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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

2016

Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year **2016** or tax year beginning **2016**, and ending **2016**

THE MENTAL INSIGHT FOUNDATION
538 BROADWAY, SUITE A
SONOMA, CA 95476

A Employer identification number
94-3256579

B Telephone number (see instructions)
(707) 938-8248

C If exemption application is pending, check here.

D 1 Foreign organizations, check here.
2 Foreign organizations meeting the 85% test, check here and attach computation.

E If private foundation status was terminated under section 507(b)(1)(A), check here.

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

G Check all that apply:

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ **23,032,052.**

J Accounting method: Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule) . . .	49,642.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments.	131,567.	131,567.	N/A	
	4 Dividends and interest from securities	228,362.	228,362.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10.	-270,972.			
	b Gross sales price for all assets on line 6a 9,194,253.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain.				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold.					
c Gross profit or (loss) (attach schedule).					
11 Other income (attach schedule)					
SEE STATEMENT 1					
12 Total. Add lines 1 through 11.	362,971.	28,943.			
	501,570.	388,872.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	88,236.	8,824.		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST. 2	3,741.			3,741.
	b Accounting fees (attach sch) SEE ST. 3	84,963.	22,663.		62,300.
	c Other professional fees (attach sch).				
	17 Interest				
	18 Taxes (attach schedule) (see instrs) SEE STM. 4	392,055.	14,566.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy.				
	21 Travel, conferences, and meetings				
	22 Printing and publications.				
23 Other expenses (attach schedule)					
SEE STATEMENT 5					
24 Total operating and administrative expenses. Add lines 13 through 23	566,878.	192,354.		374,524.	
	1,135,873.	238,407.		440,565.	
25 Contributions, gifts, grants paid PART XV.	2,815,048.			2,815,048.	
26 Total expenses and disbursements. Add lines 24 and 25	3,950,921.	238,407.		3,255,613.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-3,449,351.				
b Net Investment Income (if negative, enter -0-)		150,465.			
c Adjusted net income (if negative, enter -0-)					

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Part III Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	556,984.	884,110.	884,110.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch.)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)	20,360,701.	18,660,015.	18,660,015.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)	2,789,748.	2,519,633.	2,519,633.	
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe ▶ SEE STATEMENT 6)	938,919.	968,294.	968,294.	
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I).	24,646,352.	23,032,052.	23,032,052.	
LIABILITIES	17	Accounts payable and accrued expenses	52,547.	84,600.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ SEE STATEMENT 7)	30,000.	1,080,000.	
23	Total liabilities (add lines 17 through 22)	82,547.	1,164,600.		
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	6,250,000.	6,250,000.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	18,313,805.	15,617,452.	
30	Total net assets or fund balances (see instructions)	24,563,805.	21,867,452.		
31	Total liabilities and net assets/fund balances (see instructions)	24,646,352.	23,032,052.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,563,805.
2	Enter amount from Part I, line 27a	2	-3,449,351.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	2,047,674.
4	Add lines 1, 2, and 3	4	23,162,128.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	1,294,676.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	21,867,452.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1 a SEE STATEMENT 10					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2	-241,868.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		[If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]		3	-445,694.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	2,531,404.	25,635,337.	0.098747
2014	2,589,572.	25,385,514.	0.102010
2013	1,818,413.	17,839,858.	0.101930
2012	2,062,343.	17,119,465.	0.120468
2011	1,832,332.	17,713,330.	0.103444
2 Total of line 1, column (d)			2 0.526599
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.			3 0.105320
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5.			4 24,225,709.
5 Multiply line 4 by line 3.			5 2,551,452.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 1,505.
7 Add lines 5 and 6.			7 2,552,957.
8 Enter qualifying distributions from Part XII, line 4.			8 3,255,613.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,505.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,505.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,505.
6 Credits/Payments:			
a 2016 estimated tax prmts and 2015 overpayment credited to 2016	6 a	44,775.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d		7	44,775.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	43,270.
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 43,270. Refunded		11	0.

Part VII(A) Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes.</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV.</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV.</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions).....	11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . Website address	13	X	
14	The books are in care of ▶ <u>VIRGINIA HUBBELL</u> Telephone no. ▶ <u>(707) 938-8248</u> Located at ▶ <u>538 BROADWAY, SUITE A SONOMA CA</u> ZIP + 4 ▶ <u>95476</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year..... ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?.. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?.....	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.....	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.).....	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.....	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.).....	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?.....	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?.....	4 b	X

Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If 'Yes,' attach the statement required by Regulations section 53.4945–5(d). N/A

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No N/A

5 b		N/A
6 b		X
7 b		

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		88,236.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
VIRGINIA HUBBELL ASSOCIATES 538 BROADWAY, SUITE A SONOMA, CA 95476	FOUNDATION MGMT.	370,068.

Total number of others receiving over \$50,000 for professional services		0

Part IX A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.....	1a	19,917,294.
b	Average of monthly cash balances.....	1b	885,317.
c	Fair market value of all other assets (see instructions).....	1c	3,792,017.
d	Total (add lines 1a, b, and c).....	1d	24,594,628.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).....	1e	0.
2	Acquisition indebtedness applicable to line 1 assets.....	2	0.
3	Subtract line 2 from line 1d.....	3	24,594,628.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions).....	4	368,919.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.....	5	24,225,709.
6	Minimum investment return. Enter 5% of line 5.....	6	1,211,285.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.....	1	1,211,285.
2a	Tax on investment income for 2016 from Part VI, line 5.....	2a	1,505.
b	Income tax for 2016. (This does not include the tax from Part VI.).....	2b	
c	Add lines 2a and 2b.....	2c	1,505.
3	Distributable amount before adjustments. Subtract line 2c from line 1.....	3	1,209,780.
4	Recoveries of amounts treated as qualifying distributions.....	4	
5	Add lines 3 and 4.....	5	1,209,780.
6	Deduction from distributable amount (see instructions).....	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.....	7	1,209,780.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26.....	1a	3,255,613.
b	Program-related investments — total from Part IX-B.....	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.....	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).....	3a	
b	Cash distribution test (attach the required schedule).....	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4....	4	3,255,613.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).....	5	1,505.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.....	6	3,254,108.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7.....				1,209,780.
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only.....			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011.....	953,288.			
b From 2012.....	1,217,016.			
c From 2013.....	938,016.			
d From 2014.....	1,339,552.			
e From 2015.....	1,609,918.			
f Total of lines 3a through e.....	6,057,790.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 3,255,613.				
a Applied to 2015, but not more than line 2a...			0.	
b Applied to undistributed income of prior years (Election required – see instructions).....		0.		
c Treated as distributions out of corpus (Election required – see instructions).....	0.			
d Applied to 2016 distributable amount.....				1,209,780.
e Remaining amount distributed out of corpus.....	2,045,833.			
5 Excess distributions carryover applied to 2016. (If an amount appears in column (d), the same amount must be shown in column (a).)				0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.....	8,103,623.			
b Prior years' undistributed income. Subtract line 4b from line 2b.....		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.....		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions.....		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount – see instructions.....			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017.....				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(p)(3) (Election may be required – see instructions).....	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	953,288.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.....	7,150,335.			
10 Analysis of line 9:				
a Excess from 2012.....	1,217,016.			
b Excess from 2013.....	938,016.			
c Excess from 2014.....	1,339,552.			
d Excess from 2015.....	1,609,918.			
e Excess from 2016.....	2,045,833.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVI Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year SEE STATEMENT 13</p>				
Total				3a 2,815,048.
<p>b Approved for future payment AT THE CROSSROADS 333 VALENCIA ST., SUITE 320 SAN FRANCISCO CA 94103</p>	NONE	PC	CHARITABLE	1,080,000.
Total				3b 1,080,000.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

THE MENTAL INSIGHT FOUNDATION

Employer identification number

94-3256579

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- 501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

THE MENTAL INSIGHT FOUNDATION

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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHARLES & HELEN SCHWAB C/O M.I.F. 538 BROADWAY, SUITE A SONOMA, CA 95476	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	THE KEKER FAMILY C/O M.I.F. 538 BROADWAY, SUITE A SONOMA, CA 95476	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
KIMPTON HOTEL GROUP, LLC.....	\$ 329,346.	\$ 30,190.	
WASH SALES.....	29,309.		
PRIVATE EQUITY CORE FD. 3.....	3,011.	-2,852.	
PRIVATE EQUITY CORE FD. 5.....	1,304.	1,604.	
MAVERON EQUITY PTNRS.....	1.	1.	
TOTAL	<u>\$ 362,971.</u>	<u>\$ 28,943.</u>	<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES.....	\$ 3,741.			\$ 3,741.
TOTAL	<u>\$ 3,741.</u>	<u>\$ 0.</u>		<u>\$ 3,741.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING.....	\$ 84,963.	\$ 22,663.		\$ 62,300.
TOTAL	<u>\$ 84,963.</u>	<u>\$ 22,663.</u>		<u>\$ 62,300.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX.....	\$ 294,775.			
STATE TAX.....	82,440.			
FOREIGN TAXES.....	14,566.	\$ 14,566.		
KGH PASSTHROUGH PAYMENTS.....	274.			
TOTAL	<u>\$ 392,055.</u>	<u>\$ 14,566.</u>		<u>\$ 0.</u>

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NT PRIVATE EQUITY FUND III DEDUCTIONS	\$ 18,593.	\$ 18,593.		
VOYAGER CAPITAL DEDUCTIONS.....	97.	97.		
FT VENTURES DEDUCTIONS	35.	35.		
MAVERON EQUITY PARTNER DEDUCTIONS.	58.	58.		
KGH LLC, DEDUCTIONS.....	2,502.	2,502.		
NT PRIVATE EQUITY FUND V DEDUCTIONS				
	23,844.	23,844.		
UBS MANAGEMENT FEES.....	147,225.	147,225.		
FOUNDATION ADMINISTRATION.....	370,068.			\$ 370,068.
ADMINISTRATIVE.....	373.			373.
INSURANCE.....	4,083.			4,083.
TOTAL	\$ 566,878.	\$ 192,354.		\$ 374,524.

STATEMENT 6
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
PREPAID INCOME TAX.....	\$ 178,481.	\$ 178,481.
REFUND RECEIVABLE.....	789,813.	789,813.
TOTAL	\$ 968,294.	\$ 968,294.

STATEMENT 7
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DONATION PAYABLE.....	\$ 1,080,000.
TOTAL	\$ 1,080,000.

STATEMENT 8
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

VOYAGER BOOK TO TAX.....	\$ 13,377.
NT PRIVATE EQUITY CORE FUND V BOOK TO TAX.....	12,420.
UBS 10236 BOOK TO TAX.....	1,989.
UBS 01171 BOOK TO TAX.....	506.
UBS 10234 BOOK TO TAX.....	26,257.
UBS 12511 BOOK TO TAX.....	1,674.
MERRILL LYNCH (POTBELLY GAIN) BOOK TO TAX.....	1,625.
FEDERAL TAX BOOK TO TAX.....	193,062.
STATE TAX BOOK TO TAX.....	76,140.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 8 (CONTINUED)
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

KGH, LLC BOOK TO TAX.....	\$	274.
NET UNREALIZED GAINS OR LOSSES ON INVESTMENTS.....		1,720,350.
	TOTAL \$	<u>2,047,674.</u>

STATEMENT 9
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

NT PRIVATE EQUITY CORE FUND III BOOK TO TAX.....	\$	30,643.
FT VENTURES BOOK TO TAX.....		958.
MAVERON BOOK TO TAX.....		1,625.
KIMPTON GROUP HOLDING, LLC BOOK TO TAX.....		172,073.
UBS 10233 BOOK TO TAX.....		401.
UBS 10235 BOOK TO TAX.....		8,976.
ACCRUED GRANTS PER BOOKS.....		1,080,000.
	TOTAL \$	<u>1,294,676.</u>

STATEMENT 10
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	PRIVATE EQUITY CORE FUND III PER K-1	PURCHASED	VARIOUS	VARIOUS
2	PRIVATE EQUITY CORE FUND III PER K-1	PURCHASED	VARIOUS	VARIOUS
3	VOYAGER CAPITAL FUND II-A PER K-1	PURCHASED	VARIOUS	VARIOUS
4	FINANCIAL TECH. VENTURES PER K-1	PURCHASED	VARIOUS	VARIOUS
5	KIMPTON GROUP HOLDING, LLC PER K-1	PURCHASED	VARIOUS	VARIOUS
6	PRIVATE EQUITY CORE FUND V PER K-1	PURCHASED	VARIOUS	VARIOUS
7	PRIVATE EQUITY CORE FUND V PER K-1	PURCHASED	VARIOUS	VARIOUS
8	UBS 10233	PURCHASED	VARIOUS	VARIOUS
9	UBS 10233	PURCHASED	VARIOUS	VARIOUS
10	UBS 10236	PURCHASED	VARIOUS	VARIOUS
11	UBS 10236	PURCHASED	VARIOUS	VARIOUS
12	UBS 01171	PURCHASED	VARIOUS	VARIOUS
13	UBS 01171	PURCHASED	VARIOUS	VARIOUS
14	UBS 10127	PURCHASED	VARIOUS	VARIOUS
15	UBS 10127	PURCHASED	VARIOUS	VARIOUS
16	UBS 10235	PURCHASED	VARIOUS	VARIOUS
17	UBS 10235	PURCHASED	VARIOUS	VARIOUS
18	UBS 10235	PURCHASED	VARIOUS	VARIOUS
19	UBS 12511	PURCHASED	VARIOUS	VARIOUS
20	UBS 12511	PURCHASED	VARIOUS	VARIOUS
21	POTBELLY CORP.	PURCHASED	VARIOUS	VARIOUS
23	WASH SALE			
23	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	0.		195.	-195.				\$ -195.
2	72,682.		0.	72,682.				72,682.

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STATEMENT 10 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
3	0.		14,598.	-14,598.				\$ -14,598.
4	934.		0.	934.				934.
5	0.		23,479.	-23,479.				-23,479.
6	1,002.		0.	1,002.				1,002.
7	24,269.		0.	24,269.				24,269.
8	675,474.		685,374.	-9,900.				-9,900.
9	1053501.		1056299.	-2,798.				-2,798.
10	2302171.		2592893.	-290,722.				-290,722.
11	351,341.		392,970.	-41,629.				-41,629.
12	570,598.		611,942.	-41,344.				-41,344.
13	1316426.		1104999.	211,427.				211,427.
14	1082284.		1155009.	-72,725.				-72,725.
15	129,498.		157,174.	-27,676.				-27,676.
16	371,901.		387,916.	-16,015.				-16,015.
17	299,403.		293,254.	6,149.				6,149.
18	110.		0.	110.				110.
19	806,500.		841,198.	-34,698.				-34,698.
20	127,117.		146,300.	-19,183.				-19,183.
21	6,364.		1,625.	4,739.				4,739.
23								29,104.
23								2,678.
								<u>TOTAL</u> <u>\$-241,868.</u>

STATEMENT 11
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
DAVID HERSKOVITS 43 S. PORTLAND AVE BROOKLYN, NY 11217	PRESIDENT 1.00	\$ 0.	\$ 0.	0.
ROBERT P. BUNJE 349 SAILFISH ISLE FOSTER CITY, CA 94404	TREASURER 8.00	44,118.	0.	0.
ISABELLE KIMPTON 101 CEDAR DRIVE #24 INCLINE VILLAGE, NV 89451	SECRETARY 1.00	0.	0.	0.
BARRY BUNSHOFT 1 MARKET ST., 20TH FLOOR SAN FRANCISCO, CA 94105	DIRECTOR 8.00	44,118.	0.	0.

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STATEMENT 11 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u>	<u>COMPEN- SATION</u>	<u>CONTRI- BUTION TO EBP & DC</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
JENNIFER CATHERINE EGAN 43 SOUTH PORTLAND AVE. BROOKLYN, NY 11217	DIRECTOR 1.00	\$ 0.	\$ 0.	\$ 0.
LAURA KIMPTON PO BOX 228 VINEBURG, CA 95487	DIRECTOR 1.00	0.	0.	0.
KAY KIMPTON WALKER 2620 JACKSON SAN FRANCISCO, CA 94115	CHAIR-AUDIT COM 1.00	0.	0.	0.
		TOTAL \$ 88,236.	\$ 0.	\$ 0.

STATEMENT 12
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	BOARD OF DIRECTORS
NAME:	MENTAL INSIGHT FOUNDATION
CARE OF:	538 BROADWAY, SUITE A
STREET ADDRESS:	SONOMA, CA 95476
CITY, STATE, ZIP CODE:	707 938-8248
TELEPHONE:	
E-MAIL ADDRESS:	
FORM AND CONTENT:	WRITTEN APPLICATION
SUBMISSION DEADLINES:	NONE
RESTRICTIONS ON AWARDS:	SEE STATEMENT

STATEMENT 13
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HOFFMAN INSTITUTE 223 SAN ANSELMO STE 4 SAN ANSELMO CA 94901	NONE	PC	CHARITABLE	\$ 50,000.
S.F. ART INSTITUTE 135 VAN NESS AVE SAN FRANCISCO CA 94102	NONE	PC	CHARITABLE	50,000.

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
AMAZON WATCH 1 HAIGHT ST. STE B SAN FRANCISCO CA 94102	NONE	PC	CHARITABLE	\$ 15,000.
MARIN HUMANE SOCIETY 171 BEL MARIN KEYS BLVD. NOVATO CA 94949	NONE	PC	CHARITABLE	25,000.
SERVICE WOMEN'S ACTION NETWORK 1779 MASSACHUSETTS AVE. WASHINGTON DC 20036	NONE	PC	CHARITABLE	30,000.
PIE HOLE-FRACTURED ATLAS 248 WEST 35TH STREET, 10TH FLOOR NEW YORK NY 10001	NONE	PC	CHARITABLE	1,000.
COALITION FOR HOMELESS, INC. 89 CHAMBERS ST. NEW YORK NY 10038	NONE	PC	CHARITABLE	50,000.
CENTER FOR MIND BODY MEDICINE 5225 CONNECTICUT AVE NW #414 WASHINGTON DC 20015	NONE	PC	CHARITABLE	15,000.
SAN FRANCISCO PUBLIC HEALTH FOUNDATION 375 LAGUNA HONDA BLVD. B303 SAN FRANCISCO CA 94116	NONE	PC	CHARITABLE	15,000.
THEATER ET AL INC 5-39 49TH AVE LONG ISLAND NY 11101	NONE	PC	CHARITABLE	30,000.
BRIDGE OVER TROUBLED WATERS 47 WEST STREET BOSTON MA 02111	NONE	PC	CHARITABLE	15,000.
AT THE CROSSROADS 333 VALENCIA ST., SUITE 320 SAN FRANCISCO CA 94103	NONE	PC	CHARITABLE	50,000.
DI ROSA PRESERVE: ART& NATURE 5200 CARNEROS HIGHWAY 121 NAPA CA 94559	NONE	PC	CHARITABLE	15,000.

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
EARTH ISLAND INSTITUTE 300 BROADWAY, SUITE 28 SAN FRANCISCO CA 94133	NONE	PC	CHARITABLE	\$ 45,000.
FRIENDS OF PALM SPRINGS ANIMAL SHELTER 4575 E. MESQUITE AVE. PALM SPRINGS CA 92264	NONE	PC	CHARITABLE	70,000.
IMMEDIATE LIFE INC./REV. BILLY P. O. BOX 1556 NEW YORK NY 10013	NONE	PC	CHARITABLE	15,000.
FARM SANCTUARY INC. P.O. BOX 150 WATKINS GLEN NY 14891	NONE	PC	CHARITABLE	15,000.
FOOD RUNNERS 2579 WASHINGTON STREET SAN FRANCISCO CA 94115	NONE	PC	CHARITABLE	25,000.
HOSPITALITY HOMES P.O. BOX 15265 BOSTON MA 02215	NONE	PC	CHARITABLE	25,000.
OXBOW SCHOOL 530 3RD STREET NAPA CA 94559	NONE	PC	CHARITABLE	5,000.
SISTER SYLVESTER-FRACTURED ATLAS 15 MAIDEN LANE, FLOOR 18 NEW YORK NY 10038	NONE	PC	CHARITABLE	1,000.
SWORDS TO PLOWSHARES 1060 HOWARD STREET SAN FRANCISCO CA 94103	NONE	PC	CHARITABLE	30,000.
HALLECK CREEK RANCH 174 OLD RANCHERIA RD NICASIO CA 94946	NONE	PC	CHARITABLE	15,000.
FOREVER FREE HORSE RESCUE 78450 AVENUE 41 BERMUDA DUNES CA 92203	NONE	PC	CHARITABLE	20,000.
SKY'S THE LIMIT FUND 510A VALLEY WAY MILPITAS CA 95035	NONE	PC	CHARITABLE	15,000.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BUSHWICK STARR, INC. 207 STARR STREET BROOKLYN NY 11237	NONE	PC	CHARITABLE	\$ 16,000.
MULTIDISCIPLINARY ASSOCIATION 1215 MISSION STREET SANTA CRUZ CA 95060	NONE	PC	CHARITABLE	80,000.
BRIDGE FUND OF NEW YORK CITY, INC. 271 MADISON AVENUE, SUITE 907 NEW YORK NY 10016	NONE	PC	CHARITABLE	50,000.
OCEAN FOUNDATION 1320 19TH ST, NW 5TH FLOOR WASHINGTON DC 20036	NONE	PC	CHARITABLE	50,000.
RIVERKEEPER 20 SECOR ROAD OSSINING NY 10562	NONE	PC	CHARITABLE	50,000.
CENTER FOR WELLNESS AND ACHIEVEMENT 401 VAN NESS STE. 319 SAN FRANCISCO CA 94102	NONE	PC	CHARITABLE	15,000.
FARMER-VETERAN COALITION 508 2ND ST. #206 DAVIS CA 95616	NONE	PC	CHARITABLE	15,000.
ANIMAL RESCUE CORPS 1380 MONROE ST. NW #326 WASHINGTON DC 20010	NONE	PC	CHARITABLE	5,000.
CUTTING BALL THEATRE 277 TAYLOR ST. SAN FRANCISCO CA 94102	NONE	PC	CHARITABLE	15,000.
GIVE BACK YOGA FOUNDATION 900 BASELINE ROAD 13B BOULDER CO 80302	NONE	PC	CHARITABLE	15,000.
GROCERYSHIPS 1101 W. 23RD ST. LOS ANGELES CA 90007	NONE	PC	CHARITABLE	15,000.
HAMILTON FAMILY CENTER 1631 HAYES ST. SAN FRANCISCO CA 94117	NONE	PC	CHARITABLE	30,000.

THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HOME FOR CONTEMP. THEATRE & ART 145 6TH AVE. NEW YORK NY 10013	NONE	PC	CHARITABLE	\$ 50,000.
HOMELESS CHILDREN'S NEWTORK 3450 3RD ST. #1C SAN FRANCISCO CA 94124	NONE	PC	CHARITABLE	30,000.
HOMELESS PRENATAL PROGRAM 2500 18TH STREET SAN FRANCISCO CA 94110	NONE	PC	CHARITABLE	15,000.
INQUIRING SYSTEMS, INC. 21885 BONNESS RD. SONOMA CA 95476	NONE	PC	CHARITABLE	105,000.
INSTITUTE FOR INTEGRATIVE HEALTH 1407 FLEET ST. BALTIMORE MD 21231	NONE	PC	CHARITABLE	100,000.
IRAQ & AFGANISTAN VETS OF AMERICA 114 W. 41ST STREET, 19TH FLOOR NEW YORK NY 10036	NONE	PC	CHARITABLE	50,000.
JERICHO PROJECT 51 GOLDEN ASTER CT. BRISBANE CA 94005	NONE	PC	CHARITABLE	20,349.
PROJECT AVARY 1623 5TH AVE. SAN RAFAEL CA 94901	NONE	PC	CHARITABLE	25,000.
PROJECT BREAD 145 BORDER ST. BOSTON MA 02128	NONE	PC	CHARITABLE	30,000.
RAW ART WORKS 37 CENTRAL SQUARE #2 LYNN MA 01901	NONE	PC	CHARITABLE	40,000.
REDFORD CENTER P.O. BOX 29144 SAN FRANCISCO CA 94129	NONE	PC	CHARITABLE	30,000.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
SPIRIT ROCK MEDITATION CENTER 5000 SIR FRANCIS DRAKE BLVD. WOODACRE CA 94973	NONE	PC	CHARITABLE	\$ 50,000.
UCSF FOUNDATION 1545 DIVISADERO ST. SAN FRANCISCO CA 94115	NONE	PC	CHARITABLE	80,000.
WOMEN'S LUNCH PLACE 67 NEWBURY STREET BOSTON MA 02116	NONE	PC	CHARITABLE	15,000.
AWEN GROVE 67 ROCCA DRIVE FAIRFAX CA 94930	NONE	PC	CHARITABLE	15,000.
BOULDER CREST RETREAT 18370 BLUEMONT VILLAGE LN. BLUEMONT VA 20135	NONE	PC	CHARITABLE	45,000.
CARBON CYCLE INSTITUTE 245 KENTUCKY ST., STE. D PETALUMA CA 94952	NONE	PC	CHARITABLE	75,000.
HARUNALEE-THE FIELD 75 MAIDEN LANE STE. 906 NEW YORK NY 10038	NONE	PC	CHARITABLE	1,000.
A HOST OF PEOPLE - MIAMI FUND 40 NW 3RD STREET, STE. 305 MIAMI FL 33128	NONE	PC	CHARITABLE	1,000.
ALLIANCE OF RESIDENT THEATRES/NY 520 EIGHTH AVE. #119 NEW YORK NY 10018	NONE	PC	CHARITABLE	5,000.
ANIMAL LEGAL DEFENSE FUND 525 E COTATI AVE. COTATI CA 94931	NONE	PC	CHARITABLE	100,000.
AXEMEN MC NY3 PO BOX 801 BETHPAGE NY 11714	NONE	PC	CHARITABLE	15,000.
BAY CHAMBER CONCERTS 18 CENTRAL ST. ROCKPORT ME 04856	NONE	PC	CHARITABLE	1,000.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 13 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
BURAN THEATRE-FRAC. ATLAS 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	\$ 1,000.
CANAL ALLIANCE 91 LARKSPUR ST. SAN RAFAEL CA 94901	NONE	PC	CHARITABLE	15,000.
CENTER COMMUNITY CHG-HUMAN RIGHTS GIRLS 151 ELLIS ST., NE ATLANTA GA 30303	NONE	PC	CHARITABLE	15,000.
CENTER FOR INDEPENDENT DOCUMENTARY 1300 SOLDIERS FIELD ROAD, SUITE #4 BOSTON MA 02135	NONE	PC	CHARITABLE	10,000.
CHILDREN OF THE NIGHT 14530 SYLVAN ST. VAN NUYS CA 91411	NONE	PC	CHARITABLE	15,000.
CLEAN OCEAN ACTION, INC. 18 HARTSHORNE DR., SUITE 2 HIGHLANDS NJ 07732	NONE	PC	CHARITABLE	22,000.
CLUBBED THUMB, INC. 440 LAFAYETTE ST., 4TH FLOOR NEW YORK NY 10003	NONE	PC	CHARITABLE	22,000.
COALITION OF IMMOKALEE WORKERS 110 S. 2ND ST. IMMOKALEE FL 34142	NONE	PC	CHARITABLE	35,000.
COLLECTED OBJECTS, INC. 100 NEWELL ST. APT. 2R BROOKLYN NY 11222	NONE	PC	CHARITABLE	2,000.
CREATIVE GROWTH ART CENTER 355 24TH ST. OAKLAND CA 94612	NONE	PC	CHARITABLE	15,000.
CROSSING JAMAICA AVENUE, INC. 1205 LEXINGTON AVE. #2C NEW YORK NY 10028	NONE	PC	CHARITABLE	1,000.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 13 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
DANIEL FISH PRODUCTIONS-FRACT.ATLAS 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	\$ 2,000.
ECPAT - USA 30 3RD AVE. #800A BROOKLYN NY 11217	NONE	PC	CHARITABLE	15,000.
GLOBAL FOOTPRINT NETWORK 426 17TH ST. #700 OAKLAND CA 94607	NONE	PC	CHARITABLE	100,000.
GREAT SMALL WORKS, INC. 315 WEST 86TH ST., SUITE 4E NEW YORK NY 10024	NONE	PC	CHARITABLE	1,000.
HEALTH CARE WITHOUT WALLS 148 LINDEN ST. #208 WELLESLEY MA 02482	NONE	PC	CHARITABLE	15,000.
INSTITUTE OF NOETIC SCIENCES 101 SAN ANTONIO RD. PETALUMA CA 94952	NONE	PC	CHARITABLE	60,000.
JACK ARTS, INC. 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	2,500.
LAVA MAE 1015 FILLMORE ST. #379 SAN FRANCISCO CA 94115	NONE	PC	CHARITABLE	15,000.
LITTLE LORD-FRACTURED ATLAS 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	2,000.
MASSACHUSETTS COALITION FOR HOMELESS 73 BUFFUM ST. LYNN MA 01902	NONE	PC	CHARITABLE	130,000.
MCLEAN HOSPITAL FOUNDATION 115 MILL ST. BELMONT MA 02478	NONE	PC	CHARITABLE	76,142.
MY STUFF BAGS FOUNDATION 5347 STERLING CENTER DR. WESTLAKE VILLAGE CA 91361	NONE	PC	CHARITABLE	35,000.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
NELLIE TINDER-THE FIELD 75 MAIDEN LANE, SUITE 906 NEW YORK NY 10038	NONE	PC	CHARITABLE	\$ 1,000.
NEPAL YOUTH FOUNDATION 3030 BRIDGEWAY #202 SAUSALITO CA 94965	NONE	PC	CHARITABLE	15,000.
NEW OHIO THEATER 154 CHRISTOPHER ST. #1E NEW YORK NY 10014	NONE	PC	CHARITABLE	2,500.
NEW SALOON-FRACT. ATLAS 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	1,000.
NEW YIDDISH REPERTORY THEATER, INC. 33 51 STREET B2 WEEHAWKEN NJ 07086	NONE	PC	CHARITABLE	2,000.
OCEAN RESEARCH & CONSERVATION ASSOC. 1420 SEAWAY DR. FORT PIERCE FL 34949	NONE	PC	CHARITABLE	25,000.
PACIFIC ENVIRONMENT & RESOURCE CENTER 473 PINE ST. SAN FRANCISCO CA 94104	NONE	PC	CHARITABLE	15,000.
PECULIAR WORKS LABORATORY 595 BROADWAY 2ND FL NEW YORK NY 10012	NONE	PC	CHARITABLE	2,000.
PENNY GEORGE INSTITUTE FND. 3915 GOLDEN VALLEY ROAD MINNEAPOLIS MN 55422	NONE	PC	CHARITABLE	30,000.
POLYBE + SEATS-FRAC. ATLAS 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	1,000.
QUESTBRIDGE 445 SHERMAN AVE., STE. 100 PALO ALTO CA 94306	NONE	PC	CHARITABLE	10,000.
REGENERATION PROJECT 369 PINE ST. #700 SAN FRANCISCO CA 94104	NONE	PC	CHARITABLE	15,000.

THE MENTAL INSIGHT FOUNDATION

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STATEMENT 13 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
SIGNAL-RETURN, INC. 1345 DIVISION ST. #102 DETROIT MI 48207	NONE	PC	CHARITABLE	\$ 15,000.
STAND 1410 DANZIG PLAZA CONCORD CA 94520	NONE	PC	CHARITABLE	80,000.
TALKING BAND, INC. 93 MERCER ST. NEW YORK NY 10012	NONE	PC	CHARITABLE	3,000.
THE TANK 151 W. 46TH STREET, 8TH FL. NEW YORK NY 10036	NONE	PC	CHARITABLE	1,000.
THEATER RECONSTRUCTION ENSEMBLE, INC. 138 S. OXFORD ST. BROOKLYN NY 11217	NONE	PC	CHARITABLE	2,000.
TITLE - POINT PRODUCTIONS-FRACTURED ATLA 248 W. 35TH ST. NEW YORK NY 10001	NONE	PC	CHARITABLE	2,000.
TOO YOUNG TO WED PO BOX 897 MOHEGAN LAKE NY 10547	NONE	PC	CHARITABLE	15,000.
UNCHAINED AT LAST 208 LENOX AVE. #189 WESTFIELD NJ 07090	NONE	PC	CHARITABLE	15,000.
UNIV. SETTLEMENT SOCIETY OF NY, INC. 184 ELDRIDGE ST. NEW YORK NY 10002	NONE	PC	CHARITABLE	30,000.
URBAN REVIVAL, INC. 284 ARMORY ST. JAMAICA PLAIN MA 02130	NONE	PC	CHARITABLE	16,557.
VISUAL THINKING STRATEGIES 25 BROADWAY, FLOOR 9 NEW YORK NY 10004	NONE	PC	CHARITABLE	10,000.
YUNG YIDISH-CA INST. YIDDISH CULTURE/LAN 333 WASHINGTON BLVD. #118 MARINA DEL REY CA 90292	NONE	PC	CHARITABLE	3,000.

TOTAL \$ 2,815,048.

THE MENTAL INSIGHT FOUNDATION

94-3256579

PART XV, LINE 2 D

DISTRIBUTIONS IN THE FORM OF GRANTS OR LOANS ONLY TO ORGANIZATIONS (I.E. THE FOUNDATION HAS NO PLANS TO MAKE GRANTS OR AWARD SCHOLARSHIPS TO INDIVIDUALS) EXEMPT FROM FEDERAL INCOME TAX UNDER IRC SECTION 501(C)(3) AND CLASSIFIED AS PUBLIC CHARITIES UNDER SECTION 509(A)(1), (2), OR (3) AND OTHER ORGANIZATIONS EXCLUSIVELY FOR CHARITABLE, SCIENTIFIC OR EDUCATIONAL PURPOSES.

THE SPECIFIC CHARITABLE FOCUS OF THE FOUNDATION'S GRANTS INITIALLY WILL BE ASSISTING INDIVIDUALS TO DEVELOP HEALTHIER MENTAL ATTITUDES, AND PROMOTING MEANINGFUL INSIGHTS INTO THE HUMAN MIND AND THE NATURE OF HUMAN RELATIONSHIPS. THE FOUNDATION'S EARLY GRANTS WILL ATTEMPT TO ADDRESS THE LACK OF RESEARCH WITH RESPECT TO THE THERAPEUTIC EFFECTS OF MEDITATION. IN ADDITION TO FUNDING THESE TREATMENTS, THE FOUNDATION WILL MAKE GRANTS TO RESEARCHERS WHO WILL STUDY THE EFFECTS ON PARTICIPANTS' BRAINWAVES. SPECIAL EMPHASIS WILL BE GIVEN TO THE STUDY OF DEPRESSIVE STATES, ALTERNATIVES TO MEDICATION, AND THE IMPACT OF MEDITATION ON STRESSFUL LIVES.



Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Clearbridge
Account number:

Your Financial Advisor:
CLIFFORD/BARNOWSKI
415-963-5200/888-660-5750

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
Cash	0.00	18.00					
UBS BANK USA BUS ACCT	73,799.41	89,394.73					250,000.00
Total	\$73,799.41	\$89,412.73					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
A P MOLLAR-MAERSK A/S UNSPON ADR								
Symbol: AMKBY Exchange: OTC								
EAI: \$343 Current yield 1.83%	Apr 30, 15	47,000	9.970	468.59	8.000	376.00	-92.59	LT
Security total	Jun 17, 15	2,302,000	9.035	20,800.87	8.000	18,416.00	-2,384.87	LT
		2,349,000	9.055	21,269.46		18,792.00	-2,477.46	
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange: NYSE								
EAI: \$620 Current yield 2.07%	Jun 3, 16	256,000	118.546	30,348.03	117.130	29,985.28	-362.75	ST

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Clearbridge
Account number: 1

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ADECCO GROUP AG UNSPONSORED								
ADR								
Symbol: AHEXY Exchange: OTC								
EAI: \$281 Current yield: 1.41%	Jun 3, 16	609 000	30 575	18,620 18	32 650	19,883 85	1,263.67	ST
AKZO NOBEL NV ADR								
Symbol: AKZOY Exchange: OTC								
EAI: \$564 Current yield: 2.28%	Jun 3, 16	1,197 000	23 306	27,898 07	20 700	24,777 90	-3,120 17	ST
AMBARELLA INC								
Symbol: AMBA Exchange: OTC								
EAI: \$525 Current yield: 4.60%	Jun 3, 16	234 000	46 657	10,917 78	54 130	12,666 42	1,748 64	ST
AMEC PLC ORD SPON ADR								
Symbol: AMFW Exchange: NYSE								
EAI: \$525 Current yield: 4.60%	Jun 3, 16	1,997 000	6 152	12,287 54	5 710	11,402 87	-884 67	ST
AVIVA PLC SPON ADR								
Symbol: AVVIY Exchange: OTC								
EAI: \$410 Current yield: 4.65%	Apr 15, 15	317 000	16 629	5,271.58	11 810	3,743 77	-1,527 81	LT
	Jun 17, 15	429 000	16 006	6,866 62	11 810	5,066 49	-1,800 13	LT
Security total		746 000	16 271	12,138.20		8,810.26	-3,327.94	
AXA ADR								
Symbol: AXAHY Exchange: OTC								
EAI: \$1,235 Current yield: 4.04%	Jun 3, 16	1,214 000	24 701	29,988.03	25 200	30,592 80	604 77	ST
BANCO LATINOAMERICANO DE								
COMERCIO EXTERIOR, S A ORD								
Symbol: BLX Exchange: NYSE								
EAI: \$387 Current yield: 5.24%	Jun 3, 16	251 000	27 255	6,841 01	29 440	7,389.44	548 43	ST
BANCO SANTANDER S A SPON ADR								
Symbol: SAN Exchange: NYSE								
EAI: \$787 Current yield: 3.30%	Jun 3, 16	4,553 000	4 567	20,798 10	5 180	23,584 54	2,786 44	ST
	Earnings	52 000	4 907	255 18	5 180	269 36	14 18	
Security total		4,605 000	4 572	21,053 28		23,853 90	2,800 62	
BAYER A G SPON ADR								
Symbol: BAYRY Exchange: OTC								
EAI: \$328 Current yield: 2.00%	Jun 3, 16	157 000	101 260	15,897 82	104.280	16,371 96	474 14	ST
BNP PARIBAS SA ADR								
Symbol: BNPQY Exchange: OTC								
EAI: \$886 Current yield: 3.48%	Jun 3, 16	800 000	26 352	21,082 08	31 850	25,480 00	4,397 92	ST

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December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Clearbirdap
Account number:

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
BRAMBLES LTD SPON ADR								
Symbol: BXBLY Exchange: OTC								
EAI: \$483 Current yield: 2.26%	Jun 17, 15	753 000	17 080	12,861 24	17 830	13,425 99	564 75	LT
	Jun 3, 16	446 000	18 755	8,364 73	17 830	7,952 18	-412 55	ST
Security total		1,199 000	17 703	21,225 97		21,378 17	152 20	
CEMEX S A B DE C V SPON ADR								
Symbol: CX Exchange: NYSE	Jun 3, 16	1,519 000	6 406	9,731 93	8 030	12,197.57	2,465 64	ST
GENOVUS ENERGY INC CAD								
Symbol: CVE Exchange: NYSE								
EAI: \$96 Current yield: 0.99%	Jun 3, 16	643 000	15 317	9,849 02	15 130	9,728 59	-120 43	ST
CAD Exchange rate: 1.34105								
COMPAGNIE DE SAINT-GOBAIN ADR								
Symbol: CODY Exchange: OTC	Jun 3, 16	2,460 000	8 787	21,617 35	9 220	22,681 20	1,063 85	ST
EAI: \$487 Current yield: 2.15%								
DAIWA SECURITIES CO LTD								
Symbol: DSEEE Exchange: OTC	Jun 3, 16	2,668 000	5 600	14,940 81	6 120	16,328 16	1,387 35	ST
EAI: \$424 Current yield: 2.60%								
DANONE SPON ADR								
Symbol: DANOY Exchange: OTC	Jun 3, 16	1,646 000	14 288	23,518 90	12 580	20,706 68	-2,812 22	ST
EAI: \$385 Current yield: 1.86%								
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol: DTEGY Exchange: OTC	Jun 3, 16	1,200 000	17 727	21,273 00	17 100	20,520 00	-753.00	ST
EAI: \$713 Current yield: 3.47%								
ENSCO PLC CL A								
Symbol: ESV Exchange: NYSE	Jun 3, 16	231 000	9 255	2,137 91	9 720	2,245 32	107 41	ST
EAI: \$9 Current yield: 0.40%								
H LUNDBECK A/S SPON ADR								
Symbol: HLUY Exchange: OTC	Jun 3, 16	514 000	39 580	20,344 12	40 754	20,947 55	603 43	ST
HEIDELBERGCEMENT AG								
UNSPONSORED ADR								
Symbol: HDELY Exchange: OTC	Jun 3, 16	1,500 000	16 950	25,425 00	18 620	27,930 00	2,505 00	ST
EAI: \$288 Current yield: 1.03%								

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Clearbridge
Account number:

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CLIFFORD/BARNOWSKI
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
HITACHI LTD ADR NEW JAPAN								
Symbol: HTHIY Exchange: OTC								
EAI: \$302 Current yield: 1.70%	Jun 3, 16	329 000	44 925	14,780 33	54 000	17,766 00	2,985 67	ST
HONDA MOTOR CO ADR JAPAN ADR								
Symbol: HMC Exchange: NYSE								
EAI: \$687 Current yield: 2.49%	Jun 3, 16	947 000	27 079	25,644.03	29 190	27,642 93	1,998.90	ST
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol: HSBC Exchange: NYSE								
EAI: \$2,091 Current yield: 6.35%	Jun 3, 16	820 000	32 488	26,640 32	40 180	32,947 60	6,307.28	ST
HYPERMARCAS S A SPON ADR								
Symbol: HYPMY Exchange: OTC								
EAI: \$142 Current yield: 1.51%	Jun 3, 16	1,187 000	8 260	9,804 62	7 900	9,377 30	-427 32	ST
INCITEC PIVOT LTD SPON ADR								
Symbol: INCZY Exchange: OTC								
EAI: \$375 Current yield: 2.23%	Jun 3, 16	6,587 000	2 478	16,324 24	2 550	16,796 85	472 61	ST
ITAU UNIBANCO HLDG SA ADR								
Symbol: ITUB Exchange: NYSE								
EAI: \$85 Current yield: 0.52%	Jun 3, 16	1,455 400	8 420	12,254 47	10 280	14,961 51	2,707 04	ST
Security total	Oct 18, 16	145 600	11 407	1,660 93	10 280	1,496 77	-164 16	ST
		1,601 000	8 692	13,915 40		16,458 28	2,542 88	
KB FINL GROUP INC SPON ADR								
Symbol: KB Exchange: NYSE								
EAI: \$166 Current yield: 1.94%	Jun 3, 16	242,000	29 125	7,048 25	35 290	8,540 18	1,491 93	ST
KT CORP SPON ADR								
Symbol: KT Exchange: NYSE								
EAI: \$157 Current yield: 1.22%	Jun 3, 16	917 000	14 427	13,229.83	14 090	12,920 53	-309 30	ST
LG DISPLAY CO LTD ADS ADR								
Symbol: LPL Exchange: NYSE								
EAI: \$152 Current yield: 1.16%	Jun 3, 16	1,024 000	10 416	10,666 50	12 850	13,158 40	2,491 90	ST
LLOYDS BANKING GROUP PLC SPON ADR								
Symbol: LYG Exchange: NYSE								
EAI: \$314 Current yield: 4.23%	Jun 3, 16	2,395 000	4 149	9,936.86	3.100	7,424 50	-2,512 36	ST

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Clearbridge
Account number:

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415-963-5200/888-660-5750

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$354 Current yield: 3.38%	Jun 3, 16	556,000	18.256	10,150.56	18.830	10,469.48	318.92	ST
NATIONAL GRID PLC NEW SPON ADR								
Symbol: NGG Exchange: NYSE								
EAI: \$872 Current yield: 5.07%	Jun 3, 16	295,000	72.028	21,248.35	58.330	17,207.35	-4,041.00	ST
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$395 Current yield: 2.71%	Jun 3, 16	203,000	75.130	15,251.39	71.740	14,563.22	-688.17	ST
NINTENDO LTD ADR NEW JAPAN ADR								
Symbol: NTD0Y Exchange: OTC								
EAI: \$34 Current yield: 0.41%	Sep 22, 16	321,000	33.650	10,801.65	25.950	8,329.95	-2,471.70	ST
NORSK HYDRO A S NEW NORWAY SPON ADR								
Symbol: NHYDY Exchange: OTC								
EAI: \$250 Current yield: 2.10%	Jul 29, 15	1,496,000	3.863	5,780.40	4.720	7,061.12	1,280.72	LT
	Aug 13, 15	1,032,000	3.507	3,619.43	4.720	4,871.04	1,251.61	LT
Security total		2,528,000	3.718	9,399.83		11,932.16	2,532.33	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$318 Current yield: 3.16%	Apr 15, 15	12,000	102.760	1,233.12	72.840	874.08	-359.04	LT
	Jun 17, 15	126,000	101.269	12,759.96	72.840	9,177.84	-3,582.12	LT
Security total		138,000	101.399	13,993.08		10,051.92	-3,941.16	
POSCO SPON ADR								
Symbol: PKX Exchange: NYSE								
EAI: \$94 Current yield: 0.76%	Jun 3, 16	234,000	44.700	10,459.89	52.550	12,296.70	1,836.81	ST
RIO TINTO PLC SPON ADR								
Symbol: RIO Exchange: NYSE								
EAI: \$502 Current yield: 3.93%	Jun 3, 16	332,000	28.209	9,365.69	38.460	12,768.72	3,403.03	ST
ROYAL DUTCH SHELL PLC CL A SPON ADR								
Symbol: RDS A Exchange: NYSE								
EAI: \$1,678 Current yield: 5.88%	Jun 3, 16	525,000	49.383	25,926.25	54.380	28,549.50	2,623.25	ST

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Managed Accounts Consulting
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ROYAL KPN N V SPON ADR								
Symbol: KPNY Exchange: OTC								
EAI: \$3,086 Current yield: 14.77%	Jun 3, 16	6,966,000	4.087	28,471.02	3.000	20,898.00	-7,573.02	ST
SAFRAN SA ADR								
Symbol: SAFRY Exchange: OTC								
EAI: \$584 Current yield: 1.57%	Jun 3, 16	2,070,000	17.524	36,276.71	17.970	37,197.90	921.19	ST
SENSATA TECHNOLOGIES HLDG NV								
EUR								
Symbol: ST Exchange: NYSE								
EUR Exchange rate: 0.94809	Oct 7, 16	135,000	39.649	5,352.70	38.950	5,258.25	-94.45	ST
SONY CORP ADR NEW JAPAN ADR								
Symbol: SNE Exchange: NYSE								
EAI: \$173 Current yield: 0.55%	Jun 3, 16	1,132,000	28.106	31,816.56	28.030	31,729.96	-86.60	ST
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE								
EAI: \$1,031 Current yield: 3.43%	Apr 15, 15	98,000	19.870	1,947.26	18.240	1,787.52	-159.74	LT
	Jun 17, 15	917,000	18.599	17,056.11	18.240	16,726.08	-330.03	LT
	Jun 3, 16	634,000	16.047	10,173.99	18.240	11,564.16	1,390.17	ST
Security total		1,649,000	17.694	29,177.36		30,077.76	900.40	
SUMITOMO MITSUI FINANCIAL SPON ADR								
Symbol: SMFG Exchange: NYSE								
EAI: \$756 Current yield: 3.12%	Jun 17, 15	1,597,000	8.529	13,622.25	7.640	12,201.08	-1,421.17	LT
	Jun 3, 16	1,579,000	6.185	9,766.12	7.640	12,063.56	2,297.44	ST
Security total		3,176,000	7.364	23,388.37		24,264.64	876.27	
TDK CORP ADR JAPAN ADR								
Symbol: TTDKY Exchange: OTC								
EAI: \$246 Current yield: 1.43%	Jun 3, 16	251,000	55.575	13,949.33	68.550	17,206.05	3,256.72	ST
TECHNIP SA NEW SPON ADR								
Symbol: TKPPY Exchange: OTC								
TELEPERFORMANCE SA ADR								
Symbol: TLPFY Exchange: OTC								
EAI: \$151 Current yield: 1.02%	Jun 3, 16	295,000	44.794	13,214.36	50.259	14,826.40	1,612.04	ST

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
TRAVELSKY TECHNOLOGY LTD								
REPSH H SHS SPON ADR								
Symbol: TSYHY Exchange: OTC								
EAI: \$81 Current yield: 0.98%	Jun 3, 16	392,000	20,000	7,840,000	21,024	8,241,400	401,400	ST
TURKIYE GARANTI BK SPON ADR								
Symbol: TKGBY Exchange: OTC								
EAI: \$98 Current yield: 1.71%	Jun 3, 16	2,736,000	2,576	7,048,740	2,090	5,718,240	-1,330,500	ST
UBS GROUP AG CHF								
Symbol: UBS Exchange: NYSE								
EAI: \$874 Current yield: 4.36%	Jun 3, 16	1,278,000	14,776	18,884,370	15,670	20,026,260	1,141,890	ST
CHF Exchange rate: 1.01635								
UNILEVER NV NY SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$606 Current yield: 2.91%	Sep 9, 15	508,000	39,958	20,298,870	41,060	20,858,480	559,610	LT
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$769 Current yield: 6.11%	Jun 3, 16	515,000	33,778	17,395,820	24,430	12,581,450	-4,814,370	ST
VOESTALPINE AG ADR								
Symbol: VLPNY Exchange: OTC								
EAI: \$279 Current yield: 1.88%	Jun 3, 16	1,884,000	6,955	13,103,220	7,866	14,819,540	1,716,320	ST
WIENERBERGER BAUSTROFININDUSTRIE								
AKTIENGESELLSCHAFT ADR \$0.01								
SPON ADR								
Symbol: WBRBY Exchange: OTC								
EAI: \$176 Current yield: 0.81%	Jun 3, 16	6,082,000	3,719	22,620,000	3,570	21,712,740	-907,260	ST
Total				\$969,775.94		\$989,606.34	\$19,830.40	

Total estimated annual income: \$27,129



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/Interest per period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	28,521.56	36,564.43					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
AFFILIATED MANAGERS GROUP								
Symbol: AMG	Apr 27, 16	167,000	179.041	29,899.93	145.300	24,265.10	-5,634.83	ST
AMERICAN EAGLE OUTFITTERS INC								
Symbol: AEO	Jul 22, 16	1,213,000	17.025	20,651.93	15.170	18,401.21	-2,250.72	ST
EAI: \$715	Aug 19, 16	216,000	18.767	4,053.76	15.170	3,276.72	-777.04	ST
Security total		1,429,000	17.289	24,705.69		21,677.93	-3,027.76	
AMERSOURCEBERGEN CORP								
Symbol: ABC	Apr 15, 15	120,000	113.718	13,646.23	78.190	9,382.80	-4,263.43	LT
EAI: \$479	Jun 17, 15	99,000	111.589	11,047.39	78.190	7,740.81	-3,306.58	LT
Security total	Aug 13, 15	109,000	103.906	11,325.86	78.190	8,522.71	-2,803.15	LT
Security total		328,000	109.815	36,019.48		25,646.32	-10,373.16	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
BIG LOTS INC								
Symbol: BIG Exchange: NYSE								
EAI: \$494 Current yield: 1.67%	Jan 20, 16	588 000	36 356	21,377.50	50.210	29,523.48	8,145.98	ST
BORGWARNER INC								
Symbol: BWA Exchange: NYSE								
EAI: \$493 Current yield: 1.42%	Apr 1, 16	797 000	37 534	29,914.60	39.440	31,433.68	1,519.08	ST
	May 25, 16	83 000	33 647	2,792.73	39.440	3,273.52	480.79	ST
Security total		880 000	37 167	32,707.33		34,707.20	1,999.87	
CIRRUS LOGIC INC								
Symbol: CRUS Exchange OTC								
	May 26, 16	320 000	36 641	11,725.38	56.540	18,092.80	6,367.42	ST
	Aug 29, 16	276 000	51 137	14,113.92	56.540	15,605.04	1,491.12	ST
Security total		596 000	43 355	25,839.30		33,697.84	7,858.54	
CONVERGYS CORP								
Symbol: CVG Exchange NYSE								
EAI: \$409 Current yield: 1.47%	Jan 22, 16	1,135 000	23 619	26,808.59	24.560	27,875.60	1,067.01	ST
DELUXE CORP								
Symbol: DLX Exchange NYSE								
EAI: \$601 Current yield: 1.68%	Apr 15, 15	97 000	68 990	6,692.03	71.610	6,946.17	254.14	LT
	Apr 23, 15	22 000	67 604	1,487.29	71.610	1,575.42	88.13	LT
	May 21, 15	26 000	66 400	1,726.41	71.610	1,861.86	135.45	LT
	Jun 17, 15	192 000	64 588	12,400.99	71.610	13,749.12	1,348.13	LT
	Aug 13, 15	164 000	62 954	10,324.59	71.610	11,744.04	1,419.45	LT
Security total		501 000	65 132	32,631.31		35,876.61	3,245.30	
DST SYSTEMS INC DELA								
Symbol: DST Exchange NYSE								
EAI: \$323 Current yield 1.23%	May 9, 16	245 000	119.935	29,384.12	107.150	26,251.75	-3,132.37	ST
FEDERATED INVESTORS INC PA								
CL B								
Symbol: FII Exchange: NYSE								
EAI: \$748 Current yield 3.54%	Sep 16, 16	748 000	31 768	23,762.76	28.280	21,153.44	-2,609.32	ST
FMC TECHNOLOGIES INC								
Symbol: FTI Exchange NYSE								
	Oct 7, 15	492 000	34 052	16,753.63	35.530	17,480.76	727.13	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
FOOT LOCKER INC								
Symbol: FL Exchange NYSE								
EAI: \$399 Current yield 1.55%	Sep 13, 16	363 000	65 144	23,647.44	70 890	25,733.07	2,085.63	ST
F5 NETWORKS INC								
Symbol: FFIV Exchange OTC								
	Apr 15, 15	95 000	114 220	10,850.90	144 720	13,748.40	2,897.50	LT
	Jun 17, 15	82 000	127 299	10,438.59	144 720	11,867.04	1,428.45	LT
	Aug 13, 15	87 000	132 469	11,524.88	144 720	12,590.64	1,065.76	LT
Security total		264 000	124 297	32,814.37		38,206.08	5,391.71	
GLOBUS MED INC CL A								
Symbol: GMED Exchange NYSE								
	Apr 15, 15	208 000	25 410	5,285.43	24 810	5,160.48	-124.95	LT
	Jun 17, 15	171 000	25 680	4,391.28	24 810	4,242.51	-148.77	LT
	Aug 13, 15	188 000	26 230	4,931.24	24 810	4,664.28	-266.96	LT
	Oct 26, 15	170 000	21 620	3,675.42	24 810	4,217.70	542.28	LT
Security total		737 000	24 808	18,283.37		18,284.97	1.60	
H B FULLER CO								
Symbol: FUL Exchange NYSE								
EAI: \$437 Current yield: 1.16%	Apr 7, 16	505 000	42 865	21,646.83	48 310	24,396.55	2,749.72	ST
	Apr 20, 16	276 000	45 476	12,551.65	48 310	13,333.56	781.91	ST
Security total		781 000	43,788	34,198.48		37,730.11	3,531.63	
HUB GROUP INC CL A								
Symbol: HUBG Exchange OTC								
	Mar 2, 16	629 000	37 123	23,350.49	43 750	27,518.75	4,168.26	ST
	Apr 1, 16	126 000	40 094	5,051.89	43 750	5,512.50	460.61	ST
Security total		755 000	37 619	28,402.38		33,031.25	4,628.87	
ILG INC COM								
Symbol: ILG Exchange OTC								
EAI: \$953 Current yield: 2.64%	Jun 9, 16	1,985 000	15 070	29,913.95	18 170	36,067.45	6,153.50	ST
INGREDION INC COM								
Symbol: INGR Exchange NYSE								
EAI: \$744 Current yield 1.60%	Apr 15, 15	134 000	78 758	10,553.67	124 960	16,744.64	6,190.97	LT
	Jun 17, 15	115 000	81 565	9,380.04	124 960	14,370.40	4,990.36	LT
	Aug 13, 15	123 000	92 543	11,382.84	124 960	15,370.08	3,987.24	LT
Security total		372 000	84,184	31,316.55		46,485.12	15,168.57	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
INTER PARFUMS INC								
Symbol: IPAR Exchange: OTC								
EAI \$630 Current yield: 2.08%	Apr 15, 15	339 000	32 890	11,149 91	32 750	11,102 25	-47 66	LT
	Jun 17, 15	294 000	34 623	10,179 25	32 750	9,628 50	-550 75	LT
	Aug 13, 15	294 000	28 900	8,496 60	32 750	9,628 50	1,131 90	LT
Security total		927,000	32,174	29,825 76		30,359 25	533.49	
LABORATORY CORP AMER HLDGS NEW								
Symbol: LH Exchange: NYSE								
	Apr 15, 15	46 000	126 460	5,817 16	128 380	5,905 48	88 32	LT
	Jun 17, 15	83 000	120 350	9,989 05	128 380	10,655 54	666.49	LT
	Aug 13, 15	87 000	123 670	10,759 29	128 380	11,169 06	409 77	LT
Security total		216,000	122,988	26,565 50		27,730 08	1,164 58	
LENNOX INTL INC								
Symbol: LI Exchange: NYSE								
EAI \$217 Current yield: 1.12%	Jun 17, 15	46 000	111 849	5,145 09	153 170	7,045 82	1,900 73	LT
	Aug 13, 15	80 000	123 136	9,850 92	153 170	12,253 60	2,402 68	LT
Security total		126,000	119 016	14,996 01		19,299 42	4,303 41	
LITHIA MOTORS INC CL A								
Symbol: LAD Exchange: NYSE								
EAI \$358 Current yield: 1.03%	Nov 23, 16	358 000	91 116	32,619 53	96 830	34,665.14	2,045 61	ST
MANHATTAN ASSOC INC								
Symbol: MANH Exchange: OTC								
	May 17, 16	283 000	61 053	17,278 28	53 030	15,007 49	-2,270 79	ST
MANPOWERGROUP INC								
Symbol: MAN Exchange: NYSE								
EAI \$449 Current yield: 1.94%	Aug 5, 16	261 000	70 085	18,292 19	88 870	23,195 07	4,902 88	ST
MEDICAL PROPERTIES TRUST INC REIT								
Symbol: MPW Exchange: NYSE								
EAI \$2,275 Current yield: 7.48%	Dec 20, 16	2,473 000	12 249	30,293 95	12 300	30,417 90	123 95	ST
METTLER-TOLEDO INTL								
Symbol: MTD Exchange: NYSE								
	Mar 15, 16	35 000	331 435	11,600 24	418 560	14,649 60	3,049 36	ST
MICROSTRATEGY INC NEW CL A								
Symbol: MSTR Exchange: OTC								
	Dec 21, 16	195 000	200.271	39,052 92	197 400	38,493 00	-559 92	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
MINERALS TECHNOLOGIES INC								
Symbol: MTX Exchange: NYSE								
EAI: \$103 Current yield: 0.26%	Mar 14, 16	304,000	53.086	16,138.26	77.250	23,484.00	7,345.74	ST
	Apr 20, 16	213,000	59.957	12,771.05	77.250	16,454.25	3,683.20	ST
Security total		517,000	55.917	28,909.31		39,938.25	11,028.94	
MSG NETWORKS INC								
Symbol: MSGN Exchange: NYSE	Aug 12, 16	1,772,000	15.718	27,853.77	21.500	38,098.00	10,244.23	ST
OGI ENERGY CORP								
Symbol: OGE Exchange: NYSE								
EAI: \$849 Current yield: 3.62%	Oct 14, 16	702,000	30.429	21,361.44	33.450	23,481.90	2,120.46	ST
PRIMERICA INC								
Symbol: PRI Exchange: NYSE								
EAI: \$320 Current yield: 1.04%	Oct 12, 16	445,000	55.533	24,712.23	69.150	30,771.75	6,059.52	ST
SELECT COMFORT CORP								
Symbol: SCSS Exchange: OTC								
	Jun 11, 15	192,000	31.320	6,013.57	22.620	4,343.04	-1,670.53	LT
	Jun 17, 15	165,000	29.320	4,837.80	22.620	3,732.30	-1,105.50	LT
	Aug 13, 15	176,000	25.760	4,533.76	22.620	3,981.12	-552.64	LT
	Oct 19, 15	271,000	22.990	6,230.29	22.620	6,130.02	-100.27	LT
	May 5, 16	243,000	24.345	5,915.93	22.620	5,496.66	-419.27	ST
	Jul 20, 16	198,000	23.516	4,656.33	22.620	4,478.76	-177.57	ST
Security total		1,245,000	25.854	32,187.68		28,161.90	-4,025.78	
SELECT MEDICAL HOLDINGS CORP								
Symbol: SEM Exchange: NYSE	Jul 26, 16	1,516,000	11.544	17,501.92	13.250	20,087.00	2,585.08	ST
SERVISFIRST BANCSHARES INC								
Symbol: SFBS Exchange: OTC								
EAI: \$75 Current yield: 0.43%	Dec 23, 15	466,000	24.102	11,231.74	37.440	17,447.04	6,215.30	LT
SPIRIT AIRLINES INC								
Symbol: SAVE Exchange: OTC	Apr 15, 16	310,000	49.564	15,365.02	57.860	17,936.60	2,571.58	ST
SYNAPTICS INC								
Symbol: SYNA Exchange: OTC								
	Jun 17, 15	46,000	100.590	4,627.14	53.580	2,464.68	-2,162.46	LT
	Aug 13, 15	155,000	74.299	11,516.48	53.580	8,304.90	-3,211.58	LT
Security total		201,000	80.317	16,143.62		10,769.58	-5,374.04	

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
TANGER FACTORY OUTLET								
CENTERS INC								
Symbol: SKT Exchange: NYSE								
EAI: \$612 Current yield: 3 63%	Oct 24, 16	471 000	36 544	17,212 60	35 780	16,852 38	-360.22	ST
TREX CO INC								
Symbol: TREX Exchange: NYSE	Apr 18, 16	288 000	49 277	14,191 89	64 400	18,547 20	4,355 31	ST
UBIQUITI NETWORKS INC								
Symbol: UBNT Exchange: OTC	Dec 21, 16	568 000	57 913	32,894 72	57 800	32,830 40	-64 32	ST
UNITED THERAPEUTICS CORP								
Symbol: UTHR Exchange: OTC	Apr 15, 15	26 000	186 540	4,850 04	143 430	3,729 18	-1,120 86	LT
	Jun 17, 15	51 000	187 636	9,569 44	143 430	7,314 93	-2,254 51	LT
	Aug 13, 15	53 000	164 120	8,698 36	143 430	7,601 79	-1,096 57	LT
	Jun 6, 16	120 000	118 034	14,164 16	143 430	17,211 60	3,047 44	ST
Security total		250 000	149 128	37,282 00		35,857 50	-1,424 50	
UNTD RENTALS INC								
Symbol: URI Exchange: NYSE	Feb 19, 16	515 000	47 666	24,548 35	105 580	54,373 70	29,825 35	ST
VARIAN MEDICAL SYSTEMS INC								
Symbol: VAR Exchange: NYSE	Apr 15, 15	94 000	94 070	8,842 58	89 780	8,439 32	-403 26	LT
	Jun 17, 15	80 000	86 210	6,896 80	89 780	7,182 40	285 60	LT
	Aug 13, 15	84 000	86 990	7,307 16	89 780	7,541 52	234 36	LT
Security total		258 000	89 328	23,046 54		23,163 24	116 70	
WABCO HOLDINGS INC								
Symbol: WBC Exchange: NYSE	May 26, 16	279 000	105 267	29,369 77	106 150	29,615 85	246 08	ST
WESCO AIRCRAFT HLDGS INC COM								
Symbol: WAIR Exchange: NYSE	Dec 9, 16	1,253 000	15 463	19,376 14	14 950	18,732 35	-643 79	ST
WILLIAMS SONOMA INC								
Symbol: WSM Exchange: NYSE	Jul 22, 16	546 000	53 695	29,317 85	48 390	26,420 94	-2,896 91	ST
EAI: \$808 Current yield: 3 06%								
Total				\$1,141,497.15		\$1,250,596.61	\$109,099.46	

Total estimated annual income: \$13,491



ACCESS
December 2016

Account name:
Account number:

MENTAL INSIGHT FOUNDATION

Your Financial Advisor:
CLIFFORD/BARNOWSKI
415-963-5200/888-660-5750

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	104,930.07	168,514.05					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ALLIANCE DATA SYSTEMS CORP								
Symbol ADS Exchange NYSE								
EAI 1.057 Current yield 0.91%								
	Oct 15, 14	121,000	235.706	28,520.52	228.500	27,648.50	-872.02	LT
	Feb 5, 15	85,000	274.573	23,338.72	228.500	19,422.50	-3,916.22	LT
	Apr 14, 15	54,000	299.710	16,184.34	228.500	12,339.00	-3,845.34	LT
	Jun 17, 15	39,000	297.860	11,616.54	228.500	8,911.50	-2,705.04	LT
	Jul 29, 15	61,000	273.837	16,704.11	228.500	13,938.50	-2,765.61	LT
	Aug 13, 15	47,000	269.870	12,683.89	228.500	10,739.50	-1,944.39	LT
	Sep 24, 15	61,000	251.979	15,370.72	228.500	13,938.50	-1,432.22	LT
	Jan 15, 16	40,000	249.142	9,965.68	228.500	9,140.00	-825.68	ST
Security total		508,000	264.536	134,384.52		116,078.00	-18,306.52	
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Jan 19, 16	67,000	720.824	48,295.27	792.450	53,094.15	4,798.88	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 16	28 000	718 041	20,105 16	792 450	22,188 60	2,083 44	ST
	Feb 5, 16	43 000	711 086	30,576 74	792 450	34,075 35	3,498 61	ST
	Jun 23, 16	34 000	703 502	23,919 07	792 450	26,943 30	3,024 23	ST
Security total		172 000	714 513	122,896 24		136,301 40	13,405 16	
AMER EXPRESS CO								
Symbol: AXP Exchange: NYSE								
EAI: \$2,569 Current yield: 1 73%								
	Feb 11, 13	40 000	62 009	2,480 39	74 080	2,963 20	482 81	LT
	Jul 19, 13	128 000	74 231	9,501 59	74 080	9,482 24	-19 35	LT
	Feb 5, 15	170 000	84 552	14,373 96	74 080	12,593 60	-1,780 36	LT
	Feb 12, 15	107 000	81 231	8,691 72	74 080	7,926 56	-765 16	LT
	Apr 14, 15	300 000	79 536	23,860 92	74 080	22,224 00	-1,636 92	LT
	Apr 17, 15	338 000	77 182	26,087 55	74 080	25,039 04	-1,048 51	LT
	Jun 17, 15	259 000	80 235	20,780 99	74 080	19,186 72	-1,594 27	LT
	Jun 17, 15	18 000	80 648	1,451 68	74 080	1,333 44	-118 24	LT
	Jul 29, 15	30 000	75 609	2,268 27	74 080	2,222 40	-45 87	LT
	Aug 13, 15	265 000	80 791	21,409 67	74 080	19,631 20	-1,778 47	LT
	Nov 24, 15	178 000	71 364	12,702 92	74 080	13,186 24	483 32	LT
	Jan 15, 16	174 000	62 266	11,041 10 ²	74 080	12,889 92	1,848 82	LT
Security total		2,007 000	77 056	154,650 76		148,678 56	-5,972 20	
AMERISOURCEBERGEN CORP								
Symbol: ABC Exchange: NYSE								
EAI: \$3,453 Current yield: 1 87%								
	Oct 12, 16	868 000	80 182	69,598 67	78 190	67,868 92	-1,729 75	ST
	Oct 17, 16	517 000	79 755	41,233 65	78 190	40,424 23	-809 42	ST
	Oct 28, 16	587 000	71 057	41,710 93	78 190	45,897 53	4,186 60	ST
	Dec 6, 16	214 000	79 435	16,999 11	78 190	16,732 66	-266 45	ST
	Dec 8, 16	179 000	77 083	13,798 02	78 190	13,996 01	197 99	ST
Security total		2,365 000	77 522	183,340 38		184,919 35	1,578 97	
BECTON DICKINSON & CO								
Symbol: BDX Exchange: NYSE								
EAI: \$3,592 Current yield: 1 76%								
	Feb 5, 10	96 000	74 500	7,152 04	165 550	15,892 80	8,740 76	LT
	Feb 24, 10	510 000	77 995	39,777 45	165 550	84,430 50	44,653 05	LT
	Apr 22, 10	207 000	76 834	15,904 64	165 550	34,268 85	18,364 21	LT
	Mar 2, 11	24 000	79 704	1,912 91	165 550	3,973 20	2,060 29	LT

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 14, 15	123 000	141 080	17,352 84	165 550	20,362 65	3,009 81	LT
	Jun 17, 15	96 000	139 210	13,364 16	165 550	15,892 80	2,528 64	LT
	Aug 13, 15	97 000	148 080	14,363 76	165 550	16,058 35	1,694 59	LT
	Jan 15, 16	77 000	142.308	10,957.79	165 550	12,747 35	1,789.56	ST
Security total		1,230 000	98 200	120,785 59		203,626 50	82,840 91	
CIMAREX ENERGY CO								
Symbol: XEC Exchange: NYSE								
EAI \$248 Current yield 0 24%								
	Sep 9, 11	244 000	67 369	16,438 18	135 900	33,159 60	16,721.42	LT
	Nov 28, 14	100 000	104 819	10,481 96	135 900	13,590.00	3,108 04	LT
	Apr 14, 15	43 000	127 360	5,476 48	135 900	5,843 70	367 22	LT
	Jun 17, 15	46 000	118 870	5,468 02	135.900	6,251 40	783 38	LT
	Aug 13, 15	38 000	115 160	4,376 08	135 900	5,164 20	788.12	LT
	Dec 28, 15	229 000	88 945	20,368 63	135 900	31,121 10	10,752 47	LT
	Dec 29, 15	32 000	89 902	2,876 89	135 900	4,348 80	1,471 91	LT
	Jan 15, 16	44 000	82 531	3,631 39	135 900	5,979 60	2,348 21	ST
Security total		776 000	89 069	69,117 63		105,458 40	36,340.77	
DANAHER CORP								
Symbol: DHR Exchange: NYSE								
EAI \$1,088 Current yield 0 64%								
	Apr 22, 08	124 000	27 732	3,438 78	77 840	9,652 16	6,213 38	LT
	Jul 23, 09	588,000	23 387	13,751 60	77.840	45,769.92	32,018.32	LT
	Apr 18, 13	396 000	43 896	17,383 14	77.840	30,824 64	13,441.50	LT
	Apr 14, 15	263 000	64 353	16,924 93	77.840	20,471 92	3,546 99	LT
	Jun 17, 15	219 000	63 753	13,962 09	77 840	17,046 96	3,084 87	LT
	Aug 13, 15	177 000	68 291	12,087 54	77 840	13,777 68	1,690 14	LT
	Sep 24, 15	254 000	63 623	16,160 39	77 840	19,771 36	3,610 97	LT
	Jan 15, 16	155 000	64.399	9,981.86	77 840	12,065.20	2,083 34	ST
Security total		2,176 000	47 652	103,690 33		169,379 84	65,689 51	
DELPHI AUTOMOTIVE PLC								
Symbol: DLPH Exchange: NYSE								
EAI \$2,537 Current yield 1 72%								
	Mar 11, 16	727 000	70 433	51,204 86	67.350	48,963 45	-2,241 41	ST
	May 4, 16	218 000	70 279	15,320 87	67.350	14,682 30	-638 57	ST
	Jun 21, 16	222 000	69 255	15,374 77	67 350	14,951 70	-423 07	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		2,187,000	67,892	148,478.77	67,350	147,294.45	-1,184.32	ST
EBAY INC								
Symbol: EBAY Exchange: OTC								
	Nov 11, 16	558,000	65,301	36,438.18	67,350	37,581.30	1,143.12	ST
	Dec 1, 16	462,000	65,238	30,140.09	67,350	31,115.70	975.61	ST
	Apr 30, 14	43,000	20,416	877.93	29,690	1,276.67	398.74	LT
	Jun 20, 14	285,000	19,277	5,494.17	29,690	8,461.65	2,967.48	LT
	Oct 17, 14	319,000	18,992	6,058.68	29,690	9,471.11	3,412.43	LT
	Apr 14, 15	330,000	22,279	7,352.25	29,690	9,797.70	2,445.45	LT
	Jun 17, 15	278,000	23,737	6,599.16	29,690	8,253.82	1,654.66	LT
	Aug 13, 15	256,000	28,035	7,177.09	29,690	7,600.64	423.55	LT
	Oct 8, 15	938,000	24,096	22,602.33	29,690	27,849.22	5,246.89	LT
	Jan 15, 16	235,000	25,049	5,886.73	29,690	6,977.15	1,090.42	ST
	Jan 29, 16	874,000	23,081	20,172.88	29,690	25,949.06	5,776.18	ST
	Feb 3, 16	737,000	23,279	17,156.77	29,690	21,881.53	4,724.76	ST
	Feb 3, 16	430,000	23,279	10,010.06	29,690	12,766.70	2,756.64	ST
	Jun 8, 16	439,000	24,186	10,618.05	29,690	13,033.91	2,415.86	ST
	Dec 9, 16	320,000	29,959	9,586.98	29,690	9,500.80	-86.18	ST
Security total		5,484,000	23,631	129,593.08		162,819.96	33,226.88	

EQT CORP

Symbol: EQT Exchange: NYSE
EAI: \$201 Current yield: 0.18%

Security total

EVERSOURCE ENERGY COM
Symbol: ES Exchange: NYSE
EAI: \$3.658 Current yield: 3.22%

	Dec 13, 16	834,000	69,768	58,186.76	65,400	54,543.60	-3,643.16	ST
	Dec 14, 16	838,000	70,675	59,226.24	65,400	54,805.20	-4,421.04	ST
Security total		1,672,000	70,223	117,413.00		109,348.80	-8,064.20	
	Apr 14, 15	168,000	50,149	8,425.18	55,230	9,278.64	853.46	LT
	May 18, 15	510,000	49,412	25,200.12	55,230	28,167.30	2,967.18	LT
	Jun 16, 15	155,000	46,559	7,216.71	55,230	8,560.65	1,343.94	LT
	Jun 17, 15	354,000	46,325	16,399.05	55,230	19,551.42	3,152.37	LT
	Aug 13, 15	300,000	50,876	15,262.92	55,230	16,569.00	1,306.08	LT
	Sep 15, 15	294,000	46,650	13,715.10	55,230	16,237.62	2,522.52	LT
	Jan 15, 16	274,000	51,256	14,044.31	55,230	15,133.02	1,088.71	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		2,055,000	48,790	100,263.39		113,497.65	13,234.26	
GILDAN ACTIVEWEAR INC CAD								
Symbol: GIL Exchange: NYSE								
EAI: \$559 Current yield: 1.23%								
CAD Exchange rate: 1.34105								
	Mar 10, 15	1,251,000	29.312	36,670.37	25.370	31,737.87	-4,932.50	LT
	Apr 14, 15	159,000	31.760	5,049.84	25.370	4,033.83	-1,016.01	LT
	Jun 17, 15	160,000	33.274	5,323.97	25.370	4,059.20	-1,264.77	LT
	Aug 13, 15	127,000	32.156	4,083.86	25.370	3,221.99	-861.87	LT
	Jan 15, 16	94,000	25.531	2,399.97	25.370	2,384.78	-15.19	ST
Security total		1,791,000	29.887	53,528.01		45,437.67	-8,090.34	
GRAINGER W W INC								
Symbol: GWW Exchange: NYSE								
EAI: \$4,246 Current yield: 2.10%								
	Apr 14, 10	94,000	111.845	10,513.52	232.250	21,831.50	11,317.98	LT
	Feb 11, 14	21,000	236.820	4,973.22	232.250	4,877.25	-95.97	LT
	Jul 15, 14	107,000	244.869	26,201.06	232.250	24,850.75	-1,350.31	LT
	Sep 19, 14	87,000	253.567	22,060.39	232.250	20,205.75	-1,854.64	LT
	Oct 15, 14	91,000	235.290	21,411.46	232.250	21,134.75	-276.71	LT
	Feb 5, 15	36,000	240.611	8,662.00	232.250	8,361.00	-301.00	LT
	Apr 14, 15	63,000	237.680	14,973.84	232.250	14,631.75	-342.09	LT
	Apr 20, 15	109,000	239.683	26,125.51	232.250	25,315.25	-810.26	LT
	Jun 17, 15	75,000	238.880	17,916.00	232.250	17,418.75	-497.25	LT
	Aug 13, 15	60,000	226.316	13,578.96	232.250	13,935.00	356.04	LT
	Sep 24, 15	44,000	210.954	9,282.00	232.250	10,219.00	937.00	LT
	Nov 18, 15	38,000	196.390	7,462.82	232.250	8,825.50	1,362.68	LT
	Jan 15, 16	45,000	187.175	8,422.88	232.250	10,451.25	2,028.37	ST
Security total		870,000	220.211	191,583.66		202,057.50	10,473.84	
HUNT J B TRANS SVCS INC								
Symbol: JBHT Exchange: OTC								
EAI: \$1,228 Current yield: 0.91%								
	Dec 3, 15	661,000	77.294	51,091.47	97.070	64,163.27	13,071.80	LT
	Dec 18, 15	229,000	70.351	16,153.33 ²	97.070	22,229.03	6,075.70	LT
	Jan 15, 16	57,000	64.900	3,699.30	97.070	5,532.99	1,833.69	ST
	Jan 20, 16	185,000	64.735	11,975.99	97.070	17,957.95	5,981.96	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 18, 16	263 000	79 241	20,840 54	97.070	25,529 41	4,688 87	ST
		1,395 000	74 380	103,760 63		135,412 65	31,652.02	
INTERCONTINENTALEXCHANGE GROUP								
Symbol: ICE Exchange NYSE								
EAI: \$2,152 Current yield 1.21%								
	May 8, 14	1,110 000	39 013	43,305 51	56 420	62,626 20	19,320.69	LT
	Jun 20, 14	190 000	38 739	7,360 44	56 420	10,719 80	3,359.36	LT
	Sep 4, 14	810 000	37 083	30,037 67	56 420	45,700 20	15,662.53	LT
	Apr 14, 15	350 000	45 648	15,976 80	56 420	19,747 00	3,770 20	LT
	Jun 17, 15	255 000	46 868	11,951 34	56 420	14,387 10	2,435.76	LT
	Aug 13, 15	250 000	47 580	11,895 00	56 420	14,105 00	2,210 00	LT
	Jan 15, 16	200 000	49 219	9,843 96	56 420	11,284.00	1,440 04	ST
Security total		3,165,000	41 191	130,370 72		178,569.30	48,198 58	
INTUIT								
Symbol: INTU Exchange OTC								
EAI: \$2,062 Current yield 1.19%								
	Jul 2, 13	346 000	62 554	21,643 89	114 610	39,655 06	18,011 17	LT
	Aug 25, 14	128 000	82 202	10,521 87	114 610	14,670 08	4,148 21	LT
	Apr 14, 15	175 000	97 742	17,104 99	114 610	20,056 75	2,951.76	LT
	Jun 17, 15	143 000	103 569	14,810 40	114 610	16,389 23	1,578 83	LT
	Aug 13, 15	144 000	104 610	15,063.84	114 610	16,503 84	1,440 00	LT
	Aug 21, 15	132 000	90 521	11,948 82	114 610	15,128 52	3,179 70	LT
	Aug 27, 15	310 000	84 487	26,191 22	114 610	35,529 10	9,337 88	LT
	Jan 15, 16	138 000	90 945	12,550 41	114 610	15,816 18	3,265 77	ST
Security total		1,516 000	85 643	129,835 44		173,748 76	43,913.32	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange NYSE								
EAI: \$3,066 Current yield. 2.22%								
	Jan 22, 16	854 000	56 591	48,329.31	86.290	73,691 66	25,362.35	ST
	Jan 27, 16	209 000	56 764	11,863 80	86 290	18,034 61	6,170 81	ST
	Feb 1, 16	418 000	58 833	24,592 24	86 290	36,069 22	11,476 98	ST
	Dec 9, 16	116 000	85 338	9,899 24	86 290	10,009 64	110 40	ST
Security total		1,597 000	59 289	94,684 59		137,805 13	43,120.54	
KROGER COMPANY								
Symbol: KR Exchange NYSE								
EAI: \$1,869 Current yield. 1.39%								
	Sep 8, 16	1,817 000	30 997	56,321.55	34.510	62,704 67	6,383 12	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Sep 12, 16	905 000	30 910	27,974.36	34 510	31,231 55	3,257 19	ST
	Sep 16, 16	865 000	31 113	26,913 52	34 510	29,851 15	2,937 63	ST
	Dec 8, 16	307 000	34 022	10,444 85	34 510	10,594 57	149 72	ST
Security total		3,894,000	31 241	121,654 28		134,381 94	12,727 66	
LEVEL 3 COMMUNICATIONS INC								
Symbol	LVLTX	Exchange	NYSE					
	Sep 4, 13	0 487	41 336	20.11	56 360	27.42	7 31	LT
	Sep 25, 14	257 514	46 329	11,930 60	56 360	14,513 46	2,582 86	LT
	Apr 14, 15	120 000	52 849	6,341 99	56 360	6,763 20	421 21	LT
	Jun 17, 15	100 000	54 385	5,438 55	56 360	5,636.00	197.45	LT
	Jul 29, 15	251 000	49 120	12,329 22	56 360	14,146 36	1,817 14	LT
	Aug 13, 15	129 000	48 139	6,210 01	56 360	7,270 44	1,060 43	LT
	Sep 21, 15	589 000	47 015	27,692 42	56 360	33,196 04	5,503 62	LT
	Sep 23, 15	371 000	44 622	16,554 95	56 360	20,909 56	4,354.61	LT
	Dec 11, 15	480 000	52 145	25,029 60	56 360	27,052 80	2,023 20	LT
	Jan 15, 16	185 000	46 086	8,526 06	56 360	10,426 60	1,900 54	ST
	Feb 9, 16	248 000	43 851	10,875 22	56 360	13,977 28	3,102 06	ST
	Sep 30, 16	369 000	46 559	17,180 57	56 360	20,796 84	3,616 27	ST
Security total		3,100,000	47 784	148,129 30		174,716 00	26,586 70	
MANPOWERGROUP INC								
Symbol	MAN	Exchange	NYSE					
EAI	\$2,002	Current yield	1 94%					
	Jan 21, 15	806 000	66 960	53,969 92	88 870	71,629 22	17,659.30	LT
	Apr 14, 15	112 000	84 030	9,411 47	88 870	9,953 44	541 97	LT
	Jun 17, 15	87 000	88 741	7,720 55	88 870	7,731 69	11 14	LT
	Aug 13, 15	94 000	94 900	8,920 60	88 870	8,353 78	-566 82	LT
	Jan 15, 16	65 000	73 910	4,804 15	88 870	5,776 55	972 40	ST
Security total		1,164,000	72 875	84,826 69		103,444 68	18,617.99	
MASTERCARD INC CL A								
Symbol	MA	Exchange	NYSE					
EAI	\$1,037	Current yield	0 85%					
	Sep 16, 10	628 000	21 086	13,242 18	103 250	64,841 00	51,598.82	LT
	Apr 14, 15	90 000	89 280	8,035 20	103 250	9,292 50	1,257 30	LT
	Jun 17, 15	69 000	94 235	6,502 22	103 250	7,124 25	622 03	LT
	Aug 13, 15	75 000	97 470	7,310 25	103 250	7,743.75	433 50	LT

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 15, 16	59 000	88 070	5,196 13	103 250	6,091 75	895 62	ST
	Jan 29, 16	257 000	85 618	22,003 93	103 250	26,535 25	4,531 32	ST
Security total		1,178 000	52 878	62,289 91		121,628 50	59,338 59	
MEDTRONIC PLC								
Symbol: MDT Exchange NYSE								
EAI: \$3,173 Current yield: 2.41%								
	Jan 8, 16	632 000	74.886	47,328 14	71.230	45,017.36	-2,310 78	ST
	Jan 15, 16	40 000	73 310	2,932.40	71 230	2,849 20	-83 20	ST
	Jan 22, 16	287 000	75 507	21,670 65	71 230	20,443 01	-1,227 64	ST
	Feb 17, 16	344 000	75 632	26,017.61	71 230	24,503 12	-1,514.49	ST
	Mar 1, 16	222 000	73 406	16,296 20	71 230	15,813 06	-483 14	ST
	Mar 18, 16	214 000	76 283	16,324 73	71 230	15,243 22	-1,081 51	ST
	Dec 9, 16	106 000	73 378	7,778 09	71 230	7,550 38	-227 71	ST
Security total		1,845 000	74 985	138,347.82		131,419 35	-6,928 47	
MOTOROLA SOLUTIONS INC								
Symbol: MSI Exchange NYSE								
EAI: \$2,107 Current yield: 2.27%								
	Apr 5, 16	708 000	75 947	53,771 18	82 890	58,686 12	4,914 94	ST
	May 16, 16	413 000	71 086	29,358 93	82 890	34,233 57	4,874 64	ST
Security total		1,121 000	74 157	83,130 11		92,919 69	9,789 58	
NETSCOUT SYSTEMS INC								
Symbol: NTCT Exchange OTC								
	Apr 22, 08	856 000	15 317	13,112 11	31 500	26,964 00	13,851 89	LT
	Jun 29, 15	1,039 000	36 504	37,928 38	31 500	32,728 50	-5,199 88	LT
	Aug 13, 15	178 000	40 450	7,200 10	31 500	5,607 00	-1,593 10	LT
	Jan 15, 16	135 000	26 050	3,516 75	31 500	4,252 50	735 75	ST
	Feb 12, 16	703 000	19 853	13,956 94	31 500	22,144 50	8,187 56	ST
Security total		2,911 000	26 010	75,714 28		91,696 50	15,982 22	
NEWELL BRANDS INC								
Symbol: NWL Exchange NYSE								
EAI: \$3,626 Current yield: 1.70%								
	Mar 6, 13	219 000	23 918	5,238 13	44 650	9,778 35	4,540 22	LT
	Jun 13, 13	1,125 000	27 186	30,584 81	44 650	50,231 25	19,646 44	LT
	Aug 8, 13	403 000	26 862	10,825 71	44 650	17,993 95	7,168 24	LT
	Oct 9, 13	444 000	26 631	11,824 21	44 650	19,824 60	8,000.39	LT
	Mar 17, 14	217 000	29 567	6,416 19	44 650	9,689 05	3,272 86	LT
	May 20, 14	274 000	29 214	8,004 80	44 650	12,234 10	4,229 30	LT

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MENTAL INSIGHT FOUNDATION

Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 14, 15	677 000	39 807	26,949 88	44 650	30,228 05	3,278 17	LT
	Jun 17, 15	499 000	41 099	20,508 85	44 650	22,280 35	1,771 50	LT
	Aug 13, 15	490 000	43 153	21,144 97	44 650	21,878 50	733 53	LT
	Jan 15, 16	423 000	36 820	15,574 86	44,650	18,886 95	3,312 09	ST
Security total		4,771 000	32 922	157,072 41		213,025 15	55,952.74	
NOBLE ENERGY INC								
Symbol: NBL Exchange NYSE								
EAI \$1.995 Current yield 1.05%								
	Aug 31, 10	337 000	34 746	11,709 54	38 060	12,826.22	1,116 68	LT
	Jun 26, 12	632,000	38 928	24,602 75	38 060	24,053.92	-548 83	LT
	Feb 10, 14	250 000	66 388	16,597 00	38 060	9,515 00	-7,082 00	LT
	Sep 25, 14	251,000	69 409	17,421.81	38 060	9,553 06	-7,868 75	LT
	Apr 2, 15	264 000	49 584	13,090 41	38 060	10,047 84	-3,042 57	LT
	Apr 14, 15	266 000	51.343	13,657 45	38 060	10,123 96	-3,533 49	LT
	Jun 17, 15	218 000	44 975	9,804 55	38 060	8,297 08	-1,507 47	LT
	Aug 13, 15	224 000	35 270	7,900 48	38 060	8,525 44	624 96	LT
	Sep 23, 15	703 000	32 085	22,556 04	38 060	26,756 18	4,200.14	LT
	Oct 12, 15	1,004 000	35 212	35,353 05	38,060	38,212 24	2,859.19	LT
	Jan 15, 16	300 000	27 405	8,221 53	38 060	11,418.00	3,196 47	ST
	Jul 5, 16	538 000	35 567	19,135 37	38 060	20,476 28	1,340 91	ST
Security total		4,987 000	40 114	200,049 98		189,805 22	-10,244 76	
NOVOZYMES AS ADR								
Symbol: NVZMY Exchange OTC								
EAI \$1.151 Current yield 0.95%								
	Dec 13, 16	3,508 000	33 549	117,690 59	34.510	121,061 08	3,370 49	ST
PREMIER INC CL A								
Symbol: PINC Exchange OTC								
	Nov 12, 14	1,095 000	30 484	33,381 07	30 360	33,244 20	-136 87	LT
	Apr 14, 15	166 000	36 860	6,118 89	30 360	5,039.76	-1,079.13	LT
	Jun 17, 15	122 000	37.288	4,549 18	30 360	3,703 92	-845 26	LT
	Aug 13, 15	134 000	36 170	4,846 81	30 360	4,068 24	-778 57	LT
	Dec 4, 15	657 000	34 426	22,618 21	30,360	19,946 52	-2,671.69	LT
	Jan 15, 16	117 000	31 781	3,718 38	30 360	3,552 12	-166 26	ST
	Nov 8, 16	501 000	29 900	14,980 30	30,360	15,210 36	230 06	ST
Security total		2,792 000	32 311	90,212 84		84,765 12	-5,447 72	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
PROGRESSIVE CORP OHIO								
Symbol	PGR	Exchange	NYSE					
EAI	\$6,705	Current yield	2.50%					
	May 23, 11	915 000	21 552	19,720.26	35 500	32,482.50	12,762.24	LT
	Sep 9, 11	1,326 000	18 139	24,052.58	35 500	47,073.00	23,020.42	LT
	Jul 13, 12	305 000	19 806	6,040.98	35 500	10,827.50	4,786.52	LT
	Mar 7, 14	381 000	24 386	9,291.18	35 500	13,525.50	4,234.32	LT
	Jun 20, 14	1,742 000	25 773	44,897.96	35 500	61,841.00	16,943.04	LT
	Jul 14, 14	361 000	24 454	8,828.00	35 500	12,815.50	3,987.50	LT
	Apr 14, 15	789 000	26 795	21,141.49	35 500	28,009.50	6,868.01	LT
	Jun 17, 15	624 000	27 720	17,297.28	35 500	22,152.00	4,854.72	LT
	Aug 13, 15	637 000	30 929	19,702.35	35 500	22,613.50	2,911.15	LT
	Jan 15, 16	471 000	29 836	14,052.85	35 500	16,720.50	2,667.65	ST
Security total		7,551 000	24 503	185,024.93		268,060.50	83,035.57	
ROBERT HALF INTL INC								
Symbol	RHI	Exchange	NYSE					
EAI	\$2,204	Current yield	1.80%					
	Jan 31, 14	216 000	41 560	8,977.09	48 780	10,536.48	1,559.39	LT
	Feb 11, 14	146 000	39 514	5,769.10	48 780	7,121.88	1,352.78	LT
	May 1, 14	811 000	44 483	36,075.79	48 780	39,560.58	3,484.79	LT
	Apr 14, 15	261 000	58 864	15,363.74	48 780	12,731.58	-2,632.16	LT
	Jun 17, 15	199 000	56 425	11,228.58	48 780	9,707.22	-1,521.36	LT
	Aug 13, 15	214 000	56 842	12,164.36	48 780	10,438.92	-1,725.44	LT
	Jan 15, 16	123 000	42 032	5,169.99	48 780	5,999.94	829.95	ST
	Jun 28, 16	535 000	35 356	18,915.78	48 780	26,097.30	7,181.52	ST
Security total		2,505 000	45 375	113,664.43		122,193.90	8,529.47	
ROCHE HLDG LTD SPONS ADR SWITZ								
Symbol	RHHBY	Exchange	OTC					
EAI	\$3,624	Current yield	2.94%					
	Apr 22, 10	932 000	19 974	18,616.37	28 530	26,589.96	7,973.59	LT
	Jul 22, 10	698 000	16 600	11,586.80	28 530	19,913.94	8,327.14	LT
	May 29, 12	632 000	19 913	12,585.49	28 530	18,030.96	5,445.47	LT
	Apr 14, 15	354 000	35 635	12,614.79	28 530	10,099.62	-2,515.17	LT
	Aug 13, 15	227 000	35 405	8,036.94	28 530	6,476.31	-1,560.63	LT
	Jan 15, 16	187 000	31 930	5,970.91	28 530	5,335.11	-635.80	ST

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 26, 16	965 000	31 649	30,542 15	28 530	27,531 45	-3,010 70	ST
	Dec 9, 16	329 000	27 890	9,175 81	28 530	9,386 37	210 56	ST
Security total		4,324 000	25 238	109,129 26		123,363 72	14,234.46	
RYANAIR HOLDINGS PLC SPON ADR								
Symbol RYAAY Exchange: OTC								
	Oct 10, 13	166 725	50 712	8,455 01	83 260	13,881 52	5,426 51	LT
	Nov 4, 13	703 950	44,441	31,683 65 ²	83.260	58,610 88	26,927.23	LT
	Apr 14, 15	138 450	68 123	9,431 64	83 260	11,527 35	2,095 71	LT
	Jun 17, 15	115 050	71 727	8,252 27	83 260	9,579 06	1,326 79	LT
	Aug 13, 15	123 825	77 840	9,638.63	83 260	10,309 67	671 04	LT
	Jan 15, 16	70 000	83 409	5,838 69	83 260	5,828 20	-10 49	ST
	Aug 22, 16	247 000	72 976	18,025 12	83 260	20,565 22	2,540 10	ST
Security total		1,565 000	58 355	91,325 01		130,301 90	38,976 89	
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol SLB Exchange: NYSE								
EAI: \$4,126 Current yield: 2.38%								
	Feb 10, 14	170 000	88 856	15,105.60	83 950	14,271 50	-834 10	LT
	Oct 15, 14	29 000	87 246	2,530 15	83 950	2,434 55	-95 60	LT
	Oct 17, 14	124 000	93.992	11,655 06	83.950	10,409 80	-1,245 26	LT
	Nov 28, 14	220 000	86 497	20,198 70 ²	83 950	18,469 00	-1,729 70	LT
	Dec 10, 14	128 000	82 719	10,816 65 ²	83 950	10,745 60	-71 05	LT
	Dec 12, 14	169 000	79 956	13,512 65	83 950	14,187.55	674 90	LT
	Apr 14, 15	198 000	88 954	17,613 07	83.950	16,622 10	-990 97	LT
	Jun 17, 15	156 000	89 556	13,970 80	83 950	13,096 20	-874 60	LT
	Aug 13, 15	174 000	84 499	14,702 93	83 950	14,607 30	-95 63	LT
	Aug 26, 15	365 000	69 672	25,430 50	83.950	30,641 75	5,211 25	LT
	Aug 26, 15	170 000	69 320	11,784 50	83 950	14,271 50	2,487 00	LT
	Jan 15, 16	160 000	62 079	9,932 78	83 950	13,432 00	3,499 22	ST
Security total		2,063 000	81 073	167,253 39		173,188 85	5,935 46	
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC								
EAI: \$7,898 Current yield 2.74%								
	Sep 8, 10	248,000	23 479	5,822 96	72 970	18,096 56	12,273.60	LT
	Jun 26, 12	377 000	26 821	10,111 59	72 970	27,509 69	17,398.10	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec. 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 11, 12	1,173 000	27 387	32,125 42	72 970	85,593 81	53,468 39	LT
	Apr 14, 15	484 000	56 876	27,528 18	72 970	35,317 48	7,789 30	LT
	Jun 17, 15	405 000	53 095	21,503 52	72 970	29,552 85	8,049 33	LT
	Jul 16, 15	548 000	49 118	26,917 21	72 970	39,987 56	13,070 35	LT
	Aug 13, 15	398 000	50 885	20,252 27	72 970	29,042 06	8,789 79	LT
	Jan 15, 16	316 000	48 090	15,196 44	72 970	23,058 52	7,862 08	ST
Security total		3,949 000	40 379	159,457 59		288,158 53	128,700 94	
UNILEVER NV NY SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange NYSE								
EAI: \$5.869 Current yield 2.90%								
	Oct 5, 11	530 000	31 368	16,625 36	41 060	21,761 80	5,136 44	LT
	Jan 25, 12	1,294 000	32 755	42,385 49	41 060	53,131 64	10,746 15	LT
	Feb 2, 12	314 000	32 631	10,246 39	41 060	12,892 84	2,646 45	LT
	Aug 8, 13	244 000	39 733	9,694 97	41 060	10,018 64	323 67	LT
	Oct 28, 13	299 000	39 898	11,929 65	41 060	12,276 94	347 29	LT
	Sep 25, 14	218 000	39 385	8,586 08	41 060	8,951 08	365 00	LT
	Apr 14, 15	392 000	43 274	16,963 76	41 060	16,095 52	-868 24	LT
	Jun 17, 15	329 000	41 555	13,671 60	41 060	13,508 74	-162 86	LT
	Aug 13, 15	340 000	44 035	14,972 07	41 060	13,960 40	-1,011 67	LT
	Sep 9, 15	174 000	39 958	6,952 77	41 060	7,144 44	191 67	LT
	Jan 15, 16	91 000	40 130	3,651 83	41 060	3,736 46	84 63	ST
	Apr 27, 16	520 000	44 677	23,232 51	41 060	21,351 20	-1,881 31	ST
	Dec 9, 16	179 000	40 010	7,161 86	41 060	7,349 74	187 88	ST
Security total		4,924 000	37 789	186,074 34		202,179 44	16,105 10	
US BANCORP DEL (NEW)								
Symbol: USB Exchange NYSE								
EAI: \$3.680 Current yield 2.18%								
	Dec 9, 13	357 000	39 849	14,226 34	51 370	18,339 09	4,112 75	LT
	Jan 14, 14	1,022 000	40 697	41,593 05	51 370	52,500 14	10,907 09	LT
	Jul 18, 14	371 000	41 975	15,572 84	51 370	19,058 27	3,485 43	LT
	Sep 16, 14	249 000	42 423	10,563 53	51 370	12,791 13	2,227 60	LT
	Apr 14, 15	423 000	43 547	18,420 42	51 370	21,729 51	3,309 09	LT
	Jun 17, 15	300 000	44 785	13,435 65	51 370	15,411 00	1,975 35	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jun 17, 15	175 000	44 915	7,860.21	51.370	8,989.75	1,129.54	LT
	Aug 13, 15	333 000	45 005	14,986.67	51.370	17,106.21	2,119.54	LT
	Jan 15, 16	56 000	38 808	2,173.29	51.370	2,876.72	703.43	ST
Security total		3,286 000	42 250	138,832.00		168,801.82	29,969.82	
WEYERHAEUSER CO								
Symbol: WY Exchange: NYSE								
EAT: \$5,239 Current yield: 4.12%								
	Apr 8, 16	1,692 000	31 251	52,877.88	30.090	50,912.28	-1,965.60	ST
	Jun 10, 16	564 000	30 471	17,185.76	30.090	16,970.76	-215.00	ST
	Jun 15, 16	511 000	28 935	14,786.24	30.090	15,375.99	589.75	ST
	Jun 28, 16	466 000	27 727	12,921.06	30.090	14,021.94	1,100.88	ST
	Oct 27, 16	419 000	30 227	12,665.53	30.090	12,607.71	-57.82	ST
	Nov 2, 16	573 000	29 111	16,680.95	30.090	17,241.57	560.62	ST
Security total		4,225 000	30 087	127,117.42		127,130.25	12.83	
3M CO								
Symbol: MMM Exchange: NYSE								
EAT: \$3,037 Current yield: 2.49%								
	May 14, 09	319 000	58 034	18,513.07	178.570	56,963.83	38,450.76	LT
	Dec 5, 13	121 000	127 474	15,424.47	178.570	21,606.97	6,182.50	LT
	Apr 14, 15	96 000	166 060	15,941.76	178.570	17,142.72	1,200.96	LT
	Jun 17, 15	58 000	156 060	9,051.48	178.570	10,357.06	1,305.58	LT
	Aug 13, 15	53 000	147 680	7,827.04	178.570	9,464.21	1,637.17	LT
	Jan 15, 16	37 000	138 510	5,124.87	178.570	6,607.09	1,482.22	ST
Security total		684 000	105 092	71,882.69		122,141.88	50,259.19	
Total				\$4,717,256.01		\$5,654,817.94	\$937,561.93	

Total estimated annual income: \$91,058

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction



UBS Strategic Advisor
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	236,381.44	91,389.81					250,000.00

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
DOMINI IMPACT									
INTERNATIONAL EQUITY									
FUND CLASS A SHARES									
Symbol: DOMAX									
Trade date: Apr 14, 15	18,836.227	8.540	160,861.38	160,861.38	7.730	145,604.03	-15,257.35		LT
Trade date: Jun 15, 15	102,028.640	8.379	855,000.00	855,000.00	7.730	788,681.39	-66,318.61		LT
Trade date: Aug 11, 15	101,423.488	8.429	855,000.00	855,000.00	7.730	784,003.56	-70,996.44		LT

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Your assets > Equities > Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	13,156 332	8 145		107,163 15 ²	7 730	101,698 45	-5,464 70		
EAI: \$31,785 Current yield 1 75%									
Security total	235,444 687	8 401	1,870,861 38	1,978,024 53		1,819,987 43	-158,037 10	-50,873 95	
PAX SMALL CAP FUND									
INSTITUTIONAL									
Symbol	PXSIX								
Trade date:	Jun 15, 15	14 679	416,837 57	416,837 57	15 440	438,417 72	21,580 15		LT
Trade date:	Aug 11, 15	14 079	525,000 00	525,000 00	15 440	575,710 23	50,710 23		LT
Trade date:	Jan 14, 16	12 489	350,000 00	350,000 00	15 440	432,666 13	82,666 13		ST
Trade date:	May 26, 16	14 030	600,000 00	600,000 00	15 440	660,299 35	60,299 35		ST
Total reinvested	4,218 576	15 106		63,729 95	15 440	65,134 81	1,404 86		
EAI: \$18,852 Current yield 0.87%									
Security total	140,688 358	13 900	1,891,837 57	1,955,567 52		2,172,228 24	216,660 72	280,390 67	
Total			\$3,762,698.95	\$3,933,592.05		\$3,992,215.67	\$58,623.62	\$229,516.72	

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK HIGH YIELD									
BOND I									
Symbol	BHYIX								
Trade date:	Apr 14, 15	8 010	12,189 95	12,189 95	7 640	11,626 87	-563 08		LT
Trade date:	Jun 15, 15	7 899	480,000 00	480,000 00	7 640	464,202 53	-15,797 47		LT

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UBS Strategic Advisor
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: SA
Account number:

Your Financial Advisor:
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Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Aug 11, 15	62,176.166	7.719	480,000.00	480,000.00	7.640	475,025.91	-4,974.09		LT
Total reinvested	11,104.686	7.656		85,022.04 ²	7.640	84,839.80	-182.24		
EAI \$57,478 Current yield: 5.55%									
Security total	135,562.187	7.799	972,189.95	1,057,211.99		1,035,695.10	-21,516.88	63,505.16	
CRA QUALIFIED INVESTMENT									
FUND CLASS INSTITUTIONAL									
Symbol CRANX									
Trade date: Apr 14, 15	45,914.073	10.889	500,000.00	500,000.00	10.520	483,016.05	-16,983.95		LT
Trade date: Jun 15, 15	44,309.701	10.720	475,000.00	475,000.00	10.520	466,138.05	-8,861.95		LT
Trade date: Aug 11, 15	44,022.243	10.789	475,000.00	475,000.00	10.520	463,114.00	-11,886.00		LT
Trade date: Jan 14, 16	60,240.964	10.789	650,000.00	650,000.00	10.520	633,734.94	-16,265.06		ST
Trade date: May 4, 16	13,824.885	10.849	150,000.00	150,000.00	10.520	145,437.79	-4,562.21		ST
Total reinvested	7,098.374	10.800		76,665.25	10.520	74,674.89	-1,990.36		
EAI \$62,038 Current yield 2.74%									
Security total	215,410.240	10.801	2,250,000.00	2,326,665.25		2,266,115.72	-60,549.53	16,115.72	
Total			\$3,222,189.95	\$3,383,877.24		\$3,301,810.82	-\$82,066.41	\$79,620.87	
Total estimated annual income: \$119,516									

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

	Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	91,389.81	1.24%	91,389.81		
Cash and money balances	91,389.81	1.24%	91,389.81		
Equities	3,992,215.67	54.05%	3,933,592.05	50,637.00	58,623.62
Mutual funds	3,301,810.82	44.71%	3,383,877.24	119,516.00	-82,066.41
Fixed Income					
Mutual funds					
Total	\$7,385,416.30	100.00%	\$7,408,859.10	\$170,153.00	-\$23,442.79



Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Corp Plus
Account number:

Your Financial Advisor:
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	121,045.52	109,492.67					250,000.00

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
RPM INTL INC NTS B/E								
5BP								
RATE 06 500% MATURES 02/15/18								
ACCRUED INTEREST \$877.50								
CUSIP 749685AQ6								
Moody Baa3 S&P BBB								
EAI \$2,340 Current yield 6.20%								
Original cost basis \$40,246.20	Apr 20, 15	36,000.000	104.789	37,724.15	104.804	37,729.44	5.29	LT

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
Account number:

Your Financial Advisor:
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ARROW ELECTRONICS INC								
CALL@MW+358P								
RATE 03.000% MATURES 03/01/18								
ACCRUED INTEREST \$466.08								
CUSIP 0427358B5								
Moody: Baa3 S&P: BBB-								
EAI: \$1,410 Current yield: 2.97%								
Original cost basis: \$42,050.01	May 15, 15	41,000,000	101,088	41,446.41	101,139	41,466.99	20.58	LT
Original cost basis: \$6,106.02	Jul 14, 15	6,000,000	100,798	6,047.89	101,139	6,068.34	20.45	LT
Security total		47,000,000		47,494.30		47,535.33	41.03	
AMERICAN TOWER CORP B/E								
CALL@MW+308P								
RATE 03.400% MATURES 02/15/19								
ACCRUED INTEREST \$586.50								
CUSIP 03027XAC4								
Moody: Baa3 S&P: BBB-								
EAI: \$1,564 Current yield: 3.33%								
Original cost basis: \$39,548.88	Apr 21, 15	38,000,000	102,311	38,878.51	102,195	38,834.10	-44.41	LT
Original cost basis: \$8,215.20	Jun 19, 15	8,000,000	101,594	8,127.53	102,195	8,175.60	48.07	LT
Security total		46,000,000		47,006.04		47,009.70	3.66	
WILLIS NA INC NTS B/E								
CALL@MW+508P								
RATE 07.000% MATURES 09/29/19								
ACCRUED INTEREST \$142.91								
CUSIP 970648AE1								
Moody: Baa3 S&P: BBB								
EAI: \$490 Current yield: 6.29%								
Original cost basis: \$7,975.66	Jun 22, 15	7,000,000	109,196	7,643.72	111,259	7,788.13	144.41	LT
L-3 COMM CORP NTS B/E								
RATE 05.200% MATURES 10/15/19								
ACCRUED INTEREST \$140.83								
CUSIP 502413AY3								
Moody: Baa3 S&P: BBB-								
EAI: \$676 Current yield: 4.83%								
Original cost basis: \$7,600.88	Jun 22, 15	7,000,000	105,679	7,397.58	107,557	7,528.99	131.41	LT
Original cost basis: \$6,490.50	Aug 25, 15	6,000,000	105,624	6,337.44	107,557	6,453.42	115.98	LT

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Managed Accounts Consulting
December 2016

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Friendly account name: Dolan Core Plus
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CLIFFORD/BARNOWSKI
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		13,000,000		13,735,02		13,982,41	247,39	
RPM INTL INC (DELA) B/E CALL@MW+45BP RATE 06.125% MATURES 10/15/19 ACCRUED INTEREST \$153.12 CUSIP 749685AR4 Moody: Baa3 S&P: BBB EAI: \$735 Current yield 5.58% Original cost basis \$13,406.04	Aug 14, 15	12,000,000	108,006	12,960,77	109,817	13,178,04	217,27	LT
VALMONT INDUSTRIES INC +45BP RATE 06.625% MATURES 04/20/20 ACCRUED INTEREST \$644.09 CUSIP 920253AD3 Moody: Baa3 S&P: BBB+ EAI: \$3,313 Current yield. 5.98% Original cost basis \$42,324.12 Original cost basis \$15,706.60	Apr 27, 15 Apr 22, 16	36,000,000 14,000,000	111,927 110,198	40,293,78 15,427,74	110,746 110,746	39,868,56 15,504,44	-425,22 76,70	LT ST
Security total		50,000,000		55,721,52		55,373,00	-348,52	
DUN & BRADSTREET CORP CALL@MW+37 5BP RATE 04.000% MATURES 06/15/20 CALLABLE ACCRUED INTEREST \$80.00 CUSIP 26483EAH3 S&P: BBB- EAI: \$1,920 Current yield 3.90% Original cost basis. \$48,160.80	Jun 18, 15	48,000,000	100,238	48,114,67	102,638	49,266,24	1,151,57	LT
INTL GAME TECHNOLOGY B/E RATE 05.500% MATURES 06/15/20 ACCRUED INTEREST \$66.45 CUSIP 459902AS1 Moody: Ba2 S&P: BB+ EAI: \$1,595 Current yield. 5.31% Original cost basis \$22,948.75	Jun 30, 15 Dec 08, 16	7,000,000 22,000,000	99,500 104,242	6,965,00 22,933,28	103,500 103,500	7,245,00 22,770,00	280,00 -163,28	LT ST

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Managed Accounts Consulting
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Account name: MENTAL INSIGHT FOUNDATION
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CLIFFORD/BARNOWSKI
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
29,000,000								
JUNIPER NETWORKS INC NTS								
CALL@MW+30BP								
RATE 03.300% MATURES 06/15/20								
CALLABLE								
ACCRUED INTEREST \$38.50								
CUSIP 48203RAH7								
Moody: Baa2 S&P: BBB								
EAI: \$924 Current yield: 3.23%								
Original cost basis: \$28,544.60								
	May 12, 16	28,000,000	101,657	28,464.20	102,136	28,598.08	133.88	ST
L-3 COMMS CORP NTS B/E								
RATE 04.750% MATURES 07/15/20								
ACCRUED INTEREST \$827.29								
CUSIP 502413AZ0								
Moody: Baa3 S&P: BBB-								
EAI: \$1,805 Current yield: 4.47%								
Original cost basis: \$41,002.38								
	Apr 30, 15	38,000,000	105,507	40,092.85	106,308	40,397.04	304.19	LT
EXPEDIA INC NTS B/E								
CALL@MW+50BP								
RATE 05.950% MATURES 08/15/20								
ACCRUED INTEREST \$981.75								
CUSIP 30212PAH8								
Moody: Ba1 S&P: BBB-								
EAI: \$2,618 Current yield: 5.44%								
Original cost basis: \$41,810.00								
Original cost basis: \$7,800.94								
	Apr 27, 15	37,000,000	109,126	40,376.74	109,307	40,443.59	66.85	LT
	Aug 21, 15	7,000,000	108,511	7,595.77	109,307	7,651.49	55.72	LT
Security total								
44,000,000								
AMER TOWERS INC NTS B/E								
RATE 05.050% MATURES 09/01/20								
ACCRUED INTEREST \$116.85								
CUSIP 029912BC5								
Moody: Baa3 S&P: BBB-								
EAI: \$354 Current yield: 4.71%								
Original cost basis: \$7,589.75								
	Sep 01, 15	7,000,000	106,311	7,441.77	107,312	7,511.84	70.07	LT

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
Account number:

Your Financial Advisor:
CLIFFORD/BARNOWSKI
415-963-5200/888-660-5750

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
BLOCK FINANCIAL NTS B/E								
CALL@MW+45BP								
RATE 04 125% MATURES 10/01/20								
CALLABLE								
ACCRUED INTEREST \$571.08								
CUSIP 093662AF1								
Moody: Baa3 S&P: BBB								
EAI: \$2,310 Current yield: 4.04%	Sep 25, 15	49,000,000	99,674	48,840,26	102,094	50,026,06	1,185,80	LT
Original cost basis: \$5,078.95	Oct 29, 15	5,000,000	101,229	5,061,46	102,094	5,104,70	43,24	LT
Original cost basis: \$2,078.50	Oct 28, 16	2,000,000	103,761	2,075,23	102,094	2,041,88	-33,35	ST
Security total		56,000,000		55,976,95		57,172,64	1,195,69	
FISERV INC								
CALL@MW+308P								
RATE 04 625% MATURES 10/01/20								
ACCRUED INTEREST \$102.90								
CUSIP 337738AJ7								
Moody: Baa2 S&P: BBB								
EAI: \$416 Current yield: 4.33%	Jun 18, 15	4,000,000	105,409	4,216,38	106,804	4,272,16	55,78	LT
Original cost basis: \$4,298.00	Aug 14, 15	5,000,000	105,614	5,280,70	106,804	5,340,20	59,50	LT
Original cost basis: \$5,376.20		9,000,000		9,497,08		9,612,36	115,28	
Security total								
FIDELITY NATL INFORM B/E								
CALL@MW+358P								
RATE 03 625% MATURES 10/15/20								
CALLABLE								
ACCRUED INTEREST \$211.45								
CUSIP 31620MAP1								
Moody: Baa3 S&P: BBB								
EAI: \$1,015 Current yield: 3.50%	Oct 13, 15	28,000,000	99,869	27,963,32	103,527	28,987,56	1,024,24	LT

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
Account number:

Your Financial Advisor:
CLIFFORD/BARNOWSKI
415-963-5200/888-660-5750

Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ARROW ELECTRONICS INC								
OBPS								
RATE 05.125% MATURES 03/01/21								
ACCRUED INTEREST \$84.70								
CUSIP 04273WAB7								
Moody: Baa3 S&P: BBB-								
EAI: \$256 Current yield: 4.87%								
Original cost basis: \$5,332.50	Aug 18, 15	5,000,000	105.132	5,256.64	105.319	5,265.95	9.31	LT
R R DONNELLEY & SONS CO								
CALL@MW+508P								
RATE 07.875% MATURES 03/15/21								
ACCRUED INTEREST \$1,125.47								
CUSIP 74978DAAZ								
Moody: B2 S&P: B+								
EAI: \$3,859 Current yield: 7.65%								
Original cost basis: \$34,575.00	Apr 24, 15	30,000,000	111.319	33,395.70	103.000	30,900.00	-2,495.70	LT
Original cost basis: \$5,662.50	Jun 29, 15	5,000,000	110.123	5,506.15	103.000	5,150.00	-356.15	LT
	Feb 03, 16	5,000,000	97.875	4,893.75	103.000	5,150.00	256.25	ST
Original cost basis: \$9,720.00	Oct 28, 16	9,000,000	107.719	9,694.73	103.000	9,270.00	-424.73	ST
Security total		49,000,000		53,490.33		50,470.00	-3,020.33	
WILLIS GROUP HLDGS PUB								
OBP								
RATE 05.750% MATURES 03/15/21								
ACCRUED INTEREST \$83.85								
CUSIP 97063PAB0								
Moody: Baa3 S&P: BBB								
EAI: \$288 Current yield: 5.26%								
Original cost basis: \$5,619.05	Oct 27, 15	5,000,000	109.854	5,492.72	109.273	5,463.65	-29.07	LT
TOTAL SYS SERVICES INC								
CALL@MW+37.5BP								
RATE 03.800% MATURES 04/01/21								
CALLABLE								
ACCRUED INTEREST \$253.64								
CUSIP 891906AD1								
Moody: Baa3 S&P: BBB-								
EAI: \$1,026 Current yield: 3.68%	Mar 14, 16	27,000,000	99.776	26,939.52	103.215	27,868.05	928.53	ST

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
Account number:

Your Financial Advisor:
CLIFFORD/BARNOWSKI
415-963-5200/888-660-5750

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
GAP INC CALL@MW T-40BP								
RATE 05 950% MATURES 04/12/21								
ACCRUED INTEREST \$683.26								
CUSIP 364760AK4								
Moody Baa2 S&P: BB+								
EAI \$3,154 Current yield 5.65%								
Original cost basis \$41,340.24	Apr 30, 15	36,000,000	110,953	39,943.35	105.234	37,884.24	-2,059.11	LT
Original cost basis: \$6,749.82	Jul 06, 15	6,000,000	109,515	6,570.95	105.234	6,314.04	-256.91	LT
Original cost basis: \$6,667.50	Sep 01, 15	6,000,000	108,690	6,521.40	105.234	6,314.04	-207.36	LT
Original cost basis: \$5,311.10	Apr 18, 16	5,000,000	105,428	5,271.40	105.234	5,261.70	-9.70	ST
Security total		53,000,000		58,307.10		55,774.02	-2,533.08	
VERISK ANALYTICS INC B/E								
OBP								
RATE 05 800% MATURES 05/01/21								
ACCRUED INTEREST \$465.77								
CUSIP 92345YAAA								
Moody: Baa3 S&P: BBB-								
EAI: \$2,842 Current yield 5.21%								
Original cost basis: \$46,057.20	Apr 21, 15	40,000,000	111,164	44,465.73	111.270	44,508.00	42.27	LT
Original cost basis: \$10,111.14	Aug 17, 15	9,000,000	109,592	9,863.30	111.270	10,014.30	151.00	LT
Security total		49,000,000		54,329.03		54,522.30	193.27	
DISH DBS CORP NTS B/E								
CALL@MW+50BP								
RATE 06 750% MATURES 06/01/21								
ACCRUED INTEREST \$32.62								
CUSIP 25470XAE5								
Moody: Baa3 S&P: B+								
EAI: \$405 Current yield 6.22%								
Original cost basis \$6,540.00	Oct 27, 16	6,000,000	108,687	6,521.24	108.500	6,510.00	-11.24	ST

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
Account number:

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CLIFFORD/BARNOWSKI
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
CENTURYLINK INC NTS B/E								
CALL@MW +50BP								
RATE 06 450% MATURES 06/15/21								
ACCRUED INTEREST \$102.12								
CUSIP 156700AR7								
Moody: Baa3 S&P BB								
EAI: \$2,451 Current yield 6.13%								
Original cost basis: \$31,501.25								
Original cost basis \$2,124.50	Apr 20, 15	29,000,000	106,493	30,883.25	105,250	30,522.50	-360.75	LT
	Jun 04, 15	2,000,000	104,783	2,095.67	105,250	2,105.00	9.33	LT
	Aug 24, 15	7,000,000	98,500	6,895.00	105,250	7,367.50	472.50	LT
Security total		38,000,000		39,873.92		39,995.00	121.08	
STEEL DYNAMICS INC NTS								
CALL@MW+50BP								
RATE 05 125% MATURES 10/01/21								
CALLABLE								
ACCRUED INTEREST \$532.14								
CUSIP 858119BC3								
Moody: Baa2 S&P: BB+								
EAI: \$2,153 Current yield: 4.91%								
Original cost basis: \$32,960.00								
Original cost basis \$10,425.00	Jun 09, 16	32,000,000	102,816	32,901.25	104,294	33,374.08	472.83	ST
	Oct 13, 16	10,000,000	104,083	10,408.34	104,294	10,429.40	21.06	ST
Security total		42,000,000		43,309.59		43,803.48	493.89	
KLA TENCOR CORP B/E								
CALL@MW+35BP								
RATE 04 125% MATURES 11/01/21								
CALLABLE								
ACCRUED INTEREST \$67.60								
CUSIP 482480AD2								
Moody Baa2 S&P BBB								
EAI \$413 Current yield 3.96%								
Original cost basis \$10,084.40								
	Aug 17, 15	10,000,000	100,674	10,067.48	104,281	10,428.10	360.62	LT

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
LABORATORY CORP OF AMER								
CALL@MW+25BP								
RATE 03 200% MATURES 02/01/22								
CALLABLE								
ACCRUED INTEREST \$741.68								
CUSIP 50540RAP7								
Moody Baa2 S&P BBB								
EAI: \$1,792 Current yield: 3.17%								
Original cost basis: \$40,347.20	Apr 29, 15	40,000,000	100,669	40,267.84	100,831	40,332.40	64.56	LT
Original cost basis: \$8,321.36	Jul 20, 15	8,000,000	98,029	7,842.32	100,831	8,066.48	224.16	LT
Original cost basis: \$8,321.36	Sep 20, 16	8,000,000	103,820	8,305.67	100,831	8,066.48	-239.19	ST
Security total		56,000,000		56,415.83		56,465.36	49.53	
CENTURYLINK INC B/E								
RATE 05 800% MATURES 03/15/22								
ACCRUED INTEREST \$186.08								
CUSIP 156700AS5								
Moody: Ba3 S&P: BB								
EAI: \$638 Current yield: 5.67%	Jun 19, 15	8,000,000	98,625	7,890.00	102,213	8,177.04	287.04	LT
Original cost basis: \$3,056.25	Oct 31, 16	3,000,000	101,824	3,054.72	102,213	3,066.39	11.67	ST
Security total		11,000,000		10,944.72		11,243.43	298.71	
AT&T INC NTS B/E								
CALL@MW+30BP								
RATE 03 800% MATURES 03/15/22								
ACCRUED INTEREST \$88.66								
CUSIP 00206RDB5								
Moody Baa1 S&P BBB+								
EAI: \$304 Current yield: 3.71%	Mar 21, 16	8,000,000	104,270	8,341.67	102,514	8,201.12	-140.55	ST
Original cost basis: \$8,388.32								

-140.55
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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
Account number:

Your Financial Advisor:
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415-963-5200/888-660-5750

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
FIDELITY NATL								
CALL@MW+50BP								
RATE 05 000% MATURES 03/15/22								
ACCRUED INTEREST \$364.58								
CUSIP 31620MAH9								
Moody: Baa3 S&P: BBB								
EAI: \$1,250 Current yield: 4.87%								
Original cost basis: \$21,070.00	Jul 23, 15	20,000,000	104,310	20,862.08	102,761	20,552.20	-309.88	LT
Original cost basis: \$5,193.75	Dec 01, 15	5,000,000	103,279	5,163.96	102,761	5,138.05	-25.91	LT
Security total		25,000,000		26,026.04		25,690.25	-335.79	
HCA INC B/E								
RATE 05.875% MATURES 03/15/22								
ACCRUED INTEREST \$839.63								
CUSIP 404121AES								
Moody: Ba1 S&P: BBB-								
EAI: \$2,879 Current yield: 5.45%								
Original cost basis: \$47,960.00	Jun 22, 15	44,000,000	107,182	47,160.09	107,750	47,410.00	249.91	LT
Original cost basis: \$3,303.75	Nov 01, 16	3,000,000	109,843	3,295.31	107,750	3,232.50	-62.81	ST
Original cost basis: \$2,117.50	Nov 14, 16	2,000,000	105,749	2,114.99	107,750	2,155.00	40.01	ST
Security total		49,000,000		52,570.39		52,797.50	227.11	
MASCO CORP B/E								
OBP								
RATE 05 950% MATURES 03/15/22								
ACCRUED INTEREST \$711.52								
CUSIP 574599BH8								
Moody: Ba2 S&P: BBB								
EAI: \$2,440 Current yield: 5.38%								
Original cost basis: \$42,013.50	Apr 22, 15	37,000,000	110,539	40,899.49	110,500	40,885.00	-14.49	LT
Original cost basis: \$4,400.00	Aug 18, 15	4,000,000	108,137	4,325.50	110,500	4,420.00	94.50	LT
Security total		41,000,000		45,224.99		45,305.00	80.01	

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
URS CORP NTS B/E								
LL CALL@MMW+50BP								
RATE 05 000% MATURES 04/01/22								
CALLABLE								
ACCRUED INTEREST \$395 55								
CUSIP 903243AC7								
Moody: WR S&P: BB-								
EAI: \$1,600 Current yield: 4.98%	Aug 25, 15	8,000 000	94 375	7,550.00	100 500	8,040 00	490 00	LT
Security total	Nov 16, 15	24,000 000	91 562	21,975 00	100 500	24,120 00	2,145 00	LT
		32,000 000		29,525 00		32,160 00	2,635 00	
MOTOROLA INC NTS B/E								
OBP								
RATE 03.750% MATURES 05/15/22								
ACCRUED INTEREST \$173 43								
CUSIP 620076884								
Moody Baa3 S&P: BBB-								
EAI: \$1,388 Current yield: 3 71%								
Original cost basis \$37,632 70	Oct 06, 16	37,000 000	101 644	37,608.55	101 077	37,398 49	-210 06	ST
TRANSDIGM INC NTS B/E								
CALL@MMW+50BP								
RATE 06.000% MATURES 07/15/22								
CALLABLE								
ACCRUED INTEREST \$1,375.00								
CUSIP 893647AW7								
Moody: B3 S&P: CCC+								
EAI: \$3,000 Current yield 5 77%	Mar 07, 16	8,000 000	101 000	8,080 00	104 000	8,320 00	240 00	ST
Security total	Nov 30, 16	42,000 000	103 500	43,470 00	104 000	43,680 00	210 00	ST
		50,000 000		51,550.00		52,000 00	450.00	

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Managed Accounts Consulting
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Your assets - Fixed income - Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
CDW LLC / CDW FIN CORP CALL@MW+50BP RATE 06 000% MATURES 08/15/22 CALLABLE ACCRUED INTEREST \$247.50 CUSIP 12513GAZ2 Moody Baa3 S&P BB- EAI \$660 Current yield: 5.67% Original cost basis \$5,275.00	Jun 22, 15 Aug 21, 15	5,000,000 6,000,000 11,000,000	104,802 103,750	5,240.10 6,225.00 11,465.10	105,750 105,750	5,287.50 6,345.00 11,632.50	47.40 120.00 167.40	LT LT
Security total								
DAVITA INC NTS B/E CALL@MW+50BP RATE 05.750% MATURES 08/15/22 CALLABLE ACCRUED INTEREST \$927.18 CUSIP 23918KAP3 Moody B1 S&P: B+ EAI \$2,473 Current yield: 5.50% Original cost basis \$35,227.50 Original cost basis \$4,260.00 Original cost basis \$6,315.00	Apr 22, 15 Jun 19, 15 Oct 23, 15	33,000,000 4,000,000 6,000,000 43,000,000	105,384 105,282 104,452	34,776.96 4,211.30 6,267.16 45,255.42	104,500 104,500 104,500	34,485.00 4,180.00 6,270.00 44,935.00	-291.96 -31.30 2.84 -320.42	LT LT LT
Security total								
FISERV INC NTS B/E RATE 03.500% MATURES 10/01/22 CALLABLE ACCRUED INTEREST \$380.72 CUSIP 337738AM0 Moody Baa2 S&P BBB EAI \$1,540 Current yield 3.44%	Jun 18, 15	44,000,000	99,697	43,866.68	101,840	44,809.60	942.92	LT

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Managed Accounts Consulting
December 2016

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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
AECOM TECHNOLOGY C NTS								
CALL@MW+50BP								
RATE 05.750% MATURES 10/15/22								
ACCRUED INTEREST \$239.58								
CUSIP 00766TAB6								
Moody: Baa3 S&P: BB								
EAI: \$1,150 Current yield 5.44%								
Original cost basis: \$15,806.25	Apr 13, 16	15,000,000	104,879	15,731.98	105,700	15,855.00	123.02	ST
Original cost basis: \$5,231.25	Oct 31, 16	5,000,000	104,571	5,228.57	105,700	5,285.00	56.43	ST
Security total		20,000,000		20,960.55		21,140.00	179.45	
FIDELITY NATL INFORM NTS								
CALL@MW+45BP								
RATE 04.500% MATURES 10/15/22								
CALLABLE								
ACCRUED INTEREST \$28.12								
CUSIP 31620MAQ9								
Moody: Baa3 S&P: BBB								
EAI: \$135 Current yield 4.23%								
Original cost basis: \$3,304.38	Oct 26, 16	3,000,000	109,865	3,295.95	106,376	3,191.28	-104.67	ST
DUN & BRADSTREET CORP								
CALL@MW+45BP								
RATE 04.375% MATURES 12/01/22								
ACCRUED INTEREST \$21.14								
CUSIP 26483EAGS								
S&P: BBB-								
EAI: \$263 Current yield 4.32%	Aug 19, 15	6,000,000	99,031	5,941.86	101,327	6,079.62	137.76	LT
MOTOROLA INC MTNS								
CALL@MW+30BP								
RATE 03.500% MATURES 03/01/23								
ACCRUED INTEREST \$231.38								
CUSIP 620076BC2								
Moody: Baa3 S&P: BBB-								
EAI: \$700 Current yield 3.55%	Sep 27, 16	20,000,000	99,770	19,954.00	98,565	19,713.00	-241.00	ST

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
TOTAL SYS SERVICES IN								
CALL@MW+30BP								
RATE 03.750% MATURES 06/01/23								
ACCRUED INTEREST \$84.58								
CUSIP 891906A85								
Moody: Baa3 S&P: BBB-								
EAI \$1,050 Current yield 3.78%	Mar 15, 16	28,000,000	97,442	27,283.76	99,320	27,809.60	525.84	ST
TRINITY ACQUISITION PLC								
CALL@MW+35BP								
RATE 04.625% MATURES 08/15/23								
ACCRUED INTEREST \$693.75								
CUSIP 89641UAA9								
Moody: Baa3 S&P: BBB								
EAI \$1,850 Current yield 4.45%	Apr 29, 15	36,000,000	104,126	37,485.56	103,971	37,429.56	-56.00	LT
Original cost basis \$37,803.96	May 27, 15	4,000,000	103,226	4,129.07	103,971	4,158.84	29.77	LT
Original cost basis \$4,155.32		40,000,000		41,614.63		41,588.40	-26.23	
Security total								
CDW LLC / CDW FIN CORP								
CALL@MW+50BP								
RATE 05.000% MATURES 09/01/23								
CALLABLE								
ACCRUED INTEREST \$561.94								
CUSIP 12513G8B4								
Moody: Baa3 S&P: BB-								
EAI \$1,700 Current yield 4.99%	Apr 17, 15	34,000,000	103,250	35,105.00	100,125	34,042.50	-1,062.50	LT
VIACOM INC B/E								
CALL@MW+30BP								
RATE 04.250% MATURES 09/01/23								
CALLABLE								
ACCRUED INTEREST \$786.72								
CUSIP 92553PAT9								
Moody: Baa3 S&P: BBB-								
EAI \$2,380 Current yield 4.25%	Apr 30, 15	40,000,000	103,525	41,410.36	100,080	40,032.00	-1,378.36	LT
Original cost basis \$41,713.20	Jul 10, 15	7,000,000	100,364	7,025.49	100,080	7,005.60	-19.89	LT
Original cost basis \$7,030.24	Aug 21, 15	9,000,000	94,793	8,531.37	100,080	9,007.20	475.83	LT

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		56,000,000		56,967.22		56,044.80	-922.42	
EXPEDIA INC								
CALL@MW+35BP								
RATE 04.500% MATURES 08/15/24								
ACCRUED INTEREST \$135.00								
CUSIP 30212PAJ4								
Moody: Ba1 S&P BBB-								
EAI: \$360 Current yield: 4.43%								
Original cost basis \$5,040.25	Jul 28, 15	5,000,000	100,698	5,034.92	101,623	5,081.15	46.23	LT
Original cost basis: \$3,134.82	Oct 31, 16	3,000,000	104,410	3,132.32	101,623	3,048.69	-83.63	ST
Security total		8,000,000		8,167.24		8,129.84	-37.40	
STEEL DYNAMICS INC NTS								
CALL@MW+50BP								
RATE 05.500% MATURES 10/01/24								
CALLABLE								
ACCRUED INTEREST \$67.98								
CUSIP 858119BD1								
Moody Ba2 S&P BB+								
EAI: \$275 Current yield: 5.19%								
Original cost basis \$5,143.75	Jun 15, 16	5,000,000	102,855	5,142.79	106,000	5,300.00	157.21	ST
Security total		5,000,000		5,142.79		5,300.00	157.21	
CDK GLOB INC NTS B/E								
CALL@MW+35BP								
RATE 05.000% MATURES 10/15/24								
ACCRUED INTEREST \$614.58								
CUSIP 12508EAD3								
Moody: Ba1 S&P BB+								
EAI: \$2,950 Current yield: 5.15%								
Original cost basis: \$23,011.04	Jun 21, 16	34,000,000	97,244	33,062.96	97,000	32,980.00	-82.96	ST
	Jul 26, 16	23,000,000	100,043	23,010.03	97,000	22,310.00	-700.03	ST
	Nov 15, 16	2,000,000	97,177	1,943.54	97,000	1,940.00	-3.54	ST
Security total		59,000,000		58,016.53		57,230.00	-786.53	

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Managed Accounts Consulting
December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
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Your Financial Advisor:
CLIFFORD/BARNOWSKI
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
KLA-TENCOR CORP NTS B/E								
CALL@MW+37.5BP								
RATE 04 650% MATURES 11/01/24								
CALLABLE								
ACCRUED INTEREST \$335.31								
CUSIP 482480AEO								
Moody: Baa2 S&P: BBB								
EAI: \$2,046 Current yield: 4.39%	Jul 27, 15	22,000,000	99,133	21,809.26	105.861	23,289.42	1,480.16	LT
Security total	Aug 13, 15	22,000,000	98,588	21,689.36	105.861	23,289.42	1,600.06	LT
		44,000,000		43,498.62		46,578.84	3,080.22	
CDW LLC / CDW FIN CORP								
CALL@MW+50BP								
RATE 05 500% MATURES 12/01/24								
CALLABLE								
ACCRUED INTEREST \$26.58								
CUSIP 12513GBA6								
Moody: Baa3 S&P: BB-								
EAI: \$330 Current yield: 5.38%								
Original cost basis: \$6,390.00	Oct 27, 16	6,000,000	106,381	6,382.91	102.250	6,135.00	-247.91	ST
AT&T INC NTS B/E								
CALL@MW+30BP								
RATE 03.950% MATURES 01/15/25								
CALLABLE								
ACCRUED INTEREST \$869.00								
CUSIP 00206RDD1								
Moody: Baa1 S&P: BBB+								
EAI: \$1,896 Current yield: 3.94%	Mar 21, 16	40,000,000	103,382	41,352.90	100.173	40,069.20	-1,283.70	ST
Original cost basis: \$41,464.80	Mar 21, 16	8,000,000	103,382	8,270.58	100.173	8,013.84	-256.74	ST
Original cost basis: \$8,292.96		48,000,000		49,623.48		48,083.04	-1,540.44	
Security total								

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Managed Accounts Consulting
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Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: Dolan Core Plus
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CLIFFORD/BARNOWSKI
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
QVC INC B/E								
CALL@MMW+30BP								
RATE 04 450% MATURES 02/15/25								
ACCRUED INTEREST \$984.56								
CUSIP 747262ALU7								
Moody: Baa2 S&P: BBB-								
EAI: \$2,626 Current yield: 4.63%	Sep 22, 16	43,000,000	98,388	42,306.84	96,123	41,332.89	-973.95	ST
Security total	Oct 04, 16	16,000,000	98,603	15,776.48	96,123	15,379.68	-396.80	ST
		59,000,000		58,083.32		56,712.57	-1,370.75	
MASCO CORP NTS B/E								
CALL@MMW+40BP								
RATE 04 450% MATURES 04/01/25								
CALLABLE								
ACCRUED INTEREST \$110.01								
CUSIP 574599BJ4								
Moody: Baa2 S&P: BBB								
EAI: \$445 Current yield: 4.38%								
Original cost basis: \$5,025.00	Jun 19, 15	5,000,000	100,435	5,021.75	101,500	5,075.00	53.25	LT
Original cost basis: \$5,284.05	Sep 21, 16	5,000,000	105,523	5,276.16	101,500	5,075.00	-201.16	ST
Security total		10,000,000		10,297.91		10,150.00	-147.91	
DAVITA INC B/E								
CALL@MMW+50BP								
RATE 05 000% MATURES 05/01/25								
CALLABLE								
ACCRUED INTEREST \$65.55								
CUSIP 23918KAR9								
Moody: B1 S&P: B+								
EAI: \$400 Current yield: 5.08%	Oct 26, 16	8,000,000	96,750	7,740.00	98,375	7,870.00	130.00	ST

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Managed Accounts Consulting
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Account name: MENTAL INSIGHT FOUNDATION
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Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
JUNIPER NETWORKS INC								
CALL@MW+37.5BP								
RATE 04 350% MATURES 06/15/25								
CALLABLE								
ACCRUED INTEREST \$48.93								
CUSIP 48203RAJ3								
Moody Baa2 S&P 8BB								
EAI: \$1,175 Current yield: 4.31%								
Original cost basis \$27,210.87	May 19, 16	27,000,000	100,736	27,198.98	100,837	27,225.99	27.01	ST
DISCOVERY COMM INC B/E								
CALL@MW+50BP								
RATE 04 900% MATURES 03/11/26								
CALLABLE								
ACCRUED INTEREST \$815.98								
CUSIP 25470DAL3								
Moody Baa3 S&P 8BB-								
EAI: \$2,695 Current yield: 4.65%								
Original cost basis \$55,077.55	Mar 08, 16	55,000,000	100,132	55,072.60	105,302	57,916.10	2,843.50	ST
Total		\$1,725,000,000		\$1,780,436.46		\$1,785,931.27	\$5,494.81	
Total accrued interest: \$22,590.69								
Total estimated annual income: \$84,722								

Your total assets

	Value on Dec 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	109,492.67	5.71%	109,492.67		
Corporate bonds and notes	1,785,931.27		1,780,436.46	84,722.00	5,494.81
Total accrued interest	22,590.69				
Total fixed income	1,808,521.96	94.29%	1,780,436.46	84,722.00	5,494.81
Total	\$1,918,014.63	100.00%	\$1,889,929.13	\$84,722.00	\$5,494.81

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC, within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 30 (\$)	Price per share on Dec 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	115,952.36	95,127.85					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
A P MOLLAR-MAERSK AVS UNSPON ADR								
Symbol: AMKBY Exchange: OTC								
EAI \$322 Current yield 1.82%	Mar 24, 16	542,000	6.689	3,625.71	8.000	4,336.00	710.29	ST
	Mar 28, 16	382,000	6.685	2,553.78	8.000	3,056.00	502.22	ST
	Mar 29, 16	1,284,000	6.536	8,392.61	8.000	10,272.00	1,879.39	ST
Security total		2,208,000	6.600	14,572.10		17,664.00	3,091.90	
ALNYLAM PHARMACEUTICALS INC								
Symbol: ALNY Exchange: OTC	Mar 24, 16	135,000	59.714	10,514.98 ²	37.440	5,054.40	-5,460.58	
	Dec 21, 16	74,000	40.710	3,505.72 ²	37.440	2,770.56	-735.16	ST
Security total		209,000	67.085	14,020.70		7,824.96	-6,195.74	
AMAZON COM INC								
Symbol: AMZN Exchange: OTC	Feb 18, 16	4,000	529.120	2,116.48	749.870	2,999.48	883.00	ST

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Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: GAM ESG
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Your assets > Equities > Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 9, 16	51 000	554 378	28,273 32	749 870	38,243 37	9,970 05	ST
Security total		55 000	552 542	30,389 80		41,242 85	10,853 05	
ARISTA NETWORKS INC								
Symbol: ANET Exchange: NYSE	Nov 24, 15	120 000	74 690	8,962 91	96 770	11,612 40	2,649 49	LT
Security total	Feb 18, 16	167 000	58 549	9,777 77	96 770	16,160 59	6,382 82	ST
Security total		287 000	65 299	18,740 68		27,772 99	9,032 31	
ASHTHEAD GROUP PLC ORD								
UNSPONSORED ADR								
Symbol: ASHTY Exchange: OTC	Dec 18, 15	77 000	67 168	5,171 94	79 400	6,113 80	941 86	LT
EAI: \$220 Current yield: 1.44%	Jun 22, 16	75 000	61 617	5,408 62 ²	79 400	5,955.00	546 38	ST
Security total	Jun 23, 16	40 000	62 675	2,695 97 ²	79 400	3,176 00	480 03	ST
Security total		192 000	69 149	13,276 53		15,244 80	1,968 27	
AVIVA PLC SPON ADR								
Symbol: AVVIY Exchange: OTC	Jun 17, 15	69 000	16 006	1,104 42	11 810	814 89	-289 53	LT
EAI: \$747 Current yield: 4.65%	Aug 13, 15	1,290 000	16 097	20,765.13	11.810	15,234 90	-5,530 23	LT
Security total		1,359 000	16 092	21,869 55		16,049 79	-5,819 76	
BIO RAD LABORATORIES INC CL A								
Symbol: BIO Exchange: NYSE	Jun 17, 15	13 000	148 563	1,931 33	182 280	2,369 64	438.31	LT
Security total	Aug 13, 15	126 000	148 120	18,663 12	182 280	22,967 28	4,304 16	LT
Security total		139 000	148 162	20,594.45		25,336 92	4,742 47	
BRAMBLES LTD SPON ADR								
Symbol: BXBLY Exchange: OTC	Dec 31, 15	1,183 000	16 972	20,226.54 ²	17 830	21,092 89	866 35	
EAI: \$477 Current yield: 2.26%								
CISCO SYSTEMS INC								
Symbol: CSCO Exchange: OTC	May 3, 16	225 000	26 877	6,047 53	30 220	6,799 50	751 97	ST
EAI: \$615 Current yield: 3.44%	May 4, 16	366 000	26 526	9,745 40 ²	30 220	11,060.52	1,315 12	ST
Security total		591 000	26 722	15,792.93		17,860 02	2,067 09	
COSTCO WHOLESALE CORP								
Symbol: COST Exchange: OTC	Dec 16, 15	136 000	161 493	21,963.16	160 110	21,774 96	-188 20	LT
EAI: \$504 Current yield: 1.12%	Dec 30, 15	109 000	163 242	17,793 41	160 110	17,451 99	-341 42	LT

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 14, 16	35 000	151 411	5,299 40	160 110	5,603 85	304 45	ST
DELPHI AUTOMOTIVE PLC		280 000	160 914	45,055 97		44,830 80	-225 17	
Symbol: DLPH Exchange: NYSE	Mar 30, 16	432 000	75 069	32,430 15	67 350	29,095 20	-3,334 95	ST
EAI: \$501 Current yield: 1 72%								
ECOLAB INC	Mar 24, 16	283 000	110 264	31,204 96	117 220	33,173 26	1,968 30	ST
Symbol: ECL Exchange: NYSE								
EAI: \$419 Current yield: 1 26%								
ELECTRONIC ARTS	Sep 13, 16	77 000	80 253	6,179 49	78 760	6,064 52	-114 97	ST
Symbol: EA Exchange: OTC	Sep 14, 16	237 000	81 072	19,214 25	78 760	18,666 12	-548 13	ST
	Dec 21, 16	43 000	79 873	3,544 80 ²	78 760	3,386 68	-158 12	ST
Security total		357 000	81 060	28,938 54		28,117 32	-821 22	
EOG RESOURCES INC	Mar 24, 16	315 000	74 059	23,328 87	101 100	31,846 50	8,517 63	ST
Symbol: EOG Exchange: NYSE								
EAI: \$211 Current yield: 0 66%								
FORD MOTOR CO COM NEW	Jun 17, 15	114 000	15 046	1,715 26	12 130	1,382 82	-332 44	LT
Symbol: F Exchange: NYSE	Aug 13, 15	1,198 000	14 730	17,646 54	12 130	14,531 74	-3,114 80	LT
EAI: \$787 Current yield: 4 95%		1,312 000	14 757	19,361 80		15,914 56	-3,447 24	
Security total								
HES CORP	Aug 25, 15	223 000	51 714	11,532 27	62 290	13,890 67	2,358 40	LT
Symbol: HES Exchange: NYSE	Dec 2, 16	119 000	57 427	6,833 85	62 290	7,412 51	578 66	ST
EAI: \$455 Current yield: 1 61%	Dec 5, 16	56 000	59 740	3,345 47	62 290	3,488 24	142 77	ST
	Dec 6, 16	57 000	59 798	3,408 54	62 290	3,550 53	141 99	ST
Security total		455 000	55 209	25,120 13		28,341 95	3,221 82	
HILTON WORLDWIDE HOLDINGS INC	May 3, 16	385 000	22 188	8,542 46	27 200	10,472 00	1,929 54	ST
Symbol: HLT Exchange: NYSE	May 4, 16	662 000	22 083	15,139 54 ²	27 200	18,006 40	2,866 86	ST
EAI: \$385 Current yield: 1 03%	Jun 8, 16	165 000	21 837	3,603 24	27 200	4,488 00	884 76	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec. 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 23, 16	163 000	24 875	4,054 71	27 200	4,433 60	378 89	ST
Security total		1,375 000	22 793	31,339.95		37,400.00	6,060 05	
INTESA SANPAOLO SPON ADR								
Symbol	ISNPY	Exchange	OTC					
EAI	\$1.378	Current yield	4 41%					
	Aug 13, 15	427 000	22 917	9,785 94	15 230	6,503 21	-3,282 73	LT
	Mar 9, 16	739 000	16 754	12,713 22 ²	15 230	11,254.97	-1,458.25	
	Sep 1, 16	307 000	14 291	4,387 36	15 230	4,675 61	288 25	ST
	Dec 2, 16	577 000	13 731	10,129 25 ²	15,230	8,787 71	-1,341 54	
Security total		2,050 000	18,056	37,015 77		31,221 50	-5,794 27	
INTL BUSINESS MACH								
Symbol	IBM	Exchange	NYSE					
EAI	\$930	Current yield	3 38%					
	May 3, 16	69 000	143 962	9,933 43	165 990	11,453 31	1,519 88	ST
	May 4, 16	97 000	144 515	14,017 97	165 990	16,101 03	2,083 06	ST
Security total		166 000	144 286	23,951 40		27,554.34	3,602 94	
INVESTORS BANCORP INC NEW								
Symbol	ISBC	Exchange	OTC					
EAI	\$675	Current yield	2 29%					
	May 26, 16	465 000	11 912	5,539 40	13 950	6,486 75	947 35	ST
	Jun 8, 16	647 000	11 838	7,659 70	13 950	9,025 65	1,365 95	ST
	Jun 9, 16	124 000	11 789	1,461 91	13 950	1,729 80	267 89	ST
	Aug 3, 16	330 000	11 222	3,703 36	13 950	4,603 50	900 14	ST
	Sep 13, 16	219 000	11 899	2,605 92	13 950	3,055 05	449 13	ST
	Sep 14, 16	324 000	11 892	3,853 01	13 950	4,519 80	666.79	ST
Security total		2,109 000	11 770	24,823 30		29,420 55	4,597 25	
KAO CORP REPSTG 10 SHS COM								
SPON ADR								
Symbol	KCRPY	Exchange	OTC					
EAI	\$300	Current yield	1 36%					
	Aug 13, 15	201 000	50 540	10,158 54	47 380	9,523 38	-635 16	LT
	Sep 13, 16	7 000	54 210	379 47	47 380	331 66	-47.81	ST
	Sep 14, 16	79 000	53 973	4,263 92	47 380	3,743.02	-520.90	ST
	Oct 27, 16	50 000	53 390	2,669 50	47 380	2,369 00	-300 50	ST
	Nov 1, 16	128 000	50 925	6,518 50	47 380	6,064 64	-453 86	ST
Security total		465 000	51 591	23,989 93		22,031 70	-1,958 23	

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
KROGER COMPANY								
Symbol: KR Exchange NYSE								
EAI: \$337 Current yield 1.39%								
	Dec 12, 16	160,000	34,242	5,478.83	34,510	5,521.60	42.77	ST
	Dec 13, 16	97,000	34,310	3,328.08	34,510	3,347.47	19.39	ST
	Dec 21, 16	250,000	35,389	8,847.25	34,510	8,627.50	-219.75	ST
	Dec 22, 16	195,000	35,063	6,837.40	34,510	6,729.45	-107.95	ST
Security total		702,000	34,888	24,491.56		24,226.02	-265.54	
LEXICON PHARMACEUTICALS INC								
COM/NEW								
Symbol: LXR Exchange OTC								
	Aug 13, 15	422,000	10,935	4,614.61	13,830	5,836.26	1,221.65	LT
	Sep 30, 15	80,000	10,587	847.03	13,830	1,106.40	259.37	LT
	Oct 5, 15	7,000	11,838	82.87	13,830	96.81	13.94	LT
	Oct 6, 15	16,000	11,721	187.55	13,830	221.28	33.73	LT
	Oct 7, 15	16,000	11,966	191.46	13,830	221.28	29.82	LT
	Oct 8, 15	17,000	11,661	198.24	13,830	235.11	36.87	LT
	Oct 9, 15	23,000	11,527	265.14	13,830	318.09	52.95	LT
	Oct 12, 15	26,000	11,386	296.05	13,830	359.58	63.53	LT
	Oct 13, 15	17,000	10,949	186.14	13,830	235.11	48.97	LT
Security total		624,000	11,008	6,869.09		8,629.92	1,760.83	
LYONDELBASELL INDUSTRIES NV								
SHS - A - CL A EUR								
Symbol: LYB Exchange NYSE								
EAI: \$1,108 Current yield: 3.96%								
EUR Exchange rate: 0.94809								
	Mar 9, 16	253,000	82,603	20,941.85 ²	85,780	21,702.34	760.49	LT
	Jun 8, 16	73,000	82,973	6,575.01 ²	85,780	6,261.94	-313.07	LT
Security total		326,000	84,408	27,516.86		27,964.28	447.42	
MAKITA CORP ADR JAPAN ADR								
Symbol: MKTAY Exchange OTC								
EAI: \$161 Current yield 1.29%								
	Jun 17, 15	32,000	55,255	1,768.16	66,910	2,141.12	372.96	LT
	Aug 13, 15	148,000	59,110	8,748.28	66,910	9,902.68	1,154.40	LT
	Dec 22, 16	3,000	67,430	202.29	66,910	200.73	-1.56	ST
	Dec 23, 16	4,000	67,620	270.48	66,910	267.64	-2.84	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		187 000	58 766	10,989 21		12,512 17	1,522 96	
MEDIASET ESPANA COMUNICACION								
SA EUR								
Symbol GETVF Exchange. OTC								
EUR Exchange rate 0 94809								
	Aug 13, 15	1,078 000	12.810	13,809 18	11 760	12,677 28	-1,131 90	LT
	Sep 1, 16	76 000	12 220	928 72	11 760	893 76	-34 96	ST
	Sep 6, 16	582 000	12.417	7,226 81	11 760	6,844 32	-382 49	ST
	Sep 7, 16	118 000	12 493	1,474 21	11 760	1,387 68	-86 53	ST
Security total		1,854 000	12.642	23,438 92		21,803 04	-1,635 88	
MEDICINES CO								
Symbol MDCO Exchange: OTC								
	Aug 27, 15	81.000	31 576	2,557 70	33 940	2,749.14	191 44	LT
	Aug 28, 15	162 000	33 306	5,395 70	33 940	5,498 28	102 58	LT
Security total		243 000	32 730	7,953 40		8,247 42	294 02	
MERCCK KGAA ORD EUR								
Symbol MKGAF Exchange OTC								
EUR Exchange rate. 0 94809								
	Jun 23, 16	125 000	102 256	12,782 08	104 831	13,103 87	321 79	ST
	Aug 3, 16	16 000	109 770	1,756 32	104 831	1,677 30	-79 02	ST
	Aug 4, 16	83 000	108 865	9,035 86	104 831	8,700 97	-334 89	ST
Security total		224 000	105 242	23,574 26		23,482.14	-92 12	
METLIFE INC								
Symbol MET Exchange: NYSE								
EAI: \$1,338 Current yield 2 97%								
	Aug 13, 15	406 000	54 589	22,163 37	53 890	21,879 34	-284 03	LT
	Aug 3, 16	181 000	43 649	7,900 58	53 890	9,754.09	1,853 51	ST
	Aug 31, 16	249 000	43 301	10,781 97	53 890	13,418 61	2,636 64	ST
Security total		836 000	48 859	40,845 92		45,052 04	4,206 12	
MICRON TECHNOLOGY INC								
Symbol: MU Exchange OTC								
	Mar 24, 16	555 000	10 481	5,816 96	21 920	12,165.60	6,348 64	ST
	May 3, 16	1,024 000	10 337	18,639 53 ²	21 920	22,446 08	3,806 55	
	May 4, 16	256 000	10 277	2,631 04	21 920	5,611 52	2,980 48	ST
Security total		1,835.000	14 762	27,087 53		40,223 20	13,135 67	
NORDEA BANK SWED ADR								
Symbol: NRBY Exchange. OTC								
EAI: \$1,729 Current yield. 5 34%								
	Mar 24, 16	182 000	9 327	2,234 56 ²	11 090	2,018 38	-216 18	LT

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		2,921,000	9.854	28,782.42	11,090	32,393.89	3,611.47	LT
NORSK HYDRO A S NEW NORWAY								
SPON ADR								
Symbol NYHYD	Exchange: OTC							
EAI: \$648	Current yield: 2.10%							
	Mar 28, 16	127,000	9.376	1,565.51 ²	11,090	1,408.43	-157.08	LT
	Mar 29, 16	1,933,000	9.444	18,273.15 ²	11,090	21,436.97	3,163.82	LT
	Sep 1, 16	679,000	9.881	6,709.20	11,090	7,530.11	820.91	ST
Security total		2,921,000	9.854	28,782.42	11,090	32,393.89	3,611.47	LT
NOVARTIS AG SPON ADR								
Symbol NVS	Exchange: NYSE							
EAI: \$290	Current yield: 3.16%							
	Mar 9, 16	835,000	4.200	3,886.99 ²	4.720	3,941.20	54.21	LT
	Mar 10, 16	4,471,000	4.012	18,045.93 ²	4.720	21,103.12	3,057.19	LT
	Mar 10, 16	1,243,000	4.010	4,984.43	4.720	5,866.96	882.53	ST
Security total		6,549,000	4.110	26,917.35	4.720	30,911.28	3,993.93	ST
NITT DOCOMO INC ECH REPSTG								
Symbol NVS	Exchange: NYSE							
EAI: \$290	Current yield: 3.16%							
	Dec 17, 15	126,000	85.851	11,092.71 ²	72.840	9,177.84	-1,914.87	LT
1/200TH COM SHS SPON ADR								
Symbol DCM	Exchange: NYSE							
EAI: \$752	Current yield: 2.70%							
	Feb 12, 16	1,195,000	22.628	27,040.46	22.750	27,186.25	145.79	ST
	Mar 31, 16	27,000	22.730	613.71	22.750	614.25	0.54	ST
Security total		1,222,000	22.630	27,654.17	22.750	27,800.50	146.33	ST
PANASONIC CORP SPON ADR								
Symbol PCRFY	Exchange: OTC							
EAI: \$286	Current yield: 1.86%							
	Jun 17, 15	160,000	13.985	2,237.60	10.160	1,625.60	-612.00	LT
	Aug 13, 15	1,353,000	11.395	15,417.44	10.160	13,746.48	-1,670.96	LT
Security total		1,513,000	11.669	17,655.04	10.160	15,372.08	-2,282.96	LT
PDC ENERGY INC COM								
Symbol PDCE	Exchange: OTC							
	Aug 13, 15	46,000	55.347	2,545.97	72.580	3,338.68	792.71	LT
	Aug 25, 15	141,000	47.512	6,699.32	72.580	10,233.78	3,534.46	LT
Security total		187,000	49.440	9,245.29	72.580	13,572.46	4,327.17	LT

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 30 (\$)	Value on Dec. 30 (\$)	Unrealized gain or loss (\$)	Holding period
PING AN INSURANCE (GROUP) CO								
OF CHINA LTD REPSTG 20 H SHS								
SPON ADR								
Symbol: PNGAY Exchange: OTC								
EAI: \$322 Current yield: 1.33%								
	May 9, 16	232 000	9 000	2,174 13 ²	9.960	2,310 72	136 59	ST
	May 10, 16	952 000	9 104	8,819 68 ²	9.960	9,481.92	662 24	ST
	May 11, 16	517 000	8 982	4,644 05	9.960	5,149 32	505 27	ST
	Sep 1, 16	407 000	10 560	4,297 92	9.960	4,053 72	-244 20	ST
	Dec 22, 16	328 000	10 016	3,285 38	9.960	3,266 88	-18 50	ST
Security total		2,436 000	9 532	23,221 16		24,262 56	1,041 40	
PINNACLE FINANCIAL PARTNERS INC								
Symbol: PNFP Exchange: OTC								
EAI: \$250 Current yield 0.81%								
	Jun 10, 16	28 000	49 463	1,384 99	69 300	1,940 40	555 41	ST
	Jun 13, 16	96 000	49 438	4,746 14	69 300	6,652 80	1,906 66	ST
	Jun 14, 16	134 000	49 025	6,569 40	69 300	9,286 20	2,716 80	ST
	Jun 21, 16	28 000	49 115	1,375 22	69 300	1,940 40	565 18	ST
	Jun 22, 16	2 000	49,990	99.98	69 300	138 60	38.62	ST
	Aug 3, 16	44 000	51 922	2,284 59	69 300	3,049 20	764 61	ST
	Aug 4, 16	55 000	52 201	2,871 10	69 300	3,811 50	940 40	ST
	Aug 31, 16	60 000	56 744	3,404 68	69 300	4,158 00	753 32	ST
Security total		447 000	50 864	22,736 10		30,977 10	8,241 00	
PRAXAIR INC								
Symbol: PX Exchange NYSE								
EAI: \$768 Current yield: 2.56%								
	Aug 13, 15	128 000	114.015	14,593 92	117.190	15,000.32	406 40	LT
	Dec 30, 15	108 000	103 968	11,228 55	117 190	12,656 52	1,427 97	LT
	Dec 22, 16	20 000	116 624	2,332 49	117 190	2,343 80	11 31	ST
Security total		256 000	109 980	28,154 96		30,000 64	1,845 68	
PRICE T ROWE GROUP INC								
Symbol: TROW Exchange: OTC								
EAI: \$767 Current yield: 2.87%								
	Sep 9, 15	67 000	71 170	4,768 39	75.260	5,042 42	274 03	LT
	Dec 16, 15	288 000	71 731	20,658 76	75 260	21,674 88	1,016 12	LT
Security total		355 000	71.626	25,427.15		26,717.30	1,290 15	

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
PRICELINE GROUP INC NEW								
Symbol: PCLN Exchange: OTC	Aug 3, 16	10 000	1,368 356	13,683 56	1,466 060	14,660 60	977.04	ST
Aug 4, 16	7 000	1,358 542	9,509 80	1,466 060	10,262 42	752 62	ST	
Security total		17 000	1,364 315	23,193 36		24,923 02	1,729 66	
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE	Aug 13, 15	283 000	75 880	21,474 04	84 080	23,794 64	2,320 60	LT
EAI: \$758 Current yield 3 19%								
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC	Feb 18, 16	447,000	18 913	8,454 34	16 800	7,509 60	-944 74	ST
EAI: \$485 Current yield 2 30%	Feb 19, 16	531 000	18 894	10,032 98	16 800	8,920.80	-1,112.18	ST
Sep 14, 16	278 000	19 040	5,293 12	16 800	4,670 40	-622 72	ST	
Security total		1,256,000	18 933	23,780 44		21,100 80	-2,679.64	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC	May 26, 16	757 000	32 121	24,315 60	28 530	21,597 21	-2,718 39	ST
EAI: \$634 Current yield 2 94%								
ROYAL DSM N V SPON ADR								
Symbol: RDSMY Exchange: OTC	Aug 13, 15	1,502 000	14 355	21,561 21	15 020	22,560 04	998.83	LT
EAI: \$559 Current yield 2 48%								
SAP SE SPON ADR								
Symbol: SAP Exchange: NYSE	Sep 16, 15	396 000	66 899	26,492 12	86 430	34,226 28	7,734 16	LT
EAI: \$369 Current yield 1 08%								
SCHNEIDER ELEC SE UNSPONSORED ADR								
Symbol: SBGSY Exchange: OTC	Aug 13, 15	1,925 000	13 546	26,077 47	13 810	26,584 25	506 78	LT
EAI: \$780 Current yield 2 93%								
SHIN ETSU CHEM CO LTD ADR								
Symbol: SHECY Exchange: OTC	Jun 17, 15	48 000	14 325	687 60	19 330	927 84	240 24	LT
EAI: \$154 Current yield 0 99%	Aug 13, 15	593 000	14 855	8,809 02	19 330	11,462 69	2,653 67	LT
Dec 22, 16	163 000	19 727	3,215 63	19 330	3,150 79	-64 84	ST	
Security total		804 000	15 811	12,712 25		15,541 32	2,829 07	

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December 2016

Account name: MENTAL INSIGHT FOUNDATION
Friendly account name: GAM ESG
Account number:

Your Financial Advisor:
CLIFFORD/BARNOWSKI
415-963-5200/888-660-5750

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
SHIRE PLC SPON ADR								
Symbol: SHPG Exchange: OTC								
EAI: \$107 Current yield: 0.47%	Sep 1, 16	83 000	187 649	15,574 89	170 380	14,141 54	-1,433 35	ST
	Sep 14, 16	50 000	193 303	9,665 15	170 380	8,519 00	-1,146 15	ST
Security total		133 000	189 775	25,240 04		22,660 54	-2,579 50	
SIMON PPTY GROUP INC SBI								
Symbol: SPG Exchange: NYSE								
EAI: \$780 Current yield: 3.66%	Sep 9, 15	120 000	175 872	21,104 65	177 670	21,320 40	215 75	LT
SOUTHWEST AIRLINES CO								
Symbol: LUV Exchange: NYSE								
EAI: \$303 Current yield: 0.80%	Feb 11, 16	21 000	35 358	742 52	49 840	1,046.64	304 12	ST
	Feb 12, 16	96 000	35 556	3,413 40	49 840	4,784.64	1,371 24	ST
	Mar 24, 16	417 000	43 970	18,335 66	49 840	20,783 28	2,447 62	ST
	Oct 19, 16	224 000	42 059	9,421 26	49 840	11,164 16	1,742 90	ST
Security total		758 000	42 101	31,912 84		37,778 72	5,865 88	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE								
EAI: \$669 Current yield: 3.42%	Aug 25, 15	533 000	14 253	7,597 22	18 240	9,721 92	2,124 70	LT
	Aug 26, 15	538 000	14 272	7,678 39	18 240	9,813.12	2,134 73	LT
Security total		1,071 000	14 263	15,275 61		19,535.04	4,259 43	
SUMITOMO MITSUI FINANCIAL SPON ADR								
Symbol: SMFG Exchange: NYSE								
EAI: \$458 Current yield: 3.11%	Aug 13, 15	1,925 000	8 797	16,935 96	7.640	14,707.00	-2,228 96	LT
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$913 Current yield: 2.62%	Aug 13, 15	1,214 000	20 644	25,062 67	28 750	34,902 50	9,839 83	LT
TELENOR ASA ADR								
Symbol: TELNY Exchange: OTC								
EAI: \$881 Current yield: 4.78%	Jun 17, 15	68,000	22 313	1,517 31	14 915	1,014.22	-503 09	LT
	Aug 13, 15	1,167 000	20 860	24,343 62	14 915	17,405 80	-6,937 82	LT
Security total		1,235 000	20 940	25,860 93		18,420 02	-7,440 91	

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THK CO LTD ADR								
Symbol: THKLY Exchange OTC								
EAI: \$206 Current yield: 1.25%	Jun 17, 15	145 000	11 090	1,608 05	11 060	1,603 70	-4 35	LT
	Aug 13, 15	1,347 000	8 970	12,082 59	11 060	14,897 82	2,815 23	LT
Security total		1,492 000	9 176	13,690 64		16,501 52	2,810 88	
UNILEVER NV NY SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange NYSE								
EAI: \$1,018 Current yield: 2.90%	Sep 10, 15	854 000	39 277	33,542 98	41 060	35,065 24	1,522 26	LT
UNITEDHEALTH GROUP INC								
Symbol: UNH Exchange NYSE								
EAI: \$570 Current yield: 1.56%	Aug 13, 15	228 000	120 962	27,579 52	160 040	36,489 12	8,909 60	LT
US BANCORP DEL (NEW)								
Symbol: USB Exchange NYSE								
EAI: \$915 Current yield: 2.18%	Aug 13, 15	817 000	45 167	38,646 68 ²	51 370	41,969 29	3,322 61	LT
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange NYSE								
EAI: \$771 Current yield: 1.50%	Feb 18, 16	128 000	94 975	12,156 88	104 220	13,340.16	1,183 28	ST
	Mar 9, 16	184 000	97 259	18,053 81 ²	104 220	19,176 48	1,122 67	ST
	Oct 26, 16	33 000	92 714	3,059 59	104 220	3,439.26	379 67	ST
	Dec 2, 16	84 000	98 778	8,297 43	104 220	8,754 48	457 05	ST
	Dec 12, 16	21 000	104 084	2,185 77	104 220	2,188 62	2 85	ST
	Dec 13, 16	13 000	103 956	1,351 44	104 220	1,354 86	3 42	ST
	Dec 14, 16	31 000	103 973	3,223 18	104 220	3,230 82	7 64	ST
Security total		494 000	97.830	48,328 10		51,484 68	3,156 58	
WHIRLPOOL CORP								
Symbol: WHR Exchange NYSE								
EAI: \$552 Current yield: 2.20%	Aug 13, 15	73 000	174 974	12,773 15	181 770	13,269.21	496 06	LT
	Dec 16, 15	65 000	148 979	12,949 21 ²	181.770	11,815 05	-1,134.16	LT
Security total		138 000	186 394	25,722 36		25,084 26	-638 10	

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
ZOETIS INC								
Symbol ZTS Exchange NYSE								
EAI: \$333 Current yield: 0.78%								
	Feb 18, 16	350 000	42.168	14,758.80	53.530	18,735.50	3,976.70	ST
	Mar 30, 16	164 000	44.042	7,222.95	53.530	8,778.92	1,555.97	ST
	Mar 31, 16	204 000	44.476	9,073.17	53.530	10,920.12	1,846.95	ST
	Sep 14, 16	75 000	50.601	3,795.14	53.530	4,014.75	219.61	ST
Security total		793 000	43.947	34,850.06		42,449.29	7,599.23	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
EAI: \$1.675 Current yield: 6.36%								
	Dec 21, 15	93 000	25.400	2,497.68 ²	27.570	2,564.01	66.33	LT
	Mar 24, 16	131 000	22.643	2,966.26	27.570	3,611.67	645.41	ST
	Mar 28, 16	285 000	22.715	6,474.03	27.570	7,857.45	1,383.42	ST
	Mar 29, 16	413 000	22.568	9,320.63	27.570	11,386.41	2,065.78	ST
	Mar 31, 16	34 000	21.400	727.60	27.570	937.38	209.78	ST
Security total		956 000	22.998	21,986.20		26,356.92	4,370.72	
Total				\$1,515,042.88		\$1,637,414.88	\$122,372.00	

Total estimated annual income: \$31,572

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Fixed income

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 30 (\$)	Value on Dec 30 (\$)	Unrealized gain or loss (\$)	Holding period
JUNGHEINRICH AG ORD PREFERRED								
EUR								
Symbol: JGHAF Exchange: OTC								
EUR Exchange rate: 0.94809								
	Jun 29, 16	140 000	29.830	4,176.20	28.763	4,026.82	-149.38	ST
	Jun 30, 16	56 000	29.900	1,674.40	28.763	1,610.73	-63.67	ST
	Aug 4, 16	81 000	30.882	2,501.46	28.763	2,329.80	-171.66	ST
	Aug 5, 16	103 000	31.135	3,206.96	28.763	2,962.59	-244.37	ST
	Aug 8, 16	142 000	31.382	4,456.34	28.763	4,084.34	-372.00	ST
Security total		522 000		16,015.36		15,014.28	-1,001.08	