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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2016

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service► Do not enter social security numbers on this form as it may be made public.  
► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation <b>The Paraklete Foundation</b>		A Employer identification number <b>94-3374734</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. Box 137</b>		B Telephone number (see instructions)
City or town, state or province, country, and ZIP or foreign postal code <b>Sunol, CA 94566</b>		C If exemption application is pending, check here ► <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here . . . ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here . . . ► <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <b>414,442.90</b>	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . ► <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	<b>19,628.00</b>			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	<b>0</b>	<b>0</b>	<b>N/A</b>	
	4 Dividends and interest from securities . . .	<b>21,702.98</b>	<b>21,702.98</b>		
	5a Gross rents . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	<b>13,785.59</b>			
	b Gross sales price for all assets on line 6a <b>182,071.93</b>				
	7 Capital gain net income (from Part IV, line 2) . . .		<b>13,785.59</b>		
	8 Net short-term capital gain . . .			<b>1,826.08</b>	
	9 Income modifications . . .				
	10a Gross sales less returns and allowances . . .				
b Less: Cost of goods sold . . .					
c Gross profit or (loss) (attach schedule) . . .					
11 Other income (attach schedule) . . .					
12 Total. Add lines 1 through 11 . . .	<b>55,116.57</b>	<b>35,488.57</b>	<b>1,826.08</b>		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages . . .				
	15 Pension plans, employee benefits . . .				
	16a Legal fees (attach schedule) . . .				
	b Accounting fees (attach schedule) . . .				
	c Other professional fees (attach schedule) . . .				
	17 Interest . . .				
	18 Taxes (attach schedule) (see instructions) . . .	<b>991.40</b>	<b>991.40</b>		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . .				
	21 Travel, conferences, and meetings . . .	<b>1,150.43</b>			<b>1,150.43</b>
	22 Printing and publications . . .				
	23 Other expenses (attach schedule) . . .	<b>163.38</b>			<b>163.38</b>
	24 Total operating and administrative expenses.				
Add lines 13 through 23 . . .	<b>2,305.21</b>	<b>991.40</b>	<b>1,826.08</b>	<b>1,313.81</b>	
25 Contributions, gifts, grants paid . . .	<b>27,190.29</b>			<b>27,190.29</b>	
26 Total expenses and disbursements. Add lines 24 and 25	<b>29,495.50</b>	<b>991.40</b>	<b>1,826.08</b>	<b>29,817.91</b>	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<b>25,621.07</b>				
b Net investment income (if negative, enter -0-) . . .		<b>34,497.17</b>			
c Adjusted net income (if negative, enter -0-) . . .			<b>0</b>		

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	<b>Beginning of year</b>	<b>End of year</b>	
			<b>(a) Book Value</b>	<b>(b) Book Value</b>	<b>(c) Fair Market Value</b>
<b>Assets</b>	1	Cash—non-interest-bearing . . . . .	18,844.61	2,077.59	2,077.59
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U.S. and state government obligations (attach schedule) . . . . .			
	b	Investments—corporate stock (attach schedule) . . . . .	176,082.22	248,630.44	248,630.44
	c	Investments—corporate bonds (attach schedule) . . . . .	171,118.02	163,734.87	163,734.87
	11	Investments—land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►) . . . . .				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	366,044.85	414,442.90	414,442.90	
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ►) . . . . .			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/></b> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/></b> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	366,044.85	414,442.90	414,442.90
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	366,044.85
2	Enter amount from Part I, line 27a . . . . .	2	25,621.07
3	Other increases not included in line 2 (itemize) ► <b>Unrealized Capital Gains</b> . . . . .	3	22,776.98
4	Add lines 1, 2, and 3 . . . . .	4	414,442.90
5	Decreases not included in line 2 (itemize) ► . . . . .	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	414,442.90

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brck warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>					
<b>b</b> Refer to Fidelity pages 3 of 32 through 7 of 32 attached				<b>P</b>	<b>various</b>
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b> 182,071.93		167,933.09	13,785.59		
<b>c</b>		+ 353.25 Fees			
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				<b>2</b>	13,785.59
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . .				<b>3</b>	1,826.08

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	29817.91	390,831.26	0.076
2014	26814.85	372538.42	0.072
2013	28554.49	372305.00	0.077
2012	25375.57	327200.25	0.078
2011	14918.15	265763	0.056
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 0.359
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 0.072
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 . . . . .			<b>4</b> 390,831.26
<b>5</b> Multiply line 4 by line 3 . . . . .			<b>5</b> 28,139.85
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			<b>6</b> 344.97
<b>7</b> Add lines 5 and 6 . . . . .			<b>7</b> 28,484.82
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 29,817.91

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	1	344	97
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3	Add lines 1 and 2 . . . . .	2	3	344
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		97
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	344	97
6	<b>Credits/Payments:</b>			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	300	
b	Exempt foreign organizations—tax withheld at source . . . . .	6b		
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d	Backup withholding erroneously withheld . . . . .	6d		
7	Total credits and payments. Add lines 6a through 6d . . . . .	7	300	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . ►	9	44	97
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . ►	10		
11	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> ►		Refunded	► 11

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . .	✓	
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	✓	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	✓	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> . . . . .	✓	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	✓	
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	✓	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> . . . . .	✓	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	✓	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i> . . . . .	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i> . . . . .	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	✓	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	✓	

**Part VII-A Statements Regarding Activities (continued)**

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <a href="http://www.theparakletefoundation.org">www.theparakletefoundation.org</a>	13	✓
14	The books are in care of ► Dennis R Smith Located at ► 626 Abbie Street Pleasanton, CA	Telephone no. ► 925-461-5053 ZIP+4 ► 94566-7402	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <b>15</b>		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ► <input type="checkbox"/>		
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
2b	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .		
3a	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3b	3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4a	b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) . . . . .		✓
4b	4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? . . . . .		✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).					
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  If "Yes" to 6b, file Form 8870.			6b	✓
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dennis R Smith 626 Abble Street Pleasanton, CA 94566	Director 1	0	0	0
Judith A Smith 626 Abble Street Pleasanton, CA 94566	Secretary 0.7	0	0	0
Kara M Locke 1161 N. Country Club Drive, Stockton, CA 95204	Treasurer 0.3	0	0	0
Charles L. Locke 1161 N. Country Club Drive, Stockton, CA 95204	Trustee 0.2	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anthony C Smith 1679 Fourth Street, Livermore, CA	Trustee 0.2	0	0	0
Michelle M Smith 1679 Fourth Street, Livermore, CA	Trustee 0.2	0	0	0
<b>Total number of other employees paid over \$50,000</b>				None

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
<b>Total number of others receiving over \$50,000 for professional services</b>	...	► None

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 The only activity of The Paraklete Foundation is to make grants to organizations for education or training for life skills or financial independence, increased knowledge or experience necessary for a Christ-honoring life, assistance or training to enable Christian work within a lower economic community and encouragement with special talents and abilities to utilize those gifts in Christ-honoring or Christ-proclaiming ways.	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
<b>Total. Add lines 1 through 3</b>	►

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	387,691
b	Average of monthly cash balances	1b	9,092
c	Fair market value of all other assets (see instructions)	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	396,783
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	396,783
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	5,951.74
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	390,831.26
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	19,541.56

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	19,541.56
2a	Tax on investment income for 2016 from Part VI, line 5	2a	344.97
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	344.97
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	19,196.59
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	19,196.59
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	19,196.59

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	29,817.91
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,817.91
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	344.97
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	29,472.94

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7 . . . . .				19,196.59
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only . . . . .			0	
b Total for prior years: 20 ____,20 ____,20 ____		0		
3 Excess distributions carryover, if any, to 2016:				
a From 2011 . . . . .				
b From 2012 . . . . .				
c From 2013 . . . . .				
d From 2014 . . . . .	8,536			
e From 2015 . . . . .	8,564			
f Total of lines 3a through e . . . . .	17,100			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 29,817.91			0	
a Applied to 2015, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required—see instructions) . . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions) . . . . .	0			
d Applied to 2016 distributable amount . . . . .				2,096.59
e Remaining amount distributed out of corpus	27,721.32			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	17,100		17,100.00	
6 Enter the net total of each column as indicated below:	27,721.32			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0		
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .	0			
d Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .			0	
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) .	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	27,721.32			
10 Analysis of line 9:				
a Excess from 2012 . . . . .				
b Excess from 2013 . . . . .				
c Excess from 2014 . . . . .				
d Excess from 2015 . . . . .				
e Excess from 2016 . . . . .	27,721.32			

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . ►			
<b>b</b>	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .			
<b>b</b>	85% of line 2a . . . . .			
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed . . . . .			
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .			
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .			
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon:			
<b>a</b>	"Assets" alternative test—enter:			
	(1) Value of all assets . . . . .			
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .			
<b>b</b>	"Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed . . . . .			
<b>c</b>	"Support" alternative test—enter:			
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .			
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .			
	(3) Largest amount of support from an exempt organization . . . . .			
	(4) Gross investment income . . . . .			

**Part XV      Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**Dennis R & Judith A Smith**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
**Judith A Smith P.O. Box 137, Sunol, CA 94586 paraklete@pacbell.net**

**b** The form in which applications should be submitted and information and materials they should include:  
**Applications are available upon request or may use the format described on the website at [www.theparakletefoundation.org](http://www.theparakletefoundation.org).**

**c Any submission deadlines:**

June 30 and December 31

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Grants are given to 501(c)(3) organizations or foreign equivalents for education, training and life skills purposes for those in financial need.**

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Faith and Learning International P.O. Box 480 Wheaton, IL 60187		501(c)(3)	Translation of educational materials into the Mongolian language	\$6,500
Bayshore Christian Ministries 1001 Beech Street East Palo Alto, CA 94303		501(c)(3)	Staff training	\$1,394
Bulgarian Church of God 72 a St. Stambolov Str ap.23 Stara Zagora, Bulgaria		Foreign	Children's after school program	\$2,453
East Africa Village Outreach 7276 French Road Sacramento, CA 95828		501(c)(3)	Furniture for High School Library	\$3,914.29
Cititeam Oakland P.O. Box 23833 Oakland, CA 94623		501(c)(3)	Computers for learning center	\$4,229
ILA Uganda P.O. Box 742 Gulu, Uganda		Foreign	Trauma counseling for children rescued from forced service in terrorist armies	\$5,000
LINC Ministries P.O. Box 1314 Roseville, CA 95678		501(c)(3)	Leadership Training In Niger	\$3,700
<b>Total</b>				► 3a 27,190.29
<b>b Approved for future payment</b>				
<b>Total</b>				► 3b

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property	523000	21,702.98			
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	52300				
8 Gain or (loss) from sales of assets other than inventory	52300	13,785.59			
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		35,488.57			
13 Total. Add line 12, columns (b), (d), and (e)					13
(See worksheet in line 13 instructions to verify calculations.)					35,488.57

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:	1a(1)	✓
	(1) Cash . . . . .	1a(2)	✓
	(2) Other assets . . . . .		
b	Other transactions:	1b(1)	✓
	(1) Sales of assets to a noncharitable exempt organization . . . . .	1b(2)	✓
	(2) Purchases of assets from a noncharitable exempt organization . . . . .	1b(3)	✓
	(3) Rental of facilities, equipment, or other assets . . . . .	1b(4)	✓
	(4) Reimbursement arrangements . . . . .	1b(5)	✓
	(5) Loans or loan guarantees . . . . .	1b(6)	✓
	(6) Performance of services or membership or fundraising solicitations . . . . .	1c	✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .		
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule. \_\_\_\_\_

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, and it is correct, and complete. Declaration of preparer (other than taxpayer):

  
Dennis R. Smith

3/1/2017  
Date

## ► Directors

### Title

May the IRS discuss this return with the preparer shown below (see Instructions)?  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ►			Firm's EIN ►	
	Firm's address ►			Phone no	

**Schedule B**  
 (Form 990, 990-EZ,  
 or 990-PF)  
 Department of the Treasury  
 Internal Revenue Service

**Schedule of Contributors**

OMB No. 1545-0047

**2016**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization  
 The Paaraklete Foundation

Employer identification number  
 94-3374734

Organization type (check one):

**Filers of:** **Section:**

Form 990 or 990-EZ  501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF  501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year

► \$

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The Paraklete Foundation	Employer identification number 94-3374734
--	--

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Dennis R & Judith A Smith  626 Abbie Street, Pleasanton, CA 94566	\$ 19,628	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization The Paraklete Foundation	Employer identification number 94-3374734
--	--

**Part II Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	650 Shares GE Common Stock Also donated \$570 in cash	\$ 19,628	6/27/2016
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Form 990-PF  
2016  
The Paraklete Foundation  
94-3374734

Part I, Line 1

Stock Donation	19,058.00
Cash Donations	<u>570.00</u>
	19,628.00

Part I, Line 18

Taxes & Govt Filings	935.21
Foreign Taxes Paid	<u>56.19</u>
	991.40

Part I, Line 23

P.O. Box	70.00
Office Supplies	0
Photocopies	13.80
Postage	15.58
Grant Wire Fee	0
Web Site	<u>64.00</u>
	163.38

Part II, Line 10b & 10c

Refer to attached Fidelity Investments Account Z69-819204



FIDELITY  
INVESTMENTS  
PREMIUM SERVICES™

2016 YEAR-END INVESTMENT REPORT  
January 1, 2016 - December 31, 2016

## Holdings

### Core Account

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
<b>FIDELITY GOVERNMENT MONEY MARKET (SPAXX)</b>	2,077.590	\$1.0000	\$2,077.59	not applicable	not applicable	\$3.14
<b>Total Core Account (1% of account holdings)</b>			<b>\$2,077.59</b>			<b>\$3.14</b>

### Mutual Funds

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
<b>Stock Funds</b>						
<b>FIDELITY SELECT HEALTH CARE (FSPHY)</b>	83.472	\$184.8100	\$15,426.46	\$16,000.00	-\$573.54	\$19.53
<b>FIDELITY SELECT IT SERVICES PORTFOLIO (FBSOX)</b>	217.123	41.9100	9,099.62	8,800.00	299.62	28.88
<b>FIDELITY SELECT INDUSTRIALS PORT (FCYIX)</b>	290.645	32.3500	9,402.37	9,600.00	-197.63	406.61
<b>FIDELITY SELECT CNSMR DSCRTRNY PORT (FSCPX)</b>	161.803	35.3400	5,718.12	5,600.00	118.12	45.95
<b>FIDELITY SELECT MATERIALS PORTFOLIO (FSDPX)</b>	33.598	76.0900	2,556.47	2,500.00	56.47	26.84
<b>FIDELITY SELECT TELECOMM (FSTGX)</b>	59.586	69.8200	4,160.29	4,000.00	160.29	259.08
<b>VANGUARD WELLESLEY INCOME INVESTOR (WINX)</b>	350.604	25.4700	8,929.88	9,075.00	-145.12	357.14
<b>Total Stock Funds (13% of account holdings)</b>			<b>\$55,293.21</b>	<b>\$55,575.00</b>	<b>-\$281.79</b>	<b>\$1,144.03</b>
<b>Bond Funds</b>						
<b>PIMCO INCOME FUND CL D (PONDX)</b>	1,446.719	\$12.0600	\$17,447.43	\$18,000.00	-\$552.57	\$906.24
<b>PERFORMANCE TRUST STRATEGIC BOND FD (PTIAX)</b>	466.459	22.3500	10,425.35	10,500.00	-74.65	469.67
<b>VANGUARD HIGH YIELD CORP INVESTOR CL (VWEHX)</b>	2,730.847	5.8300	15,920.83	15,179.50	741.33	861.66
<b>VANGUARD GNMA INVESTOR CL (VFLIX)</b>	2,063.351	10.5400	21,747.71	22,710.67	-962.96	385.36

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FIDELITY  
INVESTMENTS  
PREMIUM SERVICES™

2016 YEAR-END INVESTMENT REPORT  
January 1, 2016 - December 31, 2016

## Holdings

Account # Z69-819204  
CORPORATION

### Mutual Funds (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
<b>Bond Funds (continued)</b>						
VANGUARD INFLATION PROTECTED SEC'S INV (VIPSX)	354 854	12.9800	4,606.00	5,106.38	-500.38	155.50
VANGUARD INTERMED TERM INV GR INVEST (VIFCX)	682 927	9.6400	6,583.41	7,075.00	-491.59	253.01
WELLS FARGO HIGH YLD BOND CL A (EKHAX)	2,332 744	3.3000	7,698.05	9,000.00	-1,301.95	149.34
Total Bond Funds (20% of account holdings)			\$84,428.78	\$87,571.55	-\$3,142.77	\$3,200.78
<b>Total Mutual Funds (34% of account holdings)</b>			<b>\$139,721.99</b>	<b>\$143,146.55</b>	<b>-\$3,424.56</b>	<b>\$4,344.81</b>

### Exchange Traded Products

*Includes exchange-traded funds (ETFs), exchange-traded notes (ETNs), and other exchange-traded vehicles.*

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss
<b>Equity ETFs</b>					
VANGUARD SECTOR INDEX FDS	120 000	\$133.9900	\$16,078.80	\$15,607.67	\$471.13
VANGUARD CONSUMER STAPLES VIPERS (VDC)					
VANGUARD SECTOR INDEX FDS	120 000	59.3500	7,122.00	6,746.19	375.81
VANGUARD FINLS VIPERS (VFH)					
VANGUARD SECTOR INDEX FDS	50 000	107.0100	5,350.50	5,038.40	312.10
VANGUARD UTILS VIPERS (VPU)					
VANGUARD INDEX FDS FORMERLY VANGUARD INDEX TR TO 05/24/2001 S&P 500 ETF SHS NEW (VOO)	130 000	205.3100	26,690.30	25,834.45	855.85
VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF (VTI)	200 000	115.3200	23,064.00	21,929.75	1,134.25
Total Equity ETFs (19% of account holdings)			<b>78,305.60</b>	<b>75,156.46</b>	<b>3,149.14</b>

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## Holdings

### Exchange Traded Products (continued)

Account # Z69-819204  
CORPORATION

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss
<b>Fixed Income ETPs</b>					
ISHARES TR IBOXX HI YD ETF(HYG)	200 000	\$86.5500	\$17,310.00	\$18,253.00	-\$943.00
POWERSHARES EXCHANGE TRADED FD TR II SENIOR LN PORT NYSE ARCA INC (BKLN)	300 000	23.3600	7,008.00	7,544.76	-536.76
SPDR SERIES TRUST BLOOMBERG BRCLYS (JNK)	100 000	36.4500	3,645.00	4,068.95	-423.95
Total Fixed Income ETPs(7% of account holdings)		27,963.00	29,866.71		-1,903.71
<b>Other ETPs</b>					
ISHARES SILVER TR ISHARES(SLV)	300 000	\$15.1100	\$4,533.00	\$4,609.95	-\$76.95
ISHARES TR U.S. PFD STK ETF(PFF)	300 000	37.2100	11,163.00	11,174.90	-11.90
SPDR GOLD TR GOLD SHS(GLD)	100 000	109.6100	10,961.00	11,420.39	-459.39
Total Other ETPs(6% of account holdings)		26,657.00	27,205.24		-548.24
<b>Total Exchange Traded Products (32% of account holdings)</b>		<b>\$132,925.60</b>	<b>\$132,228.41</b>	<b>\$697.19</b>	
<b>Stocks</b>					
Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss
Common Stock					Income Earned
BARRINGS GLOBAL SHORT DURATION COM (BGH)	200 000	\$19.2300	\$3,846.00	\$4,465.95	-\$619.95
BLACKROCK ENERGY & RES TR COM (BGR)	200 000	14.4400	2,888.00	4,801.03	-1,913.03
BLACKROCK ENHANCED EQUITY DIVID TR COM (BDI)	1,200 000	8.1500	9,780.00	7,328.62	2,451.38
					674.48

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**Holdings**

 Account # 269-819204  
 CORPORATION

**Stocks (continued)**

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
<b>Common Stock (continued)</b>						
<b>BLACKROCK DEBT STRAT FUND INC COM NEW (DSU)</b>	1,033,000	11.3400	11,714.22	10,792.16	922.06	149.79
<b>BUCKEYE PARTNERS LP UNIT LTD PARTNERSHIP INT'L (BPL)</b>	200,000	66.1600	13,232.00	11,509.71	1,722.29	
<b>COHEN &amp; STEERS LTD DUR PFD INC COM (LDP)</b>	350,000	24.5400	8,589.00	8,042.27	546.73	655.20
<b>EATON VANCE TX MGD DIV EQ INC M COM (ETY)</b>	800,000	10.3500	8,280.00	7,516.15	763.85	809.28
<b>GENERAL ELECTRIC CO (GE)</b>	850,000	31.6000	26,860.00	26,860.00	Unknown	333.50
<b>NUVEEN FLOATING RATE INCOME FUND (JFR)</b>	500,000	11.6700	5,835.00	5,490.05	344.95	372.00
<b>ONEOK PARTNERS LP (OKS)</b>	100,000	43.0100	4,301.00	3,875.94	425.06	
<b>PLAINS ALL AMERICAN PIPELINE LP (PAA)</b>	200,000	32.2900	6,458.00	7,667.40	-1,209.40	
<b>VERMILION ENERGY INC COM (VET)</b>	300,000	42.1700	12,651.00	11,842.95	808.05	583.18
Total Common Stock (28% of account holdings)		\$114,434.22	\$83,332.23	\$4,241.99	\$3,897.35	
<b>Preferred Stock</b>						
<b>PUBLIC STORAGE 5.375%</b>	200,000	\$22.6000	\$4,520.00	\$4,076.89	\$443.11	\$268.76
<b>PFD (PSAPRV)</b>	10,000	1,190,000.00	11,900.00	11,726.95	173.05	750.00
Total Preferred Stock (4% of account holdings)		\$16,420.00	\$15,803.84	\$616.16	\$1,018.76	
<b>Total Stocks (32% of account holdings)</b>		<b>\$130,854.22</b>	<b>\$99,136.07</b>	<b>\$4,858.15</b>	<b>\$4,916.11</b>	

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## Holdings

Other	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
WP CAREY INC COM USD0.001(WPC)	150,000	\$59.0900	\$8,863.50	\$9,194.92	-\$331.42	\$734.07
<b>Total Other (2% of account holdings)</b>			<b>\$8,863.50</b>	<b>\$9,194.92</b>	<b>-\$331.42</b>	<b>\$734.07</b>
<b>Total Holdings</b>			<b>\$414,442.90</b>	<b>\$383,705.95</b>	<b>\$1,799.36</b>	<b>\$12,019.05</b>
			Total income earned on positions no longer held	\$7409.02		

*Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.*

*\* This statement does not reflect the distribution for this fund that was declared in December and is payable in January. As a result, the total value of this fund may appear lower than you expected. Please be assured that the distribution has been correctly credited to your account and the distribution will be reported on your next statement.*