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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

2016

Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending

The Louis B. Mayer Foundation
275 Madison Avenue, 6th. Fl.
New York, NY 10016

A Employer identification number
95-2232340

B Telephone number (see instructions)
212-812-4362

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 11,471,682.

J Accounting method Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5.	5.	N/A	
	4 Dividends and interest from securities	257,336.	257,336.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	291,202.			
	b Gross sales price for all assets on line 6a 876,380.				
	7 Capital gain net income (from Part IV, line 2)		291,202.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
	See Statement 1	3,917.	3,917.		
12 Total. Add lines 1 through 11		552,460.	552,460.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 2	8,800.	4,400.		4,400.
	c Other professional fees (attach sch) See St 3	88,654.	66,654.		22,000.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Stm 4	6,201.	6,201.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
	See Statement 5	5,525.	2,387.		3,138.
24 Total operating and administrative expenses. Add lines 13 through 23		109,180.	79,642.		29,538.
25 Contributions, gifts, grants paid Part XV		535,500.			535,500.
26 Total expenses and disbursements. Add lines 24 and 25		644,680.	79,642.		565,038.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-92,220.			
b Net investment income (if negative, enter -0-)			472,818.		
c Adjusted net income (if negative, enter -0-)					

12 631

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	279,611.	73,675.	73,675.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) Statement 6	8,677,593.	8,799,415.	11,398,007.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item l)	8,957,204.	8,873,090.	11,471,682.	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24	Unrestricted	8,957,204.	8,873,090.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions).	8,957,204.	8,873,090.		
31	Total liabilities and net assets/fund balances (see instructions).	8,957,204.	8,873,090.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,957,204.
2	Enter amount from Part I, line 27a	2	-92,220.
3	Other increases not included in line 2 (itemize) See Statement 7	3	8,106.
4	Add lines 1, 2, and 3	4	8,873,090.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	8,873,090.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TD Ameritrade - cap. gain dist. - L/T	P	Various	Various
b TD Ameritrade - attachment - short term	P	Various	Various
c TD Ameritrade - attachment - long term	P	Various	Various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 157,070.			157,070.
b 71,768.		66,187.	5,581.
c 647,542.		518,991.	128,551.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			157,070.
b			5,581.
c			128,551.
d			
e			

2 Capital gain net income or (net capital loss).	<input type="checkbox"/> If gain, also enter in Part I, line 7 <input type="checkbox"/> If (loss), enter -0- in Part I, line 7	2	291,202.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<input type="checkbox"/> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	5,581.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	599,086.	11,319,172.	0.052927
2014	547,544.	11,926,384.	0.045910
2013	502,976.	11,435,533.	0.043984
2012	501,371.	10,316,740.	0.048598
2011	479,263.	10,401,016.	0.046078

2 Total of line 1, column (d)	2	0.237497
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047499
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	11,083,173.
5 Multiply line 4 by line 3	5	526,440.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,728.
7 Add lines 5 and 6	7	531,168.
8 Enter qualifying distributions from Part XII, line 4	8	565,038.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,728.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,728.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,728.
6 Credits/Payments.			
a 2016 estimated tax prmts and 2015 overpayment credited to 2016	6 a	6,574.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	6,574.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		1,846.
11 Enter the amount of line 10 to be. Credited to 2017 estimated tax	11	1,846. Refunded	0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1 c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	Yes	No
11			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)		X
12			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
13		X	
14	The books are in care of <u>GHS Philanthropy Management</u> Telephone no. <u>212-812-4362</u> Located at <u>275 Madison Avenue, 6th. Fl. New York NY</u> ZIP + 4 <u>10016</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>		N/A
15			N/A
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country	Yes	No
16			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert A. Gottlieb 275 Madison Avenue, 6th. Fl. New York, NY 10016	Trustee/Pres 2.00	0.	0.	0.
Judi Farkas 275 Madison Avenue, 6th. Fl. New York, NY 10016	Secretary/Tr 1.00	0.	0.	0.
Elliot R. Cattarulla 275 Madison Avenue, 6th. Fl. New York, NY 10016	Trustee 1.00	0.	0.	0.
Paolo Cherchi Usai 275 Madison Avenue, 6th. Fl. New York, NY 10016	Trustee 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	11,075,309.
b	Average of monthly cash balances	1 b	176,643.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	11,251,952.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,251,952.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	168,779.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,083,173.
6	Minimum investment return. Enter 5% of line 5	6	554,159.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	554,159.
2a	Tax on investment income for 2016 from Part VI, line 5	2 a	4,728.
b	Income tax for 2016. (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	4,728.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	549,431.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	549,431.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	549,431.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	565,038.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	565,038.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,728.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	560,310.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7.				549,431.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			551,948.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ <u>565,038.</u>				
a Applied to 2015, but not more than line 2a			551,948.	
b Applied to undistributed income of prior years (Election required – see instructions) . . .		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2016 distributable amount				13,090.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.				536,341.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year Schedule attached				535,500.
Total ▶ 3 a				535,500.
b Approved for future payment				
Total ▶ 3 b				

The Louis B. Mayer Foundation

95-2232340

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Film royalties	\$ 248.	\$ 248.	
Oil royalties	2,369.	2,369.	
Sale of royalty interest	1,300.	1,300.	
Total	\$ 3,917.	\$ 3,917.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Review and tax	\$ 8,800.	\$ 4,400.		\$ 4,400.
Total	\$ 8,800.	\$ 4,400.		\$ 4,400.

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative management	\$ 44,000.	\$ 22,000.		\$ 22,000.
Investment management fees	44,654.	44,654.		
Total	\$ 88,654.	\$ 66,654.		\$ 22,000.

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign taxes paid...	\$ 6,201.	\$ 6,201.		
Total	\$ 6,201.	\$ 6,201.		\$ 0.

The Louis B. Mayer Foundation

95-2232340

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank fees	\$ 74.	\$ 37.		\$ 37.
Board expenses	3,686.	1,843.		1,843.
NYS charities filing fee	750.			750.
Phone and fax	297.	148.		149.
Postage and courier	352.	176.		176.
Storage	36.	18.		18.
Supplies, printing and copying	330.	165.		165.
Total	<u>\$ 5,525.</u>	<u>\$ 2,387.</u>		<u>\$ 3,138.</u>

Statement 6
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
TD Ameritrade - attachment	Mkt Val	\$ 8,799,415.	\$ 11,398,007.
	Total	<u>\$ 8,799,415.</u>	<u>\$ 11,398,007.</u>

Statement 7
Form 990-PF, Part III, Line 3
Other Increases

Basis adjustment	\$ 755.
Nontaxable distributions	7,351.
Total	<u>\$ 8,106.</u>

Other Income Producing Activities
Interest on savings & cash investments

TD Ameritrade Inc

Total \$ 5.
\$ 5.

Other Income Producing Activities
Dividends/interest from securities.

TD Ameritrade Inc . . .

Total \$ 257,336.
\$ 257,336.



INVESTOR SOLUTIONS
REGISTERED INVESTMENT ADVISOR

Realized Gains and Losses

Household: Louis B. Mayer Foundation
Period: 1/1/2016 to 12/31/2016

Gain Loss

Type	Description	Date Acquired	Date Sold	Price	Units	Proceeds	Cost Basis	Gain/Loss
Equity								
DFA US Large Cap Value (DFLVX) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	3/23/2004	10/21/2016	32.77	670.96	\$21,985.69	\$11,534.04	\$10,451.65
Long Term Specific ID - High Cost	Sale & Exchange OS	12/17/2014	10/21/2016	32.77	397.17	\$13,014.31	\$12,765.06	\$249.25
Long Term Total:					1,068.13	\$35,000.00	\$24,299.10	\$10,700.90
DFA US Micro Cap (DFSCX) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	3/23/2004	10/21/2016	18.8	3,634.30	\$68,341.02	\$49,100.95	\$19,240.07
Long Term Specific ID - High Cost	Sale & Exchange OS	12/17/2014	w 10/21/2016	18.8	675.69	\$12,706.00	\$12,539.74	\$166.26
Long Term Specific ID - High Cost	Sale & Exchange OS	12/17/2014	w 5/20/2016	17.41	1,209.46	\$21,056.91	\$22,598.81	(\$1,541.90)
Long Term Total:					5,519.46	\$102,103.93	\$84,239.50	\$17,864.43
DFA US Small Cap Value (DFS VX) Trust *****5310 Specific ID - High Cost								
Short Term Specific ID - High Cost	Sale & Exchange OS	5/20/2016	10/21/2016	33.44	2,146.24	\$71,767.63	\$66,186.75	\$5,580.88
Short Term Total:					2,146.24	\$71,767.63	\$66,186.75	\$5,580.88
iShares MSCI EAFE (EFA) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	6/28/2012	5/20/2016	57.27	37.80	\$2,164.74	\$1,884.37	\$280.37
Long Term Specific ID - High Cost	Sale & Exchange OS	7/5/2013	5/20/2016	57.27	137.20	\$7,857.98	\$7,972.70	(\$114.72)
Long Term Total:					175.00	\$10,022.72	\$9,857.07	\$165.65
iShares S&P 500 Index (IVV) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	3/23/2004	10/21/2016	214.92	327.86	\$70,463.68	\$36,202.19	\$34,261.49
Long Term Specific ID - High Cost	Sale & Exchange OS	12/31/2014	10/21/2016	214.92	21.14	\$4,543.64	\$4,365.99	\$177.65
Long Term Total:					349.00	\$75,007.32	\$40,568.18	\$34,439.14
SPDR Dow Jones International Real Estate (RWX) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	3/16/2010	11/17/2016	37.38	2,249.06	\$84,068.44	\$79,211.63	\$4,856.81
Long Term Specific ID - High Cost	Sale & Exchange OS	3/28/2012	11/17/2016	37.38	46.15	\$1,725.06	\$1,670.52	\$54.54

Type	Description	Date Acquired	Date Sold	Price	Units	Proceeds	Cost Basis	Gain/Loss
Long Term Specific ID - High Cost	Sale & Exchange OS	6/27/2012	11/17/2016	37.38	10.86	\$405.87	\$383.82	\$22.05
Long Term Specific ID - High Cost	Sale & Exchange OS	10/3/2012	11/17/2016	37.38	67.23	\$2,513.02	\$2,672.96	(\$159.94)
Long Term Specific ID - High Cost	Sale & Exchange OS	1/4/2013	5/20/2016	41.54	198.81	\$8,258.57	\$8,187.15	\$71.42
Long Term Specific ID - High Cost	Sale & Exchange OS	3/27/2013	5/20/2016	41.54	21.26	\$883.25	\$914.07	(\$30.82)
Long Term Specific ID - High Cost	Sale & Exchange OS	7/3/2013	5/20/2016	41.54	40.53	\$1,683.71	\$1,619.03	\$64.68
Long Term Specific ID - High Cost	Sale & Exchange OS	7/3/2013	11/17/2016	37.38	33.70	\$1,259.80	\$1,346.22	(\$86.42)
Long Term Specific ID - High Cost	Sale & Exchange OS	10/2/2013	5/20/2016	41.54	36.03	\$1,496.70	\$1,509.32	(\$12.62)
Long Term Specific ID - High Cost	Sale & Exchange OS	1/3/2014	5/20/2016	41.54	115.22	\$4,786.31	\$4,670.54	\$115.77
Long Term Specific ID - High Cost	Sale & Exchange OS	4/2/2014	5/20/2016	41.54	34.66	\$1,439.91	\$1,434.03	\$5.88
Long Term Specific ID - High Cost	Sale & Exchange OS	7/2/2014	5/20/2016	41.54	67.51	\$2,804.48	\$3,001.90	(\$197.42)
Long Term Specific ID - High Cost	Sale & Exchange OS	10/1/2014	5/20/2016	41.54	40.96	\$1,701.53	\$1,667.10	\$34.43
Long Term Specific ID - High Cost	Sale & Exchange OS	1/2/2015	5/20/2016	41.54	46.00	\$1,910.59	\$1,894.34	\$16.25
Long Term Total:					3,008.00	\$114,937.24	\$110,182.63	\$4,754.61
Vanguard REIT Index ETF (VNQ) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	12/31/2004	5/20/2016	81.91	941.00	\$77,075.71	\$53,440.31	\$23,635.40
Long Term Specific ID - High Cost	Sale & Exchange OS	12/31/2004	11/17/2016	78.61	1,697.00	\$133,394.87	\$96,374.29	\$37,020.58
Long Term Total					2,638.00	\$210,470.58	\$149,814.60	\$60,655.98
Equity Total					14,903.83	\$619,309.42	\$485,147.83	\$134,161.59

Bond								
DFA Five Year Global Fixed Income (DFGBX) Trust *****5310 Specific ID - High Cost								
Long Term Specific ID - High Cost	Sale & Exchange OS	3/11/2010	10/21/2016	11.18	8,946.69	\$100,000.00	\$100,030.01	(\$30.01)
Long Term Total:					8,946.69	\$100,000.00	\$100,030.01	(\$30.01)
Bond Total:					8,946.69	\$100,000.00	\$100,030.01	(\$30.01)
Short Term Grand Total:						\$71,767.63	\$66,186.75	\$5,580.88
Long Term Grand Total:						\$647,541.79	\$518,991.09	\$128,550.70
Long & Short Term Grand Total:						\$719,309.42	\$585,177.84	\$134,131.58

Disclosure

The Realized Gain/Loss Worksheet displays the acquisition and disposition of an asset's shares and if those shares were held for a short (up to a year) or long (more than a year) period of time. This report displays dispositions that occurred during the selected date range and all acquisitions that occurred before and during the date range. This report is provided to you as courtesy with regard to additional tax information that you may need to complete your tax return. We do not guarantee the accuracy or completeness of said information.

The Unrealized Gain/Loss Worksheet displays the acquisition and value as of a date for an asset's shares and if those shares have been held for a short (up to a year) or long (more than a year) period of time.

The information on this report has been computed using the following settings: Wash Sales were calculated

Please note that the information on this report used to compute cost basis is based on the transaction data contained in our system. If a managed asset is acquired prior to transactions being contained in our system, these assets may reflect an inaccurate cost basis. In these situations where you have transactions on assets prior to information being brought into our system, you will need to refer to your past statements and trade confirmations to find the cost basis information. If an asset has been merged, converted from one share class (B) to another share class (A), the merged-in cost basis is being shown on the report. Return of Principal transactions are assumed to have a cost of par value. Actual cost may vary depending upon the market price at the time of the transaction. Bonds where Return of Principal payments create a price factor may be assumed to have a gain of \$0. You may need to re-compute the cost basis amounts when these transactions occur. We strongly encourage you to consult your tax advisor in these situations.

Wash Sales: You cannot deduct losses from sales or trades of stock or securities in a wash sale. A wash sale occurs when you sell or trade stock or securities at a loss and within 30 days before or after the sale you:

1. Buy substantially identical stock or securities,
2. Acquire substantially identical stock or securities in a fully taxable trade, or
3. Acquire a contract or option to buy substantially identical stock or securities
4. Sell stock and your spouse or a corporation you control buys substantially identical stock

Potential wash sales involving the sale of a security and the subsequent purchase of options, warrants, convertible securities, or complex trading strategies such as straddles that may be considered wash sales have not been identified in this report. For more information on wash sales, please review IRS Publication 550 or consult your tax advisor.

Date Sold: Represents the trade date for transaction proceeds from sales.

Date Acquired: Represents the date or dates the initial asset transaction took place. Various is shown in this column on the report when multiple acquisition dates are used for purposes of calculating basis information. If a "w" appears next to the acquired date, this indicates shares that were previously involved in wash sale are now being sold. It is possible that these items may appear in the Long Term section of the report although the acquired date is less than one year prior to the sold date.

Proceeds: Proceeds represent the net amount of money involved in the sale or exchange transaction. The IRS allows for proceeds to be reported after deducting commissions and fees. The proceeds represented in this report are done at 'gross proceeds less commissions'.

Value Date: Represents the as of date used to calculate the value of the asset.

Value: Represents the asset value as of the date the report was run.

Units: Represents the number of units involved in the transaction.

Description: Identifies information related to the transaction.

Transaction Fee: If your sale or exchange transaction has a fee associated with it, the fee amount has been considered for purposes of calculating cost basis.

Short Term / Long Term: Holding periods identified as 'Short' are units held 1 year or less. Holding periods identified as 'Long' are units held more than 1 year.

Data that is displayed for any Annuity account or any account held within a Qualified Registration is for informational purposes only. This report shows the cost for each unit or share as it is bought and sold, which is not the appropriate method for determining Cost Basis for annuities and accounts held in qualified registrations.

If a "+" sign appears in the data source column this indicates amortization is included in the values and calculations.

Unknown Cost Basis:

If 'Unknown Basis' appears in the asset description section of the report, there are units with unidentified cost basis contained in the asset. The cost basis listed on the report may be incorrect depending upon the selected reporting method.

Additional Report Items to Note:

Exempt-interest dividends and capital gain distributions before a short-term loss from mutual funds have special tax considerations. This report does not identify exempt-interest dividends before a short-term loss, or the capital gain distribution before a short-term loss. Exercised options that update equity positions may not be identified, and the cost basis for the equity position may not include the necessary adjustments. For these situations, please consult with your tax advisor. If you have any questions regarding this report, please call your financial representative or your tax advisor.

The Louis B. Mayer Foundation
Total Grants Awarded, Projects and Program Development
for the Year Ending December 31, 2016

<u>Institution</u>	<u>Grant Date</u>	<u>Grant Amount</u>	<u>Tax Status</u>
American Film Institute 2021 North Western Avenue Los Angeles CA 90027 Digital Preservation Project	10/31/2016	20,000	PC
Arts Resources in Collaboration, Inc. 70 East 10th Street #19D New York NY 10003 Eye on Dance Archive Legacy Project	3/11/2016	15,000	PC
Cedars-Siani Medical Center Dr. Shufelt's research on hypoestrogenemia	1/20/2016	75,000	PC
Dana Farber Cancer Institute 10 Brookline Place West Brookline MA 02445-7226 Dr. Kantoff's Genetic Research on Prostate Cancer, non coding RNAs	1/20/2016	25,000	PC
Dana Farber Cancer Institute 10 Brookline Place West Brookline MA 02445-7226 Dr. Stephanie Dougan's research on Pancreatic Cancer	12/15/2016	25,000	PC
Dana Farber Cancer Institute 10 Brookline Place West Brookline MA 02445-7226 Dr. Stephanie Dougan's research on Pancreatic Cancer	10/31/2016	25,000	PC
Dana Farber Cancer Institute 10 Brookline Place West Brookline MA 02445-7226 Dr. Suzanne Gaudet's research on metastasis	12/15/2016	25,000	PC
Dana Farber Cancer Institute 10 Brookline Place West Brookline MA 02445-7226 Dr. Suzanne Gaudet's research on metastasis	10/31/2016	25,000	PC
George Eastman Museum 900 East Avenue Rochester NY 14607-2298 Acquisition of 622 Indian and Pakistani films 1949-1975	4/1/2016	21,000	PC
George Eastman Museum 900 East Avenue Rochester NY 14607-2298 Support of the L. Jeffrey Selznick School of Film Preservation	10/31/2016	4,500	PC

**The Louis B. Mayer Foundation
Total Grants Awarded, Projects and Program Development
for the Year Ending December 31, 2016**

<u>Institution</u>	<u>Grant Date</u>	<u>Grant Amount</u>	<u>Tax Status</u>
Miami City Ballet 2200 Liberty Ave Miami Beach FL 33139 General Purposes	1/29/2016	100,000	PC
Mount Sinai Medical Center One Gustave L. Levy Place Box 1049 New York NY 10029-6574 Dr. Gandy's Alzheimer Research	10/31/2016	25,000	PC
Mount Sinai Medical Center One Gustave L. Levy Place Box 1049 New York NY 10029-6574 Dr. Gandy's Alzheimer Research	12/15/2016	25,000	PC
New York Public Library for the Performing Arts Processing and Cataloguing photo collections	10/31/2016	25,000	PC
Pacific Film Archive/University of California UC Berkeley Art Museum & Pacific Film Archive 2120 Oxford Street, Suite 2250 Berkeley CA 94750 CineFiles Film Document Imaging Project	10/31/2016	20,000	PC
UCLA David Geffen School of Medicine 695 Charles Young Drive South Los Angeles CA 90095-1761 Dr. Guo's Neural Regeneration Research	9/26/2016	21,000	PC
UCLA David Geffen School of Medicine 695 Charles Young Drive South Los Angeles CA 90095-1761 Dr. Guo's Neural Regeneration Research	5/26/2016	29,000	PC
UCLA Film and Television Archive 302 East Melnitz Box 951323 Los Angeles CA 90095-1323 I Take this Woman (1931) film restoration	10/31/2016	30,000	PC
<u>TOTAL for the Year Ending December 31, 2016</u>		<u>\$535,500</u>	



INVESTOR SOLUTIONS
REGISTERED INVESTMENT ADVISOR

Unrealized Orion Stored

Household: Louis B. Mayer Foundation
Period: 12/31/2016 to 12/31/2016

Gain Loss

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
DFA 2-Year Global Fixed Income (DFGFX) Trust *****5310									
Short Term	OS	7/15/2016	12/31/2016	\$9 94	7,520 16	\$74,750 39	\$75,000 00	(\$249 61)	-0 3 %
Short Term Total:					7,520 16	\$74,750 39	\$75,000 00	(\$249.61)	-0.3 %
Long Term	OS	3/11/2010	12/31/2016	\$9 94	13,153 98	\$130,750 53	\$134,051 90	(\$3,301 37)	-2 5 %
Long Term	OS	12/9/2010	12/31/2016	\$9 94	170 10	\$1,690 76	\$1,723 08	(\$32 32)	-1 9 %
Long Term	OS	1/24/2011	12/31/2016	\$9 94	14,775 96	\$146,873 05	\$150,000 00	(\$3,126 95)	-2 1 %
Long Term	OS	12/13/2011	12/31/2016	\$9 94	489 89	\$4,869 52	\$4,938 10	(\$68 58)	-1 4 %
Long Term	OS	6/11/2012	12/31/2016	\$9.94	108 24	\$1,075 91	\$1,093 22	(\$17 31)	-1 6 %
Long Term	OS	9/11/2012	12/31/2016	\$9 94	41 95	\$416 93	\$424 48	(\$7 55)	-1 8 %
Long Term	OS	12/13/2012	12/31/2016	\$9 94	356 27	\$3,541 33	\$3,576 96	(\$35 63)	-1 0 %
Long Term	OS	6/11/2013	12/31/2016	\$9 94	57 96	\$576 13	\$581 93	(\$5.80)	-1 0 %
Long Term	OS	12/12/2013	12/31/2016	\$9 94	163 10	\$1,621 23	\$1,632 65	(\$11 42)	-0 7 %
Long Term	OS	3/11/2014	12/31/2016	\$9 94	62 07	\$616 93	\$620 65	(\$3 72)	-0 6 %
Long Term	OS	6/10/2014	12/31/2016	\$9 94	40 96	\$407 09	\$409 55	(\$2 46)	-0 6 %
Long Term	OS	12/16/2014	12/31/2016	\$9 94	335 41	\$3,333 99	\$3,323 92	\$10 07	0 3 %
Long Term Total:					29,755 88	\$295,773.40	\$302,376 44	(\$6,603.04)	-2.2 %
DFA Emerging Markets (DFEMX) Trust *****5310									
Long Term	OS	3/23/2004	12/31/2016	\$22 73	5,183 37	\$117,817 95	\$70,766 64	\$47,051 31	66 5 %
Long Term	OS	6/9/2004	12/31/2016	\$22.73	78 38	\$1,781.46	\$1,000 85	\$780 61	78.0 %
Long Term	OS	9/9/2004	12/31/2016	\$22 73	47 69	\$1,083 99	\$642 39	\$441 60	68.7 %
Long Term	OS	12/27/2004	12/31/2016	\$22 73	45 86	\$1,042 33	\$733 71	\$308.62	42 1 %
Long Term	OS	12/11/2008	12/31/2016	\$22 73	137 52	\$3,125 76	\$2,190 65	\$935 11	42 7 %
Long Term	OS	3/11/2009	12/31/2016	\$22 73	15 69	\$356 61	\$224 51	\$132 10	58 8 %
Long Term	OS	12/10/2010	12/31/2016	\$22 73	356 42	\$8,101 45	\$10,532 25	(\$2,430 80)	-23 1 %
Long Term	OS	6/9/2011	12/31/2016	\$22 73	33 51	\$761 64	\$1,026 36	(\$264 72)	-25 8 %
Long Term	OS	9/8/2011	12/31/2016	\$22 73	46 27	\$1,051 74	\$1,262 26	(\$210 52)	-16 7 %
Long Term	OS	12/14/2011	12/31/2016	\$22 73	302.90	\$6,884 92	\$7,151 47	(\$266 55)	-3 7 %
Long Term	OS	6/11/2012	12/31/2016	\$22 73	39 74	\$903 24	\$943 39	(\$40 15)	-4 3 %
Long Term	OS	9/11/2012	12/31/2016	\$22 73	47 18	\$1,072 40	\$1,194 59	(\$122 19)	-10 2 %
Long Term	OS	12/14/2012	12/31/2016	\$22 73	90.80	\$2,063 79	\$2,438.78	(\$374 99)	-15 4 %
Long Term	OS	3/11/2013	12/31/2016	\$22 73	5 79	\$131 56	\$160 63	(\$29 07)	-18 1 %
Long Term	OS	6/11/2013	12/31/2016	\$22.73	50 56	\$1,149 21	\$1,286 22	(\$137 01)	-10 7 %
Long Term	OS	9/11/2013	12/31/2016	\$22 73	42 13	\$957.50	\$1,088 92	(\$131 42)	-12 1 %
Long Term	OS	12/13/2013	12/31/2016	\$22 73	79 95	\$1,817 29	\$2,041 94	(\$224 65)	-11 0 %
Long Term	OS	6/10/2014	12/31/2016	\$22 73	28 89	\$656 74	\$791 39	(\$134 65)	-17 0 %

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	9/10/2014	12/31/2016	\$22 73	60 01	\$1,364 12	\$1,699 01	(\$334 89)	-19 7 %
Long Term	OS	12/17/2014	12/31/2016	\$22 73	36 58	\$831 35	\$877 07	(\$45 72)	-5 2 %
Long Term Total:					6,729.22	\$152,955 05	\$108,053 03	\$44,902 02	41.6 %

DFA Emerging Markets Core Equity (DFCEX) Trust ***5310**

Long Term	OS	1/21/2015	12/31/2016	\$17 36	2,083 02	\$36,161 28	\$40,268 00	(\$4,106 72)	-10 2 %
Long Term Total:					2,083.02	\$36,161.28	\$40,268 00	(\$4,106.72)	-10.2 %

DFA Emerging Markets Small Cap (DEMSX) Trust ***5310**

Long Term	OS	3/23/2004	12/31/2016	\$18 55	6,169 95	\$114,452 65	\$62,575 43	\$51,877 22	82 9 %
Long Term	OS	6/9/2004	12/31/2016	\$18 55	61 97	\$1,149 47	\$575 66	\$573 81	99 7 %
Long Term	OS	9/9/2004	12/31/2016	\$18 55	60 74	\$1,126 80	\$603 19	\$523 61	86 8 %
Long Term	OS	12/21/2004	12/31/2016	\$18 55	548 09	\$10,167 05	\$6,111 19	\$4,055 86	66 4 %
Long Term	OS	12/27/2004	12/31/2016	\$18 55	16 64	\$308 71	\$185 56	\$123 15	66 4 %
Long Term	OS	3/9/2005	12/31/2016	\$18 55	24 19	\$448 72	\$305 28	\$143 44	47 0 %
Long Term	OS	6/15/2005	12/31/2016	\$18 55	100 90	\$1,871 66	\$1,166 38	\$705 28	60 5 %
Long Term	OS	9/15/2005	12/31/2016	\$18 55	93 00	\$1,725 06	\$1,175 46	\$549 60	46 8 %
Long Term	OS	12/20/2005	12/31/2016	\$18 55	305 88	\$5,674 00	\$4,117 09	\$1,556 91	37 8 %
Long Term	OS	12/27/2005	12/31/2016	\$18 55	41 04	\$761 37	\$552 45	\$208 92	37 8 %
Long Term	OS	6/15/2006	12/31/2016	\$18 56	0 44	\$8 09	\$6 08	\$2 01	33 1 %
Long Term	OS	12/11/2008	12/31/2016	\$18 55	92 83	\$1,721 96	\$851 23	\$870 73	102 3 %
Long Term	OS	3/11/2009	12/31/2016	\$18 55	7 32	\$135 75	\$63 37	\$72 38	114 2 %
Long Term	OS	12/10/2010	12/31/2016	\$18 55	280 41	\$5,201 57	\$6,499 85	(\$1,298 28)	-20 0 %
Long Term	OS	6/9/2011	12/31/2016	\$18 55	50 02	\$927 83	\$1,193 92	(\$266 09)	-22 3 %
Long Term	OS	9/8/2011	12/31/2016	\$18 55	51 24	\$950 54	\$1,083 77	(\$133 23)	-12 3 %
Long Term	OS	12/14/2011	12/31/2016	\$18 55	243 29	\$4,512 99	\$4,315 94	\$197 05	4 6 %
Long Term	OS	6/11/2012	12/31/2016	\$18 55	50 98	\$945 68	\$937 01	\$8 67	0 9 %
Long Term	OS	9/11/2012	12/31/2016	\$18 55	37 12	\$688 50	\$729 70	(\$41 20)	-5 6 %
Long Term	OS	12/14/2012	12/31/2016	\$18 55	303 88	\$5,636 90	\$6,226 43	(\$589 53)	-9 5 %
Long Term	OS	3/11/2013	12/31/2016	\$18 55	6 94	\$128 79	\$153 72	(\$24 93)	-16 2 %
Long Term	OS	6/11/2013	12/31/2016	\$18 55	50.06	\$928 61	\$1,051 26	(\$122 65)	-11 7 %
Long Term	OS	9/11/2013	12/31/2016	\$18 55	46 54	\$863 32	\$928 47	(\$65 15)	-7 0 %
Long Term	OS	12/13/2013	12/31/2016	\$18 55	222 37	\$4,125 00	\$4,434 09	(\$309 09)	-7 0 %
Long Term	OS	6/10/2014	12/31/2016	\$18 55	26 95	\$499 89	\$593 39	(\$93 50)	-15 8 %
Long Term	OS	9/10/2014	12/31/2016	\$18 55	57 70	\$1,070 35	\$1,314 44	(\$244 09)	-18 6 %
Long Term	OS	12/17/2014	12/31/2016	\$18 55	282 44	\$5,239 30	\$5,439 83	(\$200 53)	-3 7 %
Long Term Total					9,232.91	\$171,270 56	\$113,190 19	\$58,080 37	51 3 %

DFA Emerging Markets Value (DFEVX) Trust ***5310**

Long Term	OS	3/23/2004	12/31/2016	\$23 95	3,782 71	\$90,595 95	\$57,243 49	\$33,352 46	58 3 %
Long Term	OS	6/9/2004	12/31/2016	\$23 95	48 08	\$1,151 44	\$664 90	\$486 54	73 2 %
Long Term	OS	9/9/2004	12/31/2016	\$23 95	50 31	\$1,204 80	\$759 61	\$445 19	58 6 %
Long Term	OS	12/21/2004	12/31/2016	\$23 95	33 23	\$795 81	\$606 41	\$189 40	31 2 %
Long Term	OS	12/27/2004	12/31/2016	\$23 95	51 44	\$1,231.87	\$938 69	\$293.18	31 2 %
Long Term	OS	3/9/2005	12/31/2016	\$23 95	12 28	\$294 06	\$258 46	\$35.60	13 8 %
Long Term	OS	6/15/2005	12/31/2016	\$23 95	92 50	\$2,215 28	\$1,764 82	\$450 46	25 5 %
Long Term	OS	9/15/2005	12/31/2016	\$23 95	42 91	\$1,027 72	\$934 61	\$93 11	10.0 %
Long Term	OS	12/20/2005	12/31/2016	\$23 95	73 39	\$1,757 79	\$1,707 87	\$49 92	2 9 %
Long Term	OS	12/27/2005	12/31/2016	\$23 95	78 16	\$1,872 03	\$1,818 88	\$53 15	2 9 %

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	3/15/2006	12/31/2016	\$23 95	21 34	\$511 05	\$547 53	(\$36 48)	-6 7 %
Long Term	OS	6/15/2006	12/31/2016	\$23 95	39 03	\$934 74	\$945 28	(\$10 54)	-1 1 %
Long Term	OS	9/11/2006	12/31/2016	\$23 95	46 79	\$1,120 57	\$1,252 99	(\$132 42)	-10 6 %
Long Term	OS	12/19/2006	12/31/2016	\$23 95	92 73	\$2,220 79	\$2,918 99	(\$698 20)	-23 9 %
Long Term	OS	3/9/2007	12/31/2016	\$23 95	14 80	\$354 48	\$477 94	(\$123 46)	-25 8 %
Long Term	OS	6/13/2007	12/31/2016	\$23 95	38 34	\$918 27	\$1,497 97	(\$579 70)	-38 7 %
Long Term	OS	9/13/2007	12/31/2016	\$23 95	29 06	\$695 87	\$1,171 77	(\$475 90)	-40 6 %
Long Term	OS	12/21/2007	12/31/2016	\$23 95	177 25	\$4,245 16	\$7,579 21	(\$3,334 05)	-44 0 %
Long Term	OS	3/10/2008	12/31/2016	\$23 95	6 85	\$163 94	\$269 29	(\$105 35)	-39 1 %
Long Term	OS	6/10/2008	12/31/2016	\$23 95	45 77	\$1,096 22	\$1,868 81	(\$772 59)	-41 3 %
Long Term	OS	9/9/2008	12/31/2016	\$23 95	45 86	\$1,098 28	\$1,409 20	(\$310 92)	-22 1 %
Long Term	OS	12/11/2008	12/31/2016	\$23 95	888 77	\$21,285 99	\$14,478 05	\$6,807 94	47 0 %
Long Term	OS	3/11/2009	12/31/2016	\$23 95	7 19	\$172 20	\$102 81	\$69 39	67 5 %
Long Term	OS	6/10/2009	12/31/2016	\$23 95	34 02	\$814 66	\$823 50	(\$8 84)	-1 1 %
Long Term	OS	9/10/2009	12/31/2016	\$23 95	17 02	\$407 51	\$483 23	(\$75 72)	-15 7 %
Long Term	OS	12/10/2009	12/31/2016	\$23 95	150 97	\$3,615 73	\$4,598 53	(\$982 80)	-21 4 %
Long Term	OS	6/9/2010	12/31/2016	\$23 95	36 95	\$885 02	\$1,053 90	(\$168 88)	-16 0 %
Long Term	OS	9/9/2010	12/31/2016	\$23 95	20 75	\$496 84	\$679 18	(\$182 34)	-26 8 %
Long Term	OS	12/10/2010	12/31/2016	\$23.95	304 97	\$7,304 03	\$10,551 98	(\$3,247 95)	-30 8 %
Long Term	OS	6/9/2011	12/31/2016	\$23 95	29 66	\$710 33	\$1,049 33	(\$339 00)	-32 3 %
Long Term	OS	9/8/2011	12/31/2016	\$23 95	49 96	\$1,196 42	\$1,515 14	(\$318 72)	-21 0 %
Long Term	OS	12/14/2011	12/31/2016	\$23 95	147 14	\$3,524 05	\$3,805 09	(\$281 04)	-7 4 %
Long Term	OS	6/11/2012	12/31/2016	\$23 95	35 69	\$854 85	\$924 45	(\$69 60)	-7.5 %
Long Term	OS	9/11/2012	12/31/2016	\$23 95	43 29	\$1,036 80	\$1,191 35	(\$154 55)	-13 0 %
Long Term	OS	12/14/2012	12/31/2016	\$23 95	171 18	\$4,099 69	\$4,941 87	(\$842 18)	-17.0 %
Long Term	OS	3/11/2013	12/31/2016	\$23 95	3 34	\$79 87	\$101 41	(\$21 54)	-21 2 %
Long Term	OS	6/11/2013	12/31/2016	\$23 95	57 23	\$1,370 73	\$1,596 23	(\$225 50)	-14 1 %
Long Term	OS	9/11/2013	12/31/2016	\$23 95	38 39	\$919 51	\$1,077 70	(\$158 19)	-14 7 %
Long Term	OS	12/13/2013	12/31/2016	\$23 95	169 14	\$4,051 00	\$4,588 88	(\$537 88)	-11 7 %
Long Term	OS	6/10/2014	12/31/2016	\$23 95	11 67	\$279 54	\$343 62	(\$64 08)	-18 6 %
Long Term	OS	9/10/2014	12/31/2016	\$23 95	72 66	\$1,740 14	\$2,194 97	(\$454 83)	-20 7 %
Long Term	OS	12/17/2014	12/31/2016	\$23.95	90 69	\$2,172 05	\$2,239 17	(\$67 12)	-3.0 %
Long Term Total:					7,203.47	\$172,523 08	\$144,977.11	\$27,545 97	19.0 %

DFA Five Year Global Fixed Income (DFGBX) Trust ***5310**

Short Term	OS	12/22/2016	12/31/2016	\$10 87	18,465 01	\$200,714 60	\$200,000 00	\$714 60	0 4 %
Short Term Total:					18,465.01	\$200,714 60	\$200,000.00	\$714.60	0.4 %
Long Term	OS	1/14/2008	12/31/2016	\$10.87	75,021 91	\$815,488 17	\$806,502 01	\$8,986 16	1 1 %
Long Term	OS	3/24/2008	12/31/2016	\$10 87	13,873 82	\$150,808 43	\$150,000 00	\$808 43	0 5 %
Long Term	OS	5/1/2008	12/31/2016	\$10 87	4,651 16	\$50,558 14	\$50,024 00	\$534.14	1.1 %
Long Term	OS	9/9/2008	12/31/2016	\$10 87	1,810 38	\$19,678 80	\$19,552 07	\$126 73	0 6 %
Long Term	OS	12/10/2008	12/31/2016	\$10 87	643 21	\$6,991 67	\$6,972 37	\$19 30	0 3 %
Long Term	OS	6/10/2009	12/31/2016	\$10 87	1,064 90	\$11,575 47	\$11,607 42	(\$31 95)	-0 3 %
Long Term	OS	9/10/2009	12/31/2016	\$10 87	652 32	\$7,090.73	\$7,279 90	(\$189.17)	-2 6 %
Long Term	OS	12/9/2009	12/31/2016	\$10 87	1,809 26	\$19,666 69	\$20,227 56	(\$560 87)	-2.8 %
Long Term	OS	3/11/2010	12/31/2016	\$10 87	9,390 51	\$102,074 80	\$104,992.16	(\$2,917.36)	-2 8 %
Long Term	OS	12/9/2010	12/31/2016	\$10 87	7,536 12	\$81,917 66	\$82,219 10	(\$301 44)	-0 4 %

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	1/24/2011	12/31/2016	\$10 87	15,622 79	\$169,819 77	\$170,000 00	(\$180 23)	-0 1 %
Long Term	OS	3/4/2011	12/31/2016	\$10 87	6,777 14	\$73,667 46	\$73,827 00	(\$159 54)	-0 2 %
Long Term	OS	12/13/2011	12/31/2016	\$10 87	5,155 18	\$56,036 84	\$56,139 94	(\$103 10)	-0 2 %
Long Term	OS	6/11/2012	12/31/2016	\$10 87	735 83	\$7,998 50	\$8,189 82	(\$191 32)	-2 3 %
Long Term	OS	12/13/2012	12/31/2016	\$10 87	2,453 51	\$26,669 68	\$27,381 19	(\$711 51)	-2 6 %
Long Term	OS	1/11/2013	12/31/2016	\$10 87	4,490 21	\$48,808 55	\$50,000 00	(\$1,191 45)	-2 4 %
Long Term	OS	6/11/2013	12/31/2016	\$10 87	663 85	\$7,216 06	\$7,348 83	(\$132 77)	-1 8 %
Long Term	OS	12/12/2013	12/31/2016	\$10 87	3,506 82	\$38,119 12	\$38,259 40	(\$140 28)	-0 4 %
Long Term	OS	3/11/2014	12/31/2016	\$10 87	420 05	\$4,565 93	\$4,586 93	(\$21 00)	-0 5 %
Long Term	OS	6/10/2014	12/31/2016	\$10 87	590 85	\$6,422 54	\$6,475 72	(\$53 18)	-0 8 %
Long Term	OS	12/16/2014	12/31/2016	\$10 87	2,532 62	\$27,529 62	\$27,706 91	(\$177 29)	-0 6 %
Long Term	OS	1/21/2015	12/31/2016	\$10 87	9,055 80	\$98,436 51	\$100,000 00	(\$1,563 49)	-1 6 %
Long Term Total:					168,458 25	\$1,831,141.14	\$1,829,292.33	\$1,848 81	0.1 %
DFA Intl Real Estate Secs (DFITX) Trust *****5310									
Long Term	OS	3/11/2010	12/31/2016	\$4 70	57,367 25	\$269,626 09	\$274,237 41	(\$4,611 32)	-1 7 %
Long Term	OS	12/9/2010	12/31/2016	\$4 70	7,680 57	\$36,098 70	\$37,173 98	(\$1,075 28)	-2 9 %
Long Term	OS	12/13/2011	12/31/2016	\$4 70	3,725 60	\$17,510 33	\$16,131 86	\$1,378 47	8 5 %
Long Term	OS	12/13/2012	12/31/2016	\$4 70	520 17	\$2,444 80	\$2,647 67	(\$202 87)	-7 7 %
Long Term	OS	12/12/2013	12/31/2016	\$4.70	4,140 39	\$19,459 85	\$20,287 93	(\$828 08)	-4 1 %
Long Term Total					73,434 00	\$345,139 77	\$350,478 85	(\$5,339.08)	-1.5 %
DFA Intl Small Cap Value (DISVX) Trust *****5310									
Long Term	OS	3/23/2004	12/31/2016	\$19 02	23,500 82	\$446,985 58	\$309,748 29	\$137,237 29	44 3 %
Long Term	OS	6/9/2004	12/31/2016	\$19 02	629 27	\$11,968 72	\$8,293 78	\$3,674 94	44 3 %
Long Term	OS	9/9/2004	12/31/2016	\$19 02	285 82	\$5,436 24	\$3,801 36	\$1,634 88	43 0 %
Long Term	OS	12/21/2004	12/31/2016	\$19 02	2,050 50	\$39,000 51	\$30,654 98	\$8,345 53	27 2 %
Long Term	OS	12/27/2004	12/31/2016	\$19 02	638 07	\$12,136 09	\$9,539 14	\$2,596 95	27 2 %
Long Term	OS	3/9/2005	12/31/2016	\$19 02	14 62	\$278 07	\$246 49	\$31 58	12 8 %
Long Term	OS	6/15/2005	12/31/2016	\$19 02	718 28	\$13,661 69	\$11,442 20	\$2,219 49	19 4 %
Long Term	OS	9/15/2005	12/31/2016	\$19 02	197 40	\$3,754.49	\$3,452 48	\$302 01	8 7 %
Long Term	OS	12/20/2005	12/31/2016	\$19 02	2,619 44	\$49,821 81	\$45,892.83	\$3,929 18	8 6 %
Long Term	OS	12/27/2005	12/31/2016	\$19 02	350 57	\$6,667 80	\$6,141.96	\$525 85	8 6 %
Long Term	OS	9/9/2008	12/31/2016	\$19 02	248 22	\$4,721 14	\$3,743 16	\$977 98	26 1 %
Long Term	OS	12/11/2008	12/31/2016	\$19 02	580 78	\$11,046 38	\$6,016 85	\$5,029 53	83 6 %
Long Term	OS	3/11/2009	12/31/2016	\$19 02	93 84	\$1,784 86	\$814 54	\$970 32	119.1 %
Long Term	OS	6/10/2009	12/31/2016	\$19 02	602 97	\$11,468 49	\$7,615 51	\$3,852 98	50 6 %
Long Term	OS	9/10/2009	12/31/2016	\$19 02	97.12	\$1,847 26	\$1,484 99	\$362 27	24 4 %
Long Term	OS	12/10/2009	12/31/2016	\$19 02	277 52	\$5,278 39	\$4,132 24	\$1,146 15	27 7 %
Long Term	OS	6/9/2010	12/31/2016	\$19 02	466 80	\$8,878 57	\$6,208 47	\$2,670 10	43 0 %
Long Term	OS	9/9/2010	12/31/2016	\$19 02	6 14	\$116 71	\$90 26	\$26 45	29 3 %
Long Term	OS	12/10/2010	12/31/2016	\$19 02	1,140 83	\$21,698 49	\$18,732 34	\$2,966 15	15 8 %
Long Term	OS	6/9/2011	12/31/2016	\$19 02	490 42	\$9,327 83	\$8,587 29	\$740 54	8 6 %
Long Term	OS	9/8/2011	12/31/2016	\$19 02	150 49	\$2,862 36	\$2,225 77	\$636.59	28 6 %
Long Term	OS	12/13/2011	12/31/2016	\$19.02	1,316 72	\$25,044 00	\$17,736 21	\$7,307 79	41 2 %
Long Term	OS	6/11/2012	12/31/2016	\$19 02	626 15	\$11,909 39	\$8,390 43	\$3,518 96	41 9 %
Long Term	OS	9/11/2012	12/31/2016	\$19 02	130 06	\$2,473 65	\$1,920 91	\$552.74	28 8 %
Long Term	OS	12/13/2012	12/31/2016	\$19.02	1,001.09	\$19,040.75	\$15,456 85	\$3,583 90	23 2 %

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	3/11/2013	12/31/2016	\$19 02	10 49	\$199 58	\$221 36	(\$21 79)	-9 8 %
Long Term	OS	6/11/2013	12/31/2016	\$19 02	595 07	\$11,318 27	\$10,211 43	\$1,106 84	10 8 %
Long Term Total:					38,839.49	\$738,727.12	\$542,801.92	\$195,925.20	36.1 %

DFA Intl Small Company (DFISX) Trust ***5310**

Long Term	OS	3/23/2004	12/31/2016	\$17 27	23,476 05	\$405,431 45	\$293,694 39	\$111,737 06	38 0 %
Long Term	OS	6/9/2004	12/31/2016	\$17 27	577 17	\$9,967 69	\$7,231 91	\$2,735 78	37 8 %
Long Term	OS	9/9/2004	12/31/2016	\$17 27	236 92	\$4,091 56	\$2,982 78	\$1,108 78	37 2 %
Long Term	OS	12/21/2004	12/31/2016	\$17 27	1,512 31	\$26,117 58	\$21,021 09	\$5,096 49	24 2 %
Long Term	OS	12/27/2004	12/31/2016	\$17 27	467 44	\$8,072.71	\$6,497 43	\$1,575 28	24 2 %
Long Term	OS	6/15/2005	12/31/2016	\$17 27	539 16	\$9,311 31	\$7,850 18	\$1,461 13	18 6 %
Long Term	OS	9/15/2005	12/31/2016	\$17 27	166 00	\$2,866 75	\$2,660 91	\$205 84	7 7 %
Long Term	OS	12/20/2005	12/31/2016	\$17 27	1,776 09	\$30,673 06	\$28,666 07	\$2,006 98	7 0 %
Long Term	OS	12/27/2005	12/31/2016	\$17 27	389 03	\$6,718 51	\$6,278 91	\$439 60	7 0 %
Long Term	OS	9/9/2008	12/31/2016	\$17 27	292 67	\$5,054 45	\$4,182 28	\$872 17	20 9 %
Long Term	OS	12/11/2008	12/31/2016	\$17 27	413 51	\$7,141 32	\$3,953 16	\$3,188 16	80 6 %
Long Term	OS	3/11/2009	12/31/2016	\$17 27	98 50	\$1,701 03	\$817 52	\$883 51	108 1 %
Long Term	OS	6/10/2009	12/31/2016	\$17 27	581 75	\$10,046 74	\$6,911 13	\$3,135 61	45 4 %
Long Term	OS	9/10/2009	12/31/2016	\$17 27	151 63	\$2,618 62	\$2,122 79	\$495 83	23 4 %
Long Term	OS	12/10/2009	12/31/2016	\$17 27	319 95	\$5,525 47	\$4,517 64	\$1,007 83	22 3 %
Long Term	OS	3/10/2010	12/31/2016	\$17 27	30 32	\$523 61	\$439 32	\$84 29	19 2 %
Long Term	OS	6/9/2010	12/31/2016	\$17.27	410 50	\$7,089 39	\$5,336 54	\$1,752 85	32 8 %
Long Term	OS	9/9/2010	12/31/2016	\$17 27	81 64	\$1,409 92	\$1,189 49	\$220.43	18 5 %
Long Term	OS	9/8/2011	12/31/2016	\$17 27	196 70	\$3,397.04	\$3,003 64	\$393 40	13 1 %
Long Term	OS	12/14/2011	12/31/2016	\$17 27	1,228 45	\$21,215 30	\$16,719.18	\$4,496 12	26 9 %
Long Term	OS	3/9/2012	12/31/2016	\$17 27	26 63	\$459.87	\$418.60	\$41 27	9 9 %
Long Term	OS	6/11/2012	12/31/2016	\$17 27	538 08	\$9,292 61	\$7,387 81	\$1,904 80	25 8 %
Long Term	OS	9/11/2012	12/31/2016	\$17 27	100 81	\$1,741 06	\$1,506 16	\$234 90	15 6 %
Long Term	OS	12/14/2012	12/31/2016	\$17.27	575 67	\$9,941 89	\$8,905 68	\$1,036 21	11 6 %
Long Term	OS	12/17/2014	12/31/2016	\$17 27	332 06	\$5,734 75	\$5,498 98	\$235 77	4 3 %
Long Term Total:					34,519 03	\$596,143.69	\$449,793 59	\$146,350.09	32 5 %

DFA Intl Value (DFIVX) Trust ***5310**

Long Term	OS	3/23/2004	12/31/2016	\$16 76	27,748 96	\$465,072 60	\$373,510 04	\$91,562 56	24 5 %
Long Term	OS	6/9/2004	12/31/2016	\$16 76	764 85	\$12,818 94	\$10,631 46	\$2,187 48	20 6 %
Long Term	OS	9/9/2004	12/31/2016	\$16 76	419.19	\$7,025 62	\$5,818 36	\$1,207 26	20 7 %
Long Term	OS	12/27/2004	12/31/2016	\$16 76	654 54	\$10,970 16	\$10,426 89	\$543 27	5 2 %
Long Term	OS	3/9/2005	12/31/2016	\$16.76	32 23	\$540 24	\$550 23	(\$9 99)	-1 8 %
Long Term	OS	6/15/2005	12/31/2016	\$16 76	781 84	\$13,103 69	\$12,431.31	\$672 38	5 4 %
Long Term	OS	9/15/2005	12/31/2016	\$16 76	274 97	\$4,608 56	\$4,798 29	(\$189 73)	-4 0 %
Long Term	OS	12/20/2005	12/31/2016	\$16 76	993 60	\$16,652 67	\$17,835 05	(\$1,182 38)	-6 6 %
Long Term	OS	12/27/2005	12/31/2016	\$16 76	604 21	\$10,126 63	\$10,845 64	(\$719 01)	-6 6 %
Long Term	OS	6/15/2006	12/31/2016	\$16 76	824.92	\$13,825 64	\$15,714 71	(\$1,889 07)	-12 0 %
Long Term	OS	9/11/2006	12/31/2016	\$16 76	669 42	\$11,219 50	\$13,689 66	(\$2,470 16)	-18 0 %
Long Term	OS	12/19/2006	12/31/2016	\$16.76	54 23	\$908 95	\$1,240.30	(\$331 35)	-26 7 %
Long Term	OS	12/24/2007	12/31/2016	\$16 76	3 52	\$59 03	\$0 00v	\$59.03v	0 0 %v
Long Term	OS	3/10/2008	12/31/2016	\$16 76	50 76	\$850 69	\$1,073 50	(\$222 81)	-20 8 %
Long Term	OS	6/10/2008	12/31/2016	\$16 76	1,085 83	\$18,198 44	\$23,464 71	(\$5,266.27)	-22 4 %

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	9/9/2008	12/31/2016	\$16.76	285.70	\$4,788.26	\$5,091.10	(\$302.84)	-5.9%
Long Term	OS	12/11/2008	12/31/2016	\$16.76	330.20	\$5,534.19	\$3,969.03	\$1,565.16	39.4%
Long Term	OS	3/11/2009	12/31/2016	\$16.76	96.52	\$1,617.66	\$883.15	\$734.51	83.2%
Long Term	OS	6/10/2009	12/31/2016	\$16.76	725.21	\$12,154.50	\$10,247.21	\$1,907.29	18.6%
Long Term	OS	9/10/2009	12/31/2016	\$16.76	200.51	\$3,360.55	\$3,358.54	\$2.01	0.1%
Long Term	OS	12/10/2009	12/31/2016	\$16.76	246.00	\$4,122.99	\$4,132.84	(\$9.85)	-0.2%
Long Term	OS	3/10/2010	12/31/2016	\$16.76	39.58	\$663.36	\$668.50	(\$5.14)	-0.8%
Long Term	OS	6/9/2010	12/31/2016	\$16.76	603.75	\$10,118.77	\$8,681.85	\$1,436.92	16.6%
Long Term	OS	9/9/2010	12/31/2016	\$16.76	168.67	\$2,826.93	\$2,745.96	\$80.97	2.9%
Long Term	OS	12/10/2010	12/31/2016	\$16.76	231.30	\$3,876.60	\$4,156.47	(\$279.87)	-6.7%
Long Term	OS	3/9/2011	12/31/2016	\$16.76	109.81	\$1,840.38	\$2,135.77	(\$295.39)	-13.8%
Long Term	OS	6/9/2011	12/31/2016	\$16.76	656.06	\$10,995.62	\$12,110.93	(\$1,115.31)	-9.2%
Long Term	OS	9/8/2011	12/31/2016	\$16.76	257.40	\$4,314.02	\$3,920.20	\$393.82	10.0%
Long Term	OS	12/14/2011	12/31/2016	\$16.76	408.21	\$6,841.55	\$5,898.59	\$942.96	16.0%
Long Term	OS	3/9/2012	12/31/2016	\$16.76	76.34	\$1,279.48	\$1,248.17	\$31.31	2.5%
Long Term	OS	6/11/2012	12/31/2016	\$16.76	789.89	\$13,238.61	\$10,932.12	\$2,306.49	21.1%
Long Term	OS	9/11/2012	12/31/2016	\$16.76	199.14	\$3,337.65	\$3,084.74	\$252.91	8.2%
Long Term	OS	12/14/2012	12/31/2016	\$16.76	361.72	\$6,062.49	\$5,827.38	\$235.11	4.0%
Long Term	OS	3/11/2013	12/31/2016	\$16.76	73.06	\$1,224.42	\$1,250.72	(\$26.30)	-2.1%
Long Term	OS	6/11/2013	12/31/2016	\$16.76	791.24	\$13,261.12	\$13,656.74	(\$395.62)	-2.9%
Long Term	OS	9/11/2013	12/31/2016	\$16.76	167.02	\$2,799.20	\$3,106.51	(\$307.31)	-9.9%
Long Term	OS	12/13/2013	12/31/2016	\$16.76	245.16	\$4,108.93	\$4,613.97	(\$505.04)	-10.9%
Long Term	OS	3/11/2014	12/31/2016	\$16.76	684.02	\$11,464.21	\$13,536.80	(\$2,072.59)	-15.3%
Long Term	OS	6/10/2014	12/31/2016	\$16.76	701.07	\$11,750.00	\$14,175.72	(\$2,425.72)	-17.1%
Long Term	OS	9/10/2014	12/31/2016	\$16.76	201.51	\$3,377.31	\$3,923.40	(\$546.09)	-13.9%
Long Term	OS	12/17/2014	12/31/2016	\$16.76	346.49	\$5,807.21	\$5,980.45	(\$173.24)	-2.9%
Long Term Total					43,958.67	\$736,747.37	\$651,367.01	\$85,380.36	13.1%
DFA Short Term Extended Quality (DFEQX) Trust *****5310									
Short Term	OS	12/22/2016	12/31/2016	\$10.76	7,453.50	\$80,199.61	\$80,000.00	\$199.61	0.2%
Short Term Total:					7,453.50	\$80,199.61	\$80,000.00	\$199.61	0.2%
Long Term	OS	3/8/2012	12/31/2016	\$10.76	12,264.27	\$131,963.58	\$133,214.00	(\$1,250.42)	-0.9%
Long Term	OS	4/11/2012	12/31/2016	\$10.76	20.33	\$218.73	\$220.76	(\$2.03)	-0.9%
Long Term	OS	5/9/2012	12/31/2016	\$10.76	19.20	\$206.54	\$208.84	(\$2.30)	-1.1%
Long Term	OS	6/11/2012	12/31/2016	\$10.76	19.28	\$207.42	\$209.16	(\$1.74)	-0.8%
Long Term	OS	7/11/2012	12/31/2016	\$10.76	16.97	\$182.64	\$184.85	(\$2.21)	-1.2%
Long Term	OS	8/9/2012	12/31/2016	\$10.76	21.49	\$231.23	\$234.46	(\$3.23)	-1.4%
Long Term	OS	12/13/2012	12/31/2016	\$10.76	64.88	\$698.09	\$707.82	(\$9.73)	-1.4%
Long Term	OS	12/21/2012	12/31/2016	\$10.76	10,089.54	\$108,563.46	\$110,000.00	(\$1,436.54)	-1.3%
Long Term	OS	2/11/2013	12/31/2016	\$10.76	12.41	\$133.56	\$135.43	(\$1.87)	-1.4%
Long Term	OS	2/20/2013	12/31/2016	\$10.76	11,913.77	\$128,192.14	\$130,001.40	(\$1,809.25)	-1.4%
Long Term	OS	3/11/2013	12/31/2016	\$10.76	39.02	\$419.82	\$425.68	(\$5.86)	-1.4%
Long Term	OS	6/11/2013	12/31/2016	\$10.76	39.45	\$424.44	\$427.59	(\$3.15)	-0.7%
Long Term	OS	7/10/2013	12/31/2016	\$10.76	46.41	\$499.40	\$499.40	\$0.00	0.0%
Long Term	OS	8/9/2013	12/31/2016	\$10.76	42.96	\$462.20	\$464.34	(\$2.14)	-0.5%
Long Term	OS	9/11/2013	12/31/2016	\$10.76	26.66	\$286.89	\$286.09	\$0.80	0.3%
Long Term	OS	10/9/2013	12/31/2016	\$10.76	66.27	\$713.10	\$715.75	(\$2.65)	-0.4%

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	11/11/2013	12/31/2016	\$10.76	43.04	\$463.09	\$466.10	(\$3.01)	-0.6%
Long Term	OS	12/12/2013	12/31/2016	\$10.76	129.51	\$1,393.50	\$1,399.98	(\$6.48)	-0.5%
Long Term	OS	2/11/2014	12/31/2016	\$10.76	24.80	\$266.89	\$269.12	(\$2.23)	-0.8%
Long Term	OS	3/11/2014	12/31/2016	\$10.76	46.60	\$501.46	\$504.72	(\$3.26)	-0.6%
Long Term	OS	4/9/2014	12/31/2016	\$10.76	45.19	\$486.23	\$489.85	(\$3.62)	-0.7%
Long Term	OS	5/9/2014	12/31/2016	\$10.76	43.53	\$468.39	\$472.75	(\$4.36)	-0.9%
Long Term	OS	6/10/2014	12/31/2016	\$10.76	46.99	\$505.64	\$509.87	(\$4.23)	-0.8%
Long Term	OS	7/9/2014	12/31/2016	\$10.76	40.41	\$434.83	\$438.07	(\$3.24)	-0.7%
Long Term	OS	8/11/2014	12/31/2016	\$10.76	42.75	\$460.03	\$464.31	(\$4.28)	-0.9%
Long Term	OS	9/10/2014	12/31/2016	\$10.76	10.09	\$108.60	\$109.31	(\$0.71)	-0.6%
Long Term	OS	10/9/2014	12/31/2016	\$10.76	44.15	\$475.02	\$479.88	(\$4.86)	-1.0%
Long Term	OS	11/10/2014	12/31/2016	\$10.76	43.34	\$466.38	\$470.28	(\$3.90)	-0.8%
Long Term	OS	12/16/2014	12/31/2016	\$10.76	184.10	\$1,980.87	\$1,988.23	(\$7.36)	-0.4%
Long Term	OS	2/10/2015	12/31/2016	\$10.76	9.49	\$102.08	\$102.84	(\$0.76)	-0.7%
Long Term Total:					35,456.90	\$381,516.25	\$386,100.88	(\$4,584.62)	-1.2%
DFA US Large Cap Growth (DUSLX) Trust *****5310									
Short Term	OS	11/17/2016	12/31/2016	\$15.56	13,002.34	\$202,316.43	\$200,000.00	\$2,316.43	1.2%
Short Term Total					13,002.34	\$202,316.43	\$200,000.00	\$2,316.43	1.2%
Long Term	OS	12/10/2015	12/31/2016	\$15.56	16,970.54	\$264,061.54	\$250,000.00	\$14,061.54	5.6%
Long Term Total:					16,970.54	\$264,061.54	\$250,000.00	\$14,061.54	5.6%
DFA US Large Cap Value (DFLVX) Trust *****5310									
Short Term	OS	11/17/2016	12/31/2016	\$35.09	1,008.83	\$35,399.70	\$35,000.00	\$399.70	1.1%
Short Term	OS	12/22/2016	12/31/2016	\$35.09	845.35	\$29,663.23	\$30,000.00	(\$336.77)	-1.1%
Short Term Total:					1,854.17	\$65,062.93	\$65,000.00	\$62.93	0.1%
Long Term	OS	3/23/2004	12/31/2016	\$35.09	29,098.56	\$1,021,068.37	\$500,214.37	\$520,854.00	104.1%
Long Term	OS	12/21/2006	12/31/2016	\$35.09	1,409.81	\$49,470.09	\$0.00	\$49,470.09	0.0%
Long Term	OS	12/11/2008	12/31/2016	\$35.09	738.59	\$25,917.09	\$9,682.90	\$16,234.19	167.7%
Long Term	OS	3/11/2009	12/31/2016	\$35.09	869.08	\$30,496.05	\$8,299.72	\$22,196.33	267.4%
Long Term	OS	6/10/2009	12/31/2016	\$35.09	60.28	\$2,115.23	\$854.17	\$1,261.06	147.6%
Long Term	OS	9/10/2009	12/31/2016	\$35.09	151.54	\$5,317.68	\$2,442.89	\$2,874.79	117.7%
Long Term	OS	12/10/2009	12/31/2016	\$35.09	323.59	\$11,354.63	\$5,387.70	\$5,966.93	110.8%
Long Term	OS	6/9/2010	12/31/2016	\$35.09	177.30	\$6,221.39	\$2,991.01	\$3,230.38	108.0%
Long Term Total:					32,828.74	\$1,151,960.53	\$529,872.76	\$622,087.77	117.4%
DFA US Micro Cap (DFSCX) Trust *****5310									
Long Term	OS	3/23/2004	12/31/2016	\$20.89	26,399.37	\$551,482.88	\$356,666.40	\$194,816.48	54.6%
Long Term	OS	9/9/2004	12/31/2016	\$20.89	31.07	\$648.99	\$407.91	\$241.08	59.1%
Long Term	OS	12/21/2007	12/31/2016	\$20.89	5,945.27	\$124,196.75	\$79,012.69	\$45,184.06	57.2%
Long Term	OS	3/10/2008	12/31/2016	\$20.89	94.21	\$1,968.11	\$1,064.61	\$903.50	84.9%
Long Term	OS	6/10/2008	12/31/2016	\$20.89	175.77	\$3,671.79	\$2,198.86	\$1,472.93	67.0%
Long Term	OS	9/9/2008	12/31/2016	\$20.89	204.97	\$4,281.74	\$2,471.89	\$1,809.85	73.2%
Long Term	OS	12/11/2008	12/31/2016	\$20.89	1,235.34	\$25,806.17	\$9,783.86	\$16,022.31	163.8%
Long Term	OS	3/11/2009	12/31/2016	\$20.89	91.92	\$1,920.13	\$545.98	\$1,374.15	251.7%
Long Term	OS	6/10/2009	12/31/2016	\$20.89	184.29	\$3,849.78	\$1,640.16	\$2,209.62	134.7%
Long Term	OS	9/10/2009	12/31/2016	\$20.89	82.23	\$1,717.76	\$822.29	\$895.47	108.9%
Long Term	OS	12/10/2009	12/31/2016	\$20.89	170.16	\$3,554.54	\$1,715.16	\$1,839.38	107.2%
Long Term	OS	3/10/2010	12/31/2016	\$20.89	30.03	\$627.39	\$343.88	\$283.51	82.4%

Type	Description	Date Acquired	Value Date	Value Price	Units	Current Value	Cost Basis	Gain/Loss	% G/L
Long Term	OS	6/9/2010	12/31/2016	\$20.89	44.66	\$932.95	\$476.52	\$456.43	95.8%
Long Term	OS	9/9/2010	12/31/2016	\$20.89	115.93	\$2,421.84	\$1,271.79	\$1,150.05	90.4%
Long Term	OS	12/10/2010	12/31/2016	\$20.89	177.55	\$3,709.04	\$2,389.83	\$1,319.21	55.2%
Long Term	OS	9/8/2011	12/31/2016	\$20.89	82.99	\$1,733.64	\$1,016.62	\$717.02	70.5%
Long Term	OS	12/14/2011	12/31/2016	\$20.89	184.30	\$3,850.11	\$2,360.93	\$1,489.18	63.1%
Long Term Total:					35,250.05	\$736,373.61	\$464,189.38	\$272,184.23	58.6%
DFA US Small Cap Value (DFSVX) Trust *****5310									
Short Term	OS	5/20/2016	12/31/2016	\$37.39	674.91	\$25,234.92	\$20,813.25	\$4,421.67	21.2%
Short Term Total:					674.91	\$25,234.92	\$20,813.25	\$4,421.67	21.2%
Long Term	OS	3/23/2004	12/31/2016	\$37.39	24,165.79	\$903,558.74	\$565,490.90	\$338,067.84	59.8%
Long Term	OS	12/21/2007	12/31/2016	\$37.39	3,970.09	\$148,441.78	\$93,495.70	\$54,946.08	58.8%
Long Term	OS	3/10/2008	12/31/2016	\$37.39	209.98	\$7,851.23	\$4,426.43	\$3,424.80	77.4%
Long Term	OS	6/10/2008	12/31/2016	\$37.39	74.23	\$2,775.57	\$1,713.29	\$1,062.28	62.0%
Long Term	OS	9/9/2008	12/31/2016	\$37.39	162.91	\$6,091.24	\$3,592.18	\$2,499.06	69.6%
Long Term	OS	12/11/2008	12/31/2016	\$37.39	600.50	\$22,452.84	\$8,443.08	\$14,009.76	165.9%
Long Term	OS	6/10/2009	12/31/2016	\$37.39	120.08	\$4,489.72	\$1,903.23	\$2,586.49	135.9%
Long Term	OS	9/10/2009	12/31/2016	\$37.39	59.01	\$2,206.46	\$1,089.96	\$1,116.50	102.4%
Long Term	OS	12/10/2009	12/31/2016	\$37.39	151.31	\$5,657.29	\$2,836.97	\$2,820.32	99.4%
Long Term	OS	3/10/2010	12/31/2016	\$37.39	18.90	\$706.75	\$407.91	\$298.84	73.3%
Long Term	OS	9/9/2010	12/31/2016	\$37.39	50.11	\$1,873.73	\$1,011.28	\$862.45	85.3%
Long Term	OS	9/8/2011	12/31/2016	\$37.39	66.66	\$2,492.42	\$1,470.51	\$1,021.91	69.5%
Long Term	OS	12/14/2011	12/31/2016	\$37.39	677.83	\$25,343.99	\$15,108.80	\$10,235.19	67.7%
Long Term Total:					30,327.41	\$1,133,941.76	\$700,990.24	\$432,951.52	61.8%
iShares MSCI EAFE (EFA) Trust *****5310									
Long Term	OS	3/23/2004	12/31/2016	\$57.73	10,349.00	\$597,447.77	\$472,638.83	\$124,808.94	26.4%
Long Term	OS	12/29/2011	12/31/2016	\$57.73	121.20	\$6,996.59	\$6,005.19	\$991.40	16.5%
Long Term	OS	6/28/2012	12/31/2016	\$57.73	200.52	\$11,576.25	\$9,997.14	\$1,579.11	15.8%
Long Term Total:					10,670.72	\$616,020.61	\$488,641.16	\$127,379.45	26.1%
iShares S&P 500 Index (IVV) Trust *****5310									
Long Term	OS	3/23/2004	12/31/2016	\$224.99	3,629.68	\$816,640.80	\$400,788.83	\$415,851.98	103.8%
Long Term Total:					3,629.68	\$816,640.80	\$400,788.83	\$415,851.98	103.8%
SPDR Dow Jones International Real Estate (RWX) Trust *****5310									
Long Term	OS	3/16/2010	12/31/2016	\$36.08	2,729.94	\$98,496.27	\$96,148.25	\$2,348.02	2.4%
Long Term Total:					2,729.94	\$98,496.27	\$96,148.25	\$2,348.02	2.4%
TD Ameritrade Money Market (IDA12) Trust *****5310									
Short Term	OS	12/31/2016	12/31/2016	\$1.00	60,802.08	\$60,802.08	\$60,802.08	\$0.00	0.0%
Short Term Total:					60,802.08	\$60,802.08	\$60,802.08	\$0.00	0.0%
Vanguard REIT Index ETF (VNQ) Trust *****5310									
Long Term	OS	12/31/2004	12/31/2016	\$82.53	3,762.46	\$310,515.66	\$213,664.40	\$96,851.26	45.3%
Long Term	OS	3/16/2010	12/31/2016	\$82.53	1,982.54	\$163,618.61	\$95,607.20	\$68,011.41	71.1%
Long Term Total:					5,744.99	\$474,134.27	\$309,271.60	\$164,862.67	53.3%
Long Position Short Term Total:						\$709,080.96	\$701,615.33	\$7,465.63	1.1%
Long Position Long Term Total:						\$10,749,728.10	\$8,158,601.57	\$2,591,126.54	31.8%
Long Position Long & Short Term Total:						\$11,458,809.06	\$8,860,216.90	\$2,598,592.17	29.3%

Disclosure

The Realized Gain/Loss Worksheet displays the acquisition and disposition of an asset's shares and if those shares were held for a short (up to a year) or long (more than a year) period of time. This report displays dispositions that occurred during the selected date range and all acquisitions that occurred before and during the date range. This report is provided to you as courtesy with regard to additional tax information that you may need to complete your tax return. We do not guarantee the accuracy or completeness of said information.

The Unrealized Gain/Loss Worksheet displays the acquisition and value as of a date for an asset's shares and if those shares have been held for a short (up to a year) or long (more than a year) period of time.

The information on this report has been computed using the following settings: Wash Sales were calculated.

Please note that the information on this report used to compute cost basis is based on the transaction data contained in our system. If a managed asset is acquired prior to transactions being contained in our system, these assets may reflect an inaccurate cost basis. In these situations where you have transactions on assets prior to information being brought into our system, you will need to refer to your past statements and trade confirmations to find the cost basis information. If an asset has been merged, converted from one share class (B) to another share class (A), the merged-in cost basis is being shown on the report. Return of Principal transactions are assumed to have a cost of par value. Actual cost may vary depending upon the market price at the time of the transaction. Bonds where Return of Principal payments create a price factor may be assumed to have a gain of \$0. You may need to re-compute the cost basis amounts when these transactions occur. We strongly encourage you to consult your tax advisor in these situations.

Wash Sales: You cannot deduct losses from sales or trades of stock or securities in a wash sale. A wash sale occurs when you sell or trade stock or securities at a loss and within 30 days before or after the sale you.

1. Buy substantially identical stock or securities,
2. Acquire substantially identical stock or securities in a fully taxable trade, or
3. Acquire a contract or option to buy substantially identical stock or securities.
4. Sell stock and your spouse or a corporation you control buys substantially identical stock.

Potential wash sales involving the sale of a security and the subsequent purchase of options, warrants, convertible securities, or complex trading strategies such as straddles that may be considered wash sales have not been identified in this report. For more information on wash sales, please review IRS Publication 550 or consult your tax advisor.

Date Sold: Represents the trade date for transaction proceeds from sales.

Date Acquired: Represents the date or dates the initial asset transaction took place. Various is shown in this column on the report when multiple acquisition dates are used for purposes of calculating basis information. If a "w" appears next to the acquired date, this indicates shares that were previously involved in wash sale are now being sold. It is possible that these items may appear in the Long Term section of the report although the acquired date is less than one year prior to the sold date.

Proceeds: Proceeds represent the net amount of money involved in the sale or exchange transaction. The IRS allows for proceeds to be reported after deducting commissions and fees. The proceeds represented in this report are done at 'gross proceeds less commissions'.

% Gain/Loss: Indicates the percentage change in value of the asset's Units from their Cost Basis to their Current Value. This is calculated by dividing the Gain/Loss by the Cost Basis.

Value Date: Represents the as of date used to calculate the value of the asset.

Value: Represents the asset value as of the date the report was run.

Units: Represents the number of units involved in the transaction.

Description: Identifies information related to the transaction.

Transaction Fee: If your sale or exchange transaction has a fee associated with it, the fee amount has been considered for purposes of calculating cost basis.

Short Term / Long Term: Holding periods identified as 'Short' are units held 1 year or less. Holding periods identified as 'Long' are units held more than 1 year.

Data that is displayed for any Annuity account or any account held within a Qualified Registration is for informational purposes only. This report shows the cost for each unit or share as it is bought and sold, which is not the appropriate method for determining Cost Basis for annuities and accounts held in qualified registrations.

If a "+" sign appears in the data source column this indicates amortization is included in the values and calculations.

Unknown Cost Basis:

If 'Unknown Basis' appears in the asset description section of the report, there are units with unidentified cost basis contained in the asset. The cost basis listed on the report may be incorrect depending upon the selected reporting method.

Additional Report Items to Note:

Exempt-interest dividends and capital gain distributions before a short-term loss from mutual funds have special tax considerations. This report does not identify exempt-interest dividends before a short-term loss, or the capital gain distribution before a short-term loss. Exercised options that update equity positions may not be identified, and the cost basis for the equity position may not include the necessary adjustments. For these situations, please consult with your tax advisor. If you have any questions regarding this report, please call your financial representative or your tax advisor.