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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
WILLIAM H BAUMAN & MARY L
BAUMAN FOUNDATION

A Employer identification number
93-6234071

Number and street (or P O box number if mail is not delivered to street address) Room/suite
7991 SW EDGEWATER DRIVE E

B Telephone number (see instructions)
(503) 651-1153

City or town, state or province, country, and ZIP or foreign postal code
WILSONVILLE, OR 97070

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 9,643,291

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	214,422	214,422		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	498,595			
	b	Gross sales price for all assets on line 6a 3,665,138				
	7	Capital gain net income (from Part IV, line 2)		498,595		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	713,017	713,017		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	16,750	10,750		6,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,735	2,868		2,867
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	15,291	2,999		1,028
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	84,117	83,081		1,036
	24	**Total operating and administrative expenses.** Add lines 13 through 23	121,893	99,698		10,931
	25	Contributions, gifts, grants paid	578,000			578,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	699,893	99,698		588,931
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	13,124			
	b	**Net investment income** (if negative, enter -0-)		613,319		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	53,304	49,491	49,491
	2 Savings and temporary cash investments	908,931	1,056,183	1,056,183
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	7,576,140	7,786,492	8,421,115
	c Investments—corporate bonds (attach schedule)	52,500	52,500	116,502
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	340,667		
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,931,542	8,944,666	9,643,291
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	4,207,108	4,207,108	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	4,724,434	4,737,558	
	30 **Total net assets or fund balances** (see instructions)	8,931,542	8,944,666	
	31 **Total liabilities and net assets/fund balances** (see instructions)	8,931,542	8,944,666	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,931,542
2	Enter amount from Part I, line 27a	2	13,124
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	8,944,666
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,944,666

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	498,595
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V **Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	511,945	9,110,604	0 056192
2014	560,557	10,087,846	0 055568
2013	546,488	8,862,750	0 061661
2012	447,181	7,817,459	0 057203
2011	442,123	8,190,228	0 053982

2	**Total** of line 1, column (d)	2	0 284606
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 056921
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	8,822,113
5	Multiply line 4 by line 3	5	502,163
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,133
7	Add lines 5 and 6	7	508,296
8	Enter qualifying distributions from Part XII, line 4	8	588,931

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	6,133
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	6,133
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	6,133
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	9,600		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	9,600
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,467
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 3,467	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PAUL SCHWINDT Telephone no ▶(503) 651-1153

Located at ▶7991 SW EDGEWATER DR E WILSONVILLE OR ZIP+4 ▶97070

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BILL VERMILLION 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 0 01	2,000	0	0
PAUL SCHWINDT 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 2 00	10,750	0	0
ROD VERMILLION 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 0 01	2,000	0	0
JULIETTA BAUMAN FRERES 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 0 01	2,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	7,813,824
b	Average of monthly cash balances.		1b	1,142,636
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	8,956,460
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	0
3	Subtract line 2 from line 1d.		3	8,956,460
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	134,347
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	8,822,113
6	**Minimum investment return.** Enter 5% of line 5.		6	441,106

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	441,106
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 6,133		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	6,133
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	434,973
4	Recoveries of amounts treated as qualifying distributions.		4	0
5	Add lines 3 and 4.		5	434,973
6	Deduction from distributable amount (see instructions).		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	434,973

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	588,931
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	588,931
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,133
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	582,798

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				434,973
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. 35,217				
b From 2012. 60,519				
c From 2013. 131,268				
d From 2014. 64,073				
e From 2015. 65,999				
f **Total** of lines 3a through e.	357,076			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 588,931				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				434,973
e Remaining amount distributed out of corpus	153,958			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	511,034			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	35,217			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	475,817			
10 Analysis of line 9				
a Excess from 2012. . . . 60,519				
b Excess from 2013. . . . 131,268				
c Excess from 2014. . . . 64,073				
d Excess from 2015. . . . 65,999				
e Excess from 2016. . . . 153,958				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				578,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	214,422	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	498,595	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		713,017	0

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 713,017

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-05-03	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
BILLY M MORGAN JR				P00056990
Firm's name ▶ GEFFEN MESHER & COMPANY PC				Firm's EIN ▶93-1042710
Firm's address ▶ 888 SW 5TH AVE SUITE 800 PORTLAND, OR 97204				Phone no (503) 221-0141

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 SH DOW CHEMICAL COMPANY	P	2012-01-04	2016-01-06
5000 SH DOW CHEMICAL COMPANY	P	2013-04-12	2016-01-06
2000 SH BAXTER INTERNATIONAL INC	P	2012-01-23	2016-03-29
5000 SH BOSTON SCIENTIFIC CORP	P	2010-03-22	2016-03-29
2500 SH BOSTON SCIENTIFIC CORP	P	2010-05-19	2016-03-29
2000 SH CBS CORP	P	2015-09-16	2016-03-29
6000 SH HP INC	P	2013-11-20	2016-03-29
6000 SH HEWLETT PACKARD ENTERPRISE CO	P	2013-11-20	2016-03-29
5000 SH FIRSTENERGY CORP	P	2014-05-06	2016-04-29
5000 SH FIRSTENERGY CORP	P	2014-05-19	2016-04-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,786		29,879	18,907
243,931		156,750	87,181
82,461		58,244	24,217
91,748		35,314	56,434
45,874		16,768	29,106
108,982		87,575	21,407
73,507		70,668	2,839
104,792		79,211	25,581
163,021		163,593	-572
163,021		158,002	5,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18,907
			87,181
			24,217
			56,434
			29,106
			21,407
			2,839
			25,581
			-572
			5,019

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2000 SH BAXALTA INCORPORATED	P	2012-01-23	2016-05-02
253 SH CHEMOURS COMPANY	P	2015-12-30	2016-05-09
9747 SH CHEMOURS COMPANY	P	2015-12-30	2016-05-11
5000 SH AT&T INC	P	2009-08-07	2016-06-16
1000 SH CHUBB LTD	P	2015-08-28	2016-06-16
3000 SH MICROSOFT CORP	P	2013-10-10	2016-06-23
5000 SH EXELON CORPORATION	P	2014-07-15	2016-07-01
1300 SH WAL-MART STORES INC	P	2015-09-16	2016-08-04
700 SH WAL-MART STORES INC	P	2015-10-14	2016-08-04
2000 SH NORDSTROM INC	P	2016-05-25	2016-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
84,898		47,280	37,618
2,596		1,331	1,265
99,612		51,269	48,343
202,296		129,876	72,420
123,647		102,677	20,970
154,893		100,257	54,636
182,246		164,750	17,496
94,849		83,639	11,210
51,072		42,315	8,757
103,410		75,991	27,419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			37,618
			1,265
			48,343
			72,420
			20,970
			54,636
			17,496
			11,210
			8,757
			27,419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 SH NORDSTROM INC	P	2016-05-25	2016-08-12
3000 SH JOHNSON CONTROLS INC	P	2015-09-02	2016-08-16
5000 SH TECK RESOURCES LIMITED	P	2013-06-14	2016-08-29
5000 SH TECK RESOURCES LIMITED	P	2014-02-25	2016-08-29
5000 SH TECK RESOURCES LIMITED	P	2015-06-19	2016-11-14
793 80 SH EMC CORP-MASS	P	2013-11-13	2016-09-07
5206 2 SH EMC CORP-MASS	P	2013-11-13	2016-09-07
5000 SH WELLS FARGO & CO	P	2016-09-29	2016-10-06
668 SH DELL TECHNOLOGIES INC	P	2013-11-13	2016-11-09
1000 SH IONIS PHARMACEUTICALS INC	P	2014-05-14	2016-11-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51,832		37,996	13,836
132,957		117,231	15,726
85,998		118,300	-32,302
85,998		110,050	-24,052
113,060		54,500	58,560
23,280		19,154	4,126
152,585		125,625	26,960
226,045		225,243	802
32,905		31,530	1,375
42,879		25,480	17,399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			13,836
			15,726
			-32,302
			-24,052
			58,560
			4,126
			26,960
			802
			1,375
			17,399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1000 SH IONIS PHARMACEUTICALS INC	P	2015-09-04	2016-11-14
1000 SH IONIS PHARMACEUTICALS INC	P	2016-02-10	2016-11-14
10000 SH AK STEEL HOLDING CORP	P	2014-10-08	2016-12-05
10000 SH BANK OF AMERICA CORP	P	2014-06-16	2016-12-07
760 SH DELL TECHNOLOGIES	P	2013-11-13	2016-09-15
790 978 SH OPPENHEIMER GLOBAL STRATEGIC INCOME FUND	P		2016-06-23
3273 990 SH OPPENHEIMER GLOBAL	P		2016-06-23
15771 629 SH OPPENHEIMER GLOBAL	P		2016-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42,879		51,714	-8,835
42,879		33,889	8,990
104,498		65,900	38,598
224,895		152,700	72,195
39		36	3
3,061		3,057	4
12,670		105,855	-93,185
61,036		232,894	-171,858

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8,835
			8,990
			38,598
			72,195
			3
			4
			-93,185
			-171,858

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS & GIRLS AID SOCIETY 7119 SE MILWAUKIE AVE PORTLAND, OR 97202		SECTION 170 (B)(1)(A)	GENERAL PROGRAM FUNDING	30,000
CASEY EYE INSTITUTE 3303 SW BOND AVE PORTLAND, OR 97239		SECTION 501 (C)(3), I	GENERAL PROGRAM FUNDING	30,000
DOERNBECHER CHILDREN'S HOSPITAL 3181 SW SAM JACKSON PARK ROAD PORTLAND, OR 97239		SECTION 509 (A)(2), I	CHILDHOOD DIABETES	10,000
DOUGY CENTER PO BOX 86852 PORTLAND, OR 97286		509(A)(1) & 170(B)(1	CONTINUED MINISTRY FOR GRIEVING CHILDREN	20,000
GEORGE FOX EVANGELICAL SEMINARY 12753 SW 68TH AVE PORTLAND, OR 97223		SECTION 170 (B)(1)(A)	SEMINARY TRAINING	40,000
GEORGE FOX UNIVERSITY 414 N MERIDIAN STREET NEWBERG, OR 97132		SECTION 170 (B)(1)(A)	STUDENT SCHOLARSHIPS	150,000
OHSU CENTER FOR WOMENS HEALTH 3181 SW SAM JACKSON PARK ROAD PORTLAND, OR 97239		SECTION 509 (A)(2), I	GENERAL PROGRAM FUNDING	10,000
PACIFIC CONFERENCE OF EVANGELICAL CHURCH NA 18121 SE RIVER RD MILWAUKIE, OR 97267		SECTION 170 (B)(1)(A)	SCHOOL OF MINISTRY	143,000
PORTLAND ART MUSEUM 1219 SW PARK AVE PORTLAND, OR 97205		SECTION 170 (B)(1)(A)	YOUTH EDUCATION	10,000
ROSEMARY ANDERSON SCHOOL 717 N KILLINGSWORTH CT PORTLAND, OR 97217		SECTION 501 (C)(3), I	GENERAL PROGRAM FUNDING	10,000
SALVATION ARMY 8495 SE MONTEREY AVE HAPPY VALLEY, OR 97086		SECTION 501 (C)(3), I	CONTINUED EFFORTS	60,000
TRILLIUM FAMILY SERVICES 3415 SE POWELL BLVD PORTLAND, OR 97202		SECTION 501 (C)(3), I	GENERAL PROGRAM FUNDING	25,000
WARNER PACIFIC COLLEGE 2219 SE 68TH AVE PORTLAND, OR 97215		SECTION 170 (B)(1)(A)	STUDENT SCHOLARSHIPS AND ACADEMIC PROGRAMS	20,000
OREGON COLLEGE OF ARTS 8245 SW BARNES ROAD PORTLAND, OR 97225		SECTION 170 (B)(1)(A)	GENERAL PROGRAM FUNDING	5,000
OREGON DOG RESCUE 17944 NW GILBERT LN PORTLAND, OR 97229		SECTION 501 (C)(3), I	GENERAL PROGRAM FUNDING	5,000
Total . . . . ▶ **3a**				578,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WORLD OUTREACH MINISTRY PO BOX B MARIETTA, GA 30061		SECTION 501 (C)(3), I	GENERAL PROGRAM FUNDING	5,000
HOPE VILLAGE FOUNDATION 1535 S IVY CANBY, OR 97013		SECTION 170 (B)(1)(A)	GENERAL PROGRAM FUNDING	2,500
ONE MISSIONARY SOCIETY PO BOX A GREENWOOD, IN 46142		SECTION 170 (B)(1)(A)	GENERAL PROGRAM FUNDING	2,500
Total . . . . ▶ 3a				578,000

TY 2016 Accounting Fees Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GEFFEN MESHER & CO ,PC	5,735	2,868		2,867

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491129016277

TY 2016 Investments Corporate Bonds Schedule

Name: WILLIAM H BAUMAN & MARY L
BAUMAN FOUNDATION

EIN: 93-6234071

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BURLINGTON NTHN 3.2% 1/1/45	52,500	116,502

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491129016277

TY 2016 Investments Corporate Stock Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BOSTON SCIENTIFIC CORP	50,303	162,225
CITIGROUP	225,595	297,150
ELECTRO SCIENTIFIC INDS	160,220	59,200
FELCOR LODGING TRUST INC TOTAL	147,349	240,300
INTEL CORP	210,608	290,160
PFIZER INC	275,035	389,760
ROYAL DUTCH SHELL CL A ADR	175,960	217,520
AT&T INC	252,080	340,240
GLAXO SMITHKLINE	195,340	192,550
GENERAL ELECTRIC	185,149	316,000
GENERAL MOTORS COMPANY	470,186	348,400
FREEPORT MCMORAN COPPER & GOLD INC	447,013	263,800
XEROX CORP	110,199	87,300
BP PLC	443,084	452,270
HSBC HOLDINGS PLC	290,903	213,552
TECK RESOURCES LIMITED SUB VOTING CL B	54,500	100,150
RAYONIER ADVANCED MATERIALS INC	32,340	15,460
AK STEEL HOLDING CORP	102,500	204,200
BANK OF AMERICA	136,400	221,000
EXELON CORPORATION	154,600	177,450
HALLIBURTON COMPANY	190,240	216,360
REGULUS THERAPEUTICS INC	104,507	22,500
UNITED CONTINENTAL HLDGS INC	128,250	218,640
EXXON MOBIL CORP	192,035	225,650
FORD MOTOR COMPANY	132,499	121,300
GILEAD SCIENCES INC	264,956	214,830
MERCK & CO INC	254,268	294,350
MICRON TECHNOLOGY INC	486,340	548,000
QUALCOMM INC	98,798	130,400
TIDEWATER INC	248,992	102,300

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES	115,350	115,230
ALLEGHENY TECHNOLOGIES INC	157,197	159,300
AMERICAN AIRLINES GROUP INC	173,840	233,450
AMGEN INC	147,476	146,210
APPLE INC	215,476	231,640
BIOGEN INC	146,397	170,148
CISCO SYSTEMS	116,195	151,100
VERIZON COMMUNICATIONS	184,792	213,520
ASTRAZENECA PLC	144,926	136,600
POTASH CORP	164,094	180,900
BIOLOG INC	500	0

TY 2016 Other Expenses Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ACCOUNT FEES	83,081	83,081		0
OFFICE EXPENSE	1,021	0		1,021
BANK WIRE	15	0		15

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491129016277

TY 2016 Taxes Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	1,028	0		1,028
FOREIGN TAXES PAID ON DIVIDENDS	2,999	2,999		0
ESTIMATED FEDERAL TAX DEPOSIT 2016	9,600	0		0
FEDERAL TAX DEPOSIT 2015	1,664	0		0