29	8 95	0 00	0 00	22 34	Box 6: Gross Proceeds
	22 00000	02/23/2009	05/17/2016	653 13	196 90	0 00	0 00	456 23	Box 6: Gross Proceeds
	25 00000	02/23/2009	05/26/2016	754 73	223 74	0 00	0 00	530 99	Box 6: Gross Proceeds
	14 00000	03/12/2009	05/26/2016	422 65	121 12	0 00	0 00	301 53	Box 6: Gross Proceeds
	20 00000	03/26/2010	05/26/2016	603 78	369 16	0 00	0 00	234 62	Box 6: Gross Proceeds
	26 00000	04/15/2010	05/26/2016	784 92	509 70	0 00	0 00	275 22	Box 6: Gross Proceeds
	17 00000	03/25/2011	05/26/2016	513 23	336 55	0 00	0 00	176 68	Box 6: Gross Proceeds
	18 00000	03/25/2011	09/15/2016	535 30	356 33	0 00	0 00	178 97	Box 6: Gross Proceeds
	8 00000	06/09/2011	09/15/2016	237 91	148 78	0 00	0 00	89 13	Box 6: Gross Proceeds
	5 00000	10/12/2011	09/15/2016	148 70	82 59	0 00	0 00	66 11	Box 6: Gross Proceeds
	20 00000	12/07/2011	09/15/2016	594 80	332 60	0 00	0 00	262 20	Box 6: Gross Proceeds
	3 00000	12/27/2011	09/15/2016	89 22	54 51	0 00	0 00	34 71	Box 6: Gross Proceeds
Subtotals	212.00000			\$6,354.83	\$3,145.41	\$0.00	\$0.00	\$3,209.42	
HOME DEPOT INC CUSIP: 437076102	2 00000	11/17/2009	02/25/2016	251 14	53 44	0 00	0 00	197 70	Box 6: Gross Proceeds
	3 00000	11/17/2009	03/10/2016	379 83	80 16	0 00	0 00	299 67	Box 6: Gross Proceeds
	1 00000	11/17/2009	03/14/2016	129 64	26 72	0 00	0 00	102 92	Box 6: Gross Proceeds
	1 00000	11/17/2009	03/15/2016	129 22	26 72	0 00	0 00	102 50	Box 6: Gross Proceeds
	4.00000	11/17/2009	05/17/2016	526 79	106 88	0 00	0 00	419 91	Box 6: Gross Proceeds
	1 00000	11/17/2009	05/26/2016	134 00	26 72	0 00	0 00	107 28	Box 6: Gross Proceeds
	13 00000	05/07/2010	05/26/2016	1,742 10	429 91	0 00	0 00	1,312 19	Box 6: Gross Proceeds
	4 00000	05/07/2010	09/15/2016	507 78	132 28	0 00	0 00	375 50	Box 6: Gross Proceeds
	2 00000	06/28/2010	09/15/2016	253 90	59 36	0 00	0 00	194 54	Box 6: Gross Proceeds
	2 00000	06/28/2010	11/07/2016	246 65	59 35	0 00	0 00	187 30	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
HOME DEPOT INC CUSIP: 437076102	4.00000	09/29/2011	11/07/2016	493.30	135.63	0.00	0.00	357.67	Box 6: Gross Proceeds
	3.00000	12/27/2011	11/07/2016	369.98	126.92	0.00	0.00	243.06	Box 6: Gross Proceeds
Subtotals	40.00000			\$5,164.33	\$1,264.09	\$0.00	\$0.00	\$3,900.24	
INTEL CORP CUSIP: 458140100	14.00000	06/23/2009	05/17/2016	419.70	223.31	0.00	0.00	196.39	Box 6: Gross Proceeds
	3.00000	06/23/2009	05/26/2016	94.67	47.85	0.00	0.00	46.82	Box 6: Gross Proceeds
	3.00000	06/28/2010	05/26/2016	94.67	61.37	0.00	0.00	33.30	Box 6: Gross Proceeds
	21.00000	08/10/2010	05/26/2016	662.75	417.22	0.00	0.00	245.53	Box 6: Gross Proceeds
	3.00000	10/20/2010	05/26/2016	94.68	59.09	0.00	0.00	35.59	Box 6: Gross Proceeds
	9.00000	11/04/2010	05/26/2016	284.04	186.75	0.00	0.00	97.29	Box 6: Gross Proceeds
	3.00000	11/04/2010	08/03/2016	102.27	62.25	0.00	0.00	40.02	Box 6: Gross Proceeds
	2.00000	06/09/2011	09/15/2016	73.08	43.57	0.00	0.00	29.51	Box 6: Gross Proceeds
	22.00000	12/12/2011	09/15/2016	803.98	522.27	0.00	0.00	281.71	Box 6: Gross Proceeds
	2.00000	12/21/2011	09/15/2016	73.09	47.26	0.00	0.00	25.83	Box 6: Gross Proceeds
Subtotals	82.00000			\$2,702.93	\$1,670.94	\$0.00	\$0.00	\$1,031.99	
JPMORGAN CHASE & CO CUSIP: 46625H100	4.00000	03/27/2007	04/25/2016	253.79	193.84	0.00	0.00	59.95	Box 6: Gross Proceeds
	2.00000	03/27/2007	05/17/2016	123.28	96.92	0.00	0.00	26.36	Box 6: Gross Proceeds
	5.00000	02/14/2008	05/17/2016	308.23	215.15	0.00	0.00	93.08	Box 6: Gross Proceeds
	15.00000	02/14/2008	05/26/2016	976.32	645.45	0.00	0.00	330.87	Box 6: Gross Proceeds
	24.00000	06/10/2008	05/26/2016	1,562.13	917.28	0.00	0.00	644.85	Box 6: Gross Proceeds
	6.00000	06/10/2008	09/15/2016	400.13	229.31	0.00	0.00	170.82	Box 6: Gross Proceeds
	20.00000	08/25/2008	09/15/2016	1,333.77	730.93	0.00	0.00	602.84	Box 6: Gross Proceeds
	7.00000	08/25/2008	11/17/2016	547.88	255.83	0.00	0.00	292.05	Box 6: Gross Proceeds
Subtotals	83.00000			\$5,505.53	\$3,284.71	\$0.00	\$0.00	\$2,220.82	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
JOHNSON & JOHNSON CUSIP: 478160104	1 00000	03/02/2011	01/26/2016	100.87	60.85	0.00	0.00	40.02	Box 6: Gross Proceeds
	2 00000	03/04/2011	01/26/2016	201.76	121.18	0.00	0.00	80.58	Box 6: Gross Proceeds
	2 00000	03/04/2011	03/10/2016	212.77	121.18	0.00	0.00	91.59	Box 6: Gross Proceeds
	1 00000	03/04/2011	03/30/2016	108.97	60.58	0.00	0.00	48.39	Box 6: Gross Proceeds
	2 00000	03/09/2011	03/30/2016	217.94	121.98	0.00	0.00	95.96	Box 6: Gross Proceeds
	2 00000	03/09/2011	03/30/2016	224.86	121.97	0.00	0.00	102.89	Box 6: Gross Proceeds
	2 00000	03/09/2011	04/19/2016	337.29	177.59	0.00	0.00	159.70	Box 6: Gross Proceeds
	3 00000	03/14/2011	05/03/2016	112.51	58.95	0.00	0.00	53.56	Box 6: Gross Proceeds
	1 00000	03/21/2011	05/17/2016	453.88	235.77	0.00	0.00	218.11	Box 6: Gross Proceeds
	4 00000	03/21/2011	05/17/2016	339.02	176.82	0.00	0.00	162.20	Box 6: Gross Proceeds
	3 00000	03/25/2011	05/26/2016	791.05	412.31	0.00	0.00	378.74	Box 6: Gross Proceeds
	1 00000	03/29/2011	05/26/2016	113.01	59.21	0.00	0.00	53.80	Box 6: Gross Proceeds
	3 00000	03/29/2011	07/12/2016	368.85	177.63	0.00	0.00	191.22	Box 6: Gross Proceeds
	1 00000	08/08/2011	07/12/2016	122.96	61.79	0.00	0.00	61.17	Box 6: Gross Proceeds
	5 00000	08/08/2011	07/19/2016	622.64	308.93	0.00	0.00	313.71	Box 6: Gross Proceeds
	1 00000	12/20/2011	07/19/2016	124.53	64.29	0.00	0.00	60.24	Box 6: Gross Proceeds
	1 00000	12/20/2011	07/20/2016	125.39	64.29	0.00	0.00	61.10	Box 6: Gross Proceeds
	40 00000			\$4,578.30	\$2,405.32	\$0.00	\$0.00	\$2,172.98	
Subtotals									
LENNAR CORPORATION CLASS A CUSIP: 526057104	3 00000	03/31/2010	05/26/2016	136.43	51.93	0.00	0.00	84.50	Box 6: Gross Proceeds
	27 00000	06/04/2010	05/26/2016	1,227.93	418.76	0.00	0.00	809.17	Box 6: Gross Proceeds
	6 00000	06/25/2010	05/26/2016	272.88	85.48	0.00	0.00	187.40	Box 6: Gross Proceeds
	4 00000	06/29/2010	05/26/2016	181.92	56.43	0.00	0.00	125.49	Box 6: Gross Proceeds
	22 00000	06/29/2010	09/15/2016	991.52	310.35	0.00	0.00	681.17	Box 6: Gross Proceeds
Subtotals									
	62 00000			\$2,810.68	\$922.95	\$0.00	\$0.00	\$1,887.73	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
PEPSICO INCORPORATED CUSIP: 713448108	5.00000	03/22/2012	03/10/2016	503.41	327.61	0.00	0.00	175.80	Box 6: Gross Proceeds
	3.00000	03/22/2012	05/17/2016	306.60	196.56	0.00	0.00	110.04	Box 6: Gross Proceeds
	4.00000	03/29/2012	05/17/2016	408.80	262.68	0.00	0.00	146.12	Box 6: Gross Proceeds
	1.00000	03/29/2012	05/26/2016	101.78	65.67	0.00	0.00	36.11	Box 6: Gross Proceeds
	1.00000	04/27/2012	05/26/2016	101.78	66.27	0.00	0.00	35.51	Box 6: Gross Proceeds
	3.00000	05/21/2012	05/26/2016	305.36	204.26	0.00	0.00	101.10	Box 6: Gross Proceeds
	2.00000	05/31/2012	05/26/2016	203.57	136.17	0.00	0.00	67.40	Box 6: Gross Proceeds
	4.00000	06/25/2012	05/26/2016	407.15	273.07	0.00	0.00	134.08	Box 6: Gross Proceeds
	2.00000	06/26/2012	05/26/2016	203.58	136.97	0.00	0.00	66.61	Box 6: Gross Proceeds
	25.00000			\$2,542.03	\$1,669.26	\$0.00	\$0.00	\$872.77	
Subtotals									
PFIZER INCORPORATED CUSIP: 717081103	24.00000	07/19/2007	05/17/2016	792.59	600.83	0.00	0.00	191.76	Box 6: Gross Proceeds
	23.00000	07/19/2007	05/26/2016	793.02	575.78	0.00	0.00	217.24	Box 6: Gross Proceeds
	38.00000	12/28/2007	05/26/2016	1,310.21	869.80	0.00	0.00	440.41	Box 6: Gross Proceeds
	1.00000	12/28/2007	06/01/2016	34.86	22.89	0.00	0.00	11.97	Box 6: Gross Proceeds
	12.00000	12/28/2007	08/03/2016	421.97	274.67	0.00	0.00	147.30	Box 6: Gross Proceeds
	9.00000	12/28/2007	08/15/2016	315.35	206.01	0.00	0.00	109.34	Box 6: Gross Proceeds
	11.00000	12/28/2007	08/16/2016	383.94	251.78	0.00	0.00	132.16	Box 6: Gross Proceeds
	15.00000	12/28/2007	09/12/2016	514.87	343.33	0.00	0.00	171.54	Box 6: Gross Proceeds
	3.00000	12/28/2007	09/15/2016	102.38	68.66	0.00	0.00	33.72	Box 6: Gross Proceeds
	13.00000	07/01/2009	09/15/2016	443.68	194.61	0.00	0.00	249.07	Box 6: Gross Proceeds
Subtotals	8.00000	03/26/2010	09/15/2016	273.04	138.24	0.00	0.00	134.80	Box 6: Gross Proceeds
	4.00000	06/28/2010	09/15/2016	136.52	58.32	0.00	0.00	78.20	Box 6: Gross Proceeds
	8.00000	06/28/2010	11/07/2016	242.82	116.64	0.00	0.00	126.18	Box 6: Gross Proceeds
Subtotals	169.00000			\$5,765.25	\$3,721.56	\$0.00	\$0.00	\$2,043.69	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
STATE STR CORP CUSIP 857477103	7.00000	06/29/2010	03/10/2016	397.92	240.10	0.00	0.00	157.82	Box 6: Gross Proceeds
	2.00000	08/02/2010	03/10/2016	113.70	79.96	0.00	0.00	33.74	Box 6: Gross Proceeds
	6.00000	08/20/2010	03/11/2016	347.65	220.56	0.00	0.00	127.09	Box 6: Gross Proceeds
	3.00000	08/20/2010	05/17/2016	178.68	110.27	0.00	0.00	68.41	Box 6: Gross Proceeds
	1.00000	08/30/2010	05/17/2016	59.56	35.27	0.00	0.00	24.29	Box 6: Gross Proceeds
	4.00000	10/08/2010	05/17/2016	238.25	154.83	0.00	0.00	83.42	Box 6: Gross Proceeds
	3.00000	10/21/2010	05/17/2016	178.69	119.94	0.00	0.00	58.75	Box 6: Gross Proceeds
	2.00000	10/21/2010	05/26/2016	125.75	79.96	0.00	0.00	45.79	Box 6: Gross Proceeds
	3.00000	11/05/2010	05/26/2016	188.63	135.09	0.00	0.00	53.54	Box 6: Gross Proceeds
	9.00000	02/09/2011	05/26/2016	565.91	421.05	0.00	0.00	144.86	Box 6: Gross Proceeds
	10.00000	02/17/2011	05/26/2016	628.80	455.83	0.00	0.00	172.97	Box 6: Gross Proceeds
	3.00000	02/17/2011	06/28/2016	152.07	136.74	0.00	0.00	15.33	Box 6: Gross Proceeds
	1.00000	05/16/2011	06/28/2016	50.70	46.18	0.00	0.00	4.52	Box 6: Gross Proceeds
	4.00000	05/16/2011	07/19/2016	229.46	184.72	0.00	0.00	44.74	Box 6: Gross Proceeds
	1.00000	05/16/2011	09/15/2016	70.36	46.17	0.00	0.00	24.19	Box 6: Gross Proceeds
	3.00000	06/03/2011	09/15/2016	211.10	130.07	0.00	0.00	81.03	Box 6: Gross Proceeds
	8.00000	06/24/2011	09/15/2016	562.96	344.71	0.00	0.00	218.25	Box 6: Gross Proceeds
	3.00000	08/31/2011	09/15/2016	211.11	107.21	0.00	0.00	103.90	Box 6: Gross Proceeds
	6.00000	09/30/2011	11/18/2016	470.68	193.41	0.00	0.00	277.27	Box 6: Gross Proceeds
Subtotals	79.00000			\$4,981.98	\$3,242.07	\$0.00	\$0.00	\$1,739.91	
SYNCHRONY FINANCIAL CUSIP 87165B103	22.00000	03/12/2009	05/26/2016	677.59	181.18	0.00	0.00	496.41	Box 6: Gross Proceeds
	15.41176	03/12/2009	09/15/2016	416.72	126.91	0.00	0.00	289.81	Box 6: Gross Proceeds
	0.58824	03/26/2010	09/15/2016	15.91	10.34	0.00	0.00	5.57	Box 6: Gross Proceeds
Subtotals	38.00000			\$1,110.22	\$318.43	\$0.00	\$0.00	\$791.79	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
TEREX CORPORATION NEW CUSIP: 880779103	4 00000	07/01/2010	01/27/2016	88 52	71 15	0 00	0 00	17 37	Box 6: Gross Proceeds
	5 00000	08/02/2010	01/27/2016	110 67	103 05	0 00	0 00	7 62	Box 6: Gross Proceeds
	7 00000	08/02/2010	05/17/2016	172 48	144 25	0 00	0 00	28 23	Box 6: Gross Proceeds
	3 00000	08/20/2010	05/17/2016	73 93	59 25	0 00	0 00	14 68	Box 6: Gross Proceeds
	9 00000	08/20/2010	05/26/2016	221 12	177 74	0 00	0 00	43 38	Box 6: Gross Proceeds
	14 00000	08/25/2010	05/26/2016	343 97	256 12	0 00	0 00	87 85	Box 6: Gross Proceeds
	3 00000	10/20/2010	05/26/2016	73 71	74 12	0 00	0 00	-0 41	Box 6: Gross Proceeds
	16 00000	10/22/2010	05/26/2016	393 12	368 45	0 00	0 00	24 67	Box 6: Gross Proceeds
	2 00000	10/27/2010	05/26/2016	49 14	44 10	0 00	0 00	5 04	Box 6: Gross Proceeds
	6 00000	10/27/2010	09/15/2016	146 09	132 28	0 00	0 00	13 81	Box 6: Gross Proceeds
	3 00000	12/14/2010	09/15/2016	73 04	83 22	0 00	0 00	-10 18	Box 6: Gross Proceeds
	8 00000	01/13/2011	09/15/2016	194 80	251 36	0 00	0 00	-56 56	Box 6: Gross Proceeds
	10 00000	03/11/2011	09/15/2016	243 50	328 88	0 00	0 00	-85 38	Box 6: Gross Proceeds
	8 00000	04/11/2011	09/15/2016	194 80	275 87	0 00	0 00	-81 07	Box 6: Gross Proceeds
	1 00000	04/12/2011	09/15/2016	24 35	32 86	0 00	0 00	-8 51	Box 6: Gross Proceeds
Subtotals	99.00000			\$2,403.24	\$2,402.70	\$0.00	\$0.00	\$0.54	
TEXTRON INC CUSIP: 883203101	11 00000	05/07/2010	05/26/2016	419 86	225 04	0 00	0 00	194 82	Box 6: Gross Proceeds
	14 00000	05/18/2010	05/26/2016	534 36	312 18	0 00	0 00	222 18	Box 6: Gross Proceeds
	17 00000	06/03/2010	05/26/2016	648 87	347 80	0 00	0 00	301 07	Box 6: Gross Proceeds
	12 00000	06/07/2010	05/26/2016	458 04	223 68	0 00	0 00	234 36	Box 6: Gross Proceeds
	2 00000	06/08/2010	05/26/2016	76 34	37 68	0 00	0 00	38 66	Box 6: Gross Proceeds
	1 00000	06/08/2010	07/07/2016	35 75	18 83	0 00	0 00	16 92	Box 6: Gross Proceeds
	6 00000	06/15/2010	07/07/2016	214 51	119 22	0 00	0 00	95 29	Box 6: Gross Proceeds
	6 00000	06/15/2010	09/15/2016	236 03	119 21	0 00	0 00	116 82	Box 6: Gross Proceeds
	18 00000	06/25/2010	09/15/2016	708 10	341 29	0 00	0 00	366 81	Box 6: Gross Proceeds
	13 00000	06/28/2010	09/15/2016	511 42	244 78	0 00	0 00	266 64	Box 6: Gross Proceeds

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TEXTRON INC CUSIP: 883203101	100.00000			\$3,843.28	\$1,989.71	\$0.00	\$0.00	\$1,853.57	
Subtotals									
TRAVELERS COS INC/ THE CUSIP: 89417E109	2.00000	03/02/2007	01/05/2016	221.12	101.56	0.00	0.00	119.56	Box 6: Gross Proceeds
	1.00000	04/24/2007	01/05/2016	110.56	54.09	0.00	0.00	56.47	Box 6: Gross Proceeds
	3.00000	04/24/2007	03/11/2016	340.67	162.25	0.00	0.00	178.42	Box 6: Gross Proceeds
	1.00000	04/24/2007	03/23/2016	115.87	54.09	0.00	0.00	61.78	Box 6: Gross Proceeds
	4.00000	04/24/2007	04/21/2016	437.07	216.34	0.00	0.00	220.73	Box 6: Gross Proceeds
	9.00000	04/24/2007	05/17/2016	992.65	486.75	0.00	0.00	505.90	Box 6: Gross Proceeds
	13.00000	04/24/2007	05/26/2016	1,476.12	703.07	0.00	0.00	773.05	Box 6: Gross Proceeds
	1.00000	04/24/2007	05/27/2016	114.11	54.09	0.00	0.00	60.02	Box 6: Gross Proceeds
	6.00000	04/24/2007	07/22/2016	703.19	324.50	0.00	0.00	378.69	Box 6: Gross Proceeds
	2.00000	04/24/2007	08/01/2016	233.61	108.17	0.00	0.00	125.44	Box 6: Gross Proceeds
	6.00000	04/24/2007	09/15/2016	689.86	324.48	0.00	0.00	365.38	Box 6: Gross Proceeds
	3.00000	04/24/2007	11/07/2016	315.57	162.24	0.00	0.00	153.33	Box 6: Gross Proceeds
	5.00000	06/16/2009	11/10/2016	546.62	212.43	0.00	0.00	334.19	Box 6: Gross Proceeds
	7.00000	06/23/2009	11/10/2016	765.28	285.72	0.00	0.00	479.56	Box 6: Gross Proceeds
Subtotals	63.00000			\$7,062.30	\$3,249.78	\$0.00	\$0.00	\$3,812.52	
WESTERN DIGITAL CORP CUSIP: 958102105	9.00000	06/26/2012	05/26/2016	393.73	262.77	0.00	0.00	130.96	Box 6: Gross Proceeds
	8.00000	06/28/2012	05/26/2016	350.00	231.08	0.00	0.00	118.92	Box 6: Gross Proceeds
	1.00000	06/29/2012	05/26/2016	43.75	29.57	0.00	0.00	14.18	Box 6: Gross Proceeds
	7.00000	06/29/2012	09/15/2016	372.81	206.99	0.00	0.00	165.82	Box 6: Gross Proceeds
	4.00000	07/06/2012	09/15/2016	213.04	123.10	0.00	0.00	89.94	Box 6: Gross Proceeds
	1.00000	07/06/2012	09/15/2016	53.26	30.78	0.00	0.00	22.48	Box 6: Gross Proceeds
Subtotals	30.00000			\$1,426.59	\$884.29	\$0.00	\$0.00	\$542.30	

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 1323-8861

EDWIN W. PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
JOHNSON CTLS INTL PLC CUSIP: G51502105	0.04537	06/23/2009	09/06/2016	2.16	0.61	0.00	0.00	1.55	Box 6: Gross Proceeds Cash in Lieu
	0.03402	07/30/2009	09/06/2016	1.62	0.53	0.00	0.00	1.09	Box 6: Gross Proceeds Cash in Lieu
	0.03024	03/23/2012	09/06/2016	1.44	0.83	0.00	0.00	0.61	Box 6: Gross Proceeds Cash in Lieu
	11.41463	06/23/2009	09/15/2016	505.65	153.16	0.00	0.00	352.49	Box 6: Gross Proceeds
	8.56098	07/30/2009	09/15/2016	379.24	132.61	0.00	0.00	246.63	Box 6: Gross Proceeds
	1.02439	03/23/2012	09/15/2016	45.39	28.22	0.00	0.00	17.17	Box 6: Gross Proceeds
Subtotals	21.10963			\$935.50	\$315.96	\$0.00	\$0.00	\$619.54	
NABORS INDUSTRIES LTD CUSIP: G6359F103	31.00000	03/31/2011	05/26/2016	283.33	944.04	0.00	0.00	-660.71	Box 6: Gross Proceeds
	11.00000	04/11/2011	05/26/2016	100.53	346.61	0.00	0.00	-246.08	Box 6: Gross Proceeds
	11.00000	04/12/2011	05/26/2016	100.54	323.07	0.00	0.00	-222.53	Box 6: Gross Proceeds
	10.00000	04/14/2011	05/26/2016	91.40	303.86	0.00	0.00	-212.46	Box 6: Gross Proceeds
	6.00000	04/29/2011	05/26/2016	54.84	182.62	0.00	0.00	-127.78	Box 6: Gross Proceeds
	7.00000	05/19/2011	05/26/2016	63.98	188.48	0.00	0.00	-124.50	Box 6: Gross Proceeds
	3.00000	06/20/2011	05/26/2016	27.42	70.53	0.00	0.00	-43.11	Box 6: Gross Proceeds
	1.00000	06/20/2011	06/01/2016	9.03	23.50	0.00	0.00	-14.47	Box 6: Gross Proceeds
	11.00000	06/29/2011	06/01/2016	99.37	267.96	0.00	0.00	-168.59	Box 6: Gross Proceeds
	3.00000	06/29/2011	09/15/2016	28.70	73.07	0.00	0.00	-44.37	Box 6: Gross Proceeds
	9.00000	11/01/2011	09/15/2016	86.13	155.26	0.00	0.00	-69.13	Box 6: Gross Proceeds
	8.00000	02/09/2012	09/15/2016	76.56	155.24	0.00	0.00	-78.68	Box 6: Gross Proceeds
Subtotals	111.00000			\$1,021.83	\$3,034.24	\$0.00	\$0.00	(\$2,012.41)	

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 1323-8861

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
PENTAIR PLC CUSIP: G7S00T104	11.00000	09/17/2008	05/26/2016	674.50	311.71	0.00	0.00	362.79	Box 6: Gross Proceeds
	1.00000	05/18/2009	05/26/2016	61.31	18.78	0.00	0.00	42.53	Box 6: Gross Proceeds
	5.00000	06/23/2009	05/26/2016	306.60	96.26	0.00	0.00	210.34	Box 6: Gross Proceeds
	2.00000	07/30/2009	05/26/2016	122.64	47.62	0.00	0.00	75.02	Box 6: Gross Proceeds
	2.00000	03/23/2012	08/31/2016	127.67	75.27	0.00	0.00	52.40	Box 6: Gross Proceeds
Subtotals	21.00000			\$1,292.72	\$549.64	\$0.00	\$0.00	\$743.08	
TYCO INTERNATIONAL PLCHG CUSIP: G91442106	23.00000	09/17/2008	05/26/2016	977.82	452.23	0.00	0.00	525.59	Box 6: Gross Proceeds
	4.00000	05/18/2009	05/26/2016	170.05	52.49	0.00	0.00	117.56	Box 6: Gross Proceeds
	9.00000	06/23/2009	05/26/2016	382.64	115.34	0.00	0.00	267.30	Box 6: Gross Proceeds
Subtotals	36.00000			\$1,530.51	\$620.06	\$0.00	\$0.00	\$910.45	
Totals				\$105,112.79	\$63,929.69	\$0.00	\$0.00	\$41,183.10	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 6735-1695

 EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
US TREASURY NOTES CPN 1 3750% DUE 09/30/2023 CUSIP 912828T26	4000 00000	10/12/2016	12/09/2016	3,781 72	3,940 94	0 00	0 00	-159 22	Box 6: Gross Proceeds
US TREASURY NOTES CPN 1 6250% DUE 10/31/2023 CUSIP 912828T91	5000 00000 3000 00000 3000 00000	11/21/2016 11/22/2016 11/23/2016	12/09/2016 12/09/2016 12/09/2016	4,803 70 2,882 22 2,882 24	4,843 55 2,907 07 2,895 35	0 00 0 00 0 00	0 00 0 00 0 00	-39 85 -24 85 -13 11	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	11000 00000			\$10,568.16	\$10,645.97	\$0.00	\$0.00	(\$77.81)	
Totals				\$14,349.88	\$14,588.91	\$0.00	\$0.00	(\$237.03)	

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 6735-1695

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230



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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1a)	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
US TREASURY INFLATION INDEX CPN 3750% DUE 07/15/2025 CUSIP 912828XL9	3000 00000	11/06/2015	10/11/2016	3,115.67	2,960.69	0.00	0.00	154.98	Original Basis 2,911.23 Box 6: Gross Proceeds
Totals				\$3,115.67	\$2,960.69	\$0.00	\$0.00	\$154.98	

2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 6735-1695

 EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, Continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information (Box 1j)
PIMCO FIXED INCOME SHARES CUSIP 01882B205	122.00000	02/08/2013	12/05/2016	1,218.78	1,647.00	0.00	0.00	-428.22	Box 6: Gross Proceeds
PIMCO FIXED INCOME SHARES CUSIP 01882B304	220.00000 140.00000	02/08/2013 02/08/2013	05/06/2016 07/06/2016	2,257.20 1,509.20	2,457.40 1,563.80	0.00 0.00	0.00 0.00	-200.20 -54.60	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	360.00000			\$3,786.40	\$4,021.20	\$0.00	\$0.00	(\$254.80)	
US TREASURY NOTES CPN 7500% DUE 10/31/2017 CUSIP 912828TWD	8000.00000	10/14/2014	09/13/2016	7,999.38	7,970.00	0.00	0.00	29.38	Box 6: Gross Proceeds
Totals				\$12,984.56	\$13,638.20	\$0.00	\$0.00	(\$653.64)	





2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 6735-1695

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
US TREASURY INFLATION INDEX CPN 1250% DUE 04/15/2016 CUSIP 912828QD5	4000.00000	01/12/2015	04/15/2016	4,294.96	4,360.04	0.00	0.00	-65.08	Original Basis 4,282.56 Box 6: Gross Proceeds Redemption
US TREASURY INFLATION INDEX CPN 1250% DUE 07/15/2022 CUSIP 912828TE0	2000.00000	12/13/2012	10/12/2016	2,131.57	2,217.10	0.00	0.00	-85.53	Original Basis 2,195.33 Box 6: Gross Proceeds
	2000.00000	12/13/2012	10/14/2016	2,138.99	2,217.11	0.00	0.00	-78.12	Original Basis 2,195.33 Box 6: Gross Proceeds
	2000.00000	12/13/2012	10/17/2016	2,142.32	2,217.11	0.00	0.00	-74.79	Original Basis 2,195.33 Box 6: Gross Proceeds
	1000.00000	12/13/2012	10/18/2016	1,072.25	1,108.56	0.00	0.00	-36.31	Original Basis 1,097.66 Box 6: Gross Proceeds
	3000.00000	12/13/2012	10/20/2016	3,216.71	3,325.85	0.00	0.00	-109.14	Original Basis 3,292.99 Box 6: Gross Proceeds
Subtotals	10000.00000			\$10,701.84	\$11,085.73	\$0.00	\$0.00	(\$383.89)	
US TREASURY INFLATION INDEX CPN 3750% DUE 07/15/2025 CUSIP 912828XL9	2000.00000	11/06/2015	11/18/2016	2,030.79	1,979.00	0.00	0.00	51.79	Original Basis 1,940.82 Box 6: Gross Proceeds
	3000.00000	11/06/2015	11/21/2016	3,051.54	2,968.77	0.00	0.00	82.77	Original Basis 2,911.23 Box 6: Gross Proceeds
	2000.00000	11/06/2015	11/22/2016	2,037.46	1,979.52	0.00	0.00	57.94	Original Basis 1,940.82 Box 6: Gross Proceeds
Subtotals	7000.00000			\$7,119.79	\$6,927.29	\$0.00	\$0.00	\$192.50	
Totals				\$22,116.59	\$22,373.08	\$0.00	\$0.00	(\$256.47)	

2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 6735-1695

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Unknown Term Gains/Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
FHLMC GOLD PASS THRU POOL G07961 DTD 02/01/15 CPN 3 500% DUE 03/01/45 CUSIP 3128MAFA2	6000 00000	N/A	01/15/2016	41 47	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	02/15/2016	34 62	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	03/15/2016	41 23	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	04/15/2016	76 50	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	05/15/2016	54 10	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	06/15/2016	64 97	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	07/15/2016	66 16	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	08/15/2016	69 69	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	09/15/2016	84 12	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	10/15/2016	106 60	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	11/15/2016	75 08	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
	6000 00000	N/A	12/15/2016	80 17	N/A	N/A	N/A	N/A	Box 6: Gross Proceeds Return of Principal
Subtotals				\$794.71	\$0.00	\$0.00	\$0.00	N/A	

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 6513-1664

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALCOA INC XXX CUSIP: 013817507	0 66666	10/06/2016	10/06/2016	21 04	0 00	0 00	0 00	21 04	Box 6: Gross Proceeds Cash in Lieu
ANADARKO PETROLEUM CORP CUSIP: 032511107	24 00000	03/11/2016	09/15/2016	1,350 21	1,115 52	0 00	0 00	234 69	Box 6: Gross Proceeds
APACHE CORP COMMON CUSIP: 037411105	28 00000	01/22/2016	09/15/2016	1,601 57	1,086 90	0 00	0 00	514 67	Box 6: Gross Proceeds
BAXTER INTERNATIONAL INC CUSIP: 071813109	38 00000	11/20/2015	09/15/2016	1,758 41	1,456 84	0 00	0 00	301 57	Box 6: Gross Proceeds
CORRECTIONS CORP AMERXXX NEW CUSIP: 22025Y407	12 00000 17 00000	08/26/2015 09/02/2015	08/25/2016 08/25/2016	213 76 302 84	338 00 487 29	0 00 0 00	0 00 0 00	-124 24 -184 45	Original Basis 340 89 Box 6: Gross Proceeds Original Basis 491 41 Box 6: Gross Proceeds
Subtotals	29 00000			\$516.60	\$825.29	\$0.00	\$0.00	(\$308.69)	
DEVON ENERGY CORP CUSIP: 25179M103	56 00000	01/22/2016	02/17/2016	1,103 91	1,413 21	0 00	0 00	-309 30	Box 6: Gross Proceeds
FASTENAL CO CUSIP: 311900104	35 00000	07/09/2015	01/22/2016	1,326 12	1,469 28	0 00	0 00	-143 16	Box 6: Gross Proceeds
FRANKLIN RESOURCES INC CUSIP: 354613101	15 00000	07/06/2016	09/15/2016	533 54	487 38	0 00	0 00	46 16	Box 6: Gross Proceeds

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 6513-1664

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
HCP INC CUSIP: 40414L109	70 00000	06/17/2015	05/05/2016	2,390.50	2,563.56	0.00	0.00	-173.06	Original Basis 2,632.81 Box 6: Gross Proceeds
HERSHEY COMPANY CUSIP: 427866108	9 00000	11/27/2015	09/15/2016	864.25	786.54	0.00	0.00	77.71	Box 6: Gross Proceeds
HESS CORPORATION CUSIP: 42809H107	9 00000	01/28/2016	09/15/2016	419.03	362.00	0.00	0.00	57.03	Box 6: Gross Proceeds
HUNTINGTON BANCSHARES INC CUSIP: 446150104	79 00000	03/09/2016	09/15/2016	774.18	737.93	0.00	0.00	36.25	Box 6: Gross Proceeds
IPG PHOTONICS CORP CUSIP: 44980X109	2 00000	03/07/2016	09/15/2016	164.62	176.44	0.00	0.00	-11.82	Box 6: Gross Proceeds
ITC HOLDINGS CORP CHG CUSIP: 465685105	35 00000 57 00000	11/20/2015 11/20/2015	02/10/2016 02/11/2016	1,367.86 2,209.31	1,141.08 1,858.31	0.00 0.00	0.00 0.00	226.78 351.00	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	92.00000			\$3,577.17	\$2,999.39	\$0.00	\$0.00	\$577.78	
LEIDOS HOLDINGS INC CUSIP: 525327102	39 00000	05/07/2015	01/27/2016	1,815.78	1,637.43	0.00	0.00	178.35	Box 6: Gross Proceeds
LIBERTY MEDIA GRP CLASS C CUSIP: 531229854	0 20614 11 54386	06/29/2015 06/29/2015	04/18/2016 05/27/2016	3.87 219.81	4.36 244.43	0.00 0.00	0.00 0.00	-0.49 -24.62	Box 6: Gross Proceeds Cash in Lieu Box 6: Gross Proceeds
Subtotals	11.75000			\$223.68	\$248.79	\$0.00	\$0.00	(\$25.11)	
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP: 531229888	0 02748 2 00000 8 00000	06/29/2015 06/08/2016 06/16/2016	04/18/2016 08/12/2016 08/12/2016	0.54 32.08 128.35	0.58 29.54 102.40	0.00 0.00 0.00	0.00 0.00 0.00	-0.04 2.54 25.95	Box 6: Gross Proceeds Cash in Lieu Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	10.02748			\$160.97	\$132.52	\$0.00	\$0.00	\$28.45	

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 6513-1664

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter-Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
ORBITAL ATK INC CUSIP: 68557N103	11 00000	03/18/2016	09/15/2016	807 27	906 68	0 00	0 00	-99 41	Box 6: Gross Proceeds
UNITED NATURAL FOODS INC CUSIP: 911163103	11 00000	03/22/2016	09/15/2016	435 04	457 87	0 00	0 00	-22 83	Box 6: Gross Proceeds
VARIAN MEDICAL SYSTEMS INC CUSIP: 92220P105	12 00000	12/17/2015	09/15/2016	1,139 50	944 97	0 00	0 00	194 53	Box 6: Gross Proceeds
WOODWARD INC CUSIP: 980745103	12 00000	05/06/2016	09/15/2016	717 58	645 60	0 00	0 00	71 98	Box 6: Gross Proceeds
Totals				\$21,700.97	\$20,454.14	\$0.00	\$0.00	\$1,246.83	

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALCOA INC CUSIP: 013817101	249 00000	09/18/2013	08/10/2016	2,597.15	2,132.80	0.00	0.00	464.35	Box 6: Gross Proceeds
	5.00000	10/31/2013	08/10/2016	52.16	46.68	0.00	0.00	5.48	Box 6: Gross Proceeds
Subtotals	254.00000			\$2,649.31	\$2,179.48	\$0.00	\$0.00	\$469.83	
ALCOA CORP CUSIP: 013872106	0 66700	11/26/2013	11/01/2016	15.34	20.84	0.00	0.00	-5.50	Box 6: Gross Proceeds Cash in Lieu CORR
	13 89590	10/31/2013	11/02/2016	319.57	314.57	0.00	0.00	5.00	Box 6: Gross Proceeds CORR
	15 10410	11/26/2013	11/02/2016	347.35	349.40	0.00	0.00	-2.05	Box 6: Gross Proceeds CORR
Subtotals	29.66700			\$682.26	\$684.81	\$0.00	\$0.00	(\$2.55)	
APPLIED MATERIALS INC CUSIP: 038222105	79 00000	06/10/2013	01/27/2016	1,380.49	1,218.44	0.00	0.00	162.05	Box 6: Gross Proceeds
BOSTON SCIENTIFIC CORP CUSIP: 101137107	60.00000	02/06/2013	05/06/2016	1,309.18	455.70	0.00	0.00	853.48	Box 6: Gross Proceeds
	24 00000	02/06/2013	09/15/2016	560.39	182.28	0.00	0.00	378.11	Box 6: Gross Proceeds
Subtotals	84.00000			\$1,869.57	\$637.98	\$0.00	\$0.00	\$1,231.59	
CAMECO CORP CUSIP: 13321L108	81 00000	07/17/2014	07/08/2016	861.89	1,644.49	0.00	0.00	-782.60	Box 6: Gross Proceeds
	131 00000	07/17/2014	07/28/2016	1,255.55	2,659.60	0.00	0.00	-1,404.05	Box 6: Gross Proceeds
Subtotals	212.00000			\$2,117.44	\$4,304.09	\$0.00	\$0.00	(\$2,186.65)	
CINEMARK HOLDINGS INC CUSIP: 17243V102	47 00000	02/06/2013	09/15/2016	1,796.30	1,350.59	0.00	0.00	445.71	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ESTERLINE TECHNOLOGIES CORP CUSIP: 297425100	6 00000	06/25/2015	09/15/2016	449 15	573 97	0 00	0 00	-124 82	Box 6: Gross Proceeds
EXPEDITORS INTL WASH INC CUSIP: 302130109	16 00000	08/14/2013	09/15/2016	802 86	648 00	0 00	0 00	154 86	Box 6: Gross Proceeds
FASTENAL CO CUSIP: 311900104	6 00000	07/08/2015	09/15/2016	241 19	251 88	0 00	0 00	-10 69	Box 6: Gross Proceeds
GRACE W R & CO DEL NEW CUSIP: 38388F108	1 00000	02/06/2013	01/26/2016	81 85	75 29	0 00	0 00	6 56	Box 6: Gross Proceeds
HARTFORD FINL SVCS GROUP INC CUSIP: 416515104	28 00000	01/29/2014	09/15/2016	1,176 25	927 75	0 00	0 00	248 50	Box 6: Gross Proceeds
JANUS CAPITAL GROUP INC CUSIP: 47102X105	23 00000 51 00000 28 00000 102.00000	07/09/2013 07/09/2013 07/10/2013	09/15/2016 10/26/2016 10/26/2016	321 07 665 24 365 23 \$1,351.54	206 86 458 67 250 54 \$916.07	0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 \$0.00	114 21 206 57 114 69 \$435.47	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
KOHL'S CORP CUSIP: 500255104	2 00000 39 00000 12 00000 26 00000 18 00000 6 00000 103.00000	02/07/2013 02/07/2013 02/07/2013 02/13/2013 10/02/2013 10/08/2013	02/04/2016 05/12/2016 08/18/2016 08/18/2016 08/18/2016 08/18/2016	84 44 1,372 56 543 79 1,178 23 815 70 271 91 \$4,266.63	90 66 1,767 79 543 93 1,208 72 925 00 307 50 \$4,843.60	0 00 0 00 0 00 0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 0 00 0 00 0 00 \$0.00	-6 22 -395 23 -0 14 -30 49 -109 30 -35 59 (\$576.97)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1f)	Cost or Other Basis (Box 1g)	Accrued Market Discount (Box 1h)	Wash Sale Loss Disallowed (Box 1i)	Gain/Loss Amount	Additional Information
LEIDOS HOLDINGS INC CUSIP 525327102	10 25000	02/06/2013	01/27/2016	477 22	340 28	0 00	0 00	136 94	Box 6: Gross Proceeds
	25 75000	02/15/2013	01/27/2016	1,198 88	864 98	0 00	0 00	333 90	Box 6: Gross Proceeds
Subtotals	36.00000			\$1,676.10	\$1,205.26	\$0.00	\$0.00	\$470.84	
LIBERTY BROADBAND CORP COM SER C CUSIP 530307305	21 00000	09/19/2014	09/15/2016	1,486 76	1,008 07	0 00	0 00	478 69	Box 6: Gross Proceeds
	5 00000	11/06/2014	09/15/2016	354 00	242 88	0 00	0 00	111 12	Box 6: Gross Proceeds
Subtotals	26.00000			\$1,840.76	\$1,250.95	\$0.00	\$0.00	\$589.81	
LIBERTY MEDIA CORP DEL COM SER C SIRIUSXM GROUP CUSIP 531229607	23 00000	09/19/2014	09/15/2016	758 75	667 69	0 00	0 00	91 06	Box 6: Gross Proceeds
LIBERTY MEDIA GRP CLASS C CUSIP 531229854	0 38158	09/19/2014	04/18/2016	7 17	8 23	0 00	0 00	-1 06	Box 6: Gross Proceeds Cash in Lieu
	0 16228	12/03/2014	04/18/2016	3 05	3 46	0 00	0 00	-0 41	Box 6: Gross Proceeds Cash in Lieu
	21 36842	09/19/2014	05/27/2016	406 85	460 90	0 00	0 00	-54 05	Box 6: Gross Proceeds
	9.08772	12/03/2014	05/27/2016	173 03	193 96	0 00	0 00	-20 93	Box 6: Gross Proceeds
Subtotals	31.00000			\$590 10	\$666.55	\$0.00	\$0.00	(\$76.45)	
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP 531229888	0 05088	09/19/2014	04/18/2016	1 01	1 09	0 00	0 00	-0 08	Box 6: Gross Proceeds Cash in Lieu
	0 02164	12/03/2014	04/18/2016	0 43	0 46	0 00	0 00	-0 03	Box 6: Gross Proceeds Cash in Lieu
	8 64912	09/19/2014	08/12/2016	138 74	185 36	0 00	0 00	-46 62	Box 6: Gross Proceeds
	3 67836	12/03/2014	08/12/2016	59 00	78 00	0 00	0 00	-19 00	Box 6: Gross Proceeds
	4 67252	06/29/2015	08/12/2016	74 95	98 30	0 00	0 00	-23 35	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
Subtotals	17.07252			\$274.13	\$363.21	\$0.00	\$0.00	(\$89.08)	
LIBERTY MEDIA CORP DEL CM SER C BRAVES GROUP CUSIP: 531229888	16.00000	12/13/2012	09/15/2016	874.38	848.51	0.00	0.00	25.87	Box 6: Gross Proceeds
Subtotals	35.00000	05/20/2013	05/25/2016	837.20	374.14	0.00	0.00	463.06	Box 6: Gross Proceeds
NISOURCE INC CUSIP: 65473P105	9.00000	05/20/2013	09/15/2016	211.14	96.21	0.00	0.00	114.93	Box 6: Gross Proceeds
Subtotals	44.00000			\$1,048.34	\$470.35	\$0.00	\$0.00	\$577.99	
OLD REPUBLIC INTL CORP CUSIP: 680223104	111.00000	11/15/2012	02/22/2016	1,998.30	1,097.36	0.00	0.00	900.94	Box 6: Gross Proceeds
Subtotals	29.00000	05/06/2013	02/22/2016	522.08	397.13	0.00	0.00	124.95	Box 6: Gross Proceeds
QUEST DIAGNOSTICS INC CUSIP: 74834L100	27.00000	05/06/2013	02/25/2016	485.99	369.73	0.00	0.00	116.26	Box 6: Gross Proceeds
Subtotals	167.00000			\$3,006.37	\$1,864.22	\$0.00	\$0.00	\$1,142.15	
QUEST DIAGNOSTICS INC CUSIP: 74834L100	4.00000	01/24/2014	06/16/2016	307.40	214.97	0.00	0.00	92.43	Box 6: Gross Proceeds
Subtotals	27.00000	02/25/2014	06/16/2016	2,074.95	1,439.30	0.00	0.00	635.65	Box 6: Gross Proceeds
REGAL ENTERTAINMENT GRP A CUSIP: 758766109	13.00000	04/02/2014	06/16/2016	999.05	788.04	0.00	0.00	211.01	Box 6: Gross Proceeds
Subtotals	44.00000			\$3,381.40	\$2,442.31	\$0.00	\$0.00	\$939.09	
ST JUDE MEDICAL INC CUSIP: 790849103	51.00000	12/20/2012	09/15/2016	1,093.43	720.76	0.00	0.00	372.67	Box 6: Gross Proceeds
Subtotals	3.00000	02/06/2013	05/11/2016	226.77	124.74	0.00	0.00	102.03	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss (Box 1g)	Gain/Loss Amount	Additional Information
SYNCHRONY FINANCIAL CUSIP: 87165B103	18 00000	08/25/2014	09/15/2016	484.91	449.52	0.00	0.00	35.39	Box 6: Gross Proceeds
	12 00000	08/25/2014	11/16/2016	393.22	299.67	0.00	0.00	93.55	Box 6: Gross Proceeds
	55 00000	08/26/2014	11/16/2016	1,802.28	1,374.47	0.00	0.00	427.81	Box 6: Gross Proceeds
Subtotals	85 00000			\$2,680.41	\$2,123.66	\$0.00	\$0.00	\$556.75	
SYSICO CORPORATION CUSIP: 871829107	32 00000	09/27/2012	05/25/2016	1,558.69	1,003.17	0.00	0.00	555.52	Box 6: Gross Proceeds
	12 00000	09/27/2012	09/15/2016	596.87	376.19	0.00	0.00	220.68	Box 6: Gross Proceeds
Subtotals	44 00000			\$2,155.56	\$1,379.36	\$0.00	\$0.00	\$776.20	
VERIFONE SYSTEMS INC CUSIP: 92342Y109	12 00000	01/23/2013	06/08/2016	240.04	416.03	0.00	0.00	-175.99	Box 6: Gross Proceeds
	5 00000	04/19/2013	06/08/2016	100.02	94.86	0.00	0.00	5.16	Box 6: Gross Proceeds
	25 00000	04/19/2013	06/16/2016	474.38	474.29	0.00	0.00	0.09	Box 6: Gross Proceeds
	45 00000	11/21/2014	06/16/2016	853.89	1,644.62	0.00	0.00	-790.73	Box 6: Gross Proceeds
Subtotals	87 00000			\$1,668.33	\$2,629.80	\$0.00	\$0.00	(\$961.47)	
WESTERN UNION CO CUSIP: 959802109	82 00000	08/07/2014	09/15/2016	1,671.12	1,374.28	0.00	0.00	296.84	Box 6: Gross Proceeds
WORTHINGTON INDS INC CUSIP: 981811102	18 00000	01/22/2015	03/23/2016	651.23	498.26	0.00	0.00	152.97	Box 6: Gross Proceeds
	49 00000	01/22/2015	03/24/2016	1,757.07	1,356.37	0.00	0.00	400.70	Box 6: Gross Proceeds
	43 00000	01/22/2015	05/27/2016	1,585.35	1,190.27	0.00	0.00	395.08	Box 6: Gross Proceeds
Subtotals	110 00000			\$3,993.65	\$3,044.90	\$0.00	\$0.00	\$948.75	
AMDOCS LTD CUSIP: G02602103	20 00000	12/12/2013	09/15/2016	1,199.37	805.05	0.00	0.00	394.32	Box 6: Gross Proceeds
ENDURANCE SPECIALTY HLDG CUSIP: G30397106	26 00000	02/06/2013	10/17/2016	2,390.06	1,125.54	0.00	0.00	1,264.52	Box 6: Gross Proceeds
	23 00000	04/27/2015	10/17/2016	2,114.29	1,402.89	0.00	0.00	711.40	Box 6: Gross Proceeds
Subtotals	49 00000			\$4,504.35	\$2,528.43	\$0.00	\$0.00	\$1,975.92	
Totals				\$51,508.16	\$43,121.98	\$0.00	\$0.00	\$8,386.18	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ANALOG DEVICES INC CUSIP 032654105	25 00000	12/09/2009	01/20/2016	1,265 13	754 65	0 00	0 00	510 48	Box 6: Gross Proceeds
	15 00000	12/09/2009	09/15/2016	930 88	452 79	0 00	0 00	478 09	Box 6: Gross Proceeds
Subtotals	40.00000			\$2,196.01	\$1,207.44	\$0.00	\$0.00	\$988.57	
EVERY DENNISON CORP CUSIP. 053611109	13 00000	03/04/2011	09/15/2016	989 54	539 25	0 00	0 00	450 29	Box 6: Gross Proceeds
BWX TECHNOLOGIES CO CUSIP. 05605H100	19 99900	05/06/2010	09/15/2016	762 94	334 18	0 00	0 00	428 76	Box 6: Gross Proceeds
	28 00100	09/16/2010	09/15/2016	1,068 22	446 81	0 00	0 00	621 41	Box 6: Gross Proceeds
Subtotals	48.00000			\$1,831.16	\$780.99	\$0.00	\$0.00	\$1,050.17	
BROADRIDGE FINANCIAL SOLUTIONS CUSIP 11133T103	18 00000	04/14/2008	05/06/2016	1,070 25	305 64	0 00	0 00	764 61	Box 6: Gross Proceeds
	10 00000	09/24/2009	05/06/2016	594 59	204 98	0 00	0 00	389 61	Box 6: Gross Proceeds
	15 00000	09/24/2009	09/15/2016	1,020 13	307 47	0 00	0 00	712 66	Box 6: Gross Proceeds
Subtotals	43.00000			\$2,684.97	\$818.09	\$0.00	\$0.00	\$1,866.88	
CLOROX COMPANY CUSIP. 189054109	9 00000	11/18/2009	09/15/2016	1,102 39	541 31	0 00	0 00	561 08	Box 6: Gross Proceeds
ENTERGY CORP NEW CUSIP. 29364G103	14 00000	09/15/2008	09/15/2016	1,080 92	1,325 79	0 00	0 00	-244 87	Box 6: Gross Proceeds
FIRST AMERICAN FINL CORP CUSIP 31847R102	27 00000	02/17/2011	05/06/2016	983 31	441 03	0 00	0 00	542 28	Box 6: Gross Proceeds
	5 00000	02/18/2011	05/06/2016	182 10	82 25	0 00	0 00	99 85	Box 6: Gross Proceeds
	53.00000	04/04/2011	09/15/2016	2,186.74	871.80	0 00	0 00	1,314.94	Box 6: Gross Proceeds
Subtotals	85.00000			\$3,352.15	\$1,395.08	\$0.00	\$0.00	\$1,957.07	
GENUINE PARTS CO COM CUSIP 372460105	16 00000	06/14/2006	05/25/2016	1,544 61	652 16	0 00	0 00	892 45	Box 6: Gross Proceeds
	5 00000	06/14/2006	09/15/2016	483 34	203 80	0 00	0 00	279 54	Box 6: Gross Proceeds
Subtotals	21.00000			\$2,027.95	\$855.96	\$0.00	\$0.00	\$1,171.99	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
GRACE W R & CO CUSIP: 38388F108	23 00000	12/14/2011	01/26/2016	1,882.42	940.51	0.00	0.00	941.91	Box 6: Gross Proceeds
	10 00000	04/19/2012	01/26/2016	818.44	560.07	0.00	0.00	258.37	Box 6: Gross Proceeds
Subtotals	33.00000			\$2,700.86	\$1,500.58	\$0.00	\$0.00	\$1,200.28	
HCP INC CUSIP: 40414L109	61 00000	06/14/2006	02/12/2016	1,599.54	1,485.22	0.00	0.00	114.32	Box 6: Gross Proceeds
	9 00000	06/14/2006	05/05/2016	307.34	219.12	0.00	0.00	88.22	Box 6: Gross Proceeds
	10 00000	05/28/2009	05/05/2016	341.49	201.90	0.00	0.00	139.59	Box 6: Gross Proceeds
	15 00000	10/21/2011	05/05/2016	512.24	565.81	0.00	0.00	-53.57	Box 6: Gross Proceeds
Subtotals	95.00000			\$2,760.61	\$2,472.05	\$0.00	\$0.00	\$288.56	
HAEMONETICS CORP MASS CUSIP: 405024100	34 00000	03/14/2011	10/10/2016	1,255.66	1,082.59	0.00	0.00	173.07	Box 6: Gross Proceeds
HASBRO INC CUSIP: 418056107	27 00000	04/26/2007	05/25/2016	2,342.20	843.75	0.00	0.00	1,498.45	Box 6: Gross Proceeds
	1 00000	12/02/2009	05/25/2016	86.75	30.68	0.00	0.00	56.07	Box 6: Gross Proceeds
Subtotals	28 00000			\$2,428.95	\$874.43	\$0.00	\$0.00	\$1,554.52	
M & T BANK CORP CUSIP: 55261F104	4 00000	10/12/2011	09/15/2016	462.03	303.80	0.00	0.00	158.23	Box 6: Gross Proceeds
MATTEL INCORPORATED CUSIP: 577081102	13 00000	03/11/2010	05/06/2016	397.66	296.42	0.00	0.00	101.24	Box 6: Gross Proceeds
	18 00000	04/21/2010	05/06/2016	550.61	423.69	0.00	0.00	126.92	Box 6: Gross Proceeds
	9 00000	04/21/2010	09/15/2016	282.86	211.85	0.00	0.00	71.01	Box 6: Gross Proceeds
Subtotals	40.00000			\$1,231.13	\$931.96	\$0.00	\$0.00	\$299.17	
MCKESSON CORPORATION CUSIP: 58155Q103	4 00000	05/17/2010	09/15/2016	664.83	270.84	0.00	0.00	393.99	Box 6: Gross Proceeds
	5 00000	05/17/2010	11/02/2016	670.23	338.53	0.00	0.00	331.70	Box 6: Gross Proceeds
	5 00000	11/01/2010	11/02/2016	670.23	335.92	0.00	0.00	334.31	Box 6: Gross Proceeds
Subtotals	14.00000			\$2,005.29	\$945.29	\$0.00	\$0.00	\$1,060.00	

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Account Number: 6513-1664

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
NEW YORK COMMUNITY BANCORP INC CUSIP: 649445103	11.00000	12/03/2009	09/15/2016	161.59	130.72	0.00	0.00	30.87	Box 6: Gross Proceeds
NISOURCE INC CUSIP: 65473P105	9.00000	05/28/2009	05/25/2016	215.27	34.05	0.00	0.00	181.22	Box 6: Gross Proceeds
	15.00000	03/11/2010	05/25/2016	358.79	83.58	0.00	0.00	275.21	Box 6: Gross Proceeds
Subtotals	24.00000			\$574.06	\$117.63	\$0.00	\$0.00	\$456.43	
OMNICOM GROUP CUSIP: 681919106	21.00000	05/17/2010	05/25/2016	1,721.54	864.23	0.00	0.00	857.31	Box 6: Gross Proceeds
	8.00000	05/17/2010	09/15/2016	674.71	329.23	0.00	0.00	345.48	Box 6: Gross Proceeds
Subtotals	29.00000			\$2,396.25	\$1,193.46	\$0.00	\$0.00	\$1,202.79	
ROCKWELL COLLINS CUSIP: 774341101	7.00000	07/14/2008	02/03/2016	548.72	330.26	0.00	0.00	218.46	Box 6: Gross Proceeds
	8.00000	07/14/2008	09/15/2016	653.59	377.44	0.00	0.00	276.15	Box 6: Gross Proceeds
Subtotals	15.00000			\$1,202.31	\$707.70	\$0.00	\$0.00	\$494.61	
ST JUDE MEDICAL INC CUSIP: 790849103	46.00000	02/05/2008	05/11/2016	3,476.98	1,860.50	0.00	0.00	1,616.48	Box 6: Gross Proceeds
	45.00000	03/11/2008	05/11/2016	3,401.40	1,848.72	0.00	0.00	1,552.68	Box 6: Gross Proceeds
	25.00000	02/08/2011	05/11/2016	1,889.67	1,106.64	0.00	0.00	783.03	Box 6: Gross Proceeds
Subtotals	116.00000			\$8,768.05	\$4,815.86	\$0.00	\$0.00	\$3,952.19	
SONOCO PRODUCTS CO CUSIP: 835495102	22.00000	09/22/2011	09/15/2016	1,135.40	658.26	0.00	0.00	477.14	Box 6: Gross Proceeds
SUN COMMUNITIES INC REIT CUSIP: 866674104	37.00000	01/11/2012	05/25/2016	2,573.29	1,323.72	0.00	0.00	1,249.57	Box 6: Gross Proceeds
	14.00000	01/11/2012	09/15/2016	1,075.88	500.86	0.00	0.00	575.02	Box 6: Gross Proceeds
Subtotals	51.00000			\$3,649.17	\$1,824.58	\$0.00	\$0.00	\$1,824.59	

2016 Year-End Account Summary

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Corrected Date: 06/14/17

Account Number: 6513-1664

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
SUNTRUST BANKS INC CUSIP: 867914103	31 00000	10/19/2011	09/15/2016	1,355.29	593.62	0.00	0.00	761.67	Box 6: Gross Proceeds
WELLTOWER INC CUSIP: 95040Q104	3 00000	05/28/2009	09/15/2016	219.78	88.17	0.00	0.00	131.61	Box 6: Gross Proceeds
XCEL ENERGY INC CUSIP: 98389B100	28 00000	09/11/2007	09/15/2016	1,141.26	586.85	0.00	0.00	554.41	Box 6: Gross Proceeds
ZIMMER BIOMET HOLDINGS INC CUSIP: 98956P102	21 00000 5 00000	04/14/2008 04/14/2008	05/25/2016 09/15/2016	2,562.36 620.84	1,648.97 392.61	0.00 0.00	0.00 0.00	913.39 228.23	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	26.00000			\$3,183.20	\$2,041.58	\$0.00	\$0.00	\$1,141.62	
ENDURANCE SPECIALTY HLDG CUSIP: G30397106	8 00000 17 00000 4 00000 2 00000 23 00000 54.00000	05/07/2009 05/07/2009 05/07/2009 08/19/2009 08/19/2009	05/25/2016 09/15/2016 10/14/2016 10/14/2016 10/17/2016	534.87 1,096.65 367.60 183.80 2,114.28 \$4,297.20	205.53 436.73 102.76 68.30 785.42 \$1,598.74	0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 \$0.00	329.34 659.92 264.84 115.50 1,328.86 \$2,698.46	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	17 00000 36 00000 32 00000 85.00000	01/19/2011 01/19/2011 01/19/2011	01/27/2016 02/03/2016 09/15/2016	500.97 984.69 978.86 \$2,454.52	424.89 899.76 799.79 \$2,124.44	0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 \$0.00	76.08 84.93 179.07 \$340.08	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Totals				\$58,657.70	\$32,056.22	\$0.00	\$0.00	\$26,601.48	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

2016 Year-End Account Summary

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Corrected Date: 05/18/17

Account Number: 1071-1017

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

Dividend and Distribution Details, continued

Investment Expenses, Foreign Taxes Paid and Other Fees

Description	CUSIP	Payment Date	# of Payments	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	ADR Fees	Country (Box 7)	Notes
WASTE CONNECTIONS INC	94106B101	Multiple	2	0 00	4 15	0 00	CANADA	
Totals				\$0.00	\$4.15	\$0.00		

Certain distributions made in January, 2017 are reported as 2016 income according to IRS regulations. Distributions made in January, 2017 did NOT appear on your 2016 monthly statements.



2016 Year-End Account Summary

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Corrected Date: 05/18/17

Account Number: 1071-1017

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

Interest Income Details

Interest Income

Description	CUSIP	Payment Date	# of Payments	Interest Income (Box 1)	Treasury Interest (Box 3)	Notes
BANK DEPOSIT SWEEP		Multiple	12	0.52	0.00	
Totals				\$0.52	\$0.00	

Certain distributions made in January, 2017 are reported as 2016 income according to IRS regulations. Distributions made in January, 2017 did NOT appear on your 2016 monthly statements.

2016 Year-End Account Summary

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Corrected Date: 05/18/17

Account Number: 1071-1017

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

Other Miscellaneous Information

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Partnership Distributions*	\$0 00	Contingent Payment and Market Linked Instrument Events**	
Option Premiums from Convertibles	\$0 00	Contingent Payment / MLCD Payments	\$0 00
Other Non-Reportable Income	\$0 00	Contingent Payment / MLCD Shortfall	\$0 00
		Contingent Payment / MLCD Excess	\$0 00
Accrued Interest Paid		Income Charged from Short Activity	
Accrued Interest Paid, Taxable	\$0 00	Dividends Charged	\$0 00
Accrued Interest Paid, Government Agency	\$0 00	Interests Charged	\$0 00
Accrued Interest Paid, US Treasury	\$0 00	Other Charges	\$0 00
Accrued Interest Paid, Tax Exempt	\$0 00		
Margin / Loan Interest and Account Fees			
Margin / Loan Interest	\$0 00		
Advisory Fees	\$1,501 50		
Other Account Fees	\$0 00		

Other Miscellaneous Information

Margin / Loan Interest and Account Fees

Description	CUSIP	Payment Date	# of Payments	Margin Interest	Advisory Fees	Other Account Fees	Notes
ADVISORY FEE		Multiple	4	0 00	1,501 50	0 00	
Totals				\$0.00	\$1,501.50	\$0.00	

* This information reflects certain partnership distributions credited to your account during the year. A separate schedule K-1 showing the taxpayer's share of the net income or loss will be provided directly to you from each of the partnerships indicated above. We do not report this information to the IRS. Please be sure to compare this information with the schedule K-1 provided by the partnership and to consult with your tax advisor about whether to report income or adjust your basis.

** This section contains your payment activity, as well as determinations of Excess and Shortfall which were done in comparison to the "Projected Payment Schedule" as outlined in the Final Prospectus. This information should be used in conjunction with that supplied on Form(s) 1099-OID on a previous page(s). Please consult your tax advisor and the "Tax Consequences" section of the Final Prospectus on how this impacts your net reportable income for this tax year and potentially the following tax year.



2016 Year-End Account Summary

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Corrected Date: 05/18/17

Account Number: 1071-1017

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
CLUBCORP HOLDINGS INC CUSIP: 18948M108	36 00000	01/15/2016	12/02/2016	475 19	465 44	0 00	0 00	9 75	Box 6: Gross Proceeds
FIREEYE INC CUSIP: 31816Q101	27 00000	03/16/2016	09/23/2016	397 26	465 04	0 00	0 00	-67 78	Box 6: Gross Proceeds
FLEETMATICS GROUP PLCCHG CUSIP: G35569105	18 00000 11 00000 29 00000	01/08/2016 03/10/2016	08/24/2016 08/24/2016	1,079.25 659 55 \$1,738.80	904 18 426 32 \$1,330.50	0 00 0 00 \$0.00	0 00 0 00 \$0.00	175 07 233 23 \$408.30	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals				\$2,611.25	\$2,260.98	\$0.00	\$0.00	\$350.27	
Totals									

2016 Year-End Account Summary

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Corrected Date: 05/18/17

Account Number: 1071-1017

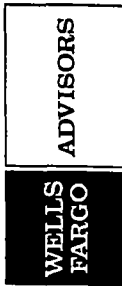
EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
ANSYS INC CUSIP: 03662Q105	22.00000	10/20/2014	08/30/2016	2,109.73	1,623.57	0.00	0.00	486.16	Box 6: Gross Proceeds
CLUBCORP HOLDINGS INC CUSIP: 18948M108	55.00000	07/28/2015	12/02/2016	725.98	1,293.11	0.00	0.00	-567.13	Box 6: Gross Proceeds
DECKERS OUTDOOR CORP CUSIP: 243537107	14.00000	10/20/2014	02/12/2016	690.71	1,223.70	0.00	0.00	-532.99	Box 6: Gross Proceeds
DENTSPLY SIRONA INC CUSIP: 24906P109	0.58460	10/20/2014	03/01/2016	35.22	24.67	0.00	0.00	10.55	Box 6: Gross Proceeds Cash in Lieu
Subtotals	23.00000	10/20/2014	11/14/2016	1,348.78	970.60	0.00	0.00	378.18	Box 6: Gross Proceeds
ELLIE MAE INC CUSIP: 28849P100	23.58460	10/20/2014	07/07/2016	\$1,384.00	\$995.27	\$0.00	\$0.00	\$388.73	
FIREEYE INC CUSIP: 31816Q101	6.00000	10/20/2014	07/07/2016	559.42	228.52	0.00	0.00	330.90	Box 6: Gross Proceeds
FORTINET INC CUSIP: 34959E109	55.00000	11/05/2014	09/23/2016	809.22	1,588.31	0.00	0.00	-779.09	Box 6: Gross Proceeds
Subtotals	38.00000	10/22/2014	11/22/2016	1,152.45	951.89	0.00	0.00	200.56	Box 6: Gross Proceeds
GULFPORT ENERGY CORP COM CUSIP: 402635304	3.00000	12/04/2014	11/22/2016	90.99	81.76	0.00	0.00	9.23	Box 6: Gross Proceeds
Subtotals	41.00000			\$1,243.44	\$1,033.65	\$0.00	\$0.00	\$209.79	
LA QUINTA HOLDINGS INC CUSIP: 50420D108	18.00000	10/20/2014	01/07/2016	402.17	858.53	0.00	0.00	-456.36	Box 6: Gross Proceeds
Subtotals	44.00000	04/29/2015	09/07/2016	509.94	1,063.92	0.00	0.00	-553.98	Box 6: Gross Proceeds
	33.00000	05/06/2015	09/07/2016	382.46	793.86	0.00	0.00	-411.40	Box 6: Gross Proceeds
	26.00000	08/11/2015	09/07/2016	301.34	538.89	0.00	0.00	-237.55	Box 6: Gross Proceeds
Subtotals	103.00000			\$1,193.74	\$2,396.67	\$0.00	\$0.00	(\$1,202.93)	



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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
MONRO MUFFLER BRAKE INC CUSIP: 610236101	23 00000	10/20/2014	11/10/2016	1,249.85	1,176.96	0.00	0.00	72.89	Box 6: Gross Proceeds
NIMBLE STORAGE INC CUSIP: 65440R101	42 00000 27 00000 69.00000	02/24/2015 03/19/2015	08/08/2016 08/08/2016	321.65 206.78 \$528.43	1,110.48 657.33 \$1,767.81	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-788.83 -450.55 (\$1,239.38)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
PROOFPOINT INC CUSIP: 743424103	17 00000	10/20/2014	10/21/2016	1,281.51	613.11	0.00	0.00	668.40	Box 6: Gross Proceeds
QLIK TECHNOLOGIES INCCHG CUSIP: 74733T105	34 00000	03/11/2015	08/23/2016	1,037.00	1,054.83	0.00	0.00	-17.83	Box 6: Gross Proceeds Merger
ROADRUNNER TRANSPORTATION SYSTEMS CUSIP: 76973Q105	54 00000	08/05/2015	12/07/2016	593.43	1,352.93	0.00	0.00	-759.50	Box 6: Gross Proceeds
SEACHANGE INTL INC CUSIP: 811699107	66 00000	10/20/2014	09/01/2016	181.20	476.12	0.00	0.00	-294.92	Box 6: Gross Proceeds
A O SMITH CUSIP: 831865209	12 00000 3 00000 15.00000	02/07/2013 02/07/2013	02/04/2016 02/16/2016	821.28 201.18 \$1,022.46	417.00 104.25 \$521.25	0.00 0.00 \$0.00	0.00 0.00 \$0.00	404.28 96.93 \$501.21	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
SOLERA HOLDINGS INC CHG CUSIP: 83421A104	30 00000 17 00000	10/20/2014 01/15/2015	03/02/2016 03/02/2016	1,673.36 948.24	1,537.17 874.43	0.00 0.00	0.00 0.00	136.19 73.81	Original Basis 1,562.37 Box 6: Gross Proceeds Original Basis 888.73 Box 6: Gross Proceeds
Subtotals	47.00000			\$2,621.60	\$2,411.60	\$0.00	\$0.00	\$210.00	

2016 Year-End Account Summary

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Corrected Date: 05/18/17

Account Number: 1071-1017

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TEXAS ROADHOUSE INC COM CUSIP: 882681109	21 00000	10/20/2014	08/02/2016	881 50	582 54	0 00	0 00	298 96	Box 6: Gross Proceeds
UNITED NATURAL FOODS INC CUSIP: 911163103	30 00000	10/20/2014	06/10/2016	1,288 73	1,970 97	0 00	0 00	-682 24	Box 6: Gross Proceeds
VIRTUSA CORP CUSIP: 92827P102	43 00000	10/20/2014	11/22/2016	861 26	1,551 27	0 00	0 00	-690 01	Box 6: Gross Proceeds
WASTE CONNECTIONS INCCHG CUSIP: 941053100	51 00000	10/20/2014	06/01/2016	3,348 15	2,395 49	0 00	0 00	952 66	Box 6: Gross Proceeds Merger
Totals				\$24,013.53	\$27,116.21	\$0.00	\$0.00	(\$3,102.68)	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

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Corrected Date: 06/14/17

Account Number: 4960-5016

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
AETNA INC(NEW) CUSIP: 00817Y108	19 00000	08/14/2015	05/26/2016	2,143 53	2,288 55	0.00	0.00	-145 02	Box 6: Gross Proceeds
CARDINAL HEALTH INC CUSIP: 14149Y108	7 00000	08/21/2015	05/26/2016	537 66	573 82	0.00	0.00	-36 16	Box 6: Gross Proceeds
CARNIVAL CORP CUSIP: 143658300	18 00000	02/25/2016	05/26/2016	858 40	873 61	0.00	0.00	-15 21	Box 6: Gross Proceeds
CITRIX SYSTEMS INC CUSIP: 177376100	16 00000	05/25/2016	05/26/2016	1,359 33	1,344 82	0.00	0.00	14 51	Box 6: Gross Proceeds
CORNING INC CUSIP: 219350105	69 00000 73 00000 142.00000	03/10/2015 11/23/2015	02/18/2016 02/18/2016	1,302 32 1,377 82 \$2,680.14	1,597 01 1,399 78 \$2,996.79	0.00 0.00 \$0.00	0.00 0.00 \$0.00	-294 69 -21 96 (\$316.65)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
DARDEN RESTAURANTS CUSIP: 237194105	22 00000	02/22/2016	05/26/2016	1,480 35	1,367 55	0.00	0.00	112 80	Box 6: Gross Proceeds
DOLLAR GENERAL CORP CUSIP: 256677105	52 00000	06/09/2015	05/26/2016	4,558 23	3,925 64	0.00	0.00	632.59	Box 6: Gross Proceeds
DOW CHEMICAL COMPANY CUSIP: 260543103	27 00000	06/22/2015	05/26/2016	1,415 04	1,440 93	0.00	0.00	-25 89	Box 6: Gross Proceeds
E M C CORP MASS CUSIP: 268648102	24 00000	11/23/2015	05/25/2016	668 98	609 00	0.00	0.00	59 98	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
EQUIFAX INC CUSIP: 294429105	20.00000	11/20/2015	05/26/2016	2,498.95	2,199.84	0.00	0.00	299.11	Box 6: Gross Proceeds
	53.00000	11/20/2015	06/16/2016	6,391.33	5,829.55	0.00	0.00	561.78	Box 6: Gross Proceeds
Subtotals	73.00000			\$8,890.28	\$8,029.39	\$0.00	\$0.00	\$860.89	
GLOBAL PAYMENTS INC CUSIP: 37940X102	40.00000	12/21/2015	05/26/2016	3,058.33	2,534.50	0.00	0.00	523.83	Box 6: Gross Proceeds
	86.00000	12/21/2015	09/08/2016	6,551.95	5,449.15	0.00	0.00	1,102.80	Box 6: Gross Proceeds
Subtotals	126.00000			\$9,610.28	\$7,983.65	\$0.00	\$0.00	\$1,626.63	
HOLOGIC INC CUSIP: 436440101	37.00000	03/31/2016	05/26/2016	1,254.27	1,276.86	0.00	0.00	-22.59	Box 6: Gross Proceeds
	29.00000	03/31/2016	09/15/2016	1,091.25	1,000.78	0.00	0.00	90.47	Box 6: Gross Proceeds
Subtotals	66.00000			\$2,345.52	\$2,277.64	\$0.00	\$0.00	\$67.88	
ILLINOIS TOOL WORKS INC CUSIP: 452308109	4.00000	09/08/2016	09/15/2016	466.91	478.64	0.00	0.00	-11.73	Box 6: Gross Proceeds
INTERPUBLIC GRP CO INC CUSIP: 460690100	69.00000	10/02/2015	05/26/2016	1,623.54	1,330.30	0.00	0.00	293.24	Box 6: Gross Proceeds
KROGER COMPANY COMMON CUSIP: 501044101	32.00000	02/13/2015	02/04/2016	1,247.00	1,164.32	0.00	0.00	82.68	Box 6: Gross Proceeds
LABORATORY CORP OF AMER HLDGS CUSIP: 50540R409	66.00000	04/24/2015	01/20/2016	7,090.36	8,393.70	0.00	0.00	-1,303.34	Box 6: Gross Proceeds
	1.00000	11/23/2015	01/20/2016	107.43	121.86	0.00	0.00	-14.43	Box 6: Gross Proceeds
Subtotals	67.00000			\$7,197.79	\$8,515.56	\$0.00	\$0.00	(\$1,317.77)	
MACYS INC CUSIP: 55616P104	75.00000	11/23/2015	03/16/2016	3,217.91	2,997.11	0.00	0.00	220.80	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MARRIOTT INTL INC NEW	24 00000	01/20/2016	05/26/2016	1,573.89	1,366.76	0.00	0.00	207.13	Box 6: Gross Proceeds
CL A	10 00000	01/20/2016	09/15/2016	691.88	569.49	0.00	0.00	122.39	Box 6: Gross Proceeds
CUSIP: 571903202	90 00000	01/20/2016	12/21/2016	7,643.05	5,125.32	0.00	0.00	2,517.73	Box 6: Gross Proceeds
Subtotals	124.00000			\$9,908.82	\$7,061.57	\$0.00	\$0.00	\$2,847.25	
MASCO CORP	67 00000	09/21/2015	05/26/2016	2,177.46	1,849.20	0.00	0.00	328.26	Box 6: Gross Proceeds
CUSIP: 574599106	17.00000	09/21/2015	09/15/2016	570.17	469.20	0.00	0.00	100.97	Box 6: Gross Proceeds
Subtotals	84.00000			\$2,747.63	\$2,318.40	\$0.00	\$0.00	\$429.23	
PRUDENTIAL FINANCIAL INC	10 00000	06/22/2015	05/26/2016	786.18	908.27	0.00	0.00	-122.09	Box 6: Gross Proceeds
CUSIP: 744320102									
ROCKWELL AUTOMATION INC	22 00000	06/02/2015	05/26/2016	2,570.64	2,729.37	0.00	0.00	-158.73	Box 6: Gross Proceeds
CUSIP: 773903109									
SANDISK CORPORATION CHG	35 00000	03/10/2015	02/25/2016	2,497.77	2,792.53	0.00	0.00	-294.76	Box 6: Gross Proceeds
CUSIP: 80004C101	8 00000	11/23/2015	02/25/2016	570.93	598.82	0.00	0.00	-27.89	Box 6: Gross Proceeds
Subtotals	43.00000			\$3,068.70	\$3,391.35	\$0.00	\$0.00	(\$322.65)	
SCHWAB CHARLES CORP NEW	27 00000	06/16/2016	09/15/2016	827.26	759.73	0.00	0.00	67.53	Box 6: Gross Proceeds
CUSIP: 808513105									
SCRIPPS NETWORKS	14 00000	04/07/2016	05/26/2016	876.52	930.53	0.00	0.00	-54.01	Box 6: Gross Proceeds
INTERACTIVE									
CUSIP: 811065101									
SIGNATURE BANK NEW YORK	3 00000	07/21/2015	05/26/2016	409.46	463.88	0.00	0.00	-54.42	Box 6: Gross Proceeds
CUSIP: 82669G104									

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
STANLEY BLACK & DECKER INC CUSIP: 854502101	3 00000	08/04/2016	09/15/2016	362 78	367 84	0 00	0 00	-5 06	Box 6: Gross Proceeds
TARGET CORP CUSIP: 87612E106	1 00000	03/16/2016	05/26/2016	69 21	81 17	0 00	0 00	-11 96	Box 6: Gross Proceeds
	95 00000	03/16/2016	11/11/2016	6 784 91	7 710 57	0 00	0 00	-925 66	Box 6: Gross Proceeds
Subtotals	96 00000			\$6,854.12	\$7,791.74	\$0.00	\$0.00	(\$937.62)	
TOTAL SYSTEMS SVC INC CUSIP: 891906109	63 00000	12/01/2015	05/26/2016	3 389 97	3 542 59	0 00	0 00	-152 62	Box 6: Gross Proceeds
UNITED PARCEL SERVICE-B CUSIP: 911312106	15 00000	10/15/2015	05/26/2016	1 536 57	1 549 33	0 00	0 00	-12 76	Box 6: Gross Proceeds
	6 00000	10/15/2015	09/15/2016	1 641 63	619 74	0 00	0 00	21 89	Box 6: Gross Proceeds
Subtotals	21 00000			\$2,178.20	\$2,169.07	\$0.00	\$0.00	\$9.13	
ROYAL CARIBBEAN CRUISES LTD CUSIP: V7780T103	2 00000	05/26/2016	11/23/2016	184 53	149 92	0 00	0 00	14 61	Box 6: Gross Proceeds
Totals				\$84,445.70	\$80,781.58	\$0.00	\$0.00	\$3,664.12	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALASKA AIR GROUP INC CUSIP 011659109	4.00000	01/21/2014	03/18/2016	331.39	159.55	0.00	0.00	171.84	Box 6: Gross Proceeds
	7.00000	01/21/2014	09/15/2016	467.10	279.20	0.00	0.00	187.90	Box 6: Gross Proceeds
Subtotals	11.00000			\$798.49	\$438.75	\$0.00	\$0.00	\$359.74	
AMGEN INC CUSIP 031162100	14.00000	05/14/2015	05/26/2016	2,183.81	2,225.17	0.00	0.00	-41.36	Box 6: Gross Proceeds
	6.00000	05/14/2015	09/15/2016	1,028.68	953.65	0.00	0.00	75.03	Box 6: Gross Proceeds
Subtotals	20.00000			\$3,212.49	\$3,178.82	\$0.00	\$0.00	\$33.67	
ANTHEM INC CUSIP 036752103	11.00000	08/28/2014	05/26/2016	1,423.81	1,275.92	0.00	0.00	147.89	Box 6: Gross Proceeds
BIOGEN INC CUSIP 09062X103	9.00000	09/23/2013	05/26/2016	2,553.87	2,184.51	0.00	0.00	369.36	Box 6: Gross Proceeds
	2.00000	09/23/2013	09/15/2016	600.37	485.45	0.00	0.00	114.92	Box 6: Gross Proceeds
Subtotals	11.00000			\$3,154.24	\$2,669.96	\$0.00	\$0.00	\$484.28	
BLACKROCK INC CUSIP 09247X101	17.00000	10/08/2012	03/31/2016	5,793.19	3,211.15	0.00	0.00	2,582.04	Box 6: Gross Proceeds
	1.00000	07/16/2013	03/31/2016	340.77	267.58	0.00	0.00	73.19	Box 6: Gross Proceeds
	1.00000	08/29/2014	03/31/2016	340.77	329.20	0.00	0.00	11.57	Box 6: Gross Proceeds
	3.00000	03/10/2015	03/31/2016	1,022.34	1,077.12	0.00	0.00	-54.78	Box 6: Gross Proceeds
Subtotals	22.00000			\$7,497.07	\$4,885.05	\$0.00	\$0.00	\$2,612.02	
CIGNA CORPORATION CUSIP 125509109	10.00000	02/26/2015	05/26/2016	1,288.37	1,216.76	0.00	0.00	71.61	Box 6: Gross Proceeds
CINTAS CORP CUSIP 172908105	19.00000	01/20/2015	05/26/2016	1,786.34	1,492.65	0.00	0.00	293.69	Box 6: Gross Proceeds
	6.00000	01/20/2015	08/04/2016	638.98	471.37	0.00	0.00	167.61	Box 6: Gross Proceeds
	51.00000	01/20/2015	09/15/2016	5,833.21	4,006.58	0.00	0.00	1,826.63	Box 6: Gross Proceeds
	9.00000	03/10/2015	09/15/2016	1,022.65	739.53	0.00	0.00	283.12	Box 6: Gross Proceeds
	4.00000	03/10/2015	09/15/2016	457.51	328.68	0.00	0.00	128.83	Box 6: Gross Proceeds
Subtotals	89.00000			\$9,738.69	\$7,038.81	\$0.00	\$0.00	\$2,699.88	

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1801 CENTURY PARK EAST 2230

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Proceeds from: Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CORNING INC CUSIP: 219350105	282.00000	02/09/2015	02/18/2016	5,322.52	6,844.31	0.00	0.00	-1,521.79	Box 6: Gross Proceeds
CUMMINS INC CUSIP: 231021106	10.00000	08/16/2013	03/18/2016	1,087.99	1,253.05	0.00	0.00	-165.06	Box 6: Gross Proceeds
	15.00000	08/16/2013	05/26/2016	1,710.86	1,879.58	0.00	0.00	-168.72	Box 6: Gross Proceeds
	6.00000	08/16/2013	09/15/2016	715.18	751.83	0.00	0.00	-36.65	Box 6: Gross Proceeds
Subtotals	31.00000			\$3,514.03	\$3,884.46	\$0.00	\$0.00	(\$370.43)	
DANAHER CORP CUSIP: 235851102	2.00000	02/06/2013	03/31/2016	189.48	120.60	0.00	0.00	68.88	Box 6: Gross Proceeds
	9.00000	08/29/2014	03/31/2016	852.69	685.17	0.00	0.00	167.52	Box 6: Gross Proceeds
	11.00000	03/10/2015	03/31/2016	1,042.19	934.78	0.00	0.00	107.41	Box 6: Gross Proceeds
Subtotals	22.00000			\$2,084.36	\$1,740.55	\$0.00	\$0.00	\$343.81	
DISNEY WALT COMPANY CUSIP: 254687106	2.00000	02/26/2014	05/26/2016	199.30	160.20	0.00	0.00	39.10	Box 6: Gross Proceeds
	60.00000	02/26/2014	08/18/2016	5,812.33	4,805.80	0.00	0.00	1,006.53	Box 6: Gross Proceeds
	6.00000	03/10/2015	08/18/2016	581.24	620.46	0.00	0.00	-39.22	Box 6: Gross Proceeds
Subtotals	68.00000			\$6,592.87	\$5,586.46	\$0.00	\$0.00	\$1,006.41	
E M C CORP MASS CUSIP: 268648102	6.00000	02/06/2013	05/25/2016	167.24	149.70	0.00	0.00	17.54	Box 6: Gross Proceeds
	10.00000	03/22/2013	05/25/2016	278.73	241.60	0.00	0.00	37.13	Box 6: Gross Proceeds
	11.00000	07/16/2013	05/25/2016	306.61	276.50	0.00	0.00	30.11	Box 6: Gross Proceeds
	2.00000	10/14/2013	05/25/2016	55.74	50.49	0.00	0.00	5.25	Box 6: Gross Proceeds
	13.00000	08/29/2014	05/25/2016	362.35	381.75	0.00	0.00	-19.40	Box 6: Gross Proceeds
	79.00000	03/10/2015	05/25/2016	2,202.02	2,158.27	0.00	0.00	43.75	Box 6: Gross Proceeds
Subtotals	121.00000			\$3,372.69	\$3,258.31	\$0.00	\$0.00	\$114.38	
ELECTRONIC ARTS INC CUSIP: 285512109	32.00000	01/16/2015	05/26/2016	2,400.91	1,530.86	0.00	0.00	870.05	Box 6: Gross Proceeds
	12.00000	01/16/2015	09/15/2016	985.18	574.08	0.00	0.00	411.10	Box 6: Gross Proceeds
Subtotals	44.00000			\$3,386.09	\$2,104.94	\$0.00	\$0.00	\$1,281.15	

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EDWIN W. PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
EXPRESS SCRIPTS HLDG CO CUSIP: 30219G108	8 00000	03/21/2014	05/26/2016	597 11	622 66	0 00	0 00	-25 55	Box 6: Gross Proceeds
F5 NETWORKS INC CUSIP: 315616102	18 00000	08/07/2013	05/26/2016	1,957 82	1,592 52	0 00	0 00	365 30	Box 6: Gross Proceeds
	8 00000	08/07/2013	09/15/2016	949 98	707 79	0 00	0 00	242 19	Box 6: Gross Proceeds
Subtotals	26 00000			\$2,907.80	\$2,300.31	\$0.00	\$0.00	\$607.49	
FOOT LOCKER INC CUSIP: 344849104	5 00000	10/08/2014	05/26/2016	273 72	274 38	0 00	0 00	-0 66	Box 6: Gross Proceeds
	26 00000	10/08/2014	09/15/2016	1,705 30	1,426 74	0 00	0 00	278 56	Box 6: Gross Proceeds
Subtotals	31 00000			\$1,979.02	\$1,701.12	\$0.00	\$0.00	\$277.90	
HOME DEPOT INC CUSIP: 437076102	13 00000	08/15/2012	05/26/2016	1,741 44	717 16	0 00	0 00	1,024 28	Box 6: Gross Proceeds
KROGER COMPANY COMMON CUSIP: 501044101	2 00000	02/13/2015	05/26/2016	71 02	72 77	0 00	0 00	-1 75	Box 6: Gross Proceeds
LAM RESEARCH CORP CUSIP: 512807108	23 00000	11/06/2013	05/26/2016	1,857 67	1,242 87	0 00	0 00	614 80	Box 6: Gross Proceeds
	15 00000	11/06/2013	09/15/2016	1,399 32	810 57	0 00	0 00	588 75	Box 6: Gross Proceeds
Subtotals	38 00000			\$3,256.99	\$2,053.44	\$0.00	\$0.00	\$1,203.55	
LOWES COMPANIES INC CUSIP: 548661107	25 00000	10/04/2013	05/26/2016	2,006 96	1,203 12	0 00	0 00	803 84	Box 6: Gross Proceeds
MACY'S INC CUSIP: 55616P104	2 00000	02/06/2013	03/16/2016	85 81	79 06	0 00	0 00	6 75	Box 6: Gross Proceeds
	20 00000	10/14/2013	03/16/2016	858 10	851 33	0 00	0 00	6 77	Box 6: Gross Proceeds
	25 00000	03/10/2015	03/16/2016	1,072 63	1,578 25	0 00	0 00	-505 62	Box 6: Gross Proceeds
Subtotals	47 00000			\$2,016.54	\$2,508.64	\$0.00	\$0.00	(\$492.10)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1e)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
O'REILLY AUTOMOTIVE INC CUSIP: 67103H107	5 00000	11/28/2012	05/26/2016	1,326 07	463 10	0 00	0 00	862 97	Box 6: Gross Proceeds
	2 00000	11/28/2012	09/15/2016	551 07	185 24	0 00	0 00	365 83	Box 6: Gross Proceeds
Subtotals	7.00000			\$1,877.14	\$648.34	\$0.00	\$0.00	\$1,228.80	
PRUDENTIAL FINANCIAL INC CUSIP: 744320102	7 00000	06/22/2015	09/15/2016	564 54	635 79	0 00	0 00	-71 25	Box 6: Gross Proceeds
ROSS STORES INC (CALIF) CUSIP: 778296103	25 00000	10/06/2014	02/04/2016	1,343 81	949 75	0 00	0 00	394 06	Box 6: Gross Proceeds
	14 00000	10/06/2014	05/26/2016	758 22	531 86	0 00	0 00	226 36	Box 6: Gross Proceeds
	21 00000	10/06/2014	09/15/2016	1,290 84	797 79	0 00	0 00	493 05	Box 6: Gross Proceeds
Subtotals	60.00000			\$3,392.87	\$2,279.40	\$0.00	\$0.00	\$1,113.47	
SANDISK CORPORATION CHG CUSIP: 80004C101	62 00000	05/28/2013	02/25/2016	4,424 61	3,580 76	0 00	0 00	843 85	Box 6: Gross Proceeds
	4 00000	08/29/2014	02/25/2016	285 45	392 09	0 00	0 00	-106 64	Box 6: Gross Proceeds
Subtotals	66.00000			\$4,710.06	\$3,972.85	\$0.00	\$0.00	\$737.21	
SIGNATURE BANK NEW YORK CUSIP: 82669G104	48 00000	07/21/2015	08/04/2016	5,740 20	7,422 02	0 00	0 00	-1,681 82	Box 6: Gross Proceeds
SKYWORKS SOLUTIONS INC CUSIP: 83088M102	11.00000	06/24/2013	05/26/2016	728.73	234.47	0 00	0 00	494.26	Box 6: Gross Proceeds
	18 00000	06/24/2013	09/15/2016	1,375 35	383 68	0 00	0 00	991 67	Box 6: Gross Proceeds
Subtotals	29.00000			\$2,104.08	\$618.15	\$0.00	\$0.00	\$1,485.93	
SOUTHWEST AIRLINES CO CUSIP: 844741108	14 00000	08/14/2014	05/26/2016	585 75	411 87	0 00	0 00	173 88	Box 6: Gross Proceeds
SYNOPSYS CORP CUSIP: 871607107	37 00000	08/20/2012	05/26/2016	1,902 87	1,157 83	0 00	0 00	745 04	Box 6: Gross Proceeds
	20 00000	08/20/2012	09/15/2016	1,162 77	625 85	0 00	0 00	536 92	Box 6: Gross Proceeds
Subtotals	57.00000			\$3,065.64	\$1,783.68	\$0.00	\$0.00	\$1,281.96	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TEXAS INSTRUMENTS INC CUSIP 882508104	3 00000	06/05/2014	03/18/2016	171 22	142 19	0 00	0 00	29 03	Box 6: Gross Proceeds
	30 00000	06/05/2014	05/26/2016	1 820 96	1 421 90	0 00	0 00	399 06	Box 6: Gross Proceeds
	18 00000	06/05/2014	09/15/2016	1 238 55	853 14	0 00	0 00	385 41	Box 6: Gross Proceeds
Subtotals	51 00000			\$3,230.73	\$2,417.23	\$0.00	\$0.00	\$813.50	
ROYAL CARIBBEAN CRUISES LTD CUSIP V7780T103	81 00000	09/03/2014	11/23/2016	6 663 29	5 266 92	0 00	0 00	1 396 37	Box 6: Gross Proceeds
	5 00000	03/10/2015	11/23/2016	411 32	389 35	0 00	0 00	21 97	Box 6: Gross Proceeds
Subtotals	86 00000			\$7,074.61	\$5,656.27	\$0.00	\$0.00	\$1,418.34	
Totals				\$98,308.22	\$81,147.92	\$0.00	\$0.00	\$17,160.30	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ALPHABET INC VOTING CUSIP. 02079K305	1.00000 1.00000	12/08/2010 12/08/2010	05/26/2016 09/15/2016	735.01 795.65	294.91 294.91	0.00 0.00	0.00 0.00	440.10 500.74	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	2.00000			\$1,530.66	\$589.82	\$0.00	\$0.00	\$940.84	
AMERICAN EXPRESS COMPANY CUSIP. 025816109	12.00000	08/24/2010	05/26/2016	781.66	476.17	0.00	0.00	305.49	Box 6: Gross Proceeds
APPLE INC CUSIP. 037833100	3.00000 12.00000 15.00000	03/12/2009 03/12/2009 03/12/2009	05/26/2016 09/15/2016 09/15/2016	297.89 1,383.51 \$1,681.40	40.16 160.62 \$200.78	0.00 0.00 \$0.00	0.00 0.00 \$0.00	257.73 1,222.89 \$1,480.62	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
AUTOZONE INC CUSIP. 053332102	1.00000 1.00000 2.00000	10/29/2008 10/29/2008 10/29/2008	05/26/2016 09/15/2016 09/15/2016	773.92 737.11 \$1,511.03	119.36 119.35 \$238.71	0.00 0.00 \$0.00	0.00 0.00 \$0.00	654.56 617.76 \$1,272.32	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
BOEING CO CUSIP. 097023105	4.00000	06/04/2012	05/26/2016	516.03	269.63	0.00	0.00	246.40	Box 6: Gross Proceeds
CVS HEALTH CORPORATION CUSIP. 126650100	21.00000	11/10/2011	05/26/2016	2,036.75	808.53	0.00	0.00	1,228.22	Box 6: Gross Proceeds
DANAHER CORP CUSIP. 235851102	62.00000	07/18/2011	03/31/2016	5,874.07	3,236.40	0.00	0.00	2,637.67	Box 6: Gross Proceeds
EMC CORP MASS CHG CUSIP. 268648102	177.00000	02/18/2009	05/25/2016	4,933.62	2,100.36	0.00	0.00	2,833.26	Box 6: Gross Proceeds
GILEAD SCIENCES INC CUSIP. 375558103	8.00000	02/27/2008	05/26/2016	683.43	175.20	0.00	0.00	508.23	Box 6: Gross Proceeds

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Corrected Date: 06/14/17

Account Number: 4960-5016

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
MACY'S INC CUSIP: 55618P104	81.00000	01/04/2012	03/16/2016	3,475.33	2,637.12	0.00	0.00	838.21	Box 6: Gross Proceeds
MCKESSON CORPORATION CUSIP: 58155Q103	6.00000	08/31/2011	05/26/2016	1,080.16	479.04	0.00	0.00	601.12	Box 6: Gross Proceeds
MICROSOFT CORP CUSIP: 594918104	24.00000 17.00000 41.00000	02/27/2008 02/27/2008	05/26/2016 09/15/2016	1,241.01 964.22 \$2,205.23	676.80 479.40 \$1,156.20	0.00 0.00 \$0.00	0.00 0.00 \$0.00	564.21 484.82 \$1,049.03	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
ORACLE CORPORATION CUSIP: 68389X105	43.00000 11.00000 54.00000	02/27/2008 02/27/2008	05/26/2016 09/15/2016	1,718.67 446.26 \$2,164.93	825.17 211.09 \$1,036.26	0.00 0.00 \$0.00	0.00 0.00 \$0.00	893.50 235.17 \$1,128.67	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
WESTERN DIGITAL CORP CUSIP: 958102105	32.00000	04/13/2009	09/15/2016	1,691.48	682.59	0.00	0.00	1,008.89	Box 6: Gross Proceeds
Totals				\$30,165.78	\$14,086.81	\$0.00	\$0.00	\$16,078.97	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

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Corrected Date: 06/14/17

Account Number: 8198-1169

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AIA GROUP LTD SPONSORED ADR CUSIP: 001317205	29 00000	02/18/2016	04/26/2016	696 64	588 17	0 00	0 00	108 47	Box 6: Gross Proceeds
	23 00000	02/18/2016	06/08/2016	547 47	466 47	0 00	0 00	81 00	Box 6: Gross Proceeds
	24 00000	02/26/2016	06/08/2016	571 28	504 01	0 00	0 00	67 27	Box 6: Gross Proceeds
Subtotals	76.00000			\$1,815.39	\$1,558.65	\$0.00	\$0.00	\$256.74	
ACTELION LTD UNSPONSORED ADR CUSIP: 00507G102	10 00000	02/10/2016	05/26/2016	407 88	327 80	0 00	0 00	80 08	Box 6: Gross Proceeds
	11 00000	02/10/2016	06/03/2016	463 67	360 57	0 00	0 00	103 10	Box 6: Gross Proceeds
	2 00000	02/10/2016	06/06/2016	84 59	65 55	0 00	0 00	19 04	Box 6: Gross Proceeds
	7 00000	02/11/2016	06/06/2016	296 08	227 22	0 00	0 00	68 86	Box 6: Gross Proceeds
	4 00000	03/18/2016	06/06/2016	169 20	135 88	0 00	0 00	33 32	Box 6: Gross Proceeds
	13 00000	03/18/2016	06/24/2016	515 22	441 60	0 00	0 00	73 62	Box 6: Gross Proceeds
Subtotals	47.00000			\$1,936.64	\$1,558.62	\$0.00	\$0.00	\$378.02	
ANHUI CONCH CEMENT CO LTD - UNSPON ADR CUSIP: 035243104	26 00000	06/10/2016	09/15/2016	362 30	312 23	0 00	0 00	50 07	Box 6: Gross Proceeds
ARM HOLDINGS PLC SPONSORED ADR CUSIP: 042068106	7 00000	07/22/2015	02/17/2016	278 29	318 69	0 00	0 00	-40 40	Box 6: Gross Proceeds
	6 00000	07/23/2015	02/17/2016	238 53	284 98	0 00	0 00	-46 45	Box 6: Gross Proceeds
	6 00000	07/28/2015	02/17/2016	238 53	280 86	0 00	0 00	-42 33	Box 6: Gross Proceeds
	7 00000	07/31/2015	02/17/2016	278 29	329 89	0 00	0 00	-51 60	Box 6: Gross Proceeds
	7 00000	08/06/2015	02/17/2016	278 30	309 61	0 00	0 00	-31 31	Box 6: Gross Proceeds
	9 00000	08/17/2015	02/17/2016	357 82	386 37	0 00	0 00	-28 55	Box 6: Gross Proceeds
Subtotals	42.00000			\$1,669.76	\$1,910.40	\$0.00	\$0.00	(\$240.64)	

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
AXA-ADR CUSIP: 054536107	20.00000	02/16/2016	09/15/2016	425.79	445.48	0.00	0.00	-19.69	Box 6: Gross Proceeds
BNP PARIBAS ADR CUSIP: 05565A202	19.00000	03/30/2016	09/15/2016	486.58	479.31	0.00	0.00	7.27	Box 6: Gross Proceeds
BT GROUP PLC-ADR CUSIP: 05577E101	4.00000	06/20/2016	09/15/2016	100.80	125.38	0.00	0.00	-24.58	Box 6: Gross Proceeds
	14.00000	06/20/2016	10/26/2016	334.44	438.83	0.00	0.00	-104.39	Box 6: Gross Proceeds
Subtotals	18.00000			\$435.24	\$564.21	\$0.00	\$0.00	(\$128.97)	
BAIDU INC ADR CUSIP: 056752108	2.00000	02/09/2016	02/26/2016	348.68	280.57	0.00	0.00	68.11	Box 6: Gross Proceeds
	5.00000	02/12/2016	02/26/2016	871.71	767.25	0.00	0.00	104.46	Box 6: Gross Proceeds
	2.00000	07/08/2016	09/15/2016	370.97	319.88	0.00	0.00	51.09	Box 6: Gross Proceeds
Subtotals	9.00000			\$1,591.36	\$1,367.70	\$0.00	\$0.00	\$223.66	
BARRATT DEVELOPMENTS PLC UNSPONSORED ADR CUSIP: 068334101	18.00000	06/20/2016	08/17/2016	206.63	302.77	0.00	0.00	-96.14	Box 6: Gross Proceeds
BHP BILLITON LTD SPON ADR CUSIP: 088606108	10.00000	03/03/2016	04/19/2016	313.30	253.97	0.00	0.00	59.33	Box 6: Gross Proceeds
	21.00000	03/03/2016	04/20/2016	688.74	533.34	0.00	0.00	155.40	Box 6: Gross Proceeds
	41.00000	03/03/2016	04/22/2016	1,308.37	1,059.38	0.00	0.00	248.99	Box 6: Gross Proceeds
	11.00000	03/03/2016	04/22/2016	351.02	279.36	0.00	0.00	71.66	Box 6: Gross Proceeds
	20.00000	05/19/2016	09/12/2016	621.63	547.21	0.00	0.00	74.42	Box 6: Gross Proceeds
Subtotals	103.00000			\$3,283.06	\$2,673.26	\$0.00	\$0.00	\$609.80	
CME GROUP INC CUSIP: 12572Q105	11.00000	04/06/2015	01/13/2016	938.45	1,019.91	0.00	0.00	-81.46	Box 6: Gross Proceeds
	3.00000	04/06/2015	01/20/2016	253.09	278.15	0.00	0.00	-25.06	Box 6: Gross Proceeds
	4.00000	05/01/2015	01/20/2016	337.45	364.01	0.00	0.00	-26.56	Box 6: Gross Proceeds
	2.00000	05/12/2015	01/20/2016	168.72	190.72	0.00	0.00	-22.00	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
CME GROUP INC CUSIP: 12572Q105	4 00000	05/29/2015	01/20/2016	337.45	376.35	0.00	0.00	-38.90	Box 6: Gross Proceeds
	3 00000	07/08/2015	01/20/2016	253.09	284.16	0.00	0.00	-31.07	Box 6: Gross Proceeds
	3 00000	07/29/2015	01/20/2016	253.09	290.04	0.00	0.00	-36.95	Box 6: Gross Proceeds
	3 00000	08/03/2015	01/20/2016	253.11	289.84	0.00	0.00	-36.73	Box 6: Gross Proceeds
Subtotals	33.00000			\$2,794.45	\$3,093.18	\$0.00	\$0.00	(\$298.73)	
CHINA MOBILE LTD SPONS ADR CUSIP: 16941M109	11 00000	12/01/2015	03/03/2016	606.35	639.86	0.00	0.00	-33.51	Box 6: Gross Proceeds
	9 00000	12/01/2015	03/17/2016	495.07	523.52	0.00	0.00	-28.45	Box 6: Gross Proceeds
	5 00000	12/07/2015	03/17/2016	275.04	293.11	0.00	0.00	-18.07	Box 6: Gross Proceeds
	5 00000	12/22/2015	03/17/2016	275.04	283.72	0.00	0.00	-8.68	Box 6: Gross Proceeds
Subtotals	30.00000			\$1,651.50	\$1,740.21	\$0.00	\$0.00	(\$88.71)	
CHINA PETROLEUM & CHEM CORP CUSIP: 16941R108	8 00000	04/21/2016	09/15/2016	550.55	567.68	0.00	0.00	-17.13	Box 6: Gross Proceeds
COMPAGNIE DE ST-GOBAIN- UNSPON ADR CUSIP: 204280309	108 00000	05/04/2015	02/25/2016	834.86	996.34	0.00	0.00	-161.48	Box 6: Gross Proceeds
COMPAGNIE FINANCIERE RICHEMONT SA-UNSPON ADR CUSIP: 204319107	81 00000	04/12/2016	09/15/2016	464.53	510.23	0.00	0.00	-45.70	Box 6: Gross Proceeds
COMPASS GRP PLC SPON ADR 1 RCPT= 1 ORD SHRS CUSIP: 20449X302	15 00000	09/18/2015	02/05/2016	266.97	242.20	0.00	0.00	24.77	Box 6: Gross Proceeds
	3 00000	09/18/2015	02/09/2016	51.69	48.43	0.00	0.00	3.26	Box 6: Gross Proceeds
	17 00000	09/22/2015	02/09/2016	292.95	268.12	0.00	0.00	24.83	Box 6: Gross Proceeds
	17.00000	10/02/2015	02/09/2016	292.95	273.07	0.00	0.00	19.88	Box 6: Gross Proceeds
	17 00000	10/14/2015	02/09/2016	292.96	277.43	0.00	0.00	15.53	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount (Box 1i)	Additional Information
COMPASS GRP PLC SPON ADR 1 RCPT= 1 ORD SHRS CUSIP: 20449X302	7 00000 10 00000 19 00000	11/02/2015 11/02/2015 11/20/2015	02/09/2016 03/04/2016 03/04/2016	120 64 177 09 336 48	118 34 169 05 312 38	0 00 0 00 0 00	0 00 0 00 0 00	2 30 8 04 24 10	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	105.00000			\$1,831.73	\$1,709.02	\$0.00	\$0.00	\$122.71	
CTRIPO COM INTERNATIONAL LTD ADR CUSIP: 22943F100	16 00000 8 00000 8 00000 2 00000	08/10/2015 08/20/2015 10/20/2015 03/17/2016	04/12/2016 04/12/2016 09/15/2016 09/15/2016	745 24 372 63 349 59 87 40	621 88 256 91 291 95 81 45	0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00	123 36 115 72 57 64 5 95	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	34.00000			\$1,554.86	\$1,252.19	\$0.00	\$0.00	\$302.67	
DAIMLER AG SPONSORED ADR CUSIP: 233825108	6 00000	05/05/2016	09/15/2016	416 96	404 57	0 00	0 00	12 39	Box 6: Gross Proceeds
DEUTSCHE BOERSE AG-UNSPON ADR CUSIP: 251542106	126 00000 24 00000 39 00000 22 00000 15 00000 70 00000 41 00000	05/07/2015 05/07/2015 05/21/2015 06/11/2015 06/11/2015 06/23/2015 07/15/2015	02/03/2016 02/22/2016 02/22/2016 02/22/2016 02/25/2016 02/25/2016 02/25/2016	1 086 94 199 06 323 48 182 49 126 51 590 42 345 83	1 043 11 198 68 320 03 181 04 123 42 593 31 379 12	0 00 0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00 0 00	43 83 0 38 3 45 1 45 3 09 -2 89 -33 29	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	337.00000			\$2,854.73	\$2,838.71	\$0.00	\$0.00	\$16.02	
DEUTSCHE TELEKOM AG SPON ADR CUSIP: 251566105	27 00000	02/03/2016	09/15/2016	434 83	464 87	0 00	0 00	-30 04	Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
EAST JAPAN RAILWAY CO- ADR CUSIP 273202101	41 000000	10/23/2015	09/15/2016	589 37	647 47	0 00	0 00	-58 10	Box 6: Gross Proceeds
EDF - UNSPON ADR CUSIP 285039103	163 000000 114 000000 218 000000 177 000000 672 000000	03/09/2016 03/09/2016 03/09/2016 04/13/2016	04/28/2016 09/15/2016 09/21/2016 09/21/2016	448 35 277 58 513 98 417 33 \$1,657.24	347 65 243 14 464 93 437 72 \$1,493.44	0 00 0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 0 00 \$0.00	100 70 34 44 49 05 -20 39 \$163.80	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
EXXON MOBIL CORP CUSIP 30231G102	11 000000 3 000000 4 000000 18 000000	01/06/2016 01/06/2016 01/20/2016	03/18/2016 03/30/2016 03/30/2016	923 10 253 96 338 62 \$1,515.68	853 25 232 70 288 17 \$1,374.12	0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 \$0.00	69 85 21 26 50 45 \$141.56	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
FERROVIAL S A CUSIP 315437103	0 845000 23 000000 0 889000 24 734000	07/14/2016 04/15/2016 12/06/2016	07/14/2016 09/15/2016 12/06/2016	16 96 467 35 15 89 \$500.20	0 00 494 23 0 00 \$494.23	0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 \$0.00	16 96 -26 88 15 89 \$5.97	Box 6: Gross Proceeds Cash In Lieu Box 6: Gross Proceeds Cash In Lieu
Subtotals									
FREEPORT-MCMORAN INC CLASS B CUSIP 35671D857	51 000000 25 000000 6 000000 26 000000 33 000000 40 000000	09/10/2015 09/14/2015 09/17/2015 09/17/2015 11/25/2015 02/24/2016	03/18/2016 03/18/2016 03/18/2016 03/23/2016 03/23/2016 03/23/2016	538 95 264 19 63 41 262 68 333 41 404 15	568 75 284 01 70 83 306 89 278 06 289 04	0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00	-29 80 -19 82 -7 42 -44 21 55 35 115 11	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST 2230

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
FREEPORT-MCMORAN INC									
CLASS B									
CUSIP: 35671D857	181.00000			\$1,866.79	\$1,797.58	\$0.00	\$0.00	\$69.21	
Subtotals									
GLAXOSMITHKLINE PLC-ADR	12.00000	04/04/2016	09/15/2016	520.25	492.08	0.00	0.00	28.17	Box 6: Gross Proceeds
CUSIP: 37733W105									
GRUPO TELEVISIA S A									
DE CV GLOBAL DEP RCPT	10.00000	02/03/2016	09/15/2016	247.99	263.21	0.00	0.00	-15.22	Box 6: Gross Proceeds
CUSIP: 40049J206	10.00000	02/25/2016	09/15/2016	248.00	253.41	0.00	0.00	-5.41	Box 6: Gross Proceeds
	3.00000	03/02/2016	09/15/2016	174.40	80.31	0.00	0.00	-5.91	Box 6: Gross Proceeds
	17.00000	03/02/2016	09/19/2016	412.58	455.09	0.00	0.00	-42.51	Box 6: Gross Proceeds
	22.00000	03/04/2016	09/19/2016	533.93	611.18	0.00	0.00	-77.25	Box 6: Gross Proceeds
	16.00000	03/04/2016	11/16/2016	362.09	444.49	0.00	0.00	-82.40	Box 6: Gross Proceeds
	20.00000	03/17/2016	11/16/2016	452.63	547.71	0.00	0.00	-95.08	Box 6: Gross Proceeds
Subtotals	98.00000			\$2,331.62	\$2,655.40	\$0.00	\$0.00	(\$323.78)	
HDFC BANK LTD-ADR									
CUSIP: 40415F101	10.00000	12/14/2015	03/31/2016	616.79	574.19	0.00	0.00	42.60	Box 6: Gross Proceeds
	9.00000	12/16/2015	04/05/2016	547.49	533.66	0.00	0.00	13.83	Box 6: Gross Proceeds
	4.00000	01/20/2016	04/05/2016	243.33	219.72	0.00	0.00	23.61	Box 6: Gross Proceeds
	5.00000	01/25/2016	04/05/2016	304.17	280.60	0.00	0.00	23.57	Box 6: Gross Proceeds
Subtotals	28.00000			\$1,711.78	\$1,608.17	\$0.00	\$0.00	\$103.61	
HONG KONG EXCHANGES AND									
CLEARING LTD- UNSPON ADR	22.00000	10/23/2015	09/15/2016	579.03	588.43	0.00	0.00	-9.40	Box 6: Gross Proceeds
CUSIP: 43858F109	3.00000	10/27/2015	09/15/2016	178.96	78.89	0.00	0.00	0.07	Box 6: Gross Proceeds
Subtotals	25.00000			\$657.99	\$667.32	\$0.00	\$0.00	(\$9.33)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
HOWDEN JOINERY GROUP PLC UNSPONSORED ADR CUSIP 442879102	6 00000	07/27/2016	09/16/2016	134 70	138 15	0 00	0 00	-3 45	Box 6: Gross Proceeds
ITV PLC-UNSPON ADR CUSIP 45069P107	8 00000	07/15/2015	06/30/2016	187 15	344 03	0 00	0 00	-156 88	Box 6: Gross Proceeds
IBERDROLA SA-SPONSORED ADR CUSIP 450737101	14 00000	12/23/2015	09/15/2016	369 31	395 89	0 00	0 00	-26 58	Box 6: Gross Proceeds
INFINEON TECHNOLOGIES AG ADR CUSIP 45662N103	14 00000	07/06/2016	09/15/2016	232 11	187 06	0 00	0 00	45 05	Box 6: Gross Proceeds
INGENICO GROUP UNSP ADR CUSIP 45684W107	12 00000 2 00000	06/20/2016 08/04/2016	09/15/2016 09/15/2016	214 91 35 82	271 15 42 57	0 00 0 00	0 00 0 00	-56 24 -6 75	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	14 00000			\$250 73	\$313 72	\$0 00	\$0 00	(\$62 99)	
INTERNATIONAL CONS AIRLINES GROUP SA CUSIP 459348108	7 00000 12 00000 7 00000	07/16/2015 07/23/2015 07/31/2015	02/22/2016 02/22/2016 02/22/2016	268 50 460 28 268 51	304 53 535 01 292 76	0 00 0 00 0 00	0 00 0 00 0 00	-36 03 -74 73 -24 25	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	26 00000			\$997 29	\$1,132 30	\$0 00	\$0 00	(\$135 01)	
INTESA SANPAOLO SPON ADR CUSIP 46115H107	45 00000 14 00000 39 00000 2 00000 10 00000 13 00000	05/04/2015 06/01/2015 06/12/2015 06/15/2015 06/15/2015 06/29/2015	01/05/2016 01/21/2016 01/21/2016 01/21/2016 01/26/2016 01/26/2016	872 67 239 11 666 11 34 16 168 09 218 52	923 60 304 92 850 18 42 62 213 09 290 10	0 00 0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00 0 00	-50 93 -65 81 -184 07 -8 46 -45 00 -71 58	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
INTESA SANPAOLO SPON ADR CUSIP 46115H107	15 00000	07/06/2015	01/26/2016	252 14	309.74	0.00	0.00	-57 60	Box 6: Gross Proceeds
	21 00000	07/07/2015	01/26/2016	353 01	415.26	0.00	0.00	-62 25	Box 6: Gross Proceeds
	19 00000	08/02/2016	09/15/2016	266 85	236 61	0.00	0.00	30 24	Box 6: Gross Proceeds
Subtotals	178.00000			\$3,070.66	\$3,586.12	\$0.00	\$0.00	(\$515.46)	
JAPAN AIRLINES CO ADR LTD CUSIP 474038109	26 00000	02/05/2016	09/15/2016	385 44	458 39	0.00	0.00	-72 95	Box 6: Gross Proceeds
	32 00000	02/05/2016	10/27/2016	468 25	564 16	0.00	0.00	-95 91	Box 6: Gross Proceeds
	31 00000	02/22/2016	10/27/2016	453 62	557 48	0.00	0.00	-103 86	Box 6: Gross Proceeds
	24 00000	02/25/2016	10/27/2016	351 19	445 75	0.00	0.00	-94 56	Box 6: Gross Proceeds
Subtotals	113.00000			\$1,658.50	\$2,025.78	\$0.00	\$0.00	(\$367.28)	
JAPAN AIRPORT TERMINAL CO LTD CUSIP 471042101	27 00000	04/13/2016	09/15/2016	526 22	514 69	0.00	0.00	11 53	Box 6: Gross Proceeds
JD.COM INC SPONSORED ADR REPSTG COM CL A CUSIP 47215P106	23 00000	04/26/2016	09/15/2016	593 85	582 82	0.00	0.00	11 03	Box 6: Gross Proceeds
	1 00000	04/28/2016	09/15/2016	25 82	25 98	0.00	0.00	-0.16	Box 6: Gross Proceeds
Subtotals	24.00000			\$619.67	\$608.80	\$0.00	\$0.00	\$10.87	
KANSAS CITY SOUTHERN NEW CUSIP 485170302	3 00000	06/22/2015	05/05/2016	278 06	287 83	0.00	0.00	-9 77	Box 6: Gross Proceeds
	3 00000	12/16/2015	05/05/2016	278 07	227 49	0.00	0.00	50 58	Box 6: Gross Proceeds
	2 00000	12/16/2015	06/21/2016	179 74	151 66	0.00	0.00	28 08	Box 6: Gross Proceeds
	4 00000	03/04/2016	06/21/2016	359.49	346 62	0.00	0.00	12 87	Box 6: Gross Proceeds
Subtotals	12.00000			\$1,095.36	\$1,013.60	\$0.00	\$0.00	\$81.76	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

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KONINKLIJKE PHILIPS N V NY REG SH CUSIP: 500472303	16 00000	01/14/2016	09/15/2016	463 35	396 08	0 00	0 00	67 27	Box 6: Gross Proceeds
KOREA ELEC PWR CORP SPONSORED ADR CUSIP: 500631106	9 00000	02/23/2015	02/22/2016	197 89	182 85	0 00	0 00	15 04	Box 6: Gross Proceeds
LAFARGEHOLCIM LTD ADR UNSPON CUSIP: 50586V108	47 00000	04/04/2016	09/15/2016	484 09	459 63	0 00	0 00	24 46	Box 6: Gross Proceeds
LEGAL & GEN GROUP PLC SPONSORED ADR CUSIP: 52463H103	7 00000	06/14/2016	09/15/2016	97 41	108 21	0 00	0 00	-10 80	Box 6: Gross Proceeds
LINDE AG SPONSORED ADR LEVEL 1 CUSIP: 535223200	78 00000 70 00000	04/13/2016 04/20/2016	08/17/2016 08/17/2016	1,309 41 1,175 12	1,135 95 1,079 08	0 00 0 00	0 00 0 00	173 46 96 04	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	148 00000			\$2,484.53	\$2,215.03	\$0.00	\$0.00	\$269.50	
LLOYDS BANKING GROUP PLC ADR CUSIP: 539439109	123 00000 123 00000 54 00000 67 00000 25 00000	09/22/2015 10/13/2015 10/14/2015 10/14/2015 11/02/2015	04/14/2016 04/14/2016 04/14/2016 09/15/2016 09/15/2016	484 52 484 52 212 72 203 33 75 88	564 39 567 52 249 25 309 24 117 12	0 00 0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00 0 00	-79 87 -83 00 -36 53 -105 91 -41 24	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	392 00000			\$1,460.97	\$1,807.52	\$0.00	\$0.00	(\$346.55)	

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Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
LONDON STOCK ADR EXCHANGE GRP PLC UNSPON CUSIP: 54211N101	59 000000	11/06/2015	03/07/2016	583 61	579 70	0 00	0 00	3 91	Box 6: Gross Proceeds
	54 000000	11/09/2015	03/07/2016	537 75	533 00	0 00	0 00	4 75	Box 6: Gross Proceeds
	5 000000	11/09/2015	03/07/2016	49 46	49 36	0 00	0 00	0 10	Box 6: Gross Proceeds
Subtotals	118.000000			\$1,170.82	\$1,162.06	\$0.00	\$0.00	\$8.76	
LUNDIN PETROLEUM AB CHG UNSPONSORED ADR CUSIP: 55037R101	42.000000	01/07/2016	03/04/2016	679 49	546 01	0 00	0 00	133 48	Box 6: Gross Proceeds
	46 000000	01/12/2016	03/04/2016	744 21	538 36	0 00	0 00	205 85	Box 6: Gross Proceeds
Subtotals	88.000000			\$1,423.70	\$1,084.37	\$0.00	\$0.00	\$339.33	
MASTERCARD INC CL A CUSIP: 57636Q104	9 000000	01/20/2016	04/04/2016	855 70	765 09	0 00	0 00	90 61	Box 6: Gross Proceeds
	3 000000	01/20/2016	04/07/2016	280 34	255 03	0 00	0 00	25 31	Box 6: Gross Proceeds
	3 000000	02/08/2016	04/07/2016	280 35	243 29	0 00	0 00	37 06	Box 6: Gross Proceeds
	3.000000	07/01/2016	08/08/2016	290 05	267 44	0 00	0 00	22 61	Box 6: Gross Proceeds
Subtotals	18.000000			\$1,706.44	\$1,530.85	\$0.00	\$0.00	\$175.59	
NATIONAL GRID PLC SPON ADR NEW CUSIP: 636274300	18 000000	05/01/2015	03/03/2016	1,202 78	1,210 26	0 00	0 00	-7 48	Box 6: Gross Proceeds
NESTLE S A REG ADR CUSIP: 641069406	8 000000	08/26/2015	04/18/2016	600 86	592 45	0 00	0 00	8 41	Box 6: Gross Proceeds
	6 000000	08/31/2015	04/18/2016	450 65	440 85	0 00	0 00	9 80	Box 6: Gross Proceeds
	2 000000	08/31/2015	08/04/2016	157 99	146 94	0 00	0 00	11 05	Box 6: Gross Proceeds
	14 000000	10/16/2015	08/04/2016	1,105 93	1,078 10	0 00	0 00	27 83	Box 6: Gross Proceeds
	6 000000	02/22/2016	09/01/2016	476 25	429 73	0 00	0 00	46 52	Box 6: Gross Proceeds
	8.000000	02/25/2016	09/01/2016	635 00	571 55	0 00	0 00	63 45	Box 6: Gross Proceeds
Subtotals	44.000000			\$3,426.68	\$3,259.62	\$0.00	\$0.00	\$167.06	

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
NIKE INC CLASS B CUSIP 654106103	4.00000	03/17/2016	09/15/2016	219.99	252.86	0.00	0.00	-32.87	Box 6: Gross Proceeds
	3.00000	03/23/2016	09/15/2016	165.00	186.19	0.00	0.00	-21.19	Box 6: Gross Proceeds
Subtotals	7.00000			\$384.99	\$439.05	\$0.00	\$0.00	(\$54.06)	
NIPPON TELEGRAPH & TELEPHONE CORP CUSIP 654624105	3.00000	05/07/2015	01/15/2016	121.93	101.88	0.00	0.00	20.05	Box 6: Gross Proceeds
	10.00000	05/07/2015	04/15/2016	448.29	339.58	0.00	0.00	108.71	Box 6: Gross Proceeds
Subtotals	13.00000			\$570.22	\$441.46	\$0.00	\$0.00	\$128.76	
NOKIA CORP SPONSORED ADR CUSIP 654902204	105.00000	12/01/2015	09/15/2016	579.59	778.24	0.00	0.00	-198.65	Box 6: Gross Proceeds
	51.00000	12/01/2015	11/07/2016	225.32	378.00	0.00	0.00	-152.68	Box 6: Gross Proceeds
	37.00000	12/03/2015	11/07/2016	163.47	274.87	0.00	0.00	-111.40	Box 6: Gross Proceeds
	40.00000	12/08/2015	11/07/2016	176.73	290.73	0.00	0.00	-114.00	Box 6: Gross Proceeds
	46.00000	12/09/2015	11/07/2016	203.23	324.95	0.00	0.00	-121.72	Box 6: Gross Proceeds
	67.00000	02/19/2016	11/07/2016	296.02	403.33	0.00	0.00	-107.31	Box 6: Gross Proceeds
	110.00000	03/16/2016	11/07/2016	486.02	657.58	0.00	0.00	-171.56	Box 6: Gross Proceeds
Subtotals	456.00000			\$2,130.38	\$3,107.70	\$0.00	\$0.00	(\$977.32)	
NOVOZYMES A/S ADR CUSIP: 670108109	6.00000	09/02/2016	09/15/2016	262.46	262.43	0.00	0.00	0.03	Box 6: Gross Proceeds
	20.00000	09/02/2016	12/09/2016	633.33	874.75	0.00	0.00	-241.42	Box 6: Gross Proceeds
Subtotals	26.00000			\$895.79	\$1,137.18	\$0.00	\$0.00	(\$241.39)	
PAYPAL HOLDINGS INC CUSIP 70450Y103	27.00000	02/29/2016	05/12/2016	1,069.60	1,035.17	0.00	0.00	34.43	Box 6: Gross Proceeds
POTASH CORP OF SASKATCHEWAN INC CUSIP 73755L107	20.00000	08/31/2016	09/15/2016	322.39	358.30	0.00	0.00	-35.91	Box 6: Gross Proceeds

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Description (Box 1a) CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
PRICELINE GROUP INC COM NEW CUSIP: 741503403	1 00000 1 00000 2.00000	01/21/2016 02/08/2016 03/15/2016	03/15/2016 03/15/2016 03/15/2016	1,323.96 1,323.96 \$2,647.92	1,081.98 976.41 \$2,058.39	0.00 0.00 \$0.00	0.00 0.00 \$0.00	241.98 347.55 \$589.53	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	2.00000			\$2,647.92	\$2,058.39	\$0.00	\$0.00	\$589.53	
RAKUTEN INC ADR CUSIP: 75102W108	48 00000 68 00000 14 00000 44 00000 41 00000 215.00000	03/31/2016 03/31/2016 04/05/2016 04/05/2016 04/20/2016 04/20/2016	09/15/2016 12/07/2016 12/07/2016 12/16/2016 12/16/2016 12/16/2016	607.67 670.74 138.10 421.10 392.39 \$2,230.00	468.10 663.12 139.45 438.26 495.06 \$2,203.99	0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 \$0.00	139.57 7.62 -1.35 -17.16 -102.67 \$26.01	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	215.00000			\$2,230.00	\$2,203.99	\$0.00	\$0.00	\$26.01	
RECKITT BENCKISER PLC SPONSORED ADR CUSIP: 756255204	30 00000 3 00000 25 00000 1 00000 30 00000 2 00000 26 00000 117.00000	12/11/2015 12/15/2015 12/15/2015 12/15/2015 12/22/2015 12/22/2015 01/21/2016 01/21/2016	04/07/2016 04/07/2016 04/18/2016 05/12/2016 05/12/2016 06/28/2016 06/28/2016 06/28/2016	578.31 57.84 486.98 19.99 599.76 37.91 492.89 \$2,273.68	566.98 56.55 471.23 18.84 553.07 36.87 451.38 \$2,154.92	0.00 0.00 0.00 0.00 0.00 0.00 0.00 \$0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00 \$0.00	11.33 1.29 15.75 1.15 46.69 1.04 41.51 \$118.76	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	117.00000			\$2,273.68	\$2,154.92	\$0.00	\$0.00	\$118.76	
RENAULT SA UNSPON ADR 1 RCPT 2 ORD SHRS CUSIP: 759673403	19 00000 15 00000 4 00000 27 00000 25 00000 16 00000 1 00000	09/24/2015 09/28/2015 09/28/2015 10/14/2015 01/15/2016 06/14/2016 06/24/2016	04/04/2016 04/04/2016 04/18/2016 04/18/2016 04/18/2016 09/15/2016 09/15/2016	368.62 291.02 79.04 533.52 494.01 253.59 15.85	274.27 208.54 55.60 471.15 427.58 266.74 15.45	0.00 0.00 0.00 0.00 0.00 0.00 0.00	0.00 0.00 0.00 0.00 0.00 0.00 0.00	94.35 82.48 23.44 62.37 66.43 -13.15 0.40	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds

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RENAULT SA UNSPON ADR CUSIP: 759673403	19 00000 31 00000	06/24/2016 06/28/2016	10/27/2016 10/27/2016	326 68 533 01	293 37 475 51	0 00 0 00	0 00 0 00	33 31 57 50	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	157.00000			\$2,895.34	\$2,488.21	\$0.00	\$0.00	\$407.13	
ROCHE HOLDINGS LTD ADR CUSIP: 771195104	17 00000 7 00000 13 00000 21 00000	05/27/2015 06/19/2015 06/19/2015 01/29/2016	04/13/2016 04/13/2016 05/11/2016 05/11/2016	537 68 221 40 405 20 654 56	627 70 252 34 468 63 674 83	0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00	-90 02 -30 94 -63 43 -20 27	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	58.00000			\$1,818.84	\$2,023.50	\$0.00	\$0.00	(\$204.66)	
ROYAL DUTCH SHELL PLC ADR CL A CUSIP: 780259206	10 00000	04/18/2016	09/15/2016	479 09	516 65	0 00	0 00	-37 56	Box 6: Gross Proceeds
SEVEN & I HOLDINGS ADR CUSIP: 81783H105	22 00000 37 00000	03/16/2016 03/16/2016	09/15/2016 12/07/2016	467 05 683 58	472 99 795 48	0 00 0 00	0 00 0 00	-5 94 -111 90	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	59.00000			\$1,150.63	\$1,268.47	\$0.00	\$0.00	(\$117.84)	
SHIRE PLC CUSIP: 82481R106	2 00000	07/07/2016	09/15/2016	389 87	377 76	0 00	0 00	12 11	Box 6: Gross Proceeds
SUMITOMO MITSUI TRUST HOLDINGS INC SPON ADR CUSIP: 86562X106	142 00000 144 00000 66 00000 103 00000	06/12/2015 06/19/2015 07/15/2015 07/21/2015	01/15/2016 01/15/2016 01/15/2016 01/15/2016	489 65 496 54 227 58 355 18	626 82 633 10 304 77 488 07	0 00 0 00 0 00 0 00	0 00 0 00 0 00 0 00	-137 17 -136 56 -77 19 -132 89	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals	455.00000			\$1,568 95	\$2,052.76	\$0.00	\$0.00	(\$483.81)	

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SUZUKI MOTOR CORP ADR CUSIP: 86959X107	5 000000	06/01/2016	08/12/2016	640 65	527.94	0.00	0.00	112 71	Box 6: Gross Proceeds
TELECOM ITALIA SPA SPON ADR CUSIP: 87927Y102	51 000000	05/19/2015	03/07/2016	549 78	632 73	0.00	0.00	-82 95	Box 6: Gross Proceeds
	35 000000	05/27/2015	03/07/2016	377 30	432 32	0.00	0.00	-55 02	Box 6: Gross Proceeds
	69 000000	05/27/2015	04/12/2016	665 10	852 27	0.00	0.00	-187 17	Box 6: Gross Proceeds
	37 000000	06/11/2015	04/12/2016	356 65	482 32	0.00	0.00	-125 67	Box 6: Gross Proceeds
	24 000000	06/15/2015	04/12/2016	231 34	299 04	0.00	0.00	-67 70	Box 6: Gross Proceeds
	30 000000	06/18/2015	04/12/2016	289 18	390 59	0.00	0.00	-101 41	Box 6: Gross Proceeds
	32 000000	07/14/2015	04/12/2016	308 47	422 86	0.00	0.00	-114 39	Box 6: Gross Proceeds
Subtotals	278.000000			\$2,777.82	\$3,512.13	\$0.00	\$0.00	(\$734.31)	
TENCENT HOLDINGS LTD- UNSP ADR CUSIP: 88032Q109	33 000000	02/18/2016	05/26/2016	695 19	600 08	0.00	0.00	95 11	Box 6: Gross Proceeds
	24 000000	02/18/2016	06/01/2016	529 16	436 42	0.00	0.00	92 74	Box 6: Gross Proceeds
	3 000000	02/25/2016	06/01/2016	66 15	54 05	0.00	0.00	12 10	Box 6: Gross Proceeds
	25 000000	02/25/2016	06/06/2016	547 70	450 34	0.00	0.00	97 36	Box 6: Gross Proceeds
Subtotals	85.000000			\$1,838.20	\$1,540.89	\$0.00	\$0.00	\$297.31	
TOTAL S.A. SPONS ADR CUSIP: 89151E109	13 000000	09/18/2015	09/15/2016	605 92	603 50	0.00	0.00	2 42	Box 6: Gross Proceeds
	1 000000	09/21/2015	09/15/2016	46 61	45 80	0.00	0.00	0 81	Box 6: Gross Proceeds
Subtotals	14.000000			\$652.53	\$649.30	\$0.00	\$0.00	\$3.23	
TOYOTA MTR CORP ADR NEW 3/82 CUSIP: 892331307	5 000000	05/11/2015	02/05/2016	564 09	695 73	0.00	0.00	-131 64	Box 6: Gross Proceeds
	5 000000	05/12/2015	02/25/2016	522 46	689 26	0.00	0.00	-166 80	Box 6: Gross Proceeds
	4 000000	05/26/2015	02/25/2016	417 96	550 57	0.00	0.00	-132 61	Box 6: Gross Proceeds
	4 000000	05/29/2015	02/25/2016	417 97	558 98	0.00	0.00	-141 01	Box 6: Gross Proceeds
	3 000000	06/01/2015	02/25/2016	313 48	413 02	0.00	0.00	-99 54	Box 6: Gross Proceeds
	2 000000	06/02/2015	02/25/2016	208 99	274 56	0.00	0.00	-65 57	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description (Box 1a) CUSIP	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TOYOTA MTR CORP ADR NEW 3/82 CUSIP: 892331307	5 00000	05/10/2016	09/15/2016	587 99	514 81	0 00	0 00	73 18	Box 6: Gross Proceeds
Subtotals	28.00000			\$3,032.94	\$3,696.93	\$0.00	\$0.00	(\$663.99)	
VINCI S A -ADR CUSIP: 927320101	40 00000	05/05/2015	04/27/2016	736 96	605 11	0 00	0 00	131 85	Box 6: Gross Proceeds
	35 00000	05/05/2015	05/05/2016	640 49	529 47	0 00	0 00	111 02	Box 6: Gross Proceeds
	10 00000	06/19/2015	05/24/2016	187 80	146 88	0 00	0 00	40 92	Box 6: Gross Proceeds
Subtotals	85.00000			\$1,565.25	\$1,281.46	\$0.00	\$0.00	\$283.79	
VIVENDI SA UNSPONSORED ADR CUSIP: 92852T201	12 00000	08/12/2015	05/26/2016	235 62	313 13	0 00	0 00	-77 51	Box 6: Gross Proceeds
	4 00000	08/17/2015	05/26/2016	78 55	103 14	0 00	0 00	-24 59	Box 6: Gross Proceeds
	7 00000	08/17/2015	05/06/2016	135 73	180 47	0 00	0 00	-44 74	Box 6: Gross Proceeds
	12 00000	08/20/2015	06/06/2016	232 68	304 91	0 00	0 00	-72 23	Box 6: Gross Proceeds
	8 00000	09/03/2015	06/06/2016	155 12	193 53	0 00	0 00	-38 41	Box 6: Gross Proceeds
	4 00000	09/03/2015	06/20/2016	72 54	96 76	0 00	0 00	-24 22	Box 6: Gross Proceeds
	12 00000	11/02/2015	06/20/2016	217 65	288 55	0 00	0 00	-70 90	Box 6: Gross Proceeds
	13 00000	11/11/2015	06/20/2016	235 79	279 51	0 00	0 00	-43 72	Box 6: Gross Proceeds
Subtotals	72.00000			\$1,363.68	\$1,760.00	\$0.00	\$0.00	(\$396.32)	
VODAFONE GROUP PLC NEW SPONSORED ADR NO PAR CUSIP: 92857W308	4 00000	06/14/2016	09/15/2016	117 52	120 78	0 00	0 00	-3 26	Box 6: Gross Proceeds
	5 00000	06/14/2016	11/15/2016	127 28	150 97	0 00	0 00	-23 69	Box 6: Gross Proceeds
	10 00000	06/24/2016	11/15/2016	254 56	303 40	0 00	0 00	-48 84	Box 6: Gross Proceeds
Subtotals	19.00000			\$499.36	\$575.15	\$0.00	\$0.00	(\$75.79)	
WIRECARD AG UNSPON ADR CUSIP: 97654L108	20 00000	04/08/2016	05/17/2016	461 21	381 87	0 00	0 00	79 34	Box 6: Gross Proceeds
	21 00000	04/08/2016	05/25/2016	469 96	400 96	0 00	0 00	69 00	Box 6: Gross Proceeds
	16 00000	04/08/2016	08/08/2016	349 41	305 48	0 00	0 00	43 93	Box 6: Gross Proceeds

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WIRECARD AG UNSPON ADR CUSIP: 97654L108	59.00000	04/15/2016	08/08/2016	1,288.47	1,160.33	0.00	0.00	128.14	Box 6: Gross Proceeds
Subtotals	116.00000			\$2,569.05	\$2,248.64	\$0.00	\$0.00	\$320.41	
ZALANDO SE UNSPON ADR 1 RCPT= 98887L105 CUSIP: 98887L105	34.00000	05/13/2016	07/21/2016	610.41	534.67	0.00	0.00	75.74	Box 6: Gross Proceeds
	38.00000	05/18/2016	07/21/2016	682.23	584.20	0.00	0.00	98.03	Box 6: Gross Proceeds
	21.00000	05/26/2016	07/21/2016	377.02	317.84	0.00	0.00	59.18	Box 6: Gross Proceeds
	20.00000	06/01/2016	07/21/2016	359.08	288.17	0.00	0.00	70.91	Box 6: Gross Proceeds
Subtotals	113.00000			\$2,028.74	\$1,724.88	\$0.00	\$0.00	\$303.86	
ALLEGION PLC CUSIP: G0176J109	8.00000	02/25/2016	04/20/2016	511.69	502.41	0.00	0.00	9.28	Box 6: Gross Proceeds
ALLERGAN PLC CUSIP: G0177J108	2.00000	04/05/2016	09/15/2016	490.93	463.64	0.00	0.00	27.29	Box 6: Gross Proceeds
	1.00000	04/05/2016	12/09/2016	192.33	231.81	0.00	0.00	-39.48	Box 6: Gross Proceeds
	3.00000	04/07/2016	12/09/2016	576.99	731.18	0.00	0.00	-154.19	Box 6: Gross Proceeds
	1.00000	04/12/2016	12/09/2016	192.33	222.86	0.00	0.00	-30.53	Box 6: Gross Proceeds
	3.00000	04/18/2016	12/09/2016	577.01	649.01	0.00	0.00	-72.00	Box 6: Gross Proceeds
Subtotals	10.00000			\$2,029.59	\$2,298.50	\$0.00	\$0.00	(\$268.91)	
DELPHI AUTOMOTIVE PLC CUSIP: G27823106	7.00000	05/11/2015	04/04/2016	496.91	597.81	0.00	0.00	-100.90	Box 6: Gross Proceeds
	3.00000	05/12/2015	04/04/2016	212.97	256.49	0.00	0.00	-43.52	Box 6: Gross Proceeds
	6.00000	06/06/2016	10/26/2016	385.79	405.58	0.00	0.00	-19.79	Box 6: Gross Proceeds
Subtotals	16.00000			\$1,095.67	\$1,259.88	\$0.00	\$0.00	(\$164.21)	
LIBERTY GLOBAL PLC SHS CL A CUSIP: G5480U104	2.00000	10/01/2015	06/13/2016	70.23	87.08	0.00	0.00	-16.85	Box 6: Gross Proceeds
	7.00000	10/01/2015	06/14/2016	241.86	304.75	0.00	0.00	-62.89	Box 6: Gross Proceeds
	1.00000	10/01/2015	06/20/2016	36.05	43.53	0.00	0.00	-7.48	Box 6: Gross Proceeds
	7.00000	01/11/2016	06/20/2016	252.42	247.64	0.00	0.00	4.78	Box 6: Gross Proceeds

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Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
LIBERTY GLOBAL PLC									
SHS CL A									
CUSIP: G5480U104	17.00000			\$600.56	\$683.00	\$0.00	\$0.00	(\$82.44)	
Subtotals									
NIELSEN HOLDINGS PLC									
CUSIP: G6518L108	7.00000	08/06/2015	02/02/2016	330.70	326.15	0.00	0.00	4.55	Box 6: Gross Proceeds
	6.00000	08/10/2015	02/02/2016	283.46	287.62	0.00	0.00	-4.16	Box 6: Gross Proceeds
	3.00000	08/25/2015	02/02/2016	141.74	130.95	0.00	0.00	10.79	Box 6: Gross Proceeds
	3.00000	08/25/2015	02/09/2016	134.65	130.95	0.00	0.00	3.70	Box 6: Gross Proceeds
	6.00000	09/01/2015	02/09/2016	269.32	265.42	0.00	0.00	3.90	Box 6: Gross Proceeds
	2.00000	10/01/2015	02/09/2016	89.78	89.20	0.00	0.00	0.58	Box 6: Gross Proceeds
	10.00000	10/01/2015	03/21/2016	520.04	445.96	0.00	0.00	74.08	Box 6: Gross Proceeds
	6.00000	10/21/2015	03/21/2016	312.02	274.90	0.00	0.00	37.12	Box 6: Gross Proceeds
	6.00000	01/11/2016	03/21/2016	312.03	269.05	0.00	0.00	42.98	Box 6: Gross Proceeds
Subtotals	49.00000			\$2,393.74	\$2,220.20	\$0.00	\$0.00	\$173.54	
PERRIGO CO PLC									
CUSIP: G97822103	8.00000	12/23/2015	04/13/2016	1,021.82	1,181.82	0.00	0.00	-160.00	Box 6: Gross Proceeds
	2.00000	01/20/2016	04/13/2016	255.45	291.42	0.00	0.00	-35.97	Box 6: Gross Proceeds
	4.00000	01/25/2016	04/13/2016	510.91	599.96	0.00	0.00	-89.05	Box 6: Gross Proceeds
	2.00000	02/19/2016	04/13/2016	255.46	259.04	0.00	0.00	-3.58	Box 6: Gross Proceeds
Subtotals	16.00000			\$2,043.64	\$2,332.24	\$0.00	\$0.00	(\$288.60)	
AERCAP HLDGS NV									
CUSIP: N00985106	20.00000	05/01/2015	01/20/2016	630.13	977.27	0.00	0.00	-347.14	Box 6: Gross Proceeds
	6.00000	05/12/2015	01/20/2016	189.04	292.02	0.00	0.00	-102.98	Box 6: Gross Proceeds
	13.00000	05/28/2015	01/20/2016	409.59	632.23	0.00	0.00	-222.64	Box 6: Gross Proceeds
	14.00000	06/24/2015	01/20/2016	441.10	669.65	0.00	0.00	-228.55	Box 6: Gross Proceeds
Subtotals	53.00000			\$1,669.86	\$2,571.17	\$0.00	\$0.00	(\$901.31)	

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Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
NXP SEMICONDUCTORS NV CUSIP: N6596X109	3.00000	05/29/2015	05/05/2016	258.18	337.27	0.00	0.00	-79.09	Box 6: Gross Proceeds
	3.00000	10/29/2015	05/05/2016	258.18	232.07	0.00	0.00	26.11	Box 6: Gross Proceeds
	6.00000	11/02/2015	05/10/2016	515.19	472.97	0.00	0.00	42.22	Box 6: Gross Proceeds
	5.00000	07/14/2016	09/15/2016	426.79	398.14	0.00	0.00	28.65	Box 6: Gross Proceeds
Subtotals	17.00000			\$1,458.34	\$1,440.45	\$0.00	\$0.00	\$17.89	
Totals				\$109,812.03	\$112,144.74	\$0.00	\$0.00	(\$2,332.71)	

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Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ACCOR S A SPONSORED ADR NEW CUSIP 00435F309	28.00000	06/04/2014	02/16/2016	202.19	295.15	0.00	0.00	-92.96	Box 6: Gross Proceeds
	11.00000	06/04/2014	02/16/2016	79.43	116.93	0.00	0.00	-37.50	Box 6: Gross Proceeds
	58.00000	06/10/2014	02/16/2016	418.84	617.10	0.00	0.00	-198.26	Box 6: Gross Proceeds
	55.00000	11/19/2014	02/16/2016	397.17	484.72	0.00	0.00	-87.55	Box 6: Gross Proceeds
	28.00000	01/16/2015	02/16/2016	202.21	250.59	0.00	0.00	-48.38	Box 6: Gross Proceeds
	76.00000	01/16/2015	02/19/2016	588.88	680.16	0.00	0.00	-91.28	Box 6: Gross Proceeds
Subtotals	256.00000			\$1,888.72	\$2,444.65	\$0.00	\$0.00	(\$555.93)	
ATLANTIA S P A-UNSPN ADR CUSIP 048173108	41.00000	05/05/2015	09/15/2016	520.49	566.09	0.00	0.00	-45.60	Box 6: Gross Proceeds
AVIVA PLC SPONSORED ADR CUSIP 05382A104	12.00000	05/14/2014	09/15/2016	138.60	215.58	0.00	0.00	-76.98	Box 6: Gross Proceeds
	38.00000	07/11/2014	09/15/2016	438.90	639.13	0.00	0.00	-200.23	Box 6: Gross Proceeds
	4.00000	09/23/2014	09/15/2016	46.20	69.61	0.00	0.00	-23.41	Box 6: Gross Proceeds
Subtotals	54.00000			\$623.70	\$924.32	\$0.00	\$0.00	(\$300.62)	
COMPAGNIE DE ST-GOBAIN- UNSPON ADR CUSIP 204280309	28.00000	05/04/2015	09/15/2016	235.19	258.30	0.00	0.00	-23.11	Box 6: Gross Proceeds
	29.00000	06/11/2015	09/15/2016	243.60	273.36	0.00	0.00	-29.76	Box 6: Gross Proceeds
Subtotals	57.00000			\$478.79	\$531.66	\$0.00	\$0.00	(\$52.87)	
FRESENIUS SE & CO KGAA SPONSORED ADR CUSIP 35804M105	21.00000	08/25/2015	09/15/2016	412.85	356.17	0.00	0.00	56.68	Box 6: Gross Proceeds
HEINEKEN N V SPONSORED ADR LEVEL 1 CUSIP 423012301	12.00000	08/26/2015	09/15/2016	525.77	473.41	0.00	0.00	52.36	Box 6: Gross Proceeds

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ITV PLC-UNSPON ADR CUSIP: 45069P107	2 000000	08/05/2014	04/20/2016	67.10	70.02	0.00	0.00	-2.92	Box 6: Gross Proceeds
	12 000000	08/19/2014	04/20/2016	402.64	424.10	0.00	0.00	-21.46	Box 6: Gross Proceeds
	5 000000	08/19/2014	06/30/2016	116.96	176.70	0.00	0.00	-59.74	Box 6: Gross Proceeds
	37 000000	12/24/2014	06/30/2016	865.54	1,249.12	0.00	0.00	-383.58	Box 6: Gross Proceeds
Subtotals	56.000000			\$1,452.24	\$1,919.94	\$0.00	\$0.00	(\$467.70)	
ING GROEP N V SPON ADR CUSIP: 456837103	50 000000	08/21/2014	02/05/2016	587.60	684.97	0.00	0.00	-97.37	Box 6: Gross Proceeds
	12 000000	08/26/2014	02/05/2016	141.03	166.46	0.00	0.00	-25.43	Box 6: Gross Proceeds
	30 000000	08/26/2014	09/15/2016	366.29	416.13	0.00	0.00	-49.84	Box 6: Gross Proceeds
	10 000000	09/05/2014	09/15/2016	122.10	143.71	0.00	0.00	-21.61	Box 6: Gross Proceeds
Subtotals	102.000000			\$1,217.02	\$1,411.27	\$0.00	\$0.00	(\$194.25)	
JAPAN EXCHANGE GROUP ADR INC CUSIP: 471059105	73.000000	05/12/2015	09/01/2016	567.60	542.77	0.00	0.00	24.83	Box 6: Gross Proceeds
	13 000000	05/12/2015	09/15/2016	100.94	96.65	0.00	0.00	4.29	Box 6: Gross Proceeds
	51 000000	05/14/2015	09/15/2016	396.01	385.05	0.00	0.00	10.96	Box 6: Gross Proceeds
Subtotals	137.000000			\$1,064.55	\$1,024.47	\$0.00	\$0.00	\$40.08	
KANSAS CITY SOUTHERN NEW CUSIP: 485170302	4 000000	02/23/2015	04/19/2016	377.47	477.30	0.00	0.00	-99.83	Box 6: Gross Proceeds
	6 000000	02/23/2015	04/29/2016	565.15	715.94	0.00	0.00	-150.79	Box 6: Gross Proceeds
	3 000000	03/10/2015	05/05/2016	278.06	340.18	0.00	0.00	-62.12	Box 6: Gross Proceeds
Subtotals	13.000000			\$1,220.68	\$1,533.42	\$0.00	\$0.00	(\$312.74)	
KOREA ELEC PWR CORP SPONSORED ADR CUSIP: 500631106	2 000000	02/06/2015	02/22/2016	43.97	38.27	0.00	0.00	5.70	Box 6: Gross Proceeds
	19 000000	02/23/2015	03/03/2016	452.66	386.01	0.00	0.00	66.65	Box 6: Gross Proceeds
	27 000000	02/25/2015	03/03/2016	643.26	563.98	0.00	0.00	79.28	Box 6: Gross Proceeds
Subtotals	48.000000			\$1,139.89	\$988.26	\$0.00	\$0.00	\$151.63	

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Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
NIPPON TELEGRAPH & TELEPHONE CORP CUSIP 654624105	17 00000	09/10/2014	01/12/2016	682 38	563 65	0 00	0 00	118 73	Box 6: Gross Proceeds
	6 00000	11/06/2014	01/12/2016	240 84	179 51	0 00	0 00	61 33	Box 6: Gross Proceeds
	12 00000	11/06/2014	01/15/2016	487 68	359 01	0 00	0 00	128 67	Box 6: Gross Proceeds
	15 00000	05/07/2015	05/12/2016	698 34	509 37	0 00	0 00	188 97	Box 6: Gross Proceeds
	5 00000	05/07/2015	07/06/2016	245 70	169 79	0 00	0 00	75 91	Box 6: Gross Proceeds
	5 00000	05/07/2015	07/08/2016	241 57	169 79	0 00	0 00	71 78	Box 6: Gross Proceeds
	13 00000	05/11/2015	08/04/2016	624 64	446 05	0 00	0 00	178 59	Box 6: Gross Proceeds
	4 00000	05/11/2015	09/15/2016	176 92	137 24	0 00	0 00	39 68	Box 6: Gross Proceeds
	9 00000	05/20/2015	09/15/2016	398 07	317 27	0 00	0 00	80 80	Box 6: Gross Proceeds
Subtotals	86.00000			\$3,796.14	\$2,851.68	\$0.00	\$0.00	\$944.46	
NOVARTIS AG SPON ADR CUSIP 66987V109	1 00000	04/22/2014	09/15/2016	79 61	86 56	0 00	0 00	-6 95	Box 6: Gross Proceeds
	7 00000	04/30/2014	09/15/2016	557 33	607 52	0 00	0 00	-50 19	Box 6: Gross Proceeds
	1 00000	07/17/2014	09/15/2016	79 62	88 87	0 00	0 00	-9 25	Box 6: Gross Proceeds
Subtotals	9.00000			\$716.56	\$782.95	\$0.00	\$0.00	(\$66.39)	
NOVO NORDISK A S ADR CUSIP 670100205	7 00000	12/30/2013	04/04/2016	390 67	257 04	0 00	0 00	133 63	Box 6: Gross Proceeds
	8 00000	12/30/2013	08/03/2016	442 92	293 74	0 00	0 00	149 18	Box 6: Gross Proceeds
	1 00000	01/08/2014	08/03/2016	55 37	37 85	0 00	0 00	17 52	Box 6: Gross Proceeds
	4 00000	01/08/2014	09/15/2016	184 75	151 40	0 00	0 00	33 35	Box 6: Gross Proceeds
	3 00000	09/29/2014	09/15/2016	138 57	144 95	0 00	0 00	-6 38	Box 6: Gross Proceeds
	11 00000	09/29/2014	11/07/2016	375 87	531 46	0 00	0 00	-155 59	Box 6: Gross Proceeds
	12 00000	10/02/2014	11/07/2016	410 05	572 76	0 00	0 00	-162 71	Box 6: Gross Proceeds
Subtotals	46.00000			\$1,998.20	\$1,989.20	\$0.00	\$0.00	\$9.00	

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EDWIN W PAULEY FOUNDATION
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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
ROCHE HOLDINGS LTD ADR CUSIP 771195104	14 00000	07/25/2013	04/04/2016	431 00	440.88	0 00	0 00	-9.88	Box 6: Gross Proceeds
	5 00000	12/19/2013	04/04/2016	153 94	168.43	0 00	0 00	-14.49	Box 6: Gross Proceeds
	13 00000	12/19/2013	04/13/2016	411 16	437.89	0 00	0 00	-26.73	Box 6: Gross Proceeds
Subtotals	32.00000			\$996.10	\$1,047.20	\$0.00	\$0.00	(\$51.10)	
SONY CORP ADR NEW CUSIP 835699307	3.00000	12/03/2014	02/09/2016	62 95	65 90	0 00	0 00	-2 95	Box 6: Gross Proceeds
	28 00000	12/15/2014	02/09/2016	587 57	556 60	0 00	0 00	30 97	Box 6: Gross Proceeds
	7 00000	12/15/2014	05/25/2016	194 54	139 15	0 00	0 00	55 39	Box 6: Gross Proceeds
	11.00000	03/31/2015	05/25/2016	305 71	294 32	0 00	0 00	11 39	Box 6: Gross Proceeds
	6 00000	03/31/2015	06/13/2016	165 24	160 53	0 00	0 00	4 71	Box 6: Gross Proceeds
	6 00000	05/04/2015	06/13/2016	165 26	186 75	0 00	0 00	-21 49	Box 6: Gross Proceeds
	9.00000	05/04/2015	07/07/2016	269 05	280 12	0 00	0 00	-11 07	Box 6: Gross Proceeds
	7 00000	05/04/2015	07/15/2016	210 17	217 86	0 00	0 00	-7 69	Box 6: Gross Proceeds
	16 00000	05/15/2015	07/15/2016	480 41	522 56	0 00	0 00	-42 15	Box 6: Gross Proceeds
	1 00000	05/15/2015	09/13/2016	32 26	32 65	0 00	0 00	-0.39	Box 6: Gross Proceeds
	10 00000	06/09/2015	09/13/2016	322 64	291 44	0 00	0 00	31 20	Box 6: Gross Proceeds
	7 00000	07/10/2015	09/15/2016	225 68	199.17	0 00	0 00	26.51	Box 6: Gross Proceeds
Subtotals	111 00000			\$3,021.48	\$2,947.05	\$0.00	\$0.00	\$74.43	
SUEZ ADR CUSIP 864691100	15.00000	03/09/2015	09/15/2016	121 27	131 85	0 00	0 00	-10 58	Box 6: Gross Proceeds
	13 00000	03/10/2015	09/15/2016	105 11	112 83	0 00	0 00	-7 72	Box 6: Gross Proceeds
	22.00000	03/10/2015	10/27/2016	170 94	190.94	0 00	0 00	-20 00	Box 6: Gross Proceeds
	75 00000	03/13/2015	10/27/2016	582 77	665 95	0 00	0 00	-83 18	Box 6: Gross Proceeds
Subtotals	125.00000			\$980.09	\$1,101.57	\$0.00	\$0.00	(\$121.48)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
TOYOTA MTR CORP ADR NEW 3/82 CUSIP 892331307	4 00000	09/24/2014	02/05/2016	451 27	474 12	0 00	0 00	-22 85	Box 6: Gross Proceeds
VINCI S A -ADR CUSIP 927320101	6 00000	05/05/2015	05/24/2016	112 68	90 76	0 00	0 00	21 92	Box 6: Gross Proceeds
	20 00000	06/19/2015	09/15/2016	373 79	293 75	0 00	0 00	80 04	Box 6: Gross Proceeds
Subtotals	26.00000			\$486.47	\$384.51	\$0.00	\$0.00	\$101.96	
DELPHI AUTOMOTIVE PLC CUSIP G27823106	5 00000	05/12/2015	09/15/2016	342 99	427 46	0 00	0 00	-84 47	Box 6: Gross Proceeds
	1 00000	05/13/2015	09/15/2016	68 60	85 49	0 00	0 00	-16 89	Box 6: Gross Proceeds
	2 00000	05/13/2015	09/19/2016	139 46	170 97	0 00	0 00	-31 51	Box 6: Gross Proceeds
	4 00000	05/15/2015	09/19/2016	278 93	347 29	0 00	0 00	-68 36	Box 6: Gross Proceeds
	3 00000	05/19/2015	09/19/2016	209 20	263 02	0 00	0 00	-53 82	Box 6: Gross Proceeds
	1 00000	05/28/2015	09/19/2016	69 74	87 49	0 00	0 00	-17 75	Box 6: Gross Proceeds
	3 00000	05/28/2015	10/26/2016	192 89	262 45	0 00	0 00	-69 56	Box 6: Gross Proceeds
	4 00000	07/31/2015	10/26/2016	257 18	311 93	0 00	0 00	-54 75	Box 6: Gross Proceeds
Subtotals	23.00000			\$1,558.99	\$1,956.10	\$0.00	\$0.00	(\$397.11)	
LIBERTY GLOBAL PLC SHS CL A CUSIP G5480U104	12 00000	09/23/2014	04/19/2016	464 87	498 50	0 00	0 00	-33 63	Box 6: Gross Proceeds
	16 00000	09/23/2014	05/17/2016	598 08	664 65	0 00	0 00	-66 57	Box 6: Gross Proceeds
	13 00000	10/01/2014	05/17/2016	485 94	526 19	0 00	0 00	-40 25	Box 6: Gross Proceeds
	1 00000	10/14/2014	05/17/2016	37 38	39 75	0 00	0 00	-2 37	Box 6: Gross Proceeds
	12 00000	10/14/2014	06/13/2016	421 36	476 93	0 00	0 00	-55 57	Box 6: Gross Proceeds
Subtotals	54.00000			\$2,007.63	\$2,206.02	\$0.00	\$0.00	(\$198.39)	
UBS GROUP AG CUSIP H42097107	35 00000	04/07/2015	09/15/2016	494 54	684 17	0 00	0 00	-189 63	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
NXP SEMICONDUCTORS NV	3.00000	03/26/2015	04/25/2016	249.47	294.40	0.00	0.00	-44.93	Box 6: Gross Proceeds
CUSIP N6596X109	3.00000	03/31/2015	04/25/2016	249.48	304.50	0.00	0.00	-55.02	Box 6: Gross Proceeds
	11.00000	04/06/2015	04/28/2016	959.46	1,093.05	0.00	0.00	-133.59	Box 6: Gross Proceeds
	1.00000	04/06/2015	05/05/2016	86.05	99.36	0.00	0.00	-13.31	Box 6: Gross Proceeds
Subtotals	18.00000			\$1,544.46	\$1,791.31	\$0.00	\$0.00	(\$246.85)	
Totals				\$28,596.63	\$30,389.54	\$0.00	\$0.00	(\$1,792.91)	

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Proceeds from Broker and Barter Exchange Transactions, continued

Long Term Gains or Losses (Box 2) For Noncovered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
NOVO NORDISK A S ADR CUSIP 670100205	1.00000	04/19/2012	04/04/2016	55.80	30.05	0.00	0.00	25.75	Box 6: Gross Proceeds
Totals				\$55.80	\$30.05	\$0.00	\$0.00	\$25.75	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.