Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: Charis Fund

A Employer identification number: 94-6077619

Number and street (or P O box number if mail is not delivered to street address): PO Box 82270 | Room/suite:

B Telephone number: (503) 232-0565

City or town, state or province, country, and ZIP or foreign postal code: Portland, OR 97282

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 4,699,673.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	178.	178.		
	4 Dividends and interest from securities	73,373.	73,373.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	58,807.			
	b Gross sales price for all assets on line 6a 927,842.				
	7 Capital gain net income (from Part IV, line 2)		58,807.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	193,418.	193,418.		Statement 1
	12 Total Add lines 1 through 11	325,776.	325,776.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 2	6,019.	3,009.		3,010.
	c Other professional fees Stmt 3	54,473.	54,473.		0.
	17 Interest				
	18 Taxes Stmt 4	7,000.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,470.	0.		1,470.
	22 Printing and publications				
	23 Other expenses Stmt 5	475.	0.		475.
	24 Total operating and administrative expenses. Add lines 13 through 23	69,437.	57,482.		4,955.
	25 Contributions, gifts, grants paid	250,050.			250,050.
	26 Total expenses and disbursements. Add lines 24 and 25	319,487.	57,482.		255,005.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	6,289.			
	b Net investment income (if negative, enter -0-)		268,294.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	336,165.	333,504.	333,504.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	1,097,015.	1,434,154.	1,866,782.
	c Investments - corporate bonds Stmt 7	34,442.	24,136.	25,237.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 8	1,397,554.	1,079,671.	2,474,150.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,865,176.	2,871,465.	4,699,673.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted	2,865,176.	2,871,465.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	2,865,176.	2,871,465.	
	31 **Total liabilities and net assets/fund balances**	2,865,176.	2,871,465.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,865,176.
2	Enter amount from Part I, line 27a	2	6,289.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,871,465.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,871,465.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Publicly traded securities	P	Various	Various
b	Capital Gains Dividends			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	916,119.		869,035.	47,084.
b	11,723.			11,723.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a				47,084.
b				11,723.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 58,807.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	399,882.	5,005,382.	.079890
2014	448,627.	5,410,224.	.082922
2013	408,757.	4,773,799.	.085625
2012	337,708.	4,249,175.	.079476
2011	286,528.	3,721,064.	.077002

2	**Total** of line 1, column (d)	2	.404915
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.080983
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	4,629,665.
5	Multiply line 4 by line 3	5	374,924.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,683.
7	Add lines 5 and 6	7	377,607.
8	Enter qualifying distributions from Part XII, line 4	8	255,005.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	5,366.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	5,366.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	5,366.
6	Credits/Payments:				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	7,155.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	7,155.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,789.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶	1,789.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ The Foundation Telephone no. ▶ (503) 232-0565

Located at ▶ PO Box 82270, Portland, OR ZIP+4 ▶ 97282

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	2,793,090.
b	Average of monthly cash balances			1b	425,312.
c	Fair market value of all other assets			1c	1,481,766.
d	**Total** (add lines 1a, b, and c)			1d	4,700,168.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	4,700,168.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	70,503.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4			5	4,629,665.
6	**Minimum investment return**. Enter 5% of line 5			6	231,483.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	231,483.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	5,366.		
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	5,366.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	226,117.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	226,117.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	226,117.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	255,005.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	255,005.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	255,005.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				226,117.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 291,381.				
b From 2012 136,423.				
c From 2013 182,711.				
d From 2014 192,224.				
e From 2015 156,635.				
f **Total** of lines 3a through e	959,374.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 255,005.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				226,117.
e Remaining amount distributed out of corpus	28,888.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	988,262.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	291,381.			
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a	696,881.			
10 Analysis of line 9:				
a Excess from 2012 136,423.				
b Excess from 2013 182,711.				
c Excess from 2014 192,224.				
d Excess from 2015 156,635.				
e Excess from 2016 28,888.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Statement 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Abused Deaf Women's Advocacy Services 8623 Roosevelt Way NE Seattle, WA 98115		PC	Summer camp for deaf and hearing kids	3,000.
Alzheimer Society of Washington 1308 Meador Ave Ste C-1 Bellingham, WA 98229		PC	"Staying Connected" programs for early stage memory loss	5,000.
Angel Flight West 3161 Donald Douglas Loop South Santa Monica, CA 90405		PC	"Ascent of 8000" growth initiative	5,000.
Basic Needs Foundation 439 E 247th St Carson, CA 90745		PC	Food program for low income working homeless people	5,000.
Big Brothers, Big Sisters Island County 913 E. Whidbey Ave Oak Harbor, WA 98277		PC	Mentoring services for low-income teen and pre-teen girls	3,000.
Total See continuation sheet(s)			▶ 3a	250,050.
b Approved for future payment				
None				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	178.	
4 Dividends and interest from securities			14	73,373.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	193,418.	
8 Gain or (loss) from sales of assets other than inventory			18	58,807.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		325,776.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 325,776.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Deborah Dobbins Warner | 15-11-17 | ▶ Treasurer

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Sue Fujitani	Sue Fujitani	05/08/17		P00542703

Firm's name ▶ Sue Fujitani | Firm's EIN ▶

Firm's address ▶ 58 West Portal Ave #130
San Francisco, CA 94127 | Phone no. 415-566-4619

623622 11-23-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Boys and Girls Club of West Valley 7245 Remmet Ave Canoga Park, CA 91303		PC	Programs at new teen center	5,000.
CASA of Kern County 1717 Columbus St Bakersfield, CA 93305		PC	Programs at new teen center	5,000.
CASA of Los Angeles 201 Centre Plaza Dr Rm 1100 Monterey Park, CA 91754		PC	Funding of training coordinator	4,000.
Catholic Housing Services 100 - 23rd Ave South Seattle, WA 98144		PC	Noel House programs - case management for women in need	5,000.
Center for Hope & Safety 605 Center St NE Salem , OR 97301		PC	Emergency shelter for victims of domestic violence	5,000.
Centerstone 722 18th Ave Seattle, WA 98122		PC	Food distribution to low-income families & individuals	3,000.
Childhaven 316 Broadway Seattle, WA 98122		PC	Transportation program for abused children	5,000.
City Gates Ministries 1910 East 4th Ave #108 Olympia, WA 98506		PC	Replacement van for community outreach	5,000.
Crisis Clinic 9725 - 3rd Ave NE Ste 300 Seattle, WA 98115		PC	Community Living Connections 2-1-1 program	4,000.
Down Syndrome Connection of the Bay Area 101-J Town & Country Dr Danville, CA 94526		PC	Down Syndrome Education Alliance	4,000.
Total from continuation sheets				229,050.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
East Bay Agency for Children 303 Van Buren Ave Oakland, CA 94610		PC	Expansion of grief/bereavement services	5,000.
Exceptional Families Network 5605 100th St SW Ste C Lakewood, WA 98499		PC	"Starting Point Project" support services for families	4,000.
Faith Harvest Helpers 12643 Case Road SW Olympia, WA 98512		PC	Gleaning, food recovery and youth with a mission programs	2,500.
FISH (Friends in Service Helping) 138 E Long St Carson City, NV 89706		PC	Expansion of on-th-job training program	4,000.
FISH - Vancouver WA PO Box 585 Vancouver, WA 98666		PC	Food pantry operations manager	4,000.
Food For Thought PO Box 1608 Forestville, CA 95436		PC	Vital Nutrition food program	5,000.
Friends of the Children 44 NE Morris St Portland, OR 97212		PC	Seed funds for workforce development programming for youth	3,000.
Friends of Youth 13116 NE 132nd St Kirkland, WA 98034		PC	Seed money for Eastside Reengagement Center	3,000.
Greater Maple Valley Community Center 22010 SE 248th St Maple Valley, WA 98038		PC	Youth substance abuse prevention programming	3,000.
Growing Gardens 2203 NE Oregon St Portland, OR 98101		PC	Culturally relevant programs for community garden and children's programs	3,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Helping Hands Re-entry Outreach PO Box 413 Seaside, OR 97138		PC	Men's emergency relief center/shelter	4,000.
Highline Medical Center Foundation 16259 Sylvester Rd SW Ste 101 Burien, WA 98166		PC	Emergency room clothes closet	3,000.
Homeless Prenatal Program 2500 18th St San Francisco, CA 94110		PC	Expansion of health worker training program	3,000.
Hopelink 10675 Willows Rd NE Ste 275 Redmond, WA 98052		PC	Community service programs for food and housing	3,000.
Lifeline Connections PO Box 1678 Vancouver, WA 98668		PC	Residential treatment program for pregnant and parenting women with kids	4,000.
Living Yoga 5100 SW Macadam Ave Ste 360 Portland, OR 97239		PC	Trauma informed Yoga teacher training	2,500.
Merry Heart Children's Camp PO Box 80413 Portland, OR 97280		PC	Camp for children with heart conditions	4,000.
New Horizons Ministries 2709 3rd Ave Seattle, WA 98121		PC	Expansion of apprenticeship program for homeless youth	3,000.
Orangewood Children's Foundation 1575 East 17th St Santa Ana, CA 92705		PC	Lighthouse transitional living program for sexually exploited girls	5,000.
Pierce County Project Access 223 Tacoma Ave S Tacoma, WA 98402		PC	Donated healthcare access program	4,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Pools of Hope 6801 Long Beach Blvd Long Beach, CA 90805		PC	Aquatic physical therapy care program for low income individuals	5,000.
Project Feast 2800 S 192nd St Ste 104 Seatac, WA 98188		PC	Culinary apprenticeship program	4,000.
Raphael House 4110 SE Hawthorne Blvd #503 Portland, OR 97214		PC	Emergency domestic violence shelter	3,000.
Raven Rock Ranch 20503 NE 122nd St Redmond, WA 98053		PC	Equine therapy program for abused children & teens	4,000.
Rose Haven PO Box 10405 Portland, OR 97296		PC	Dental program for homeless and abused women and children	4,000.
Sacramento Loaves and Fishes PO Box 13495 Sacramento, CA 95813		PC	Ice machine and storage bin for homeless shelter	5,800.
Sequoia Village PO Box 813 San Carlos, CA 94070		PC	Support to help seniors stay in their homes	3,000.
Shelter Inc, Contra Costa 1333 Willow Pass Rd Ste 206 Concord, CA 94520		PC	Homeless prevention program	4,000.
Sisters of the Road 133 NW 6th Ave Portland, OR 97209		PC	Food justice program	4,000.
Sound Generations 2208 2nd Ave Ste 100 Seattle, WA 98121		PC	Meals on Wheels program for seniors	4,000.
Total from continuation sheets				

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
St. Anthony's 150 Golden Gate Ave San Francisco, CA 94102		PC	Job skills training program for low income men in recovery	5,000.
St. Francis House PO Box 156 Puyallup, WA 98371		PC	Computers for multi-service center for low income services	4,250.
Team AmVets 12345 Euclid St Garden Grove, CA 92840		PC	Homeless vet home furnishings program	4,000.
The Northwest Berry Foundation 5261 N Princeton St Portland, OR 97203		PC	Berry gleaning program	2,500.
The Sophia Way 1106 NE 2nd St Ste 223 Bellevue, WA 98004		PC	Support program for homeless women	4,000.
Treehouse 2100 24th Ave S Ste 200 Seattle, WA 98144		PC	Graduation success program for foster kids	4,000.
Union Gospel Mission 3 NW Third Ave Portland, OR 97209		PC	Homeless outreach program	5,000.
Venice Family Clinic 604 Rose Ave Venice, CA 90291		PC	Purchase of Bilirubin check machine	4,000.
Washington Healthcare Access Alliance PO Box 14506 Seattle, WA 98114		PC	Healthcare needs of low income people	5,000.
Way Back Inn PO Box 621 Renton, WA 98057		PC	Educational support for homeless families	5,000.
Total from continuation sheets				

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Whistlestop 930 Tamalpais Ave San Rafael, CA 94901		PC	Senior nutrition programs	5,000.
Wishing Well Foundation 16524 89th Ave E Puyallup, WA 98375		PC	Foster kids support programs	5,000.
YES-Youth Eastside Services 999 164th Ave NE Bellevue, WA 98008		PC	Early childhood mental health project	5,000.
Youth Care 2500 NE 54th St Seattle, WA 98105		PC	At-risk kids school and garden programs	4,000.
Youth Mentoring Connection 1818 S Western Ave Ste 505 Los Angeles, CA 90006		PC	"Get Your Future Together" program	3,000.
YWCA of Greater Portland PO Box 16130 Portland, OR 97292		PC	Domestic violence services	4,000.
YWCA of Pierce County 405 Broadway Tacoma, WA 98402		PC	Computer lab for legal services program for abused women	2,500.
Total from continuation sheets				

Form 990-PF | Other Income | Statement 1

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Royalties	193,418.	193,418.	
Total to Form 990-PF, Part I, line 11	193,418.	193,418.	

Form 990-PF | Accounting Fees | Statement 2

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bookkeeping and tax preparation	6,019.	3,009.		3,010.
To Form 990-PF, Pg 1, ln 16b	6,019.	3,009.		3,010.

Form 990-PF | Other Professional Fees | Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Royalty interest management fee	15,270.	15,270.		0.
Investment management fee	39,203.	39,203.		0.
To Form 990-PF, Pg 1, ln 16c	54,473.	54,473.		0.

Form 990-PF	Taxes	Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax on net investment income	7,000.	0.		0.
To Form 990-PF, Pg 1, ln 18	7,000.	0.		0.

Form 990-PF	Other Expenses	Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative costs	475.	0.		475.
To Form 990-PF, Pg 1, ln 23	475.	0.		475.

Form 990-PF	Corporate Stock	Statement 6

Description	Book Value	Fair Market Value
Equity securities - attached schedule	1,434,154.	1,866,782.
Total to Form 990-PF, Part II, line 10b	1,434,154.	1,866,782.

Form 990-PF	Corporate Bonds	Statement 7

Description	Book Value	Fair Market Value
Debt securities - attached schedule	24,136.	25,237.
Total to Form 990-PF, Part II, line 10c	24,136.	25,237.

Form 990-PF Other Investments Statement 8

Description	Valuation Method	Book Value	Fair Market Value
Mutual funds - attached schedule	COST	936,819.	992,384.
Mariano Rancho, LLC	COST	28,335.	406,667.
Royalty interest	COST	114,517.	1,075,099.
Total to Form 990-PF, Part II, line 13		1,079,671.	2,474,150.

Form 990-PF Part VIII - List of Officers, Directors Trustees and Foundation Managers Statement 9

Name and Address	Title and Avrg Hrs/Wk	Compen-sation	Employee Ben Plan Contrib	Expense Account
Allan D'Anneo 4112 Earnscliff Ave. Fair Oaks, CA 95628	President 0.50	0.	0.	0.
Peter T. Dobbins PO Box 271 San Carlos, CA 94070	Vice President 0.50	0.	0.	0.
Diana D. Seder 645 West 11th Street Claremont, CA 91711	Secretary 0.50	0.	0.	0.
Deborah Dobbins Warner PO Box 82270 Portland, OR 97282	Treasurer 0.50	0.	0.	0.
Andrea D'Anneo Ardzrooni 3001 Cameron Rd Elk, CA 95432	Trustee 0.50	0.	0.	0.
Roberta D'Anneo 1205 Filbert St San Francisco, CA 94109	Trustee 0.50	0.	0.	0.
Lewis M. Dobbins 16492 Jacks Road Nevada City, CA 95959	Trustee 0.50	0.	0.	0.

Susan C. Meland 5820 18th Street Court, NW Gig Harbor, WA 98335	Trustee 0.50	0.	0.	0.
Janet Simmonds Pollak 11 Garden Estates Ct Alamo, CA 94507	Trustee 0.50	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

CHARIS FUND
#94-6077619
Form 990-PF Year Ended 12/31/16

PART II, Lines 10 and 13
Investments

	Equities	Bonds	Mutual Funds	Total
Stifel 2168 pgs 2-4				
cost	287,794.79			287,794 79
market	350,649 93			350,649.93
Stifel 3552 pg 5				
cost		24,136 28	59,855 56	83,991 84
market		25,236.70	56,866.79	82,103 49
Stifel 8595 pgs 6-14				
cost	1,146,359.64		876,963 68	2,023,323.32
market	1,516,131 98		935,517 65	2,451,649 63
TOTAL				
cost	1,434,154 43	24,136 28	936,819 24	2,395,109 95
market	1,866,781 91	25,236 70	992,384 44	2,884,403.05

Form 990-PF Grant Application Submission Information Statement 10
Part XV, Lines 2a through 2d

Name and Address of Person to Whom Applications Should be Submitted

Applications should be submitted to EACH of the trustees listed in part VIII

Form and Content of Applications

Application should be written and sent by mail only. Additional details are provided on the attached page.

Any Submission Deadlines

March 31 and September 30

Restrictions and Limitations on Awards

The Trustees of the Fund prefer to give "seed money" to help initiate or expand programs that will, once established, develop ongoing and sustainable community support, rather than providing ongoing support to established programs and charities. We prefer to support novel programs aimed at the cause of a problem rather than "treating the symptoms." Charis normally does not give to the arts, nor to colleges and universities whose alumni/ae can provide needed financial support.

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
AT&T INC	T	383	42 5300	30 8584	4,470 22	750 68	4 61%
CUSIP 00206R102	**Cash**		16,288 99	11,818 77			
***Mixed							
ABBVIE INC	ABBV	229	62 6200	57 7899	1,106 10	586 24	4.09%
CUSIP 00287Y109	**Cash**		14,339 98	13,233.88			
***Covered							
BOEING COMPANY	BA	102	155 6800	129 2439	2,696 48	444 72	2 80%
CUSIP 097023105	**Cash**		15,879 36	13,182.88			
***Covered							
CISCO SYSTEMS INC	CSCO	588	30 2200	21 7357	4,988 76	611 52	3 44%
CUSIP 17275R102	**Cash**		17,769 36	12,780 60			
***Covered							

Form 990PF, Part II, Line 10
INVESTMENTS, Page 2 of 14

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
COCA-COLA COMPANY CUSIP 191216100 ***Covered	KO **Cash**	328	41 4600 13,598 88	40 9776 13,440 66	158 22	459 20	3 38%
DIGITAL REALTY TRUST INC CUSIP. 253868103 ***Covered	DLR **Cash**	168	98 2600 16,507 68	62 3261 10,470 79	6,036 89	591 36	3 58%
DOW CHEMICAL COMPANY CUSIP 260543103 ***Covered	DOW **Cash**	277	57 2200 15,849 94	35 5960 9,860 09	5,989 85	509 68	3 22%
DUKE ENERGY CORP NEW CUSIP 26441C204 ***Covered	DUK **Cash**	180	77 6200 13,971 60	72 9738 13,135 29	836 31	615 60	4 41%
INTERNATIONAL PAPER COMPANY CUSIP. 460146103 ***Covered	IP **Cash**	345	53 0600 18,305 70	42.5482 14,679 14	3,626 56	638 25	3 49%
JPMORGAN CHASE & COMPANY CUSIP 46625H100 ***Covered	JPM **Cash**	192	86 2900 16,567 68	62 7668 12,051 22	4,516 46	368 64	2 23%
JOHNSON & JOHNSON CUSIP 478160104 ***Covered	JNJ **Cash**	142	115 2100 16,359 82	100.7981 14,313 33	2,046 49	454.40	2 78%
KIMBERLY CLARK CORP CUSIP 494368103 ***Covered	KMB **Cash**	120	114 1200 13,694 40	121 8725 14,624 70	-930 30	441.60	3 22%
KOHL'S CORP CUSIP 500255104 ***Covered	KSS **Cash**	317	49 3800 15,653 46	44 9000 14,233 29	1,420 17	634 00	4 05%
MICROSOFT CORP CUSIP 594918104 ***Covered	MSFT **Cash**	293	62 1400 18,207 02	34 9794 10,248 95	7,958 07	457 08	2 51%
OMEGA HEALTHCARE INVESTORS INC CUSIP 681936100 *Original Cost 10,808 92* ***Covered	OHI **Cash**	337	31 2600 10,534 62	30 8414 10,393 55	141 07	822 28	7 81%

Form 990PF, Part II, Line 10
INVESTMENTS, Page 3 **of** 14

STIFEL

A DOBBINS AND L DOBBINS AND
D SEDER AND R D'ANNEO TTEES
CHARIS TRUST DTD 12/26/38
EQUITY COMPASS MANAGED
ACCOUNT

January 1 -
December 31, 2016
Account Number: -2168

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss10	Estimated Annualized Income	Estimated Yield %
PFIZER INC CUSIP· 717081103 ***Covered	PFE **Cash**	462	32.4800 15,005 76	21 0618 9,730 56	5,275 20	591 36	3 94%
PROCTER & GAMBLE COMPANY CUSIP 742718109 ***Covered	PG **Cash**	163	84.0800 13,705 04	86 5183 14,102 49	-397 45	436 51	3 19%
SOUTHERN COMPANY CUSIP. 842587107 ***Mixed	SO **Cash**	328	49 1900 16,134 32	39 5341 12,967 18	3,167 14	734 72	4 55%
SPECTRA ENERGY CORP CUSIP· 847560109 ***Covered	SE **Cash**	430	41 0900 17,668 70	35 0108 15,054 65	2,614 05	696 60	3 94%
TARGET CORP CUSIP· 87612E106 ***Covered	TGT **Cash**	174	72 2300 12,568 02	58 2720 10,139.33	2,428 69	417 60	3 32%
VERIZON COMMUNICATIONS INC CUSIP 92343V104 ***Mixed	VZ **Cash**	291	53 3800 15,533 58	36 9344 10,747 91	4,785 67	672 21	4 33%
WELLS FARGO & CO NEW CUSIP 949746101 ***Covered	WFC **Cash**	249	55 1100 13,722 39	52.1135 12,976.27	746 12	378.48	2 76%
WELLTOWER INC CUSIP 95040Q104 *Original Cost 13,611 63* ***Covered	HCN **Cash**	191	66 9300 12,783 63	71 2527 13,609 26	-825 63	657 04	5 14%
Total Equities			**$350,649.93**	**$287,794.79**	**$62,855.14**	**$12,969.77**	**3.70%**

Form 990PF, Part II, Line 10
INVESTMENTS, Page 4 of 14

STIFEL

A DOBBINS L DOBBINS
D SEDER R D'ANNEO TTEES
THE CHARIS TRUST
U/A DATED 12/26/38

January 1 -
December 31, 2016
Account Number -3552

PORTFOLIO ASSETS - HELD AT STIFEL

Corporate/Government Bonds	*Symbol/ Bond Rating/ Type*	*Quantity*	*Current Price/ Current Value*	*Average Unit Cost/ Cost Basis*	*Accrued Income[6]*	*Unrealized Gain/(-)Loss[10]*	*Estimated Annualized Income*	*Estimated Yield %*
DAYTON HUDSON CORP DEBENTURE CPN 9.875% DUE 07/01/20 DTD 07/01/90 FC 01/01/91 CUSIP 239753BC9 *Original Cost: 12,348.03* ***Noncovered	S&P: A Moody: A2 **Cash**	10,000	124.1220 12,412 20	12,348.03	493.75	1,693.30	987.50	7.96%
TIME WARNER INC DEBENTURE CPN 9 150% DUE 02/01/23 DTD 02/04/93 FC 08/01/93 CUSIP 887315AM1 *Original Cost: 11,788.25* ***Noncovered	S&P: BBB Moody. Baa2 **Cash**	10,000	128.2450 12,824.50	11,788.25	381 25	1,984.73	915.00	7 13%
Total Corporate/Government Bonds		20,000	$25,236.70	$24,136.28	$875.00	$3,678.03	$1,902.50	7.54%

Principal Protected Notes are subject to the credit risk of the issuer. Principal Protected Market Linked CDs are subject to applicable limits

Mutual Funds	*Symbol/ Type*	*Quantity*	*Current Price/ Current Value*	*Average Unit Cost/ Cost Basis*	*Original Investment[7]/ Cumulative Return[8]*	*Unrealized Gain/(-)Loss[10]*	*Estimated Annualized Income*	*Estimated Yield %*
Open-End Funds								
LOOMIS SAYLES STRATEGIC INCOME CL C CUSIP 543487268 *Dividend Option: Reinvest* ***Mixed	NECZX **Cash**	3,996 261	14.2300 56,866 79	14.9779 59,855.56	35,941.28 20,925.51	-2,988 75	1,043.02	1.83%
Total Mutual Funds			$56,866.79	$59,855.56		-$2,988.75	$1,043.02	1.83%

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INVESTMENTS, Page 5 of 14

STIFEL

A DOBBINS, L DOBBINS,
D SEDER & R D'ANNEO TTEES
THE CHARIS TR U/A DTD 12/26/38
HORIZON ACCOUNT

January 1 -
December 31, 2016
Account Number ;-8595

PORTFOLIO ASSETS - HELD AT STIFEL

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
AT&T INC CUSIP 00206R102 *Dividend Option Reinvest* ***Covered	T **Cash**	570	42 5300 24,242 10	37 2726 21,245 40	2,996 70	1,117 20	4 61%
ALCOA UPSTREAM CORP CUSIP 013872106 *Dividend Option· Reinvest* *Original Cost 5,876 51* ***Covered /Wash	AA **Cash**	229	28 0800 6,430 32	26 9619 6,174 28	256 02	N/A	N/A
ALPHABET INC CL C CUSIP 02079K107 *Dividend Option Reinvest* ***Noncovered	GOOG **Cash**	40	771 8200 30,872 80	240 4915 9,619 66	21,253 14	N/A	N/A

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INVESTMENTS, Page 6 of 14

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss10	Estimated Annualized Income	Estimated Yield %
ALPHABET INC CL A CUSIP 02079K305 *Dividend Option Reinvest* ***Mixed	GOOGL **Cash**	70	792 4500 55,471 50	462 6077 32,382 54	23,088 96	N/A	N/A
AMAZON COM INC CUSIP 023135106 *Dividend Option Reinvest* ***Covered	AMZN **Cash**	65	749 8700 48,741 55	766 3531 49,812 95	-1,071 40	N/A	N/A
AMGEN INC CUSIP 031162100 *Dividend Option Reinvest* ***Covered	AMGN **Cash**	303 899	146 2100 44,433 07	155 1342 47,145 13	-2,712 06	1,397 93	3 15%
APPLE INC CUSIP. 037833100 *Dividend Option Reinvest* ***Mixed	AAPL **Cash**	675 770	115 8200 78,267 68	38 1770 25,798 90	52,468 79	1,540 75	1 97%
ARCONIC INC CUSIP 03965L100 *Dividend Option Reinvest* *Original Cost 14,484 15* ***Covered /Wash	ARNC **Cash**	690 043	18 5400 12,793 39	22 0494 15,215 01	-2,421 61	248 41	1 94%
BANK AMERICA CORP CUSIP 060505104 *Dividend Option Reinvest* ***Covered	BAC **Cash**	3,042 541	22 1000 67,240 14	13 5510 41,229 34	26,010 81	912 76	1 36%
BRISTOL MYERS SQUIBB COMPANY CUSIP 110122108 *Dividend Option Reinvest* ***Covered	BMY **Cash**	723 379	58 4400 42,274 26	41 4947 30,016 38	12,257 89	1,128 47	2 67%
CHEVRON CORP CUSIP 166764100 *Dividend Option Reinvest* ***Mixed	CVX **Cash**	138 046	117 7000 16,248 01	62 9945 8,696 14	7,551 85	596 35	3 67%
CITIGROUP INC NEW CUSIP 172967424 *Dividend Option Reinvest* ***Covered	C **Cash**	1,088 201	59 4300 64,671 78	47 9769 52,208 50	12,463 29	696 44	1 08%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
COSTCO WHOLESALE CORP CUSIP 22160K105 *Dividend Option Reinvest* ***Covered	COST **Cash**	115 968	160 1100 18,567 63	99 8401 11,578 26	6,989 37	208 74	1 12%
DELTA AIRLINES INC NEW CUSIP 247361702 *Dividend Option Reinvest* ***Covered	DAL **Cash**	253 977	49 1900 12,493 12	37 4367 9,508 06	2,985 08	205.72	1.65%
DEUTSCHE TELEKOM AG SPON ADR CUSIP 251566105 *Dividend Option Reinvest* ***Covered	DTEGY **Cash**	482	17 1000 8,242 20	16 5696 7,986 54	255 66	300.57	3 65%
WALT DISNEY CO CUSIP 254687106 *Dividend Option. Reinvest* ***Mixed	DIS **Cash**	233 928	104 2200 24,379 97	40 9282 9,574.26	14,805.72	364.92	1 50%
FACEBOOK INC CL A CUSIP 30303M102 *Dividend Option Reinvest* ***Covered	FB **Cash**	370	115 0500 42,568 50	105 8488 39,164 07	3,404 43	N/A	N/A
GENERAL ELECTRIC COMPANY CUSIP 369604103 *Dividend Option Reinvest* ***Mixed	GE **Cash**	1,765 322	31 6000 55,784 17	19 6669 34,718 40	21,065 77	1,694 70	3.04%
INTERNATIONAL PAPER COMPANY CUSIP 460146103 *Dividend Option Reinvest* ***Covered	IP **Cash**	554 810	53 0600 29,438 21	45.7989 25,409 69	4,028 53	1,026 39	3 49%
JPMORGAN CHASE & COMPANY CUSIP 46625H100 *Dividend Option Reinvest* ***Covered	JPM **Cash**	619 761	86 2900 53,479 17	57.8374 35,845 35	17,633 83	1,189 94	2 23%
JOHNSON & JOHNSON CUSIP 478160104 *Dividend Option Reinvest* *Original Cost 12,781 16* ***Mixed /Wash	JNJ **Cash**	194 037	115 2100 22,355 00	65 8791 12,782 99	9,572 01	620 91	2 78%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss10	Estimated Annualized Income	Estimated Yield %
LILLY ELI & COMPANY CUSIP 532457108 *Dividend Option Reinvest* ***Covered	LLY **Cash**	454 736	73 5500 33,445 83	51 3325 23,342 75	10,103 10	945 85	2 83%
MASTERCARD INC CL A CUSIP 57636Q104 *Dividend Option Reinvest* ***Covered	MA **Cash**	489 293	103 2500 50,519.50	61 2841 29,985 87	20,533 63	430 57	0 85%
MERCK & COMPANY INC NEW CUSIP 58933Y105 ***Covered	MRK **Cash**	210	58 8700 12,362 70	60 4690 12,698 49	-335 79	394 80	3 19%
MICROSOFT CORP CUSIP 594918104 *Dividend Option Reinvest* ***Covered	MSFT **Cash**	836 647	62 1400 51,989.24	48 6143 40,672 97	11,316 27	1,305 16	2.51%
MICRON TECHNOLOGY INC CUSIP 595112103 *Dividend Option Reinvest* ***Covered	MU **Cash**	1,300	21 9200 28,496 00	12 0699 15,690 87	12,805 13	N/A	N/A
NESTLE S A SPNSD ADR REPSTING REG SHS CUSIP 641069406 *Dividend Option· Reinvest* ***Mixed	NSRGY **Cash**	221 613	71 7400 15,898 51	59.1091 13,099 34	2,799 19	514 07	3 23%
NIKE INC CL B CUSIP 654106103 *Dividend Option Reinvest* ***Mixed	NKE **Cash**	410 270	50 8300 20,854 02	22 2811 9,141 28	11,712 73	295 39	1 42%
NOKIA CORP SPON ADR CUSIP 654902204 *Dividend Option Reinvest* ***Covered	NOK **Cash**	1,695	4 8100 8,152 95	4 7290 8,015 66	137 29	490 87	6 02%
PFIZER INC CUSIP 717081103 *Dividend Option Reinvest* ***Covered	PFE **Cash**	1,446 716	32 4800 46,989 33	32 3864 46,853 97	135 37	1,851 79	3 94%

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INVESTMENTS, Page 9 of 14

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
ROCHE HOLDING LIMITED SPONSORED ADR CUSIP· 771195104 *Dividend Option Reinvest* ***Covered	RHHBY **Cash**	1,080 309	28 5300 30,821 21	23 6861 25,588 30	5,232 92	1,099 53	3 57%
SILVER SPRING NTWKS INC CUSIP 82817Q103 *Dividend Option Reinvest* ***Covered	SSNI **Cash**	1,500	13 3100 19,965 00	13 8493 20,774 00	-809 00	N/A	N/A
STARBUCKS CORP CUSIP 855244109 *Dividend Option Reinvest* ***Covered	SBUX **Cash**	557 086	55 5200 30,929 41	26 3626 14,686 24	16,243 19	557 08	1 80%
TESLA MOTORS INC CUSIP 88160R101 *Dividend Option Reinvest* ***Covered	TSLA **Cash**	75	213 6900 16,026 75	282 8500 21,213 75	-5,187 00	N/A	N/A
THERMO FISHER SCIENTIFIC INC CUSIP 883556102 *Dividend Option. Reinvest* ***Covered	TMO **Cash**	135	141 1000 19,048 50	148 8894 20,100 07	-1,051 57	81 00	0 43%
UNILEVER PLC SPONSORED ADR NEW CUSIP 904767704 *Dividend Option Reinvest* ***Covered	UL **Cash**	1,061 164	40 7000 43,189 37	39 9183 42,359 90	829 46	1,466 52	3 40%
VERIZON COMMUNICATIONS INC CUSIP 92343V104 *Dividend Option Reinvest* ***Covered	VZ **Cash**	460	53 3800 24,554 80	47 7847 21,980 94	2,573 86	1,062 60	4 33%
VISA INC CLASS A CUSIP 92826C839 *Dividend Option Reinvest* ***Covered	V **Cash**	552 963	78 0200 43,142 17	60 1996 33,288 17	9,854 01	364 95	0 85%
AMBARELLA INC CUSIP G037AX101 *Dividend Option Reinvest* ***Covered	AMBA **Cash**	425	54 1300 23,005 25	60 0705 25,529 95	-2,524 70	N/A	N/A

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INVESTMENTS, Page 10 **of** 14

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Equities	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
DELPHI AUTOMOTIVE PLC CUSIP G27823106 *Dividend Option Reinvest* ***Covered	DLPH **Cash**	758 109	67 3500 51,058 64	73 0311 55,365 56	-4,306 93	879 40	1 72%
NXP SEMICONDUCTORS XXX SUBMITTED FOR TENDER N V CUSIP N65990017 ***Covered	**Cash**	460	98 0100 45,084 60	27 5900 12,691 38	32,393 22	N/A	N/A
BROADCOM LTD CUSIP Y09827109 *Dividend Option Reinvest* ***Covered	AVGO **Cash**	202 387	176 7700 35,775 94	153 5553 31,077 59	4,698 37	825 73	2 31%
ISHARES RUSSELL 1000 VALUE ETF CUSIP 464287598 *Dividend Option· Reinvest* ***Covered	IWD **Cash**	479 024	112.0300 53,665 05	98 6838 47,271 89	6,393 18	1,527 03	2 85%
SPDR DOW JONES INDUSTRIAL AVERAGE ETF CUSIP 78467X109 *Dividend Option· Reinvest* ***Covered	DIA **Cash**	110	197 5100 21,726 10	198 8199 21,870 19	-144 09	490 74	2 26%
WISDOMTREE LARGE CAP DIVIDEND ETF CUSIP 97717W307 *Dividend Option Reinvest* ***Covered	DLN **Cash**	380 933	79 9000 30,436 54	70 7438 26,948 66	3,487 90	803 92	2 64%
Total Equities			**$1,516,131.98**	**$1,146,359.64**	**$369,772.52**	**$28,637.20**	**1.89%**

Mutual Funds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment[7]/ Cumulative Return[8]	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
Open-End Funds								
FIRST EAGLE GLOBAL CL I CUSIP 32008F606 *Dividend Option Reinvest* ***Mixed	SGIIX **Cash**	430 145	54 4900 23,438 60	42 8374 18,426 31	N/A 23,438 60	5,012 29	89 47	0 38%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	*Symbol/ Type*	*Quantity*	*Current Price/ Current Value*	*Average Unit Cost/ Cost Basis*	*Original Investment[7]/ Cumulative Return[8]*	*Unrealized Gain/(-)Loss[10]*	*Estimated Annualized Income*	*Estimated Yield %*
Open-End Funds								
JOHN HANCOCK INCOME CL I CUSIP 410227839 *Dividend Option Reinvest* ***Covered	JSTIX **Cash**	14,259.869	6 3800 90,977 96	6 3631 90,736 89	88,060.00 2,917.96	241 07	2,909 01	3.20%
INCOME FUND OF AMERICA CL F2 CUSIP 453320822 *Dividend Option Reinvest* ***Mixed	AMEFX **Cash**	2,069 653	21 6500 44,807 98	17 0488 35,285 01	27,170 41 17,637 57	9,522 98	1,440 47	3 21%
JOHN HANCOCK STRATEGIC INCOME OPPTYS CL I CUSIP 47804A130 *Dividend Option Reinvest* *Original Cost 103,216 57* ***Mixed /Wash	JIPIX **Cash**	9,590 595	10 6100 101,756 21	10 7832 103,417 63	48,964 99 52,791 22	-1,661 41	2,637 41	2 59%
LOOMIS SAYLES STRATEGIC INCOME CL Y CUSIP: 543487250 *Dividend Option Reinvest* ***Mixed	NEZYX **Cash**	4,686 551	14 1000 66,080 36	14 0053 65,636 57	16,169.45 49,910 91	443 82	1,907 42	2 89%
NUVEEN STRATEGIC INCOME CL I CUSIP. 670678390 *Dividend Option Reinvest* *Original Cost 1,002 37* ***Covered /Wash	FCBYX **Cash**	95 142	10 5600 1,004 69	10 8222 1,029 65	N/A 1,004 69	-24 93	53 08	5 28%
NUVEEN REAL ESTATE SECS CL I CUSIP 670678507 *Dividend Option Reinvest* *Original Cost 26,495 75* ***Mixed	FARCX **Cash**	1,251 103	22 0700 27,611 84	21 1339 26,440 74	832 76 26,779 08	1,171 12	925 81	3 35%

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ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment[7]/ Cumulative Return[8]	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
Open-End Funds								
PIMCO INCOME CL P CUSIP 72201M719 *Dividend Option. Reinvest* ***Covered	PONPX **Cash**	5,231 874	12 0600 63,096 40	11 8173 61,826.59	60,000 00 3,096 40	1,269 81	3,421 64	5 42%
PIONEER STRAT INCOME CL Y CUSIP 723884409 *Dividend Option Reinvest* *Original Cost 154,113 13* ***Mixed /Wash	STRYX **Cash**	15,414 523	10 6200 163,702 23	9 9980 154,114 82	81,420 01 82,282 22	9,587 38	6,057 90	3 70%
PRUDENTIAL JENNISON HEALTH SCIENCES CL Z CUSIP 74441P866 *Dividend Option Reinvest* ***Mixed	PHSZX **Cash**	1,712 462	39 1700 67,077 13	31 0811 53,225 26	13,555 42 53,521 71	13,851 87	N/A	N/A
THORNBURG LTD TERM INCOME CL I CUSIP 885215681 *Dividend Option Reinvest* ***Covered	THIIX **Cash**	3,355 672	13 3400 44,764 66	13 2238 44,374 81	43,193 59 1,571 07	389 85	1,003 34	2 24%
TRANSAMERICA LARGE CAP VALUE CL A CUSIP 893509281 *Dividend Option Reinvest* ***Mixed	TWQAX **Cash**	4,021 764	12 5300 50,392 70	11 5907 46,614 86	25,000 00 25,392 70	3,777 82	603 26	1 20%

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STIFEL

A DOBBINS, L DOBBINS,
D SEDER & R D'ANNEO TTEES
THE CHARIS TR U/A DTD 12/26/38
HORIZON ACCOUNT

January 1 -
December 31, 2016
Account Number. 8595

ASSET DETAILS (continued)

PORTFOLIO ASSETS - HELD AT STIFEL (continued)

Mutual Funds	Symbol/ Type	Quantity	Current Price/ Current Value	Average Unit Cost/ Cost Basis	Original Investment[7]/ Cumulative Return[8]	Unrealized Gain/(-)Loss[10]	Estimated Annualized Income	Estimated Yield %
Closed-End Funds								
ALLIANZGI NFJ DIV INT & PREM STRATEGY CUSIP 01883A107 *Dividend Option: Reinvest* *Original Cost: 28,815 71* ***Covered	NFJ **Cash**	2,344 399	12.6100 29,562.87	12.1710 28,533.65	26,739.84 2,823 03	1,029.22	2,813 27	9.52%
BLACKROCK ENERGY & RESOURCES TRUST CUSIP 09250U101 *Dividend Option. Reinvest* *Original Cost. 31,721 53* ***Covered	BGR **Cash**	2,171 891	14 4400 31,362 09	14 5236 31,543 61	29,242 08 2,120 01	-181 52	2,022 46	6 45%
BLACKROCK ENHANCED EQUITY DIV TR CUSIP 09251A104 *Dividend Option Reinvest* *Original Cost 56,328 63* ***Mixed	BDJ **Cash**	7,729 511	8 1500 62,995 51	6 7003 51,789 71	36,384 00 26,611 51	11,205 77	4,331 61	6 88%
EATON VANCE ENHANCED EQUITY INCOME FUND II CUSIP 278277108 *Dividend Option: Reinvest* *Original Cost 22,497 24* ***Mixed	EOS **Cash**	2,024 086	12 8000 25,908 29	10 1530 20,550.52	12,745 45 13,162 84	5,357.77	2,125 29	8 20%
EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FUND CUSIP 27828N102 *Dividend Option Reinvest* *Original Cost 43,364 02* ***Covered /Wash	ETY **Cash**	3,959 240	10 3500 40,978 13	10 9660 43,417 05	38,050 38 2,927 75	-2,438 92	4,005 16	9 77%
Total Mutual Funds			**$936,517.65**	**$876,963.68**		**$58,553.99**	**$36,346.60**	**3.89%**

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Overview of the Charis Fund:
Charis Fund is a small, benevolent trust established by the Dobbins Family in 1938. Charis Fund supports projects primarily focusing on social welfare, health and health care, and education. Charis Fund is non-denominational; however, it often utilizes religious communities for program and service distribution.

Description of Grants:
The Trustees of Charis Fund prefer to give "seed money" to help initiate or expand programs that will, once established, develop ongoing and sustainable community support, rather than providing ongoing support to established programs and charities. We prefer to support novel programs aimed at the cause of a problem rather than "treating the symptoms". Charis normally does not give to "the arts", nor to colleges and universities whose alumni/ae can provide needed financial support. Charis is prohibited by law from giving to political or lobbying organizations or to only pre-selected charities. It is also prohibited from providing scholarships or sponsoring individuals. Charis grants typically fall in the $3,000 -$5,000 range, seldom exceeding $10,000.

Each group that Charis supports must be a nonprofit organization under the provision of Section 501(c)(3) of the Internal Revenue Code. The majority of organizations funded by Charis are located in the states of California, Oregon and Washington where the trustees reside. A Charis trustee might make a site visit to become familiar with your organization.

Charis Fund Trustees meet twice a year, usually in early May and November.

How to apply:
If your organization or project meets the above specifications and you wish to submit an application for consideration at our next meeting, please send a copy of your proposal along with the documentation specified below, to EACH of the trustees listed on Part VII (there are 9 trustees). Please make sure you are using the list from the most recent 990-PF because our trustees change occasionally. Grant requests must be received by each of the trustees by March 31 or September 30 so they may be reviewed prior to the appropriate meeting.

Please include the following information with your proposal:

1. The document determining that your organization is exempt for Federal Income Tax under Section 501(c)(3) of the Internal Revenue Code.
2. The document stating that your organization is not a private foundation within the meaning of Section 509(a) of the Code.
3. If your organization is in California, the Franchise Tax Board of the State of California requires a document indicating that you are exempt from taxation under the provisions of Revenue and Taxation Code Section 23701(d).
4. Your budget.
5. A list of your Board of Directors.
6. To help expedite your grant request, include a list of the members of the Charis Board to whom you have sent your proposal.

Thank you for your interest in the Charis Fund