

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2016

Open to Public  
Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

<p>Name of foundation CHARLES AND HELEN SCHWAB FOUNDATION % KRISTI KIMBALL</p> <p>Number and street (or P O box number if mail is not delivered to street address) 201 MISSION STREET SUITE 1950</p> <p>City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94105</p>		<p><b>A</b> Employer identification number 94-3374170</p>			
		<p><b>B</b> Telephone number (see instructions) (415) 795-4920</p>			
<p><b>G</b> Check all that apply</p> <p><input type="checkbox"/> Initial return      <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Final return      <input type="checkbox"/> Amended return  <input type="checkbox"/> Address change      <input type="checkbox"/> Name change</p>		<p><b>C</b> If exemption application is pending, check here ► <input type="checkbox"/></p> <p><b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/>  2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p><b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p><b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>			
<p><b>H</b> Check type of organization</p> <p><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation  <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust      <input type="checkbox"/> Other taxable private foundation</p>					
<p><b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 400,407,014</p>		<p><b>J</b> Accounting method</p> <p><input type="checkbox"/> Cash      <input checked="" type="checkbox"/> Accrual  <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p>			
<p><b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		<p><b>(a)</b> Revenue and expenses per books</p>	<p><b>(b)</b> Net investment income</p>	<p><b>(c)</b> Adjusted net income</p>	<p><b>(d)</b> Disbursements for charitable purposes (cash basis only)</p>
<p><b>Revenue</b></p> <p>1 Contributions, gifts, grants, etc., received (attach schedule)</p> <p>2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B</p> <p>3 Interest on savings and temporary cash investments</p> <p>4 Dividends and interest from securities</p> <p>5a Gross rents</p> <p>b Net rental income or (loss)</p> <p>6a Net gain or (loss) from sale of assets not on line 10</p> <p>b Gross sales price for all assets on line 6a</p> <p>7 Capital gain net income (from Part IV, line 2)</p> <p>8 Net short-term capital gain</p> <p>9 Income modifications</p> <p>10a Gross sales less returns and allowances</p> <p>b Less Cost of goods sold</p> <p>c Gross profit or (loss) (attach schedule)</p> <p>11 Other income (attach schedule)</p> <p><b>12 Total.</b> Add lines 1 through 11</p>		<p>0</p> <p></p> <p>874</p> <p>2,804,811</p> <p></p> <p>10,786,967</p> <p>10,813,653</p> <p></p> <p>10,786,967</p> <p></p> <p></p> <p>13,592,652</p>	<p></p> <p></p> <p>874</p> <p>2,804,811</p> <p></p> <p></p> <p></p> <p></p> <p></p> <p></p> <p>13,592,652</p>		
<p><b>Operating and Administrative Expenses</b></p> <p>13 Compensation of officers, directors, trustees, etc</p> <p>14 Other employee salaries and wages</p> <p>15 Pension plans, employee benefits</p> <p>16a Legal fees (attach schedule)</p> <p>b Accounting fees (attach schedule)</p> <p>c Other professional fees (attach schedule)</p> <p>17 Interest</p> <p>18 Taxes (attach schedule) (see instructions)</p> <p>19 Depreciation (attach schedule) and depletion</p> <p>20 Occupancy</p> <p>21 Travel, conferences, and meetings</p> <p>22 Printing and publications</p> <p>23 Other expenses (attach schedule)</p> <p><b>24 Total operating and administrative expenses.</b> Add lines 13 through 23</p> <p><b>25 Contributions, gifts, grants paid</b></p> <p><b>26 Total expenses and disbursements.</b> Add lines 24 and 25</p> <p><b>27 Subtract line 26 from line 12</b></p> <p>a <b>Excess of revenue over expenses and disbursements</b></p> <p>b <b>Net investment income</b> (if negative, enter -0-)</p> <p>c <b>Adjusted net income</b> (if negative, enter -0-)</p>		<p>316,243</p> <p>126,485</p> <p>71,936</p> <p>17,537</p> <p>132,967</p> <p>122,749</p> <p></p> <p>982,541</p> <p></p> <p>28,743</p> <p>1,095</p> <p>-395,591</p> <p>1,404,705</p> <p>20,297,499</p> <p>21,702,204</p> <p>-8,109,552</p>	<p></p> <p></p> <p></p> <p>0</p> <p>0</p> <p>0</p> <p></p> <p></p> <p></p> <p>0</p> <p>0</p> <p>0</p> <p>0</p>	<p></p> <p></p> <p></p> <p>0</p> <p>0</p> <p>0</p> <p></p> <p></p> <p></p> <p>23,545</p> <p>886</p> <p>49,034</p> <p>832,566</p> <p>19,270,428</p> <p>20,102,994</p>	<p>314,531</p> <p>125,732</p> <p>63,782</p> <p>15,848</p> <p>120,239</p> <p>118,969</p> <p></p> <p></p> <p></p> <p></p> <p></p> <p></p> <p></p>

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments		3,878,017	7,677,636	7,677,636
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)		349,357,103	392,479,378	392,479,378
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)		293,221	0	0
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► _____)	250,000	250,000	250,000	250,000
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	353,778,341	400,407,014	400,407,014	
Liabilities	17 Accounts payable and accrued expenses		64,659	70,241	
	18 Grants payable		7,859,123	8,886,950	
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► _____)	5,818,744	6,235,926		
	23 Total liabilities (add lines 17 through 22)	13,742,526	15,193,117		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted		340,035,815	385,213,897	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)	340,035,815	385,213,897		
	31 Total liabilities and net assets/fund balances (see instructions)	353,778,341	400,407,014		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	340,035,815
2	Enter amount from Part I, line 27a	2	-8,109,552
3	Other increases not included in line 2 (itemize) ►	3	53,287,634
4	Add lines 1, 2, and 3	4	385,213,897
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	385,213,897

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a PUBLIC TRADED SECURITIES</b>			D		
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 10,813,653		26,686	10,786,967		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a			10,786,967		
b					
c					
d					
e					
<b>2 Capital gain net income or (net capital loss)</b>		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	10,786,967	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>			<b>3</b>		
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1 Enter the appropriate amount in each column for each year, see instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	16,508,464	301,824,965	0 054695
2014	12,122,795	276,733,654	0 043807
2013	13,132,522	149,942,887	0 087583
2012	9,557,679	149,287,572	0 064022
2011	850,898	144,361,048	0 005894
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0 256001
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0 0512
<b>4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5</b>			<b>4</b> 309,948,821
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 15,869,380
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 135,927
<b>7 Add lines 5 and 6</b>			<b>7</b> 16,005,307
<b>8 Enter qualifying distributions from Part XIII, line 4</b>			<b>8</b> 20,102,994
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	135,927
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	135,927
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	5	135,927
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	143,074
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	40,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	183,074
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	47,147
11	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ►	47,147	<b>Refunded</b> ►
		11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?  <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	Yes	No
1c	Did the foundation file <b>Form 1120-POL</b> for this year?	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation ► \$ _____ <b>(2)</b> On foundation managers ► \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?  <i>If "Yes," attach a detailed description of the activities</i>	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?  <i>If "Yes," attach the statement required by General Instruction T </i>	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►CA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)?  <i>If "Yes," complete Part XIV</i>	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	Yes	No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <a href="http://www.schwabfoundation.org">www.schwabfoundation.org</a>	13	Yes
14	The books are in care of ► KRISTI KIMBALL	Telephone no ► (415) 795-4920	
Located at ► 201 MISSION STREET STE 1950 SAN FRANCISCO CA			ZIP+4 ► 94105
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15	<input type="checkbox"/>
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b	No <input type="checkbox"/>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	No <input type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) ►	2b	<input type="checkbox"/>
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016) .	3b	<input type="checkbox"/>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<input type="checkbox"/>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	<input type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a	During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>		5b	No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	No
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances (e)
ERIN GILBERT 201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105	ADMIN DIRECTOR 0	125,732	11,586	
<b>Total number of other employees paid over \$50,000. . . . . ► 0</b>				

<b>Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)</b>		
<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEILER LLP 3 LAGOON DRIVE STE 400 REDWOOD CITY, CA 94065	ACCOUNTING SERVICES	91,310
<b>Total number of others receiving over \$50,000 for professional services.</b>	►	0
<b>Part IX-A Summary of Direct Charitable Activities</b>		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1 SEE ATTACHMENT A	75,000	
2		
3		
4		
<b>Part IX-B Summary of Program-Related Investments (see instructions)</b>		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1		
2		
All other program-related investments See instructions		
3		
<b>Total. Add lines 1 through 3</b>	►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	310,692,341
b	Average of monthly cash balances. . . . .	1b	3,976,513
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	314,668,854
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	314,668,854
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	4,720,033
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	309,948,821
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	15,497,441

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	15,497,441
2a	Tax on investment income for 2016 from Part VI, line 5. . . . .	2a	135,927
b	Income tax for 2016 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	135,927
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	15,361,514
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	15,361,514
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	15,361,514

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	20,102,994
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	20,102,994
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	135,927
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	19,967,067
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				15,361,514
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 2014, 2013, 2012				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . .	0			
<b>b</b> From 2012. . . . .	2,349,642			
<b>c</b> From 2013. . . . .	5,767,130			
<b>d</b> From 2014. . . . .	0			
<b>e</b> From 2015. . . . .	1,462,906			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	9,579,678			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ► \$ 20,102,994				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2016 distributable amount. . . . .				15,361,514
<b>e</b> Remaining amount distributed out of corpus	4,741,480			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,321,158			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a. . . . .	14,321,158			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . .	2,349,642			
<b>b</b> Excess from 2013. . . . .	5,767,130			
<b>c</b> Excess from 2014. . . . .	0			
<b>d</b> Excess from 2015. . . . .	1,462,906			
<b>e</b> Excess from 2016. . . . .	4,741,480			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►				
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .				
b	85% of line 2a . . . . .				
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .				
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
(1)	Value of all assets . . . . .				
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .				
c	"Support" alternative test—enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III). . . . .				
(3)	Largest amount of support from an exempt organization				
(4)	Gross investment income				

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
CHARLES AND HELEN SCHWAB

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## **Part XV    Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments.					
<b>3</b> Interest on savings and temporary cash investments			14	874	
<b>4</b> Dividends and interest from securities.			14	2,804,811	
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income.					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	10,786,967	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a					
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e).				13,592,652	
<b>13</b> Total. Add line 12, columns (b), (d), and (e).					13,592,652

(See worksheet in line 13 instructions to verify)

## Part XVI-B Relationship of Activities

## Part XVI-B Relationship of Activities to the AGO

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes



## Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HELEN O SCHWAB	PRESIDENT 1 0	0	0	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				
CHARLES R SCHWAB	CHAIRMAN 1 0	0	0	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				
KATIE SCHWAB PAIGE	GRANTS CHAIR 1 0	0	0	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				
NANCY BECHTLE	DIRECTOR & SECRETART 1 0	0	0	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				
MATT WILSEY	BOARD MEMBER 1 0	0	0	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				
KRISTI KIMBALL	EXECUTIVE DIRECTOR 40 0	314,531	40,027	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				
CATHERINE MOLNAR	TREASURER 2 0	0	0	0
201 MISSION STREET SUITE 1950 SAN FRANCISCO, CA 94105				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Achievement First 495 Blake Street New Haven, CT 06515	N/A	PC	K-12 Education Reform	250,000
Achievement Network 225 Friend Street Suite 704 Boston, MA 02114	N/A	PC	K-12 Education Reform	200,000
Alpha Public Schools PO Box 21366 San Jose, CA 95151	N/A	PC	K-12 Education Reform	200,000
Alzheimer's Association 225 North Michigan Avenue 17th Fl Chicago, IL 60601	N/A	PC	Human Services	200,000
Alzheimer's Drug Discovery Foundation 57 West 57th Street suite 904 New York, NY 10014	N/A	PC	Human Services	200,000
<b>Total . . . . .</b>				19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
California Charter Schools Association 250 East 1st Street LOS ANGELES, CA 90012	N/A	PC	K-12 Education Reform	1,172,272
Center for Employment Opportunities 50 Broadway 18th floor New York, NY 10004	N/A	PC	Human Services	100,000
Charter School Growth Fund 10901 West 120th Avenue Suite 450 BROOMFIELD, CO 80021	N/A	PC	K-12 Education Reform	600,591
Chiefs for Change 1455 Pennsylvania Avenue Suite 400 WASHINGTON, DC 20004	N/A	PC	K-12 Education Reform	100,000
Children Now 1404 Franklin Street Suite 700 OAKLAND, CA 94612	N/A	PC	K-12 Education Reform	100,000
<b>Total . . . . .</b>				19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
EdNavigator 401 8th Avenue 53 BROOKLYN, NY 11215	N/A	PC	K-12 Education Reform	300,000
Education Pioneers 360 22nd Street Suite 220 OAKLAND, CA 94612	N/A	PC	K-12 Education Reform	100,000
First Place for Youth 426 17st Street Suite 100 OAKLAND, CA 94612	N/A	PC	Human Services	250,000
Foundation for Excellence in Education PO Box 10691 TALLAHASSEE, FL 32302	N/A	PC	K-12 Education Reform	502,232
Grace Science Foundation PO Box 114 MENLO PARK, CA 94026	N/A	PC	Human Services	150,000
<b>Total . . . . .</b>			►	19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Great Oakland Public Schools Leadership Center 134 Linden Street OAKLAND, CA 94607	N/A	PC	K-12 Education Reform	300,000
Great Schools 1999 Harrison Street Suite 1100 OAKLAND, CA 94612	N/A	PC	K-12 Education Reform	200,000
Green Dot Public Schools 1149 South Hill St Suite 600 LOS ANGELES, CA 90015	N/A	PC	K-12 Education Reform	100,000
Hawaii Community Foundation 827 Fort Street Mall HONOLULU, HI 96813	N/A	PC	Other Civic & Community	25,000
Innosight Institute 2929 Campus Drive Suite 410 SAN MATEO, CA 94403	N/A	PC	K-12 Education Reform	40,000
<b>Total . . . . . ►</b>				19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Innovate Public Schools 1400 Parkmoor Avenue Suite 240 SAN JOSE, CA 95126	N/A	PC	K-12 Education Reform	1,300,330
Johns Hopkins University 3910 Keswick Drive BALTIMORE, MD 21211	N/A	PC	Human Services	50,000
KIPP Foundation 135 Main Street Suite 1700 SAN FRANCISCO, CA 94105	N/A	PC	K-12 Education Reform	250,000
Leadership Public Schools 344 Thomas L Berkeley Way Suite 34 OAKLAND, CA 94612	N/A	PC	K-12 Education Reform	150,000
Monterey Bay Aquarium 886 Cannery Row MONTEREY, CA 93940	N/A	PC	Other Civic & Community	400,000
<b>Total . . . . .</b>			►	19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
National Alliance for Public Charter Schools 1101 Fifteenth Street NW WASHINGTON, DC 20005	N/A	PC	K-12 Education Reform	400,000
National Association of Charter School Authorizers 105 West Adams Street Suite 1900 Chicago, IL 60603	N/A	PC	K-12 Education Reform	300,000
New Leaders 30 West 26th Street 2nd Floor New York, NY 10010	N/A	PC	K-12 Education Reform	652,495
New Schools Venture Fund 1616 Franklin Street OAKLAND, CA 94612	N/A	PC	K-12 Education Reform	400,000
New Teacher Center 110 Cooper Street Suite 500 SANTA CRUZ, CA 95060	N/A	PC	K-12 Education Reform	300,000
<b>Total . . . . . ►</b>				19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Nurse Family Partnership 1900 Grant Street Suite 400 DENVER, CO 80203	N/A	PC	Human Services	250,000
Oakland Public Education Fund 1000 BROADWAY SUITE 300 OAKLAND, CA 94607	N/A	PC	K-12 Education Reform	400,000
Pacific Charter School Development Inc 600 Wilshire Boulevard LOS ANGELES, CA 90017	N/A	PC	K-12 Education Reform	1,999,715
Pahara Institute 340 South Lemon Avenue Suite 2927 WALNUT CREET, CA 91789	N/A	PC	K-12 Education Reform	100,000
Relay School of Education 40 West 20th Street 7th Floor New York, NY 10011	N/A	PC	K-12 Education Reform	250,000
<b>Total . . . . .</b>				19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Rocketship Education 350 Twin Dolphin Drive Suite 109 Redwood City, CA 94065	N/A	PC	K-12 Education Reform	200,000
San Francisco Free Clinic 4900 California Street San Francisco, CA 94118	N/A	PC	Human Services	75,000
San Francisco Museum of Modern Art 151 Third Street San Francisco, CA 94103	N/A	PC	Other Civic & Community	25,000
San Francisco Opera 301 Van Ness Avenue San Francisco, CA 94102	N/A	PC	Other Civic & Community	50,000
San Francisco Symphony Davies Symphony Hall 201 Van Ness San Francisco, CA 94102	N/A	PC	Other Civic & Community	75,000
<b>Total . . . . . ►</b>				19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Schoolzilla Inc 2101 Webster Street Suite 1850 Oakland, CA 94612	N/A	NC	K-12 Education Reform	100,000
Silicon Schools Fund 5858 Horton Street Suite 451 Emeryville, CA 94608	N/A	PC	K-12 Education Reform	496,121
Silicon Valley Community Foundation 2440 West El Camino Real Suite 300 Mountain View, CA 94040	N/A	PC	K-12 Education Reform	10,000
Sponsors for Educational Opportunity 55 Exchange Place New York, NY 10005	N/A	PC	K-12 Education Reform	125,000
St Anthony Foundation 150 Golden Gate Avenue San Francisco, CA 94102	N/A	PC	Human Services	100,000
<b>Total . . . . .</b>			►	19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Stand for Children Leadership Center 1732 NW Quimby Street Suite 200 Portland, OR 97209	N/A	PC	K-12 Education Reform	100,000
Stanford University 434 Galvez Mall Stanford, CA 94305	N/A	PC	K-12 Education Reform	100,000
Steadman Philippon Research Institute 181 West Meadow Drive Suite 1000 VAIL, CO 81657	N/A	PC	Human Services	25,000
Success Academy 95 Pine Street Floor 6 New York, NY 10005	N/A	PC	K-12 Education Reform	250,000
Summit Public Schools 900 Island Drive Suite 203 Redwood City, CA 94605	N/A	PC	K-12 Education Reform	503,325
<b>Total . . . . .</b>			►	19,270,428
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Teach for America 25 Broadway 12th Floor New York, NY 10004	N/A	PC	K-12 Education Reform	977,790
Teach Plus 27-43 Wormwood Street Tower Point Boston, MA 02210	N/A	PC	K-12 Education Reform	150,000
The Lab School 4759 Reservoir Rd NW Washington, DC 20007	N/A	PC	K-12 Education Reform	10,000
The Reset Foundation PO Box 2305 Berkeley, CA 94702	N/A	PC	Human Services	100,000
The Roberts Enterprise Development Fund 2 Embarcadero Center Suite 650 San Francisco, CA 94111	N/A	PC	Human Services	250,000
<b>Total . . . . . ►</b>				19,270,428
<b>3a</b>				

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
Tipping Point Community 220 Montgomery Street Suite 850 San Francisco, CA 94104	N/A	PC	Human Services	1,650,000
TNTP 186 Joralemon Street Suite 300 Brooklyn, NY 11201	N/A	PC	K-12 Education Reform	653,325
University of California San Francisco Foundation 220 Montgomery Street Floor 5 San Francisco, CA 94104	N/A	PC	K-12 Education Reform	502,232
University of Washington 4333 Brooklyn Avenue NE Box 359472 Seattle, WA 98195	N/A	PC	K-12 Education Reform	200,000
Year Up 45 Milk Street Boston, MA 02109	N/A	PC	Human Services	250,000
<b>Total . . . . .</b>				19,270,428
<b>3a</b>				

## TY 2016 Accounting Fees Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION  
**EIN:** 94-3374170

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND BOOKKEEPING	96,360			83,633
AUDIT AND TAX PREPARATION	36,606			36,606

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

## **TY 2016 Depreciation Schedule**

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

## TY 2016 Investments Corporate Stock Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
9,943,739 SHS CHARLES SCHWAB	392,479,378	392,479,378

## TY 2016 Legal Fees Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
REVIEW OF CONTRACTS AND				
AGREEMENTS	17,537			15,848

## TY 2016 Other Assets Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENT -			
SCHOOLZILLA	250,000	250,000	250,000

## TY 2016 Other Expenses Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION  
**EIN:** 94-3374170

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	643			643
INSURANCE	8,462			8,462
CONFERENCE REGISTRATION	5,186			5,159
HARDWARE, SOFTWARE & EQUIPMENT	5,315			5,120
OFFICE SUPPLIES	2,986			2,917
PAYROLL PROCESSING	11,775			11,775
POSTAGE & DELIVERY	2,235			1,712
STAFF PROFESSIONAL DEVELOPMENT	355			59
DOCUMENT STORAGE	480			480
TELECOMMUNICATIONS	1,107			1,107

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AMORTIZATION OF CREDIT SUPPORT	-446,359			
FILING FEES	725			725
ANNUAL DUES	8,520			8,520
MEETING COSTS	2,979			2,355

## TY 2016 Other Increases Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	53,287,634

## TY 2016 Other Liabilities Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

<b>Description</b>	<b>Beginning of Year - Book Value</b>	<b>End of Year - Book Value</b>
DEFERRED EXCISE TAX LIABILITY	5,818,744	6,235,926

## TY 2016 Other Professional Fees Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION**EIN:** 94-3374170

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INFORMATION TECHNOLOGY	45,601			41,821
OTHER PROFESSIONAL FEES	2,148			2,148
CONTRACT SERVICES	75,000			75,000

## TY 2016 Taxes Schedule

**Name:** CHARLES AND HELEN SCHWAB FOUNDATION

**EIN:** 94-3374170

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
EXCISE TAX	982,541			