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Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2016**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning Jan. 1, 2016, and ending Dec. 31, 2016

Name of foundation  
**Professional Engineers of Oregon Education Foundation**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite  
**70 Century Drive 353**

City or town, state or province, country, and ZIP or foreign postal code  
**Bend, OR 97702-3557**

**G** Check all that apply: ☒ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **J** Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

**A** Employer identification number  
**93-0666849**

**B** Telephone number (see instructions)  
**800-919-9552**

**C** If exemption application is pending, check here ▶ ☐

**D** 1. Foreign organizations, check here ▶ ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation. ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here. ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	<b>3990.00</b>			
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	<b>.09</b>	<b>.09</b>	<b>.09</b>	
	<b>4</b> Dividends and interest from securities	<b>4449.49</b>	<b>4449.49</b>	<b>4449.49</b>	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10				
	<b>b</b> Gross sales price for all assets on line 6a				
	<b>7</b> Capital gain net income (from Part IV, line 2)		<b>0</b>		
	<b>8</b> Net short-term capital gain			<b>0</b>	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances	<b>0</b>			
<b>Operating and Administrative Expenses</b>	<b>b</b> Less: Cost of goods sold				
	<b>c</b> Gross profit or (loss) (attach schedule)				
	<b>11</b> Other income (attach schedule)				
	<b>12</b> Total. Add lines 1 through 11	<b>8439.58</b>	<b>4449.58</b>	<b>4449.58</b>	
	<b>13</b> Compensation of officers, directors, trustees, etc.				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	<b>1048.25</b>	<b>1048.25</b>	<b>1048.25</b>	
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	<b>102.64</b>	<b>102.64</b>	<b>102.64</b>	
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	<b>945.80</b>	<b>945.80</b>	<b>945.80</b>	
	<b>24</b> Total operating and administrative expenses. Add lines 13 through 23	<b>2096.69</b>	<b>2096.69</b>	<b>2096.69</b>	
	<b>25</b> Contributions, gifts, grants paid	<b>6000.00</b>			
	<b>26</b> Total expenses and disbursements. Add lines 24 and 25	<b>8096.69</b>	<b>2096.69</b>	<b>2096.69</b>	
	<b>27</b> Subtract line 26 from line 12:				
	<b>a</b> Excess of revenue over expenses and disbursements	<b>342.89</b>			
	<b>b</b> Net investment income (if negative, enter -0-)		<b>2352.89</b>		
	<b>c</b> Adjusted net income (if negative, enter -0-)			<b>2352.89</b>	

For Paperwork Reduction Act Notice, see instructions.

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .		<b>5,156.40</b>		<b>2,097.96</b>	
	<b>2</b> Savings and temporary cash investments . . . . .					
	<b>3</b> Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	<b>4</b> Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	<b>5</b> Grants receivable . . . . .					
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	<b>7</b> Other notes and loans receivable (attach schedule) ▶					
	Less: allowance for doubtful accounts ▶					
	<b>8</b> Inventories for sale or use . . . . .					
	<b>9</b> Prepaid expenses and deferred charges . . . . .					
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)		<b>100,598.92</b>		<b>109,115.56</b>	
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .					
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .					
	<b>11</b> Investments—land, buildings, and equipment, basis ▶					
Less: accumulated depreciation (attach schedule) ▶						
<b>12</b> Investments—mortgage loans . . . . .						
<b>13</b> Investments—other (attach schedule) . . . . .						
<b>14</b> Land, buildings, and equipment, basis ▶						
Less: accumulated depreciation (attach schedule) ▶						
<b>15</b> Other assets (describe ▶ )						
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .		<b>105,755.32</b>		<b>111,213.52</b>		
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .					
	<b>18</b> Grants payable . . . . .					
	<b>19</b> Deferred revenue . . . . .					
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons					
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .					
	<b>22</b> Other liabilities (describe ▶ )					
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		<b>0</b>		<b>0</b>	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	<b>24</b> Unrestricted . . . . .		<b>105,755.32</b>		<b>111,213.52</b>	
	<b>25</b> Temporarily restricted . . . . .					
	<b>26</b> Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>					
	<b>27</b> Capital stock, trust principal, or current funds . . . . .		<b>105,755.32</b>		<b>111,213.52</b>	
	<b>28</b> Paid-in or capital surplus, or land, bldg., and equipment fund					
	<b>29</b> Retained earnings, accumulated income, endowment, or other funds					
<b>30</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .		<b>105,755.32</b>		<b>111,213.52</b>		
<b>31</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .						

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	<b>105,755.32</b>
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	<b>342.89</b>
<b>3</b> Other increases not included in line 2 (itemize) ▶ <b>Stock Book Value Increase</b> . . . . .	<b>3</b>	<b>5,115.31</b>
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	<b>111,213.52</b>
<b>5</b> Decreases not included in line 2 (itemize) ▶ . . . . .	<b>5</b>	<b>0</b>
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	<b>111,213.52</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Not Applicable in 2016</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	<b>0</b>	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	<b>0</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			
<b>2 Total of line 1, column (d)</b>			<b>2</b> <b>0</b>
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b>
<b>4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5</b>			<b>4</b>
<b>5 Multiply line 4 by line 3</b>			<b>5</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b>
<b>7 Add lines 5 and 6</b>			<b>7</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>47</b>	<b>06</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>	
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>47</b>	<b>06</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>47</b>	<b>06</b>
<b>6</b>	Credits/Payments:			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>		
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	<b>0</b>	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	<b>47</b>	<b>06</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <b>Refunded</b>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. <b>(1)</b> On the foundation. ▶ \$ <b>(2)</b> On foundation managers. ▶ \$		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		✓
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <b>Oregon</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	<b>11</b>	✓
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	<b>12</b>	✓
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	<b>13</b>	✓
<b>14</b> The books are in care of ▶ <u>Ralph Dunham</u> Telephone no. ▶ <u>541-267-2872</u> Located at ▶ <u>P.O. Box 118 (705 S. 4th St. Physical), Coos Bay, OR</u> ZIP+4 ▶ <u>97420-0118</u>		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>		<input type="checkbox"/>
<b>16</b> At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	<b>16</b>	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly). (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	<b>1b</b>	✓
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .	<b>1c</b>	✓
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). <b>a</b> At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	✓
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) . . . . .	<b>3b</b>	✓
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	✓
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	<b>4b</b>	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐ ☒
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Stephen Anderson, PE 8509 SW Miami St., Willsonville, OR 97070	President, 1 Hr	0	0	0
Ralph Dunham, PE P.O. Box 118, Coos Bay, OR 97420	Treasurer, 1 Hr	0	0	0
Dave Bassett, Board Member				
John Featherly, Board Member	Member, .25 hr	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
Total number of other employees paid over \$50,000 <input type="checkbox"/>				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services . . . . .		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> Scholarships to students selected by Oregon Engineering Schools, \$1,000 each paid directly to school, Two for Oregon State University, One for George Fox Univ., One for Oregon Institute of Technology, One for University of Portland, One for Portland State University. Universities select recipients from engineering programs.	\$6,000
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> Wells Fargo Advisors - Corvallis OR - Managed Account.	
	109,115
<b>2</b> Trailhead Credit Union - Portland, OR Checking Account.	
	2,200
All other program-related investments See instructions	
<b>3</b>	
	0
<b>Total.</b> Add lines 1 through 3 . . . . .	▶ 111,315



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	<b>104,900</b>
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	<b>6,030</b>
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	<b>5</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	<b>110,935</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	<b>110,935</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	<b>1,664</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	<b>109,271</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	<b>5,464</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:	
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2016.				
<b>a</b> Enter amount for 2015 only . . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011 . . . . .				
<b>b</b> From 2012 . . . . .				
<b>c</b> From 2013 . . . . .				
<b>d</b> From 2014 . . . . .				
<b>e</b> From 2015 . . . . .				
<b>f</b> <b>Total</b> of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ► \$ _____				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2016 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012 . . . . .				
<b>b</b> Excess from 2013 . . . . .				
<b>c</b> Excess from 2014 . . . . .				
<b>d</b> Excess from 2015 . . . . .				
<b>e</b> Excess from 2016 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling <span style="float: right;">▶</span>				
<b>b</b>	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
	<b>Tax year</b>	<b>Prior 3 years</b>			<b>(e) Total</b>
	<b>(a) 2016</b>	<b>(b) 2015</b>	<b>(c) 2014</b>	<b>(d) 2013</b>	
<b>b</b>	85% of line 2a				
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed				
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities				
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon:				
<b>a</b>	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b>	"Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed				
<b>c</b>	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

<b>1</b>	<b>Information Regarding Foundation Managers:</b>
<b>a</b>	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
None	
<b>b</b>	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
None	
<b>2</b>	<b>Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
<b>a</b>	The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
<b>b</b>	The form in which applications should be submitted and information and materials they should include:
<b>c</b>	Any submission deadlines:
<b>d</b>	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information *(continued)***3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
Oregon State University, Jonathan Isengingo #932274389	None		OSU Tuition Acct for Recipient	\$1,000
Oregon State University, Colton Fisher #932115658	None		OSU Tuition Acct for Recipient	\$1,000
George Fox University, Jenna Hills #1765493	None		George Fox Tuition Acct	\$1,000
University of Portland, Andy Huang #001769633	None		Univ. Portland Tuition Acct	\$1,000
Oregon Inst. of Technology, Elizabeth Sheehy, #918187689	None		OIT Tuition Acct for Recipient	\$1,000
Portland State University, Riza Jasmine Liu # 922690794	None		PSU Foundation Acct for Recipient	\$1,000
<b>Total</b>			<b>3a</b>	<b>\$6,000</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b>			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments . . . . .						
3 Interest on savings and temporary cash investments		.09				.09
4 Dividends and interest from securities . . . . .		4,449.49				4,449.49
5 Net rental income or (loss) from real estate						
a Debt-financed property . . . . .						
b Not debt-financed property . . . . .						
6 Net rental income or (loss) from personal property						
7 Other investment income . . . . .						
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events . . . . .						
10 Gross profit or (loss) from sales of inventory . . . . .						
11 Other revenue: a <b>Donations</b>		3,990.00				3,900.00
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e) . . . . .		8,439.58		0		8,439.58
13 <b>Total.</b> Add line 12, columns (b), (d), and (e) . . . . .					13	8,439.58

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<b>1</b>	Did the foundation directly or indirectly engage in any of the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		<b>Yes</b>	<b>No</b>
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1)	Cash	<b>1a(1)</b>		✓
(2)	Other assets	<b>1a(2)</b>		✓
<b>b</b>	Other transactions:			
(1)	Sales of assets to a noncharitable exempt organization	<b>1b(1)</b>		✓
(2)	Purchases of assets from a noncharitable exempt organization	<b>1b(2)</b>		✓
(3)	Rental of facilities, equipment, or other assets	<b>1b(3)</b>		✓
(4)	Reimbursement arrangements	<b>1b(4)</b>		✓
(5)	Loans or loan guarantees	<b>1b(5)</b>		✓
(6)	Performance of services or membership or fundraising solicitations	<b>1b(6)</b>		✓
	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	<b>1c</b>		✓
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule.			

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: \_\_\_\_\_ Date: 4/16/17

Title: TREASURER

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶	Firm's EIN ▶			
	Firm's address ▶	Phone no			

## Professional Engineers of Oregon Education Fund Income - Expense Ledger 2016

E10 93-0666849

FORM 990 PF

2016

SCH I - II - X

Date	Type of transaction	Description	Check No	Checking Account Deposit	Check	Balance	Central OR Balance	PEO ED Balance	Share Saving Balance
1/1/2016		Carry Over from 2015				\$5,151.40	\$3,090.00	\$2,061.40	\$ 5.00
1/12/2016	Check	Taylor NW Donation	#17254	\$500.00	\$0.00	\$5,651.40	\$3,590.00	\$2,061.40	
2/2/2016	Check	MSC Engineers Inc Donation	#22846	\$250.00	\$0.00	\$5,901.40	\$3,590.00	\$2,311.40	
2/2/2016	Check	Wendy Coffin, Donation	#2827	\$50.00	\$0.00	\$5,951.40	\$3,590.00	\$2,361.40	
4/14/2016	Check	United States Treasury - 2015 Tax	#1216		\$82.06	\$5,869.34	\$3,590.00	\$2,279.34	
4/14/2016	Check	State of OR - Dept of Justice CT-12	#1217		\$20.58	\$5,848.76	\$3,590.00	\$2,258.76	
5/17/2016	Check	Or Corp Regist - Riemb RED*	#1218	\$0.00	\$50.00	\$5,798.76	\$3,590.00	\$2,208.76	*Reimburs
5/20/2016	Check	Century West (Central OR)	#84233	\$750.00		\$6,548.76	\$4,340.00	\$2,208.76	
5/20/2016	Check	Yellow Knife Wireless (Central OR)	#6882	\$250.00		\$6,798.76	\$4,590.00	\$2,208.76	
6/23/2016	Check	Mel Baker (Central OR)		\$100.00		\$6,898.76	\$4,690.00	\$2,208.76	
6/23/2016	Check	Donations from PEO Conference	#9321	\$940.00		\$7,838.76	\$4,690.00	\$3,148.76	
12/2/2016	Check	IRS Fine & Loss of original payment	#1219		\$895.80	\$6,942.96	\$4,690.00	\$2,252.96	
12/2/2016	Check	Oregon State - Jonathan Isengingo	#1220		\$1,000	\$5,942.96	\$4,690.00	\$1,252.96	
12/2/2016	Check	Oregon State - Colton Fisher	#1221		\$1,000	\$4,942.96	\$4,690.00	\$252.96	
12/2/2016	Check	George Fox - Jenna Hills	#1222		\$1,000	\$3,942.96	\$4,690.00	-\$747.04	
12/2/2016	Check	UP - Andy Huang	#1223		\$1,000	\$2,942.96	\$4,690.00	-\$1,747.04	
12/2/2016	Check	OIT - Elizabeth Sheehy	#1224		\$1,000	\$1,942.96	\$4,690.00	-\$2,747.04	
12/2/2016	Check	PSU - Riza Jasmine Liu	#1225		\$1,000	\$942.96	\$4,690.00	-\$3,747.04	
12/16/2016	Check	MSC Engineers Inc Donation	#23264	\$250.00		\$1,192.96	\$4,690.00	-\$3,497.04	*Banner AC
12/23/2016	Check	Ralph Dunham Donation	#5505	\$900.00		\$2,092.96	\$4,690.00	-\$2,597.04	*Banner AC
		2016 Totals		\$3,990.00	\$7,048.44	\$2,092.96	\$4,690.00	-\$2,597.04	

1/1/2016 3/31/2016 6/30/2016 9/30/2016 12/31/2016

Wells Fargo Advisors  
 Checking Balance (Trailhead FCU/Banne  
 Savings Account Balance (Trailhead FCU)  
 MM Balance (closed)  
 Net assets Value

\$100,598.92  
 \$5,151.40  
 \$5.00  
 \$0.00  
 \$105,755.32

\$103,164.28  
 \$5,951.40  
 \$5.00  
 \$0.00  
 \$109,120.68

\$109,044.53  
 \$6,738.76  
 \$5.00  
 \$0.00  
 \$115,788.29

\$109,115.56  
 \$2,092.96  
 \$5.00  
 \$0.00  
 \$111,213.52

Central Oregon Balance  
 Bill Tye Central Oregon for 50/50 match

\$3,090.00  
 \$9,599.72

\$4,690.00  
 \$10,163.88

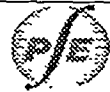
\$4,690.00  
 \$10,413.29

# PEO Education Foundation

70 SW Century Dr., PMB 353, Bend, Oregon 97702



PROFESSIONAL ENGINEERS OF OREGON  
A chapter of the National Society of Professional Engineers



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Bend

Mark Herbert, P.E.  
Bend

Dave Taylor, P.E.  
Ridgefield, WA

Bill Tye, P.E.  
Bend

November 30, 2016

Professional Engineers of Oregon  
Education Foundation

Attention: Ralph Dunham, P.E., Secretary Treasurer

EIN 93-0666649 TAX YEAR 2016 FORM 990-PF

SCHEDULE XVA

Subject: Issuance of 2016 \$1,000 PEO Education Foundation Scholarships

Dear Ralph:

I am pleased to inform you that the colleges have made their 2016 selections for issuance of PEO Education Foundation Scholarships. The following table provides the information regarding who to send the checks to, and the students selected to receive the scholarships:

College Contact	Student Selected to Receive Scholarship
James R. Lunde Executive Associate Dean, College of Engineering Oregon State University College of Engineering 101 Covell Hall Corvallis, Oregon 97331-2409	Jonathan Isengingo Civil and Construction Engineering Student ID: 932 274 389 NSPE Member#:  Colton Fisher Civil Engineering Student ID # 932 115 658 NSPE Member#:
Robert Harder, PhD Director of Engineering Program George Fox University 414 N. Meridian Street Newberg, Oregon 97132	Ms. Jenna Hills Senior in Civil Engineering Student ID: 1765493 NSPE Member#: 3002558421
Sharon Jones, PhD, P.E. BCEE Dean, College of Engineering University of Portland Donald P. Shiley School of Engineering, Shiley Hall 5000 N. Willamette Blvd Portland, Oregon 97203	Andy Huang Senior in Civil Engineering University ID #: 001769633 NSPE Membership #: 3002555111
Hallie Neupert Professor and Acting Dean Oregon Tech / Oregon Institute of Technology 3201 Campus Drive Klamath Falls, OR 97601	Elizabeth Sheehy 5 <sup>th</sup> year, Civil Engineering (BS & MS) Student ID Number: 918-18-7689 NSPE Number: 300255718
Renjeng Su, D.Sc. 503 725 8393 Chik M. Erzurumlu Dean Portland State University Maseeh College of Engineering & Computer Science Cary Bowdich Senior Director, PSU Foundation Post Office Box 751 Portland, Oregon 97207-0751	Riza Jasmine Liu 5 <sup>th</sup> year Senior, Civil Engineering Student ID # 922 690 794 NSPE ID Number:

With kind regards,

Stephen F. Anderson, P.E.





## 2016 Year-End Account Summary

Page 1 of 10

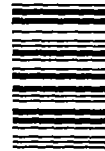
Your Financial Advisor:  
JOHN AUER JR  
2273 NW PROFESSIONAL DRIVE  
SUITE 102  
CORVALLIS OR 97330  
(541) 754-8123

This package contains your Year-End Summary of account information. It is provided as a courtesy to you and is for informational purposes only. This information is NOT provided to the IRS.

### Enclosed within this package

2016 Year-End Account Summary	
Year-End Account Summary	3
Details of Year-End Account Summary	5
Other Miscellaneous Information	8
State Reporting Information for Mutual Funds and Unit Trusts	9

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PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F  
PO BOX 118  
COOS BAY, OR 97420-0010  
2016 TAX USE  
FORM 990-PF  
EIN 93-0666849  
PART I



Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company. We are not a legal or tax advisor. However, our advisors will be glad to work with you, your accountant, tax advisor and/or attorney to help you meet your financial goals.

Investments and insurance products are: **NOT FDIC-INSURED** **NO BANK GUARANTEE** **MAY LOSE VALUE**

As of Date: 02/21/17

Account Number: 8701-1521

Your Financial Advisor:  
JOHN AUER JR  
Phone (541) 754-8123

PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F  
PO BOX 118  
COOS BAY, OR 97420-0010

Payer:  
WELLS FARGO CLEARING SERVICES, LLC  
2801 MARKET STREET  
SAINT LOUIS, MO 63103  
Payer ID # 23-2384840

### Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

Dividends and Distributions - Summary		Amount
Box		
1a	Total Ordinary Dividends	\$3,130.65
1b	Qualified Dividends	\$376.94
2a	Total Capital Gain Distributions	\$1,318.84
2b	Unrecaptured Section 1250 Gain	\$0.00
2c	Section 1202 Gain	\$0.00
2d	Collectibles (28%) Gain	\$0.00
3	Nondividend Distributions	\$207.53
4.	Federal Income Tax Withheld	\$0.00
5	Investment Expenses	\$0.00
6	Foreign Tax Paid	\$28.90
7	Foreign Country or U.S. Possession	See Details
8	Cash Liquidation Distributions	\$0.00
9	Noncash Liquidation Distributions	\$0.00
10	Exempt-Interest Dividends	\$0.00
11	Specified Private Activity Bond Interest Dividends	\$0.00

Interest Income - Summary		Amount
Box		
1	Interest Income	\$0.09
3	Interest on U.S. Savings Bonds and Treasury Obligations	\$0.00
4.	Federal Income Tax Withheld	\$0.00
5	Investment Expenses	\$0.00
6	Foreign Tax Paid	\$0.00
7	Foreign Country or U.S. Possession	See Details
8	Tax-Exempt Interest	\$0.00
9	Specified Private Activity Bond Interest	\$0.00
10.	Market Discount	\$0.00
11	Bond Premium	\$0.00
12	Bond Premium on Treasury Obligations	\$0.00
13	Bond Premium on Tax-Exempt Bond	\$0.00
14	Tax-Exempt and Tax Credit Bond CUSIP No	See Details

## 2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 8701-1521

PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F

## Details of Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

## Dividend and Distribution Details

## Dividend Distributions

Description	CUSIP	Payment Date	# of Payments	Total Ordinary Dividends (Box 1a, includes 1b)	Nonqualified Dividends (Included in Box 1a)	Short-Term Capital Gains (Included in Box 1a)	Qualified Dividends (Box 1b)	Notes
AMG-YACKTMAN FUND CL I	00170K588	12/27/2016	1	208.11	0.00	0.00	208.11	
AB HIGH INC AD	01859M408	Multiple	13	379.87	379.87	0.00	0.00	
FIRST EAGLE OVERSEAS I	32008F200	12/15/2016	1	95.28	0.00	0.00	95.28	
HEARTLAND VALUE PLUS I	422352849	12/29/2016	1	35.48	0.00	0.00	35.48	
LOOMIS SAYLES CORE PLS Y	63872R764	Multiple	12	610.45	0.00	0.00	0.00	
NUVEEN REAL ESTATE I	670678507	Multiple	5	298.04	185.35	112.69	0.00	
OPPENHMR DEVELPNG MKTS Y	683974505	12/02/2016	1	35.40	0.00	0.00	35.40	
PIMCO INVT GRADE CORP I	722005816	Multiple	12	426.80	424.13	0.00	2.67	
TCW EMERGING MKTS INC I	87234N765	Multiple	12	308.66	308.66	0.00	0.00	
TEMPLETON INC GLB BD ADV	880208400	08/17/2016	1	8.06	8.06	0.00	0.00	
THOMPSON IM FDS BD FD	884991201	Multiple	4	724.50	724.50	0.00	0.00	
<b>Totals</b>				<b>\$3,130.65</b>	<b>\$2,641.02</b>	<b>\$112.69</b>	<b>\$376.94</b>	

## Long-Term Capital Gains

Description	CUSIP	Payment Date	# of Payments	Total Capital Gain Distributions (Box 2a, includes 2b, 2c, 2d)	Unrecaptured Section 1250 Gains (Box 2b)	Section 1202 Gains (Box 2c)	Collectibles 28% Gains (Box 2d)	Notes
AMG-YACKTMAN FUND CL I	00170K588	12/27/2016	1	706.22	0.00	0.00	0.00	
EV ATL CAP SMLL CAP CL I	277902698	12/08/2016	1	186.74	0.00	0.00	0.00	
FIRST EAGLE OVERSEAS I	32008F200	12/15/2016	1	134.17	0.00	0.00	0.00	
NUVEEN REAL ESTATE I	670678507	Multiple	2	291.71	0.00	0.00	0.00	
<b>Totals</b>				<b>\$1,318.84</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	

# 2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 8701-1521

PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F

## Dividend and Distribution Details, continued

### Return of Capital and Liquidations

Description	CUSIP	Payment Date	# of Payments	Nondividend Distributions (Box 3)	Cash Liquidations (Box 8)	Noncash Liquidations (Box 9)	Notes
BLKRK INF PROT BD INSTL	091937748	Multiple	5	65.43	0.00	0.00	
TEMPLETON INC GLB BD ADV	880208400	Multiple	12	142.10	0.00	0.00	
<b>Totals</b>				<b>\$207.53</b>	<b>\$0.00</b>	<b>\$0.00</b>	

### Investment Expenses, Foreign Taxes Paid and Other Fees

Description	CUSIP	Payment Date	# of Payments	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	ADR Fees	Country (Box 7)	Notes
FIRST EAGLE OVERSEAS I	32008F200	12/15/2016	1	0.00	11.76	0.00		
OPPENHMR DEVELOPING MKTS Y	683974505	12/02/2016	1	0.00	8.95	0.00		
TCW EMERGING MKTS INC I	87234N765	12/29/2016	1	0.00	0.13	0.00		
TEMPLETON INC GLB BD ADV	880208400	08/17/2016	1	0.00	8.06	0.00		
<b>Totals</b>				<b>\$0.00</b>	<b>\$28.90</b>	<b>\$0.00</b>		

Certain distributions made in January, 2017 are reported as 2016 income according to IRS regulations. Distributions made in January, 2017 did NOT appear on your 2016 monthly statements.



# 2016 Year-End Account Summary

As of Date: 02/21/17

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Account Number: 8701-1521

PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F

## Interest Income Details

### Interest Income

Description	CUSIP	Payment Date	# of Payments	Interest Income (Box 1)	Treasury Interest (Box 3)	Notes
BANK DEPOSIT SWEEP		Multiple	9	0 09	0 00	
<i>Totals</i>				<b>\$0.09</b>	<b>\$0.00</b>	

Certain distributions made in January, 2017 are reported as 2016 income according to IRS regulations. Distributions made in January, 2017 did NOT appear on your 2016 monthly statements

# 2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 8701-1521

PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F

## Other Miscellaneous Information

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.  
Please consult with your Financial Advisor or tax advisor regarding specific questions.

<b>Partnership Distributions*</b>	\$0.00	<b>Contingent Payment and Market Linked Instrument Events**</b>	
<b>Option Premiums from Convertibles</b>	\$0.00	Contingent Payment / MLCD Payments	\$0.00
		Contingent Payment / MLCD Shortfall	\$0.00
<b>Other Non-Reportable Income</b>	\$0.00	Contingent Payment / MLCD Excess	\$0.00
<b>Accrued Interest Paid</b>		<b>Income Charged from Short Activity</b>	
Accrued Interest Paid, Taxable	\$0.00	Dividends Charged	\$0.00
Accrued Interest Paid, Government Agency	\$0.00	Interests Charged	\$0.00
Accrued Interest Paid, US Treasury	\$0.00	Other Charges	\$0.00
Accrued Interest Paid, Tax Exempt	\$0.00		
<b>Margin / Loan Interest and Account Fees</b>			
Margin / Loan Interest	\$0.00		
Advisory Fees	\$1,048.25		
Other Account Fees	\$0.00		

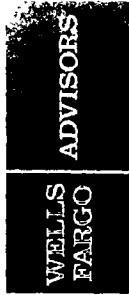
## Other Miscellaneous Information

### Margin / Loan Interest and Account Fees

Description	CUSIP	Payment Date	# of Payments	Margin Interest	Advisory Fees	Other Account Fees	Notes
ADVISORY FEE		Multiple	4	0.00	1,048.25	0.00	
<b>Totals</b>				<b>\$0.00</b>	<b>\$1,048.25</b>	<b>\$0.00</b>	

\* This information reflects certain partnership distributions credited to your account during the year. A separate schedule K-1 showing the taxpayer's share of the net income or loss will be provided directly to you from each of the partnerships indicated above. We do not report this information to the IRS. Please be sure to compare this information with the schedule K-1 provided by the partnership and to consult with your tax advisor about whether to report income or adjust your basis.

\*\* This section contains your payment activity, as well as determinations of Excess and Shortfall which were done in comparison to the "Projected Payment Schedule" as outlined in the Final Prospectus. This information should be used in conjunction with that supplied on Form(s) 1099-OID on a previous page(s). Please consult your tax advisor and the "Tax Consequences" section of the Final Prospectus on how this impacts your net reportable income for this tax year and potentially the following tax year.



# 2016 Year-End Account Summary

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As of Date: 02/21/17

Account Number: 8701-1521

PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F

## State Reporting Information for Mutual Funds and Unit Trusts

### Direct Federal Obligations

Amounts in each category are derived from applying an allocation percentage supplied by the Fund/UIT Issuers from Ordinary Income reported on Forms 1099-DIV, Box 1a

Description	CUSIP	U.S. Treasury	Federal Farm Credit	Federal Home Loan	Student Loan Marketing	Tennessee Valley Authority	Other Direct Federal Obligations	Notes
AB HIGH INC AD	01859M408	\$8.28	0.00	0.00	0.00	0.00	0.00	
PIMCO INVT GRADE CORP I	722005816	\$43.67	0.00	0.00	0.00	0.00	0.00	
THOMPSON IM FDS BD FD	884891201	\$0.72	0.00	0.00	0.00	0.00	\$0.57	
<b>Totals</b>		<b>\$52.67</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.57</b>	

### Indirect Federal Obligations

Amounts in each category are derived from applying an allocation percentage supplied by the Fund/UIT Issuers from Ordinary Income reported on Forms 1099-DIV, Box 1a

Description	CUSIP	GNMA	FNMA	FHLMC	Other Indirect Federal Obligations	Notes
AB HIGH INC AD	01859M408	0.00	0.00	0.00	\$0.26	
PIMCO INVT GRADE CORP I	722005816	0.00	\$0.06	\$0.24	0.00	
THOMPSON IM FDS BD FD	884891201	0.00	\$0.07	0.00	0.00	
<b>Totals</b>		<b>\$0.00</b>	<b>\$0.13</b>	<b>\$0.24</b>	<b>\$0.26</b>	

### Foreign Source Income

Amounts in each category are derived from applying an allocation percentage supplied by the Fund/UIT Issuers from Ordinary Income reported on Forms 1099-DIV, Box 1a

Description	CUSIP	Foreign Source Income	Foreign Source Income adjusted for IRC 904(b)(2)(B)	Foreign Qualified Dividend Income	Notes
FIRST EAGLE OVERSEAS I	32008F200	\$79.33	0.00	0.00	
<b>Totals</b>		<b>\$79.33</b>	<b>\$0.00</b>	<b>\$0.00</b>	

# Annual Statement Information



Account Number: 8701-1521  
PROFESSIONAL ENGINEERS OF  
OREGON ENGINEERING EDUCATION F  
PO BOX 118  
COOS BAY OR 97420-0010  
2016 TAX YEAR  
FORM 990-PF  
EIN 93-0666849  
PART II

The information on the following pages is from your monthly client statements and is as of the end of the year. This information is not reported to the IRS.

## Portfolio summary

Asset type	Value on Dec. 31
Cash and sweep balances	331.23
Stocks, options & ETFs	0.00
Fixed income securities	0.00
Mutual funds	108,784.33
<b>Asset Value</b>	<b>\$109,115.56</b>

## Statement activity detail summary

Other subtractions and fees

Total as of Dec. 31  
-1,048.25

In order to avoid duplication of activity in this document, select transactions (such as Principal payments) have been suppressed from this section. Impacted category totals are recalculated and therefore may not match the December statement.