









For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation ROSENBERG FOUNDATION		A Employer identification number 94-1186182	
Number and street (or P.O. box number if mail is not delivered to street address) 131 STEUART STREET NO 650		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94105		B Telephone number (see instructions) (415) 644-9777	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 56,167,623		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	10,970			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	14,851	14,851		
	4 Dividends and interest from securities	1,475,880	1,475,812		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	869,849			
	b Gross sales price for all assets on line 6a				
		11,011,473			
	7 Capital gain net income (from Part IV, line 2)		867,841		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	470,432	11,230		
	12 Total. Add lines 1 through 11	2,841,982	2,369,734		
	13 Compensation of officers, directors, trustees, etc	279,709	13,985		265,724
	14 Other employee salaries and wages	269,325	5,907		266,235
	15 Pension plans, employee benefits	101,460	5,073		98,784
	16a Legal fees (attach schedule)	8,883	0		8,883
	b Accounting fees (attach schedule)	58,062	10,917		47,195
	c Other professional fees (attach schedule)	218,781	0		205,924
	17 Interest	1,936	1,891		0
	18 Taxes (attach schedule) (see instructions)	53,521	13,983		0
	19 Depreciation (attach schedule) and depletion	3,036	0		
	20 Occupancy	110,310	5,516		104,795
	21 Travel, conferences, and meetings	102,618	0		95,569
	22 Printing and publications				
	23 Other expenses (attach schedule)	553,523	343,932		225,207
	24 Total operating and administrative expenses. Add lines 13 through 23	1,761,164	401,204		1,318,316
	25 Contributions, gifts, grants paid	1,801,797			2,262,375
	26 Total expenses and disbursements. Add lines 24 and 25	3,562,961	401,204		3,580,691
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-720,979			
	b Net investment income (if negative, enter -0-)		1,968,530		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	85,518	686,600	686,600
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable	1,020,000	530,000	530,000
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	57,575	60,890	60,890
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	40,810,774 	41,863,239	41,863,239
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	13,944,004 	12,857,994	12,857,994
	14 Land, buildings, and equipment basis ▶ _____ 109,728 Less accumulated depreciation (attach schedule) ▶ 97,086	12,388	12,642	12,642
15 Other assets (describe ▶ _____)	 156,018 	 156,258 	 156,258	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	56,086,277	56,167,623	56,167,623	
Liabilities	17 Accounts payable and accrued expenses	146,089	187,893	
	18 Grants payable	2,094,500	1,633,922	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	2,240,589	1,821,815	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	52,823,779	53,813,851	
	25 Temporarily restricted	1,021,909	531,957	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	53,845,688	54,345,808	
	31 Total liabilities and net assets/fund balances (see instructions) .	56,086,277	56,167,623	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	53,845,688
2 Enter amount from Part I, line 27a	2	-720,979
3 Other increases not included in line 2 (itemize) ▶ _____ 	3	1,221,099
4 Add lines 1, 2, and 3	4	54,345,808
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	54,345,808

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES			
b PARTNERSHIP INVESTMENT	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,011,473		10,530,859	480,614
b			387,227
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			480,614
b			387,227
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	867,841
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	3,442,983	57,118,935	0 060277
2014	3,551,634	59,602,124	0 059589
2013	3,345,049	56,675,814	0 059021
2012	3,051,143	55,273,558	0 055201
2011	2,757,833	47,154,597	0 058485
2 Total of line 1, column (d)			2 0 292573
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 058515
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 54,296,779
5 Multiply line 4 by line 3			5 3,177,176
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 19,685
7 Add lines 5 and 6			7 3,196,861
8 Enter qualifying distributions from Part XII, line 4			8 3,730,691

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	19,685
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	19,685
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	19,685
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	81,767
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	81,767
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	62,082
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ 62,082 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.ROSENBERGFOUND.ORG	13	Yes	
14	The books are in care of LINDA MOLL Telephone no (415) 644-9777			

Located at **131 STEUART STREET SUITE 650 SAN FRANCISCO CA** ZIP+4 **94105**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		No
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
LINDA MOLL	BUS /GRANTS MANAGER	91,633	26,516	0
131 STEUART STREET SUITE 650 SF, CA 94105	40 00			
LATEEFAH SIMON	DIRECTOR CALIFORNIA'	98,169	17,835	0
131 STEUART STREET SUITE 650 SF, CA 94105	40 00			
TAMBOUSA GILMORE	EXEC ASST /OFF MAN	50,438	16,676	0
131 STEUART STREET SUITE 650 SF, CA 94105	40 00			
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CHANGE CONSULTING BILEN MESFIN 214 GRANT AVENUE APT 48 OAKLAND, CA 94610	COMMUNICATIONS SUPPORT	119,401
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 FOR 2016, MOST COMMON FORMS OF DCA INCLUDED IMPLEMENTING THE LEADING EDGE FUND, LEVERAGING FUNDS FROM CALIFORNIA-BASED AND NATIONAL FOUNDATIONS FOR OUR GRANTEEES' WORK, ORGANIZING CONVENINGS, CONFERENCES AND BRIEFINGS, AND, PROVIDING TECHNICAL ASSISTANCE, PROGRAM PLANNING, AND STRATEGIC COMMUNICATIONS SUPPORT FOR INDIVIDUAL GRANTEEES AND GRANTEE COLLABORATIVES. STAFF AND CONSULTANTS PROVIDED MORE THAN 3,000 HOURS OF DCA. MAJOR AREAS OF DCA INCLUDED FUNDRAISING, COMMUNICATIONS, ORGANIZATIONAL DEVELOPMENT, POLICY DEVELOPMENT, PROGRAM DEVELOPMENT AND COALITION BUILDING. IN 2016, THE FOUNDATION ORGANIZED, HOSTED, AND/OR SPONSORED MORE THAN 30 CONVENINGS AND EVENTS ENGAGING ADVOCATES, POLICYMAKERS AND PHILANTHROPIC ORGANIZATIONS TO ADVANCE WORK WITHIN OUR PRIORITY AREAS AND ASSIST GRANTEEES TO LEVERAGE OUR GRANTS. SOME HIGHLIGHTED EVENTS INCLUDE CRIMINAL JUSTICE SUMMIT AND COORDINATED CONVENINGS OF THE EXECUTIVE ALLIANCE FOR BOYS AND MEN OF COLOR.	524,210
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 MISSION ASSET FUND FOR DAPA/DACA APPLICATION FEE REVOLVING LOAN FUND	150,000
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. ▶	150,000

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	40,856,271
b	Average of monthly cash balances.	1b	325,268
c	Fair market value of all other assets (see instructions).	1c	13,942,095
d	Total (add lines 1a, b, and c).	1d	55,123,634
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	55,123,634
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	826,855
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	54,296,779
6	Minimum investment return. Enter 5% of line 5.	6	2,714,839

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,714,839
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	19,685
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	19,685
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,695,154
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,695,154
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,695,154

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,580,691
b	Program-related investments—total from Part IX-B.	1b	150,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,730,691
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	19,685
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,711,006

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				2,695,154
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 472,587				
b From 2012. 322,072				
c From 2013. 568,658				
d From 2014. 611,984				
e From 2015. 634,368				
f Total of lines 3a through e.	2,609,669			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>3,730,691</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				2,695,154
e Remaining amount distributed out of corpus	1,035,537			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,645,206			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	472,587			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,172,619			
10 Analysis of line 9				
a Excess from 2012. 322,072				
b Excess from 2013. 568,658				
c Excess from 2014. 611,984				
d Excess from 2015. 634,368				
e Excess from 2016. 1,035,537				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed BUSINESS AND GRANT MANAGER 131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105 (415) 644-9777	
b The form in which applications should be submitted and information and materials they should include THE FOUNDATION ENCOURAGES LETTERS OF INQUIRY FOR PUBLIC-INTEREST ADVOCACY EFFORTS THAT WILL HELP MAKE CALIFORNIA A JUST AND ECONOMICALLY INCLUSIVE STATE AS ALIGNED WITH OUR GRANTMAKING PRIORITIES. LETTERS OF INQUIRY FOR PRELIMINARY RESEARCH AND PLANNING ALSO WILL BE ACCEPTED. LETTERS OF INQUIRY SHOULD BE LIMITED TO ONE TO TWO PAGES AND SHOULD INCLUDE THE FOLLOWING INFORMATION: 1) DESCRIPTION OF PROJECT AND ITS OBJECTIVES 2) DESCRIPTION OF SPONSORING ORGANIZATION 3) TOTAL REQUEST AMOUNT, PROJECT AMOUNT, AND SIZE OF AGENCY BUDGET. APPLICANTS SELECTED FROM THE LETTER OF INQUIRY PROCESS TO APPLY FOR A GRANT WILL BE CONTACTED AND GIVEN INSTRUCTIONS FOR SUBMITTING A FULL PROPOSAL. PROPOSALS ARE REVIEWED BY FOUNDATION STAFF AND ACTED ON BY THE BOARD OF DIRECTORS AT MEETINGS THROUGHOUT THE YEAR. THE FOUNDATION WILL ACT SELECTIVELY TO ENSURE THAT THE FOUNDATION'S RESOURCES ARE USED MOST EFFECTIVELY.	
c Any submission deadlines NO DEADLINES	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors IN ITS SOLE PROGRAM AREA, ECONOMIC INCLUSION AND HUMAN RIGHTS, THE ROSENBERG FOUNDATION CURRENTLY MAKES GRANTS TO FURTHER POLICY REFORM IN THREE PRIORITY AREAS: JUSTICE AND PUBLIC SAFETY, IMMIGRANT RIGHTS AND INTEGRATION, AND JUSTICE FOR FARM WORKERS. PLEASE VISIT OUR GRANT PROGRAMS FOR MORE INFORMATION ON EACH OF THESE AREAS. FIT AND ELIGIBILITY - APPLICANTS MUST MEET THE FOLLOWING CRITERIA: 1) NONPROFIT ORGANIZATIONS THAT ARE TAX-EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE SERVICE CODE AND NOT CLASSIFIED AS A PRIVATE FOUNDATION UNDER SECTION 509(A) OF THE CODE, OR PUBLIC AGENCIES. 2) FOR ALL PROGRAM AREAS, BE BASED IN OR MANAGING SIGNIFICANT ACTIVITIES IN CALIFORNIA. 3) WORK TOWARD MISSIONS AND PROJECT GOALS THAT ARE CLEARLY ALIGNED WITH THE FOUNDATION'S GRANTMAKING PRIORITIES. THE ROSENBERG FOUNDATION DOES NOT PROVIDE GRANTS: 1) TO INDIVIDUALS OR TO FOR-PROFIT ENTITIES 2) FOR GENERAL FUNDRAISING BENEFITS AND EVENTS 3) FOR ENDOWMENT CAMPAIGNS.	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	1,913,750
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	641,922

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
		14	14,851	
900099	68	14	1,475,812	
900099	2,008	18	867,841	
		01	361	110,000
				348,625
		01	11,230	
900099	216			
	2,292		2,370,095	458,625

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Print/Type preparer's name HYDEH GHAFFARI	Preparer's Signature	Date	Check if self-employed ► <input checked="" type="checkbox"/>	PTIN P01228587
Firm's name ► DZH PHILLIPS LLP				Firm's EIN ► 26-4677183
Firm's address ► 135 MAIN STREET 9TH FLOOR SAN FRANCISCO, CA 94520				Phone no (415) 781-2500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CLARA SHIN	CHAIR 3 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
SARAH STEIN	VICE CHAIR/SECRETARY 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
MICK HELLMAN	SECOND VICE CHAIR 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
ROBERT FRIEDMAN	TREASURER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
PHYLLIS COOK	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
DANIEL GROSSMAN	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
JULIE GUTMAN DICKINSON	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
KATE KENDELL	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
BILL LANN LEE	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
SHAUNA MARSHALL	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
HUGO MORALES	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
ALBERT MORENO	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
TIMOTHY SILARD	PRESIDENT 40 00	241,976	37,733	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				
HERMA HILL KAY	BOARD MEMBER 1 00	0	0	0
131 STEUART STREET SUITE 650 SAN FRANCISCO, CA 94105				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a <i>Paid during the year</i>				
UC BERKELEY CENTER FOR LABOR RESEARCH AND EDUCATION 2521 CHANNING WAY 5555 BERKELEY, CA 94720		PC	KIRKE WILSON LABOR SUMMER INTERNSHIPS	12,000
MEXICAN AMERICAN LEGAL DEFENSE & ED FUND 634 S SPRING STREET LOS ANGELES, CA 90014		PC	ADVANCING CIVIL RIGHTS AT THE INTERSECTION OF IMMIGRANT RIGHTS AND WORKERS RIGHTS	50,000
ALLIANCE FOR JUSTICE 11 DUPONT CIRCLE NORTHWEST 200 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	20,000
MUJERES UNIDAS Y ACTIVAS 3543 18TH STREET SUITE 23 SAN FRANCISCO, CA 94110		PC	GENERAL SUPPORT	35,000
SIERRA HEALTH FOUNDATION CENTER FOR HEALTH PROGRAM MANAGEMENT 1321 GARDEN HIGHWAY SACRAMENTO, CA 95833		PC	GENERAL SUPPORT FOR CALIFORNIA EXECUTIVES' ALLIANCE TO EXPAND OPPORTUNITIES FOR BOYS AND MEN OF COLOR	15,000
Total ► 3a				1,913,750


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROCKEFELLER PHILANTHROPY ADVISORS 575 MARKET ST SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT FOR THE (NATIONAL) EXECUTIVES' ALLIANCE TO EXPAND OPPORTUNITIES FOR BOYS AND MEN OF COLOR	10,000
LIBERTY HILL FOUNDATION 6420 WILSHIRE BLVD 700 LOS ANGELES, CA 90048		PC	GENERAL SUPPORT FOR THE FUND FOR ECONOMIC EQUITY AND DIGNITY (FEED)	25,000
INTERNATIONAL DEVELOPMENT EXCHANGE 333 VALENCIA STREET SUITE 250 LOS ANGELES, CA 94103		PC	PATRISSE CULLORS LEADING EDGE FELLOWSHIP	75,000
LAWYERS' COMMITTEE FOR CIVIL RIGHTS OF THE SF BAY AREA 131 STEUART ST 400 SAN FRANCISCO, CA 94105		PC	MEREDITH DESAUTELS LEADING EDGE FELLOWSHIP	46,875
PEACE DEVELOPMENT FUND PO BOX 40250 SAN FRANCISCO, CA 94140		PC	MORNING STAR GALI LEADING EDGE FELLOWSHIP	75,000
Total ► 3a				1,913,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR COMMUNITY ACTION AND ENVIRONMENTAL JUSTICE 7701 MISSION BLVD JURUPA VALLEY, CA 92509		PC	MICHAEL GOMEZ LEADING EDGE FELLOWSHIP	75,000
SILICON VALLEY DEBUG 701 LENZEN AVE SAN JOSE, CA 95126		PC	RAJ JAYADEV LEADING EDGE FELLOWSHIP	75,000
ALAMEDA COUNTY PUBLIC DEFENDER 1401 LAKESIDE DR 400 OAKLAND, CA 94612		PC	RAHA JORJANI LEADING EDGE FELLOWSHIP	75,000
IMPACT JUSTICE 2633 TELEGRAPH AVE 104 OAKLAND, CA 94612		PC	NICOLE PITTMAN LEADING EDGE FELLOWSHIP	75,000
POLICY LINK 1438 WEBSTER ST 303 OAKLAND, CA 94612		PC	SAMUEL SINYANGWE LEADING EDGE FELLOWSHIP	75,000
Total ▶ 3a				1,913,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S DEFENSE FUND 2201 BROADWAY OAKLAND, CA 94612		PC	ENDING YOUTH SOLITARY CONFINEMENT	15,000
MIXETECOINDIGENA PO BOX 20543 OXNARD, CA 93934		PC	GENERAL SUPPORT	5,000
KHMER GIRLS IN ACTION 1355 REDONDO AVE SUITE 9 LONG BEACH, CA 90804		PC	GENERAL SUPPORT	5,000
COMMUNITY COALITION FOR SUBSTANCE ABUSE AND TREATMENT 8101 S VERMONT AVENUE LOS ANGELES, CA 90044		PC	GENERAL SUPPORT	5,000
FOOD CHAIN WORKERS 1730 W OLYMPIC BLVD 300 LOS ANGELES, CA 90015		PC	GENERAL SUPPORT	5,000
Total ▶ 3a				1,913,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WAREHOUSE WORKER RESOURCE CENTER 601 S MILLIKEN AVE SUITE A ONTARIO, CA 91761		PC	GENERAL SUPPORT	12,500
PUBLIC COUNSEL 610 SOUTH ARDMORE AVE LOS ANGELES, CA 90005		PC	GENERAL SUPPORT	15,000
FARMWORKER JUSTICE 1126 16TH STREET NW SUITE 270 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	50,000
LEGAL SERVICES FOR PRISONERS WITH CHILDREN 1540 MARKET STREET SUITE 490 SAN FRANCISCO, CA 94102		PC	GENERAL SUPPORT	20,000
TIDES CENTER PO BOX 29198 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	425,000
Total 				1,913,750
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IMMIGRANT LEGAL RESOURCE CENTER 1663 MISSION STREET SAN FRANCISCO, CA 94103		PC	INCREASING IMMIGRANT ACCESS TO HEALTH CARE	15,000
UNITED FARM WORKER FOUNDATION 4545 E CESAR E CHAVEZ AVE LOS ANGELES, CA 90022		PC	GENERAL SUPPORT	60,000
PEOPLE AND CONGREGATIONS TOGETHER FOR STOCKTON 3700 PACIFIC AVENUE ROOM 28 STOCKTON, CA 95207		PC	EXPANDING LIFELINES TO HEALING	15,000
THE WOMENS FOUNDATION OF CALIFORNIA 300 FRNAK OGAWA PLAZA SUITE 420 OAKLAND, CA 94612		PC	GENERAL SUPPORT	3,000
RACE FORWARD 900 ALICE STREET SUITE 400 OAKLAND, CA 94607		PC	GENERAL SUPPORT	10,000
Total ► 3a				1,913,750


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEIGHBORHOOD FUNDERS GROUP 1201 CONNETICUT NW 3RD FLOOR WASHINGTON, DC 20036		PC	GENERAL SUPPORT	3,000
CHILDREN NOW 1404 FRANKLIN STREET SUITE 700 OAKLAND, CA 94612		PC	GENERAL SUPPORT	15,000
ANTI-RECIDIVISM COALITION 448 SOUTH HILL STREET SUITE 908 LOS ANGELES, CA 90013		PC	GENERAL SUPPORT	50,000
ASIAN AMERICANS ADVANCING JUSTICE LOS ANGELES 1145 WILSHIRE BLVD 2ND FLOOR LOS ANGELES, CA 90017		PC	GENERAL SUPPORT	20,000
LA ALLIANCE FOR A NEW ECONOMY (LAANE) 1663 MISSION STREET SUITE 602 SAN FRANCISCO, CA 94103		PC	WINNING JUSTICE FOR PORT TRUCK DRIVERS	50,000
Total ► 3a				1,913,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WAREHOUSE WORKER RESOURCE CENTER 601 S MILLIKEN AVE SUITE A ONTARIO, CA 91761		PC	GENERAL SUPPORT	50,000
POLICY LINK 1438 WEBSTER ST 303 OAKLAND, CA 94612		PC	GENERAL SUPPORT	30,000
INTERNATIONAL DEVELOPMENT EXCHANGE 333 VALENCIA STREET SUITE 250 SAN FRANCISCO, CA 94103		PC	GENERAL SUPPORT	15,000
LIBERTY HILL FOUNDATION 6420 WILSHIRE BLVD 700 LOS ANGELES, CA 90048		PC	GENERAL SUPPORT	15,000
LEGAL SERVICES FOR PRISONERS WITH CHILDREN 1540 MARKET STREET SUITE 490 SAN FRANCISCO, CA 94102		PC	GENERAL SUPPORT	10,000
Total ► 3a				1,913,750


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
POLICY LINK 1438 WEBSTER ST 303 OAKLAND, CA 94612		PC	GENERAL SUPPORT	3,500
TIDES CENTER PO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	28,125
ASIAN AMERICANS ADVANCING JUSTICE - ASIAN LAW CAUCUS 55 COLUMBUS AVE SAN FRANCISCO, CA 94111		PC	BUILDING A STRONGER IMMIGRANTS' RIGHTS MOVEMENT THROUGH THE LENS OF LEADERSHIP OF AAPI IMMIGRANT YOUTH	15,000
CALIFORNIA CALLS EDUCATION FUND 4801 EXPOSITION BLVD LOS ANGELES, CA 90016		PC	AFRICAN AMERICAN CIVIC ENGAGEMENT PROJECT	50,000
EDUCATION AND LEADERSHIP FOUNDATION 4290 E ASHLAN AVENUE FRESNO, CA 93726		PC	CENTRAL VALLEY IMMIGRANT INTEGRATION COLLABORATIVE	30,000
Total 				1,913,750
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN NOW 1404 FRANKLIN STREET SUITE 700 OAKLAND, CA 94612		PC	GENERAL SUPPORT	15,000
CHILDREN'S DEFENSE FUND 2201 BROADWAY OAKLAND, CA 94612		PC	ENDING YOUTH SOLITARY CONFINEMENT	25,000
ASIAN AMERICANPACIFIC ISLANDS IN PHILANTHROPY 211 SUTTER STREET SUITE 600 SAN FRANCISCO, CA 94108		PC	GENERAL SUPPORT	750
ASSOCIATION OF BLACK FOUNDATION EXECUTIVES 354 PINE STREET SUITE 700 SAN FRANCISCO, CA 94104		PC	GENERAL SUPPORT	750
FOUNDATION CENTER 79 FIFTH AVE NEW YORK, NY 10003		PC	GENERAL SUPPORT	1,000
Total 				1,913,750
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FUNDERS FOR LGBTQ ISSUES 104 W 29TH ST 4TH FLOOR NEW YORK, NY 10001		PC	GENERAL SUPPORT	750
GRANTMAKERS CONCERNED WITH IMMIGRANTS AND REFUGEES 777 TILTON ROAD SEBASTOPOL, CA 95472		PC	CALIFORNIA IMMIGRANT INTEGRATION INITIATIVE	5,000
GRANTS MANAGERS NETWORK 1666 K STREET NW 440 WASHINGTON, DC 20005		PC	GENERAL SUPPORT	750
HISPANICS IN PHILANTHROPY 414 13TH STREET SUITE 200 OAKLAND, CA 94162		PC	GENERAL SUPPORT	750
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1331 H STREET NW SUITE 200 WASHINGTON, DC 20005		PC	GENERAL SUPPORT	1,500
Total ► 3a				1,913,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIVE AMERICANS IN PHILANTHROPY 2801 - 21ST AVENUE SOUTH SUITE 132D MINNEAPOLIS, MN 55407		PC	GENERAL SUPPORT	750
NEIGHBORHOOD FUNDERS GROUP 1201 CONNETICUT NW 3RD FLOOR WASHINGTON, DC 20036		PC	GENERAL SUPPORT	750
NORTHERN CALIFORNIA GRANTMAKERS 625 MARKET STREET 3RD FLOOR SAN FRANCISCO, CA 94105		PC	GENERAL SUPPORT	2,000
PROTEUS FUND 15 RESEARCH DRIVE SUITE B AMHERST, MA 01002		PC	GENERAL SUPPORT	15,000
COMMON SENSE MEDIA 650 TOWNSEND SUITE 435 SAN FRANCISCO, CA 94103		PC	GENERAL SUPPORT	15,000
Total ▶ 3a				1,913,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PUBLIC POLICY INSTITUTE OF CALIFORNIA 500 WASHINGTON STREET SUITE 600 SAN FRANCISCO, CA 94111		PC	GENERAL SUPPORT	10,000
TRUST SOUTH LOS ANGELES 4331 SOUTH MAIN STREET LOS ANGELES, CA 90037		PC	GENERAL SUPPORT	10,000
TIDES CENTER PO BOX 29903 SAN FRANCISCO, CA 94129		PC	GENERAL SUPPORT	20,000
MIGRATION DIALOGUE 1004 EAGLE PLACE DAVIS, CA 95616		PC	GENERAL SUPPORT	5,000
Total 				1,913,750
3a				

TY 2016 Accounting Fees Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND AUDIT	58,062	10,917		47,195

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Gain/Loss from Sale of Other Assets Schedule

Name: ROSENBERG FOUNDATION

EIN: 94-1186182

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
GAIN FROM PARTNERSHIP INVESTMENT		PURCHASED						0	2,008	

TY 2016 Investments Corporate Stock Schedule

Name: ROSENBERG FOUNDATION
EIN: 94-1186182

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OSTERWEIS STRATEGIC	10,555,050	10,555,050
AIR LEASE CORP CL A	427,237	427,237
ALLEGHANY CORP DEL	273,654	273,654
ALLERGAN PLC F	307,271	307,271
ALPHABET INC CLASS A	202,075	202,075
ALPHABET INC CLASS C	496,280	496,280
ANADARKO PETROLEUM	238,477	238,477
BAYER A G SPONSORED ADRF	369,673	369,673
BERRY PLASTICS GROUP	247,792	247,792
BOEING CO	344,831	344,831
CF INDUSTRIES HLDG	296,856	296,856
CHARTER COMMUNITY CL A NEW	707,132	707,132
CHUBB LTD	415,517	415,517
CINEMARK HOLDINGS INC	392,308	392,308
CROWN HOLDINGS INC	597,721	597,721
DIAGEO PLC NEW ADR	346,120	346,120
FLEETCOR TECHNOLOGIE	381,396	381,396
FOUR CORNERS PROPERTY	299,592	299,592
GENERAL ELECTRIC CO	410,642	410,642
GLAXOSMITHKLINE PLC F	369,118	369,118
GRAPHIC PACKAGING HL	330,221	330,221
HALLIBURTON CO HLDG	216,901	216,901
INTEL CORP	339,669	339,669
JOHNSON & JOHNSON	273,048	273,048
JPMORGAN CHASE & CO	258,870	258,870
A TENCOR CORP	153,819	153,819
NOVARTIS A G SPON ADR F	259,675	259,675
OWENS ILLINOIS INC NEW	185,504	185,504
PENTAIR PLC	147,744	147,744
PROGRESSIVE CO OHIO	424,935	424,935

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PUBLIC STORAGE	283,845	283,845
SCHLUMERGER LTD	443,676	443,676
TELEFLEX INCORPORATED	282,013	282,013
UNION PACIFIC CORP	271,642	271,642
US FOODS HOLDING COR	179,444	179,444
DOUBLE CORE FIXED	2,109,502	2,109,502
VANGUARD INTERM TERM	2,201,420	2,201,420
IVA INTL FUND	715,637	715,637
VANGUARD DIV GROWTH FD	1,124,892	1,124,892
VANGUARD REIT	445,662	445,662
VANGUARD TOTAL BOND	2,173,251	2,173,251
WELLS FARGO ADVANTAGE EMERGING MARKET	846,940	846,940
AMG YACHTMAN FUND SERVICE	1,718,736	1,718,736
ISHARES TR BOND	817,589	817,589
VANGUARD ENERGY ETF	1,918,470	1,918,470
VANGUARD FTSE DEVELOPED	730,800	730,800
VANGUARD FTSE EMERGING	946,703	946,703
VANGUARD TOTAL STOCK MKT	2,957,843	2,957,843
CASH RESERVE PREMIUM IN SCHWAB ACCT	1,426,046	1,426,046

TY 2016 Investments - Other Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN CENTURY GIFTTRUST INVESTMENTS	FMV	1,957	1,957
1607 CAPITAL PARTNERS INTERNATIONAL EQUITY	FMV	3,453,039	3,453,039
HRJ CAPITAL VC VI (INT'L)	FMV	791,128	791,128
HRJ CAPITAL VC VI (U.S.)	FMV	1,219,855	1,219,855
HRJ GLOBAL BUY-OUT III (ASIA)	FMV	111,330	111,330
HRJ GLOBAL BUY-OUT III (EUROPE)	FMV	197,302	197,302
HRJ GLOBAL BUY-OUT III (U.S.)	FMV	291,768	291,768
HRJ SPECIAL OPPORTUNITIES II (INT'L)	FMV	121,027	121,027
HRJ SPECIAL OPPORTUNITIES II (U.S.)	FMV	308,991	308,991
METROPOLITAN REAL ESTATE PARTNERS II	FMV	261,005	261,005
METROPOLITAN REAL ESTATE PARTNERS V	FMV	178,249	178,249
MAGNITUDE INTERNATIONAL CLASS A SHARES	FMV	4,006,358	4,006,358
PALOMA INTERNATIONAL LTD	FMV	1,205,293	1,205,293
RIMROCK	FMV	710,692	710,692

TY 2016 Legal Fees Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL SERVICES	8,883	0		8,883

TY 2016 Other Assets Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	150,000	150,240	150,240
DEPOSITS	6,018	6,018	6,018

TY 2016 Other Expenses Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SUPPLIES	22,995	1,150		19,102
TELEPHONE/POSTAGE/DELIVERY	11,770	589		10,463
COMPUTER SOFTWARE	10,248	512		9,430
BOARD MEETING EXPENSES	5,777	578		3,979
INSURANCE	17,661	1,766		29,362
PUBLICATIONS & MEMBERSHIPS	500	0		500
80TH ANNIVERSARY EVENT EXPENSES	410	0		7,923
OTHER K1 DEDUCTIONS	103,360	102,983		0
INVESTMENT FEE	236,354	236,354		0
OTHER EXPENSES	886	0		886

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEADING EDGE PROGRAM EXPENSES	143,562	0		143,562

TY 2016 Other Income Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS RECEIPTS	110,361		110,361
GRANTS CANCELED	348,625		348,625
OTHER INCOME FROM PARTNERSHIP	11,230	11,230	11,230
OTHER INCOME FROM PARTNERSHIP (UNRELATED BUSINESS INCOME)	216		216

TY 2016 Other Increases Schedule

Name: ROSENBERG FOUNDATION
EIN: 94-1186182

Description	Amount
UNREALIZED GAIN FROM INVESTMENT	1,221,099

TY 2016 Other Professional Fees Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TEMPORARY HELP	1,055	0		1,055
IT/COMPUTER CONSULTING	11,550	0		11,550
WEBSITES DESIGN	21,300	0		21,300
OTHER CONSULTING FEES	181,428	0		168,571
PROFESSIONAL DEVELOPMENT	3,448	0		3,448

TY 2016 Taxes Schedule**Name:** ROSENBERG FOUNDATION**EIN:** 94-1186182

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	39,538	0		0
TAXES PER SCHEDULE K-1S	9,956	13,983		0
PROPERTY TAXES	1,136	0		0
FOREIGN TAXES	2,891	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2016

Name of the organization ROSENBERG FOUNDATION	Employer identification number 94-1186182
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ROSENBERG FOUNDATION	Employer identification number 94-1186182
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARTHA FAULL LANE CHARITABLE TRUST ONE MONTGOMERY STREET SUITE 3000 SAN FRANCISCO, CA 94104	 \$ 10,970	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-	 	 \$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions)</small>

Employer identification number

94-1186182

Part II	Noncash Property
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[illegible]

Name of organization ROSENBERG FOUNDATION	Employer identification number 94-1186182
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div>	<div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div>	<div></div> <div></div>	<div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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