



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2016**

Open to Public Inspection

**For calendar year 2016 or tax year beginning****, and ending**

Name of foundation <b>MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION</b>			A Employer identification number <b>95-3697275</b>	
Number and street (or P O box number if mail is not delivered to street address) <b>P.O. BOX 1501, NJ2-130-03-31</b>		Room/suite	B Telephone number (see instructions) <b>609-274-6834</b>	
City or town, state or province, country, and ZIP or foreign postal code <b>PENNINGTON NJ 08534-1501</b>		C If exemption application is pending, check here <input type="checkbox"/>		
Foreign country name Foreign province/state/country Foreign postal code		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>		
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>		
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>15,824,983</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	14,843,635			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	97,471	19,169		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	30,915			
	b Gross sales price for all assets on line 6a	30,915			
	7 Capital gain net income (from Part IV, line 2)		30,915		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	14,972,021	50,084	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	7,105			7,105
	b Accounting fees (attach schedule)	1,300			1,300
	c Other professional fees (attach schedule)	972	583		389
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,910	156		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	658			95
	24 Total operating and administrative expenses. Add lines 13 through 23	15,945	739	0	8,889
	25 Contributions, gifts, grants paid	331,650			331,650
26 Total expenses and disbursements. Add lines 24 and 25	347,595	739	0	340,539	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	14,624,426				
b Net investment income (if negative, enter -0-)		49,345			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

HTA

L2X

6

FORM 990-PF  
PAGE 1  
DATE AUG 30 2017

SCANNED SEP 07 2017

Form 990-PF (2016)

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)		Beginning of year	End of year		
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing . . . . .		147,332	1,142	1,141	
	2	Savings and temporary cash investments . . . . .			18,212	18,212	
	3	Accounts receivable ▶ . . . . .					
		Less: allowance for doubtful accounts ▶ . . . . .					
	4	Pledges receivable ▶ . . . . .					
		Less: allowance for doubtful accounts ▶ . . . . .					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .					
		Less: allowance for doubtful accounts ▶ . . . . .					
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .					
	10a	Investments—U.S. and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule) . . . . .			14,398,050	15,130,575	
	c	Investments—corporate bonds (attach schedule) . . . . .					
	11	Investments—land, buildings, and equipment: basis ▶ . . . . .					
	Less: accumulated depreciation (attach schedule) ▶ . . . . .						
12	Investments—mortgage loans . . . . .						
13	Investments—other (attach schedule) . . . . .			545,476	438,946	675,055	
14	Land, buildings, and equipment: basis ▶ . . . . .						
	Less: accumulated depreciation (attach schedule) ▶ . . . . .						
15	Other assets (describe ▶ . . . . .)						
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .			692,808	14,856,350	15,824,983	
Liabilities	17	Accounts payable and accrued expenses . . . . .					
	18	Grants payable . . . . .					
	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe ▶ . . . . .)					
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			0	0	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> . . . . . <input type="checkbox"/>					
		<b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24	Unrestricted . . . . .					
	25	Temporarily restricted . . . . .					
	26	Permanently restricted . . . . .					
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>					
		<b>and complete lines 27 through 31.</b>					
	27	Capital stock, trust principal, or current funds . . . . .			293,333	14,856,350	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds			399,475			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .			692,808	14,856,350		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .			692,808	14,856,350		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	692,808
2	Enter amount from Part I, line 27a . . . . .	2	14,624,426
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	15,317,234
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement . . . . .	5	460,884
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	14,856,350

Form 990-PF (2016)

Form 990-PF (2016)

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275

Page 3

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e	Capital Gains Distributions			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e			30,915	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			30,915	
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	30,915	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }	3	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	50,100	928,233	0.053974
2014	43,700	961,605	0.045445
2013	36,600	871,015	0.042020
2012	31,000	745,861	0.041563
2011			0.000000
2	Total of line 1, column (d) . . . . .	2	0.183002
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0.045751
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . . . .	4	7,702,363
5	Multiply line 4 by line 3 . . . . .	5	352,391
6	Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	6	493
7	Add lines 5 and 6 . . . . .	7	352,884
8	Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	340,539

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>1</b>			987	
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		0	
<b>3</b>	Add lines 1 and 2		987	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		987	
<b>6</b>	Credits/Payments.			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	2,872	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	2,872	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	0	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	1,885	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	1,885	

**Part VII-A Statements Regarding Activities**

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<b>Yes</b>	<b>No</b>
<b>1a</b>			X
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input type="checkbox"/> \$ (2) On foundation managers. <input type="checkbox"/> \$		
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$		
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/>		
	CA		
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Form 990-PF (2016)

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275

Page 5

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X
Website address ▶ N/A		
14 The books are in care of ▶ UST-MLT, A DIVISION OF BANK OF AMERICA N/A Telephone no. ▶ 609-274-6834		
Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here . . . . . ▶ <input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) . . . . .	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? . . . . .	4b	X

Form 990-PF (2016)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No N/A

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JORDAN KAPLAN 5144 AUGUSTA COURT FORT COLLINS, CO 80528	PRESIDENT .00	0		
DAVID KAPLAN 5144 AUGUSTA COURT FORT COLLINS, CO 80528	TREASURER AND .00	0		
JORDAN KAPLAN 5144 AUGUSTA COURT FORT COLLINS, CO 80528	SOLE DIRECTOR .00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services** . . . . . ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments. See instructions.		
3	NONE	

**Total. Add lines 1 through 3** . . . . . ▶

0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	7,701,238
<b>b</b>	Average of monthly cash balances	<b>1b</b>	118,420
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	7,819,658
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	7,819,658
<b>4</b>	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	<b>4</b>	117,295
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	7,702,363
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	385,118

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	385,118
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	987
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	987
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	384,131
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	384,131
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	384,131

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	340,539
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	340,539
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	340,539

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275

Page 9

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				384,131
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only . . . . .			39,568	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011 . . . . .				
<b>b</b> From 2012 . . . . .				
<b>c</b> From 2013 . . . . .				
<b>d</b> From 2014 . . . . .				
<b>e</b> From 2015 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$ 340,539				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .			39,568	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2016 distributable amount . . . . .				300,971
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .				83,160
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012 . . . . .				
<b>b</b> Excess from 2013 . . . . .				
<b>c</b> Excess from 2014 . . . . .				
<b>d</b> Excess from 2015 . . . . .				
<b>e</b> Excess from 2016 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**N/A**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . .  

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Pnor 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					0
<b>b</b> 85% of line 2a . . . . .					0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					0
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					0
<b>(4)</b> Gross investment income . . . . .					0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Attached Statement				
<b>Total</b> . . . . .			<b>3a</b>	331,650
<b>b</b> <i>Approved for future payment</i> NONE				
<b>Total</b> . . . . .			<b>3b</b>	0

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.





Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments . . . . .					
4	Dividends and interest from securities . . . . .			14	97,471	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory			18	30,915	
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e) . . . . .		0		128,386	0
13	Total. Add line 12, columns (b), (d), and (e) . . . . .				13	128,386

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |          |   |          |
|----------|---|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:  |          |
|          | (1) Cash  | <b>1</b> |
|          | (2) Other assets  | <b>1</b> |
| <b>b</b> | Other transactions.   |          |
|          | (1) Sales of assets to a noncharitable exempt organization  | <b>1</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization  | <b>1</b> |
|          | (3) Rental of facilities, equipment, or other assets  | <b>1</b> |
|          | (4) Reimbursement arrangements  | <b>1</b> |
|          | (5) Loans or loan guarantees  | <b>1</b> |
|          | (6) Performance of services or membership or fundraising solicitations  | <b>1</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees  |          |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |          |

	Yes	No
		X
<b>1a(1)</b>	—	X
<b>1a(2)</b>		X
		X
<b>1b(1)</b>		X
<b>1b(2)</b>		X
<b>1b(3)</b>		X
<b>1b(4)</b>		X
<b>1b(5)</b>		X
<b>1b(6)</b>		X
<b>1c</b>		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

~~Signature of officer or trustee~~

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

LAWRENCE F MCGUIRE

Preparer's signature

25

Date \_\_\_\_\_

8/4/2017

Check ☒ if self-employed

PTIN

P01233953

Firm's name ▶ PRICEWATERHOUSECOOPERS, LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH PA, 15219

Phone no 412-355-6000

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

Employer identification number

95-3697275

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ .....

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).





Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Page 3

Name of organization

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

Employer identification number

95-3697275

**Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	DOUGLAS EMMETT STOCK 137,952 SHARES		
		\$ 4,916,609	7/6/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	DOUGLAS EMMETT STOCK 275,904 SHARES		
		\$ 9,927,026	7/11/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Page 4

Name of organization

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

Employer identification number

95-3697275

**Part III**

**Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov. Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov. Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov. Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov. Country		----- ----- -----

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 1 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Los Angeles Jewish Home For The Aging

**Street**

7150 Tampa Avenue

**City**

Reseda

**State**

CA

**Zip Code**

91335-3700

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

5,000

**Name**

Boys And Girls Club Of Hawaii

**Street**

345 Queen Street

**City**

Honolulu

**State**

HI

**Zip Code**

96813-4727

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

5,000

**Name**

Planned Parenthood Los Angeles

**Street**

400 W 30Th St

**City**

Los Angeles

**State**

CA

**Zip Code**

90007-3320

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

10,000

**Name**

Los Angeles Fire Department Foundation

**Street**

1875 Century Park E Ste 200

**City**

Los Angeles

**State**

CA

**Zip Code**

90067-2503

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

10,000

**Name**

The UCLA Foundation

**Street**

PO Box 951619

**City**

Los Angeles

**State**

CA

**Zip Code**

90095

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

artworxLA

**Street**

1140 N Citrus Ave

**City**

Los Angeles

**State**

CA

**Zip Code**

90038-1102

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

5,000

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 2 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

The Ucla Foundation

**Street**

PO Box 951619

**City**

Los Angeles

**State**

CA

**Zip Code**

90095

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Ruskin Group Theatre Company

**Street**

4606 Lankershim Blvd Ste 320

**City**

North Hollywood

**State**

CA

**Zip Code**

91602-1802

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

5,000

**Name**

Windward School

**Street**

11350 Palms Blvd.

**City**

Los Angeles

**State**

CA

**Zip Code**

90066

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

50,000

**Name**

Saint Johns Hospital &amp; Health Center Foundation

**Street**

2121 Santa Monica Blvd

**City**

Santa Monica

**State**

CA

**Zip Code**

90404-2303

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

30,000

**Name**

National Multiple Sclerosis Society

**Street**

5150 W Goldleaf Cir Ste 400

**City**

Los Angeles

**State**

CA

**Zip Code**

90056-1663

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

25,000

**Name**

Los Angeles Waterkeeper

**Street**

120 Broadway

**City**

Santa Monica

**State**

CA

**Zip Code**

90401-2382

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

25,000

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 3 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Johnny Crisstopher Childrens Charitable Foundation - Jds

**Street**

185 Froehlich Farm Blvd

**City**

Woodbury

**State**

NY

**Zip Code**

11797-2931

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

15,000

**Name**

Boys &amp; Girls Clubs Of America

**Street**

1275 Peachtree St Ne

**City**

Atlanta

**State**

GA

**Zip Code**

30309-3580

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

10,000

**Name**

Palisades Charter High School

**Street**

1577 Bowdoin St

**City**

Pacific Plsds

**State**

CA

**Zip Code**

90272-3523

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

350

**Name**

Trustees of the University of Pennsylvania

**Street**

3451 Walnut Street

**City**

Philadelphia

**State**

PA

**Zip Code**

19104-6205

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

50,000

**Name**

Venice Family Clinic

**Street**

604 Rose Ave

**City**

Venice

**State**

CA

**Zip Code**

90291-2767

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

10,000

**Name**

Park Century School

**Street**

3939 Landmark St

**City**

Culver City

**State**

CA

**Zip Code**

90232-2315

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

15,000

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 4 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

President-Board Of Trustees Santa Clara College

**Street**

500 El Camino Real

**City**

Santa Clara

**State**

CA

**Zip Code**

95050-4345

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

10,000

**Name**

American Jewish World Service Inc

**Street**

45 W 36Th St

**City**

New York

**State**

NY

**Zip Code**

10018-7904

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Anti-Defamation League

**Street**

605 Third Ave 9Th Fl

**City**

New York

**State**

NY

**Zip Code**

10158-1300

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Cooperative For Assistance And Relief Everywhere Inc (CARE)

**Street**

151 Ellis Street Ne

**City**

Atlanta

**State**

GA

**Zip Code**

30303-2420

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Center For Public Integrity

**Street**

910 17Th Street Nw Floor 7

**City**

Washington

**State**

DC

**Zip Code**

20006-2601

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

3,000

**Name**

Chanty Navigator

**Street**

139 Harristown Rd Ste 101

**City**

Glen Rock

**State**

NJ

**Zip Code**

07452-3304

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

200

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 5 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Chime Institute

**Street**

19722 Collier St

**City**

Woodland Hls

**State**

CA

**Zip Code**

91364-3618

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

5,000

**Name**

Consumers Union Of United States Inc

**Street**

101 Truman Ave

**City**

Yonkers

**State**

NY

**Zip Code**

10703-1044

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Environmental Defense Fund Incorporated

**Street**

257 Park Avenue South

**City**

New York

**State**

NY

**Zip Code**

10010-7304

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Finca International Inc

**Street**

1201 15Th St Nw 8Th Floor

**City**

Washington

**State**

DC

**Zip Code**

20005-2899

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Humane Society Of The United States

**Street**

1255 23Rd St Nw Ste 450

**City**

Washington

**State**

DC

**Zip Code**

20037-1125

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

100

**Name**

International Rescue Committee Inc

**Street**

122 E 42Nd St

**City**

New York

**State**

NY

**Zip Code**

10168-0002

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

2,000

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 6 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Jane Goodall Institute For Wildlife Research Education &amp; Conservation

**Street**

1595 Spring Hill Rd Ste 550

**City**

Vienna

**State**

VA

**Zip Code**

22182-4100

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Jewish Federation Council Of Greater Los Angeles

**Street**

6505 Wilshire Blvd Ste 800

**City**

Los Angeles

**State**

CA

**Zip Code**

90048-4909

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Kcrw Foundation Inc

**Street**

1900 Pico Blvd

**City**

Santa Monica

**State**

CA

**Zip Code**

90405-1628

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

100

**Name**

Southern California Public Radio

**Street**

474 S Raymond Ave

**City**

Pasadena

**State**

CA

**Zip Code**

91105-2636

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

University Of Southern California

**Street**

University Park

**City**

Los Angeles

**State**

CA

**Zip Code**

90089-0001

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Museum Associates

**Street**

5905 Wilshire Blvd

**City**

Los Angeles

**State**

CA

**Zip Code**

90036-4504

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

2,500



MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 7 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year****Recipient(s) paid during the year****Name**

Los Angeles Regional Food Bank

**Street**

1734 E 41St St

**City**

Vernon

**State**

CA

**Zip Code**

90058-1502

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Brightfocus Foundation

**Street**

22512 Gateway Center Dr

**City**

Clarksburg

**State**

MD

**Zip Code**

20871-2005

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

National Audubon Society Inc

**Street**

4500 Springer Blvd

**City**

Little Rock

**State**

AR

**Zip Code**

72206

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

200

**Name**

National Wildlife Federation

**Street**

11100 Wildlife Center Dr

**City**

Reston

**State**

VA

**Zip Code**

20190-5361

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Nature Conservancy

**Street**

4245 Fairfax Dr Ste 100

**City**

Arlington

**State**

VA

**Zip Code**

22203-1637

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Natural Resources Defense Council Inc (NRDC)

**Street**

40 West 20Th Street

**City**

New York

**State**

NY

**Zip Code**

10011-4211

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 8 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

PBS SoCal /Koce-Tv Foundation

**Street**

3080 Bristol St Ste 400

**City**

Costa Mesa

**State**

CA

**Zip Code**

92626-7335

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Planned Parenthood Los Angeles

**Street**

400 W 30Th St

**City**

Los Angeles

**State**

CA

**Zip Code**

90007-3320

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

3,000

**Name**

Pro Publica Inc

**Street**

155 Avenue Of The Americas Fl 13

**City**

New York

**State**

NY

**Zip Code**

10013-1549

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

2,000

**Name**

The Salvation Army

**Street**

PO Box 388

**City**

Norfolk

**State**

VA

**Zip Code**

23502

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Southern Poverty Law Center Inc

**Street**

400 Washington Avenue

**City**

Montgomery

**State**

AL

**Zip Code**

36104

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

The UCLA Foundation

**Street**

10920 Wilshire Blvd Ste 900

**City**

Los Angeles

**State**

CA

**Zip Code**

90024-6506

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

4,000

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 9 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

Union Of Concerned Scientists Inc

**Street**

2 Brattle Sq Ste 6

**City**

Cambridge

**State**

MA

**Zip Code**

02138-3756

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

United States Association For Unhcr

**Street**

1775 K St Nw Ste 580

**City**

Washington

**State**

DC

**Zip Code**

20006-1529

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Venice Family Clinic

**Street**

604 Rose Ave

**City**

Venice

**State**

CA

**Zip Code**

90291-2767

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Center For National Independence In Politics

**Street**

1 Common Ground Ln

**City**

Philipsburg

**State**

MT

**Zip Code**

59858-9767

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

300

**Name**

West Side Food Bank A Non-Profit Corporation

**Street**

1710 22Nd St

**City**

Santa Monica

**State**

CA

**Zip Code**

90404-3921

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Wikimedia Foundation Inc

**Street**

149 New Montgomery St 6T

**City**

San Francisco

**State**

CA

**Zip Code**

94105-3739

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

300

MARTHA &amp; IRV KAPLAN FAMILY FOUNDATION

95-3697275 Page 10 of 10

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

World Wildlife Fund Inc

**Street**

1250 24Th St Nw

**City**

Washington

**State**

DC

**Zip Code**

20037-1124

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

500

**Name**

Aclu Foundation Of Southern Calif

**Street**

1313 W 8Th Street

**City**

Los Angeles

**State**

CA

**Zip Code**

90017-4420

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

5,000

**Name**

Feeding America

**Street**

35 East Wacker Drive

**City**

Chicago

**State**

IL

**Zip Code**

60601

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

Doctors Without Borders

**Street**

333 7th Ave 2nd Floor

**City**

New York

**State**

NY

**Zip Code**

10001-5004

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

1,000

**Name**

FINCA

**Street**

1201 15th Street NW

**City**

Washington

**State**

DC

**Zip Code**

20005

**Foreign Country****Relationship**

None

**Foundation Status**

PC

**Purpose of grant/contribution**

General Operating

**Amount**

100

**Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**



**Part I, Line 16a (990-PF) - Legal Fees**

		7,105	0	0	7,105
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	LEGAL FEES	7,105			7,105

**Part I, Line 16b (990-PF) - Accounting Fees**

		1,300	0	0	1,300
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,300			1,300

**Part I, Line 16c (990-PF) - Other Professional Fees**

		972	583	0	389
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	UST-MLT FEES AS AGENT	972	583		389



**Part I, Line 18 (990-PF) - Taxes**

		5,910	156	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	156	156		
2	PY EXCISE TAX DUE	2,882			
3	ESTIMATED EXCISE PAYMENTS	2,872			

**Part I, Line 23 (990-PF) - Other Expenses**

		658	0	0	95
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	95	0		95
2	OTHER EXPENSES	563	0		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		0	14,398,050	0	15,130,575
		Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1					
2	DOUGLAS EMMETT INC	0		14,398,050	15,130,575

**Part II, Line 13 (990-PF) - Investments - Other**

		545,476	438,946	675,055
		Book Value	Book Value	FMV
		Beg. of Year	End of Year	End of Year
Asset Description		Basis of Valuation		
1	PRIOR YEAR ENDING BOOK		0	
2	AMERICAN CENTY ULTRA FD INV		26,976	72,928
3	BARON ASSET FD GROWTH & INCOME FD		70,301	167,032
4	JANUS INVT FD OVERSEAS FD		59,756	65,709
5	MARSICO INVT FD FOCUS FD		52,820	51,892
6	FRANKLIN MUTUAL BEACON FD-Z		39,151	46,608
7	FRANKLIN MUTUAL DISCOVERY -Z		47,373	76,495
8	TOUCHSTONE LARGE CAP		115,512	122,760
9	VANGUARD INDEX TR 500 PORTFOLIO		27,057	71,631

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

---

**Line 5 - Decreases not included in Part III, Line 2**

<b>1</b>	<b>COST BASIS ADJUSTMENT</b>	<b>1</b>	<b>15,299</b>
<b>2</b>	<b>EXCESS FMV OVER COST OF ASSETS CONTRIBUTED</b>	<b>2</b>	<b>445,585</b>
<b>3</b>	<b>Total . . . . .</b>	<b>3</b>	<b>460,884</b>

---

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

[illegible]



**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date		Amount
1 Credit from prior year return . . . . .		1	
2 First quarter estimated tax payment . . . . .		2	
3 Second quarter estimated tax payment . . . . .		3	
4 Third quarter estimated tax payment . . . . .	8/29/2016	4	2,872
5 Fourth quarter estimated tax payment . . . . .		5	
6 Other payments . . . . .		6	
7 Total . . . . .		7	2,872



**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

<b>1</b>	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year . . .	<b>1</b>	<u>39,568</u>
<b>2</b>		<b>2</b>	
<b>3</b>		<b>3</b>	
<b>4</b>		<b>4</b>	
<b>5</b>		<b>5</b>	
<b>6</b>		<b>6</b>	
<b>7</b>		<b>7</b>	
<b>8</b>		<b>8</b>	
<b>9</b>		<b>9</b>	
<b>10</b>	<b>Total</b> . . . . .	<b>10</b>	<u>39,568</u>