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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation SMITH, J B T U A FBO GUIDE DOGS		A Employer identification number 91-6071424
Number and street (or P O box number if mail is not delivered to street address) Room/suite Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117		B Telephone number (see instructions) (888) 730-4933
City or town, state or province, country, and ZIP or foreign postal code Winston Salem NC 27101		
Foreign country name Foreign province/state/country Foreign postal code		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 215,971		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6,233	5,377		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	7,777			
	b Gross sales price for all assets on line 6a 130,250		7,777		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	202	202		
	12 Total. Add lines 1 through 11	14,212	13,356	0	
	13 Compensation of officers, directors, trustees, etc.	3,464	3,118		346
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,137			1,137
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	57	57		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	4,658	3,175	0	1,483
	25 Contributions, gifts, grants paid	3,380			3,380
	26 Total expenses and disbursements. Add lines 24 and 25	8,038	3,175	0	4,863
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	6,174			
	b Net investment income (if negative, enter -0-)		10,181		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see Instructions.

Form **990-PF** (2016)

HTA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	7,272	9,325	9,325
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
Liabilities	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	187,502	191,615	206,646
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	194,774	200,940	215,971
	17 Accounts payable and accrued expenses			
Net Assets or Fund Balances	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
Net Assets or Fund Balances	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	194,774	200,940	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	194,774	200,940	
Net Assets or Fund Balances	31 Total liabilities and net assets/fund balances (see instructions)	194,774	200,940	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	194,774
2 Enter amount from Part I, line 27a	2	6,174
3 Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	166
4 Add lines 1, 2, and 3	4	201,114
5 Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	174
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	200,940

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,777
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	11,866	218,786	0.054236
2014	10,797	230,990	0.046742
2013	10,931	230,038	0.047518
2012	10,509	226,630	0.046371
2011	10,197	228,045	0.044715

2 Total of line 1, column (d)	2	0.239582
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047916
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7 Add lines 5 and 6	7	0
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	0

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		204
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		204
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		204
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a		23
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		300
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		323
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		119
11	Enter the amount of line 10 to be Credited to 2017 estimated tax Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NV		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ► 27101		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ► 20, 15, 20, 20, 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20, 20, 20, 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	3,464		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	199,249
b	Average of monthly cash balances	1b	12,446
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	211,695
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	211,695
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	3,175
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	208,520
6	Minimum investment return. Enter 5% of line 5	6	10,426

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	10,426
2a	Tax on investment income for 2016 from Part VI, line 5	2a	204
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	204
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	10,222
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	10,222
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	10,222

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	4,863
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,863
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,863

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				10,222
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			5,071	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 4,863			4,863	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			208	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				10,222
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A****1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed**b** The form in which applications should be submitted and information and materials they should include.**c** Any submission deadlines**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	3,380
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

GUIDE DOGS FOR THE BLIND INC

Street

P O BOX 151200

City

SAN RAFAEL

State

CA

Zip Code

94915-1200

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,380

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount										Totals		Gross Sales		Cost or Other Basis Expenses		Net Gain or Loss	
Long Term CG Distributions										Capital Gains/Losses		130,250		122,473		7,777	
Short Term CG Distributions										Other sales		0		0		0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss			
1 WELLS FARGO INTL BOND-S	949850582	X				7/17/2013	7/27/2016	755	785				0	-30			
2 TAXABLE INTERMEDIATE TE	999708902	X				2/29/2000	1/22/2016	585	571				0	14			
3 TAXABLE INTERMEDIATE TE	999708902	X				2/29/2000	7/25/2016	11,799	11,429				0	367			
4 WELLS FARGO INTL BOND-S	949850582	X				10/17/2013	7/27/2016	807	867				0	-60			
5 WELLS FARGO INTL BOND-S	949850582	X				1/24/2014	7/27/2016	983	1,010				0	-17			
6 WELLS FARGO INTL BOND-S	949850582	X				2/25/2015	7/27/2016	188	186				0	2			
7 WELLS FARGO HI INC-INS #3	949917538	X				7/24/2008	3/15/2019	171	190				0	-19			
8 WELLS FARGO HI INC-INS #3	949917538	X				10/17/2008	3/15/2019	634	575				0	59			
9 WELLS FARGO HI INC-INS #3	949917538	X				8/11/2009	3/15/2019	4,148	4,402				0	254			
10 WELLS FARGO HI INC-INS #3	949917538	X				2/25/2015	3/15/2019	829	915				0	-87			
11 TAXABLE INTERMEDIATE TE	999708902	X				4/21/2008	7/25/2016	2,927	2,827				0	100			
12 TAXABLE INTERMEDIATE TE	999708902	X				6/15/2007	7/25/2016	401	388				0	13			
13 TAXABLE INTERMEDIATE TE	999708902	X				7/22/2015	1/22/2016	690	688				0	2			
14 T ROWE PRICE INST FLOAT	77958402	X				7/24/2015	1/22/2016	145	152				0	-7			
15 SPDR DJ WILSHIRE INTERNA	78463X863	X				2/7/2011	1/22/2016	364	393				0	-29			
16 SPDR DJ WILSHIRE INTERNA	78463X863	X				7/15/2013	1/22/2016	437	496				0	-59			
17 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/21/2011	1/22/2016	146	139				0	7			
18 SPDR DJ WILSHIRE INTERNA	78463X863	X				10/21/2011	7/27/2016	211	173				0	38			
19 SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2008	7/27/2016	296	171				0	125			
20 SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2008	8/24/2016	812	463				0	349			
21 SPDR DJ WILSHIRE INTERNA	78463X863	X				2/6/2008	10/3/2016	1,160	683				0	477			
22 AMEX MATERIALS SPDR	81369Y100	X				2/25/2015	11/19/2016	145	156				0	-11			
23 CONSUMER STAPLES SECTO	81369Y308	X				2/25/2015	7/27/2016	218	199				0	19			
24 CONSUMER STAPLES SECTO	81369Y308	X				8/31/2015	7/27/2016	817	711				0	106			
25 CONSUMER STAPLES SECTO	81369Y308	X				4/30/2010	7/27/2016	218	112				0	106			
26 CONSUMER STAPLES SECTO	81369Y308	X				11/18/2016	12/1/2016	802	813				0	-11			
27 AMEX ENERGY SELECT SPD	81369Y506	X				2/25/2015	7/27/2016	1,013	1,206				26	-167			
28 AMEX ENERGY SELECT SPD	81369Y506	X				2/25/2015	11/19/2016	143	161				0	-18			
29 AMEX ENERGY SELECT SPD	81369Y506	X				10/30/2015	11/19/2016	428	410				0	18			
30 AMEX ENERGY SELECT SPD	81369Y506	X				3/25/2015	11/19/2016	143	166				0	-23			
31 AMEX ENERGY SELECT SPD	81369Y506	X				4/30/2010	11/19/2016	286	242				0	44			
32 FINANCIAL SELECT SECTOR	81369Y904	X				2/25/2015	7/27/2016	897	933				10	-26			
33 T ROWE PRICE INST FLOAT	77958402	X				1/24/2014	8/24/2016	510	526				16	0			
34 PIMCO FOREIGN BD FD USD	69330882	X				9/9/2011	7/27/2016	536	549				0	-11			
35 PIMCO FOREIGN BD FD USD	69330882	X				2/17/2012	7/27/2016	528	532				0	-4			
36 PIMCO FOREIGN BD FD USD	69330882	X				7/17/2013	7/27/2016	325	327				0	-2			
37 PIMCO FOREIGN BD FD USD	69330882	X				10/17/2013	7/27/2016	1,257	1,259				0	-2			
38 PIMCO FOREIGN BD FD USD	69330882	X				1/24/2014	7/27/2016	518	518				0	0			
39 PRINCIPAL PREFERRED SEC	74253Q416	X				7/24/2015	1/22/2016	372	380				0	-8			
40 PRINCIPAL PREFERRED SEC	74253Q416	X				4/24/2014	8/24/2016	4,939	4,939				0	0			
41 PRINCIPAL PREFERRED SEC	74253Q416	X				7/24/2015	8/24/2016	1,131	1,126				0	5			
42 PRINCIPAL PREFERRED SEC	74253Q416	X				7/28/2016	8/24/2016	795	789				0	6			
43 T ROWE PRICE SHORT TERM	77957P105	X				8/10/2015	1/22/2016	999	1,007				0	-8			
44 T ROWE PRICE SHORT TERM	77957P105	X				8/4/2014	7/27/2016	8,858	9,018				0	-160			
45 T ROWE PRICE SHORT TERM	77957P105	X				9/5/2014	7/27/2016	2,889	2,888				0	1			
46 T ROWE PRICE SHORT TERM	77957P105	X				8/10/2015	7/27/2016	1,287	1,285				0	2			
47 BLACKROCK GL US CREDIT	091936732	X				11/3/2015	8/24/2016	787	823				0	-36			
48 CREDIT SUISSE COMM RET	22544R305	X				11/23/2010	7/27/2016	336	626				97	-193			
49 CREDIT SUISSE COMM RET	22544R305	X				10/25/2011	7/27/2016	11	19				0	-8			
50 DREYFUS INTERNATL BOND	281980668	X				7/29/2016	8/24/2016	8,289	8,278				0	11			
51 DRIEHAUS ACTIVE INCOME F	262028855	X				10/17/2013	1/22/2016	165	182				0	-17			
52 DRIEHAUS ACTIVE INCOME F	262028855	X				10/17/2013	8/24/2016	139	148				0	-10			
53 DRIEHAUS ACTIVE INCOME F	262028855	X				9/9/2011	8/24/2016	627	656				0	-29			
54 EATON VANCE GLOBAL MAC	277927278	X				2/25/2015	1/22/2016	251	294				0	-13			
55 FID ADV EMER MKTS INC CL	315920702	X				10/17/2013	7/27/2016	414	410				0	4			
56 FID ADV EMER MKTS INC CL	315920702	X				10/17/2013	8/24/2016	2,419	2,358				0	60			
57 ISHARES S&P MID-CAP 400 V	464287705	X				7/22/2015	7/27/2016	1,302	1,296				0	6			
58 ISHARES S&P MID-CAP 400 V	464287705	X				7/22/2015	8/24/2016	134	127				0	7			
59 ISHARES S&P MID-CAP 400 V	464287705	X				4/22/2014	8/24/2016	3,270	2,885				0	385			
60 ISHARES CORE S&P SMALL	464287804	X				2/7/2011	7/27/2016	855	495				0	360			
61 ISHARES CORE S&P SMALL	464287804	X				7/22/2016	7/27/2016	488	401				0	87			
62 ISHARES CORE S&P SMALL	464287804	X				9/7/2011	7/27/2016	3,540	1,855				0	1,685			
63 UPMORGAN HIGH YIELD FUN	4812C0803	X				7/29/2016	8/24/2016	1,528	1,501				0	27			
64 MET WEST TOTAL RETURN F	592905509	X				2/25/2015	1/22/2016	1,719	1,799				0	-80			
65 MET WEST TOTAL RETURN F	592905509	X				2/25/2015	7/27/2016	15,382	15,340				0	42			
66 MET WEST TOTAL RETURN F	592905509	X				8/10/2015	7/27/2016	1,073	1,049				0	25			
67 MET WEST TOTAL RETURN F	592905509	X				7/24/2015	7/27/2016	9,748	9,578				0	168			
68 ASG GLOBAL ALTERNATIVES	838721885	X				2/25/2015	1/22/2016	114	130				0	-16			
69 ASG GLOBAL ALTERNATIVES	838721885	X				2/25/2015	8/24/2016	15	18				0	-3			
70 ASG GLOBAL ALTERNATIVES	838721885	X				7/17/2013	8/24/2016	906	952				72	-72			
71 E-TRACS ALERIAN MLP INFR	802841646	X				2/25/2015	7/27/2016	815	879				0	-264			
72 E-TRACS ALERIAN MLP INFR	802841646	X				2/25/2015	8/24/2016	584	839				0	-255			

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount		Totals		Gross Sales		Cost or Other Basis, Expenses Depreciation and Adjustments		Net Gain or Loss					
Long Term CG Distributions	451	Capital Gains/Losses		130,250		122,473		7,777					
Short Term CG Distributions	0	Other sales		0		0		0					
Description	CUSIP #	Check "X" to include in Part IV	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
73 E-TRACS ALERIAN MLP INFR	902841848	X			7/22/2015	8/24/2016	1,696	2,004				0	-308
74 E-TRACS ALERIAN MLP INFR	902641846	X			10/30/2015	8/24/2016	473	494				0	-21
75 E-TRACS ALERIAN MLP INFR	902841848	X			1/22/2016	8/24/2016	634	626				0	208
76 VANGUARD SHORT TERM BOND	921937827	X			7/27/2016	8/24/2016	2,504	2,509				0	-5
77 VANGUARD FTSE DEVELOPE	921943858	X			7/31/2014	7/27/2016	1,343	1,547				33	-171
78 VANGUARD FTSE DEVELOPE	921943858	X			10/30/2015	7/27/2016	145	152				0	-7
79 VANGUARD FTSE DEVELOPE	921943858	X			2/7/2013	7/27/2016	1,089	1,083				0	6
80 VANGUARD INFLAT-PROT SE	922031737	X			7/29/2016	8/24/2016	135	135				0	0
81 VANGUARD FTSE EMERGING	922042858	X			8/27/2011	7/27/2016	725	749				101	-24
82 VANGUARD FTSE EMERGING	922042858	X			5/15/2012	7/27/2016	221	236				0	-15
83 VANGUARD REIT VIPER	922908553	X			2/6/2009	7/27/2016	273	75				0	198
84 VANGUARD REIT VIPER	922908553	X			2/6/2009	8/24/2016	3,094	872				0	2,222
85 VANGUARD REIT VIPER	922908553	X			2/6/2009	10/3/2016	2,906	842				0	2,064
86 WELLS FARGO INTL BOND-IS	949850582	X			2/17/2012	7/22/2016	151	183				0	-32
87 WELLS FARGO INTL BOND-IS	949850582	X			2/17/2012	7/27/2016	555	600				0	-45
88 AMEX INDUSTRIAL SPDR	81369Y704	X			2/25/2015	7/27/2016	701	698				0	3
89 AMEX TECHNOLOGY SELEC	81369Y803	X			2/25/2015	7/27/2016	1,525	1,424				0	101
90 REAL ESTATE SELECT SECT	81369Y860	X			8/22/2016	10/7/2016	2	2				0	0
91 ST CTF GIAN		X					240	0					240
92 LT CTF GAIN		X					0	19					-19

Part I, Line 11 (990-PF) - Other Income

		202	202	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	OTHER INCOME	202	202	

Part I, Line 16b (990-PF) - Accounting Fees

		1,137	0	0	1,137
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,137			1,137

Part I, Line 18 (990-PF) - Taxes

		57	57	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	57	57		

Part II, Line 13 (990-PF) - Investments - Other

		187,502	191,615	206,646	
Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1				0	
2	AMEX FINANCIAL SELECT SPDR		0	4,860	5,743
3	AMEX MATERIALS SPDR		0	879	994
4	AMEX TECHNOLOGY SELECT SPDR		0	5,433	8,173
5	AMEX ENERGY SELECT SPDR		0	1,082	1,431
6	MERGER FUND-INST #301		0	2,747	2,740
7	FID ADV EMER MKTS INC- CL I 607		0	7,888	8,346
8	T ROWE PRICE REAL ESTATE FD 122		0	5,887	6,132
9	ISHARES TR SMALLCAP 600 INDEX FD		0	7,831	9,764
10	ISHARES S&P MIDCAP 400 VALUE		0	4,708	8,277
11	EATON VANCE GLOBAL MACRO - I		0	13,226	12,964
12	ISHARES S&P MIDCAP 400 GROWTH		0	5,903	7,835
13	AMEX INDUSTRIAL SPDR		0	2,675	3,982
14	AMEX HEALTH CARE SPDR		0	4,561	5,653
15	AMEX CONSUMER DISCR SPDR		0	2,994	4,640
16	DRIEHAUS ACTIVE INCOME FUND		0	4,001	3,876
17	ASG GLOBAL ALTERNATIVES-Y 1993		0	3,166	2,816
18	REAL ESTATE SELECT SECT SPDR		0	977	922
19	VANGUARD REIT VIPER		0	304	990
20	VANGUARD EMERGING MARKETS ETF		0	4,639	6,047
21	VANGUARD INFLAT-PROT SECS-ADM 511		0	3,452	3,259
22	JPMORGAN HIGH YIELD FUND SS 3580		0	7,298	7,706
23	T ROWE PRICE INST FLOAT RATE 170		0	3,247	3,207
24	BLACKROCK GL L/S CREDIT-INS #1833		0	3,987	3,873
25	VANGUARD BD INDEX FD INC		0	27,679	27,172
26	SPDR DJ WILSHIRE INTERNATIONAL REA		0	1,902	2,814
27	VANGUARD INTERMEDIATE TERM B		0	38,074	35,969
28	VANGUARD EUROPE PACIFIC ETF		0	10,472	10,597
29	NEUBERGER BERMAN LONG SH-INS #183		0	4,339	4,397
30	CREDIT SUISSE COMM RT ST-CO 2156		0	6,353	4,465
31	CONSUMER STAPLES SECTOR SPDR TR		0	1,051	1,862

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	147
2	Federal Excise Tax Refund	2	19
3	Total	3	166

Line 5 - Decreases not included in Part III, Line 2

1	Cost Basis Adjustment	1	11
2	Mutual Fund Timing Difference	2	79
3	Undistributed CTF Capital Loss	3	7
4	PY Return of Capital Adjustment	4	77
5	Total	5	174

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		451	Amount		129,799	0	355	122,828	7,326	0	0	0	7,326	
Short Term CG Distributions		0												
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses	
1	WELLS FARGO INTL BOND-15	949850582	7/17/2013	7/27/2016	755		0	795	-30	0	0	0	-30	
2	TAXABLE INTERMEDIATE TE	999708902	2/29/2000	1/22/2016	585		0	571	14	0	0	0	14	
3	TAXABLE INTERMEDIATE TE	999708902	2/29/2000	7/25/2016	11,796		0	11,429	367	0	0	0	367	
4	WELLS FARGO INTL BOND-15	949850582	10/17/2013	7/27/2016	807		0	867	-60	0	0	0	-60	
5	WELLS FARGO INTL BOND-15	949850582	1/24/2014	7/27/2016	993		0	1,010	-17	0	0	0	-17	
6	WELLS FARGO INTL BOND-15	949850582	2/25/2015	7/27/2016	168		0	166	2	0	0	0	2	
7	WELLS FARGO HI INC-INS #3	949917538	7/24/2008	3/15/2016	171		0	190	-19	0	0	0	-19	
8	WELLS FARGO HI INC-INS #3	949917538	10/17/2008	3/15/2016	634		0	575	59	0	0	0	59	
9	WELLS FARGO HI INC-INS #3	949917538	8/11/2009	3/15/2016	4,148		0	4,402	-254	0	0	0	-254	
10	WELLS FARGO HI INC-INS #3	949917538	2/25/2015	3/15/2016	828		0	915	-87	0	0	0	-87	
11	TAXABLE INTERMEDIATE TE	999708902	4/21/2006	7/25/2016	2,927		0	2,827	100	0	0	0	100	
12	TAXABLE INTERMEDIATE TE	999708902	6/15/2007	7/25/2016	401		0	388	13	0	0	0	13	
13	TAXABLE INTERMEDIATE TE	999708902	7/22/2015	1/22/2016	690		0	688	2	0	0	0	2	
14	T ROWE PRICE INST FLOAT	779588402	7/24/2015	1/22/2016	145		0	152	-7	0	0	0	-7	
15	SPDR DJ WILSHIRE INTERNA	78463X863	2/7/2011	1/22/2016	364		0	393	-29	0	0	0	-29	
16	SPDR DJ WILSHIRE INTERNA	78463X863	7/15/2013	1/22/2016	437		0	496	-59	0	0	0	-59	
17	SPDR DJ WILSHIRE INTERNA	78463X863	10/21/2011	1/22/2016	146		0	139	7	0	0	0	7	
18	SPDR DJ WILSHIRE INTERNA	78463X863	10/21/2011	7/27/2016	211		0	173	38	0	0	0	38	
19	SPDR DJ WILSHIRE INTERNA	78463X863	2/6/2009	7/27/2016	296		0	171	125	0	0	0	125	
20	SPDR DJ WILSHIRE INTERNA	78463X863	2/6/2009	8/24/2016	812		0	483	349	0	0	0	349	
21	SPDR DJ WILSHIRE INTERNA	78463X863	2/6/2009	10/30/2015	1,160		0	683	477	0	0	0	477	
22	AMEX MATERIALS SPDR	81369Y100	2/25/2015	11/16/2016	145		0	156	-11	0	0	0	-11	
23	CONSUMER STAPLES SECTO	81369Y308	2/25/2015	7/27/2016	218		0	199	19	0	0	0	19	
24	CONSUMER STAPLES SECTO	81369Y308	8/31/2015	7/27/2016	817		0	711	106	0	0	0	106	
25	CONSUMER STAPLES SECTO	81369Y308	4/30/2010	7/27/2016	218		0	112	106	0	0	0	106	
26	CONSUMER STAPLES SECTO	81369Y308	11/16/2016	12/1/2016	802		0	813	-11	0	0	0	-11	
27	AMEX ENERGY SELECT SPD	81369Y506	2/25/2015	7/27/2016	1,013		25	1,206	-167	0	0	0	-167	
28	AMEX ENERGY SELECT SPD	81369Y506	2/25/2015	11/16/2016	143		0	161	-18	0	0	0	-18	
29	AMEX ENERGY SELECT SPD	81369Y506	10/30/2015	11/16/2016	428		0	410	18	0	0	0	18	
30	AMEX ENERGY SELECT SPD	81369Y506	3/25/2015	11/16/2016	143		0	166	-23	0	0	0	-23	
31	AMEX ENERGY SELECT SPD	81369Y506	4/30/2010	11/16/2016	286		0	242	44	0	0	0	44	
32	FINANCIAL SELECT SECTOR	81369Y605	2/25/2015	7/27/2016	897		10	933	-26	0	0	0	-26	
33	T ROWE PRICE INST FLOAT I	779588402	1/24/2014	8/24/2016	510		16	526	0	0	0	0	0	
34	PIMCO FOREIGN BD FD USD	693390882	9/9/2011	7/27/2016	538		0	549	-11	0	0	0	-11	
35	PIMCO FOREIGN BD FD USD	693390882	2/17/2012	7/27/2016	528		0	532	-4	0	0	0	-4	
36	PIMCO FOREIGN BD FD USD	693390882	7/17/2013	7/27/2016	325		0	327	-2	0	0	0	-2	
37	PIMCO FOREIGN BD FD USD	693390882	10/17/2013	7/27/2016	1,257		0	1,259	-2	0	0	0	-2	
38	PIMCO FOREIGN BD FD USD	693390882	1/24/2014	7/27/2016	516		0	518	-2	0	0	0	-2	
39	PRINCIPAL PREFERRED SEC	742530416	7/24/2015	1/22/2016	372		0	380	-8	0	0	0	-8	
40	PRINCIPAL PREFERRED SEC	742530416	4/24/2014	8/24/2016	4,939		0	4,939	0	0	0	0	0	
41	PRINCIPAL PREFERRED SEC	742530416	7/24/2015	8/24/2016	1,131		0	1,129	2	0	0	0	2	
42	PRINCIPAL PREFERRED SEC	742530416	7/29/2016	8/24/2016	795		0	789	6	0	0	0	6	
43	T ROWE PRICE SHORT TERM	77957P105	6/10/2015	1/22/2016	999		0	1,007	-8	0	0	0	-8	
44	T ROWE PRICE SHORT TERM	77957P105	8/4/2014	7/27/2016	8,958		0	9,018	-60	0	0	0	-60	
45	T ROWE PRICE SHORT TERM	77957P105	9/5/2014	7/27/2016	2,869		0	2,888	-19	0	0	0	-19	
46	T ROWE PRICE SHORT TERM	77957P105	6/10/2015	7/27/2016	1,287		0	1,285	2	0	0	0	2	
47	BLACKROCK GL US CREDIT	061938732	11/3/2015	8/24/2016	787		0	823	-36	0	0	0	-36	
48	CREDIT SUISSE COMM RET I	22544R305	11/23/2010	7/27/2016	336		97	626	-193	0	0	0	-193	
49	CREDIT SUISSE COMM RET I	22544R305	10/25/2011	7/27/2016	11		0	19	-8	0	0	0	-8	
50	DREYFUS INTERNATL BOND	261806068	7/29/2015	8/24/2016	6,389		0	6,276	113	0	0	0	113	
51	DRIEHAUS ACTIVE INCOME I	262028855	10/17/2013	1/22/2016	165		0	182	-17	0	0	0	-17	
52	DRIEHAUS ACTIVE INCOME I	262028855	10/17/2013	8/24/2016	136		0	146	-10	0	0	0	-10	
53	DRIEHAUS ACTIVE INCOME I	262028855	9/9/2011	8/24/2016	827		0	656	-29	0	0	0	-29	
54	EATON VANCE GLOBAL MAC	277937278	2/25/2015	1/22/2016	251		0	284	-13	0	0	0	-13	
55	FID ADV EMER MKTS INC-CL	315920702	10/17/2013	7/27/2016	414		0	410	4	0	0	0	4	
56	FID ADV EMER MKTS INC-CL	315920702	10/17/2013	8/24/2016	2,418		0	2,356	60	0	0	0	60	
57	ISHARES S&P MID-CAP 400 V	464287705	7/22/2015	7/27/2016	1,323		0	1,296	27	0	0	0	27	
58	ISHARES S&P MID-CAP 400 V	464287705	7/22/2015	8/24/2016	134		0	127	7	0	0	0	7	
59	ISHARES S&P MID-CAP 400 V	464287705	4/22/2014	8/24/2016	3,220		0	2,885	335	0	0	0	335	
60	ISHARES CORE S&P SMALL	464287804	2/7/2011	7/27/2016	855		0	495	360	0	0	0	360	
61	ISHARES CORE S&P SMALL	464287804	1/22/2016	7/27/2016	488		0	401	87	0	0	0	87	
62	ISHARES CORE S&P SMALL	464287804	9/7/2011	7/27/2016	3,540		0	1,855	1,685	0	0	0	1,685	
63	JPMORGAN HIGH YIELD FUN	4812C0803	7/29/2016	8/24/2016	1,528		0	1,501	27	0	0	0	27	
64	MET WEST TOTAL RETURN I	592905509	2/25/2015	1/22/2016	1,719		0	1,789	-50	0	0	0	-50	
65	MET WEST TOTAL RETURN I	592905509	2/25/2015	7/27/2016	15,382		0	15,340	42	0	0	0	42	
66	MET WEST TOTAL RETURN I	592905509	6/10/2015	7/27/2016	1,073		0	1,048	25	0	0	0	25	
67	MET WEST TOTAL RETURN I	592905509	7/24/2015	7/27/2016	9,748		0	9,578	168	0	0	0	168	
68	ASG GLOBAL ALTERNATIVES	638721885	2/25/2015	1/22/2016	114		0	130	-16	0	0	0	-16	
69	ASG GLOBAL ALTERNATIVES	638721885	2/25/2015	8/24/2016	15		0	18	-3	0	0	0	-3	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Amount													
Long Term CG Distributions		451											
Short Term CG Distributions		0											
		129,799 0 355 122,828 7,326 0 0 0 7,326											
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expenses of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj. Basis or Losses
70 ASG GLOBAL ALTERNATIVES	63872T885		7/17/2013	8/24/2016	808		72	952	-72	0	0	0	72
71 E-TRACS ALERIAN MLP INFR	902841646		2/25/2015	7/27/2016	615		0	879	-264	0	0	0	-264
72 E-TRACS ALERIAN MLP INFR	902841646		2/25/2015	8/24/2016	584		0	839	-255	0	0	0	-255
73 E-TRACS ALERIAN MLP INFR	902841646		7/22/2015	8/24/2016	1,696		0	2,004	-308	0	0	0	-308
74 E-TRACS ALERIAN MLP INFR	902841646		10/30/2015	8/24/2016	473		0	494	-21	0	0	0	-21
75 E-TRACS ALERIAN MLP INFR	902841646		1/22/2016	8/24/2016	834		0	626	208	0	0	0	208
76 VANGUARD SHORT TERM BD	921937827		7/27/2016	8/24/2016	2,504		0	2,509	-5	0	0	0	5
77 VANGUARD FTSE DEVELOPE	921943858		7/31/2014	7/27/2016	1,343		33	1,547	-171	0	0	0	171
78 VANGUARD FTSE DEVELOPE	921943858		10/30/2015	7/27/2016	145		0	152	-7	0	0	0	-7
79 VANGUARD FTSE DEVELOPE	921943858		2/7/2013	7/27/2016	1,089		0	1,083	6	0	0	0	6
80 VANGUARD INFLAT-PROT SE	922031737		7/29/2016	8/24/2016	135		0	135	0	0	0	0	0
81 VANGUARD FTSE EMERGING	922042858		8/27/2011	7/27/2016	626		101	749	-22	0	0	0	-22
82 VANGUARD FTSE EMERGING	922042858		5/15/2012	7/27/2016	221		0	236	-15	0	0	0	15
83 VANGUARD REIT VIPER	922908553		2/6/2009	7/27/2016	273		0	75	198	0	0	0	198
84 VANGUARD REIT VIPER	922908553		2/6/2009	8/24/2016	3,094		0	872	2,222	0	0	0	2,222
85 VANGUARD REIT VIPER	922908553		2/6/2009	10/3/2016	2,908		0	842	2,064	0	0	0	2,064
86 WELLS FARGO INTL BOND-IS	94985D582		2/17/2012	1/22/2016	151		0	183	-32	0	0	0	-32
87 WELLS FARGO INTL BOND-IS	94985D582		2/17/2012	7/27/2016	555		0	600	-45	0	0	0	-45
88 AMEX INDUSTRIAL SPDR	81369Y704		2/25/2015	7/27/2016	701		0	698	3	0	0	0	3
89 AMEX TECHNOLOGY SELEC	81369Y803		2/25/2015	7/27/2016	1,525		0	1,424	101	0	0	0	101
90 REAL ESTATE SELECT SECT	81369Y860		9/22/2016	10/7/2016	2		0	2	0	0	0	0	0
91 ST CTF GIAN					240		0	0	240	0	0	0	240
92 LT CTF GAIN					0			19	-19	0	0	0	-19

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

											3,484	0	0
Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account		
Wells Fargo Bank N.A. Trust Tax D	X	100 N Main St MAC D4001-117	Winston Salem	NC	27101		TRUSTEE	SEE ATTAC	3,484				

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	23
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	
7 Total	7	23

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	5,071
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	5,071