



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation The Wallace Alexander Gerbode Foundation		A Employer identification number 94-6065226	
Number and street (or P O box number if mail is not delivered to street address) 127 University Avenue		B Telephone number (see instructions) (510) 982-4788	
City or town, state or province, country, and ZIP or foreign postal code Berkeley, CA 94710		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 32,688,149		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	615	615		
	4 Dividends and interest from securities	817,841	817,841		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	-2,071,348			
	b Gross sales price for all assets on line 6a _____ 31,870,418				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 126,014	3,147		
	12 Total. Add lines 1 through 11	-1,126,878	821,603		
	13 Compensation of officers, directors, trustees, etc	206,117	32,979		173,138
	14 Other employee salaries and wages	161,758	25,881		89,027
	15 Pension plans, employee benefits	48,547	7,768		42,730
	16a Legal fees (attach schedule)	 33,515			59,084
	b Accounting fees (attach schedule)	 59,726	32,489		1,827
	c Other professional fees (attach schedule)	 244,500	127,443		117,848
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 65,799			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	10,864	1,738		9,126
	21 Travel, conferences, and meetings	13,302			12,211
	22 Printing and publications	726	116		610
	23 Other expenses (attach schedule)	 38,124	753		34,488
	24 Total operating and administrative expenses. Add lines 13 through 23	882,978	229,167		540,089
	25 Contributions, gifts, grants paid	595,000			2,645,200
	26 Total expenses and disbursements. Add lines 24 and 25	1,477,978	229,167		3,185,289
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,604,856			
	b Net investment income (if negative, enter -0-)		592,436		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing	259	925,916	925,916		
	2	Savings and temporary cash investments	2,763,028	2,906,635	2,906,635		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable	175,000				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges	60,988	101,510	101,510		
	10a	Investments—U S and state government obligations (attach schedule)		891,766	891,766		
	b	Investments—corporate stock (attach schedule)		17,670,531	17,670,531		
	c	Investments—corporate bonds (attach schedule)		7,988,994	7,988,994		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)	59,467,564	1,763,257	1,763,257		
	14	Land, buildings, and equipment basis ▶ _____ 57,465 Less accumulated depreciation (attach schedule) ▶ 57,465	3,200				
15	Other assets (describe ▶ _____)	587,316	439,540	439,540			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	63,057,355	32,688,149	32,688,149			
Liabilities	17	Accounts payable and accrued expenses	105,395	82,950			
	18	Grants payable	2,500,200	450,000			
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)		47,170			
	23	Total liabilities (add lines 17 through 22)	2,605,595	580,120			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted	60,276,760	32,108,029			
	25	Temporarily restricted	175,000				
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see instructions)	60,451,760	32,108,029			
	31	Total liabilities and net assets/fund balances (see instructions) .	63,057,355	32,688,149			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 60,451,760
2	Enter amount from Part I, line 27a	2 -2,604,856
3	Other increases not included in line 2 (itemize) ▶ _____	3 2,693,550
4	Add lines 1, 2, and 3	4 60,540,454
5	Decreases not included in line 2 (itemize) ▶ _____	5 28,432,425
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 32,108,029

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Sales of Publicly Traded Securities	P	2000-01-01	2016-12-12
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,870,418		33,941,766	-2,071,348
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-2,071,348
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-2,071,348
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,242,689	63,691,553	0 03521
2014	3,257,517	64,487,765	0 05051
2013	3,205,442	60,871,381	0 05266
2012	3,349,382	56,712,498	0 05906
2011	3,817,508	60,465,082	0 06314

2 Total of line 1, column (d)	2	0 260580
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052116
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	42,317,389
5 Multiply line 4 by line 3	5	2,205,413
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,924
7 Add lines 5 and 6	7	2,211,337
8 Enter qualifying distributions from Part XII, line 4	8	3,185,289

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	5,924
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	5,924
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,924
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	99,094
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	99,094
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ▶	10	93,170
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 15,000 Refunded ▶	11	78,170

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T </i>	5	Yes
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► http://fdnweb.org/gerbode/	13	Yes	
14	The books are in care of ► LeventhalKline Management Telephone no ► (510) 982-4788			

Located at ► 127 University Avenue Berkeley CA

ZIP+4 ► 94710

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		☐ Yes ☒ No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☒ Yes ☐ No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	5b		No
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No <i>If "Yes" to 6b, file Form 8870</i>	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No	7b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Olivia Malabuyo 127 University Ave Berkeley, CA 94710	Admin Mgr 40 00	97,542	17,249	
Total number of other employees paid over \$50,000. ▶				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Leventhal Kline Management Inc 127 University Ave Berkeley, CA 94710	Management	86,250
Morgan Stanley 555 California St 14th Floor San Francisco, CA 94104	Investment Mgmt	127,443

Total number of others receiving over \$50,000 for professional services. ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 ►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	36,972,706
b	Average of monthly cash balances.	1b	5,514,403
c	Fair market value of all other assets (see instructions).	1c	474,707
d	Total (add lines 1a, b, and c).	1d	42,961,816
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	42,961,816
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	644,427
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	42,317,389
6	Minimum investment return. Enter 5% of line 5.	6	2,115,869

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,115,869
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	5,924
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,924
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,109,945
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,109,945
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,109,945

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,185,289
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,185,289
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	5,924
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,179,365

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,109,945
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.	831,100			
b From 2012.	485,063			
c From 2013.	213,324			
d From 2014.	174,135			
e From 2015.				
f Total of lines 3a through e.	1,703,622			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>3,185,289</u>				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				2,109,945
e Remaining amount distributed out of corpus	1,075,344			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,778,966			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	831,100			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,947,866			
10 Analysis of line 9				
a Excess from 2012.	485,063			
b Excess from 2013.	213,324			
c Excess from 2014.	174,135			
d Excess from 2015.				
e Excess from 2016.	1,075,344			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Stacie Ma'a
127 University Avenue
Berkeley, CA 94710
(510) 982-4788

b The form in which applications should be submitted and information and materials they should include

The Foundation is interested in programs and projects offering potential for significant impact. The primary focus is on the San Francisco Bay Area and Hawaii. The Foundation's interests generally fall under the following categories: arts and culture, environment, population, reproductive rights, citizen participation/building communities/inclusiveness, strength of the philanthropic process and the nonprofit sector, Foundation-initiated special projects. Preferred form of contact: letter of inquiry with short description of the project. Initial contact should not include materials (videotapes, etc.) requiring return. In the event that materials must be returned, an explicit request for their return and a self-addressed, stamped envelope must be provided. The Foundation does not accept unsolicited applications. Applications are accepted on an ongoing basis. Special awards cycles are usually announced in May.

c Any submission deadlines

Accepted on an ongoing basis

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

To qualify for support, an organization must be a tax-exempt public charity, as determined by internal revenue code section 501(c)(3). The Foundation generally does not support direct services, deficit budgets, general operating funds, building or equipment funds, general fundraising campaigns, religious purposes, private schools, publications, scholarships, or grants to individuals.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Grant Statement See Grant Statement See Grant Statement, CA 94710		PC	See Grant Statement	2,645,200
Total			▶ 3a	2,645,200
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	300,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Print/Type preparer's name Carol Duffield	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01257136
Firm's name ▶ Fontanello Duffield & Otake LLP				Firm's EIN ▶
Firm's address ▶ 44 Montgomery Street Suite 1305 San Francisco, CA 94104				Phone no (415) 983-0200

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Sharon Gerbode 127 University Avenue Berkeley, CA 94710	Chair 5 00	0		
Frank A Gerbode 127 University Avenue Berkeley, CA 94710	V Chair/Tres 5 00	0		
Colin Gerbode 127 University Avenue Berkeley, CA 94710	V Chair/Sec 5 00	0		
Stacie Maa 127 University Avenue Berkeley, CA 94710	Pres/Ass't Sec 40 00	168,000	38,117	
Ian Gerbode 127 University Avenue Berkeley, CA 94710	Director 5 00	0		
Maryanna Stockholm til 122016 127 University Avenue Berkeley, CA 94710	Director 5 00	0		
Charles Stockholm til 122016 127 University Avenue Berkeley, CA 94710	Director 5 00	0		

TY 2016 Accounting Fees Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Audit and Tax Services	59,726	32,489	0	1,827

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Expenditure Responsibility Statement

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 16000303

Software Version: 2016v3.0

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
The Each Foundation	501 Silverside Road Suite 123 Wilmington, DE 19809	2016-05-11	9,438,098	Support tax-exempt public charity groups	588,007	No		2017-03-30	All funds expended by grantee were verified to reporting provided No portion of the funds were diverted from the purpose of the grant(s) to the knowledge of the grantor
Marin Idea Fund	501 Silverside Road Suite 123 Wilmington, DE 19809	2016-06-13	9,478,245	Support tax-exempt public charity groups	810,007	No		2017-03-30	All funds expended by grantee were verified to reporting provided No portion of the funds were diverted from the purpose of the grant(s) to the knowledge of the grantor
Nature Defense Foundation	501 Silverside Road Suite 123 Wilmington, DE 19809	2016-04-26	9,516,082	Support tax-exempt public charity groups	949,769	No		2017-03-30	All funds expended by grantee were verified to reporting provided No portion of the funds were diverted from the purpose of the grant(s) to the knowledge of the grantor

TY 2016 Investments Corporate Bonds Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 16000303

Software Version: 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPMorgan Chase, 6%, 8/1/23	276,031	276,031
Bank of America, 5.75%, 12/1/17	362,547	362,547
JPMorgan Chase, 6%, 1/15/18	678,035	678,035
American Intl Group, 5.85%, 1/16/18	417,108	417,108
Goldman Sachs Group, 5.95%, 1/18/18	677,131	677,131
Wachovia Corp, 5.75%, 2/1/18	364,910	364,910
Oracle Corp, 5.75%, 4/15/18	633,876	633,876
Amgen Inc, 6.15%, 6/1/18	371,193	371,193
UnitedHealth Group, 1.9%, 7/16/18	602,664	602,664
Microsoft Corp, 2.7%, 2/12/25	171,477	171,477
AT&T Inc, 3.4%, 5/15/25	361,432	361,432
Comcast Corp, 3.375%, 8/15/25	276,661	276,661
IBM Corp, 3.45%, 2/19/26	281,347	281,347
Apple Inc, 3.25%, 2/23/26	275,146	275,146
Cisco Systems, 2.95%, 2/28/26	272,324	272,324
Wal-Mart Stores, 5.625%, 4/15/41	466,350	466,350
Verizon Communications, 4.75%, 11/1/41	370,294	370,294
Target Corp, 4.0%, 7/1/42	372,915	372,915
Pepsico Inc, 4.25%, 10/22/44	387,274	387,274
Philip Morris Intl, 4.25%, 11/10/44	370,279	370,279

TY 2016 Investments Corporate Stock Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 16000303

Software Version: 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Abbvie Inc, 6,382 Shs	399,641	399,641
Advantest Corp, 2,578 Shs	42,767	42,767
Alibaba Group, 1,477 Shs	129,695	129,695
Alphabet Inc, 552 Shs	437,432	437,432
Amazon, 700 Shs	524,909	524,909
Amer Intl GP, 7,389 Shs	482,576	482,576
American Tower REIT, 2,901 Shs	306,578	306,578
Anadarko Pete, 5,263 Shs	366,989	366,989
Apple, 3,799 Shs	440,000	440,000
Baidu, 2,288 Shs	376,170	376,170
Berkshire Hathaway CL-B, 3,184 Shs	518,928	518,928
Blackrock, 1,130 Shs	430,010	430,010
Bristol Meyers Squibb, 5,400 Shs	315,576	315,576
Cdn Pacific Ry Ltd, 2,039 Shs	291,108	291,108
Celgene, 3,929 Shs	454,782	454,782
China Lodging Grp Ltd, 1,293 Shs	67,029	67,029
China Mobile Ltd, 1,552 Shs	81,371	81,371
Chubb Ltd, 3,057 Shs	403,891	403,891
Coca Cola, 6,533 Shs	270,858	270,858
Conagra Brands, 8,111 Shs	320,790	320,790
Constellation Brands CL-A, 2,500 Shs	383,275	383,275
Crown Castle Intl, 3,951 Shs	342,828	342,828
CTrip.com Intl, 705 Shs	28,200	28,200
Dr Reddy's Labs Ltd, 2,915 Shs	131,991	131,991
Facebook CL-A, 5,375 Shs	618,394	618,394
Fedex Corp, 2,501 Shs	465,686	465,686
Fuji Heavy Inds Ltd, 4,720 Shs	95,816	95,816
HDFC Bank Ltd, 1,853 Shs	112,440	112,440
Home Depot, 3,513 Shs	471,023	471,023
HSBC Holdings Plc Spon ADR, 2,730 Shs	109,691	109,691

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Icici Bank Ltd, 11,769 Shs	88,150	88,150
JP Morgan Chase, 7,340 Shs	633,369	633,369
Lockheed Martin, 1,665 Shs	416,150	416,150
Lyondellbasell CL-A, 5,101 Shs	437,564	437,564
Makemytrip, 1,896 Shs	42,091	42,091
Microsoft Corp, 7,652 Shs	475,495	475,495
Nestle Spon ADR, 4,700 Shs	337,178	337,178
New Oriental Ed & Tech Grp, 1,378 Shs	58,014	58,014
Nippon Telegraph & Telephone, 743 Shs	31,258	31,258
NTT Docomo Inc, 2,617 Shs	59,537	59,537
Oracle, 3,000 Shs	115,350	115,350
Paypal Hldgs, 12,551 Shs	495,388	495,388
Phillips 66 Com, 4,050 Shs	349,960	349,960
PT Telekomunikasi Indonesia, 2,047 Shs	59,691	59,691
Qualcomm, 5,999 Shs	391,135	391,135
Schlumberger Ltd, 5,785 Shs	485,651	485,651
Sony Corp Adr 1974, 4,284 Shs	120,080	120,080
Starbucks Corp, 546 Shs	30,314	30,314
Sumitomo Mitsui Finl Group, 14,558 Shs	111,223	111,223
Taiwan Smcndctr Mfg, 543 Shs	15,611	15,611
Tata Motors, 3,570 Shs	122,772	122,772
Tencent Hldgs Ltd, 3,313 Shs	80,241	80,241
Toyota Motor CP Adr, 269 Shs	31,527	31,527
United Technologies Corp, 4,480 Shs	491,098	491,098
Vedanta Ltd, 4,495 Shs	55,828	55,828
Visa Inc, 6,200 Shs	483,724	483,724
Weibo Corporation, 1,229	49,897	49,897
Wells Fargo & Co, 8,306 Shs	457,744	457,744
Yum China Hldgs, 3,155 Shs	82,409	82,409
Alexander & Baldwin Inc, 20,454 Shs	917,771	917,771

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Matson Inc, 20,454 Shs	723,867	723,867

TY 2016 Investments Government Obligations Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 16000303

Software Version: 2016v3.0

**US Government Securities - End
of Year Book Value:**

891,766

**US Government Securities - End
of Year Fair Market Value:**

891,766

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2016 Investments - Other Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Danco Investors Group, LP	FMV	126,994	126,994
Deutsche X-Trackers Harv 300, 4,615 Shs	FMV	108,222	108,222
iShares MSCI India ETF, 6,605 Shs	FMV	177,080	177,080
Vanguard FTSE Europe ETF, 21,961 Shs	FMV	1,052,810	1,052,810
Wisdomtree Tr Jpn Scap Hdg, 4,091 Shs	FMV	148,094	148,094
Wisdomtree Trust Japn Hedge, 3,029 Shs	FMV	150,057	150,057

**TY 2016 Land, Etc.
Schedule****Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	57,465	57,465		

TY 2016 Legal Fees Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Fees	33,515	0	0	59,084

TY 2016 LiquidationExplanationStmnt

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 16000303

Software Version: 2016v3.0

Statement: In late 2015, the Board of Trustees approved the restructure of The Wallace Alexander Gerbode Foundation as follows: half of the assets would go to three organizations in the form of expenditure responsibility grants, and the other half would remain with the Gerbode Foundation. The amount of the three expenditure responsibility grants paid in 2016 was \$28,432,425. In anticipation of the restructure in 2016, the Board also approved final grant payments to all of its current grantees. As a result, an additional \$590,000 in grants were approved and paid in 2016.

TY 2016 Other Assets Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Income		121,352	121,352
Program-Related Investment	587,316	318,188	318,188

TY 2016 Other Decreases Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Amount
Spin-off Grants to 3 Foundations	28,432,425

TY 2016 Other Expenses Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 16000303

Software Version: 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank Service Charges	130			130
Data and List Management	5,000			5,000
Equipment Expenses	9,119			9,119
Insurance	1,326	212		1,114
Loss on Disposal of Conference Table	3,200			
Moving and Storage	4,117			4,262
Payroll Processing	1,747			1,747
Postage	2,472			2,338
Software	1,107			1,094
Supplies	3,381	541		3,215

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Taxes and Licenses	160			160
Telephone	5,690			5,634
Web Hosting	675			675

TY 2016 Other Income Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Cancelled Grant	50,000		
Partnership Income	21,562	3,147	
PRI Ordinary Income	54,452		

TY 2016 Other Liabilities Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred Excise Tax Liability		47,170

TY 2016 Other Professional Fees Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Fees	127,443	127,443	0	0
IT Support	29,807	0	0	29,042
Management Fees	86,250	0	0	86,250
Program Consultants	1,000	0	0	2,556

TY 2016 Taxes Schedule**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Tax	65,799			

Grant Statement
Form 990-PF, Par XV, Line 3a
Schedule of Grants Paid in 2016

Alliance For Justice 11 Dupont Circle, NW, 2nd Floor Washington, DC 20036	N/A	PC	Program Support	\$ 25,000
American Civil Liberties Union Foundation 39 Drumm Street San Francisco CA 94111	N/A	PC	Program Support	\$ 50,000
American Civil Liberties Union Foundation 39 Drumm Street San Francisco, CA 94111	N/A	PC	Program Support	\$ 25,000
Asian American-Pacific Islanders In Philanthropy 2201 Broadway, Suite 720 Oakland, CA 94612	N/A	PC	Program Support	\$ 15,000
Asian Americans Advancing Justice-Asian Law Caucus 55 Columbus Avenue San Francisco, CA 94111	N/A	PC	Program Support	\$ 50,000
Asian Pacific Environmental Network 426 17th Street, Suite 500 Oakland, CA 94612	N/A	PC	Program Support	\$ 25,000
Bay Area Leadership Action Network 7700 Edgewater Drive, Suite 130 Oakland, CA 94621	N/A	PC	Program Support	\$ 25,000
Bay Org (The Bay Institute) c/o Aquarium of the Bay The Embarcadero at Beach Street San Francisco, CA 94133	N/A	PC	Program Support	\$ 50,000
Baykeeper 1736 Franklin Street, Suite 800 Oakland, CA 94612	N/A	PC	Program Support	\$ 50,000
California Lawyers For The Arts Inc Fort Mason Center, 2 Marina Boulevard Building C, Suite 265 San Francisco, CA 94123	N/A	PC	Program Support	\$ 25,000
California Reinvestment Coalition 474 Valencia Street Suite 230 San Francisco, CA 94103	N/A	PC	Program Support	\$ 25,000
California Voter Foundation PO Box 189277 Sacramento, CA 94103	N/A	PC	Program Support	\$ 25,000
Californians For Justice Education Fund, Inc 520 3rd Street, Suite 204 Oakland, CA 94607	N/A	PC	Program Support	\$ 25,000

Grant Statement
Form 990-PF, Par XV, Line 3a
Schedule of Grants Paid in 2016

Center For Investigative Reporting, Inc 1400 65th Street, Suite 200 Emeryville, CA 94608	N/A	PC	Program Support	\$ 25,000
Chinese For Affirmative Action The Kuo Building 17 Walter U Lum Place San Francisco, CA 94108	N/A	PC	Program Support	\$ 25,000
Coleman Advocates For Children & Youth 459 Vienna Street San Francisco, CA 94115	N/A	PC	Program Support	\$ 25,000
Common Cause Education Fund 1133 19th Street, NW, 9th Floor Washington, DC 20036	N/A	PC	Program Support	\$ 25,000
Commonwealth Club Of California 555 Post Street San Francisco, CA 94110	N/A	PC	Program Support	\$ 25,000
Community Music Center 544 Capp Street San Francisco, CA 94102	N/A	PC	Organizational Development	\$ 45,000
Community Works West Inc 4681 Telegraph Avenue Oakland, CA 94609	N/A	PC	Program Support	\$ 25,000
Counterpulse 1310 Mission Street San Francisco, CA 94103	N/A	PC	New work by Choreographer Dohee Lee	\$ 25,000
Earned Assets Resource Network 235 Montgomery Street, Suite 470 San Francisco, CA 94104	N/A	PC	Program Support	\$ 25,000
Environment Hawaii Inc 190 Keawe Street, Suite 29 Hilo, HI 96720	N/A	PC	Program Support	\$ 15,000
Forward Together 1440 Broadway, Suite 301 Oakland, CA 94612	N/A	PC	Program Support	\$ 50,000
Greatnonprofits Sobrato Nonprofit Center 330 Twin Dolphin Drive, Suite 131 Redwood City, CA 94065	N/A	PC	Program Support	\$ 25,000
Greenaction For Health And Environmental Justice 559 Ellis Street San Francisco, CA 94109	N/A	PC	Program Support	\$ 25,000
Greenlining Institute 1918 University Avenue, 2nd Floor Berkeley, CA 94704	N/A	PC	Program Support	\$ 50,000

Grant Statement
Form 990-PF, Par XV, Line 3a
Schedule of Grants Paid in 2016

Groundswell Fund 436 14th Street, Suite 723 Oakland, CA 94612	N/A	PC	Catalyst Fund Resources for Women of Color in Reproductive Justice	\$ 25,000
Hawaii Alliance Of Nonprofit Organization 1020 South Beretania Street, 2nd Floor Honolulu, HI 96814	N/A	PC	Program Support	\$ 25,000
Hawaii Community Foundation C Brewer Building 827 Fort Street Mall Honolulu, HI 96813	N/A	PC	Hawai'i Environmental Fundors Group	\$ 14,200
Hawaii Friends Of Civic And Law-Related Education PO Box 489 Waialua, HI 96791	N/A	PC	Program Support	\$ 25,000
Hewlett Foundation 2121 Sand Hill Road Menlo Park, CA 94025	N/A	PC	Honorarium	\$ 5,000
Immigrant Legal Resource Center 1663 Mission Street, Suite 109 San Francisco, CA 94103	N/A	PC	Program Support	\$ 25,000
Intersection For The Arts 925 Mission Street, Suite 109 San Francisco, CA 94103	N/A	PC	New work by Playwright Roger Guenveur Smith	\$ 25,000
Kronos Quartet 1242 Ninth Avenue San Francisco, CA 94122	N/A	PC	New work by Composer Jonathan Berger	\$ 25,000
Kua Aina Ulu Auamo 47-200 Waihe'e Road, c/o Key Project Kane'ohe, HI 96744	N/A	PC	Program Support	\$ 50,000
Law Students For Reproductive Justice 1730 Franklin Street, Suite 212 Oakland, CA 94612	N/A	PC	Program Support	\$ 25,000
Legal Community Against Violence (Law Center to Prevent Gun Violence) 268 Bush Street, Suite 555 San Francisco, CA 94104	N/A	PC	Program Support	\$ 40,000
Magic Theatre Inc Fort Mason Center, Landmark Bldg D 2 Marina Boulevard San Francisco, CA 94123	N/A	PC	New work by Paywright Jessica Hagedorn	\$ 25,000

Grant Statement
Form 990-PF, Par XV, Line 3a
Schedule of Grants Paid in 2016

Malama Maunaloa Hawaii Kai Towne Center 6600 Kalaniana'ole Hwy, Suite 212 Honolulu, HI 96825	N/A	PC	Program Support	\$ 50,000
Maplight 2223 Shattuck Avenue Berkeley, CA 94704	N/A	PC	Program Support	\$ 25,000
Medical Students For Choice PO Box 40188 Philadelphia, PA 19106	N/A	PC	Program Support	\$ 25,000
Mental Health America Of Hawaii Inc 1124 Fort Street Mall, Suite 205 Honolulu, HI 96813	N/A	PC	Program Support	\$ 25,000
Na Lei Hulu I Ka Wekiu Hula Halau 699 Pennsylvania Avenue, Suite 2 San Francisco, CA 94107	N/A	PC	Program Support	\$ 15,000
National Center For Family Philanthropy 1667 K Street, NW, Suite 550 Washington, DC 20036	N/A	PC	Program Support	\$ 1,000
National Committee For Responsive Philanthropy 1331 H Street NW, Suite 200 Washington, DC 20005	N/A	PC	Program Support	\$ 15,000
Nature Conservancy 923 Nu'uanu Avenue Honolulu, HI 96817	N/A	PC	Program Support	\$ 100,000
North East Exchange-Team San Francisco PO Box 330278 San Francisco, CA 94133	N/A	PC	Program Support	\$ 25,000
Northern California Grantmakers 160 Spear Street, Suite 360 San Francisco, CA 94105	N/A	PC	Program Support	\$ 15,000
Nursing Students For Choice 2356 University Avenue W, Suite 244 St Paul, MN 55114	N/A	PC	Program Support	\$ 20,000
ODC 351 Shotwell Street San Francisco, CA 94110	N/A	PC	New work by Choreographer Brenda Way	\$ 25,000
Our Family Coalition 1385 Mission Street, Suite 340 San Francisco, CA 94103	N/A	PC	Program Support	\$ 25,000
Pacific Institute For Studies In Development, Environment and Security 654 13th Street, Preservation Park Oakland, CA 94612	N/A	PC	Program Support	\$ 40,000

Grant Statement
Form 990-PF, Par XV, Line 3a
Schedule of Grants Paid in 2016

Pacific News Service 209 9th Street, Suite 200 San Francisco, CA 94103	N/A	PC	Program Support	\$ 25,000
People For Open Space, Inc / Greenbelt Alliance 312 Sutter Street, Suite 510 San Francisco, CA 94108	N/A	PC	Program Support	\$ 50,000
Peoples Fund 949 Kapiolani Blvd, Suite 100 Honolulu, HI 96814	N/A	PC	Program Support	\$ 25,000
Physicians For Reproductive Choice & Health Inc 55 West 39th Street, Suite 1001 New York, NY 10018	N/A	PC	Program Support	\$ 25,000
Prison University Project Inc PO Box 492 San Quentin, CA 94964	N/A	PC	Program Support	\$ 100,000
Project Bandaloop 2201 Broadway, Suite 210 Oakland, CA 94612	N/A	PC	New work by Choreographer Amelia Rudolph	\$ 25,000
Regents of the University Of California, Berkeley School of Social Welfare 120 Haviland Hall, Suite &400 Berkeley, CA 94720	N/A	PC	Program Support	\$ 25,000
San Francisco Foundation One Embarcadero Center, Suite 1400 San Francisco, CA 94111	N/A	PC	Great Communities Collaborative	\$ 75,000
San Francisco Green Film Festival Ninth Street Independent Film Center 145 9th Street, Suite 220 San Francisco, CA 94103	N/A	PC	Program Support	\$ 15,000
San Francisco Information Clearinghouse 325 Clementina Street San Francisco, CA 94103	N/A	PC	Program Support	\$ 25,000
San Francisco Parks Alliance 1663 Mission Street, Suite 320 San Francisco, CA 94103	N/A	PC	Program Support	\$ 25,000
San Francisco Planning & Urban Research Association 654 Mission Street San Francisco, CA 94105	N/A	PC	Program Support	\$ 25,000
San Francisco Village 3575 Geary Blvd San Francisco, CA 94118	N/A	PC	Program Support	\$ 25,000
San Jose Stage Company 490 South First Street San Jose, CA 95113	N/A	PC	New work by Playwright Luis Valdez	\$ 25,000

Grant Statement
Form 990-PF, Par XV, Line 3a
Schedule of Grants Paid in 2016

Self-Help For The Elderly 731 Sansome Street, Suite 100 San Francisco, CA 94111	N/A	PC	Program Support	\$ 25,000
Shotgun Players 1901 Ashby Avenue Berkeley, CA 94703	N/A	PC	New work by Playwright Geetha Reddy	\$ 25,000
Silicon Valley De-Bug 701 Lenzen Avenue San Jose, CA 95126	N/A	PC	Program Support	\$ 25,000
The Board Of Trustees Of The Leland Stanford Stanford University Development, 326 Galvez Street Stanford, CA 94305	N/A	PC	Program Support	\$ 200,000
The Working Group PO Box 70232 Oakland, CA 94612	N/A	PC	Program Support	\$ 25,000
Tides Center PO Box 29198 San Francisco, CA 94129	N/A	PC	Program Support	\$ 50,000
Tides Center PO Box 29198 San Francisco, CA 94129	N/A	PC	Program Support	\$ 25,000
Trust For Conservation Innovation 150 Post Street, Suite 342 San Francisco, CA 94129	N/A	PC	Program Support	\$ 25,000
Trust For Public Land 1003 Bishop Street, Pauahi Tower, Suite 740 Honolulu, HI 96813	N/A	PC	Program Support	\$ 100,000
Urban Habitat Program 1212 Broadway, Suite 500 Oakland, CA 94612	N/A	PC	Program Support	\$ 25,000
Yerba Buena Center For The Arts 701 Mission Street San Francisco, CA 94103	N/A	PC	New work by Choreographer Kim Epifano	\$ 25,000
Youth Law Center 200 Pine Street, Suite 300 San Francisco, CA 94104	N/A	PC	Program Support	\$ 15,000
Youth Speaks Inc 1663 Mission Stret, Suite 604 San Francisco, CA 94103	N/A	PC	New work by Playwright Paul S Flores	\$ 25,000
Z Space Studio 499 Alabama Street, Suite 450 San Francisco, CA 94110	N/A	PC	New work by Playwright Kate E Ryan	\$ 25,000
Total				\$ 2,645,200