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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

, and ending

Name of foundation ARTHUR R DUBS FOUNDATION		A Employer identification number 93-1192741
Number and street (or P O box number if mail is not delivered to street address) PO BOX 4765	Room/suite	B Telephone number 541-778-3525
City or town, state or province, country, and ZIP or foreign postal code MEDFORD, OR 97501		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 21,280,109.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		713,885.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		372.	372.		STATEMENT 1
4 Dividends and interest from securities		823,281.	687,134.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		17,453.			
b Gross sales price for all assets on line 6a	2,035,177.				
7 Capital gain net income (from Part IV, line 2)			17,453.		
8 Net short-term capital gain					
9 Income modifications				20,000.	
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,554,991.	704,959.	20,000.	
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		2,314.	1,157.		1,157.
b Accounting fees STMT 4		2,000.	1,000.		1,000.
c Other professional fees STMT 5		96,438.	73,388.		23,050.
17 Interest					
18 Taxes MAY 15 2017 STMT 6		12,251.	12,251.		0.
19 Depreciation and depletion					
20 Occupancy		219.	0.		219.
21 Travel, conferences, and meetings					
22 Printing and publications		569.	0.		569.
23 Other expenses STMT 7		11,463.	0.		11,463.
24 Total operating and administrative expenses. Add lines 13 through 23		125,254.	87,796.		37,458.
25 Contributions, gifts, grants paid		967,100.			967,100.
26 Total expenses and disbursements Add lines 24 and 25		1,092,354.	87,796.		1,004,558.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		462,637.			
b Net investment income (if negative, enter -0-)			617,163.		
c Adjusted net income (if negative, enter -0-)				20,000.	

SCANNED MAY 24 2017

Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		2,229,204.	1,309,708.	1,309,708.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 9	2,919,737.	3,195,497.	2,933,155.	
	b	Investments - corporate stock STMT 10	3,041,447.	5,232,982.	6,032,645.	
	c	Investments - corporate bonds STMT 11	743,959.	444,684.	431,789.	
	Liabilities	11	Investments - land, buildings, and equipment basis ▶	458,000.		
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans STMT 12	7,461,000.	7,389,820.	7,389,820.	
13		Investments - other STMT 13	3,441,892.	2,742,189.	2,637,996.	
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶ RECEIVABLE-OTHER)	0.	4,996.	4,996.	
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	20,295,239.	20,777,876.	21,280,109.	
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	24	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>				
	25	Unrestricted				
	26	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	0.	0.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	20,295,239.	20,777,876.		
30	Total net assets or fund balances	20,295,239.	20,777,876.			
31	Total liabilities and net assets/fund balances	20,295,239.	20,777,876.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,295,239.
2	Enter amount from Part I, line 27a	2	462,637.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	20,000.
4	Add lines 1, 2, and 3	4	20,777,876.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,777,876.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB S/T - STATEMENT 18	P	VARIOUS	VARIOUS
b CHARLES SCHWAB L/T - STATEMENT 18	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 776,814.		780,958.	-4,144.
b 1,238,775.		1,236,766.	2,009.
c 19,588.			19,588.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-4,144.
b			2,009.
c			19,588.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	17,453.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	558,755.	12,277,104.	.045512
2014	419,195.	7,154,484.	.058592
2013	371,884.	5,030,436.	.073927
2012	244,284.	4,841,205.	.050459
2011	235,426.	4,940,310.	.047654

2 Total of line 1, column (d)	2	.276144
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055229
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	20,509,194.
5 Multiply line 4 by line 3	5	1,132,702.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,172.
7 Add lines 5 and 6	7	1,138,874.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,004,558.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**3** Add lines 1 and 2**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**5** Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-**6** Credits/Payments:**a** 2016 estimated tax payments and 2015 overpayment credited to 2016**b** Exempt foreign organizations - tax withheld at source**c** Tax paid with application for extension of time to file (Form 8868)**d** Backup withholding erroneously withheld**7** Total credits and payments. Add lines 6a through 6d**8** Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached**9** Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed**10** Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid**11** Enter the amount of line 10 to be: Credited to 2017 estimated tax

Refunded

1	12,343.
2	0.
3	12,343.
4	0.
5	12,343.
6a	6,000.
6b	
6c	
6d	
7	6,000.
8	29.
9	6,372.
10	
11	

Part VII-A Statements Regarding Activities**1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?**b** If "Yes," has it filed a tax return on Form 990-T for this year?

N/A

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV**8a** Enter the states to which the foundation reports or with which it is registered (see instructions) ▶

OR

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

STMT 14

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6		X
7	X	
8b	X	
9		X
10	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.ARDUBSFOUNDATION.ORG	13	X
14 The books are in care of DAVID P. HYATT Telephone no. 541-773-9407 Located at 3551 E BARNETT RD #105, MEDFORD, OR ZIP+4 97504		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b X

Form 990-PF (2016)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHELE A. PILLON 3801 N. FOOTHILL ROAD MEDFORD, OR 97504	PRESIDENT 5.00	0.	0.	0.
REGGIE JONES 38 N CENTRAL AVE #100 MEDFORD, OR 97501	SECRETARY 5.00	0.	0.	0.
DAVID P HYATT 3551 EAST BARNETT ROAD STE #105 MEDFORD, OR 97504	TREASURER 5.00	0.	0.	0.
CHARLES MCNAIR PO BOX 1746 MEDFORD, OR 97501	DIRECTOR 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,444,079.
b	Average of monthly cash balances	1b	1,413,793.
c	Fair market value of all other assets	1c	7,963,645.
d	Total (add lines 1a, b, and c)	1d	20,821,517.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,821,517.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	312,323.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,509,194.
6	Minimum investment return. Enter 5% of line 5	6	1,025,460.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,025,460.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	12,343.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	12,343.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,013,117.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,013,117.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,013,117.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,004,558.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,004,558.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,004,558.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,013,117.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			94,796.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ 1,004,558.				
a Applied to 2015, but not more than line 2a			94,796.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				909,762.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				103,355.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
APOSTOLIC CHURCH MEDFORD 3112 SECKEL STREET MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	150,000.
ASANTE FOUNDATION 2600 SISKIYOU BLVD, STE 100 MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	50,000.
BEAR CREEK VALLEY ROTARY FOUNDATION PO BOX 1189 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	15,000.
BETHLEHEM INN PO BOX 8540 BEND, OR 97701	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	4,000.
BRIGHT SIDE ANIMAL CENTER 1355 NE HEMLOCK AVE REDMOND, OR 97756	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
Total	SEE CONTINUATION SHEET(S)			967,100.
b Approved for future payment				
NONE				
Total				0.

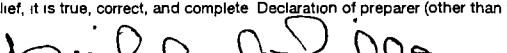

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	 Signature of officer or trustee		Date			Title PRESIDENT	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date	Check <input type="checkbox"/> if self-employed	PTIN
	DAVID P. HYATT, CPA				5/11/17		P00883351
	Firm's name ▶ DAVID P HYATT CPA, PC					Firm's EIN ▶ 26-1932063	
	Firm's address ▶ 3551 E BARNETT ROAD, SUITE #105 MEDFORD, OR 97504					Phone no. (541) 773-9407	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

Employer identification number

ARTHUR R DUBS FOUNDATION**93-1192741**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

ARTHUR R DUBS FOUNDATION**93-1192741****Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ARTHUR R. DUBS TRUST/ESTATE 3551 EAST BARNETT RD, STE #105 MEDFORD, OR 97504	\$ 713,885.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

93-1192741

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$ _____	_____

Name of organization	Employer identification number
ARTHUR R DUBS FOUNDATION	93-1192741

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAMELOT THEATRE 101 TALENT AVENUE TALENT, OR 97540	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	25,000.
CASA OF JACKSON & JOSEPHINE COUNTIES 613 MARKET STREET MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
CASA OF KLAMATH COUNTY 731 MAIN STREET KLAMATH FALLS, OR 97601	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
CITY OF WEED POLICE DEPARTMENT 550 MAIN STREET WEED, CA 96094	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
COLLEGE DREAMS INC 2158 NE INDUSTRY DRIVE GRANTS PASS, OR 97528	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	3,000.
CONSERVATION FORCE INC 3240 S. I-10 SERVICE RD WEST, STE 200 METAIRIE, LA 70001-6911	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	15,000.
CRATER FOUNDATION PO BOX 5172 CENTRAL POINT, OR 97502	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	130,000.
D-9 FOUNDATION PO BOX 1166 EAGLE POINT, OR 97524	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
DOGS FOR THE DEAF 10175 WHEELER RD CENTRAL POINT, OR 97502	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
FAMILY NURTURING CENTER: ROGUE VALLEY CHILDREN'S 212 N OAKDAE MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	1,500.
Total from continuation sheets				738,100.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FAVELL MISSION 125 WEST MAIN STREET KLAMATH FALLS, OR 97601	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
HEARTS WITH A MISSION 711 MEDFORD CENTER #334 MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
HOPE EQUESTRIAN CENTER PO BOX 396 EAGLE POINT, OR 97524	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	7,500.
HUMANE SOCIETY OF CENTRAL OREGON 61170 SE 27TH STREET BEND, OR 97701	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
INTEGRAL YOUTH SERVICES 115 N 10TH STREET KLAMATH FALLS, OR 97601	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
JACKSON COUNTY 4H ASSOCIATION 569 HANLEY RD CENTRAL POINT, OR 97502	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	2,000.
JACKSON COUNTY 4-H & FFA JUNIOR LIVESTOCK AUCTION COMMITTEE, INC. 12100 AGATE RD EAGLE POINT, OR 97524	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	37,997.
JOSEPHINE COUNTY FAIRGROUNDS PO BOX 672 GRANTS PASS, OR 97528	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	718.
JOSEPHINE COUNTY FOUNDATION PO BOX 673 MURPHY, OR 97533	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	3,500.
KLAMATH FALLS GOSPEL MISSION 823 WALNUT AVE KLAMATH FALLS, OR 97601	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	7,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KLAMATH FALLS POLICE DEPARTMENT 2501 SHASTA WAY KLAMATH FALLS, OR 97601	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
LUMEN DEI CHURCH-ROGUE VALLEY LIVE NATIVITY 680 G STREET, STE D JACKSONVILLE, OR 97530	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
MEDFORD SCHOOLS EDUCATION FOUNDATION PO BOX 1128 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
NANCY DEVEREUX CENTER PO BOX 3519 COOS BAY, OR 97420	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	4,500.
OREGON COAST COMMUNITY ACTION (ORCCA) 1855 THOMAS AVE COOS BAY, OR 97420	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	15,000.
PHOENIX HIGH SCHOOL 745 N ROSE STREET PHOENIX, OR 97535	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	1,500.
RED ROCK COWBOY CHURCH - HOPE RANCH PO BOX 3788 CENTRAL POINT, OR 97502	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	8,580.
REDEMPTION RIDGE 711 MEDFORD CENTER #264 MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
REDWOOD PARKS ASSOCIATION 1111 SECOND ST CRESCENT CITY, CA 95531	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	500.
RESCUE RANCH PO BOX 1192 YREKA, CA 96097	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	15,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROCKY MOUNTAIN ELK FOUNDATION (RMEF) PO BOX 8249 MISSOULA, MT 59808	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	19,440.
ROSS RAGLAND THEATER 218 N 7TH STREET KLAMATH FALLS, OR 97601	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	2,500.
SCIENCEWORKS HANDS-ON MUSEUM 1500 EAST MAIN STREET ASHLAND, OR 97520	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
SHERIFF LOPEY FOUNDATION PO BOX 24 MT SHASTA, CA 96067	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	60,000.
OREGON CHILDREN'S FOUNDATION-SMART (START MAKING A READER TODAY) 670 SUPERIOR CT, SUITE 108 MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
SPARROWS CLUBS USA 906 NE GREENWOOD AVE BEND, OR 97701	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
TEAM OVERLAND 42 S BARNEBURG RD MEDFORD, OR 97504	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
THE GRAND SLAM CLUB NORTH AMERICAN SHEEP PO BOX 310727 BIRMINGHAM, AL 35231	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	30,000.
THE SALVATION ARMY 304 BEATTY STREET MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
THE VALLEY SCHOOL OF SOUTHERN OREGON 1253 N RIVERSIDE AVE MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,200.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TRAINING, EMPLOYMENT & COMMUNITY HELP, INC (TEACH) 112 E 2N STREET ALTURAS, CA 96101	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	2,993.
UCAN-JOSEPHINE COUNTY FOOD BANK 280 NE KENNETH FORD DR ROSEBURG, OR 97470	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	20,000.
WESTSIDE COMPASSIONATE MINISTRIES 1314-B CENTER DR, #462 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	34,472.
WILDERNESS TRAILS, INC PO BOX 4655 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,200.
WILDLIFE IMAGES REHABILITATION AND EDUCATION CENTER PO BOX 36 MERLIN, OR 97532	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	25,000.
WINTERSPRING CENTER PO BOX 8169 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	10,000.
YOUNG LIFE ROGUE VALLEY 2389 STONEFIELD WAY MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	5,000.
YOUTH FOR CHRIST 529 EDWARDS STREET MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	30,000.
YOUTH SYMPHONY OF SOUTHERN OREGON PO BOX 4291 MEDFORD, OR 97501	NONE	PC	SUPPORT CHARITABLE ORGANIZATIONS	4,500.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB MONEY MARKET	190.	190.	
PEOPLE'S BANK	182.	182.	
TOTAL TO PART I, LINE 3	372.	372.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB INVESTMENTS	451,356.	19,588.	431,768.	295,621.	
NOTES RECEIVABLE	391,513.	0.	391,513.	391,513.	
TO PART I, LINE 4	842,869.	19,588.	823,281.	687,134.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	2,314.	1,157.		1,157.
TO FM 990-PF, PG 1, LN 16A	2,314.	1,157.		1,157.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INCOME TAX PREPARATION	2,000.	1,000.		1,000.	
TO FORM 990-PF, PG 1, LN 16B	2,000.	1,000.		1,000.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CHARLES SCHWAB ADVISOR FEE	68,388.	68,388.		0.	
ACCOUNTING	28,050.	5,000.		23,050.	
TO FORM 990-PF, PG 1, LN 16C	96,438.	73,388.		23,050.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OREGON DEPT OF JUSTICE	1,200.	1,200.		0.	
DEPT OF THE TREASURY-2016					
EST TAX	6,000.	6,000.		0.	
DEPT OF THE TREASURY-2015					
BALANCE DUE	178.	178.		0.	
PROPERTY TAXES	4,873.	4,873.		0.	
TO FORM 990-PF, PG 1, LN 18	12,251.	12,251.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CHARGES	283.	0.		283.	
POSTAGE	378.	0.		378.	
DUES AND SUBSCRIPTIONS	1,042.	0.		1,042.	
D/O INSURANCE	750.	0.		750.	
OFFICE EQUIPMENT	10.	0.		10.	
MARKETING	200.	0.		200.	
TELEPHONE	910.	0.		910.	
MISCELLANEOUS	5,301.	0.		5,301.	
INSURANCE-OTHER	1,314.	0.		1,314.	
TRAINING	841.	0.		841.	
UTILITIES	434.	0.		434.	
TO FORM 990-PF, PG 1, LN 23	11,463.	0.		11,463.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION	AMOUNT		
REFUND OF RESTRICTED GRANTS NOT COMPLETED WITHIN TIME FRAME	20,000.		
TOTAL TO FORM 990-PF, PART III, LINE 3	20,000.		

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
STATE AND MUNICIPAL BONDS - STATEMENT 15		X	3,195,497.	2,933,155.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			3,195,497.	2,933,155.
TOTAL TO FORM 990-PF, PART II, LINE 10A			3,195,497.	2,933,155.

FORM 990-PF	CORPORATE STOCK	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES - STATEMENT 16	5,232,982.	6,032,645.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,232,982.	6,032,645.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - STATEMENT 17	444,684.	431,789.
TOTAL TO FORM 990-PF, PART II, LINE 10C	444,684.	431,789.

FORM 990-PF	MORTGAGE LOANS	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INTEREST BEARING LOANS	7,389,820.	7,389,820.
TOTAL TO FORM 990-PF, PART II, LINE 12	7,389,820.	7,389,820.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INDEXED FUNDS - STATEMENT 17	COST	1,146,157.	1,155,688.
MUTUAL FUNDS - STATEMENT 17	COST	1,596,032.	1,482,308.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,742,189.	2,637,996.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 14

NAME OF CONTRIBUTORADDRESSARTHUR R DUBS ADMINISTRATIVE TRUST
& ESTATE3551 EAST BARNETT ROAD; STE#105
MEDFORD, OR 97504

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 15

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CARRIE HANSON
PO BOX 4765
MEDFORD, OR 97501

TELEPHONE NUMBER

541-778-3525

EMAIL ADDRESS

ARDUBSFOUNDATION @GMAIL.COM

FORM AND CONTENT OF APPLICATIONS

ONLINE APPLICATIONS FOR THE RESPONSIVE GRANTS PROGRAM WILL OPEN ON JANUARY 1ST AND CLOSE AT MIDNIGHT ON APRIL 1ST OF EACH YEAR. FINAL GRANT DECISIONS WILL BE ANNOUNCED IN JUNE. FOR COMPLETE GRANT GUIDELINES AND ACCESS TO THE ONLINE APPLICATION PLEASE VISIT OUR WEBSITE AT: ARDUBSFOUNDATION.ORG

ANY SUBMISSION DEADLINES

APRIL 1ST AT MIDNIGHT ANNUALLY

RESTRICTIONS AND LIMITATIONS ON AWARDS

AT THIS TIME, OUR GRANT GUIDELINES ARE VERY FLUID SO WE ENCOURAGE APPLICANTS TO REVIEW THE GRANT GUIDELINES TAB OF OUR WEBSITE PRIOR TO SUBMISSION.

FORM 990-PF

STATEMENT 15

PART II - BALANCE SHEETS

End of Year

SOURCE

(b) Book Value (c) Fair
Market Value

Investments - State and Municipal Bonds

Symbol	Description	Quantity	(b) Book Value	(c) Fair Market Value
786056BC4	Sacramento CA PE 6.12%21	155,000	179,408	173,877
786091AD0	Sacramento CN CA 5 73%23	10,000	110,968	109,688
79854SBB4	San Luis AZ FDC 6.95%27	225,000	232,338	90,331
73639PAU4	Porterville CA U 7.25%27	195,000	225,250	223,761
63968AB79	NE Pub Pwr Dist 5%29	300,000	352,510	335,814
64988VDK6	NY ST Mun Bd Bk 6.406%33	125,000	138,571	134,293
725894FY0	Placentia-ETC CA 6.65%34	140,000	159,625	154,437
685869EQ8	OR Hlth /Science UN 5%36	225,000	257,117	253,829
307149AN4	Fannin County Tex 7.1%36	150,000	154,647	91,551
646136WV9	NJ ST Trans TR 6.875%39	100,000	110,648	105,848
613035F25	Mntgry AL 5.7%40	180,000	194,686	196,205
70338JAC8	Patriots Engy S 7.509%40	200,000	227,241	216,038
298191N29	Eugene OR Elec Util 4%40	300,000	314,530	307,200
463587CD5	City of Irrigon 3.125%40	225,000	194,817	203,227
040484DY0	AZ Board of Regents U 6.643%44	300,000	343,141	337,056

TOTAL Investments - State and Municipal Bonds**3,195,497****2,933,155**

FORM 990-PF

STATEMENT 16

PART II - BALANCE SHEETS, Cont'd

PART II - BALANCE SHEETS, Cont'd		End of Year		
SOURCE		(b) Book Value	(c) Fair Market Value	
Investments - Equities				
Symbol	Description	Quantity		
MMM	3M Company	400	63,255	71,428
T	A T & T Inc	1,042	36,913	44,316
ABT	Abbott Laboratories	2,066	74,821	79,355
ABBV	AbbVie Inc	654	37,047	40,953
AFSD	Afflac Inc 5.5% Pfd	3,000	74,947	72,600
AMGN	Amgen Inc.orporated	70	10,680	10,235
AAPL	Apple Inc.	2,525	234,795	292,446
ADM	Archer-Daniels-MidInd Co	6,722	236,749	306,859
ARCC	Ares Capital Corporation	2,689	43,829	44,342
AJG	Arthur J Gallagher & Co	1,500	67,388	77,940
AZN	Astrazeneca Plc ADR	3,000	103,270	81,960
BAC+W	Bank of Amer 6.625% Pfd	3,000	78,429	77,130
BAC	Bank of America Corp	3,000	75,115	148,866
BASFY	BASF SE	536	35,252	49,618
BMWYY	Bayerische Motr	1,288	35,151	39,941
BA	BOING CO	839	100,883	130,616
CNA	C N A Financial Corp	933	35,932	38,720
COF+P	Capital One Financial Corp 6% Pfd	3,266	82,925	80,050
CAH	Cardinal Health Inc.	133	10,422	9,572
CVX	Chevron Corporation	400	37,225	47,080
CHL	China Mobile Ltd ADR	175	11,041	9,175
CSCO	Cisco Systems Inc	1,825	46,592	55,152
CCO	Clear Channel Outdoor Holdings Inc	2,033	14,313	10,267
CLX	Clorox Co	800	92,262	96,016
KO	Coca Cola Company	1,000	40,319	41,460
CL	Colgate-Palmolive Co	159	10,892	10,405
DE	Deere & Co	3,023	231,253	311,490
DEO	Diageo Plc	200	22,579	20,788
DOV	Dover Corporation	2,754	169,384	206,357
LLY	Eli Lilly & Company	1,200	100,726	88,260
EMR	Emerson Electric	1,960	109,034	109,270
XOM	Exxon Mobil Corporation	3,068	253,695	276,918
F	Ford Motor Company	10,627	138,045	128,906
GE	General Electric Company	1,833	46,718	57,923
GM	General Motors Co	3,196	89,225	111,349
GSK	Glaxosmithkline Plc ADR	1,500	65,322	57,765
GS+I	Goldman Sachs 5.95% Pfd	3,000	75,219	75,750
GWRE	Guidewire Software Inc	1,000	58,959	49,330
HFC	Hollyfrontier Corp	812	38,463	26,601
HRL	Hormel Foods Corp	1,600	44,116	55,696
IBM	IBM Corp	878	111,889	145,739
INTC	Intel Corp	2,984	86,133	108,230

FORM 990-PF

STATEMENT 16

PART II - BALANCE SHEETS, Cont'd

End of Year

SOURCE

(b) Book Value (c) Fair
Market Value

Investments - Equities, cont'd

Symbol	Description	Quantity	(b) Book Value	(c) Fair Market Value
JPM+A	JPMorgan Chase & Co 5.45% Pfd	3,000	72,248	73,950
JPM+E	JPMorgan Chase & Co 6.30% Pfd	3,000	77,859	77,880
JPM	JPMorgan Chase & Co	593	35,955	51,170
JNPR	Juniper Networks Inc	3,478	75,161	98,288
JCOM	J2 Global Commun	1,200	96,147	98,160
KMB	Kimberly-Clark Corp	900	104,440	102,708
KMI	Kinder Morgan Inc	206	7,487	4,266
LVS	Las Vegas Sands Corp	597	37,359	31,886
LOW	Lowes Companies Inc.	1,348	86,014	95,870
MPC	Marathon Pete Corp	4,937	177,507	248,578
MAT	Mattel Incorporated	447	14,905	12,315
MKC	Mc Cormick & Company Inc	1,200	100,879	111,996
MCK	Mckesson Corporation	503	75,050	70,646
NVS	Novartis AG F ADR	112	11,211	8,158
PFE	Pfizer Inc.orporated	1,217	36,305	39,528
PF	Pinnacle Foods Inc	898	29,099	47,998
POT	Potash Corp Sask Inc.	2,500	50,311	45,225
PPG	PPG Industries Inc.	30	3,002	2,843
PJH	Prudential FINA 5.75% PFD	1,676	42,579	41,498
PRU	Prudential Financial	2,739	175,239	285,020
RDS.A	Royal Dutch Shell A ADR	175	11,010	9,517
SNY	Sanofi Aventis ADR	243	11,018	9,827
SHW	Sherwin Williams Co	49	12,749	13,168
SHPG	Shire PLC D ADR	8	1,520	1,363
SWK	Stanley Black & Deck	1,083	100,626	124,209
SYU	Sysco Corporation	1,500	59,339	83,055
TROW	T. Rowe Price Group Inc.	600	48,700	45,156
TDS	Telephone & Data Sys	4,371	100,450	126,191
TEVA	Teva Pharm Inds Ltd ADR	192	11,052	6,960
UPS	United Parcel Service B	66	7,264	7,566
VZ	Verizon Communications	1,400	66,779	74,732
WM	Waste Management Inc. Del	1,100	54,005	78,001
wfc+n	Wells Fargo Bk 5.2% Pfd	3,000	71,589	69,360
WFC	Wells Fargo Bk N A	702	36,918	38,683

TOTAL Investments - Equities

5,232,982

6,032,645

FORM 990-PF

STATEMENT 17

PART II - BALANCE SHEETS, Cont'd

End of Year

SOURCE

(b) Book Value (c) Fair Market Value

Investments - Corporate Bonds

Symbol	Description	Quantity		
212015AH4	Continental Resources Inc 5% 9/15/2022	122,000	131,328	122,000
52602EAD4	Lender Processing Svcs Inc 5.75% 04/15/2023	198,000	211,382	206,663
78390XAA9	Saic Inc 4 45% 12/01/2020	100,000	101,975	103,126

TOTAL Investments - Corporate Bonds**444,684****431,789**

Investments - Other

INDEXED FUNDS

Symbol	Description	Quantity		
RPG	Guggenheim S&P 500 Pure Growth ETF	1,200	99,475	100,284
GSY	Guggenheim Short Dur Bond ETF	14,082	704,145	705,790
MFA	MFA Financial Inc	5,505	45,030	42,003
SCHF	Schwab International Equity ETF	1,357	35,192	37,562
TWO	Two Harbors Investment Corp	4,282	43,852	37,339
VEA	Vanguard FTSE Developed Markets ETF	1,020	35,215	37,271
VT	Vanguard Total World Stock ETF	1,479	74,843	90,219
WFC+V	Wells Fargo & Co 6% Pfd	3,000	78,456	75,210
DLS	WisdomTree International Small Cap Div ETF	500	29,949	30,010

TOTAL INDEXED FUNDS**1,146,157****1,155,688**

Investments - Other

MUTUAL FUNDS

Symbol	Description	Quantity		
DODWX	Dodge & Cox Global Stock	50,293.378	600,025	598,994
DODFX	Dodge & Cox International Stock	5,023.241	220,072	191,385
FMCSX	Fidelity Mid-Cap Stock	2,373.639	93,047	82,175
GSIEX	Goldman Sachs Concentrated Intl Eq Instl	11,790.490	220,072	196,312
GMLPX	Goldman Sachs MLP Energy Infrastructure	872.047	10,927	7,308
PCRIX	Pimco Commodity Real	966.405	11,100	6,919
VMGRX	Vanguard Mid Cap Growth Inv	12,099.058	310,718	271,019
VASVX	Vanguard Select Value Fund Inv	4,454.345	130,071	128,196

TOTAL MUTUAL FUNDS**1,596,032****1,482,308**



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: Tax Lot Optimizer™

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ARCHER-DANIELS-MIDLN ADM	356 0000	04/05/16	11/17/16	\$15,311.12	\$12,538.31	\$2,772.81
Security Subtotal				\$15,311.12	\$12,538.31	\$2,772.81
BLACKSTONE GROUP LP LP BX	1,000 0000	11/23/15	10/27/16	\$26,013.26	\$31,298.95	(\$5,275.69)
Security Subtotal				\$26,013.26	\$31,298.95	(\$5,275.69)
BRCL BK IPTH S&P 500 VIXSH FTRS ETN VXX	25,000 0000	09/24/15	01/07/16	\$593,605.13	\$611,146.45	(\$17,541.32)
Security Subtotal				\$593,605.13	\$611,146.45	(\$17,541.32)
CHUBB LTD F CB	0 4033	01/19/16	01/20/16	\$44.34	\$44.77	(\$0.43)
CHUBB LTD F CB	64 0000	01/19/16	11/17/16	\$8,012.64	\$7,105.28	\$907.36
Security Subtotal				\$8,056.98	\$7,150.05	\$906.93
DEERE & CO DE	263 0000	04/05/16	11/17/16	\$24,021.37	\$20,113.95	\$3,907.42
Security Subtotal				\$24,021.37	\$20,113.95	\$3,907.42
HSBC HLDGS PLC ADR REPS HSBC	1 100 0000	02/01/16	10/31/16	\$3,759.84	\$3,430.31	\$279.53
HSBC HLDGS PLC ADR REPS HSBC	1 100 0000	02/01/16	10/31/16	\$3,759.84	\$3,430.31	\$279.53



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Short-Term (continued)			Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
HSBC HLDGS PLC ADR REPS HSBC	FADR	1	100.0000	02/01/16	10/31/16	\$3,759.84	\$3,480.31	\$279.53
HSBC HLDGS PLC ADR REPS HSBC	FADR	1	100.0000	02/01/16	10/31/16	\$3,759.84	\$3,480.31	\$279.53
HSBC HLDGS PLC ADR REPS HSBC	FADR	1	100.0000	02/01/16	10/31/16	\$3,759.84	\$3,480.31	\$279.53
HSBC HLDGS PLC ADR REPS HSBC	FADR	1	217.0000	02/01/16	10/31/16	\$3,156.63	\$7,552.27	\$504.41
HSBC HLDGS PLC ADR REPS HSBC	FADR	1	300.0000	02/01/16	10/31/16	\$ 1,279.51	\$10,440.93	\$335.59
Security Subtotal						\$38,235.39	\$35,394.75	\$2,840.64
SHIRE PLC REPS SHPG	FADR	1 ADR	0.2992	06/03/16	06/06/16	\$56.93	\$56.86	\$0.07
Security Subtotal						\$56.93	\$56.86	\$0.07
TUPPERWARE BRANDS CO TUP			1,103.0000	11/23/15	10/14/16	\$71,514.21	\$63,263.56	\$8,250.65
Security Subtotal						\$71,514.21	\$63,263.56	\$8,250.65
Total Short-Term						\$776,814.39	\$780,957.88	(\$4,143.49)



Schwab One3 Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
ALTRIA GROUP INC MO	47.0000	07/31/14	11/17/16	\$2,950.24	\$1,929.79	\$1,020.45
ALTRIA GROUP INC MO	183.0000	01/15/15	11/17/16	\$10,231.67	\$8,563.03	\$1,668.64
Security Subtotal				\$13,181.91	\$10,492.82	\$2,689.09
ARCHER-DANIELS-MIDLN ADM	229.0000	01/15/15	11/17/16	\$9,849.01	\$10,819.81	(\$970.80)
Security Subtotal				\$9,849.01	\$10,819.81	(\$970.80)
ATLANTIC CITY NJ UT 3XXX**MATURED** 043352EX2	230,000.0000	08/29/14	05/01/16	\$230,090.00	\$229,095.80	\$904.20
Security Subtotal				\$230,090.00	\$229,095.80	\$904.20
AUTO DATA PROCESSING ADP	70.0000	07/31/14	11/17/16	\$6,567.96	\$4,974.52	\$1,593.44
Security Subtotal				\$6,567.96	\$4,974.52	\$1,593.44
BAXALTA INCORPORATED XXXMANDATORY MERGER EFF 09/03/16, BXL	56.0000	07/31/14	06/03/16	\$2,585.18	\$1,875.95	\$709.23
Security Subtotal				\$2,585.18	\$1,875.95	\$709.23
BAXTER INTERNATIONAL BAX	56.0000	07/31/14	11/17/16	\$2,614.20	\$2,311.44	\$302.76
Security Subtotal				\$2,614.20	\$2,311.44	\$302.76



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
					Adjusted	Adjusted
BECTON DICKINSON & CO. BDX	77.0000	01/15/15	11/17/16	\$13,239.36	\$10,996.67	\$2,342.69
Security Subtotal				\$13,239.36	\$10,996.67	\$2,342.69
BLACKSTONE GROUP LP LP BX	1,000.0000	03/25/15	10/27/16	\$26,013.26	\$37,523.82	(\$11,510.56)
Security Subtotal				\$26,013.26	\$37,523.82	(\$11,510.56)
BOOZ ALLEN HAMILTON CLASS A BAH	36.0000	09/05/14	11/17/16	\$1,260.91	\$318.78	\$942.13
BOOZ ALLEN HAMILTON CLASS A BAH	33.0000	09/05/14	11/17/16	\$1,261.17	\$319.39	\$941.78
BOOZ ALLEN HAMILTON CLASS A BAH	64.0000	09/05/14	11/17/16	\$2,242.07	\$1,455.61	\$786.46
BOOZ ALLEN HAMILTON CLASS A BAH	100.0000	09/05/14	11/17/16	\$3,502.87	\$2,274.39	\$1,228.48
BOOZ ALLEN HAMILTON CLASS A BAH	100.0000	09/05/14	11/17/16	\$3,502.89	\$2,274.39	\$1,228.50
BOOZ ALLEN HAMILTON CLASS A BAH	100.0000	09/05/14	11/17/16	\$3,502.51	\$2,274.40	\$1,228.11



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized
					Adjusted	Gain or (Loss) Adjusted
BOOZ ALLEN HAMILTON CLASS A BAH	200 0000	09/05/14	11/17/16	\$7,006.47	\$4,551.79	\$2,454.68
Security Subtotal				\$22,278.89	\$14,488.75	\$7,810.14
CBL & ASSOCIATES PPT REIT CBL	751 0000	09/05/14	12/14/16	\$3,309.72	\$14,337.23	(\$5,477.50)
Security Subtotal				\$8,909.78	\$14,387.28	(\$5,477.50)
CHARLES SCHWAB US REIT ETF SCHH	308 0000	07/31/14	11/17/16	\$12,030.10	\$10,973.73	\$1,056.37
Security Subtotal				\$12,030.10	\$10,973.73	\$1,056.37
CHLBB CORPORATION XXXMANDATORY MERGER EFF 01/15/17 171232101	107 0000	01/15/15	01/19/16	\$13,833.56	\$10,841.20	\$3,042.36
Security Subtotal				\$13,883.56	\$10,841.20	\$3,042.36
CINTAS CORP CTAS	1 0000	01/15/15	11/17/16	\$110.13	\$77.85	\$32.28
CINTAS CORP CTAS	39 0000	01/15/15	11/17/16	\$4,294.90	\$3,034.74	\$1,253.16
CINTAS CORP CTAS	100 0000	01/15/15	11/17/16	\$11,012.56	\$7,784.29	\$3,228.27
Security Subtotal				\$15,417.59	\$10,896.88	\$4,520.71



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
COLUMBIA PPTY TR INC RET CXP	560 0000	09/05/14	12/14/16	\$12,217.76	\$13,996.26	(\$1,778.50)
Security Subtotal				\$12,217.76	\$13,996.26	(\$1,778.50)
COMCAST CORPORATION CLASS A CMCSA	195 0000	01/15/15	11/17/16	\$13,308.25	\$10,905.36	\$2,402.89
Security Subtotal				\$13,308.25	\$10,905.36	\$2,402.89
CONSOLIDATED EDISON ED	161 0000	01/15/15	11/17/16	\$11,274.29	\$10,979.65	\$294.64
Security Subtotal				\$11,274.29	\$10,979.65	\$294.64
CORRECTION CORP AMER CXW	432 0000	09/05/14	10/06/15	\$5,520.17	\$14,153.45	(\$8,633.28)
CORRECTION CORP AMER CXW	622 0000	09/22/14	10/06/15	\$2,541.15	\$21,325.70	(\$12,784.55)
Security Subtotal				\$14,061.32	\$35,485.15	(\$21,423.83)
CR BARD INCORPORATE BOR	63 0000	01/15/15	11/17/16	\$13,532.66	\$10,940.71	\$2,591.95
Security Subtotal				\$13,532.66	\$10,940.71	\$2,591.95
DES PLAINES IL 1.9%18GO UTX DUE 12/01/18TAXBL 250217J49	260 000 0000	03/20/14	02/26/16	\$261,563.60	\$261,608.40	(\$44.80)
Security Subtotal				\$261,563.60	\$261,608.40	(\$44.80)
					\$261,053.79	\$509.81



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
					Adjusted	Adjusted
DOMTAR CORPORATION UFS	1 0000	09/05/14	10/14/16	\$37.43	\$39.44	(\$1.01)
DOMTAR CORPORATION UFS	75 0000	09/05/14	10/14/16	\$2,907.20	\$2,993.57	(\$86.37)
DOMTAR CORPORATION UFS	300 0000	09/05/14	10/14/16	\$11,223.79	\$11,534.34	(\$310.55)
DOMTAR CORPORATION UFS	100 0000	09/22/14	10/14/16	\$3,742.93	\$3,729.45	\$13.48
DOMTAR CORPORATION UFS	177 0000	09/22/14	10/14/16	\$5,624.98	\$5,601.13	\$23.85
DOMTAR CORPORATION UFS	300 0000	09/22/14	10/14/16	\$11,223.79	\$11,138.05	\$85.74
Security Subtotal				\$35,670.11	\$35,975.98	(\$305.87)
EDISON INTERNATIONAL EIX	69 0000	07/31/14	11/17/16	\$4,740.49	\$3,817.54	\$922.95
Security Subtotal				\$4,740.49	\$3,817.54	\$922.95
FULTON FINANCIAL CO FULT	1,243 0000	09/05/14	10/14/16	\$17,740.70	\$14,488.66	\$3,252.04
Security Subtotal				\$17,740.70	\$14,488.66	\$3,252.04
HOME DEPOT INC HD	108 0000	01/15/15	11/17/16	\$13,588.94	\$10,806.96	\$2,781.98
Security Subtotal				\$13,588.94	\$10,806.96	\$2,781.98



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired: Opened	Sold: Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
					Adjusted	Adjusted
ILLINOIS TOOL WORKS ITW	23 0000	07/31/14	11/17/16	\$2,844.60	\$1,913.33	\$931.27
Security Subtotal				\$2,844.60	\$1,913.33	\$931.27
JOHNSON & JOHNSON JNJ	33 0000	07/31/14	11/17/16	\$4,412.03	\$3,845.01	\$567.02
JOHNSON & JOHNSON JNJ	67 0000	01/15/15	11/17/16	\$7,779.10	\$5,872.36	\$906.74
Security Subtotal				\$12,191.13	\$10,717.37	\$1,473.76
MC DONALDS CORP MCD	20 0000	07/31/14	11/17/16	\$2,377.80	\$1,911.13	\$466.67
Security Subtotal				\$2,377.80	\$1,911.13	\$466.67
MEDTRONIC PLC F MDT	152 0000	01/27/15	11/17/16	\$12,371.76	\$11,584.00	\$787.76
Security Subtotal				\$12,371.76	\$11,584.00	\$787.76
MERCK & CO INC MRK	4 0000	07/31/14	11/17/16	\$243.58	\$229.39	\$14.19
MERCK & CO INC MRK	6 0000	07/31/14	11/17/16	\$372.87	\$344.83	\$28.04
MERCK & CO INC MRK	12 0000	07/31/14	11/17/16	\$745.74	\$689.67	\$56.07
MERCK & CO INC MRK	12 0000	07/31/14	11/17/16	\$745.74	\$689.67	\$56.07
Security Subtotal				\$2,112.93	\$1,954.06	\$158.87



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized
					Adjusted	Gain or (Loss) Adjusted
MICROSOFT CORP MSFT	237 0000	01/15/15	11/17/16	\$14,413.38	\$10,926.58	\$3,586.80
Security Subtotal				\$14,413.38	\$10,926.58	\$3,586.80
NATIONAL HEALTH INVE REIT NHI	127 0000	07/31/14	11/17/16	\$3,949.58	\$7,595.49	\$1,254.09
Security Subtotal				\$8,849.58	\$7,595.49	\$1,254.09
PJT PARTNERS INCOR CLASS A PJT	25 0000	03/26/15	11/17/16	\$742.53	\$95.23	\$647.30
Security Subtotal				\$742.53	\$95.23	\$647.30
PBF ENERGY INC CLASS A PBF	525 0000	09/05/14	10/14/16	\$10,365.27	\$14,692.15	(\$4,326.88)
PBF ENERGY INC CLASS A PBF	918 0000	09/22/14	10/14/16	\$12,124.41	\$22,296.15	(\$10,171.74)
Security Subtotal				\$28,489.68	\$36,988.30	(\$8,498.62)
PEPSICO INCORPORATED PEP	43 0000	07/31/14	11/17/16	\$4,368.13	\$3,822.62	\$545.51
PEPSICO INCORPORATED PEP	113 0000	01/15/15	11/17/16	\$11,479.03	\$10,965.00	\$513.03
Security Subtotal				\$15,847.16	\$14,788.62	\$1,058.54



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
PPL CORPORATION PPL	115.0000	07/31/14	11/17/16	\$3,812.47	\$3,574.70	\$237.77
Security Subtotal				\$3,812.47	\$3,574.70	\$237.77
PROCTER & GAMBLE PG	49.0000	07/31/14	11/17/16	\$4,065.46	\$3,821.64	\$243.82
Security Subtotal				\$4,065.46	\$3,821.64	\$243.82
REGAL ENTERTAINMENT CLASS A RGC	691.0000	09/22/14	10/31/16	\$14,782.23	\$13,970.56	\$811.67
REGAL ENTERTAINMENT CLASS A RGC	100.0000	09/22/14	10/31/16	\$2,139.25	\$1,916.31	\$222.94
REGAL ENTERTAINMENT CLASS A RGC	100.0000	09/22/14	10/31/16	\$2,139.25	\$1,916.31	\$222.94
REGAL ENTERTAINMENT CLASS A RGC	100.0000	09/22/14	10/31/16	\$2,139.25	\$1,916.31	\$222.94
REGAL ENTERTAINMENT CLASS A RGC	100.0000	09/22/14	10/31/16	\$2,139.25	\$1,916.31	\$222.94
REGAL ENTERTAINMENT CLASS A RGC	100.0000	09/22/14	10/31/16	\$2,139.25	\$1,916.31	\$222.94



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)		Quantity	Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis Adjusted	Realized Gain or (Loss) Adjusted
REGAL ENTERTAINMENT CLASS A RGC		100.0000		09/22/14	10/31/16	\$2,139.25	\$1,915.31	\$222.94
REGAL ENTERTAINMENT CLASS A RGC		494.0000		09/22/14	10/31/16	\$10,557.91	\$9,456.58	\$1,101.33
Security Subtotal						\$33,185.65	\$34,934.80	\$3,250.85
TARGET CORPORATION TGT		31.0000		07/31/14	11/17/16	\$2,359.83	\$1,873.88	\$485.95
Security Subtotal						\$2,359.83	\$1,873.88	\$485.95
TRANSOCEAN INC. 6.3% 12/15/15 833830BA5	DUE	300,000.0000		01/28/15	12/15/16	\$300,000.00	\$299,275.00	\$725.00
Security Subtotal						\$300,000.00	\$299,275.00	\$725.00
TUPPERWARE BRANDS CO TUP		192.0000		09/05/14	10/14/16	\$12,392.35	\$14,479.61	(\$2,087.26)
Security Subtotal						\$12,392.35	\$14,479.61	(\$2,087.26)



Schwab One® Account of
ARTHUR R DUBS FOUNDATION

Report Period
January 1 - December 31,
2016

2016 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimization™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized
					Adjusted	Gain or (Loss) Adjusted
UNITEDHEALTH GRP INC UN-	23 C030	07/31/14	11/17/16	\$3,449.34	\$1,868.94	\$1,580.40
Security Subtotal				\$3,449.34	\$1,868.94	\$1,580.40
Total Long-Term				\$1,238,774.57	\$1,236,766.02	\$2,008.55
					\$1,237,840.61	\$933.96 ^b
Total Realized Gain or (Loss)				\$2,015,588.96	\$2,017,723.90	(\$2,134.94)
					\$2,018,798.49	(\$3,209.53) ^b

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.
Option Customers: Realized gain/loss on underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Endnotes For Your Account

Symbol	Endnote Legend
b	When available, Adjusted Cost Basis values are used in Gain or (Loss) calculations.