See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491072006637

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION

A Employer identification number
95-4031664

Number and street (or P O box number if mail is not delivered to street address) Room/suite
16826 MONTE HERMOSO DR

B Telephone number (see instructions)
(213) 236-4905

City or town, state or province, country, and ZIP or foreign postal code
PACIFIC PALISADES, CA 90272

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 607,673

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	14,157	14,157	14,157	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-58,976			
	b	Gross sales price for all assets on line 6a 816,841				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	786			
	12	**Total.** Add lines 1 through 11	-44,033	14,157	14,157	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,900			
	c	Other professional fees (attach schedule)	11,903			
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,744			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	16,547	0		0
	25	Contributions, gifts, grants paid	90,500			90,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	107,047	0		90,500
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-151,080			
	b	**Net investment income** (if negative, enter -0-)		14,157		
	c	**Adjusted net income**(if negative, enter -0-)			14,157	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	15,636	63,948	11,243
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	2,400	2,000	2,000
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	674,730	480,971	594,430
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	1,824	1,480	
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	694,590	548,399	607,673
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	694,590	548,399	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	694,590	548,399	
	31 **Total liabilities and net assets/fund balances** (see instructions)	694,590	548,399	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	694,590
2	Enter amount from Part I, line 27a	2	-151,080
3	Other increases not included in line 2 (itemize) ▶	3	4,889
4	Add lines 1, 2, and 3	4	548,399
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	548,399

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	ST LOSS VIA ML E44	P	2016-01-01	2016-06-01
b	LT LOSS VIA ML E44	P	2010-06-01	2016-06-01
c	ST GAIN VIA ML E45	P	2016-01-01	2016-06-01
d	Wash Sale			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	521,734		590,008	-68,274
b	182,919		195,856	-12,937
c	112,188		89,953	22,235
d				4,752
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-68,274
b				-12,937
c				22,235
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-54,224
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-42,940

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	283
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	283
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	283
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 2,000		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	2,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,717
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 400 **Refunded** ▶	11	1,317

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ MERRILL LYNCH TRUST COMPANY Telephone no ▶ (800) 509-2251

Located at ▶ 2049 CENTURY PARK EAST STE 1150 LOS ANGELES CA ZIP+4 ▶ 90067

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JACK SURBER 350 S GRAND AVENUE 27TH FLR LOS ANGELES, CA 90071	Trustee 0 00	0		
RODNEY HAGENBUCH 16826 MONTE HERMOSO DRIVE PACIFIC PALISADES, CA 90272	TRUSTEE 0 00	0		
JOHN QUINN 3033 EDGEWICK ROAD GLENDALE, CA 91206	Trustee 0 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE - THE FOUNDATION DOES NOT ADMINISTER OR MAINTAIN ANY FORMAL PROGRAMS THE FOUNDATION ISSUES CASH DIRECTLY TO CHARITABLE INSTITUTIONS	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	0
b	Average of monthly cash balances.		1b	0
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	0
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	0
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	0
6	**Minimum investment return.** Enter 5% of line 5.		6	0

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 283		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	283
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	283
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	283
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	0

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	90,500
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	90,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	90,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					0
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.					
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2016					
a From 2011.	24,310				
b From 2012.	54,368				
c From 2013.	80,605				
d From 2014.	86,600				
e From 2015.	57,057				
f **Total** of lines 3a through e.		302,940			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 90,500					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					
e Remaining amount distributed out of corpus		90,500			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		393,440			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		24,310			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		369,130			
10 Analysis of line 9					
a Excess from 2012. . . .	54,368				
b Excess from 2013. . . .	80,605				
c Excess from 2014. . . .	86,600				
d Excess from 2015. . . .	57,057				
e Excess from 2016. . . .	90,500				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			**(e) Total**
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				90,500
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	14,157	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-58,976
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FRANCHISE TX BOARD REFUND			1		
b LITIGATION SETTLEMENT			1		
c NON DIVIDEND DISTRIBUTION			1	786	
d					
e					
12 Subtotal Add columns (b), (d), and (e)				14,943	-58,976
13 **Total.** Add line 12, columns (b), (d), and (e)				13	-44,033

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-03-09	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Kirby N Higashi CPA				P00257479
Firm's name ▶ SADD VELAZQUEZ HIGASHI SHAMMAA LLP				Firm's EIN ▶
Firm's address ▶ 330 N BRAND BLVD STE 200 GLENDALE, CA 912032361				Phone no (818) 547-5701

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LA COUNTY MUSEUM OF ART 5905 WILSHIRE BLVD LOS ANGELES, CA 90036	NONE	PF	DONATION	10,000
BLUE SKIES FOR CHILDREN 13 PROSPECT ST SUITE 200 BELLINGHAM WA, WA 98225	NONE	PC	DONATION	3,000
STREETLIGHTS PROD ASST PROGR 650 N BRONSON AVE B108 HOLLYWOOD, CA 91101	NONE	PC	DONATION	3,000
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVE DALLAS, TX 75231	NONE	PC	DONATION	2,000
SOLAR CIRCLE 4709 WOODCRAFT OKEMOS, MI 48864	NONE	PC	DONATION	2,000
UNIV OF WASHINGTON FOUNDATION 1900 COMMERCE ST TACOMA, WA 98402	NONE	PF	DONATION	5,000
MCCALLUM THEATER 73000 FRED WARING DR PALM DESERT, CA 92260	NONE	PC	DONATION	10,000
UNITED CEREBRAL PALSY 6430 INDEPENDENCE AVE WOODLAND HILLS, CA 91367	NONE	PC	DONATION	8,000
TOWN HALL LOS ANGELES 515 S FLOWER ST STE 1650 LOS ANGELES, CA 90071	NONE	PC	DONATION	3,500
GROWTOWN INC 33203 BIDDESTONE LN FARMINGON HILLS, MI 48334	NONE	PC	DONATION	5,000
ANIMALS AS NATURAL THERAPY 721 VAN WYCK RD BLAINE, WA 98226	NONE	PC	DONATION	5,000
LEUKEMIA LYMPHOMA SOCIETY 515 14TH ST BELLINGHAM, WA 98225	NONE	PC	DONATION	2,000
BLAINE SCHOLARSHIP FOUNDATION PO BOX 127 BLAINE, WA 98231	NONE	PF	DONATION	14,400
BLAINE SCHOOL DISTRICT 765 H STREET BLAINE, WA 98230	NONE	PC	DONATION	5,600
BLAINE FINE ARTS ASSOCIATION 5535 PEREGRINE WAY BLAINE, WA 98226	NONE	PC	DONATION	2,000
Total . . . . . ▶ **3a**				90,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HASLETT SCHOOL FOUNDATION 5593 FRANKLIN ST HASLETT, MI 48840	NONE	PF	DONATION	3,000
LUKE'S LEGACY FOUNDATION 77 FRONT ST DANVILLE, CA 94526	NONE	PF	DONATION	5,000
PACIFIC ARTS ASSOCIATION PO BOX 434 BLAINE, WA 98231	NONE	PC	DONATION	1,000
PUPPY RESCUE MISSION PO BOX 1516 CELINA, TX 75009	NONE	PC	DONATION	1,000
Total . . . . . ▶ **3a**				90,500

TY 2016 Accounting Fees Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,900	0	0	0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491072006637

TY 2016 Investments Corporate Stock Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENTS	480,971	594,430

TY 2016 Other Assets Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED STOCK DIFFERENCE	1,824	1,480	

TY 2016 Other Income Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NON DIVIDEND DISTRIBUTION	786		

TY 2016 Other Increases Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Description	Amount
ADJUSTEMENT FOR WASH SALES - TAX ITEM	4,889

TY 2016 Other Professional Fees Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	11,903	0	0	0

TY 2016 Taxes Schedule

Name: DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

EIN: 95-4031664

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CA REGISTRY OF CHARITABLE TRUSTS	25			
FRANCHISE TAX BOARD	10			
INTERNAL REVENUE SERVICE	1,709			

DOROTHY COLLINS BROWN CHAR FDN Account Number 230-74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 30 2016

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	0 56	0 56		.56		
BIF MONEY FUND	36 407 00	36 407 00	1 0000	36,407.00		
TOTAL		36 407 56		36,407.56		

EQUITIES Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Est Annual Yield%
ACI WORLDWIDE INC	ACIW 02/08/16	279	16 1000	4 491 90	18 1500	**5,063 85**	571 95		
ACTUANT CORP CL A	ATU 02/08/16	143	21 9772	3 142 74	25 9500	**3,710.85**	568 11	**6**	1
ADTRAN INC COM	ADTN 02/08/16	118	18 2976	2 159 12	22 3500	**2,637 30**	478 18	**43**	1 61
AG MTG INVT TR INC	MITT 02/08/16	108	11 3901	1 230 14	17 1100	**1,847.88**	617 74	**206**	11 10
ALEXANDER & BALDWIN INC NEW	ALEX 02/08/16	41	29 8600	1 216 06	44 8700	1 839 67	623 61	17	92
	10/31/16	5	41 1400	208 57	44,8700	224 35	15 78	2	92
	11/01/16	3	41 1566	123 47	44 8700	134 61	11 14	1	92
Subtotal		*49*		*1 548 10*		***2,198.63***	*650 53*	**15**	*92*
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	MDRX 02/08/16	216	12 0172	2 595 72	10 2100	**2,205 36**	(390 36)		
AMER EAGLE OUTFITTERS	AEO 02/08/16	98	13 0800	1 281 84	15 1700	**1,486.66**	204 82	**49**	3 29
APOLLO COMM REAL ESTATE FIN INC	ARI 02/08/16	195	15 5841	3 038 90	16 6200	**3,240.90**	202 00	**359**	11 07
APOLLO INVESTMENT CORP	AINV 02/08/16	437	4 9900	2 180 83	5 8600	**2,560.82**	380 19	**263**	10 23
APPLIED INDUSTRL TECH	AIT 02/08/16	36	38 0600	1 370 16	59 4000	**2,138.40**	768 24	**41**	1 98
ARMSTRONG FLOORING INC SUS WHEN ISSUED	AFI 02/08/16	19	10 1305	192 48	19 9100	378 29	185 81		
	02/23/16	2	10 5550	21 11	19 9100	39 82	18 71		
	02/24/16	3	10 3900	31 17	19 9100	59 73	28 56		
Subtotal		*24*		*244 76*		***477.84***	*233 08*		

U.S. TRUST

Merrill Lynch

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2016 - December 31, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss) Amount	Estimated Annual Income	Yield %
ARMSTRONG WORLD INDS INC	AWI	02/08/16	37	31.5745	1,171.96	41.8000	1,546.60	374.64		
NEW		02/23/16	4	33.0650	132.02	41.8000	167.20	35.18		
		07/24/16	8	32.4916	194.95	41.8000	250.80	55.85		
		10/31/16	8	37.3912	299.13	41.8000	334.40	35.27		
		11/01/16	12	37.5075	450.09	41.8000	501.60	51.51		
		11/02/16	14	36.8735	516.23	41.8000	585.20	68.97		
		11/03/16	1	35.8800	35.88	41.8000	41.80	4.92		
Subtotal			82		2,850.43		**3,427.60**	626.40		
ARTISAN PARTNERS ASSET MGMT INC CLASS A	APAM	02/08/16	65	27.0000	1,755.00	29.1500	1,933.75	178.75	156	8.06
		11/02/16	10	25.4230	254.23	29.7500	297.50	43.24	24	8.06
		11/03/16	15	25.5120	382.68	29.7500	446.25	63.57	36	8.06
Subtotal			90		2,391.91		**2,677.50**	285.56	**216**	8.06
ASSURED GUARANTY LTD	AGO	05/23/16	15	25.7831	412.53	37.7700	604.32	191.79	9	1.49
		08/08/16	11	26.5481	292.13	37.7700	415.47	123.34	9	2.17
		08/21/16	27	25.3828	685.34	37.7700	1,019.79	334.45	18	1.76
		09/22/16	4	25.4075	101.63	37.7700	151.08	49.45	3	1.87
Subtotal			58		1,492.63		**2,190.66**	698.03	**33**	1.51
B/E AEROSPACE INC	BEAV	02/08/16	105	41.4170	4,389.46	60.1900	6,320.14	1,930.68	90	1.35
		11/03/16	6	58.9880	294.93	60.1900	361.15	66.22	5	1.39
Subtotal			111		4,684.39		**6,681.09**	1,996.70	**95**	1.42
BANCORP (THE) BANK	TBBK	02/08/16	180	3.9100	703.80	7.8600	**1,414.80**	711.00		
BRINKER INTL INC	EAT	02/08/16	44	46.3060	2,037.42	49.5300	2,179.32	141.90	60	2.75
		05/11/16	6	45.0700	270.42	49.5300	297.18	26.76	8	2.71
		05/23/16	15	44.0753	661.13	49.5300	742.95	81.82	21	2.71
		10/25/16	10	48.6840	486.84	49.5300	495.30	8.46	14	2.74
Subtotal			75		3,455.81		**3,714.75**	258.94	**104**	2.81
BRINKS CO	BCO	02/08/16	65	28.8200	1,873.30	41.2500	**2,681.25**	807.95	**26**	.97

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230-74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 30 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Current Yield%
BROADRIDGE FIN SOLUTIONS INC	BR	02/08/16	33	51.3500	1 694 55	65 3000	**2,187.90**	493 35	**44**	1 99
CABOT CORP	CBT	02/08/16	58	39 7003	2 302 62	50 5400	**2,931.32**	628 70	**70**	2 37
CARDTRONICS LTD-CL A	CATM	02/08/16	41	28 3548	1 162 55	54 5700	**2,237.37**	1 074 82		
CEB INC	CEB	06/24/16	3	60 4766	181 43	60 6000	181 80	37	5	2 72
		06 27/16	3	57 7833	173 35	60 6000	181 80	8 45	5	2 72
		06/27/16	6	57 6050	345 63	60 6000	363 60	17 97	10	2 72
		06/28/16	2	57 7350	115 47	60 6000	121 20	5 73	**4**	2 72
		07/05 '16	2	60 8200	121 64	60 6000	121 20	(0 44)	4	2 72
		07/06/16	3	61 3266	183 98	60 6000	181 80	(2 18)	5	2 72
		07/22/16	7	64 8714	454 17	60.6000	424 20	(29 90)	12	2 72
		09 14/16	3	54 2833	162 79	60 6000	181 80	19 01	5	2 72
		09/15/16	11	54 9254	604 18	60 6000	666 60	62 42	19	2 72
		09/20/16	4	53 2025	212 81	60 6000	242 40	29 59	7	2 72
		09/21/16	**1**	53 3500	53 35	60 6000	60 60	7 25	2	2 72
		10 12/16	6	52 2183	313 31	60 6000	363 60	50 29	10	2 72
		10/27/16	6	47 6633	285 98	60 6000	363 60	77 62	10	2 72
		10 28/16	6	47 9833	287 90	60 6000	363 60	75 70	10	2 72
Subtotal			*63*		*3 495 92*		***3,817.90***	*321 88*	***108***	*2 72*
CELANESE CORP DEL SER A	CE	02/08/16	57	55 4200	3 158 94	78 7400	4 488 18	1 329 24	83	1 82
		09/14/16	10	61 3010	613 01	78 7400	787 40	174 39	15	1 82
		09/15/16	1	61 5000	61 50	78 7400	78 74	17 24	2	1 82
		11/03/16	5	72 3640	361 82	78 7400	393 70	31 88	8	1 82
Subtotal			*73*		*4 195 27*		***5,748.02***	*1 552 75*	***108***	*1 82*
CHATHAM LODGING TR	CLDT	02/08/16	163	18 7598	3 059 49	20 5500	**3,349.65**	290 16	**216**	6 42
CIVEO CORP SHS	CVEO	02/08/16	179	0 9901	177 23	2 2000	**393.80**	216 57		

U.S. TRUST

Merrill Lynch

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 31 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Yield
CLEAN HARBORS INC	CLH	02/08/16	98	43.0938	4,223.20	55.9500	5,453.70	1,230.50		
		11/03/16	6	44.1700	265.02	55.6500	333.90	68.88		
Subtotal			104		4,488.22		**5,787.60**	1,299.38		
CNO FINL GROUP INC	CNO	02/08/16	229	15.8000	3,618.20	19.1500	4,385.35	767.15	71	1.61
		10/25/16	30	15.1693	455.08	19.1500	574.50	119.42	19	1.67
Subtotal			259		4,073.28		**4,959.85**	886.57	**84**	1.70
COLONY CAPITAL INC	CLNY	02/08/16	318	16.0200	5,094.36	20.2500	6,435.50	1,345.14	509	7.91
		02/09/16	2	17.9550	35.91	20.2500	40.50	4.59	4	[illegible]
		06/15/16	17	17.7276	292.97	20.2500	344.25	51.38	25	[illegible]
		09/21/16	21	16.9880	356.75	20.2500	425.25	68.50	34	[illegible]
		06/24/16	15	13.6226	249.34	20.2500	303.75	54.41	24	7.90
		06/27/16	24	15.3900	367.92	20.2500	486.00	118.08	35	7.20
		11/02/16	13	18.8853	245.51	20.2500	263.25	17.74	21	[illegible]
Subtotal			110		6,542.66		**8,302.50**	1,658.84	**659**	[illegible]
COLONY STARWOOD HOMES REV	STR	02/08/16	40	20.0200	800.80	28.8100	**1,152.40**	351.60	**36**	3.05
COLUMBIA SPORTSWEAR CO	COLM	02/08/16	57	48.8905	2,542.33	58.3000	**3,631.60**	489.27	**38**	1.23
COMMERCIAL METALS CO COM	CMC	02/08/16	120	13.3371	1,600.46	21.7800	**2,613.60**	1,013.14	**58**	2.22
COMPUTER PROGRAMS & SYS	CPSI	02/08/16	32	55.9700	1,787.84	23.6000	**755.20**	(1,032.64)	**31**	[illegible]
CONVERGYS CORP	CVG	02/08/16	157	23.6750	3,716.98	24.5600	3,855.92	138.94	[illegible]	1.46
		12/23/16	4	24.7450	98.98	24.6500	98.24	(0.74)	2	[illegible]
		12/27/16	8	24.9987	199.27	24.5600	196.48	(2.79)	2	[illegible]
Subtotal			169		4,015.23		**4,150.84**	[illegible]	**62**	[illegible]
COPART INC COV	CPRT	02/08/16	57	33.1200	1,887.84	55.4100	**3,158.37**	1,270.53		
DOLBY LABORATORIES INC CL A	DLB	02/08/16	68	36.0243	2,485.68	45.1900	**3,118.11**	632.43	**39**	1.25

DOROTHY COLLINS BROWN CHAR FDN Account Number 230-74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield
EAGLE MATERIALS INC	EXP	02/08/16	33	51.4900	1,699.17	98.5300	**3,251.49**	1,552.32	**14**	.42
EL PASO ELECTRIC CO NEW	EE	02/08/16	63	41.0458	2,585.89	46.5000	2,929.50	343.61	79	2.69
		10/26/16	2	44.8100	89.62	46.5000	93.00	3.38	3	2.69
Subtotal			65		*2,675.51*		***3,022.50***	*346.99*	***82***	*2.69*
ENERGIZER HOLDINGS INC	ENR	02/08/16	40	36.5007	1,464.03	44.6100	1,784.40	320.37	44	2.46
SHS		12/08/16	12	44.3983	532.78	44.6100	535.32	2.54	14	2.46
Subtotal			52		*1,996.81*		***2,319.72***	*322.91*	***58***	*2.46*
FIFTH ST FIN CORP	FSC	02/08/16	340	5.6372	1,916.66	5.3700	**1,825.80**	(90.86)	**245**	13.42
FIRST HORIZON NTNL CORP	FHN	02/08/16	213	11.7460	2,501.91	20.0100	4,262.13	1,760.22	60	1.39
		10/25/16	34	15.2758	519.38	20.0100	680.34	160.96	10	1.39
Subtotal			*247*		*3,021.29*		***4,942.47***	*1,921.18*	***70***	*1.39*
FRANKLIN STR PPTYS CORP	FSP	02/08/16	388	9.0060	3,494.34	12.9600	**5,028.48**	1,534.14	**295**	5.86
GENTHERM INC	THRM	09/29/16	30	30.9886	929.66	33.8500	1,015.50	85.84		
		09/30/16	6	31.5033	189.02	33.8500	203.10	14.08		
		10/03/16	5	31.2020	156.01	33.8500	169.25	13.24		
		10/04/16	4	30.9200	123.68	33.8500	135.40	11.72		
		10/05/16	4	31.3400	125.36	33.8500	135.40	10.04		
		10/18/16	9	28.5800	257.22	33.8500	304.65	47.43		
		10/18/16	3	28.1933	84.58	33.8500	101.55	16.97		
		10/19/16	4	28.6625	114.65	33.8500	135.40	20.75		
		10/28/16	12	27.7016	332.42	33.8500	406.20	73.78		
		10/31/16	10	27.8030	278.03	33.8500	338.50	60.47		
		11/02/16	8	27.9737	223.79	33.8500	270.80	47.01		
		11/03/16	1	28.1400	28.14	33.8500	33.85	5.71		
Subtotal			96		*2,842.56*		***3,249.60***	*407.04*		
GLATFELTER	GLT	02/08/16	183	14.9268	2,775.40	23.8500	**4,443.54**	1,667.14	**93**	2.09

DOROTHY COLLINS BROWN CHAPTON

Account Number 230-74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 31, 2016 [illegible]

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield
GLOBAL PMTS INC GEORGIA	GPN	02/08/16	42	51.5250	2,164.05	69.4100	**2,915.22**	751.17	**2**	[illegible]
GNC HOLDINGS INC SHS CL A	GNC	02/08/16	111	25.8899	2,873.78	11.0400	1,225.44	(1,648.34)	99	7.91
		02/08/16	6	23.4289	158.26♦	11.0400	66.24	(92.02)	5	7.91
		02/28/16	5	26.4320	132.26♦	11.0400	55.20	(77.06)	4	7.91
		04/28/16	26	25.3188	658.29	11.0400	287.04	(371.25)	21	7.91
		04/29/16	13	24.4930	317.93	11.0400	143.52	(174.11)	11	7.91
		09/13/16	3	20.8566	62.57	11.0400	33.12	(29.45)	3	7.91
Subtotal			*164*		*4,296.91*		**1,810.56**	*(2,392.53)*	**133**	[illegible]
GUESS INC COM	GES	02/08/16	45	17.7475	798.64	12.1000	544.50	(254.14)	41	7.53
		02/04/16	2	16.9950	33.99♦	12.1000	24.20	(9.79)	2	7.43
		10/25/16	23	13.9047	319.81	12.1000	278.30	(41.51)	21	7.42
		10/27/16	14	13.3100	186.34	12.1000	169.40	(16.94)	12	7.43
		10/25/16	6	13.4633	80.78	12.1000	72.60	(8.18)	5	7.43
		10/31/16	21	13.5280	284.09	12.1000	254.10	(29.99)	19	7.53
Subtotal			*111*		*1,703.55*		**1,343.10**	*(360.55)*	**102**	[illegible]
HARMONETICS CORP VASS	HAE	02/08/16	86	32.1373	2,763.81	40.2000	3,457.20	693.39		
		11/02/16	7	33.2242	232.57	40.2000	281.40	48.83		
		11/03/16	7	33.5028	234.52	40.2000	281.40	46.88		
Subtotal			*100*		*3,230.90*		**4,020.00**	*789.10*		
HARMONIC INC $0.001	HLIT	02/08/16	273	3.0375	829.24	5.0000	**1,365.00**	535.76		
HARSCO CORPORATION	HSC	02/08/16	91	6.9345	633.85	13.6000	**1,319.20**	685.34	**20**	1.51
HERMAN MILLER INC COV	MLHR	11/04/16	15	27.7623	416.44	34.2000	513.00	96.56	11	1.98
		11/07/16	6	28.6300	171.78	34.2000	205.20	33.42	5	1.98
Subtotal			*21*		*588.22*		**718.20**	*129.98*	**16**	[illegible]
HOPE BANCORP INC SHS	HOPE	02/08/16	381	14.3367	5,462.23	21.8900	**8,340.09**	2,877.86	**183**	2.19

DOROTHY COLLINS BROWN CHAR FDN Account Number 230-74L45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2016 - December 30, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Current Yield %
HYSTER YALE MATLS HNDLNG INC CL A	HY	02/08/16	26	52 7273	1,370 91	63 7700	**1,656.02**	287 11	**31**	1 85
INTERSIL CORP CL A	SIL	02/08/16	230	11 8672	2 729 47	22 3000	**5,129.00**	2 399 53	**111**	2 15
INVESTM TECHGRP INC NEW	ITG	02/08/16	123	16 7400	2 056 02	19 7400	**2,428.02**	359.00	**35**	1 41
INVESTORS BANCORP INC NEW	ISBC	02/08/16	953	11 2113	10 684 46	13 9500	**13,294.35**	2 609 89	**305**	2 29
JANUS CAPITAL GROUP INC	JNS	02/08/16	180	11 3271	2 038 89	13 2700	2 388 60	349 71	80	3 31
		11/03/16	24	12 4200	298 08	13 2700	318 48	20 40	11	3 31
		11/04/16	25	12 5495	313 74	13 2700	331 75	18 01	11	3 31
		11/04/16	10	12 3000	123 00	13 2700	132 70	9 70	5	3 31
		11/07/16	17	12 7570	216 87	13 2700	225 59	8 72	8	3 31
		11/08/16	3	12 6766	38 03	13 2700	39 81	1 78	2	3 31
Subtotal			259		3 028 61		**3,436 93**	408.32	**117**	3 31
KENNAMETAL INC	KMT	02/08/16	88	17 6572	1 553 84	31 2600	**2,750.88**	1 197 04	**71**	2 58
KEYCORP NEW COM	KEY	02/08/16	218	11 6250	2 534 25	18 2700	**3,982 86**	1 448 61	**75**	1 88
KLX INC SHS	KLXI	02/08/16	160	27 4953	4 399 26	45 1100	7 217 60	2 818 34		
		10/31/16	7	34 3442	240 41	45 1100	315 77	75 36		
		11/01/16	3	33 9200	101 76	45 1100	135 33	33 57		
		11/03/16	5	33 3520	166 76	45 1100	225 55	58 79		
		11/04/16	3	33 7000	101 10	45 1100	135 33	34 23		
Subtotal			178		5 009 29		**8,029.58**	3,020 29		
KOPPERS HLDGS INC	KOP	02/08/16	73	15 9512	1 164 44	40 3000	**2,941.90**	1 777 46		
MTS SYSTEMS CORP COM	MTSC	02/08/16	50	50 9998	2 549 99	56 7000	2 835 00	285 01	80	2 11
		05/11/16	10	48 2330	482 33	56 7000	567 00	84 67	12	2 11
		11/04/16	7	46 5371	325 76	56 7000	396 90	71 14	9	2 11
Subtotal			67		3,358 08		**3,798.90**	440 82	**81**	2 11

U.S. TRUST

Merrill Lynch

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 1 2016 December 31 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield
MASIMO CORP	MASI	02 08 16	18	34 7133	624 84	67 4000	**1 213.20**	588 36		
MATSON INC	MATX	02/08 16	93	39 5050	3 673 97	35 3900	3 291 27	(382 70)	71	2 14
COM		02/08 16	4	40 4725	161 89◆	35 3900	141 56	(20 33)	4	2 14
		02/08 16	5	41 1800	205 90◆	35 3900	176 95	(28 95)	4	2 14
		05 05, 16	23	32 9904	758 78	35 3900	813 97	55 19	18	2 21
		11 03 16	3	40 4033	121 21	35 3900	106 17	(15 04)	3	2 14
Subtotal			128		4,927 75		**4,529.92**	(391 83)	**100**	2 21
MRC GLOBAL INC	MRC	02 09 16	176	9 8250	1 734 00	20 2500	**3,565.76**	1 831 76		
PATTERSON UTI ENERGY INC	PTEN	02 08, 16	32	12 9175	413 36	26 5200	**851.44**	448 08	**3**	28
PBF ENERGY INC CL A	PBF	02, 08, 16	92	27 0160	2 485 48	27 8800	2 554 95	79 48	11	4 30
		02 08 16	10	21 1990	211 99◆	27 8800	278 80	66 81	12	4 30
		02 08 16	9	22 8433	205 89◆	27 8800	250 92	45 06	11	4 30
		06 16/16	32	24 0271	768 87	27 8800	892 16	123 29	38	4 31
		06/27/16	9	22 1766	199 59	27 8800	251 92	51 33	11	4 30
		09 28/16	4	22 6850	90 74	27 8800	111 52	20 78	5	4 30
		10, 25/16	6	21 8283	130 97	27 8800	167 28	36 31	8	4 30
Subtotal			162		4,093 50		**4,516.56**	423 06	**197**	4 [illegible]
PEBBLEBROOK HOTEL TRUST	PEB	05/24/16	11	24 3018	267 32	29 7500	327 25	59 93	1	5 1
		05 25/16	19	24 8052	471 30	29 7500	565 25	93 95	24	5 10
		10 27 16	18	25 1861	453 35	29 7500	535 50	82 15	28	5 10
		10, 31 16	22	24 6727	540 30	29 7500	654 50	113 90	34	5 10
		11 01/16	12	24 2466	290 96	29 7500	357 00	66 04	19	5 10
		11 02 16	14	24 3578	341 01	29 7500	416 50	75 49	22	5 10
Subtotal			96		2,364 54		**2,856.00**	491 46	**149**	5 1
PHARMERICA CORP	PMC	02 09 16	37	26 0391	963 45	25 1500	**930.55**	(32 90)		

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 31 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Estimated Current Yield%
POTLATCH CORP NEW	PCH	02/08/16	45	27 8100	1 251 45	41 6500	1 874 25	622 80	68	3 60
		11/03/16	6	37 8383	227 03	41 6500	249 90	22 87	9	3 60
		11 04 16	1	38 0600	38 06	41 6500	41 65	3 59	2	3 60
Subtotal			52		1 516 54		**2,165.80**	649 26	**79**	3 60
PRIVATE BANCORP INC DEL	PVTB	02/08/16	37	31 6997	1 172 89	54 1900	2 005 03	832 14	2	07
		06/27, 16	11	34 2209	376.43	54 1900	596 09	219 66	1	07
		06, 28/16	3	35 2933	105 88	54 1900	162 57	56 69	1	07
Subtotal			51		1,655 20		**2,763.69**	1 108 49	**4**	07
RAYONIER INC REIT	RYN	02/08/16	181	19 5040	3 533 23	26 6000	4 814 60	1 284 37	181	3 75
		10/25/16	10	26 5890	265 89	26 6000	266 00	11	10	3 75
		10/26/16	14	26 5385	371 54	26 6000	372 40	86	14	3 75
		10/27, 16	6	26 5100	159 06	26 6000	159 60	54	6	3 75
Subtotal			211		4,326 72		**5,612.60**	1 285 88	**211**	3 75
RYMAN HOSPITALITY PPTYS INC	RHP	02/08/16	39	46 0100	1 794 39	63 0100	**2,457 39**	563 00	**117**	4 76
SALLY BEAUTY HLDGS INC	SBH	02/08/16	95	30 0500	2 854 75	26 4200	2 509 90	(344 85)		
		02/08/16	2	30 9150	61 83✦	26 4200	52 84	(8 99)		
		02/17/16	2	29 7950	59 59✦	26 4200	52 84	(6 75)		
		03/09 16	1	28 5600	28 56✦	26 4200	26 42	(2 14)		
		09/22/16	8	26 5025	212 02	26 4200	211 36	(0 66)		
		10/25/16	11	26 1181	287 30	26 4200	290 62	3 32		
		11/02/16	17	25 9370	440 93	26 4200	449 14	8 21		
		12, 23/16	10	26 2010	262 01	26 4200	264 20	2 19		
		12/27 16	7	26 4185	184 93	26 4200	184 94	01		
Subtotal			153		4,391 32		**4,042.26**	(349 66)		

U.S. TRUST

Merrill Lynch

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 31 2016 Dec [illegible]

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss) Amount	Estimate Current Yield
SELECT COMFORT CORP	SCSS 02/12 16	16	15 4525	247 21	22 5200	361 92	114 68	
	02 16 16	12	15 8133	189 76	22 6100	271 44	81 68	
	02 16 16	14	15 9714	219 40	22 6200	316 68	97 28	
	02 17 16	6	16 1250	96 75	22 6200	135 72	38 97	
	02 17 16	19	15 8894	301 30	22 6200	429 78	127 48	
Subtotal		67		1 055 [illegible]		**1,515 54**	459 [illegible]	
SOTHEBY'S (DELAWARE)	BID 02/12/16	4	20 0950	80 38	39 8500	159 44	79 06	[illegible]
	02 16 16	14	20 9978	293 97	39 8600	558 04	264 [illegible]	5 [illegible]
	02 17 16	16	23 5075	376 12	39 8600	637 76	261 64	[illegible]
Subtotal		34		750 47		**1,355.24**	6[illegible]	**15** [illegible]
STARWOOD PPTY TR INC COMMON STOCK	STWD 02 08/16	95	17 6400	1 675 80	21 9600	**2,086.25**	405 45	**183** [illegible]
SYKES ENTERPRISES INC	SYKE 02 08/16	100	28 0355	2 806 55	28 8600	**2,886.00**	79 45	
TEREX CORP DEL NEW COM	TEX 02/08 16	62	20 5161	1 272 00	31 5300	**1,954.86**	682 86	**18** [illegible]
TESORO CORP	TSO 02 08/16	14	71 9500	1 007 30	87 4500	**1,224.30**	217 00	**31** [illegible]
TETRA TECHNOLOGIES INC	TTI 02/08/16	250	5 6472	1 411 77	5 0200	1 255 00	(156 77)	
	11/01 16	54	5 3977	291 48	5 0200	271 08	(20 40)	
	11/10 16	19	5 2116	99 02	5 0200	95 38	(3 64)	
	11 11 16	32	5 1959	166 27	5 0200	160 64	(5 63)	
Subtotal		355		1,968 54		**1,782 10**	(186 44)	
TRI POINTE GROUP INC	TPH 11 09/16	56	11 0950	621 38	11 4800	642 88	21 50	
	11 11/16	41	11 3604	465 78	11 4800	470 88	4 90	
Subtotal		97		1,087 16		**1 113 56**	26 40	
TUPPERWARE BRANDS CORP	TUP 02 08 16	34	48 4305	1 646 64	52 5200	**1,788.08**	142 44	**93** [illegible]

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230-74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 30 2016

EQUITIES (cont nued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
VER FONE SYSTEMS INC	PAY	06/30 '16	15	18 4120	276 18	17 7300	265 95	(10 23)		
		06/30/16	2	19 1950	38 39+	17 7300	35 46	(2 93)		
		07/01/16	14	18 5421	259 59	17 7300	248 22	(11 37)		
		07/01/16	33	18 4893	610 15	17 7300	585 09	(25 06)		
		07/05 '16	18	17 8772	321 79	17 7300	319 14	(2 65)		
		09/12/16	18	16 5338	297 61	17 7300	319 14	21 53		
		09/13 16	18	16 0944	289 70	17 7300	319 14	29 44		
		09/13/16	20	16 1920	323 84	17 7300	354 60	30 76		
		09/22 '16	14	15 8565	222 16	17 7300	248 22	26 06		
		10/17/16	16	15 1599	242 55	17 7300	283 68	41 13		
		10/25/16	22	15 6531	344.37	17 7300	390 06	45 69		
Subtotal			*190*		*3,226 33*		***3,368.70***	*142 37*		
VERINT SYSTEMS INC	VRNT	04/07/16	5	33 7840	168 92	35 2500	176 25	7 33		
		04/11/16	9	34 3366	309 03	35 2500	317 25	8 22		
		04/12/16	16	34 0437	544 70	35 2500	564 00	19 30		
		04/13/16	2	34 4250	68 85	35 2500	70 50	1 65		
		05/04/16	6	33 2850	199 71	35 2500	211 50	11 79		
		05/05/16	4	33 3700	133 48	35 2500	141 00	7 52		
		05/10/16	15	33 1373	497 06	35 2500	528 75	31 69		
		05/13/16	9	32 4933	292 44	35 2500	317 25	24 81		
		12/23/16	4	35 2050	140 82	35 2500	141 00	18		
		12/27/16	6	35 6568	213 94	35 2500	211 50	(2 44)		
Subtotal			*76*		*2,568 95*		***2,679.00***	*110 05*		
VITAMIN SHOPPE INC	VSI	02 08/16	75	29 2400	2 193 00	23 7500	1 781 25	(411 75)		
		11/03 '16	6	22 5783	135 47	23 7500	142 50	7 03		
		11/04/16	11	23 2072	255 28	23 7500	261 25	5 97		
Subtotal			*92*		*2,583 75*		***2,185.00***	*(398 75)*		

Merrill Lynch

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2015 - December 31 2015

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Yield
WADDELL & REED F NL A	WDR	02 11 16	24	21 4208	514 10	19 5100	468 24	(45 86)	45	9 43
		02 12 16	9	22 2155	199 94	19 5100	175 59	(24 35)	17	9 43
		02 12 16	8	21 7500	174 32	19 5100	156 08	(18 24)	15	9 43
		02 15 16	13	23 0376	299 49	19 5100	253 83	45 66	24	9 43
		03 07 16	6	21 4718	128 83+	19 5100	117 06	(11 77)	11	9 43
		04 27 16	10	21 4220	214 22	19 5100	195 10	(19 12)	19	9 43
		04 28 16	15	21 1263	316 88	19 5100	292 65	(24 23)	28	9 43
		04 29 16	16	20 2387	323 82	19 5100	312 16	(11 66)	30	9 43
		05 04 16	17	19 0488	323 83	19 5100	331 67	7 84	32	9 43
		06 27 16	10	18 3460	183 46	19 5100	195 10	11 64	19	9 43
		08 28 16	4	16 0900	64 36	19 5100	78 04	13 68	8	9 43
		08 28 16	8	16 6875	133 10	19 5100	156 08	22 98	15	9 43
		10 25 16	14	18 1900	254 66	19 5100	273 14	18 48	26	9 43
Subtotal			151		3,111 01		**3,004 54**	(106 47)	**290**	9 65
WHITE MTNS INS GRP LTD	WTM	02 08 16	7	760 0000	5 320 00	836 0500	**5,852 35**	532 35	7	1
WILEY JOHN & SONS CL A	JWA	02 08 16	72	40 2119	2 895 25	54 5000	3 924 00	1 028 75	96	2 27
		11 03 16	2	50 0900	100 18	54 5000	109 00	8 82	2	2 27
		11 04 16	3	50 5233	151 57	54 5000	163 50	11 93	4	2 2
Subtotal			77		3 147 00		**4,196.50**	1 049 50	**97**	2 2
WPX ENERGY INC (CLASS A COMMON STOCK)	WPX	02 08 16	310	4 4261	1 372 49	14 5700	**4,516 70**	3 144 2		
WYNDHAM WORLDWIDE CORP W I	WYN	02 08 16	18	50 9100	1 096 38	76 3700	**1,374.66**	278 28	36	2 61
ZEBRA TECHNOLOGIES CRP A	ZBRA	05 17 16	6	57 0665	342 34	85 7600	514 56	172 22		
		06 20 16	12	57 6641	691 97	85 7600	1 029 12	337 15		
		06 21 16	6	56 8550	341 13	85 7600	514 56	173 43		
		08 24 16	8	54 4225	435 38	85 7600	685 08	250 70		
		08 24 16	6	54 6875	328 12	85 7600	514 56	186 44		
		08 27 16	7	49 5828	347 08	85 7600	600 32	253 24		

+

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E45

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 - December 31 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Amount	Estimated Current Annual Income	Est Annual Yield%
ZEBRA TECHNOLOGIES CRP A	ZBRA	05/28/16	10	50 1620	501 62	85 7600	857 60	355 98			
		06/28/16	6	50 5783	303 47	85 7600	514 56	211 09			
		06/29/16	7	49 4757	346 33	85 7600	600 32	253.99			
		07/07/16	8	46 7875	374 30	85 7600	686 08	311.78			
		11/03/16	4	65 2175	260 87	85 7600	343 04	82 17			
Subtotal			80		4,272 91		6,860.80	2,588 19		6,778	2 33
TOTAL					228 557 64		290,676.63	62 118 99		6,778	2 07
TOTAL PRINCIPAL INVESTMENTS					264 955 20		327,084.19	62 118.99			

Notes

✦Cost basis has been adjusted by the deferred loss amount from a previous 'Wash Sale' and the acquisition date has been adjusted to include the holding period of the lot closed by that previous 'Wash Sale'

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	227 86	227 86		227 86		
BIF MONEY FUND	3 534 00	3 534 00	1 0000	3,534.00		
TOTAL		3 761.86		3,761.86		
TOTAL INCOME INVESTMENTS		3 761 86		3,761.86		

LONG PORTFOLIO	Adjusted, Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
TOTAL PRINCIPAL/INCOME INVESTMENTS	268 727 06	330,846.05	62 118 99		6,778

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230-74E44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2015 December 30 2015

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	1 377 45	1 377 45		**1,377.45**		
BIF MONEY FUND	12 667 00	12 667 00	1 0000	**12,667.00**		
TOTAL		14 044 45		**14,044.45**		

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Current Yield%
ABBOTT LABS	ABT	08/25/16	215	43 1280	9 272 54	38 4100	8 258 15	(1 014 39)	229	2 75
		08/25/16	2	42 9650	85 93♦	38 4100	76 82	(9 11)	3	2 75
		08/25/16	8	43 3712	346 97♦	38 4100	307 28	(39 69)	9	2 75
		08/26/16	2	42 5850	85 17♦	38 4100	76 82	(8 35)	3	2 75
		09/06/16	3	41 9800	125 94♦	38 4100	115 23	(10 71)	4	2 75
		10/26/16	4	40 0175	160 07	38 4100	153 64	(6 43)	5	2 75
Subtotal			234		10,076 62		**8,987.94**	(1,088 68)	**252**	2 75
ALLSTATE CORP DEL COM	ALL	02/08/16	119	62 4100	7 426 79	74 1200	8 820 28	1 393 49	158	1 78
		03/16/16	6	66 0200	396 12	74 1200	444 72	48.60	8	1 78
		09/02/16	1	68 6500	68 65	74 1200	74 12	5 47	2	1 78
		10/12/16	1	69 0100	69 01	74 1200	74 12	5.11	2	1 78
		10/26/16	5	68 0880	340 44	74.1200	370 60	30 16	7	1 78
Subtotal			132		8 301 01		**9,783.84**	*1,482.83*	***177***	1 78
ARCHER DANIELS MIDLD	ADM	02/08/16	190	33 1683	6 301 98	45 6500	8 673 50	2 371 52	228	2 62
		03/16/16	2	36 9050	73 81	45 6500	91 30	17 49	3	2 62
		10/12/16	2	42 3450	84 69	45 6500	91 30	6 61	3	2 62
		10/26/16	3	43 3366	130 01	45 6500	136 95	6 94	4	2 62
Subtotal			197		6 590 49		**8,993.05**	2 402 56	***238***	2 62

U.S. TRUST

Merrill Lynch
Bank of America Corporation

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230-74E44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 31 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/Loss	Estimated Annual Income	Current Yield %
AT&T INC	T	02/08/15	205	36.7781	7,539.52	42.5300	8,718.65	1,179.13	402	4.60
		03/16/15	13	38.5275	500.86	42.5300	552.89	52.03	26	4.60
		10/12/16	8	39.3375	314.70	42.5300	340.24	25.54	16	4.60
		10/26/16	23	36.6256	842.39	42.5300	978.19	135.80	46	4.60
Subtotal			*249*		*9,197.47*		***10,589.97***	*1,392.50*	***490***	*4.62*
BANK NEW YORK MELLON CORP	BK	04/27/15	9	42.1922	379.73	47.3800	425.42	45.69		1.69
		04/27/15	13	44.9846	584.90✦	47.3800	615.94	31.14	10	1.6
		07/31/15	68	43.6510	2,968.27	47.3800	3,221.84	253.57	52	1.61
		10/30/15	67	41.6970	2,793.70	47.3800	3,174.46	380.76	51	1.60
		01/15/16	47	35.6631	1,676.17	47.3800	2,226.86	550.69	36	1.60
Subtotal			*204*		*8,402.67*		***9,665.52***	*1,262.85*	***156***	*1.61*
BB&T CORPORATION	BBT	02/08/16	216	30.9820	6,691.13	47.0200	10,159.30	3,468.17	258	2.55
		03/16/16	3	34.3266	102.98	47.0200	141.06	38.08	4	2.55
		10/26/16	3	39.1766	117.53	47.0200	141.06	23.53	4	2.55
Subtotal			*221*		*6,881.64*		***10,391.42***	*3,509.78*	***266***	*2.56*
CA INC	CA	02/08/16	236	25.9431	7,409.37	31.7700	8,736.75	1,327.38	281	3.21
		03/16/16	7	30.2800	211.96	31.7700	222.39	10.43	8	3.21
		10/12/16	6	32.5066	195.04	31.7700	190.62	(4.42)	7	3.21
		10/26/16	8	32.4675	259.74	31.7700	254.16	(5.58)	9	3.21
Subtotal			*295*		*8,076.11*		***9,403.92***	*1,327.81*	***305***	*3.24*
CARDINAL HEALTH INC OHIO	CAH	02/08/16	112	74.8677	8,385.18	71.9700	8,060.64	(324.55)	202	2.49
		03/16/16	5	80.8580	404.29	71.9700	359.85	(44.44)	9	2.49
		09/02/16	3	79.7568	239.27	71.9700	215.91	(23.36)	5	2.49
		10/12/16	4	75.8975	303.59	71.9700	287.88	(15.71)	8	2.49
		10/26/16	7	74.6828	522.78	71.9700	503.79	(18.99)	13	2.49
Subtotal			*131*		*9,855.12*		***9,428.07***	*(427.05)*	***238***	*2.52*

DOROTHY COLLINS BROWN CHARITON

Account Number 230-74L44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2016 December 31 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Yield %
CHEVRON CORP	CVX	01/19/16	9	81 7822	736 04	117 7000	1 059 30	323 26	39	3 67
		02/08/16	69	84 7485	5,847 65	117 7000	8 121 30	2 273 65	299	3 67
		03 16 16	2	94 4500	188 90	117 7000	235 40	46 50	9	3 67
		10 12, 16	1	102 2800	102 28	117 7000	117 70	15 42	5	3 67
		10/26 16	4	101 0725	404 29	117 7000	470 80	66 51	18	3 67
Subtotal			*85*		*7 279 16*		**10,004.50**	*2 725 31*	**370**	*3 67*
CISCO SYSTEMS INC COM	CSCO	02/08/16	277	22 5124	6 235 96	30 2200	8 370 94	2 134 98	289	3 44
		03/16 16	8	27 7500	222 00	30 2200	241 76	19 76	9	3 44
		10/12/16	14	30 3664	425 13	30 2200	423 08	(2 05)	15	3 44
		10 26/16	4	30 8100	123 24	30 2200	120 88	(2 36)	5	3 44
Subtotal			*303*		*7 006 33*		**9,156.66**	*2 150 33*	**318**	*3 44*
CONOCOPHILLIPS	COP	02/08/16	179	33 9098	6 069 86	50 1400	8 975 06	2 905 20	179	1 99
		09/02 16	8	41 2162	329 73	50 1400	401 12	71 39	8	1 99
		10/12/16	3	43 4666	130 40	50 1400	150 42	20 02	3	1 99
		10/26 16	16	41 6062	665 70	50 1400	802 24	136 54	16	1 99
Subtotal			*206*		*7 195 69*		**10,328.84**	*3,133 15*	**206**	*1 99*
CVS HEALTH CORP	CVS	02/08/16	92	89 9877	8 278 87	78 9100	7 259 72	(1 019 15)	184	2 53
		03/16 16	2	100 5750	201 15	8 9100	157 82	(43 33)	4	2 53
		09/02/16	4	94 1800	376 72	78 9100	315 64	(61 08)	8	2 53
		10/12/16	11	87 5990	963 59	78 9100	868 01	(95 58)	22	2 53
		10/26/16	2	87 3350	174 67	78 9100	157 82	(16 85)	4	2 53
Subtotal			*111*		*9 995 00*		**8,759.01**	*(1 235 99)*	**222**	*2 53*
DU PONT E I DE NEMOURS	DD	02/08/16	128	56 9499	7,289 59	73 4000	9 395 20	2 105 61	195	2 07
		03/15/16	5	62 5760	313 38	73 4000	367 00	53 62	8	2 07
		10/26/16	2	68 6950	137 39	73 4000	146 80	9 41	4	2 07
Subtotal			*135*		*7 740 36*		**9,909.00**	*2,168 64*	**207**	*2 07*

U.S. TRUST

Merrill Lynch
Bank of America Corporation

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2013 December 3[illegible] 13

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Yield %
EDISON INTL CALIF	EIX	02 08 16	126	62 9076	7 548 90	71 9900	8 638 80	1 089 90	251	2 91
		03 18 16	5	69 5400	347 70	71 9900	359 95	12 25	11	3 01
		09 02 16	2	72 8650	145 73	71 9900	143 98	(1 75)	5	3 01
		10 12 16	6	70 0950	420 03	71 9900	431 94	11 91	14	3 01
Subtotal			133		8 462 36		**9,574 67**	1 112 31	**291**	3 01
EXPRESS SCRIPTS HLDG CO	ESRX	02 08 16	115	65 8499	7 572 74	66 7900	7 676 85	338 11		
		03 18 16	6	69 7283	418 36	66 7900	412 74	(5 62)		
		09 02 16	1	72 4100	72 41	66 7900	66 79	(5 62)		
		10 12 16	3	69 1733	207 52	66 7900	200 37	(7 15)		
		10 25 16	2	69 6950	139 39	66 7900	133 58	(5 81)		
Subtotal			127		8,410 42		**8,736.33**	325 91		
FIRST MARRIOTT INTL INC PARENT 1 571903202		12 16 09	3 864	0 0002	0 98	0 0008	**N/A**	N A		
HALLIBURTON COMPANY	HAL	02 08 16	182	30 5184	5 554 36	54 0900	9 844 38	4 290 02	132	1 33
		03 18 16	7	34 8557	243 99	54 0900	378 63	134 64	9	1 23
		09 02 16	2	43 8400	87 68	54 0900	108 18	20 50	2	1 23
Subtotal			192		5 886 03		**10,331.19**	4 445 16	**140**	1 32
INTEL CORP	INTC	02 08 16	238	28 4810	6 778 48	33 2700	8 832 26	1 853 78	248	2 83
		03 16 16	5	31 2980	156 49	33 2700	181 35	24 86	6	2 83
		10 12 16	3	37 1600	111 48	33 2700	108 81	(2 67)	4	2 83
		10 25 16	22	35 0772	771 70	33 2700	797 94	26 24	23	2 83
Subtotal			268		7 818 15		**9,720.36**	1 902 21	**281**	2 89
JOHNSON AND JOHNSON COM	JNJ	02 08 16	71	101 7683	7 225 55	115 2100	8 179 91	954 36	229	2 77
		03 16 16	5	105 9680	534 84	115 2100	576 05	41 21	16	2
		10 25 16	4	114 5175	458 07	115 2100	460 84	2 77	13	2 77
Subtotal			80		8 218 96		**9,216.80**	998 [illegible]	**257**	[illegible]

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230 74E44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 1, 2016 - December 31, 2016

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain (Loss)	Estimated Annual Income	Current Yield %
KRAFT (THE) HEINZ CO SHS	KHC	02/08/16	103	70.8050	7,292.92	87.3200	8,993.96	1,701.04	248	2.74
		03/16/16	2	77.2250	154.45	87.3200	174.64	20.19	5	2.74
		10/12/16	2	87.3550	174.71	87.3200	174.64	(0.07)	5	2.74
		10/26/16	2	88.2200	176.44	87.3200	174.64	(1.80)	5	2.74
Subtotal			109		*7,798.52*		**9,517.88**	1,719.36	**263**	2.74
LOWE'S COMPANIES INC	LOW	02/08/16	121	62.7258	7,589.83	71.1200	8,605.52	1,015.69	170	1.96
		03/16/16	1	72.7700	72.77	71.1200	71.12	(1.65)	2	1.96
		09/02/16	1	76.7600	76.76	71.1200	71.12	(5.64)	2	1.96
		10/12/16	4	71.5700	286.28	71.1200	284.48	(1.80)	6	1.96
		10/26/16	10	67.8000	678.00	71.1200	711.20	33.20	14	1.96
Subtotal			137		*8,703.64*		**9,743.44**	1,039.80	**194**	1.96
MARATHON OIL CORP	MRO	02/08/16	502	7.7100	3,870.42	17.3100	8,688.62	4,819.20	101	1.15
		10/12/16	40	14.8782	595.13	17.3100	692.40	97.27	8	1.15
		10/26/16	58	14.1182	818.86	17.3100	1,003.98	185.12	12	1.15
Subtotal			600		*5,284.41*		**10,386.00**	5,101.59	**121**	1.15
MARSH & MCLENNAN COS INC	MMC	02/08/16	123	54.2685	6,675.03	67.5900	8,313.57	1,638.54	168	2.01
		03/16/16	3	58.8800	176.64	67.5900	202.77	26.13	5	2.01
		10/12/16	2	66.2050	132.41	67.5900	135.18	2.77	3	2.01
		10/26/16	11	63.4254	697.68	67.5900	743.49	45.81	15	2.01
Subtotal			139		*7,681.76*		**9,395.01**	1,713.25	**191**	2.01
MERCK AND CO INC SHS	MRK	02/08/16	135	48.1575	6,502.62	58.8700	7,947.45	1,444.83	254	3.19
		03/16/16	10	51.6370	516.37	58.8700	588.70	72.33	19	3.19
		10/12/16	3	61.6468	184.94	58.8700	176.61	(8.33)	6	3.19
		10/26/16	5	61.0080	305.04	58.8700	294.35	(10.69)	10	3.19
Subtotal			153		*7,508.97*		**9,007.11**	1,498.14	**289**	3.19

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230-74E44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/Loss	Estimated Annual Income	Yield
MONDELEZ INTERNATIONAL INC	MDLZ	02/08/16	198	35.9698	7,122.04	44.3300	8,777.34	1,655.30	151	1.71
		03/16/16	9	41.6663	375.00	44.3300	398.97	23.97	7	1.71
		10/12/16	6	42.4766	254.86	44.3300	266.98	11.12	5	1.71
Subtotal			213		7,751.90		**9,442.29**	1,690.39	**163**	1.72
NORTHROP GRUMMAN CORP	NOC	02/08/16	36	184.9000	6,656.40	232.5800	8,372.88	1,716.48	132	1.54
		03/15/16	3	190.6033	571.81	232.5800	697.74	125.93	11	1.61
Subtotal			39		7,228.21		**9,070.62**	1,842.41	**141**	1.55
OCCIDENTAL PETE CORP CAL	OXY	02/08/16	123	64.7816	7,969.18	71.2300	8,761.29	792.11	314	4.26
		03/15/16	5	68.3765	341.88	71.2300	356.15	14.27	15	4.26
		10/25/16	3	74.1466	222.44	71.2300	213.69	8.75	10	4.26
Subtotal			131		8,532.50		**9,331.13**	798.63	**400**	4.29
PFIZER INC	PFE	02/08/16	251	28.3639	7,119.34	32.4800	8,152.48	1,033.14	327	3.94
		03/16/16	23	28.9978	666.95	32.4800	747.04	80.09	90	3.94
		09/02/16	1	34.7400	34.74	32.4800	32.48	(2.26)	2	3.94
		10/12/16	6	33.0183	198.11	32.4800	194.88	(3.23)	8	3.94
		10/26/16	14	32.4400	454.16	32.4800	454.72	.56	18	3.94
Subtotal			295		8,473.30		**9,581.60**	1,108.30	**380**	3.97
QUEST DIAGNOSTICS INC	DGX	02/08/16	102	65.9974	6,729.54	91.9000	9,373.80	2,644.26	194	1.95
		03/16/16	1	69.3400	69.34	91.9000	91.90	22.56	2	1.95
		09/02/16	1	82.5900	82.59	91.9000	91.90	9.31	2	1.95
		10/12/16	1	83.3600	83.36	91.9000	91.90	8.54	2	1.95
		10/26/16	5	81.7820	408.91	91.9000	459.50	50.59	9	1.95
Subtotal			110		6,778.68		**10,109.00**	3,330.32	**199**	1.95
RAYTHEON CO DELAWARE NEW	RTN	02/08/16	57	125.4410	7,150.14	142.0000	8,094.00	943.86	168	2.09
		02/08/16	1	125.4400	125.44	142.0000	142.00	16.56	3	2.09
		03/16/16	8	122.6862	981.49	142.0000	1,136.00	154.51	24	2.09
Subtotal			67		8,257.07		**9,372.00**	1,114.93	**195**	2.08

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01 2018 - December 30 2019

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
VERIZON COMMUNICATNS COM	VZ	02/08/16	180	50 4090	8 055 44	53 3800	8 540 80	475 36	370	4 32
		02/08/16	4	53 4175	213 67✦	53 3800	213 52	(0 15)	15	4 32
		02/08/16	2	50 1000	100 20✦	53 3800	106 76	6 56	5	4 32
		03/16/16	8	52 7475	421 98	53 3800	427 04	5 06	19	4 32
		09/02/16	4	52 3600	210 64	53 3800	213 52	2 88	10	4 32
		10/12/16	5	50 2880	251 44	53 3800	266 90	15 46	12	4 32
		10/26/16	11	47 8772	526 65	53 3800	587 18	60 53	26	4 32
Subtotal			*194*		*9,790 02*		**10,355 72**	565 70	**452**	4 32
WASTE MANAGEMENT INC NEW	WM	02/08/16	130	52 1453	6 778 90	70 9100	9 218 30	2 439 40	214	2 31
		03/16/16	4	58 5100	234 04	70 9100	283 64	49 60	7	2 31
		10/12/16	2	62 9550	125 91	70 9100	141 82	15 91	4	2 31
		10/26/16	2	64 0900	128 18	70 9100	141 82	13 64	4	2 31
Subtotal			*138*		*7,267 03*		**9,785.58**	*2,518 55*	**229**	*2 31*
XEROX CORP	XRX	02/08/16	501	9 0282	4 523 17	8 7300	4 373 73	(149 44)	156	3 56
		03/16/16	20	10 4875	209 75	8 7300	174 60	(35 15)	7	3 56
		09/02/16	39	9 8800	385 32	8 7300	340 47	(44 85)	13	3 56
		10/12/16	53	9 7764	518.15	8 7300	462 69	(55 46)	17	3 56
		10/26/16	37	9 6364	356 55	8 7300	323 01	(33 54)	12	3 56
Subtotal			*650*		*5,992 94*		**5,674.50**	*(318 44)*	**205**	*3 56*
TOTAL					252 413 02		**303,752.97**	51 339 95	**7,836**	2 58
TOTAL PRINCIPAL INVESTMENTS					266 457 47		**317,797.42**	51 339 95	**7,836**	2 47

Notes

Total values exclude N/A items

✦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale"

U.S. TRUST

Merrill Lynch

DOROTHY COLLINS BROWN CHAR FDN

Account Number 230-74L44

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS December 01 2016 [illegible]

CASH/MONEY ACCOUNTS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield %
CASH	0.63	0.63		**.63**		
B F MONEY FUND	2 293.00	2 293.00	1.0000	**2 293.00**		
TOTAL		2 293.63		**2,293.63**		
TOTAL INCOME INVESTMENTS		2 293.63		**2 293.63**		

LONG PORTFOLIO	Adjusted Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
TOTAL PRINCIPAL/INCOME INVESTMENTS	268 751.10	**320,091.05**	51 339.95		**7 836**	2.45

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income Cash	Principal Cash	Total Cash
12/01	Dividend		BB&T CORPORATION DIVIDEND HOLDING 240.0000 PAY DATE 12/01/2016	72.00		
12/01	Dividend		CONOCOPHILLIPS DIVIDEND HOLDING 218.0000 PAY DATE 12/01/2016	54.50		
12/01	Dividend		INTEL CORP DIVIDEND HOLDING 2[illegible].0000 PAY DATE 12/01/2016	72.32		
12/01	Dividend		PFIZER INC DIVIDEND HOLDING 2[illegible].0000	89.20		