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EXTENDED TO NOVEMBER 15, 2017

Form.990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. OMB No 1545-0052

Department of the Treasury Internal Revenue Service For calendar year 2016 or tax year beginning , and ending Name of foundation . A Employer identification number THE ROCK FOUNDATION 94-1671318 Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number ONE MARITIME PLAZA, SUITE 1220 415-981-3921 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here SAN FRANCISCO, CA 94111 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test check here and attach computation Address change Name change H Check type of organization: x Section 501(c)(3) exempt private foundation E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year J Accounting method: Accrual F If the foundation is in a 60-month termination Other (specify) (from Part II, col. (c), line 16) under section 507(b)(1)(B), check here 42,596,475. (Part I, column (d) must be on cash basis.) ▶\$ Part | Analysis of Revenue and Expenses (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) for charitable purposes (cash basis only) expenses per books income ıncome Contributions, gifts, grants, etc., received 20 Check X if the foundation is not required to attach Sch. B Ŋ, Interest on savings and temporary cash investments 4.861 4 861 SEE STATEMENT 1 2,468,738 2,468,738 SEÈ STATÈMENT 2 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 5,867,789 6a Net gain or (loss) from sale of assets not on line 10 W. 17,762,982. Capital gain net income (from Part IV, line 2) 5,867,789 8 Net short-term capital gain NUV Income modifications ţį. . 10a Gross sales less returns and allowances OGDEN, U b Less Cost of goods sold c Gross profit or (loss) 11 Other income -6,282 -5.748 SEE STATEMENT 3 Total. Add lines 1 through 11 8,335,126 8,335,640 Compensation of officers, directors, trustees, etc. 14 Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees **b** Accounting fees STMT 4 52,875 0 52,875. c Other professional fees 17 Interest 2,444 2,444 0. 18 Taxes STMT 5 20,000 0. 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses STMT 6 42,309 42,052 255. 24 Total operating and administrative expenses. Add lines 13 through 23 117,628 53,130. 25 Contributions, gifts, grants paid 2,283,350 ď. 2,283,350, Total expenses and disbursements. Add lines 24 and 25 2,400,978 44 496 2.336.480 27 Subtract line 26 from line 12: te in 5,934,148 a Excess of revenue over expenses and disbursements 11 12 44 c 3 13. b Net investment income (if negative, enter -0-) 291,144 11.

623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

c Adjusted net income (if negative, enter -0-)

Form **990-PF** (2016)

Te	Part II Balance Sheets Attached schedules and amounts in the description column should be for end of wear amounts only		Beginning of year	End o	f year
	The state of the s		(a) Book Value	(b) Book Value	(c) Fair Market Value
		Cash - non-interest-bearing			
	2	Savings and temporary cash investments	3,268,077.	11,232,091.	11,232,091.
	3	Accounts receivable -			
	1	Less: allowance for doubtful accounts			
	4	Pledges receivable ►	YELLER		A COLD IN THE STATE OF
	ł	Less: allowance for doubtful accounts	A departure of Marie Million and Additional Confession of		
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	1	disqualified persons			
	7	Other notes and loans receivable	330 5 8 3 B 3 B 4		
	1	Less: allowance for doubtful accounts	or on S. S on M	o preten etter hillen seare terre dette utterlie	ar one . I re of the a soon a solved 22th was to
ιņ	8	Inventories for sale or use			
Assets		Prepaid expenses and deferred charges	 		
As		Investments - U.S. and state government obligations	 		
		Investments - corporate stock STMT 7	182,993.	182,993.	658,806.
	1	Investments - corporate bonds	102,333.	102,333.	
	ı				
	}''	Investments - land, buildings, and equipment, basis			
	1,0	Less accumulated depreciation	 		
		Investments - mortgage loans	20 221 405	20 201 622	20 704 405
	13	Investments - other STMT 8	30,331,495.	28,301,633.	30,704,495.
	14	Land, buildings, and equipment basis			
	l	Less accumulated depreciation	<u> </u>		<u> </u>
		Other assets (describe SEE STATEMENT 9	30,163.	30,159.	1,083.
	16	Total assets (to be completed by all filers - see the			3
	↓	instructions. Also, see page 1, item I)	33,812,728.	39,746,876.	42,596,475.
	17	Accounts payable and accrued expenses	<u> </u>		
	18	Grants payable			
es	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons .			
iар	21	Mortgages and other notes payable			
ب	22	Other liabilities (describe)			
	l				
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
	ł	and complete lines 24 through 26 and lines 30 and 31.	}		
ces	24	Unrestricted			
ă	25	Temporarily restricted			
Ba	26	Permanently restricted	<u></u>		
ng	1	Foundations that do not follow SFAS 117, check here			
교	ì	and complete lines 27 through 31.			
ō	27	Capital stock, trust principal, or current funds	0.	0.	
Net Assets or Fund Balance	1	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Ass	1	Retained earnings, accumulated income, endowment, or other funds	33,812,728.	39,746,876.	
et	30	Total net assets or fund balances	33,812,728.	39,746,876.	
Z	}	Total her assets of fully paralices.	33,612,720.	33,740,070.	
	94	Total liabilities and not constant and belonger	22 012 720	20 746 976	
=	31	Total liabilities and net assets/fund balances	33,812,728.	39,746,876.	
P	art	Analysis of Changes in Net Assets or Fund B	alances		
=	T-4-1				
1 Total net assets or fund balances at beginning of year - Part II, column			30		
		t agree with end-of-year figure reported on prior year's return)		1	33,812,728.
		amount from Part I, line 27a	•	2	5,934,148.
		increases not included in line 2 (itemize)		3_	0.
		nes 1, 2, and 3		. 4	39,746,876.
		ases not included in line 2 (itemize)		5_	0.
6	Total	net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	39,746,876.
					Form 990-PF (2016)

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Part IV Capital Gains and	Losses for Tax on Ir	nvestment	Income						
(a) List and describe to 2-story brick wareho	ne kınd(s) of property sold (e.g use, or common stock, 200 sh	., real estate, s. MLC Co.)		(b)	How ac - Purch - Dona	quired hase ition	(c) Date acquire (mo., day, yr.		(d) Date sold (mo., day, yr.)
1a									
b SEE ATTACHED STATEMENT	! 	 -		┞_				\dashv	
d d				-					
e		 -		-				\dashv	
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale				(h) Gain or (e) plus (f) m)
a									
<u>b</u>				_					
<u>c</u>		 							
d 17,762,982.		 	11,895,1	0.2					5,867,789.
Complete only for assets showing gain	n in column (h) and owned by	the foundation		-3-1			Gains (Col. (h)	uain m	
	(i) Adjusted basis		cess of col. (1)	\dashv			(k), but not les:	s than -	·0-) or
(i) F.M.V. as of 12/31/69	as of 12/31/69	, , ,	col. (j), if any				Losses (from	col. (h))
a									
b									
С									
d		<u> </u>							
<u>e</u>		L			 1				5,867,789.
2 Capital gain net income or (net capital	(oss) $\begin{cases} & \text{If gain, also ente} \\ & \text{If (loss), enter -0} \end{cases}$			}	2				5,867,789.
3 Net short-term capital gain or (loss) as		nd (6):		١,					
If gain, also enter in Part I, line 8, colur	nn (c).			1			-	/ >	
If (loss), enter -0- in Part I, line 8 Part V. Qualification Unde	er Section 4940(e) for	r Reduced	Tay on Net	Inv	ostm	ent Inc		/A	
(For optional use by domestic private foun	<u></u>					10116 1110			
(i or optional use by domestic private roun	uations subject to the section	4340(a) tax on	vet illaezhilent ill	COME	- -)				
If section 4940(d)(2) applies, leave this pa	rt blank.								
Was the foundation liable for the section 4	942 tax on the distributable an	nount of any ye	ear in the base per	iod?				[Yes X No
If "Yes," the foundation does not qualify un	der section 4940(e). Do not co	omplete this pa	rL						
1 Enter the appropriate amount in each	column for each year; see the i	nstructions be	fore making any e	ntries				<u> </u>	,,
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying dis	stributions	Net value of no	(c) nchai	rıtable-		(col. (t	ıstrıbul	d) tion ratio ed by col. (c))
2015		2,155,966.				,987,606			
2014		2,149,001.				,291,840			
2013		2,025,920. 1,903,800.				,505,891 ,632,326			
2012		1,742,830.				,393,026			.046608
2011		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				,333,020	+		
2 Total of line 1, column (d)							2		.248185
3 Average distribution ratio for the 5-yea	r base period - divide the total	on line 2 by 5,	or by the number	of ye	ars .				
the foundation has been in existence if	•						3		.049637
4 Enter the net value of noncharitable-us	e assets for 2016 from Part X,	line 5					4		35,793,612.
5 Multiply line 4 by line 3							5		1,776,688.
6 Enter 1% of net investment income (1% of Part I, line 27b)					6		82,911.		
7 Add lines 5 and 6	, - , .	• ••					7		1,859,599.
8 Enter qualifying distributions from Part				-			8		2,336,480.
If line 8 is equal to or greater than line	• •	 e 1b, and comp	 elete that part usin	g a 1	 % tax r	ate.	L <u>•</u> L		2,330,400.
See the Part VI instructions.									000 DE (0040)

	71318		Page 4
Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 -	see instr	uction	ns)
1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.			
Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)			ر لمسلم
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1% 1		82,	,911.
of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	i hin h.		ر. سیست
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0.
3 Add lines 1 and 2		82,	,911.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			٥.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		82,	,911.
6 Credits/Payments:	TO STAN	Kari.	% \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
a 2016 estimated tax payments and 2015 overpayment credited to 2016			i a
b Exempt foreign organizations - tax withheld at source		111	
c Tax paid with application for extension of time to file (Form 8868)			1 7
d Backup withholding erroneously withheld			
7 Total credits and payments. Add lines 6a through 6d		106,	,087.
8 Enter any penalty for underpayment of estimated tax. Check here x if Form 2220 is attached			41.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		23,	,135.
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax ▶ 23,135. Refunded ▶ 11			0.
Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in		Yes	No
any political campaign?	1a		х
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b	\top	x
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or	()	1	. 4 4
distributed by the foundation in connection with the activities.			* * *
c Did the foundation file Form 1120-POL for this year?	1c] -]	x
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	*	* 5	1. b
(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.	* *		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation		* 4 4	100
managers. > \$0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	. 2	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	x
If "Yes," attach a detailed description of the activities.	1	¥ .	* % B
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or	* '		
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		х
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	. 4a	х	
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	х	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	. 5		х
If "Yes," attach the statement required by General Instruction T.		* * .	
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
By language in the governing instrument, or			1 1
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law		8 4	. %
remain in the governing instrument?	6	x	الشيسد در
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	x	
		1. *	1 1
8a Enter the states to which the foundation reports or with which it is registered (see instructions)	1 ;		
CA	* :		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)	i	17.2	
of each state as required by General Instruction G? If "No," attach explanation	8b	X	أسسقت. ⊸
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar	· · - 33		الله أنه
year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	`	ىلىدائدى X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	╁╼╼╂	<u>x</u>
Tes, attach a schedule listing their names and addresses	Form QQ	n_DE	

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Pa	rt:VII-A Statements Regarding Activities (continued)			
		$T^{}$	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	j		
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A			
14	The books are in care of ► ARTHUR ROCK Telephone no. ►415/981-	3921		
	Located at ▶ONE MARITIME PLAZA, STE. 1220, SAN FRANCISCO, CA Z P+4 ▶94	11		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16	Ĺ:	х
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the	100		N X
	foreign country	13. 8	W 1	1
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required	,		
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		, and	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		. W.	* 1
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?	1 3		1,3
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	,*, *	. 1	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		3 .	, W
	(5) Transfer any income or assets to a disqualified person (or make any of either available		1 20	1. %
	for the benefit or use of a disqualified person)? Yes X No	*** ·	200	1 1
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	*		* (
	If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes X No		, Mar.	7
		* }	**	* * *
	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		2	1
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A Organizations solving on a current notice regarding disaster assistance (see instructions)?	1b	- 1	1 2
	Organizations relying on a current notice regarding disaster assistance check here Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		, ,	
·	before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	10	1 %	3 1
-	defined in section 4942(j)(3) or 4942(j)(5)):	18		
а	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	, #	×, *,	1 3
-	before 2016? Yes X No	3 8	, ø	* *
	If "Yes," list the years ▶ , , ,			* 1
	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	. 1	***	
	valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer "No" and attach	* *	\$ \$	* *
	statement - see instructions.)	2b	W/ ~	3 3
	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	\$ 2	3 1 3	J
	•	* *		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time		1 3	**
	during the year?	1 2 30	*	4
Ь	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after	24	16	*
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose	113		*
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			1, 2
	Form 4720, to determine if the foundation had excess business holdings in 2016.) N/A	3b	قة بد سند	
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		х
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	13.4	14	
	had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	a, committee dans	X
		rm 99 0)-PF	(2016

Form 990-PF (2016) THE ROCK FOUNDATION			94-1671318	Page 6	
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (continu	ued)		
5a During the year did the foundation pay or incur any amount to:			*		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Ye	s 🗓 No 📗		
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire	ectly,	, n'		
any voter registration drive?			s X No		
(3) Provide a grant to an individual for travel, study, or other similar purposes'		· —	es 🗶 No 💐		
(4) Provide a grant to an organization other than a charitable, etc., organization	* * * ***				
4945(d)(4)(A)? (see instructions)		□ V	es 🗶 No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or adventional numbers or fe				
All and the second seco	• •		es 🕱 No 🛔		
		—	S LA NO		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations					
section 53.4945 or in a current notice regarding disaster assistance (see instru			· — –	5b	
Organizations relying on a current notice regarding disaster assistance check h					
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr	om the tax because it maintai	neđ	es 🗀 No		
expenditure responsibility for the grant?	, N	<u>/</u> Α . ∟ Υε	es 💹 No 😽		
If "Yes," attach the statement required by Regulations section 53.494	5-5(d).] "		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on				
a personal benefit contract?		Ye	es 🗓 No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	•		[^^	6b x	
If "Yes" to 6b, file Form 8870.				N W N N	
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	☐ Y	es 🗓 No 🖫		
b if "Yes," did the foundation receive any proceeds or have any net income attribu				7b -	
Part VIII Information About Officers, Directors, Trust		nagers Highly		···	
Paid Employees, and Contractors	ees, i dundaddii wa	magers, riiging	,		
1 List all officers, directors, trustees, foundation managers and their	compensation.				
- List Lin Officers, directors, Business, fouritable from the first		(c) Compensation	(d) Contributions to	(e) Expense	
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	(d) Contributions to employee benefit plans and deferred	account, other	
	to position	`enter'-0-)'	compensation	allowances	
	PRES/DIRECT.				
ONE MARITIME PLAZA, #1220					
SAN FRANCISCO, CA 94111	1.00	0.	0.	0.	
FONI REMBE ROCK	CFO/DIR/SEC				
ONE MARITIME PLAZA, #1220					
SAN FRANCISCO, CA 94111	0.50	0.	0.	0.	
JEFFREY M. HOWSON	DIRECTOR				
ONE MARITIME PLAZA, #1220					
SAN FRANCISCO, CA 94111	0.00	0.	0.	0.	
					
					
]		•	
2 Compensation of five highest-paid employees (other than those inc	luded on line 1) If none	enter "NONE "		L	
Compensation of the highest-paid employees (other than those inc		I ITONE.	(d) Contributions to	(e) Expense	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other	
	devoted to position	<u> </u>	compensation	allowances	
NONE		•			
		Į			
	<u></u>		' 	L	
		}			
					
	 				
	1	[
Fatal number of other ampleyage and over \$50,000		L	L		
Total number of other employees paid over \$50,000				990-PF (2016)	
-			Form	33U-FF (2010)	

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Part VIII I Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000 (b) Type of service		(c) Compensation
NONE		
		
	ļ	
		
		
T () and by of the analysis of the second o		0
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		
	т	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	1	Expenses
1 N/A	+	
· 	7	
	7	
2	1	
	7	
	1	
3	_	
	4	
_ 	 	
4	4	
	4	
Part IX-B Summary of Program-Related Investments	ــــــــــــــــــــــــــــــــــــــ	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	T	Amount
1 N/A	+	
· 	┪	
	7	
2		
]	
All other program-related investments. See instructions.	-	
3	4	
	4	
	4	
	4	
Total. Add lines 1 through 3	+	0.
Totali Aud lines 1 till dugi 1 s	For	m 990-PF (2016)

94-1671318

Part X Minimum Investment Return (All domestic foundations must complete this	part. Foreign foundations, see	e instructions.)
1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	18,669,729
b Average of monthly cash balances	1b	2,833,132
c Fair market value of all other assets	1c	14,835,831
d Total (add lines 1a, b, and c)	1d	36,338,692
e Reduction claimed for blockage or other factors reported on lines 1a and		
1c (attach detailed explanation)	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	36,338,692
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	545,080
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,793,612
6 Minimum investment return. Enter 5% of line 5	6	1,789,681
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private opera foreign organizations check here and do not complete this part.)	ting foundations and certain	
1 Minimum investment return from Part X, line 6		1,789,681
2a Tax on investment income for 2016 from Part VI, line 5	82,911.	
b Income tax for 2016. (This does not include the tax from Part VI.)		
c Add lines 2a and 2b	2c	82,911
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	1,706,770
4 Recoveries of amounts treated as qualifying distributions	4	0
5 Add lines 3 and 4	5	1,706,770
6 Deduction from distributable amount (see instructions)	6	0
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,706,770
Part XII Qualifying Distributions (see instructions)	-	
1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	# š	
a Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	2,336,480
b Program-related investments - total from Part IX-B	1b	0
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,336,480
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
income. Enter 1% of Part I, line 27b	. 5	82,911.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,253,569.
Note: The amount on line 6 will be used in Part V, column (h), in subsequent years when calculating what	or the foundation qualifier for the	

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4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

not applied on line 5 or line 7		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
2 Underbolend women, flam, as of the end of 2016	-				1,706,770.
Enter amount for 2015 only Direct property systes: Sexess distributions carryover, if any, to 2016: In From 2011 From 2012 From 2013 General Stationoph a Countying distributions for 2016 from 2014 General Stationoph a Countying distributions for 2016 from 2015 From 2015 Thorsi of fines 3 through a Countying distributions for 2016 from Park XII, lim At P S 2, 23.5, 480 Applied to Jost, but not more than line 28 Applied to underbitibuted mome of pror years (Selection required - see instructions) I related as distributions out of corpus Celection required - see enstructions Celection required - see enstructions On Celection required - see instructions On Celection required - see instruc				<u> </u>	
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FFORM 2015 Total of Inse 3a through e	c From 2013				
Total of lines & a through e	· • • • • • • • • • • • • • • • • • • •				
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corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a 0 Analysis of line 9: a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2015 e Excess from 2016					710,617.
section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8					
may be required - see instructions) 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a 0 Analysis of line 9: a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2015 e Excess from 2016					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a 0 Analysis of line 9: a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2015 e Excess from 2016		0.			
Subtract lines 7 and 8 from line 6a O. Analysis of line 9: a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2016					
Subtract lines 7 and 8 from line 6a O. Analysis of line 9: a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2016		0.			
Subtract lines 7 and 8 from line 6a O. Analysis of line 9: a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2015 e Excess from 2016					
a Excess from 2012 b Excess from 2013 c Excess from 2014 d Excess from 2015 e Excess from 2016		0.			
b Excess from 20 13 c Excess from 20 14 d Excess from 20 15 e Excess from 20 16	O Analysis of line 9:		C. N. J. In at W. A.		
© Excess from 2014 d Excess from 2015 e Excess from 2016	a Excess from 2012				
d Excess from 2015 e Excess from 2016	b Excess from 2013				
e Excess from 2016	c Excess from 2014				
	<u> </u>				
					nask rightsfill

Form 990-PF (2016) THE ROCK FO				94-1671	Page 10
Part XIV Private Operating F	oundations (see in	structions and Part V	I-A, question 9)	N/A	
1 a If the foundation has received a ruling of	r determination letter tha	t it is a private operating			
foundation, and the ruling is effective for			▶ [-
b Check box to indicate whether the found	ation is a private operati	ng foundation described		4942(j)(3) or 49)42(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
investment return from Part X for					
each year listed			1		
b 85% of line 2a			T		
c Qualifying distributions from Part XII,			T		
line 4 for each year listed			1		
d Amounts included in line 2c not			 		
used directly for active conduct of			1		
exempt activities			1	•	
e Qualifying distributions made directly	· · · · · · · · · · · · · · · · · · ·		† 		
for active conduct of exempt activities.			1	ł	
Subtract line 2d from line 2c			+		
3 Complete 3a, b, or c for the	· · · · · · · · · · · · · · · · · · ·	 	 		
alternative test relied upon:		1	}		}
a "Assets" alternative test - enter: (1) Value of all assets					
		 	 		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter		 	 		
2/3 of minimum investment return					
shown in Part X, line 6 for each year			1		
listed		 			
c "Support" alternative test - enter:			į.		
(1) Total support other than gross- Investment income (interest,			į	-	
dividends, rents, payments on		1			
securities loans (section		Ì	}		
512(a)(5)), or royalties)		_		_ 	
(2) Support from general public and 5 or more exempt		ì			
organizations as provided in			}		
section 4942(j)(3)(B)(III)			<u> </u>		
(3) Largest amount of support from		ì	1		
an exempt organization .		ļ			
(4) Gross investment income		<u> </u>	<u> </u>	<u> </u>	<u> </u>
Part XV Supplementary Info	rmation (Comple	ete this part only	if the foundation	on had \$5,000 or m	ore in assets
at any time during t		ructions.)			
1 Information Regarding Foundatio	_				
a List any managers of the foundation who			tributions received by t	he foundation before the clo	se of any tax
year (but only if they have contributed in	iore man 45,000). (See s	section 507 (a)(2).)			
ARTHUR ROCK					
b List any managers of the foundation who other entity) of which the foundation has			(or an equally large por	rtion of the ownership of a p	artnership or
	a 10 % or greater littere	SL.			
NONE					
2 Information Regarding Contributi					
Check here X if the foundation o	nly makes contributions	to preselected charitable	organizations and does	s not accept unsolicited requ	ests for funds. If
the foundation makes gifts, grants, etc.					ı u.
a The name, address, and telephone number	per or e-mail address of	the person to whom appl	lications should be add	ressed:	
		 _			
b The form in which applications should b	e submitted and informa	ition and materials they s	hould include:		
c Any submission deadlines;					
d Any restrictions or limitations on awards	, such as by geographic	al areas, charitable fields	, kinds of institutions, o	r other factors:	
					
623601 11-23-16					Form 990-PF (2016)

Part XV Supplementary Information				
3 Grants and Contributions Paid During the	fear or Approved for Future	Payment	_,	
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
a Paid during the year		1		
			}	
		•		
50CAN	N/A	PC	EDUCATIONAL	
1112 16TH STREET NW, SUITE 240				
WASHINGTON, DC 20036	<u> </u>	<u> </u>		100,000
AMERICAN ACADEMY OF ARTS & SCIENCES	N/A	PC	CHARITABLE	
136 IRVING STREET				
CAMBRIDGE, MA 02138		<u> </u>		5,000
AMERICAN CIVIL LIBERTIES UNION	N/A	PC	CHARITABLE	
FOUNDATION OF NORTHERN CALIFORNIA (ACLU)			- }	
39 DRUMM STREET			}	i
SAN FRANCISCO, CA 94111			Ì	100,000.
			Ţ	
AMERICAN FEDERATION FOR CHILDREN	. N/A	PC	EDUCATIONAL	-
(ALLIANCE FOR SCHOOL CHOICE INC.) 1660 L STREET NW, SUITE 1000			}	
WASHINGTON, DC 20036				50,000.
		 		
			}	
MEDICAN WITTING COCIDEN		L		
AMERICAN HIKING SOCIETY 8505 SECOND AVENUE	N/A	PC	CHARITABLE	
SILVER SPRING, MD 20910				1,000.
	NUATION SHEET(S)		. ► 3a	2,283,350.
b Approved for future payment				-
NONE				
NONE]	
			1	
			1	
	<u> </u>	1		
			1	
Total .	· · ·		▶ 3b	0.

Part XVI-A	Analysis	of Income-Pro	oducing Activities
			I Unrelated bu

Enter gross amounts unless otherwise indicated.	Unrelated business income			ided by section 512, 513, or 514	(e)
	Business Amount s		(C) Exclu- sion	(d) Amount	Related or exempt function income
1 Program service revenue:	code		code	Amount	Tunction income
å	}		ļ	 	<u> </u>
<u></u>	 		ļ	<u></u>	
<u></u>			ļ		
d			├		
£					
# Food and contracts from account account		 _			
g Fees and contracts from government agencies	 			 	
2 Membership dues and assessments	}		 	 	
3 Interest on savings and temporary cash investments	1		14	1 961	
4 Dividends and interest from securities	 		14	4,861. 2,468,738.	
5 Net rental income or (loss) from real estate:	\$ 16 M		1.4		
a Debt-financed property	<u>`</u>	* * * * * * * * * * * * * * * * * * * *	11 .	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<u> </u>
b Not debt-financed property			 	 	
6 Net rental income or (loss) from personal			 	 	
property			}	}	
7 Other investment income					
8 Gain or (loss) from sales of assets other			 	 	
than inventory			18	5,867,789.	
9 Net income or (loss) from special events				 	
10 Gross profit or (loss) from sales of inventory			 	 	
11 Other revenue:					
a OCM OPP FD VI - OTHER INCOME	531390	-534.	1	}	
OCM OPP FD VI - PORT LOSS			14	-5,748.	
c					
d					
e					
2 Subtotal. Add columns (b), (d), and (e)	1 5 1 1	~534,	, N	8,335,640.	0.
3 Total. Add line 12, columns (b), (d), and (e)					8,335,106.
See worksheet in line 13 instructions to verify calculations.)				_	

D'-	J VAUSED	Dalakian data a			ent of Exempt Purposes
ra	LL YAI-R	Relationship of	Activities to	the Accomplishme	ent of Exempt Purposes

 the foundation's exempt purposes (other than by providing funds for such purposes).

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of

Form **990-PF** (2016)

Part X	Information Re Exempt Organ	egarding Transfers To	and Transactions a	ind Relations	hips With Nonc	haritable	
1 Did		rectly engage in any of the following	ng with any other organizatio	n described in secti	on 501(c) of	Yes	No
		:)(3) organizations) or in section 5	· · · · ·		011 30 1(0) 01	1 1 1 1 1 1 1 1	1130
	· ·	lation to a noncharitable exempt o		izations:			
	Cash	ation to a noncharitable exempt of	iganization of.			1a(1)	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
	Other assets	•			• • • •	1a(2)	X
	er transactions:				• • • •	· —————	122
	Sales of assets to a noncharita	shie exempt organization				1b(1)	A A
٠,		oncharitable exempt organization			• •• • ••	1b(2)	x
	Rental of facilities, equipment,					1b(3)	x
	Reimbursement arrangements				**	1b(4)	x
	Loans or loan guarantees	· · · · · · · ·				1b(5)	x
• •	•	embership or fundraising solicitati		• ••		1b(6)	x
		ailing lists, other assets, or paid en		• ••	•	10	x
		s "Yes," complete the following sch		ways show the fair n	narket value of the good		
		foundation. If the foundation receiv	• •				
		other assets, or services received			J	,	
(a)Line no		(c) Name of noncharitab		(d) Description	of transfers, transactions,	and sharing arrangen	nents
		N/A					
						-	
		Ţ		1			
		T					
	T	T					
				1			
2a is th	e foundation directly or indirec	ctly affiliated with, or related to, on	e or more tax-exempt organiz	zations described			
เก รด	ection 501(c) of the Code (othe	er than section 501(c)(3)) or in sec	ction 527?			Yes Y	K No
b If Y	es," complete the following sch						
	(a) Name of org	ganization	(b) Type of organization		(c) Description of relati	onship	
	N/A		<u> </u>	-			
			<u> </u>				
			ļ				
							
 -							
1		that I have examined this return, include impleted Declaration of preparer (other the				May the IHS discuss	s this
Sign Here	1/bth	Papp	Lulala		,	return with the preparation shown below (see in	istr)?
11616	- Wwwt	Nac	<u> </u>	PRESIDENT		X Yes	l No
	Signature of officer or trustee		Date '	Trtle	1 7		
	Print/Type preparer's na	ame Preparer's	signature •	Date	Check if PT	IIV.	
Paid		Palu 40	C. Burus	[1-1-17]	self- employed		
Paid	PAMELA BURNS	 		r		00117843	
Use O	i anno namo p	ON & SIMON LLP			Firm's EIN ► 94-3	054312	
Jac U	· · · · · · · · · · · · · · · · · · ·			 	 		
	1	YGNACIO VLY RD., #310	J		005/0	77 00/0	
	WAI	LNUT CREEK, CA 94596			Phone no. 925/9	77-9060 Form 990-PF	(2010)
						101111 330-66	(2010)

4-1671318

Part IV Capital Gains and Lo	sses for Tax on Investment Income				
· (a) List and	describe the kind(s) of property solution describe the kind(s) of property solution (s) describe the kind(s) of property solution (s) of property		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 4,243.58 SHR VANGUARD			P	08/10/15	01/22/16
	D INSTL TOTAL INDEX FUND		P	08/10/15	02/09/16
	D INSTL TOTAL INDEX FUND		P	08/10/15	03/09/16
	D INSTL TOTAL INDEX FUND		P	03/14/16	05/03/16
	D INSTL TOTAL INDEX FUND		P	09/26/14	05/03/16
f CONVEXITY CAPITAL OFF	SHORE LP (CLASS B)		P	02/13/06	03/31/16
g 4,657.9645 SHR OMEGA	OVERSEAS PARTNERS LTD.		P	06/30/97	12/31/16
h OCM OPPORTUNITIES FUN	D VI, LP		P		
CAPITAL GAINS DIVIDEN	DS				
J					
k					
1					
m					
n					
0					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) blus (f) minus (g)	
a 200,000.		224,698.			-24,698
b 1,000,000.		1,161,185.		- 	-161,185
c 2,500,000.		2,678,029.			-178,029
d 3,078,974.	l	3,171,850.			-92,876
e 2,921,026.	<u> </u>	2,827,571.			93,455
f1,861,911.		822,523.			1,039,388
g 6,201,067.		991,828.			5,209,239
h		17,509.			-17,509
14.					4
1	l	<u> </u>			
k	<u> </u>	<u> </u>			
<u> </u>					·
m					
n					
0					
Complete only for assets showing	ng gain in column (h) and owned by			ses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		of col. (h) gain ove ot less than "-0-")	r col. (k),
a					-24,698
b					-161,185
С					-178,029
d					-92,876
e					93,455
f	<u> </u>				1,039,388
g					5,209,239
h					-17,509
1					4
J					
k		ļ			
1					
m					
<u>n</u>					
0		L			
Capital gain not income as /ast	gutat loca) f If gain, also enter	r in Part I, line 7)-" in Part I, line 7			E 067 700
? Capital gain net income or (net ca		_	2		5,867,789
	ss) as defined in sections 1222(5) ar	nd (6):	{		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8		PI		27 / 2	
TO THE PART IS INC.)	j l	3 1	N/A	

Part XV Supplementary Information				
3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
AMERICAN HIMALAYAN FOUNDATION	N/A	₽C	CHARITABLE	
909 MONTGOMERY STREET, STE. 400	- {	1	1	
SAN FRANCISCO, CA 94133		 		10,00
	}	}		
AMERICAN JEWISH COMMITTEE	N/A	PC	CHARITABLE	İ
165 EAST 56TH STREET			İ	
NEW YORK, NY 10022	-	 		1,00
AMERICAN NONSMOKERS' RIGHTS	N/A	₽C	CHARITABLE	
FOUNDATION		Ĭ	}	Ì
2530 SAN PABLO AVENUE, SUITE J				i
BERKELEY, CA 94702		 		1,00
AMERICANS FOR RESPONSIBLE SOLUTIONS	N/A	₽C	CHARITABLE	
FOUNDATION	[,,			
268 BUSH STREET, #555			į	}
SAN FRANCISCO, CA 94104		<u> </u>		2,00
			•	
AMNESTY INTERNATIONAL	N/A	₽C	CHARITABLE	
P.O. BOX 98233				1
WASHINGTON, DC 20090		<u> </u>		2,00
		Ì	Ì	Ì
ANTI-DEFAMATION LEAGUE	N/A	PC	CHARITABLE	
720 MARKET STREET, SUITE 800			Ì	Ì
SAN FRANCISCO, CA 94102			ì	2,50
ARCS FOUNDATION INC. NCC	N/A	PC	CHARITABLE	
(ACHIEVEMENT REWARDS FOR COLLEGE		1	ł	
SCIENTISTS)			}	
P.O. BOX 29405		1	}	50.00
SAN FRANCISCO, CA 94129	- 	 		50,00
ARTHRITIS FOUNDATION	N/A	PC	CHARITABLE	1
657 MISSION STREET, SUITE 603				5 00
SAN FRANCISCO, CA 94105	 	 	 	5,00
		1		}
ASIAN ART MUSEUM FOUNDATION	N/A	PC PC	CHARITABLE	ļ
200 LARKIN STREET]
FRANCISCO, CA 94102		+	 	1,00
ASPCA (AMERICAN SOCIETY FOR THE	N/A	₽C	CHARITABLE	1
PREVENTION OF CRUELTY TO ANIMALS)]	1
P.O. BOX 96929				}
WASHINGTON, DC 20090		<u> </u>		10
Total from continuation sheets		<u></u>		2,027,35

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y		_		
Recipient Name and address (home as business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
BASIC FUND	N/A	PC	EDUCATIONAL	
268 BUSH STREET, #2727				
SAN FRANCISCO, CA 94104				449,200.
DAY ADDI THOSE ATD				
BAY AREA LEGAL AID 1735 TELEGRAPH AVENUE	N/A	PC	CHARITABLE	
OAKLAND, CA 94612				5,000.
			·-	
BAY AREA RIDGE TRAIL COUNCIL	N/A	PC	CHARITABLE	
1007 GENERAL KENNEDY AVE., SUITE 3				
SAN FRANCISCO, CA 94129				1,000.
BERKELEY CHESS SCHOOL	N/A	₽C	CHARITABLE	
P.O. BOX 10073				
BERKELEY, CA 94709		1		5,000.
· ·				
BERKELEY REPERTORY THEATRE 1230 5TH STREET	N/A	PC	CHARITABLE	
BERKELEY, CA 94710				7,500.
				7,500.
BIG BROTHERS BIG SISTERS OF THE BAY	N/A	₽C	CHARITABLE	
AREA				
649 MISSION STREET, 5TH FLR				
SAN FRANCISCO, CA 94105				15,000.
BOYS & GIRLS CLUBS OF SAN FRANCISCO	N/A	₽C	CHARITABLE	
380 FULTON STREET	[]			
SAN FRANCISCO, CA 94102				50,000.
TRADE COMMENT OF THE				
BRADY CENTER TO PREVENT GUN VIOLENCE 840 FIRST STREET NE, SUITE 400	N/A	PC	CHARITABLE	
WASHINGTON, DC 20002		İ		1,000.
BRAVA! FOR WOMEN IN THE ARTS	N/A	₽C	CHARITABLE	
2781 24TH STREET		1		
SAN FRANCISCO, CA 94110	-	 		2,500.
		1		
CALIFORNIA ACADEMY OF SCIENCES	N/A	PC	CHARITABLE	1
55 MUSIC CONCOURSE DRIVE/GOLDEN GATE		1		1
PARK SAN FRANCISCO, CA 94118				10,000.
Total from continuation sheets				

Part XV Supplementary Information				
3 Grants and Contributions Paid During the		Ţ		 -
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Maine and address (nome of pusitess)	or substantial contributor	recipient		
	1			
CALIFORNIA BAR FOUNDATION	N/A	PC	CHARITABLE	
180 HOWARD STREET				
SAN FRANCISCO, CA 94105			ļ	500.
CHARITY WATCH (AMERICAN INSTITUTE OF	N/A	₽C	CHARITABLE	
PHILANTHROPY)				
P.O. BOX 776249				
CHICAGO, IL 60677	ļ	<u> </u>	<u> </u>	50.
CHILD CARE LAW CENTER	N/A	PC	CHARITABLE	
445 CHURCH STREET, 4TH FLOOR			•	
SAN FRANCISCO, CA 94114		<u> </u>		1,000.
CHILDREN'S LAW OFFICE	N/A	PC	CHARITABLE	
P.O. BOX 298				
STINSON BEACH, CA 94970		<u> </u>		6,000.
CITIZEN SCHOOLS	N/A	PC	EDUCATIONAL	
308 CONGRESS STREET, FLR 5	-			
BOSTON, MA 02210				5,000.
GLAV (GOLGGEVEN, LAND, DEGG				
CLAM (COMMUNITY LAND TRUST ASSOCIATION)	N/A	PC	CHARITABLE	
P.O. BOX 273				
POINT REYES STATION, CA 94956				1,000.
COLLEGESPRING	N/A	PC	EDUCATIONAL	}
1333 BROADWAY, SUITE 250				
OAKLAND, CA 94612	<u> </u>			15,000.
COMMONWEALTH CLUB OF CA	N/A	PC	CHARITABLE	
555 POST STREET				
SAN FRANCISCO, CA 94102				10,000.
1				
COMMUNITY INITIATIVES	N/A	PC	CHARITABLE	
156 SECOND STREET				
SAN FRANCISCO, CA 94105				500.
COMMUNITY MUSIC CENTER	N/A	₽C	CHARITABLE	
544 CAPP STREET	[
SAN FRANCISCO, CA 94110		<u> </u>		2,000.
Total from continuation sheets		<u> </u>	<u> </u>	<u> </u>

Part XV Supplementary Information				
3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	Contribution	
COMPASSION & CHOICES	N/A	PC	CHARITABLE	
P.O. BOX 101810				
DENVER, CO 80250		<u> </u>		5,000.
COMPUTER HISTORY MUSEUM	N/A	₽C	CHARITABLE	
1401 N. SHORELINE BLVD.				10.000
MOUNTAIN VIEW, CA 94043		 		10,000.
CONTEMPORARY JEWISH MUSEUM	N/A	₽C	CHARITABLE	
736 MISSION STREET				1,000.
SAN FRANCISCO, CA 94103				1,000.
2000 000000 000 00000 00000				[
CORO CENTER FOR CIVIC LEADERSHIP 601 MONTGOMERY STREET, SUITE 800	N/A	PC	CHARITABLE	
SAN FRANCISCO, CA 94111				2,500.
	- -		 	2,000.
CUTTING BALL THEATER	N/A	PC	CHARITABLE _	
141 TAYLOR STREET	N/A		CHARTIADDS	
SAN FRANCISCO, CA 94102		:		500.
DANCE PALACE	N/A	₽C	CHARITABLE	
P.O. BOX 217				
POINT REYES STATION, CA 94956				4,000.
DEATH WITH DIGNITY NATIONAL CENTER	N/A	PC	CHARITABLE	
520 SW 6TH AVENUE, SUITE 1220				İ
PORTLAND, OR 97204				500.
DIRECT WOMEN (TIDES CENTER)	N/A	PC	CHARITABLE	
1460 BROADWAY, SUITE 1002				2 500
PHILADELPHIA, PA 19107		 		2,500.
				}
DOCTORS WITHOUT BORDERS	N/A	PC	CHARITABLE	1
333 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10001				600.
		 		
EQUAL JUSTICE SOCIETY	M/2	BC.	CHARITARIE	}
1939 HARRISON STREET, SUITE 818	N/A	PC	CHARITABLE	1
OAKLAND, CA 94612				10,000.
Total from continuation sheets				

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y	ear (Continuation)			
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
EVERYTOWN FOR GUN SAFETY SUPPORT FUND INC.	N/A	PC	CHARITABLE	
P.O. BOX 3886				
NEW YORK, NY 10163				100,000.
EXPLORATORIUM	N/A	PC	CHARITABLE	
PIER 17 .	N/A		CHARTIABLE	
SAN FRANCISCO, CA 94123				25,000.
FINE ARTS MUSEUM	N/A	PC	CHARITABLE	
50 HAGIWARA TEA GARDEN DRIVE	N/A		CHARTIABLE	
SAN FRANCISCO, CA 94118				2,500.
FOR-SITE FOUNDATION	1/2		CUADIDADI E	
2 MARINA BLVD., BUILDING C	N/A	PC	CHARITABLE	
SAN FRANCISCO, CA 94123				500.
FRIEDMAN FOUNDATION FOR EDUCATIONAL	N/A	PC	EDUCATIONAL	
CHOICE ONE AMERICAN SQUARE, SUITE 2420		-	-	-
INDIANAPOLIS, IN 46282				5,000.
FRIENDS OF THE AMERICAN UNIVERSITY OF AFGHANISTAN	N/A	PC	CHARITABLE	
1901 PENNSYLVANIA AVE. NW #207				
WASHINGTON, DC 20006				1,500.
FRIENDS OF THE URBAN FOREST	NT / A	₽C	CHARITABLE	
1007 GENERAL KENNEDY AVE., SUITE 1	N/A			
SAN FRANCISCO, CA 94129				500.
GALLERY ROUTE ONE	N/A	PC	CHARITABLE	
P.O. BOX 937	[,,			
POINT REYES STATION, CA 94956				300.
CIANGS COMMINITOR STATE DIAG	NT / A	ng.	CUAD TMADI P	
GIANTS COMMUNITY FUND-PLAY BALL LUNCHEON	N/A	PC	CHARITABLE	
24 WILLIE MAYS PLAZA		Į		
SAN FRANCISCO, CA 94107				10,000.
GIRL SCOUTS OF NORTHERN CALIFORNIA	N/A	PC	CHARITABLE	
1650 HARBOR BAY PARKWAY, SUITE 100	[
ALAMEDA, CA 94502		<u> </u>		7,500.
Total from continuation sheets				<u> </u>

3 Grants and Contributions Paid During the	Year (Continuation)	,		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
nume and address (nome or business)	or substantial contributor	recipient		
GIRLS INC.	N/A	₽C	CHARITABLE	
510 16TH STREET, SUITE 100				
OAKLAND, CA 94612		 		50
GLOBAL FUND FOR WOMEN	N/A	PC	CHARITABLE	
800 MARKET STREET, SUITE 700	İ			
SAN FRANCISCO, CA 94102		 	 	75
				}
GLOBAL HERITAGE FUND	N/A	PC	CHARITABLE	
220 MONTGOMERY STREET, SUITE 1020 SAN FRANCISCO, CA 94104		ļ	}	3,50
GODMOTHERS OF TIMOTHY MURPHY SCHOOL (TIMOTHY MURPHY SCHOOL)	N/A	PC	CHARITABLE	ĺ
ONE ST. VINCENT DRIVE				
SAN RAFAEL, CA 94903				1,00
GOLDEN GATE NATIONAL PARKS	N/A	₽C	CHARITABLE	
CONSERVANCY				
FORT MASON, BUILDING 201				İ
SAN FRANCISCO, CA 94123		 	_	5,00
GRITTY CITY REPERTORY YOUTH THEATRE	N/A	PC	CHARITABLE	
1540 BROADWAY				
OARLAND, CA 94612		 		5,00
HOOVER INSTITUTION STANFORD UNIVERSITY	N/A	PC	CHARITABLE	
STANFORD, CA 94305			<u> </u>	1,00
HOPE MOHR DANCE	N/A	PC	CHARITABLE	
P.O. BOX 225237				ļ
SAN FRANCISCO, CA 94122		 	 	1,00
HUMAN RIGHTS FIRST	N/A	PC	CHARITABLE	
75 BROAD STREET, 31ST FLR				E0 00
NEW YORK, NY 10004	 	 	 	50,00
HUMAN RIGHTS WATCH	N/A	PC	CHARITABLE	1
350 SANSOME STREET, SUITE 1000 SAN FRANCISCO, CA 94104			1	1,50
Total from continuation sheets				

3 Grants and Contributions Paid During the Y	ear (Continuation)			
Danmont	If recipient is an individual,			
Recipient Name and address (home or business)	show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
		1		
1662 MIGGAL RESOURCE CENTER	N/A	PC	CHARITABLE	
1663 MISSION STREET, SUITE 602		ļ		50,000
SAN FRANCISCO, CA 94103	 	 		30,000
		Ì.		
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET	N/A	PC	CHARITABLE	
NEW YORK, NY 10168		}		10,000
Jan Tolke, NT 10100	 		 	10,000
				į
INVERNESS GARDEN CLUB (SCHOLARSHIP) P.O. BOX 724	N/A	PC	CHARITABLE	,
INVERNESS, CA 94937		ļ	ļ	5,000
JEWISH VOCATIONAL & CAREER COUNSELING SERVICE	N/A	PC	CHARITABLE	l
225 BUSH STREET, SUITE 400				
SAN FRANCISCO, CA 94104				50,000
JOE GOODE PERFORMANCE GROUP	N/A	₽C	CHARITABLE	
499 ALABAMA STREET #150	N/A		CHARLIABLE	
SAN FRANCISCO, CA 94110				500
	T.			
JUNIOR ACHIEVEMENT OF NORTHERN CALIFORNIA	N/A	PC	CHARITABLE	l
303 OAK ROAD, SUITE 1209				
WALNUT CREEK, CA 94597				5,000
JUNIOR LEAGUE OF SAN FRANCISCO	N/A	PC	CHARITABLE	
2226A FILLMORE STREET	[]			
SAN FRANCISCO, CA 94115			<u> </u>	750
KCSM (SAN MATEO COUNTY COMMUNITY	N/A	₽C	CHARITABLE	ļ
COLLEGE FOUNDATION)	N/A		Christinoph	<u> </u>
1700 W. HILLSDALE BLVD., #9		ĺ		
SAN MATEO, CA 94402				1,000
				}
RDFC (CLASSICAL PUBLIC RADIO NETWORK)	N/A	₽C	CHARITABLE	1
201 THIRD STREET, 12TH FLR				1
SAN FRANCISCO, CA 94103	<u> </u>	<u> </u>	<u> </u>	2,000
				1
CIPP BALTIMORE	N/A	₽C	EDUCATIONAL	
1701 GREENSPRING AVENUE]	
BALTIMORE, MD 21209	<u> </u>	<u> </u>		25,000

Part XV Supplementary Information				
3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		<u> </u>
KQED	N/A	PC	CHARITABLE	
2601 MARIPOSA STREET	1	1		
SAN FRANCISCO, CA 94110				25,000.
LAKESIDE SCHOOL	N/A	PC	EDUCATIONAL	
14050 1ST AVENUE NE				
SEATTLE, WA 98125				12,500.
LAMPLIGHTERS MUSIC THEATRE	N/A	₽C	CHARITABLE	
469 BRYANT STREET				
SAN FRANCISCO, CA 94107	<u> </u>	<u> </u>		2,000.
LEGAL AID SOCIETY EMPLOYMENT LAW	N/A	PC	CHARITABLE	
CENTER	N/A		CHARLIADUS	
180 MONTGOMERY STREET, SUITE 600		-		
SAN FRANCISCO, CA 94104		<u> </u>		10,000.
LEGAL MOMENTUM	N/A	PC	CHARITABLE	
5 HANOVER SQUARE, SUITE 1502			-	
NEW YORK, NY 10004		<u> </u>		2,000.
LEGAL SERVICES FOR CHILDREN	N/A	₽C	CHARITABLE	
1254 MARKET STREET, FLR 3	(· · ·			
SAN FRANCISCO, CA 94102				1,000.
				ļ
MAGIC THEATRE	N/A	PC	CHARITABLE	
FORT MASON, BUILDING D	,	ſ	,	
SAN FRANCISCO, CA 94123		<u> </u>	<u> </u>	25,000.
		1		
MARIN AGRICULTURAL LAND TRUST	N/A	PC	CHARITABLE	
P.O. BOX 809				
POINT REYES STATION, CA 94956		<u> </u>		500.
MARIN THEATRE COMPANY	N/A	₽C	CHARITABLE	
397 MILLER AVENUE				
MILL VALLEY, CA 94941				1,100.
MEALS ON WHEELS OF SAN FRANCISCO	N/A	PC	CHARITABLE	
1375 FAIRFAX AVENUE		1		
SAN FRANCISCO, CA 94124		<u> </u>	<u> </u>	10,000.
Total from continuation sheets			- <u></u>	

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y			_	
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
name and address (nome or pusiness)	or substantial contributor	recipient		
MEHARRY MEDICAL COLLEGE	N/A	PC	EDUCATIONAL	
1005 DR. DB TODD JR. BOULEVARD				
NASHVILLE, TN 37208		 	 	10,000.
NATIONAL PARK FOUNDATION	N/A	PC	CHARITABLE	
PO BOX 17394				
BALTIMORE, MD 21298	 	 		500.
NATURE CONSERVANCY	N/A	PC	CHARITABLE	
4245 FAIRFAX DRIVE, SUITE 100				
ARLINGTON, VA 22203	 	 		450.
		1		
NATUREBRIDGE	N/A	PC	CHARITABLE	
28 GEARY STREET, SUITE 650				
SAN FRANCISCO, CA 94108	}	 	 	1,000.
NEW YORK UNIVERSITY SCHOOL OF LAW	N/A	PC	EDUCATION	
22 WASHINGTON SQUARE NORTH, FLR 2				
NEW YORK, NY 10011	 	 	 	15,000.
NFTE BAY AREA (NETWORK FOR TEACHING	N/A	PC	CHARITABLE	
ENTREPRENEURSHIP)				
901 MISSION STREET, SUITE 105				
SAN FRANCISCO, CA 94103	 	 	 	15,000.
	1			
NSSF (NEW SCHOOL OF SAN FRANCISCO)	N/A	₽C	EDUCATIONAL	
2929 19TH STREET		:		200 000
SAN FRANCISCO, CA 94110	 	 	 	200,000.
OAKLAND MILITARY INSTITUTE	N/A	₽C	CHARITABLE	
3877 LUSK STREET		1		10,000.
OAKLAND, CA 94608		 		10,000.
OAKLAND SCHOOL FOR THE ARTS	N/A	PC	CHARITABLE	
530 18TH STREET OAKLAND, CA 94612		1		50,000.
	 	 		20,000.
		1		
OKIZU FOUNDATION	N/A	₽C	CHARITABLE	
16 DIGITAL DRIVE, SUITE 130 NOVATO CA 94949				1 000
		_ 		
Total from continuation sheets				1,000

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y	ear (Continuation)			
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	Contribution	
ONEJUSTICE	N/A	PC	CHARITABLE	
433 CALIFORNIA STREET, SUITE 815				
SAN FRANCISCO, CA 94104		 	 	5,000.
PACIFIC CREST TRAIL ASSOC.	N/A	₽C	CHARITABLE	
1331 GARDEN HIGHWAY				1 000
SACRAMENTO, CA 95833		 	 	1,000.
PACIFIC LEGAL POLIDAMION	7/2		CUAD TON DY D	
PACIFIC LEGAL FOUNDATION 930 G STREET	N/A	PC	CHARITABLE	
SACRAMENTO, CA 95814				500.
PACIFIC NEWS SERVICE	N/A	PC	CHARITABLE	
209 9TH STREET, SUITE 200		1		
SAN FRANCISCO, CA 94103				12,500.
PAPERMILL CREEK CHILDREN'S CORNER	N/A	PC .	CHARITABLE	_
P.O. BOX 996				
POINT REYES STATION, CA 94956				500.
PLANNED PARENTHOOD FEDERATION OF	N/A	₽C	CHARITABLE	
AMERICA	,,			
P.O. BOX 97166				
WASHINGTON, DC 20090				1,000.
PLANNED PARENTHOOD SHASTA DIABLO INC.	N/A	ъс	CHARITABLE	
2185 PACHECO STREET CONCORD, CA 94520				1,000.
		 		
POINT BLUE (POINT REYES BIRD	N/A	₽C	CHARITABLE	Ì
OBSERVATORY) 3820 CYPRESS DRIVE, #11				
PETALUMA, CA 94954				200.
		<u> </u>		
PROJECT OPEN HAND	N/A	PC	CHARITABLE	
730 POLK STREET	, A		CHARTINDE	ļ
SAN FRANCISCO, CA 94109				2,500.
REBUILDING TOGETHER	N/A	₽C	CHARITABLE	\
PIER 28, SUITE 1				
SAN FRANCISCO, CA 94105			<u> </u>	10,000.
Total from continuation sheets		<u> </u>		<u> </u>

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Y				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
SAN FRANCISCO BALLET	N/A	PC	CHARITABLE	
455 FRANKLIN STREET				100 000
SAN FRANCISCO, CA 94104		-	-	100,000.
SAN FRANCISCO BEAUTIFUL	N/A	PC	CHARITABLE	
100 BUSH STREET, SUITE 1812				E00
SAN FRANCISCO, CA 94104		<u> </u>		500.
SAN FRANCISCO CONSERVATORY OF MUSIC	N/A	₽C	CHARITABLE	
50 OAK STREET SAN FRANCISCO, CA 94102				5,000.
				2,000.
SAN FRANCISCO COURT APPOINTED SPECIAL	N/A	PC	CHARITABLE	
ADV. PROGRAM (SF CASA)				
2535 MISSION STREET				1 500
SAN FRANCISCO, CA 94110		 		1,500.
SAN FRANCISCO MUSEUM AND HISTORICAL	N/A	PC	CHARITABLE	
SOCIETY			_	
P.O. BOX 420470				500
SAN FRANCISCO, CA 94142		 		500.
SAN FRANCISCO OPERA ASSOCIATION	N/A	PC	CHARITABLE	
301 VAN NESS AVENUE				200 000
SAN FRANCISCO, CA 94102			 	200,000.
SAN FRANCISCO PERFORMANCES	N/A	PC PC	CHARITABLE	
500 SUTTER STREET, SUITE 710 SAN FRANCISCO, CA 94102				1 000
DAN HAMCIBOO, CA 34102	 	 		1,000.
SF PLAYHOUSE	N/A	PC	CHARITABLE	
588 SUTTER STREET, #318 SAN FRANCISCO, CA 94102				15,000.
SAN FRANCISCO, CA 34102	 	 		13,000.
SIGMA ALPHA MU FOUNDATION	N/A	PC	CHARITABLE	
P.O. BOX 7096 - DEPT #255 INDIANAPOLIS, IN 46207		1		100.
INDICATION IN 40207	 	 	 	100.
SILICON VALLEY FACES	N/A	PC	CHARITABLE	
1401 PARKMOOR AVENUE #150 SAN JOSE, CA 95126				2,500.
Total from continuation sheets	<u> </u>	<u> </u>	<u> </u>	2,300.
community and the				

3 Grants and Contributions Paid During the	Year (Continuation)			
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
name and address (name of addition)	or substantial contributor	recipient		
SPECIAL OLYMPICS NO. CALIFORNIA 3480 BUSKIRK AVENUE, SUITE 340 PLEASANT HILL, CA 94523	N/A	PC	CHARITABLE	2 500
FUEASANI NILL, CA 94523		 	 	2,500
SPUR-S.F. PLANNING & URBAN RESEARCH 654 MISSION STREET SAN FRANCISCO, CA 94105	N/A	₽C	CHARITABLE	2,000
,				
STRIVE FOR CHANGE FOUNDATION P.O. BOX 22584 OAKLAND, CA 94609	N/A	₽C	CHARITABLE	500
ORDINAD, CA 34003		 	 	
STUDENT CONSERVATION ASSOC.	N/A	₽C	CHARITABLE	
4245 NORTH FAIRFAX DRIVE, SUITE 825 ARLINGTON, VA 22203				2,500
STUDENTSFIRST INSTITUTE 825 K STREET, FLR 2	N/A _	₽C _	EDUCATIONAL	
SACRAMENTO, CA 95814	<u> </u>		 	50,000
SYRACUSE UNIVERSITY	N/A	PC	CHARITABLE	
100 UNIVERSITY PLACE SYRACUSE, NY 13244				25,000
THAT MAN MAY SEE (BECKMAN VISION	N/A	PC	CHARITABLE	
UCSF)				
10 KORET WAY/BOX 0352 SAN FRANCISCO, CA 94143				10,000
				Ì
THEATRE BAY AREA 1119 MARKET STREET, FLR 2	N/A	PC	CHARITABLE	
SAN FRANCISCO, CA 94103		<u> </u>		25,000
THEATRE COMMUNICATIONS GROUP INC 520 EIGHTH AVENUE, FLR 24 NEW YORK, NY 10018	N/A	PC	CHARITABLE	25,000
TNDC - (TENDERLOIN NEIGHBORHOOD	N/A	₽C	CHARITABLE	
DEVELOPMENT CORPORATION)				
201 EDDY STREET SAN FRANCISCO, CA 94102				5,000
Total from continuation sheets				

Part XV Supplementary Information 3 Grants and Contributions Paid During the You				1
Recipient	If recipient is an individual,	T		
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UCSF FOUNDATION (UNIVERSITY OF CALIFORNIA SAN FRANCISCO FOUNDATION) P.O. BOX 45339 SAN FRANCISCO, CA 94145	N/A	PC	EDUCATIONAL	10,000.
UNICEF (UNITED STATES FUND FOR UNICEF) 300 MONTGOMERY STREET, STE 515 SAN FRANCISCO, CA 94104	N/A	PC	CHARITABLE	2,500.
UNITED FOR A FAIR ECONOMY 63 SUMMER STREET, SUITE 2 BOSTON, MA 02110	N/A	₽C	CHARITABLE	1,000.
UNIVERSITY OF WASHINGTON LAW SCHOOL BOX 353020 SEATTLE, WA 98195	N/A	₽C	EDUCATION	15,000.
US ENGLISH FOUNDATION 5335 WISCONSIN AVENUE NW, SUITE 930 WASHINGTON, DC 20015	N/A	₽C	CHARITABLE	2,000.
US SKI & SNOWBOARD TEAM FOUNDATION (UNITED STATES SKIING FOUNDATION) BOX 100 PARK CITY, UT 84060	N/A	₽C	CHARITABLE	2,000.
VOTE SMART (CENTER FOR NATIONAL INDEPENDENCE IN POLITICS) ONE COMMON GROUND PHILIPSBURG, MT 59858	N/A	PC	CHARITABLE	500.
WEST MARIN FUND P.O. BOX 1496 POINT REYES STATION, CA 94956	N/A	PC	CHARITABLE	20,000.
WOODROW WILSON CENTER 1300 PENNSYLVANIA AVENUE NW WASHINGTON, DC 20004	N/A	₽C	CHARITABLE	1,000.
WORLD AFFAIRS COUNCIL OF NORTHERN CALIFORNIA 312 SUTTER STREET, SUITE 200 SAN FRANCISCO, CA 94108 Total from continuation sheets	N/A	PC	CHARITABLE	1,000.

3 Grants and Contributions Paid During the	Year (Continuation)			L
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	status of recipient	CONTIDUUON	/anount
VANA D. THE SAME GOVERNOON TO SAME THE				
NORLD JEWISH CONGRESS FOUNDATION 501 MADISON AVENUE	N/A	PC	CHARITABLE	
NEW YORK, NY 10022			}	2,00
15th, N1 10022		<u> </u>	 	
Z-SPACE	N/A	PUBLIC	CHARITABLE	
150 FLORIDA STREET			ļ	
SAN FRANCISCO, CA 94110		ļ		75
			<u> </u>	ļ
	_	}	-	-
		 		
	 	 	 	
	+	 	+	
	}			
			 	
			i	

FORM 990-PF UNDERPAYMENT OF ESTIMATED TAX WORKSHEET

Name(s)				Identifying N	umber
THE ROCK FOUNDA	TION			94-16713	18
(A)	(B)	(C)	(D)	(E)	(F)
*Date	Amount	Adjusted Balance Due	Number Days Balance Due	Daily Penalty Rate	Penalty
		-0-			
05/15/16	20,728.	20,728.			
05/15/16	-61,087.	-40,359.			
06/15/16	20,728.	-19,631.			
09/15/16	20,727.	1,096.	90	.000109290	11
12/14/16	-20,000.	-18,904.			
12/15/16	20,728.	1,824.	16	.000109290	3
12/31/16	0.	1,824.	135	.000109589	27
	-				
!				· · · · · · · · · · · · · · · · · · ·	
				<u> </u>	
					<u> </u>
					<u> </u>
					<u> </u>
Penalty Due (Sum of Colu					41.

612511 04-01-16

^{*} Date of estimated tax payment, withholding credit date or installment due date.

FORM 990-PF INTERE	ST ON SAVING	S AND I	EMPOR	ARY C	ASH]	INVEST	MENTS	STATEMENT	1
SOURCE			(A) EVENUE BOOKS			(B) INVESTN INCOME	MENT	(C) ADJUSTED NET INCOM	
NORTHERN TRUST NORTHERN TRUST (CA	CHECKING)		4,	826. 35.		4	,826. 35.		
TOTAL TO PART I, LI	NE 3		4,	861.		4	,861.		
FORM 990-PF	DIVIDENDS	AND INT	EREST	FROM	SECU	JRITIES		STATEMENT	2
SOURCE	GROSS AMOUNT	CAPIT GAIN DIVIDE	IS	REV	A) ENUE BOOKS	NET S MENT	(B) INVEST		
NORTHERN TRUST OCM OPPORTUNITIES FUND VI AIF	254,318.		4.		254,31	14.	254,314	<u> </u>	
(DELAWARE) OCM OPPORTUNITIES FUND-VI, L.P.	9. 2,861.		o. o.		2,86	9.	2,861).	-
PRIME FINANCE PARTNERS IV, L.P.	2,211,554.		0.	2	2,211,55	54.	2,211,554	١.	
TO PART I, LINE 4	2,468,742.		4.	2	4,468,73	18.	2,468,738). = ======	
FORM 990-PF		OTHER	NCO	ME				STATEMENT	3
DESCRIPTION			RE	(A) VENUE BOOK		NET IN	3) IVEST- INCOME		
OCM OPP FD VI - OTH OCM OPP FD VI - POR				-	-534. -5,748.		0. -5,748.		
TOTAL TO FORM 990-P	F, PART I, L	INE 11		-	6,282.		-5,748.		

FORM 990-PF	ACCOUNTING FEES STATEMENT				
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABL PURPOSES	
TAX PREPARATION FEES AUDIT FEES	38,025. 14,850.	0.		38,025 14,850	
TO FORM 990-PF, PG 1, LN 16B	52,875.	0.		52,875	
FORM 990-PF	TAX	ES	S	PATEMENT	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABL PURPOSES	
FORM 990-PF FEDERAL TAXES	20,000.	0.		(
TO FORM 990-PF, PG 1, LN 18	20,000.	0.		(
FORM 990-PF	OTHER E	XPENSES		TATEMENT	
DESCRIPTION	(A) EXPENSES	(B) NET INVEST-	(C) ADJUSTED	(D) CHARITABL	
	PER BOOKS	MENT INCOME	NET INCOME	PURPOSES	
FILING FEE EXPENSE	255.	MENT INCOME	NET INCOME		
INVESTMENT EXPENSE - PRIME PARTNERS IV		0.	NET INCOME	PURPOSES	
INVESTMENT EXPENSE - PRIME PARTNERS IV INVESTMENT EXPENSE - NORTHERN TRUST	255.	0.	NET INCOME	PURPOSES	
INVESTMENT EXPENSE - PRIME PARTNERS IV INVESTMENT EXPENSE - NORTHERN TRUST INVESTMENT FEES - OCM OPPORTUNITIES FUND VI, L.P. INVESTMENT FEES - OCM	255. 38,577.	0. 38,577. 2,404.	NET INCOME	PURPOSES	
INVESTMENT EXPENSE - PRIME PARTNERS IV INVESTMENT EXPENSE - NORTHERN TRUST INVESTMENT FEES - OCM OPPORTUNITIES FUND VI, L.P. INVESTMENT FEES - OCM OPPORTUNITIES FUND VI AIF (DELAWARE), L.P. INVESTMENT EXPENSE - OCM	255. 38,577. 2,404.	0. 38,577. 2,404. 1,018.	NET INCOME	PURPOSES 259	
INVESTMENT EXPENSE - PRIME PARTNERS IV INVESTMENT EXPENSE - NORTHERN TRUST INVESTMENT FEES - OCM OPPORTUNITIES FUND VI, L.P. INVESTMENT FEES - OCM OPPORTUNITIES FUND VI AIF (DELAWARE), L.P. INVESTMENT EXPENSE - OCM OPPORTUNITIES FUND VI AIF (CAYMAN)	255. 38,577. 2,404. 1,018.	0. 38,577. 2,404. 1,018.	NET INCOME	PURPOSES 255	
INVESTMENT EXPENSE - PRIME PARTNERS IV INVESTMENT EXPENSE - NORTHERN TRUST INVESTMENT FEES - OCM OPPORTUNITIES FUND VI, L.P. INVESTMENT FEES - OCM OPPORTUNITIES FUND VI AIF (DELAWARE), L.P. INVESTMENT EXPENSE - OCM OPPORTUNITIES FUND VI AIF	255. 38,577. 2,404. 1,018.	0. 38,577. 2,404. 1,018. 50.	NET INCOME	PURPOSES 259	
INVESTMENT EXPENSE - PRIME PARTNERS IV INVESTMENT EXPENSE - NORTHERN TRUST INVESTMENT FEES - OCM OPPORTUNITIES FUND VI, L.P. INVESTMENT FEES - OCM OPPORTUNITIES FUND VI AIF (DELAWARE), L.P. INVESTMENT EXPENSE - OCM OPPORTUNITIES FUND VI AIF (CAYMAN) OTHER EXPENSE - OCM	255. 38,577. 2,404. 1,018. 50.	0. 38,577. 2,404. 1,018. 50.	NET INCOME	PURPOSES 259	

FORM 990-PF CO	ORPORATE STOCK		STATEMENT
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
KINDER MORGAN INC.		182,993.	658,806
TOTAL TO FORM 990-PF, PART II, LIN	NE 10B	182,993.	658,806
FORM 990-PF OTE	HER INVESTMENTS		STATEMENT
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ISHARES TRUST S&P MIDCAP 400 ISHARES TRUST S&P SMALLCAP 600 VANGUARD TOTAL STOCK MARKET INDEX	COST COST COST	556,842. 533,164.	1,234,285 1,232,088
FUND OCM OPPORTUNITIES FUND VI LP OCM OPPORTUNITIES FUND VI AIF	COST COST	10,474,641.	11,325,479 7,958
(DELAWARE), LP OCM OPPORTUNITIES FUND VI AIF (CAYMAN) L.P.	COST	3,419. 85,367.	21!
PRIME FINANCE PARTNERS IV LP OMEGA OVERSAS PARTNERS, LTD. CONVEXITY CAPITAL OFFSHORE CLASS	COST COST B COST	16,640,027. 8,173. 0.	16,853,370 51,099
TOTAL TO FORM 990-PF, PART II, LI		28,301,633.	30,704,49
FORM 990-PF	OTHER ASSETS		STATEMENT
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ORGANIZATION/RESTRUCTURE COSTS PREPAID STATE TAXES PREPAID FEDERAL TAXES DIVIDEND RECEIVABLE	24,396. 1,037. 4,680.	24,396. 0. 4,680. 1,083.	1,08
TO FORM 990-PF, PART II, LINE 15	30,163.	30,159.	1,08