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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation AUGUSTYN FOUNDATION TRUST		A Employer identification number 95-3673855	
Number and street (or P.O. box number if mail is not delivered to street address) 2058 N. MILLS AVENUE, #245		Room/suite	B Telephone number (909) 624-1366
City or town, state or province, country, and ZIP or foreign postal code CLAREMONT, CA 91711		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,372,632.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income
		(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B interest on savings and temporary cash investments		2.	2.
3 Dividends and interest from securities		43,786.	43,786.
4 Dividends and interest from securities			
5a Gross rents			
b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10		3,873.	
b Gross sales price for all assets on line 6a 260,264.			
7 Capital gain net income (from Part IV, line 2)			3,873.
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss)			
11 Other income		736.	105.
12 Total. Add lines 1 through 11		48,397.	47,766.
13 Compensation of officers, directors, trustees, etc		0.	0.
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees			
b Accounting fees STMT 4		5,426.	0.
c Other professional fees STMT 5		8,361.	0.
17 Interest			
18 Taxes STMT 6		1,864.	1,077.
19 Depreciation and depletion			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses STMT 7		6,015.	5,594.
24 Total operating and administrative expenses. Add lines 13 through 23		21,666.	6,671.
25 Contributions, gifts, grants paid		83,500.	
26 Total expenses and disbursements. Add lines 24 and 25		105,166.	6,671.
27 Subtract line 26 from line 12:			
a Excess of revenue over expenses and disbursements		<56,769.>	
b Net investment income (if negative, enter -0-)			41,095.
c Adjusted net income (if negative, enter -0-)			N/A

99

SCANNED MAY 25 2017

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		31,966.	31,931.	31,931.
	2	Savings and temporary cash investments		21,481.	34,355.	34,355.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 8	710,722.	708,488.	768,438.
	c	Investments - corporate bonds	STMT 9	1,520,058.	1,431,779.	1,415,380.
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other	STMT 10	95,206.	125,727.	122,528.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item i)		2,379,433.	2,332,280.	2,372,632.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		2,379,433.	2,332,280.	
	30	Total net assets or fund balances		2,379,433.	2,332,280.	
	31	Total liabilities and net assets/fund balances		2,379,433.	2,332,280.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,379,433.
2	Enter amount from Part I, line 27a	2	<56,769.>
3	Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	9,616.
4	Add lines 1, 2, and 3	4	2,332,280.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,332,280.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST BANK - SEE ATTACHED STATEMENT	P	VARIOUS	12/31/16
b NORTHERN TRUST BANK - SEE ATTACHED STATEMENT	P	VARIOUS	12/31/16
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,475.		9,012.	2,463.
b 247,446.		247,379.	67.
c 1,343.			1,343.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,463.
b			67.
c			1,343.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,873.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	144,234.	2,501,570.	.057657
2014	139,303.	2,630,015.	.052967
2013	206,182.	2,714,333.	.075960
2012	102,380.	1,976,045.	.051811
2011	271,074.	2,005,198.	.135186

2 Total of line 1, column (d)	2	.373581
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.074716
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,361,024.
5 Multiply line 4 by line 3	5	176,406.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	411.
7 Add lines 5 and 6	7	176,817.
8 Enter qualifying distributions from Part XII, line 4	8	97,322.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	822.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	822.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	822.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	777.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	777.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	45.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X
14 The books are in care of ▶ <u>GRETCHEN AUGUSTYN</u> Telephone no. ▶ <u>909-624-1366</u> Located at ▶ <u>2058 N. MILLS AVENUE, #245, CLAREMONT, CA</u> ZIP+4 ▶ <u>91711</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? N/A	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GRETCHEN AUGUSTYN	TRUSTEE			
2058 N. MILLS AVENUE, #245	1.00	0.	0.	0.
CLAREMONT, CA 91711				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Paid Employees, and Contractors (continued)[illegible]

0

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

7

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,293,891.
b	Average of monthly cash balances	1b	103,088.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,396,979.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,396,979.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,955.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,361,024.
6	Minimum investment return. Enter 5% of line 5	6	118,051.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	118,051.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	822.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	822.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	117,229.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	117,229.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	117,229.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	97,322.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	97,322.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	97,322.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				117,229.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	173,224.			
b From 2012	6,143.			
c From 2013	83,230.			
d From 2014	9,209.			
e From 2015	19,924.			
f Total of lines 3a through e	291,730.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	97,322.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				97,322.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	19,907.			19,907.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	271,823.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	153,317.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	118,506.			
10 Analysis of line 9:				
a Excess from 2012	6,143.			
b Excess from 2013	83,230.			
c Excess from 2014	9,209.			
d Excess from 2015	19,924.			
e Excess from 2016				

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

	4942(i)(3) or		4942(i)(5)
--	---------------	--	------------

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(l)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GRETCHEN AUGUSTYN, (909)624-1366, GRETCHEN@AUGUSTYNFT.COM
2058 N. MILLS AVENUE, #245, CLAREMONT, CA 91711

b The form in which applications should be submitted and information and materials they should include:

CHARITABLE ORGANIZATION LETTERHEAD WITH APPROPRIATE SUPPORT DATA

c Any submission deadlines:

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHMENT 1 VARIOUS VARIOUS, CA 91711			SUPPORT OF PUBLIC CHARITY	83,500.
Total			3a	83,500.
b Approved for future payment				
NONE				
Total			3b	0.

**Augustyn Foundation Trust
Gifts & Contributions**

Charity/Organization/Individual	Category	2016 - AFT Foundation Cont. #10809
List of Businesses/Gifts/Contributions		
American Cancer Society	Humanitarian	\$ 1,000
American Institute for Roman Culture	Educational	\$ 1,000
American Red Cross	Humanitarian	\$ 1,000
Archeological Conservancy	Personal	
Aultry Museum		
Be Perfect Foundation		
Best Friends Animal Society	Environmental	\$ 1,000
Beity Brinn Children's Museum	Educational	\$ 500
Boy Scouts Crater Lake Council	Educational	\$ 500
Boys Town	Educational	\$ 500
Braille Institute	Educational	\$ 2,000
Capuchin Franciscan "House of Peace"	Catholic Gifts	\$ 2,000
Casa Colina Foundation	Humanitarian	\$ 1,000
Catholic Answers	Catholic Gifts	
Damen High School	Catholic Gifts	\$ 500
Defenders of Wildlife	Environmental	\$ 1,000
Divine Word Missionaries		
Doctors Without Borders	Humanitarian	\$ 2,000
Dominican Sisters of Mary	Catholic Gifts	
Eternal Word TV Network EWTN	Catholic Gifts	\$ 500
Flintridge Sacred Heart Academy	Catholic Gifts	\$ 500
Girl Scouts of Los Angeles	Educational	\$ 1,000
Greenpeace Fund	Environmental	\$ 500
Guide Dogs of the Desert	Humanitarian	\$ 500
Habitat for Humanity	Personal	
Heart of Jesus Retreat Center		
Immaculate Conception School, ICA	Catholic Gifts	
International Life Services	Catholic Gifts	
LA Cancer Center		
LA Police Museum	Personal	
Lakeview Area Public Library, PA		\$ 6,000
Lawrence Historical Society		
March of Dimes	Personal	
Mann: Corp Heritage	Personal	
Maui Art & Craft Center	Personal	
McKeever Enviro Ctr, see Slippery Univ	Environmental	
Mission San Juan Capistrano	Personal	
MS Multiple Sclerosis, PA		
Museum of Natural History Fdn, LA County	Educational	
Nation Audubon Society, Inc	Environmental	\$ 500
National Trust for Historic Preservation	Personal	
National Wildlife Federation	Environmental	\$ 1,000
Nature Conservancy	Environmental	\$ 2,300
Navy Seal Foundation	Humanitarian	\$ 25,000
Ocean Institute		
Operation Underground Railroad		
Our Lady of the Assumption	Catholic Gifts	
Pacific Legal Foundation		
Pacific Whale Foundation		
Pomona Historical	Personal	
Raymond Alf Museum	Educational	
Right to Life League of S. California	Catholic Gifts	
Rockford Institute	Educational	\$ 500
San Juan Capistrano Historical Society		
San Secondi Dasti Church	Catholic Gifts	
Santa Fe Comm(Heart of Nation TV Mass)	Catholic Gifts	\$ 500
Santa Fe Opera		
Salvation Army, Thc	Humanitarian	\$ 1,000
Save the Redwoods League	Environmental	\$ 1,000
Schlitz Audubon Nature Center	Environmental	
Semper Fi Fund	Humanitarian	\$ 1,000
Sierra Club		
Smile Train	Humanitarian	\$ 1,000
Special Operations Fund	Humanitarian	
St. Anne's	Catholic Gifts	\$ 1,000
St. Joseph's Indian School	Catholic Gifts	\$ 2,000
St. Joseph's School, Upland		\$ 10,000
St. Jude Children's Hospital		
St. Labre Indian School	Personal	
St. Lawrence Seminary	Catholic Gifts	\$ 1,000
St. Lucy Priory High School	Catholic Gifts	\$ 500
St. Michael's Abbey Foundation	Catholic Gifts	
St. Monica School California		
St. Monica School Wisconsin		
St. Scholastica Priory	Catholic Gifts	\$ 1,000
Together in Mission	Personal	
Trumvirate Theatre	Personal	\$ 5,000
Vision Forward	Educational	\$ 2,000
The Vital Ground Foundation	Environmental	
Webb Schools	Educational	
World Wildlife Fund	Environmental	\$ 2,000
Wounded Warrior Project	Humanitarian	\$ 2,000
Yosemite Conservancy	Environmental	
TOTAL CONTRIBUTIONS		\$5,882,500

2016 Tax Information Statement

Page 7 of 30
 Recipient's Name and Address:
 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 245
 CLAREMONT, CA 91711-2812

Account Number: 23-10509
 Recipient's Tax ID Number: XX-XXX3855
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
0.6	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE								
82481R106	07/13/2016	06/03/2016	101.21	115.16			-13.95	0.00	0.00
100.0	HALLIBURTON CO								
406216101	08/02/2016	11/30/2015	4,173.90	3,983.00			190.90	0.00	0.00
225.0	#REORG/RACKSPACE HOSTING CASH MERGER 11-03-2016								
750086100	11/03/2016	Various	7,200.00	4,913.75			2,286.25	0.00	0.00
Total Short Term Sales			11,475.11	9,011.91	0.00	0.00	2,463.20	0.00	0.00
Long Term Sales									
29.02	GNMA POOL #4078 5% 02-20-2023 BEO								
36202EQ77	01/20/2016	09/10/2010	29.02	29.02			0.00	0.00	0.00
134.79	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037								
38375PKA8	01/20/2016	09/10/2010	134.79	134.79			0.00	0.00	0.00
25.0	PRECISION CASTPARTS CORP								
740189105	02/01/2016	03/12/2013	5,875.00	4,772.00			1,103.00	0.00	0.00
31.28	GNMA POOL #4078 5% 02-20-2023 BEO								
36202EQ77	02/22/2016	09/10/2010	31.28	31.28			0.00	0.00	0.00

This is important tax information and is being furnished to you.

2016 Tax Information Statement

Page 8 of 30
Ref: SAC

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C/O GRETCHEN AUGUSTYN, TRUSTEE
2058 N. MILLS AVE. # 245
CLAREMONT, CA 91711-2812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
145.69	GNMA 4.25% DUE 07-20-2037	4.25 07-20-2037								
38375PKA8	02/22/2016	09/10/2010	145.69	145.69			0.00		0.00	0.00
15.0	APPLE COMPUTER INC									
037833100	03/09/2016	09/10/2009	1,513.31	369.49			1,143.82		0.00	0.00
50.0	DANAHER CORP									
235851102	03/09/2016	03/19/2013	4,420.90	3,057.00			1,363.90		0.00	0.00
125.0	EXELON CORP									
30161N101	03/09/2016	05/13/2014	4,268.65	4,448.75			-180.10		0.00	0.00
150.0	MERCK & CO INC									
58933Y105	03/09/2016	Various	7,826.82	7,548.75			278.07		0.00	0.00
50.0	NORFOLK SOUTHN CORP COM									
655844108	03/09/2016	11/23/2012	3,816.41	2,861.50			954.91		0.00	0.00
6903.35	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD									
665162467	03/09/2016	Various	70,000.00	70,561.80			-561.80		0.00	0.00
175.0	TWENTY-FIRST CENTURY FOX INC CL A									
90130A101	03/09/2016	05/13/2014	4,838.64	6,163.50			-1,324.86		0.00	0.00
50.0	VERIZON COMMUNICATIONS									
92343V104	03/09/2016	08/07/2012	2,605.94	2,226.50			379.44		0.00	0.00

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2016 Tax Information Statement

Page 9 of 30
Ref: SAC

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P.O. BOX 803878
CHICAGO, IL 60680

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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
125.0	WELLS FARGO & CO NEW									
949746101	03/09/2016	Various	6,078.61	4,067.74			2,010.87		0.00	0.00
31.42	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	03/21/2016	09/10/2010	31.42	31.42			0.00		0.00	0.00
135.52	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	03/21/2016	09/10/2010	135.52	135.52			0.00		0.00	0.00
31.57	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	04/20/2016	09/10/2010	31.57	31.57			0.00		0.00	0.00
131.03	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	04/20/2016	09/10/2010	131.03	131.03			0.00		0.00	0.00
29.58	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	05/20/2016	09/10/2010	29.58	29.58			0.00		0.00	0.00
112.08	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	05/20/2016	09/10/2010	112.08	112.08			0.00		0.00	0.00
100000.0	OCCIDENTAL PETE 4.125% DUE 06-01-2016									
67459BX2	06/01/2016	Various	100,000.00	112,135.78			-12,135.78		0.00	0.00
85.0	#REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC									
07177M103	2823566 06-03-16									
	06/03/2016	05/13/2014	3,948.26	2,876.18			1,072.08		0.00	0.00

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2016 Tax Information Statement

Page 10 of 30
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 AUGUSTYN FOUNDATION TRUST
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THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Cusip Number	Date Sold or Disposed	Date Acquired	Description of property	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax	
									Withheld	Withheld
29.72	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	06/20/2016	09/10/2010		29.72	29.72			0.00	0.00	0.00
120.93	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	06/20/2016	09/10/2010		120.93	120.93			0.00	0.00	0.00
32.0	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	07/20/2016	09/10/2010		32.00	32.00			0.00	0.00	0.00
128.9	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	07/20/2016	09/10/2010		128.90	128.90			0.00	0.00	0.00
100.0	AMERICAN EXPRESS CO									
025816109	08/02/2016	08/07/2012		6,334.86	5,778.70			556.16	0.00	0.00
50.0	AMGEN INC									
031162100	08/02/2016	11/23/2012		8,710.31	4,351.50			4,358.81	0.00	0.00
25.0	APPLE COMPUTER INC									
037833100	08/02/2016	09/10/2009		2,612.44	615.81			1,996.63	0.00	0.00
200.0	EMC CORP COM									
268648102	08/02/2016	02/28/2012		5,619.87	5,584.00			35.87	0.00	0.00
100.0	METLIFE INC									
59156R108	08/02/2016	Various		4,208.90	4,444.00			-235.10	0.00	0.00

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2016 Tax Information Statement

Page 11 of 30
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Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
100.0	TERADATA CORP DEL COM STK									
88076W103	08/02/2016	07/23/2015	2,943.93	3,693.00			-749.07		0.00	0.00
32.14	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	08/22/2016	09/10/2010	32.14	32.14			0.00		0.00	0.00
111 74	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	08/22/2016	09/10/2010	111.74	111.74			0.00		0.00	0.00
30.15	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	09/20/2016	09/10/2010	30.15	30.15			0.00		0.00	0.00
142.86	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	09/20/2016	09/10/2010	142.86	142.86			0.00		0.00	0.00
30.29	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	10/20/2016	09/10/2010	30.29	30.29			0.00		0.00	0.00
115.52	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	10/20/2016	09/10/2010	115.52	115.52			0.00		0.00	0.00
32.57	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	11/21/2016	09/10/2010	32.57	32.57			0.00		0.00	0.00
92.43	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	11/21/2016	09/10/2010	92.43	92.43			0.00		0.00	0.00

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2016 Tax Information Statement

Page 12 of 30
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2058 N. MILLS AVE. # 245
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Account Number: 23-10509

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ FATCA

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
30.58	GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	12/20/2016	09/10/2010	30.58	30.58			0.00		0.00	0.00
80.92	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	12/20/2016	09/10/2010	80.92	80.92			0.00		0.00	0.00
Total Long Term Sales			247,445.58	247,378.73	0.00	0.00	66.85		0.00	0.00

This is important tax information and is being furnished to you.



Account Statement

December 1, 2016 - December 31, 2016

Account Number 23-10509
AUGUSTYN FOUNDATION TRUST

Portfolio Summary

Equity Securities	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
Large Cap	\$ 304,601.61	\$ 5,104.79	\$ 207,580.05	\$ 96,570.65	\$ 5,637.00	1.9%	13.0%
Mid Cap	31,953.30	(\$ 1,106.40)	27,256.75	4,696.55	338.90	1.1%	1.4%
Small Cap	32,146.02	534.08	27,813.03	4,332.99	342.79	1.1%	1.4%
International Developed	290,756.04	(\$ 1,988.96)	317,853.24	(27,097.20)	9,120.63	3.1%	12.4%
International Emerging	108,981.28	(\$ 1,311.17)	127,984.43	(19,003.15)	2,199.80	2.0%	4.7%
Total Equity Securities	\$ 768,438.25	\$ 1,232.34	\$ 708,487.50	\$ 59,499.84	\$ 17,639.12	2.3%	32.8%
Fixed Income Securities							
Corporate/Government	1,248,654.51	(\$ 559.18)	1,261,867.49	(13,225.67)	18,765.54	1.5%	53.3%
Other Bonds	166,725.00	67.50	169,911.22	(3,186.22)	1,680.75	1.0%	7.1%
Total Fixed Income Securities	\$ 1,415,379.51	(\$ 491.68)	\$ 1,431,778.71	(\$ 16,411.89)	\$ 20,446.29	1.4%	60.5%
Real Estate							
Real Estate Funds	67,357.61	(\$ 608.61)	64,299.46	3,058.15	2,029.14	3.0%	2.9%
Total Real Estate	\$ 67,357.61	(\$ 608.61)	\$ 64,299.46	\$ 3,058.15	\$ 2,029.14	3.0%	2.9%
Commodities							
Commodities	55,170.50	712.25	61,427.10	(6,256.60)	954.80	1.7%	2.4%
Total Commodities	\$ 55,170.50	\$ 712.25	\$ 61,427.10	(\$ 6,256.60)	\$ 954.80	1.7%	2.4%
Cash and Short Term Investments							
Cash	34,354.69	11,127.03	34,350.99	0.00	67.71	0.2%	1.5%

Continued on next page





Account Statement

December 1, 2016 - December 31, 2016

Account Number 23-10509
AUGUSTYN FOUNDATION TRUST

Portfolio Summary (continued)

	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
Total Cash and Short Term Investments	\$ 34,354.69	\$ 11,127.03	\$ 34,350.99	\$ 0.00	\$ 67.71	0.2%	1.5%
Total Portfolio	\$ 2,340,700.56	\$ 11,971.33	\$ 2,300,343.76	\$ 39,889.50	\$ 41,137.06	1.8%	100.0%



Northern Trust

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	2.	2.	
TOTAL TO PART I, LINE 3	2.	2.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	45,129.	1,343.	43,786.	43,786.	
TO PART I, LINE 4	45,129.	1,343.	43,786.	43,786.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	105.	105.	
2015 FEDERAL OVERPAYMENT CREDITED TO 2016 TAX	631.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	736.	105.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,426.	0.		5,426.
TO FORM 990-PF, PG 1, LN 16B	5,426.	0.		5,426.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	8,361.	0.		8,361.
TO FORM 990-PF, PG 1, LN 16C	8,361.	0.		8,361.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2016 ESTIMATED TAX PAYMENTS	146.	0.		0.
FRANCHISE TAX BOARD	10.	0.		10.
PRIOR YEAR REFUND APPLIED	631.	0.		0.
FOREIGN TAX PAID	1,077.	1,077.		0.
TO FORM 990-PF, PG 1, LN 18	1,864.	1,077.		10.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES	5,594.	5,594.		0.
OFFICE EXPENSE	396.	0.		0.
REGISTRY OF CHARITABLE TRUST	25.	0.		25.
TO FORM 990-PF, PG 1, LN 23	6,015.	5,594.		25.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	708,488.	768,438.
TOTAL TO FORM 990-PF, PART II, LINE 10B	708,488.	768,438.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	1,431,779.	1,415,380.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,431,779.	1,415,380.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	COST	125,727.	122,528.
TOTAL TO FORM 990-PF, PART II, LINE 13		125,727.	122,528.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 11

NAME OF MANAGER

GRETCHEN AUGUSTYN