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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
MARVIN AND SONDRA SMALLEY FOUNDATION

A Employer identification number
95-2535589

Number and street (or P O box number if mail is not delivered to street address) 963 STONE CANYON ROAD | Room/suite

B Telephone number (see instructions)
(310) 440-1133

City or town, state or province, country, and ZIP or foreign postal code
LOS ANGELES, CA 90077

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 3,127,099

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	66,366	66,366		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	96,571			
	b	Gross sales price for all assets on line 6a 374,616				
	7	Capital gain net income (from Part IV, line 2)		63,314		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	162,937	129,680		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,950	3,950		0
	c	Other professional fees (attach schedule)	14,885	14,885		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	773	713		60
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	19,608	19,548		60
	25	Contributions, gifts, grants paid	288,345			288,345
	26	**Total expenses and disbursements.** Add lines 24 and 25	307,953	19,548		288,405
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-145,016			
	b	**Net investment income** (if negative, enter -0-)		110,132		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	7,758	33,776	33,776
2	Savings and temporary cash investments	263,641	147,680	147,680
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,125,724	1,072,049	2,408,864
c	Investments—corporate bonds (attach schedule)	536,613	535,215	536,779
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,933,736	1,788,720	3,127,099
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	1,933,736	1,788,720	
30	**Total net assets or fund balances** (see instructions)	1,933,736	1,788,720	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,933,736	1,788,720	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,933,736
2	Enter amount from Part I, line 27a	2	-145,016
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	1,788,720
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,788,720

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } **2** 63,314

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . } **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	339,639	3,342,138	0 101623
2014	178,370	3,280,929	0 054366
2013	187,206	3,174,048	0 058980
2012	147,092	2,889,523	0 050905
2011	165,678	2,762,979	0 059964

2 **Total** of line 1, column (d)	**2**	0 325838
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	**3**	0 065168
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	**4**	3,129,642
5 Multiply line 4 by line 3	**5**	203,953
6 Enter 1% of net investment income (1% of Part I, line 27b)	**6**	1,101
7 Add lines 5 and 6	**7**	205,054
8 Enter qualifying distributions from Part XII, line 4 , ...	**8**	288,405

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	1,101
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	1,101
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,101
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,812		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,812
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	711
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 711	**Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MARVIN SMALLEY Telephone no ▶(310) 440-1133

Located at ▶963 STONE CANYON ROAD LOS ANGELES CA ZIP+4 ▶90077

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?.	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE - DISTRIBUTIONS ARE MADE DIRECTLY TO CHARITABLE ORGANIZATIONS	0
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments See instructions	
3	0
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities.			1a	2,950,874
b	Average of monthly cash balances.			1b	226,428
c	Fair market value of all other assets (see instructions).			1c	0
d	**Total** (add lines 1a, b, and c).			1d	3,177,302
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets.			2	0
3	Subtract line 2 from line 1d.			3	3,177,302
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).			4	47,660
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	3,129,642
6	**Minimum investment return.** Enter 5% of line 5.			6	156,482

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	156,482
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,101		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	1,101
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	155,381
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	155,381
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	155,381

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	288,405
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	288,405
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,101
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	287,304

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					155,381
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	28,879				
b From 2012.	4,026				
c From 2013.	30,516				
d From 2014.	17,249				
e From 2015.	174,806				
f **Total** of lines 3a through e.		255,476			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 288,405					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					155,381
e Remaining amount distributed out of corpus		133,024			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		388,500			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		28,879			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		359,621			
10 Analysis of line 9					
a Excess from 2012. . . .	4,026				
b Excess from 2013. . . .	30,516				
c Excess from 2014. . . .	17,249				
d Excess from 2015. . . .	174,806				
e Excess from 2016. . . .	133,024				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				288,345
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	66,366	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	96,571	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		162,937	0

13 Total. Add line 12, columns (b), (d), and (e). 13 162,937

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-11-13	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
IRA BRODSKY				P00838068
Firm's name ▶ TAYLOR AND LIEBERMAN AAC				Firm's EIN ▶95-3279305
Firm's address ▶ 10866 WILSHIRE BLVD STE 1100 LOS ANGELES, CA 90024				Phone no (310) 475-9520

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25000 CISCO SYSTEMS BOND	P	2009-07-27	2016-02-22
30000 PEPPERDINE UNIV BOND	P	2013-09-03	2016-02-01
500 SH BED BATH BEYOND	P	2003-07-30	2016-04-20
500 SH BED BATH BEYOND	P	2004-09-15	2016-04-20
400 SH BED BATH BEYOND	P	2006-04-17	2016-04-20
600 SH UNION PACIFIC	P	2012-02-14	2016-04-20
400 SH LUXOTTICA GP	P	2014-08-20	2016-07-15
400 SH LUXOTTICA GP	P	2014-12-18	2016-07-15
50000 GE CAPITAL CD	P	2013-08-13	2016-09-23
50000 GE CAPITAL CD BASIS ADJUSTMENT	P	2013-08-13	2016-09-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,000		25,000	0
34,114		32,465	1,649
24,016		19,185	4,831
24,016		19,030	4,986
19,213		15,479	3,734
49,277		33,671	15,606
19,813		23,571	-3,758
19,814		21,529	-1,715
50,000		50,000	0
		970	-970

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			1,649
			4,831
			4,986
			3,734
			15,606
			-3,758
			-1,715
			0
			-970

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SH APPLE	P	2007-05-15	2016-09-15
166 SH NEXTERA ENERGY	P	2003-08-18	2016-09-15
400 SH STERICYCLE	P	2010-11-18	2016-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,044		3,146	19,898
20,377		5,187	15,190
32,675		28,812	3,863

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19,898
			15,190
			3,863

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARVIN SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	PRESIDENT 1 00	0	0	0
SONDRA SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	SECRETARY 1 00	0	0	0
DEBRA SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0 00	0	0	0
JEFFREY SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0 00	0	0	0
SCOTT SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0 00	0	0	0
LORI SMALLEY SCHWARTZ 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0 00	0	0	0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

MARVIN SMALLEY
SONDRA SMALLEY

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN JEWISH UNIVERSITY 15600 MULHOLLAND DR BELAIR, CA 90077		PUBLIC CHARITY	ASSISTANCE TO EDUCATIONAL FACILITY	40
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158		PUBLIC CHARITY	FIGHT PREJUDICE	300
BEIT T'SHUVAH 8831 VENICE BLVD LOS ANGELES, CA 90034		PUBLIC CHARITY	ASSISTANCE TO UNDERPRIVILEGED PEOPLE	23,450
CEDARS SINAI MEDICAL CENTER 8700 BEVERLY BLVD LOS ANGELES, CA 90048		PUBLIC CHARITY	MEDICAL RESEARCH	51,500
CITY OF HOPE 1500 EAST DUARTE RD DUARTE, CA 91010		PUBLIC CHARITY	ASSISTANCE TO MEDICAL RESEARCH	50,000
Total . . . 3a ▶				288,345

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONCERN FOUNDATION 1026 S ROBERTSON 300 LOS ANGELES, CA 90035		PUBLIC CHARITY	MEDICAL RESEARCH	250
FRIENDS OF LARC FOUNDATION 29890 N BOUQUET CANYON ROAD SAUGUS, CA 91390		PUBLIC CHARITY	ASSISTANCE TO INDIVIDUALS WITH DISABILITIES	200
HILLCREST COUNTRY CLUB CHARITIES 10000 PICO BLVD LOS ANGELES, CA 90064		PUBLIC CHARITY	ASSISTANCE TO THE NEEDY	200
JEWISH FEDERATION 6505 WILSHIRE BLVD 3RD FLOOR LOS ANGELES, CA 90048		PUBLIC CHARITY	ASSISTANCE TO RELIGIOUS ACTIVITY	40,755
LACMA 5905 WILSHIRE BLVD LOS ANGELES, CA 90036		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	2,500
Total . . . . ▶ **3a**				288,345

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOS ANGELES JEWISH HOME 7155 TAMPA AVE RESEDA, CA 91335		PUBLIC CHARITY	ASSISTANCE TO THE ELDERLY	55,000
MOTHERS 2 MOTHERS 7441 SUNSET BLVD 205 LOS ANGELES, CA 90046		PUBLIC CHARITY	ASSISTANCE TO MOTHERS WITH HIV	3,000
NACHSHON TEMPLE 4924 BALBOA BLVD ENCINO, CA 91316		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	2,500
PBS SO CAL 3080 BRISTOL ST SUITE 100 COSTA MESA, CA 92626		PUBLIC CHARITY	ASSISTANCE TO PUBLIC TELEVISION	150
SKIRBALL CULTURAL CENTER 2701 N SEPULVEDA BLVD LOS ANGELES, CA 90049		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	200
Total . . . . ▶ **3a**				288,345

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STOP CANCER 2566 OVERLAND AVE 790 LOS ANGELES, CA 90064		PUBLIC CHARITY	MEDICAL RESEARCH	3,025
UNIVERSITY WOMEN OF AJC 233 PERIMETER PARKWAY CENTER ATLANTA, GA 30346		PUBLIC CHARITY	EDUCATION	1,250
STEPHEN WISE TEMPLE 15500 STEPHEN WISE DR LOS ANGELES, CA 90077		PUBLIC CHARITY	ASSISTANCE TO RELIGIOUS ACTIVITIES	500
FLORENCE FOR YOUTH IN ACTION 20224 SHEMAN WAY STE 3 WINNETKA, CA 91306			ASSISTANCE TO UNDERPRIVILED PEOPLE	250
FROM THE HEART PRODUCTIONS 1455 MANDALAY RD OXNARD, CA 93035			ASSISTANCE TO FILM MAKERS	700
Total . . . 3a ▶				288,345

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOS ANGELES MUSEUN OF THE HOLOCAUST 100 S THE GROVE DRIVE LOS ANGELES, CA 90036			SUPPORT FOR PUBLIC MUSEUM	250
NEVE COLLEGE FOR WOMEN 6505 WILSHIRE BLVD LOS ANGELES, CA 90048			ASSISTANCE TO EDUCATIONAL FACILITY	150
RSRT RETT SYNDROME RESEARCH TRUST 67 UNDER CLIFF RD TRUMBALL, CT 06611			ASSISTANCE TO MEDICAL RESEARCH	200
SOUTHWESTERN FRIENDS OF BULLOUS 1924 ALCOA HIGHWAY KNOXVILLE, TN 37920			ASSISATNCE TO MEDICAL RESEARCH	100
STARLIGHT CHILDRENS FOUNDATION 400 CORPORATE POINTE STE 590 CULVER CITY, CA 90230			ASSISTANCE TO UNDERPRIVILEDGED PEOPLE	200
Total . . . . ▶ **3a**				288,345

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE MIRCLE PROJECT 9301 WILSHIRE BLVD STE 507 BEVERLY HILLS, CA 90210			ASSISTANCE WITH AUTISM RESEARCH	250
HEBREW UNION COLLEGE 3077 UNIVERSITY AVE LOS ANGELES, CA 90007			ASSISTANCE TO EDUCATIONAL FACILITY	200
CHILDREN'S HOSPITAL LOS ANGELES 4650 SUSNSET BLVD LOS ANGELES, CA 90027			ASSISTANCE TO MEDICAL FOR UNDERPRIVILEDGED CHILDREN	40,000
STAND WITH US 3710 ROBERTSON BLVD CULVER CITY, CA 90232			FIGHT HATE CRIMES AND ANTI SEMITISM	500
UNIVERSITY OF JUDAISM 15600 MULHOLLAND DRIVE LOS ANGELES, CA 90077			ASSISTANCE TO EDUCATIONAL FACILITY	10,000
Total . . . . ▶ 3a				288,345

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WOMEN'S GUILD CEDARS SINAI MED CENTER 8700 BEVERLY BLVD 2416 LOS ANGELES, CA 90048			ASSISTANCE TO MEDICAL RESEARCH	225
FRIENDS OF ELNET JEWISH FEDERATION 2701 N SEPULVEDA BLVD LOS ANGELES, CA 90049			ASSISTANCE TO UNDERPRIVILEDGED PEOPLE	500
Total . . . . ▶ 3a				288,345

TY 2016 Accounting Fees Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION

EIN: 95-2535589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	3,950	3,950		0

TY 2016 All Other Program Related Investments Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION

EIN: 95-2535589

Category	Amount
NONE	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION

EIN: 95-2535589

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
8 CHINESE FIGURES YANG GING HE		PURCHASED			33,257			0	33,257	

TY 2016 Investments Corporate Bonds Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION
EIN: 95-2535589

Name of Bond	End of Year Book Value	End of Year Fair Market Value
50,000 AMERICAN EXPRESS BANK CD	49,863	50,330
30,000 AMGEN INC	30,437	31,405
25,000 CISCO SYSTEMS	0	0
35,000 COSTCO WHOLESALE CORP.	37,275	35,323
50,000 DISCOVER BANK CD	49,725	50,628
50,000 GE CAPITAL BANK	0	0
30,000 PEPPERDINE UNIV	0	0
40,000 TEVA PHARMA	38,048	39,321
40,000 VERIZON COMMUNICATIONS	41,878	42,804
25000 GOLDMAN SACHS CD	25,319	25,423
50000 AMERICAN EXPRESS CENTURIAN CD	50,404	50,261
50000 GOLDMAN SACHS CD	50,093	50,484
20000 QUALCOMM BOND	24,171	25,332
25000 FLORIDA ST TPK BOND	29,266	27,838
18,000 GOLDMAN SACHS CD	18,594	18,440
30.000 AMERCIAN EXPRESS CENTURIAN CD	30,683	30,632
30,000 AT&T BOND	32,325	31,727
25,000 O'REILLY AUTO BOND	27,134	26,831

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TY 2016 Investments Corporate Stock Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION

EIN: 95-2535589

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,800 SHS AT&T INC	45,481	76,554
2100 SHS APPLE INC	29,884	220,058
1,400 SHS BED, BATH & BEYOND	0	0
1,000 SHS BERKSHIRE HATHAWAY B	52,869	162,980
1600 SHS CISCO SYSTEMS	4,612	48,352
1,000 SHS COSTCO	40,127	160,110
700 SHS EXXON MOBIL	41,977	63,182
850 SHS NEXTERA ENERGY INC	26,995	101,541
200 SHS ALPHABET INC CL A & CL C	45,817	156,427
400 SHS ISHARES S&P MIDCAP	33,462	66,136
909 SH JOHNSON & JOHNSON	50,699	104,726
1900 SHS MICROSOFT CORP	11,657	118,066
1,350 SHS NESTLE	33,409	96,849
1,100 SHS PEPSICO	62,865	115,093
1,102 SHS PROCTOR & GAMBLE	34,042	92,656
400 SHS STERICYCLE INC	0	0
521 SHS SIMON PROPERTY GROUP	52,298	92,566
1,424 SHS SOUTHERN COMPANY	43,722	70,046
800 SHS STRYKER	33,553	95,848
1,800 SHS VERIZON COMMUNICATIONS	50,196	96,084
700 SH PHILIP MORRIS	51,443	64,043
500 SH ACCENTURE	28,560	58,565
800 SH EXPRESS SCRIPTS	44,170	55,032
1200 SH NIKE	32,694	60,996
600 SH UNION PACIFIC	0	0
110 SH ALLERGAN INC	33,699	23,101
800 SH LUXOTTICA	0	0
700 SH SALESFORCE	39,445	47,922
600 SH VISA	32,439	46,812
25 SH AMAZON	19,243	18,747

Name of Stock	End of Year Book Value	End of Year Fair Market Value
200 SH FACEBOOK	25,726	23,010
1000 SH GENERAL ELECTRIC	29,813	31,600
150 SH O'REILLY AUTOMOTIVE	41,152	41,762

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TY 2016 Other Professional Fees Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION

EIN: 95-2535589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISOR FEES	14,885	14,885		0

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TY 2016 Taxes Schedule

Name: MARVIN AND SONDRA SMALLEY FOUNDATION

EIN: 95-2535589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATTORNEY GENERAL REGISTRY	50	0		50
FOREIGN TAXES	713	713		0
CA FILING FEE	10	0		10