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Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

The Paraklete Foundation

A Employer identification number

94-3374734

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. Box 137

Room/suite

B Telephone number (see instructions)

City or town, state or province, country, and ZIP or foreign postal code

Sunol, CA 94566C If exemption application is pending, check here ▶ ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeD 1. Foreign organizations, check here . . . ▶ ☐2. Foreign organizations meeting the 85% test, check here and attach computation . . . ▶ ☐E If private foundation status was terminated under section 507(b)(1)(A), check here . . . ▶ ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . ▶ ☐I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **414,442.90**
J Accounting method: ☐ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	19,628.00			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	0	0	N/A	
	4 Dividends and interest from securities	21,702.98	21,702.98		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	13,785.59			
	b Gross sales price for all assets on line 6a 182,071.93				
	7 Capital gain net income (from Part IV, line 2)		13,785.59		
	8 Net short-term capital gain			1,826.08	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	55,116.57	35,488.57	1,826.08		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	991.40	991.40		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,150.43			1,150.43
	22 Printing and publications				
	23 Other expenses (attach schedule)	163.38			163.38
	24 Total operating and administrative expenses. Add lines 13 through 23	2,305.21	991.40	1,826.08	1,313.81
	25 Contributions, gifts, grants paid	27,190.29			27,190.29
26 Total expenses and disbursements. Add lines 24 and 25	29,495.50	991.40	1,826.08	29,817.91	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	25,621.07				
b Net investment income (if negative, enter -0-)		34,497.17			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Cat No. 11289X

Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	18,844.61	2,077.59	2,077.59
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	176,082.22	248,630.44	248,630.44
	c Investments—corporate bonds (attach schedule)	171,118.02	163,734.87	163,734.87
	11 Investments—land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item l)	366,044.85	414,442.90	414,442.90
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	366,044.85	414,442.90	
	31 Total liabilities and net assets/fund balances (see instructions)			

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	366,044.85
2	Enter amount from Part I, line 27a	2	25,621.07
3	Other increases not included in line 2 (itemize) ▶ <u>Unrealized Capital Gains</u>	3	22,776.98
4	Add lines 1, 2, and 3	4	414,442.90
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	414,442.90

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	Refer to Fidelity pages 3 of 32 through 7 of 32 attached	P	various	various
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b	182,071.93	167,933.09	13,785.59	
c		+ 353.25 Fees		
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	13,785.59
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }		3	1,826.08

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2015	29817.91	390,831.26	0.076	
2014	26814.85	372538.42	0.072	
2013	28554.49	372305.00	0.077	
2012	25375.57	327200.25	0.078	
2011	14918.15	265763	0.056	
2	Total of line 1, column (d)		2	0.359
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.072
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5		4	390,831.26
5	Multiply line 4 by line 3		5	28,139.85
6	Enter 1% of net investment income (1% of Part I, line 27b)		6	344.97
7	Add lines 5 and 6		7	28,484.82
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8	29,817.91

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	344	97
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	344	97
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	344	97
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	300	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	300	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	44	97
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		<input checked="" type="checkbox"/>
c Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	<input checked="" type="checkbox"/>
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	<input checked="" type="checkbox"/>
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	7	<input checked="" type="checkbox"/>
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	<input checked="" type="checkbox"/>
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	<input checked="" type="checkbox"/>
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		<input checked="" type="checkbox"/>
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		<input checked="" type="checkbox"/>
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.theparakletefoundation.org</u>	<input checked="" type="checkbox"/>	
14 The books are in care of ► <u>Dennis R SMith</u> Telephone no. ► <u>925-461-5053</u> Located at ► <u>626 Abble Street Pleasanton, CA</u> ZIP+4 ► <u>94566-7402</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year		<input type="checkbox"/>
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	<input checked="" type="checkbox"/>
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input checked="" type="checkbox"/>
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	<input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dennis R Smith 626 Abble Street Pleasanton, CA 94566	Director 1	0	0	0
Judith A Smith 626 Abble Street Pleasanton, CA 94566	Secretary 0.7	0	0	0
Kara M Locke 1161 N. Country Club Drive, Stockton, CA 95204	Treasurer 0.3	0	0	0
Charles L. Locke 1161 N. Country Club Drive, Stockton, CA 95204	Trustee 0.2	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anthony C Smith 1679 Fourth Street, Livermore, CA	Trustee 0.2	0	0	0
Michelle M Smith 1679 Fourth Street, Livermore, CA	Trustee 0.2	0	0	0
Total number of other employees paid over \$50,000				None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 The only activity of The Paraklete Foundation is to make grants to organizations for education or training for life skills or financial independence, increased knowledge or experience necessary for a Christ-honoring life, assistance or training to enable Christian work within a lower economic community and encouragement with	
2 special talents and abilities to utilize those gifts in Christ-honoring or Christ-proclaiming ways.	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	387,691
b	Average of monthly cash balances	1b	9,092
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	396,783
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	396,783
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	5,951.74
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	390,831.26
6	Minimum investment return. Enter 5% of line 5	6	19,541.56

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	19,541.56
2a	Tax on investment income for 2016 from Part VI, line 5 2a		344.97
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	344.97
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	19,196.59
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	19,196.59
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	19,196.59

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	29,817.91
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,817.91
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	344.97
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	29,472.94

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				19,196.59
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014 8,536				
e From 2015 8,564				
f Total of lines 3a through e	17,100			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 29,817.91				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2016 distributable amount				2,096.59
e Remaining amount distributed out of corpus	27,721.32			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	17,100			17,100.00
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	27,721.32			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	27,721.32			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016 27,721.32				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter ² / ₃ of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Dennis R & Judith A Smith

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
 Judith A Smith P.O. Box 137, Sunol, CA 94586 paraklete@pacbell.net

b The form in which applications should be submitted and information and materials they should include:
 Applications are available upon request or may use the format described on the website at www.theparakletefoundation.org

c Any submission deadlines:
 June 30 and December 31

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Grants are given to 501(c)(3) organizations or foreign equivalents for education, training and life skills purposes for those in financial need

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Faith and Learning International P.O. Box 480 Wheaton, IL 60187		501(c)(3)	Translation of educational materials into the Mongolian language	\$6,500
Bayshore Christian Ministries 1001 Beech Street East Palo Alto, CA 94303		501(c)(3)	Staff training	\$1,394
Bulgarian Church of God 72 a St. Stambolov Str ap.23 Stara Zagora, Bulgaria		Foreign	Children's after school program	\$2,453
East Africa Village Outreach 7276 French Road Sacramento, CA 95828		501(c)(3)	Furniture for High School Library	\$3,914.29
Cititeam Oakland P.O. Box 23833 Oakland, CA 94623		501(c)(3)	Computers for learning center	\$4,229
ILA Uganda P.O. Box 742 Gulu, Uganda		Foreign	Trauma counseling for children rescued from forced service in terrorist armies	\$5,000
LINC Ministries P.O. Box 1314 Roseville, CA 95678		501(c)(3)	Leadership Training in Niger	\$3,700
Total				3a 27,190.29
b Approved for future payment				
Total				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities	523000	21,702.98			
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income	52300				
8	Gain or (loss) from sales of assets other than inventory	52300	13,785.59			
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		35,488.57			
13	Total. Add line 12, columns (b), (d), and (e)				13	35,488.57

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | ✓ |
| | (2) Other assets | 1a(2) | ✓ |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]


- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has knowledge.


Signature of officer or trustee

3/1/2017
Date

Director
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016**Name of the organization**

The Paaraklete Foundation

Employer identification number

94-3374734

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
The Paraklete Foundation

Employer identification number
94-3374734

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Dennis R & Judith A Smith 626 Abble Street, Pleasanton, CA 94566	\$ 19,628	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization
The Paraklete Foundation

Employer identification number
94-3374734

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	650 Shares GE Common Stock Also donated \$570 in cash	\$ 19,628	6/27/2016
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Form 990-PF
2016
The Paraklete Foundation
94-3374734

Part I, Line 1

Stock Donation	19,058.00
Cash Donations	<u>570.00</u>
	19,628.00

Part I, Line 18

Taxes & Govt Filings	935.21
Foreign Taxes Paid	<u>56.19</u>
	991.40

Part I, Line 23

P.O. Box	70.00
Office Supplies	0
Photocopies	13.80
Postage	15.58
Grant Wire Fee	0
Web Site	<u>64.00</u>
	163.38

Part II, Line 10b & 10c

Refer to attached Fidelity Investments Account Z69-819204



FIDELITY
PREMIUM SERVICESSM

2016 YEAR-END INVESTMENT REPORT
January 1, 2016 - December 31, 2016

Holdings

Account # Z69-819204
CORPORATION

Core Account

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
FIDELITY GOVERNMENT MONEY MARKET (SPAXX) -- 7-day yield 0.17%	2,077.590	\$1.0000	\$2,077.59	not applicable	not applicable	\$3.14

Total Core Account (1% of account holdings) **\$2,077.59** **\$3.14**

Mutual Funds

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
Stock Funds						
FIDELITY SELECT HEALTH CARE (FSPHX)	83.472	\$184.8100	\$15,426.46	\$16,000.00	-\$573.54	\$19.53
FIDELITY SELECT IT SERVICES PORTFOLIO (FBSOX)	217.123	41.9100	9,099.62	8,800.00	299.62	28.88
FIDELITY SELECT INDUSTRIALS PORT (FCYIX)	290.645	32.3500	9,402.37	9,600.00	-197.63	406.61
FIDELITY SELECT CNSMER DSCRTNRY PORT (FSCPX)	161.803	35.3400	5,718.12	5,600.00	118.12	45.95
FIDELITY SELECT MATERIALS PORTFOLIO (FSDPX)	33.598	76.0900	2,556.47	2,500.00	56.47	26.84
FIDELITY SELECT TELECOMM (FSTCX)	59.586	69.8200	4,160.29	4,000.00	160.29	259.08
VANGUARD WELLESLEY INCOME INVESTOR (VWINX)	350.604	25.4700	8,929.88	9,075.00	-145.12	357.14

Total Stock Funds (13% of account holdings) **\$55,293.21** **\$55,575.00** **-\$281.79** **\$1,144.03**

Bond Funds

PIMCO INCOME FUND CL D(POND)	1,446.719	\$12.0600	\$17,447.43	\$18,000.00	-\$552.57	\$906.24
PERFORMANCE TRUST STRATEGIC BOND FD (PTIAX)	466.459	22.3500	10,425.35	10,500.00	-74.65	489.67
VANGUARD HIGH YIELD CORP INVESTOR CL (VWEHX)	2,730.847	5.8300	15,920.83	15,179.50	741.33	861.66
VANGUARD GNMA INVESTOR CL (VFIIX)	2,063.351	10.5400	21,747.71	22,710.67	-962.96	385.36



FIDELITY
PREMIUM SERVICESSM

2016 YEAR-END INVESTMENT REPORT
January 1, 2016 - December 31, 2016

Holdings

Account # Z69-819204
CORPORATION

Mutual Funds (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
Bond Funds (continued)						
VANGUARD INFLATION PROTECTED SECS INV (VIPSX)	354 854	12 9800	4,606 00	5,106 38	-500 38	155 50
VANGUARD INTERMED TRM INVST GR INVEST (VFICX)	682 927	9 6400	6,583 41	7,075 00	-491 59	253 01
WELLS FARGO HIGH YLD BOND CL A (EKHAX)	2,332 744	3 3000	7,698 05	9,000 00	-1,301 95	149 34
Total Bond Funds (20% of account holdings)			\$84,428 78	\$87,571 55	-\$3,142 77	\$3,200.78
Total Mutual Funds (34% of account holdings)			\$139,721.99	\$143,146.55	-\$3,424.56	\$4,344.81

Exchange Traded Products

Includes exchange-traded funds (ETFs), exchange-traded notes (ETNs), and other exchange-traded vehicles.

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss
Equity ETPs					
VANGUARD SECTOR INDEX FDS	120 000	\$133 9900	\$16,078 80	\$15,607 67	\$471 13
VANGUARD CONSUMER STAPLES VIPERS (VDC)	120 000	59 3500	7,122 00	6,746 19	375 81
VANGUARD FINLS VIPERS (VFH)	50 000	107 0100	5,350 50	5,038 40	312 10
VANGUARD SECTOR INDEX FDS UTILS VIPERS (VPU)	130 000	205 3100	26,690 30	25,834 45	855 85
VANGUARD INDEX TR TO 05/24/2001 S&P 500 ETF SHS NEW (VOO)	200 000	115 3200	23,064 00	21,929 75	1,134 25
VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF (VTI)			78,305 60	75,156 46	3,149 14
Total Equity ETPs (19% of account holdings)					

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FIDELITY
PREMIUM SERVICESSM

2016 YEAR-END INVESTMENT REPORT
January 1, 2016 - December 31, 2016

Holdings

Account # Z69-819204
CORPORATION

Exchange Traded Products (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss
Fixed Income ETPs					
ISHARES TR IBOXX HI YD ETF(HYG)	200 000	\$86 5500	\$17,310 00	\$18,253 00	-\$943 00
POWERSHARES EXCHANGE TRADED FD TR II SENIOR LN PORT NYSE ARCA INC (BKLN)	300 000	23 3600	7,008 00	7,544 76	-536 76
SPDR SERIES TRUST BLOOMBERG BRCLYS (JNK)	100 000	36 4500	3,645 00	4,068 95	-423 95
Total Fixed Income ETPs(7% of account holdings)			27 963 00	29,866 71	-1,903 71
Other ETPs					
ISHARES SILVER TR ISHARES(SLV)	300 000	\$15 1100	\$4,533 00	\$4,609 95	-\$76 95
ISHARES TR U.S. PFD STK ETF(PFF)	300 000	37 2100	11,163 00	11,174 90	-11 90
SPDR GOLD TR GOLD SHS(GLD)	100 000	109 6100	10,961 00	11,420 39	-459 39
Total Other ETPs(6% of account holdings)			26,657 00	27,205 24	-548 24
Total Exchange Traded Products (32% of account holdings)			\$132,925.60	\$132,228.41	\$697.19

Stocks

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
Common Stock						
BARINGS GLOBAL SHORT DURATION COM (BGH)	200 000	\$19 2300	\$3,846 00	\$4,465 95	-\$619 95	\$122 72
BLACKROCK ENERGY & RES TR COM (BGR)	200 000	14 4400	2,888 00	4,801 03	-1,913 03	199 20
BLACKROCK ENHANCED EQUITY DIVID TR COM (BDJ)	1,200 000	8 1500	9,780 00	7,328 62	2,451 38	672 48



FIDELITY
PREMIUM SERVICESSM

2016 YEAR-END INVESTMENT REPORT
January 1, 2016 - December 31, 2016

Account # Z69-819204
CORPORATION

Holdings

Stocks (continued)

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
Common Stock (continued)						
BLACKROCK DEBT STRAT FD INC COM NEW (DSU)	1,033,000	11.3400	11,714.22	10,792.16	922.06	149.79
BUCKEYE PARTNERS LP UNIT LTD PARTNERSHIP	200,000	66.1600	13,232.00	11,509.71	1,722.29	-
INTS (BPL)						
COHEN & STEERS LTD DUR PFD INC COM (LDP)	350,000	24.5400	8,589.00	8,042.27	546.73	655.20
EATON VANCE TX MGD DIV EQ INCM COM (ETY)	800,000	10.3500	8,280.00	7,516.15	763.85	809.28
GENERAL ELECTRIC CO (GE)	850,000	31.6000	26,860.00	unknown	unknown	333.50
NUVEEN FLOATING RATE INCOME FUND (JFR)	500,000	11.6700	5,835.00	5,490.05	344.95	372.00
ONEOK PARTNERS LP (OKS)	100,000	43.0100	4,301.00	3,875.94	425.06	-
PLAINS ALL AMERICAN PIPELINE LP (PAA)	200,000	32.2900	6,458.00	7,667.40	-1,209.40	-
VERMILION ENERGY INC COM ISIN #CA9237251058 SEDOL #B607XS1 (VET)	300,000	42.1700	12,651.00	11,842.95	808.05	583.18

Total Common Stock (28% of account
holdings)

5

Preferred Stock

PUBLIC STORAGE 5.375% PFD (PSAPRV)	200,000	\$22.6000	\$4,520.00	\$4,076.89	\$443.11	\$268.76
WELLS FARGO & CO NEW 7.50000% PFD (WFCPRL)	10,000	1,190.0000	11,900.00	11,726.95	173.05	750.00

Total Preferred Stock (4% of account
holdings)

Total Stocks (32% of account holdings)

Total Stocks (32% of account holdings)			\$130,854.22	\$99,136.07	\$4,858.15	\$4,916.11
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FIDELITY
PREMIUM SERVICESSM

2016 YEAR-END INVESTMENT REPORT
January 1, 2016 - December 31, 2016

Account # Z69-819204
CORPORATION

Holdings

Other		Quantity	Price Per Unit	Total Market Value		Total Cost Basis	Unrealized Gain/Loss	Income Earned
Description				Accrued Interest (AI)				
WP CAREY INC COM USD0.001(WPC)		150 000	\$59 0900	\$8,863 50		\$9,194 92	-\$331 42	\$734 07
Total Other (2% of account holdings)				\$8,863.50		\$9,194.92	-\$331.42	\$734.07
Total Holdings				\$414,442.90		\$383,705.95	\$1,799.36	\$12,019.05
			Total income earned on positions no longer held	\$7409 02				

Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

- This statement does not reflect the distribution for this fund that was declared in December and is payable in January. As a result, the total value of this fund may appear lower than you expected. Please be assured that the distribution has been correctly credited to your account and the distribution will be reported on your next statement.

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