

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	185,894	2,568,295	2,568,295
	2 Savings and temporary cash investments	81,754	214,709	214,709
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	4,744,038	6,521,646	6,521,646
	c Investments—corporate bonds (attach schedule)	5,177,621	1,348,297	1,348,297
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	10,740,474	14,128,472	14,128,472
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	113,855	417,559	417,559	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	21,043,636	25,198,978	25,198,978	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	5,116,070	3,676,185	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	564,840	174,812	
	23 Total liabilities (add lines 17 through 22)	5,680,910	3,850,997	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	15,362,726	21,347,981	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	15,362,726	21,347,981	
31 Total liabilities and net assets/fund balances (see instructions) .	21,043,636	25,198,978		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,362,726
2 Enter amount from Part I, line 27a	2	1,010,669
3 Other increases not included in line 2 (itemize) ▶ _____	3	5,217,435
4 Add lines 1, 2, and 3	4	21,590,830
5 Decreases not included in line 2 (itemize) ▶ _____	5	242,849
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	21,347,981

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a GLACIER WTR SVCS INC SERIES B JR SUB DEBENTURE		2011-12-28	2016-12-12
b LIMITED PARTNERSHIPS K-1 PASSTHROUGH	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,103,317		2,533,320	569,997
b 111,215			111,215
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			569,997
b			111,215
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	681,212
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,036,520	36,782,637	0 055366
2014	2,405,550	46,731,729	0 051476
2013	2,757,481	44,140,181	0 062471
2012	3,414,531	18,522,875	0 184341
2011	4,550,373	17,229,107	0 264110

2 Total of line 1, column (d)	2	0 617764
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 123553
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	24,296,017
5 Multiply line 4 by line 3	5	3,001,846
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,607
7 Add lines 5 and 6	7	3,015,453
8 Enter qualifying distributions from Part XII, line 4	8	1,776,670

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	27,214
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	27,214
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	27,214
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	41,680
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	41,680
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	118
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	14,348
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 14,348 Refunded ☐	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of MARK MORSKI Telephone no (310) 282-7913			

Located at **1800 AVENUE OF THE STARS 3RD FL LOS ANGELES CA** ZIP+4 **900674205**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	☐ Yes ☒ No	5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b	No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b	

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

[illegible][illegible]

Total number of other employees paid over \$50,000.	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
JMC PHILANTHROPIC ADVISORS 2444 WILSHIRE BLVD SUITE 622 LOS ANGELES, CA 90403	CONSULTING	189,953
WILLIAM EB SIART 266 TOYOPA DRIVE PACIFIC PALISADES, CA 90272		
SARAH ALI CONSULTING 13045 PACIFIC PROMENADE 302 PLAYA VISTA, CA 90094	CONSULTING	116,140
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 _____ _____	
2 _____ _____	
3 _____ _____	
4 _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	
All other program-related investments. See instructions.	
3 _____ _____	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	24,122,160
b	Average of monthly cash balances.	1b	543,847
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	24,666,007
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	24,666,007
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	369,990
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	24,296,017
6	Minimum investment return. Enter 5% of line 5.	6	1,214,801

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,214,801
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	27,214
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	27,214
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,187,587
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,187,587
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,187,587

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,776,670
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,776,670
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,776,670

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,187,587
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	3,924,103			
b From 2012.	2,558,331			
c From 2013.	8,354,550			
d From 2014.	442,238			
e From 2015.	474,106			
f Total of lines 3a through e.	15,753,328			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,776,670</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,187,587
e Remaining amount distributed out of corpus	589,083			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,342,411			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	3,924,103			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	12,418,308			
10 Analysis of line 9				
a Excess from 2012.	2,558,331			
b Excess from 2013.	8,354,550			
c Excess from 2014.	442,238			
d Excess from 2015.	474,106			
e Excess from 2016.	589,083			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

RICHARD A KAYNE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,279,077
b <i>Approved for future payment</i> HAMMER MUSEUM 10899 WILSHIRE BOULEVARD LOS ANGELES, CA 90024	NONE	PC	TO SUPPORT THE HAMMER'S MUSEUM'S ARTIST RESIDENCY PROGRAM OVER THE NEXT 4 YEARS (TO INTEGRATE ARTISTS INTO ARTISTIC, PROGRAMMATIC, AND INSTITUTIONAL DIMENSIONS OF THE MUSEUM)	50,000
JEWISH VOCATIONAL SERVICE 6505 WILSHIRE BLVD SUITE 200 LOS ANGELES, CA 90048	NONE	PC	TO USE THE GRANT OF \$25K (\$5K TO BE PAID ANNUALLY OVER 5 YEARS) TO SUPPORT THE SCHOLARSHIP PROGRAM, PROVIDING TWO \$2,500 SCHOLARSHIPS PER YEAR OVER THE 5 YEARS	18,365
DEBARTOLO FAMILY FOUNDATION 15436 N FLORIDA AVENUE SUITE 200 TAMPA, FL 33613	NONE	PC	GRANT TO SUPPORT THE EDUCATIONAL PROGRAMS OF THE DEBARTOLO FAMILY FOUNDATION	24,155
Total			3b	92,520

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name ROBERT A LYON	Preparer's Signature	Date	Check if self-employed ► ☐	PTIN P01327482
Firm's name ► QUIGLEY & MIRON CPAS				Firm's EIN ► 32-0530003
Firm's address ► 3550 WILSHIRE BLVD1660 LOS ANGELES, CA 90010				Phone no (213) 639-3550

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
SUZANNE L KAYNE	PRESIDENT 1 00	0	0	0
1800 AVENUE OF THE STARS 3RD FL LOS ANGELES, CA 90067				
RICHARD A KAYNE	DIRECTOR 1 00	0	0	0
1800 AVENUE OF THE STARS 3RD FL LOS ANGELES, CA 90067				
HOWARD ZELIKOW	TREASURER 1 00	0	0	0
1800 AVENUE OF THE STARS 3RD FL LOS ANGELES, CA 90067				
JANIS MINTON	SECRETARY 1 00	0	0	0
1800 AVENUE OF THE STARS 3RD FL LOS ANGELES, CA 90067				
MAGGIE KAYNE	DIRECTOR 1 00	0	0	0
1800 AVENUE OF THE STARS 3RD FL LOS ANGELES, CA 90067				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ANIMAL RESCUE TEAMINC 875 CARRIAGE DRIVE SOLVANG, CA 93463	NONE	PC	GENERAL SUPPORT FOR SOLVANG VETERINARY HOSPITAL	1,000
ARTWORX LA 1140 NORTH CITRUS AVENUE LOS ANGELES, CA 90038	NONE	PC	GENERAL SUPPORT	5,000
CHIPS (COLLEAGUES HELPERS IN PHILANTHROPIC SERVICE) 11975 FOXBORO DRIVE LOS ANGELES, CA 90049	NONE	PC	SPRING LUNCHEON	3,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022	NONE	PC	IN HONOR OF JOHN ANGELO	1,000
CHILDREN'S ACTION NETWORK 11849 WEST OLYMPIC BOULEVARD SUITE 101 LOS ANGELES, CA 90064	NONE	PC	TO SUPPORT "WINE, WOMEN AND SHOES"	2,500
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S BURN FOUNDATION 5000 VAN NUYS BLVD SUITE 210 SHERMAN OAKS, CA 91403	NONE	PC	TO SUPPORT "GIVING NEW HOPE"	10,000
CITY YEAR 10536 CULVER BOULEVARD CULVER CITY, CA 90232	NONE	PC	TO SUPPORT "SPRING BREAK"	5,000
COLORS OF AFRICA 818 AUSTIN AVENUE APT 2 INGLEWOOD, CA 90302	NONE	PC	GENERAL SUPPORT	300
COLUMBIA UNIVERERSITY MEDICAL CENTER 516 WEST 168TH STREET 3RD FLOOR NEW YORK, NY 10032	NONE	PC	TO SUPPORT ZOE'S CHALLENGE FUND FOR CHILDREN WITH DIABETES - NAMOI BERRIE DIABETES CENTER	3,000
DA VINCI SCHOOLS 13500 AVIATION BLVD HAWTHORNE, CA 90250	NONE	PC	GRANT - KAYNE SCHOLARS	1,765
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DEBARTOLO FAMILY FOUNDATION 15436 N FLORIDA AVENUE SUITE 200 TAMPA, FL 33613	NONE	PC	GRANT - EDUCATIONAL PROGRAMS - YEAR 1 OF 2	25,000
DESERT COMMUNITY FOUNDATION 74715 ARROYO DRIVE INDIAN WELLS, CA 92210	NONE	PC	JOE LEACH SCHOLARSHIP FUND FOR THE REDSHIRTS	2,000
DIDI HIRSCH MENTAL HEALTH SERVICES 4760 S SEPULVEDA BLVD CULVER CITY, CA 90230	NONE	PC	ERASING THE STIGMA LEADERSHIP AWARDS	10,000
EVERYCHILD FOUNDATION PO BOX 1808 PACIFIC PALISADES, CA 90272	NONE	PC	ANNUAL MEMBERSHIP	5,000
EWG - ENVIRONMENTAL WORKING GROUP 1436 U ST NW STE 100 WASHINGTON, DC 20009	NONE	PC	GENERAL SUPPORT	5,000
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIRST BAPTIST CHURCH OF SAN LUIS OBISPO 2075 JOHNSON AVE SAN LUIS OPISPO, CA 93401	NONE	PC	TO SUPPORT "FOR THE TROOPS"	2,000
FOCOS 226 EAST 54TH ST SUITE 306 NEW YORK, NY 10022	NONE	PC	GENERAL SUPPORT	5,000
FRIENDS OF ROBINSON GARDENS 1008 ELDEN WAY BEVERLY HILLS, CA 90210	NONE	PC	LA DOLCE VITA' 2016 PATRON GALA	3,000
GLENBROOK FIREWORKS INC PO BOX 447 GLENBROOK, NV 89413	NONE	PC	GENERAL SUPPORT	1,000
GOOD SHEHERD CENTER 1650 ROCKWOOD STREET LOS ANGELES, CA 90026	NONE	PC	HONORING KATHLEEN MCCARTHY KOSTLAN	2,500
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HAMMER MUSEUM 10899 WILSHIRE BOULEVARD LOS ANGELES, CA 90024	NONE	PC	OPERATING SUPPORT	2,800
HIGH MOUNTAIN INSTITUTE PO BOX 970 LEADVILLE, CO 80461	NONE	PC	GRANT - LAKE COUNTY BACKPACKING TRIP	12,000
HOMEBOY INDUSTRIES 130 W BRUNO ST LOS ANGELES, CA 90012	NONE	PC	LO MAXIMO 2016	5,000
INSTITUTE OF CLASSICAL ARCHITECTURE & ART 20 WEST 44TH STREET SUITE 310 NEW YORK, NY 10036	NONE	PC	ANNUAL FUND	500
INTERNATIONAL MEDICAL CORPS 12400 WILSHIRE BLVD SUITE 1500 LOS ANGELES, CA 90025	NONE	PC	ANNUAL WOMENS LUNCHEON	5,000
Total 				1,279,077
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JVS - JEWISH VOCATIONAL SERVICES 6505 WILSHIRE BLVD SUITE 200 LOS ANGELES, CA 90048	NONE	PC	GRANT - SCHOLARSHIP PROGRAM	5,000
LIBRARY FOUNDATION OF LOS ANGELES 630 WEST FIFTH STREET LOS ANGELES, CA 90071	NONE	PC	LITERARY AWARDS CELEBRATION	2,500
LOS ANGELES COUNTY MUSEUM OF ART (LACMA) 5905 WILSHIRE BOULEVARD LOS ANGELES, CA 90036	NONE	PC	DA2 DECORATIVE ARTS AND DESIGN ACQUISITION COMMITTEE	5,000
LOS ANGELES COUNTY MUSEUM OF ART (LACMA) 5905 WILSHIRE BOULEVARD LOS ANGELES, CA 90036	NONE	PC	GRANT - MOMENTUM FUND	200,000
MATTHEW SILVERMAN MEMORIAL FOUNDATION 324 S BEVERLY DR 411 BEVERLY HILLS, CA 90212	NONE	PC	MATTHEW SILVERMAN MEMORIAL GOLF CLASSIC	1,000
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEIGHBORHOOD ACADEMIC INITIATIVE 661 W JEFFERSON BLVD LOS ANGELES, CA 90007	NONE	PC	GRANT - KAYNE SCHOLARS	7,254
ONE TREE PLANTED 145 PINE HAVEN SHORES ROAD SHELBURNE, VT 05482	NONE	PC	GRANT	10,000
PALM SPRINGS ART MUSEUM 101 MUSEUM DRIVE PALM SPRINGS, CA 92262	NONE	PC	2016 GALA	5,000
PHASE ONE 256 26TH STREET SUITE 201 SANTA MONICA, CA 90402	NONE	PC	GENERAL SUPPORT	3,000
PS1 PLURALISTIC SCHOOL ONE 1225 BROADWAY SANTA MONICA, CA 90404	NONE	PC	GENERAL SUPPORT	1,500
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHARE INC 10800 WILSHIRE BLVD 1704 LOS ANGELES, CA 90024	NONE	PC	BOOMTOWN 2016	5,000
SILVERLAKE CONSERVATORY OF MUSIC 4652 HOLLYWOOD BLVD LOS ANGELES, CA 90027	NONE	PC	GENERAL SUPPORT	10,000
SOUTHERN CALIFORNIA GRANTMAKERS 1000 NORTH ALAMEDA STREET SUITE 230 LOS ANGELES, CA 90012	NONE	PC	2016 MEMBERSHIP DUES	4,280
SOUTHERN CALIFORNIA GRANTMAKERS 1000 NORTH ALAMEDA STREET SUITE 230 LOS ANGELES, CA 90012	NONE	PC	2017 MEMBERSHIP DUES	4,280
STOP CANCER 2566 OVERLAND AVE SUITE 790 LOS ANGELES, CA 90064	NONE	PC	GENERAL SUPPORT	10,000
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SULLIVAN CANYON PRESERVATION ASSOCIATION 1640 OLD OAK ROAD LOS ANGELES, CA 90049	NONE	PC	GENERAL SUPPORT	10,000
TELLURIDE FILM FESTIVAL 800 JONES ST BERKELEY, CA 94710	NONE	PC	GRANT	7,450
THE DALLAS FOUNDATION 17201 PRESTON TRAIL DR DALLAS, TX 75248	NONE	PC	PRESTON TRAIL GOLF CLUB SCHOLARSHIP FUND	5,000
THE UCLA FOUNDATION 10920 WILSHIRE BLVD SUITE 1400 LOS ANGELES, CA 90024	NONE	PC	GRANT - UCLA FIELDING SCHOOL OF PUBLIC HEALTH	250,000
THE UCLA FOUNDATION 10920 WILSHIRE BLVD SUITE 1400 LOS ANGELES, CA 90024	NONE	PC	GRANT - SEMEL INSTITUTE	99,448
Total ► 3a				1,279,077

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE UCLA FOUNDATION 10920 WILSHIRE BLVD SUITE 1400 LOS ANGELES, CA 90024	NONE	PC	GRANT - RIC & SUZANNE KAYNE INVESTMENT MANAGEMENT SPECIALIZATION PROGRAM	500,000
THE UCLA FOUNDATION 212 26TH STREET SUITE 278 SANTA MONICA, CA 90402	NONE	PC	KALEIDOSCOPE BALL	5,000
VIOLENCE INTERVENTION PROGRAM 1721 GRIFFIN AVENUE LOS ANGELES, CA 90031	NONE	PC	TO SUPPORT H E A R T	5,000
WALLIS ANNENBERG CENTER PERFORMING ARTS 9390 N SANTA MONICA BLVD BEVERLY HILLS, CA 90210	NONE	PC	GENERAL SUPPORT	5,000
Total ▶ 3a				1,279,077

TY 2016 Accounting Fees Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	23,000	9,200		11,500

TY 2016 General Explanation Attachment**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1		FORM 990-PF, PART VII-B, QUESTION 1(A)(3)	FACILITIES, CERTAIN GOODS AND SERVICES ARE PROVIDED BY DISQUALIFIED PARTIES WITHOUT CHARGE.

General Explanation Attachment

Identifier	Return Reference	Explanation	
2		FORM 990-PF, PART VII-B, QUESTION 1A (4)	THE ORGANIZATION HAS INVESTED IN SEVERAL INVESTMENT FUNDSIN WHICH THE INVESTMENT MANAGER OF SUCH FUNDS AREDISQUALIFIED PARTIES THE INVESTMENT MANAGERS RECEIVE ANASSET BASED MANAGEMENT FEE AS WELL AS A PERFORMANCEALLOCATION FOR SOME OF THESE FUNDS THE ORGANIZATIONBELIEVES SUCH TRANSACTIONS ARE AN EXCEPTION TO AN ACT OFSELF-DEALING PURSUANT TO IRC 4941(D)(2)(E) AND REGULATION53 4941(D)(3)(C)

General Explanation Attachment

Identifier	Return Reference	Explanation	
3		FORM 990-PF, PART VII-B, QUESTION 1A(5) & PART VIII	DURING THE YEAR, THE ORGANIZATION UTILIZED THE SERVICES OF JANIS MINTON CONSULTING (THE "COMPANY") FOR GRANTMAKING SERVICES ONE OF THE OWNERS OF THE COMPANY IS AN OFFICER/DIRECTOR OF THE ORGANIZATION SEE PART VIII, ITEM 3 FOR MORE DETAILS

TY 2016 Investments Corporate Bonds Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GLACIER WTR SVCS INC SERIES B JR SUB DEBENTURE	0	0
THE WALKING CO (BDOG) 8.375% CONV DUE 2015	1,348,297	1,348,297

TY 2016 Investments Corporate Stock Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PLAINS ALL AMERICAN PIPELINE, L.P. (PAA)	733,177	733,177
GLACIER WTR TR I PFD TR SEC (GWSVP)	115,954	115,954
KA ENERGY DEV CO COM (KED)	462,434	462,434
KA MDSTM ENERGY FD COM (KMF)	96,830	96,830
KA ENERGY TTL RT FD COM (KYE)	3,205,258	3,205,258
KA MLP INVT CO COM (KYN)	1,907,993	1,907,993

TY 2016 Investments - Other Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PLAINS GP HOLDING, L.P. (PAGP)	FMV	12,540,808	12,540,808
KA ENERGY FUND III (QP), L.P.	FMV	71,640	71,640
KA ENERGY FUND IV (QP), L.P.	FMV	199,675	199,675
KA ENERGY FUND VII, L.P.	FMV	629,920	629,920
KAFU HOLDINGS (QP), L.P.	FMV	0	0
KANTI (QP), L.P.	FMV	607,333	607,333
KA PRIVATE INVESTORS, L.P.	FMV	79,096	79,096

TY 2016 Other Assets Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INTEREST RECEIVABLE	72,542	43,788	43,788
PREPAID TAXES	40,629	245,153	245,153
DISTRIBUTIONS RECEIVABLE	684	128,618	128,618

TY 2016 Other Decreases Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Description	Amount
NET REALIZED GAINS LIMITED PARTNERSHIPS K-1 PASSTHROUGH	111,215
INTEREST AND DIVIDENDS LIMITED PARTNERSHIPS K-1 PASSTHROUGH	15,204
NET UNRELATED BUSINESS INCOME LIMITED PARTNERSHIPS K-1 PASSTHROUGH	89,526
OTHER INCOME LIMITED PARTNERSHIPS K-1 PASSTHROUGH	26,904

TY 2016 Other Expenses Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	170	0		0
MISCELLANEOUS	560	0		0
LICENSES & REGISTRATION	223	0		0
INVESTMENT EXPENSES LIMITED PARTNERSHIPS K-1 PASSTHROUGH	29,389	29,389		0

TY 2016 Other Income Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LIMITED PARTNERSHIP K-1 PASSTHROUGH NET UNRELATED BUSINESS INCOME	89,526	0	89,526
LIMITED PARTNERSHIPS K-1 PASSTHROUGH	56,293	56,293	56,293

TY 2016 Other Increases Schedule

Name: RIC & SUZANNE KAYNE FOUNDATION

EIN: 95-4124379

Description	Amount
NET UNREALIZED GAINS ON INVESTMENTS	5,217,435

TY 2016 Other Liabilities Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Description	Beginning of Year - Book Value	End of Year - Book Value
TAXES PAYABLE	375,750	0
DEFERRED TAX LIABILITY	183,846	174,812
DUE TO KACALP	5,244	0

TY 2016 Other Professional Fees Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JMC PHILANTHROPIC ADVISORS	189,953	0		189,953
SARAH ALI CONSULTING	116,140	0		116,140
WILLIAM E B SIART	180,000	0		180,000

TY 2016 Taxes Schedule**Name:** RIC & SUZANNE KAYNE FOUNDATION**EIN:** 95-4124379

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE AND INCOME TAXES	62,179	0		0
STATE EXCISE TAXES	3,760	0		0
TAX PENALTIES	1,436	0		0
STATE TAXES	140	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>	OMB No 1545-0047 2016
	Name of the organization RIC & SUZANNE KAYNE FOUNDATION	Employer identification number 95-4124379

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization RIC & SUZANNE KAYNE FOUNDATION	Employer identification number 95-4124379
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD A KAYNE 1800 AVENUE OF THE STARS 3RD FLOOR LOS ANGELES, CA 90067	\$ 750,000	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization RIC & SUZANNE KAYNE FOUNDATION	Employer identification number 95-4124379
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	THE DONOR-ADVISED FUND OF THE BOARD MEMBER FULFILLED THE GRANT OBLIGATIONS OF THE FOUNDATION	\$ 750 000	2016-12-31
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization RIC & SUZANNE KAYNE FOUNDATION	Employer identification number 95-4124379
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	