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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

AUGUSTYN FOUNDATION TRUST

Number and street (or P O box number if mail is not delivered to street address)

2058 N. MILLS AVENUE, #245

A Employer identification number

95-3673855

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

CLAREMONT, CA 91711

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

B Telephone number

(909) 624-1366

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year J Accounting method:  Cash  Accrual  
(from Part II, col. (c), line 16)  Other (specify) ► \$ 2,372,632. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B interest on savings and temporary cash investments	2.	2.		
	3	43,786.	43,786.		STATEMENT 1
	4 Dividends and interest from securities				STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,873.			
	b Gross sales price for all assets on line 6a	260,264.			
	7 Capital gain net income (from Part IV, line 2)		3,873.		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	736.	105.		
	12 Total. Add lines 1 through 11	48,397.	47,766.		STATEMENT 3
	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 4	5,426.	0.	5,426.
	c Other professional fees	STMT 5	8,361.	0.	8,361.
	17 Interest				
	18 Taxes	STMT 6	1,864.	1,077.	10.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 7	6,015.	5,594.	25.
	24 Total operating and administrative expenses. Add lines 13 through 23		21,666.	6,671.	13,822.
	25 Contributions, gifts, grants paid		83,500.		83,500.
	26 Total expenses and disbursements. Add lines 24 and 25		105,166.	6,671.	97,322.
	27 Subtract line 26 from line 12:		<56,769. >		
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		41,095.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing		31,966.	31,931.	31,931.
2	Savings and temporary cash investments		21,481.	34,355.	34,355.
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations				
b	Investments - corporate stock	STMT 8	710,722.	708,488.	768,438.
c	Investments - corporate bonds	STMT 9	1,520,058.	1,431,779.	1,415,380.
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 10	95,206.	125,727.	122,528.
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,379,433.	2,332,280.	2,372,632.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ►)				
23	Total liabilities (add lines 17 through 22)		0.	0.	
Liabilities	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
24	Unrestricted				
25	Temporarily restricted				
26	Permanently restricted				
Net Assets or Fund Balances	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		2,379,433.	2,332,280.	
30	Total net assets or fund balances		2,379,433.	2,332,280.	
31	Total liabilities and net assets/fund balances		2,379,433.	2,332,280.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,379,433.
2	Enter amount from Part I, line 27a	2	<56,769.>
3	Other increases not included in line 2 (itemize) ► <u>PRIOR PERIOD ADJUSTMENT</u>	3	9,616.
4	Add lines 1, 2, and 3	4	2,332,280.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,332,280.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST BANK - SEE ATTACHED STATEMENT		P	VARIOUS	12/31/16
b NORTHERN TRUST BANK - SEE ATTACHED STATEMENT		P	VARIOUS	12/31/16
c CAPITAL GAINS DIVIDENDS				
d				
e				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,475.			9,012.	2,463.
b 247,446.			247,379.	67.
c 1,343.				1,343.
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69		(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				2,463.
b				67.
c				1,343.
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 3,873.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ }		3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	144,234.	2,501,570.	.057657
2014	139,303.	2,630,015.	.052967
2013	206,182.	2,714,333.	.075960
2012	102,380.	1,976,045.	.051811
2011	271,074.	2,005,198.	.135186

2 Total of line 1, column (d)

2 .373581

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .074716

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4 2,361,024.

5 Multiply line 4 by line 3

5 176,406.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 411.

7 Add lines 5 and 6

7 176,817.

8 Enter qualifying distributions from Part XII, line 4

8 97,322.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2016 estimated tax payments and 2015 overpayment credited to 2016

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ►

1	822.
2	0.
3	822.
4	0.
5	822.
6a	777.
6b	
6c	
6d	
7	777.
8	
9	45.
10	
11	

Refunded ►

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

	Yes	No
1a		X
1b		X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?  
*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*

1c	X
----	---

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2	X
---	---

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

*If "Yes," attach a detailed description of the activities*

3	X
---	---

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4a	X
----	---

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b	X
----	---

b If "Yes," has it filed a tax return on Form 990-T for this year?

5	X
---	---

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

*If "Yes," attach the statement required by General Instruction T*

6	X
---	---

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7	X
---	---

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*

N/A	
-----	--

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

**CA**

8b	X
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b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

of each state as required by General Instruction G? *If "No," attach explanation*

9	X
---	---

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*

10	X
----	---

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

## Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► <u>GRETCHEN AUGUSTYN</u> Located at ► <u>2058 N. MILLS AVENUE, #245, CLAREMONT, CA</u>	Telephone no. ► <u>909-624-1366</u> ZIP+4 ► <u>91711</u>	
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes No X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► <u>      </u>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ► <u>      </u> , <u>      </u> , <u>      </u> , <u>      </u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► <u>      </u> , <u>      </u> , <u>      </u> , <u>      </u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a X	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b X	

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

5b

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

 Yes  No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

X

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GRETCHEN AUGUSTYN 2058 N. MILLS AVENUE, #245 CLAREMONT, CA 91711	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3		
4		

All other program-related investments. See instructions.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,293,891.
b Average of monthly cash balances	1b	103,088.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,396,979.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,396,979.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,955.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,361,024.
6 Minimum investment return. Enter 5% of line 5	6	118,051.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	118,051.
2a Tax on investment income for 2016 from Part VI, line 5	2a	822.
b Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	822.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	117,229.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	117,229.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	117,229.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	97,322.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	97,322.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	97,322.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				117,229.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011	173,224.			
b From 2012	6,143.			
c From 2013	83,230.			
d From 2014	9,209.			
e From 2015	19,924.			
f Total of lines 3a through e	291,730.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 97,322.			0.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.		
d Applied to 2016 distributable amount				97,322.
e Remaining amount distributed out of corpus		0.		
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	19,907.			19,907.
6 Enter the net total of each column as indicated below:	271,823.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0.		
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	153,317.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	118,506.			
10 Analysis of line 9:				
a Excess from 2012	6,143.			
b Excess from 2013	83,230.			
c Excess from 2014	9,209.			
d Excess from 2015	19,924.			
e Excess from 2016				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►  4942(i)(3) or  4942(i)(5)

b Check box to indicate whether the foundation is a private operating foundation described in section ►

	Tax year	Prior 3 years				(e) Total
		(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2	a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
	b 85% of line 2a					
	c Qualifying distributions from Part XII, line 4 for each year listed					
	d Amounts included in line 2c not used directly for active conduct of exempt activities					
	e Qualifying distributions made directly for active conduct of exempt activities.					
	Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
	a "Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
	b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
	c "Support" alternative test - enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 11

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

## **NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GRETCHEN AUGUSTYN, (909)624-1366, GRETCHEN@AUGUSTYNFT.COM  
2058 N. MILLS AVENUE. #245. CLAREMONT, CA 91711

b. The form in which applications should be submitted and information and materials they should include:

**CHARITABLE ORGANIZATION LETTERHEAD WITH APPROPRIATE SUPPORT DATA**

**c. Any submission deadlines:**

NO

**d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

NO

## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE ATTACHMENT 1 VARIOUS VARIOUS, CA 91711			SUPPORT OF PUBLIC CHARITY	83,500.
<b>Total</b>			► 3a	83,500.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			► 3b	0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
	(a) Business code	(b) Amount		
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments			14	2.
4 Dividends and interest from securities			14	43,786.
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income			14	105.
8 Gain or (loss) from sales of assets other than inventory			18	3,873.
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a 2015 FEDERAL OVERPAYMENT				
b CREDITED TO 2016 TAX				631.
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)		0.	47,766.	631.
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13	48,397.

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
11E	<b>2015 FEDERAL OVERPAYMENT CREDITED TO 2016 TAX</b>



Augustyn Foundation Trust

Gifts & Contributions

Charity/Organization/Individual	Category	2016- AFT Foundation Cont. x10509
<b>List of Businesses for Guided Contributions</b>		
American Cancer Society	Humanitarian	\$ 1,000
American Institute for Roman Culture	Educational	\$ 1,000
American Red Cross	Humanitarian	\$ 1,000
Archaeical Conservancy	Personal	
Autry Museum		
Be Perfect Foundation		
Best Friends Animal Society	Environmental	\$ 1,000
Betty Brinn Children's Museum	Educational	\$ 500
Boy Scouts Crater Lake Council	Educational	\$ 500
Boys Town	Educational	\$ 500
Braille Institute	Educational	\$ 2,000
Capuchin Franciscan "House of Peace"	Catholic Gifts	\$ 2,000
Casa Colina Foundation	Humanitarian	\$ 1,000
Catholic Answers	Catholic Gifts	
Damen High School	Catholic Gifts	\$ 500
Defenders of Wildlife	Environmental	\$ 1,000
Divine Word Missionaries		
Doctors Without Borders	Humanitarian	\$ 2,000
Dominican Sisters of Mary	Catholic Gifts	
Eternal Word TV Network EWTN	Catholic Gifts	\$ 500
Flintridge Sacred Heart Academy	Catholic Gifts	\$ 500
Girl Scouts of Los Angeles	Educational	\$ 1,000
Greenpeace Fund	Environmental	\$ 500
Guide Dogs of the Desert	Humanitarian	\$ 500
Habitat for Humanity	Personal	
Heart of Jesus Retreat Center		
Immaculate Conception School, ICA	Catholic Gifts	
International Life Services	Catholic Gifts	
LA Cancer Center	Personal	
LA Police Museum		
Lakeview Area Public Library, PA	Personal	\$ 6,000
Lawrence Historical Society		
March of Dimes	Personal	
Marin Corp Heritage	Personal	
Maui Art & Craft Center	Personal	
McKeever Enviro Ctr, see Slippery Univ	Environmental	
Mission San Juan Capistrano	Personal	
Ms Multiple Sclerosis, PA		
Museum of Natural History Edn, LA County	Educational	
Nation Audubon Society, Inc	Environmental	\$ 500
National Trust for Historic Preservation	Personal	
National Wildlife Federation	Environmental	\$ 1,000
Nature Conservancy	Environmental	\$ 2,300
Navy Seal Foundation	Humanitarian	\$ 25,000
Ocean Institute		
Operation Underground Railroad		
Our Lady of the Assumption	Catholic Gifts	
Pacific Legal Foundation		
Pacific Whale Foundation		
Pomona Historical	Personal	
Raymond Alf Museum	Educational	
Right to Life League of S. California	Catholic Gifts	\$ 500
Rockford Institute		
San Juan Capistrano Historical Society	Personal	
San Secondi Past Church	Catholic Gifts	
Santa Fe Comm (Heart of Nation TV Mass)	Catholic Gifts	\$ 500
Santa Fe Opera	Humanitarian	\$ 1,000
Salvation Army, The	Environmental	\$ 1,000
Save the Redwoods League	Environmental	\$ 1,000
Schlitz Audubon Nature Center	Environmental	\$ 1,000
Semper Fi Fund	Humanitarian	
Sierra Club		
Smile Train	Humanitarian	\$ 1,000
Special Operations Fund	Humanitarian	
St. Anne's	Catholic Gifts	\$ 1,000
St. Joseph's Indian School	Catholic Gifts	\$ 2,000
St. Joseph's School, Upland		\$ 10,000
St. Jude Children's Hospital		
St. Labre Indian School	Personal	
St. Lawrence Seminary	Catholic Gifts	\$ 1,000
St. Lucy Priory High School	Catholic Gifts	\$ 500
St. Michael's Abbey Foundation	Catholic Gifts	
St. Monica School California		
St. Monica School Wisconsin		
St. Scholastica Priory	Catholic Gifts	\$ 1,000
Together in Mission	Personal	
Triumvirate Theatre	Personal	\$ 5,000
Vision Forward	Educational	\$ 2,000
The Vital Ground Foundation	Environmental	
Webb Schools	Educational	
World Wildlife Fund	Environmental	\$ 2,000
Wounded Warrior Project	Environmental	
Yosemite Conservancy	Humanitarian	\$ 2,000
TOTAL CONTRIBUTIONS	Environmental	<b>\$1,000,835,500</b>

2016 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number: 23-10509  
Recipient's Tax ID Number: XX-XXX3855  
 Corrected  FATCA  
 2nd TIN notice  
Recipient's Name and Address: AUGUSTYN FOUNDATION TRUST  
C/O GRETCHEN AUGUSTYN, TRUSTEE  
2058 N. MILLS AVE. # 245  
CLAREMONT, CA 91711-2812  
Ref: SAC

## 2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
<b>Short Term Sales</b>										
0.6 82481R106	07/13/2016	06/03/2016	101.21	115.16			-13.95		0.00	0.00
100.0	HALLIBURTON CO									
406216101	08/02/2016	11/30/2015	4,173.90	3,983.00			190.90		0.00	0.00
225.0	#REORG/RACKSPACE HOSTING CASH MERGER 11-03-2016									
750086100	11/03/2016	Various	7,200.00	4,913.75			2,286.25		0.00	0.00
<b>Total Short Term Sales</b>			<b>11,475.11</b>	<b>9,011.91</b>	<b>0.00</b>	<b>0.00</b>	<b>2,463.20</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Long Term Sales	
29.02	GNMA POOL #4078 5% 02-20-2023 BEO
36202EQ77	01/20/2016 09/10/2010 .....
134.79	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037
38375PKA8	01/20/2016 09/10/2010 .....
25.0	PRECISION CASTPARTS CORP
740189105	02/01/2016 03/12/2013 .....
31 28	GNMA POOL #4078 5% 02-20-2023 BEO
36202EQ77	02/22/2016 09/10/2010 .....



# 2016 Tax Information Statement

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**AUGUSTYN FOUNDATION TRUST**  
 C/O GRETCHEN AUGUSTYN, TRUSTEE  
 2058 N. MILLS AVE. # 245  
 CLAREMONT, CA 91711-2812

Page 9 of 30

Account Number: **23-10509**  
 Recipient's Tax ID Number: **XX XXX3855**

Corrected  FATCA  2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

## Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss		Federal Income Tax Withheld	State Tax Withheld
							Ordinary	Capital		
<b>125.0 WELLS FARGO &amp; CO NEW</b>										
949746101	03/09/2016	Various	6,078.61	4,067.74				2,010.87		0.00
31.42		GNMA POOL #4078 5% 02-20-2023 BEO								0.00
36202EQ77	03/21/2016	09/10/2010	31.42	31.42				0.00		0.00
135.52		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037								0.00
38375PKA8	03/21/2016	09/10/2010	135.52	135.52				0.00		0.00
31.57		GNMA POOL #4078 5% 02-20-2023 BEO								0.00
36202EQ77	04/20/2016	09/10/2010	31.57	31.57				0.00		0.00
131.03		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037								0.00
38375PKA8	04/20/2016	09/10/2010	131.03	131.03				0.00		0.00
29.58		GNMA POOL #4078 5% 02-20-2023 BEO								0.00
36202EQ77	05/20/2016	09/10/2010	29.58	29.58				0.00		0.00
112.08		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037								0.00
38375PKA8	05/20/2016	09/10/2010	112.08	112.08				0.00		0.00
100000.0		OCCIDENTAL PETE 4.125% DUE 06-01-2016								0.00
674599BX2	06/01/2016	Various	100,000.00	112,135.78						0.00
85.0		#REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC								0.00
07177M103	2823566 06-03-16	05/13/2014	3,948.26	2,876.18						0.00
	06/03/2016									0.00

This is important tax information and is being furnished to you.

# 2016 Tax Information Statement

Page 10 of 30  
 Recipient's Name and Address:  
 Ref: SAC  
 AUGUSTYN FOUNDATION TRUST  
 C/O GRETCHEN AUGUSTYN, TRUSTEE  
 2058 N. MILLS AVE. # 245  
 CLAREMONT, CA 91711-2812

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Account Number: 23-10509  
 Recipient's Tax ID Number: XX-XXX3855  
 Corrected  FATCA  2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

## Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Federal Income Tax Withheld			State Tax Withheld		
							Net Gain or Loss	Ordinary	Capital Gains	State Tax Withheld	Capital Gains	
29.72	GINMA POOL #4078 5% 02-20-2023 BEO	06/20/2016	09/10/2010	29.72	29.72		0.00		0.00	0.00	0.00	
36202EQ77	GINMA 4.25% DUE 07-20-2037 4.25 07-20-2037	06/20/2016	09/10/2010	32.00	32.00		0.00		0.00	0.00	0.00	
120.93	38375PKA8	06/20/2016	09/10/2010	120.93	120.93		0.00		0.00	0.00	0.00	
32.0	GINMA POOL #4078 5% 02-20-2023 BEO	07/20/2016	09/10/2010	32.00	32.00		0.00		0.00	0.00	0.00	
128.9	38375PKA8	07/20/2016	09/10/2010	128.90	128.90		0.00		0.00	0.00	0.00	
100.0	AMERICAN EXPRESS CO	08/02/2016	08/07/2012	6,334.86	5,778.70		556.16		0.00	0.00	0.00	
50.0	AMGEN INC	08/02/2016	11/23/2012	8,710.31	4,351.50		4,358.81		0.00	0.00	0.00	
25.0	APPLE COMPUTER INC	08/02/2016	09/10/2009	2,612.44	615.81		1,996.63		0.00	0.00	0.00	
200.0	EMC CORP COM	08/02/2016	02/28/2012	5,619.87	5,584.00		35.87		0.00	0.00	0.00	
100.0	METLIFE INC	08/02/2016	Various	4,208.90	4,444.00		-235.10		0.00	0.00	0.00	
59156R108												

This is important tax information and is being furnished to you.

## 2016 Tax Information Statement

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Account Number: 23-10509  
Recipient's Tax ID Number: XX-XXX3855  
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 Recipient's Name and Address:  
AUGUSTYN FOUNDATION TRUST  
C/O GRETCHEN AUGUSTYN, TRUSTEE  
2058 N. MILLS AVE. # 245  
CLAREMONT, CA 91711-2812

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

### Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld			State Tax Withheld
								Ordinary	State Tax Withheld		
100.0		TERADATA CORP DEL COM STK									
88076W103	08/02/2016	07/23/2015	2,943.93	3,693.00							
32.14		GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	08/22/2016	09/10/2010	32.14	32.14							
111.74		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	08/22/2016	09/10/2010	111.74	111.74							
30.15		GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	09/20/2016	09/10/2010	30.15	30.15							
142.86		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	09/20/2016	09/10/2010	142.86	142.86							
30.29		GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	10/20/2016	09/10/2010	30.29	30.29							
115.52		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	10/20/2016	09/10/2010	115.52	115.52							
32.57		GNMA POOL #4078 5% 02-20-2023 BEO									
36202EQ77	11/21/2016	09/10/2010	32.57	32.57							
92.43		GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037									
38375PKA8	11/21/2016	09/10/2010	92.43	92.43							

This is important tax information and is being furnished to you.

## 2016 Tax Information Statement

Page 12 of 30

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Recipient's Name and Address:  
23-10509  
XX-XXX3855  
Ref: SAC  
AUGUSTYN FOUNDATION TRUST  
C/O GRETCHEN AUGUSTYN, TRUSTEE  
2058 N. MILLS AVE. # 245  
CLAREMONT, CA 91711-2812

Account Number:

23-10509

XX-XXX3855

Recipient's Tax ID Number:

Corrected

FATCA

2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

### Description of property

Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
30.58	GNMA POOL #4078 5% 02-20-2023 BEO	12/20/2016	09/10/2010	30.58	30.58				0.00	0.00
36202EQ77										
80.92	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037	12/20/2016	09/10/2010	80.92	80.92				0.00	0.00
38375PKA8										
	Total Long Term Sales			<b>247,445.58</b>	<b>247,378.73</b>		<b>0.00</b>	<b>0.00</b>	<b>66.85</b>	<b>0.00</b>

This is important tax information and is being furnished to you.



## Account Statement

December 1, 2016 - December 31, 2016

Account Number 23-10509  
AUGUSTYN FOUNDATION TRUST

## Portfolio Summary

	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Annual Income	Estimated Yield	% of Assets
<b>Equity Securities</b>							
Large Cap	\$ 304,601.61	\$ 5,104.79	\$ 207,580.05	\$ 96,570.65	\$ 5,637.00	1.9%	13.0%
Mid Cap	31,953.30	(\$ 1,106.40)	27,256.75	4,696.55	338.90	1.1%	1.4%
Small Cap	32,146.02	534.08	27,813.03	4,332.99	342.79	1.1%	1.4%
International Developed	290,756.04	(\$ 1,988.96)	317,853.24	(27,097.20)	9,120.63	3.1%	12.4%
International Emerging	108,981.28	(\$ 1,311.17)	127,984.43	(19,003.15)	2,199.80	2.0%	4.7%
<b>Total Equity Securities</b>	<b>\$ 768,438.25</b>	<b>\$ 1,232.34</b>	<b>\$ 708,487.50</b>	<b>\$ 59,499.84</b>	<b>\$ 17,639.12</b>	<b>2.3%</b>	<b>32.8%</b>
<b>Fixed Income Securities</b>							
Corporate/Government	1,248,654.51	(\$ 559.18)	1,261,867.49	(13,225.67)	18,765.54	1.5%	53.3%
Other Bonds	166,725.00	67.50	169,911.22	(3,186.22)	1,680.75	1.0%	7.1%
<b>Total Fixed Income Securities</b>	<b>\$ 1,415,379.51</b>	<b>(\$ 491.68)</b>	<b>\$ 1,431,778.71</b>	<b>(\$ 16,411.89)</b>	<b>\$ 20,446.29</b>	<b>1.4%</b>	<b>60.5%</b>
<b>Real Estate</b>							
Real Estate Funds	67,357.61	(\$ 608.61)	64,299.46	3,058.15	2,029.14	3.0%	2.9%
<b>Total Real Estate</b>	<b>\$ 67,357.61</b>	<b>(\$ 608.61)</b>	<b>\$ 64,299.46</b>	<b>\$ 3,058.15</b>	<b>\$ 2,029.14</b>	<b>3.0%</b>	<b>2.9%</b>
<b>Commodities</b>							
Commodities	55,170.50	712.25	61,427.10	(6,256.60)	954.80	1.7%	2.4%
<b>Total Commodities</b>	<b>\$ 55,170.50</b>	<b>\$ 712.25</b>	<b>\$ 61,427.10</b>	<b>(\$ 6,256.60)</b>	<b>\$ 954.80</b>	<b>1.7%</b>	<b>2.4%</b>
<b>Cash and Short Term Investments</b>							
Cash	34,354.69	11,127.03	34,350.99	0.00	67.71	0.2%	1.5%

Continued on next page



Account Statement

*December 1, 2016 - December 31, 2016*

*Account Number 23-10509*

AUGUSTYN FOUNDATION TRUST

Portfolio Summary *(continued)*

	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
<b>Total Cash and Short Term Investments</b>	<b>\$ 34,354.69</b>	<b>\$ 11,127.03</b>	<b>\$ 34,350.99</b>	<b>\$ 0.00</b>	<b>\$ 67.71</b>	<b>0.2%</b>	<b>1.5%</b>
<b>Total Portfolio</b>	<b>\$ 2,340,700.56</b>	<b>\$ 11,971.33</b>	<b>\$ 2,300,343.76</b>	<b>\$ 39,889.50</b>	<b>\$ 41,137.06</b>	<b>1.8%</b>	<b>100.0%</b>

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	2.	2.	
TOTAL TO PART I, LINE 3	2.	2.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	45,129.	1,343.	43,786.	43,786.	
TO PART I, LINE 4	45,129.	1,343.	43,786.	43,786.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	105.	105.	
2015 FEDERAL OVERPAYMENT CREDITED TO 2016 TAX	631.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	736.	105.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,426.	0.		5,426.
TO FORM 990-PF, PG 1, LN 16B	5,426.	0.		5,426.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	8,361.	0.		8,361.
TO FORM 990-PF, PG 1, LN 16C	8,361.	0.		8,361.

FORM 990-PF

TAXES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2016 ESTIMATED TAX PAYMENTS	146.	0.		0.
FRANCHISE TAX BOARD	10.	0.		10.
PRIOR YEAR REFUND APPLIED	631.	0.		0.
FOREIGN TAX PAID	1,077.	1,077.		0.
TO FORM 990-PF, PG 1, LN 18	1,864.	1,077.		10.

## AUGUSTYN FOUNDATION TRUST

95-3673855

FORM 990-PF

OTHER EXPENSES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES	5,594.	5,594.		0.
OFFICE EXPENSE	396.	0.		0.
REGISTRY OF CHARITABLE TRUST	25.	0.		25.
TO FORM 990-PF, PG 1, LN 23	6,015.	5,594.		25.

FORM 990-PF

CORPORATE STOCK

STATEMENT

8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	708,488.	768,438.
TOTAL TO FORM 990-PF, PART II, LINE 10B	708,488.	768,438.

FORM 990-PF

CORPORATE BONDS

STATEMENT

9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	1,431,779.	1,415,380.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,431,779.	1,415,380.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	COST	125,727.	122,528.
TOTAL TO FORM 990-PF, PART II, LINE 13		125,727.	122,528.

AUGUSTYN FOUNDATION TRUST

95-3673855

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 11

NAME OF MANAGER

GRETCHEN AUGUSTYN