Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation DRAPER RICHARDS KAPLAN FOUNDATION	A Employer identification number 91-2172351
Number and street (or P O box number if mail is not delivered to street address) 1600 EL CAMINO REAL — Room/suite 155	B Telephone number 650-319-7808
City or town, state or province, country, and ZIP or foreign postal code MENLO PARK, CA 94025	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1 Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 48,628,514. — J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	3,755,903.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	709,019.	710,634.	710,634.	STATEMENT 2
	4 Dividends and interest from securities		4,475.	4,475.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-13,288.			STATEMENT 1
	b Gross sales price for all assets on line 6a 66,228.				
	7 Capital gain net income (from Part IV, line 2)		2,261,993.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income		644.	644.	
	12 **Total** Add lines 1 through 11	4,451,634.	2,977,746.	715,753.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	636,767.	0.	636,767.	0.
	14 Other employee salaries and wages	2,161,348.	0.	2,161,348.	0.
	15 Pension plans, employee benefits	931,581.	0.	931,581.	0.
	16a Legal fees STMT 3	9,418.	0.	9,418.	0.
	b Accounting fees STMT 4	37,900.	0.	37,900.	0.
	c Other professional fees STMT 5	52,887.	0.	52,887.	0.
	17 Interest				
	18 Taxes STMT 6	53,000.	0.	0.	53,000.
	19 Depreciation and depletion	19,708.	0.	0.	
	20 Occupancy	358,687.	0.	358,687.	0.
	21 Travel, conferences, and meetings	327,680.	0.	327,680.	0.
	22 Printing and publications	5,216.	0.	5,216.	0.
	23 Other expenses STMT 7	1,043,040.	73,009.	-3,805,731.	4,792,823.
	24 **Total operating and administrative expenses** Add lines 13 through 23	5,637,232.	73,009.	715,753.	4,845,823.
	25 Contributions, gifts, grants paid	4,250,000.			4,250,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	9,887,232.	73,009.	715,753.	9,095,823.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-5,435,598.			
	b **Net investment income** (if negative, enter -0-)		2,904,737.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	162,874.	1,527,948.	1,527,948.
	2	Savings and temporary cash investments	1,580,111.	762,344.	762,344.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ 17,580,266.			
		Less: allowance for doubtful accounts ▶	23,936,190.	17,580,266.	17,580,266.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ 100,000.			
		Less: allowance for doubtful accounts ▶ 0.	0.	100,000.	100,000.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	84,902.	63,869.	63,869.
	10a	Investments - U S and state government obligations STMT 8	5,568,158.	1,299,086.	1,299,086.
	b	Investments - corporate stock			
	c	Investments - corporate bonds STMT 9	19,332,577.	23,642,387.	23,642,387.
	11	Investments - land buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	3,276,349.	3,448,024.	3,448,024.
	14	Land, buildings, and equipment: basis ▶ 206,493.			
		Less accumulated depreciation STMT 11▶ 78,746.	66,763.	127,747.	127,747.
	15	Other assets (describe ▶ STATEMENT 12)	44,591.	76,843.	76,843.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	54,052,515.	48,628,514.	48,628,514.
Liabilities	17	Accounts payable and accrued expenses	354,417.	463,304.	
	18	Grants payable	250,000.	100,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 13)	31,528.	50,708.	
	23	**Total liabilities** (add lines 17 through 22)	635,945.	614,012.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted	29,480,380.	30,434,236.	
	25	Temporarily restricted	23,936,190.	17,580,266.	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	53,416,570.	48,014,502.	
	31	**Total liabilities and net assets/fund balances**	54,052,515.	48,628,514.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	53,416,570.
2	Enter amount from Part I, line 27a	2	-5,435,598.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS ON INVESTMENTS	3	33,530.
4	Add lines 1, 2, and 3	4	48,014,502.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	48,014,502.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo, day, yr)
1a	PUBLICLY TRADED SECURITIES			
b	CAPITAL GAINS FROM PASSTHROUGH	P	01/01/16	12/31/16
c	CAPITAL GAINS FROM PASSTHROUGH	P	01/01/16	12/31/16
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,702,373.		492,069.	2,210,304.
b			14,539.	-14,539.
c	66,228.			66,228.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				2,210,304.
b				-14,539.
c				66,228.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	2,261,993.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	-14,539.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2015	7,327,640.	27,765,109.	.263915
2014	6,018,824.	23,173,856.	.259725
2013	4,700,178.	22,739,851.	.206693
2012	3,794,933.	19,999,013.	.189756
2011	2,619,683.	16,595,241.	.157857

2	**Total** of line 1, column (d)	2	1.077946
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.215589
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	30,860,211.
5	Multiply line 4 by line 3	5	6,653,122.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	29,047.
7	Add lines 5 and 6	7	6,682,169.
8	Enter qualifying distributions from Part XII, line 4	8	9,343,186.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	29,047.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	29,047.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	29,047.
6 Credits/Payments				
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	49,752.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	9,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	58,752.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	29,705.
11 Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 29,705. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.DRKFOUNDATION.ORG

14 The books are in care of ▶ MARY LACHNIT Telephone no. ▶ 650-319-7808

Located at ▶ 1600 EL CAMINO REAL, SUITE 155, MENLO PARK, CA ZIP+4 ▶ 94025

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		515,000.	121,767.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHANIE DODSON - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 50.00	194,475.	60,763.	0.
NATHALIE LAIDLER-KYLANDER - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	190,000.	34,845.	0.
CARTER MITCHELL STEWART - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	178,601.	28,327.	0.
JARED THEODORE LEIDERMAN - 1600 EL CAMINO REAL #155, MENLO PARK, CA	DIRECTOR OF FINANCE AND OPERATIONS 50.00	160,904.	34,719.	0.
NANCY HUANG - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	CHIEF OF STAFF 50.00	146,200.	40,093.	0.

Total number of other employees paid over $50,000 ▶ 12

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 15	9,095,823.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 AVANTI - TO PROVIDE LOW-INCOME HIGH-SCHOOL STUDENTS IN INDIA A WORLD-CLASS SCIENCE AND MATHEMATICS EDUCATION THROUGH A BLENDED-LEARNING MODEL CENTERED ON PEER LEARNING.	97,363.
2 FINCH THERAPEUTICS - TO EXPAND SAFE ACCESS TO FECAL MICROBIOTA TRANSPLANTATION FOR PATIENTS SUFFERING FROM RECURRENT CLOSTRIDIUM DIFFICILE INFECTIONS.	150,000.
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ▶	247,363.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	25,985,332.
b	Average of monthly cash balances	1b	1,982,938.
c	Fair market value of all other assets	1c	3,361,893.
d	**Total** (add lines 1a, b, and c)	1d	31,330,163.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,330,163.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	469,952.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,860,211.
6	**Minimum investment return** Enter 5% of line 5	6	1,543,011.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [X] and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	9,095,823.
b	Program-related investments - total from Part IX-B	1b	247,363.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,343,186.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	29,047.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	9,314,139.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years: ___ , ___ , ___				
3 Excess distributions carryover, if any, to 2016.				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4. ▶ $				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2017** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶ 12/12/01

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
b 85% of line 2a	0.	0.	0.	0.	0.
c Qualifying distributions from Part XII, line 4 for each year listed	9,343,186.	7,365,026.	6,037,947.	4,719,386.	27,465,545.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	9,343,186.	7,365,026.	6,037,947.	4,719,386.	27,465,545.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	1,028,674.	925,503.	772,462.	757,995.	3,484,634.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 16

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 17

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABSOLUTE RETURN FOR KIDS US 25 WEST 53RD ST, 15TH FLOOR NEW YORK, NY 10019		PC	PROJECT: STIR	50,000.
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108		PC	GENERAL OPERATING SUPPORT	100,000.
ADVENTURERS & SCIENTISTS FOR CONSERVATION P.O. BOX 1834 BOZEMAN, MT 59771		PC	GENERAL OPERATING SUPPORT	100,000.
AZARIAH FOUNDATION 306 POPPLETON STREET BALTIMORE, MD 21230		PC	PROJECT. WAVE	50,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	100,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	4,250,000.
b Approved for future payment				
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108		PC	GENERAL OPERATING SUPPORT	100,000.
ADVENTURERS & SCIENTISTS FOR CONSERVATION P.O. BOX 1834 BOZEMAN, MT 59771		PC	GENERAL OPERATING SUPPORT	100,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	150,000.
Total SEE CONTINUATION SHEET(S)			▶ 3b	5,950,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	709,019.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-13,288.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		695,731.	0.

13 Total Add line 12, columns (b), (d), and (e) ..... 13 695,731.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ CEO | 11/3/17 | ▶ CHIEF EXECUTIVE OFFICER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MAGA E. KISRIEV		11/2/17		P01008919
Firm's name ▶ HOOD & STRONG LLP			Firm's EIN ▶ 94-1254756	
Firm's address ▶ 275 BATTERY ST, STE 900 SAN FRANCISCO, CA 94111			Phone no 415.781.0793	

Form **990-PF** (2016)

623622 11-23-16

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2016

Name of the organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEWISH COMMUNITY FEDERATION 121 STEUART STREET SAN FRANCISCO, CA 94105	$ 300,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
2	FIDELITY CHARITABLE GIFT FUND 100 CROSBY PARKWAY, MAIL ZONE KC1D-FCS COVINGTON, KY 41015	$ 500,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
3	ARTHUR AND TONI REMBE ROCK ONE MARITIME PLAZA, SUITE 1220 SAN FRANCISCO, CA 94111	$ 198,954.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
4	MARIN COMMUNITY FOUNDATION 5 HAMILTON LANDING, SUITE 200 NOVATO, CA 94949	$ 250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
5	WILLIAM H. DRAPER III AND PHYLLIS DRAPER 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
6	KAUFFMAN FOUNDATION 4801 ROCKHILL ROAD KANSAS CITY, MO 64110	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	VANGUARD CHARITABLE 2670 WARWICKAVENUE WARWICK, RI 02889	$ 200,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
8	SCHWAB CHARITABLE FUND 211 MAIN STREET, FLOOR 10 SAN FRANCISCO, CA 94105	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
9	UNIVERSITY OF NOTRE DAME 804 GRACE HALL NOTRE DAME, IN 46556	$ 62,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
10	NINA AND CASPER DE CLERCQ 125 LAIDLEY ST. SAN FRANCISCO, CA 94131	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
11	THE F.B. HERON FOUNDATION 100 BROADWAY, 7TH FLOOR NEW YORK, NY 10005	$ 100,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	3,935 SHARES OF QCOM	$ 198,954.	04/01/16

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
DRAPER RICHARDS KAPLAN FOUNDATION	91-2172351

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this info. once.) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARE MESSAGE 332 PINE STREET, SUITE 300 SAN FRANCISCO, CA 94104		PC	GENERAL OPERATING SUPPORT	100,000.
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	100,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	100,000.
CITY HEALTH WORKS 127 WEST 127TH STREET, SUITE 207 NEW YORK, NY 10027		PC	GENERAL OPERATING SUPPORT	100,000.
CLEAN ENERGY TRUST 20 N WACKER DRIVE, SUITE 734 CHICAGO, IL 60606		PC	GENERAL OPERATING SUPPORT	100,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	50,000.
CRISIS TEXT LINE 24 WEST 25TH STREET, FLOOR 6 NEW YORK, NY 10010		PC	GENERAL OPERATING SUPPORT	100,000.
D-REV 695 MINNESOTA STREET SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	100,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				3,850,000.

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	100,000.
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	50,000.
EMPOWERMENT PLAN 1401 VERMONT STREET DETROIT, MI 48216		PC	GENERAL OPERATING SUPPORT	100,000.
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS, 6TH FLOOR NEW YORK, NY 10013		PC	PROJECT: POWER OF TWO	100,000.
HEAL INITIATIVE (UCSF) 300 FRANK H. OGAWA PLAZA, SUITE 520 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	100,000.
ICIVICS 1035 CAMBRIDGE STREET, SUITE 21B CAMBRIDGE, MA 02141		PC	GENERAL OPERATING SUPPORT	50,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105		PC	GENERAL OPERATING SUPPORT	100,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	100,000.
JUMA VENTURES 131 STEUART STREET, SUITE 201 SAN FRANCISCO, CA 94105		PC	PROJECT 12 FOR LIFE	100,000.
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAVA MAE 3543 18TH STREET, #24 SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
MATCH BEYOND 50 MILK STREET, SUITE 600 (6TH FLOOR) BOSTON, MA 02109		PC	GENERAL OPERATING SUPPORT	50,000.
MEASURES FOR JUSTICE 60 PARK AVENUE ROCHESTER, NY 14607		PC	GENERAL OPERATING SUPPORT	50,000.
MUSO 3254 19TH STREET, 2ND FLOOR SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	100,000.
NEST 501 FIFTH AVENUE, SUITE 1608 NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	100,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	100,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE NW, SUITE 300 WASHINGTON, DC 20036		PC	PROJECT: UPSTREAM	100,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	100,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	100,000.
OPENBIOME 200 INNER BELT ROAD SOMERVILLE, MA 02143		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PHILLIPS BROOKS HOUSE ASSOCIATION 1 HARVARD YARD CAMBRIDGE, MA 02138		PC	PROJECT: Y2Y	100,000.
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	50,000.
SAFEPASSAGES 250 FRANK H. OGAWA PLAZA, SUITE 6306 OAKLAND, CA 94612		PC	PROJECT: ADVANCE PEACE	50,000.
SERVICE YEAR 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	100,000.
SIRUM 3000 EL CAMINO REAL, BUILDING 4, SUITE 200, #8979 PALO ALTO, CA 94306		PC	GENERAL OPERATING SUPPORT	50,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	50,000.
SPARK MICROGRANTS 116 W HOUSTON STREET, FLOOR 2 NEW YORK, NY 10012		PC	GENERAL OPERATING SUPPORT	150,000.
STRIVE TOGETHER 125 EAST NINTH STREET, 2ND FLOOR CINCINATTI, OH 45202		PC	GENERAL OPERATING SUPPORT	100,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	100,000.
THE CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET N.W., SUITE 500 WASHINGTON, DC 20005		PC	PROJECT: FOSTER AMERICA	50,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	50,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	50,000.
THE RESET FOUNDATION P.O. BOX 2305 BERKELEY, CA 94702		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER THE PRESIDIO, PO BOX 29907 SAN FRANCISCO, CA 94129		PC	PROJECT LAVA MAE	50,000.
THE TIDES CENTER DEPOSITORY ACCT 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	PROJECT: EYELLIANCE	50,000.
TRANSCEND EDUCATION 159 LINCOLN AVENUE HASTINGS-ON-HUDSON, NY 10706		PC	GENERAL OPERATING SUPPORT	100,000.
WATSI 360 LANGTON STREET, SUITE 200 SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	150,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	150,000.
CITY HEALTH WORKS 127 WEST 127TH STREET, SUITE 207 NEW YORK, NY 10027		PC	GENERAL OPERATING SUPPORT	50,000.
CLEAN ENERGY TRUST 20 N WACKER DRIVE, SUITE 734 CHICAGO, IL 60606		PC	GENERAL OPERATING SUPPORT	50,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	250,000.
CRISIS TEXT LINE 24 WEST 25TH STREET, FLOOR 6 NEW YORK, NY 10010		PC	GENERAL OPERATING SUPPORT	50,000.
D-REV 695 MINNESOTA STREET SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	50,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	150,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	250,000.
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	200,000.
Total from continuation sheets				5,600,000.

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	250,000.
EMPOWERMENT PLAN 1401 VERMONT STREET DETROIT, MI 48216		PC	GENERAL OPERATING SUPPORT	50,000.
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS, 6TH FLOOR NEW YORK, NY 10013		PC	PROJECT: POWER OF TWO	150,000.
HEAL INITIATIVE (UCSF) 300 FRANK H. OGAWA PLAZA, SUITE 520 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	50,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105		PC	GENERAL OPERATING SUPPORT	50,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	150,000.
INDUS ACTION B-19 DEFENCE COLONY NEW DELHI, INDIA 110024		NC	GENERAL OPERATING SUPPORT	300,000.
JUMA VENTURES 131 STEUART STREET, SUITE 201 SAN FRANCISCO, CA 94105		PC	PROJECT: 12 FOR LIFE	50,000.
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	150,000.
LANDED 450 TOWNSEND STREET #201 SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	150,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAVA MAE 3543 18TH STREET, #24 SAN FRANCISCO, CA 94110		NC	GENERAL OPERATING SUPPORT	50,000.
MUSO 3254 19TH STREET, 2ND FLOOR SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
NEST 501 FIFTH AVENUE, SUITE 1608 NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	50,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	150,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE NW, SUITE 300 WASHINGTON, DC 20036		PC	PROJECT UPSTREAM	50,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	150,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	200,000.
OPENBIOME 200 INNER BELT ROAD SOMERVILLE, MA 02143		PC	GENERAL OPERATING SUPPORT	50,000.
PHILLIPS BROOKS HOUSE ASSOCIATION 1 HARVARD YARD CAMBRIDGE, MA 02138		PC	PROJECT: Y2Y	100,000.
POLLINATE ENERGY LEVEL 2, 4/1, MILLERS TANK BUND RD VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA 560051		NC	GENERAL OPERATING SUPPORT	300,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	250,000.
SERVICE YEAR 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	200,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	250,000.
SPARK MICROGRANTS 116 W HOUSTON STREET, FLOOR 2 NEW YORK, NY 10012		PC	GENERAL OPERATING SUPPORT	50,000.
STRIVE TOGETHER 125 EAST NINTH STREET, 2ND FLOOR CINCINATTI, OH 45202		PC	GENERAL OPERATING SUPPORT	50,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	150,000.
THE CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET N.W., SUITE 500 WASHINGTON, DC 20005		PC	PROJECT: FOSTER AMERICA	50,000.
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	200,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	250,000.
THE RESET FOUNDATION P.O. BOX 2305 BERKELEY, CA 94702		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE TIDES CENTER DEPOSITORY ACCT 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	PROJECT EYELLIANCE	50,000.
TRANSCEND EDUCATION 159 LINCOLN AVENUE HASTINGS-ON-HUDSON, NY 10706		PC	GENERAL OPERATING SUPPORT	150,000.
Total from continuation sheets				

623635
04-01-16

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH	PURCHASED	01/01/16	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	15,314.	0.	0.	-15,314.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH	PURCHASED	01/01/16	12/31/16

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
66,228.	64,202.	0.	0.	2,026.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	-13,288.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	709,019.	710,634.	710,634.
TOTAL TO PART I, LINE 3	709,019.	710,634.	710,634.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,418.	0.	9,418.	0.
TO FM 990-PF, PG 1, LN 16A	9,418.	0.	9,418.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	37,900.	0.	37,900.	0.
TO FORM 990-PF, PG 1, LN 16B	37,900.	0.	37,900.	0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	52,887.	0.	52,887.	0.
TO FORM 990-PF, PG 1, LN 16C	52,887.	0.	52,887.	0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	53,000.	0.	0.	53,000.
TO FORM 990-PF, PG 1, LN 18	53,000.	0.	0.	53,000.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ENTREPRENEURIAL EXPENSES	467,570.	0.	467,570.	0.
MEALS & ENTERTAINMENT	91,938.	0.	91,938.	0.
DONORS EVENT	86,131.	0.	86,131.	0.
COMMUNICATIONS	71,455.	0.	71,455.	0.
DUES & SUBSCRIPTIONS	68,126.	0.	68,126.	0.
BANKING & INVESTMENT FEES	55,948.	55,948.	0.	0.
IT EXPENSE	47,681.	0.	47,681.	0.
OFFICE SUPPLIES	36,539.	0.	36,539.	0.
DEVELOPMENT	35,278.	0.	35,278.	0.
COMPUTER SUPPLIES & EQUIPMENT	30,554.	0.	30,554.	0.
MARKETING	25,716.	0.	25,716.	0.
INSURANCE	13,249.	0.	13,249.	0.
POSTAGE & DELIVERY	3,139.	0.	3,139.	0.
GIFTS	2,875.	0.	2,875.	0.
OTHER EXPENSES	2,839.	0.	2,839.	0.
LICENSES, PERMITS, FEES & TAXES	2,816.	0.	2,816.	0.
REPAIRS & SERVICES	1,186.	0.	1,186.	0.
PASSTHROUGH DEDUCTIONS	0.	17,061.	0.	0.
EXEMPT FUNCTION EXPENSES EXCEEDING INCOME	0.	0.	-4,792,823.	4,792,823.
TO FORM 990-PF, PG 1, LN 23	1,043,040.	73,009.	-3,805,731.	4,792,823.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MS FUND III GOVERNMENT SECURITIES	X		1,100,273.	1,100,273.
MS FUND III MUNICIPAL BONDS		X	198,813.	198,813.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,100,273.	1,100,273.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			198,813.	198,813.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,299,086.	1,299,086.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS FUND III FIXED INCOME- SEE ATTACHMENT A	23,642,387.	23,642,387.
TOTAL TO FORM 990-PF, PART II, LINE 10C	23,642,387.	23,642,387.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AVANTI	FMV	97,363.	97,363.
LEGACY VENTURES IV, LLC	FMV	1,053,340.	1,053,340.
OFFITT HALL PARTNERSHIP	FMV	2,147,321.	2,147,321.
FINCH THERAPEUTICS, INC.	FMV	150,000.	150,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,448,024.	3,448,024.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	46,077.	20,388.	25,689.
EQUIPMENT	44,505.	43,651.	854.
LEASEHOLD IMPROVEMENTS	42,517.	10,629.	31,888.
INTANGIBLE ASSETS	73,394.	4,078.	69,316.
TOTAL TO FM 990-PF, PART II, LN 14	206,493.	78,746.	127,747.

FORM 990-PF OTHER ASSETS STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	44,591.	41,246.	41,246.
EMPLOYEE ADVANCES	0.	35,597.	35,597.
TO FORM 990-PF, PART II, LINE 15	44,591.	76,843.	76,843.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 13

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL LIABILITIES	31,528.	50,708.
TOTAL TO FORM 990-PF, PART II, LINE 22	31,528.	50,708.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM H. DRAPER III 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 25.00	0.	0.	0.
ROBIN RICHARDS DONOHOE 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
ROBERT S. KAPLAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
JAMES BILDNER 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF EXECUTIVE OFFICER 50.00	275,000.	76,179.	0.
CHRISTINE REMEY CHIN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	MANAGING PARTNER 50.00	240,000.	45,588.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		515,000.	121,767.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 15

ACTIVITY ONE

THE FOUNDATION FUNDED UNRESTRICTED GRANTS TO 51 NONPROFIT ORGANIZATIONS PROMOTING SOCIAL CHANGE AROUND THE WORLD. IN ADDITION TO THE GRANTS, THE FOUNDATION PROVIDES EACH ORGANIZATION WITH DEVELOPMENT SUPPORT AND SUBSTANTIAL RESOURCES, WHICH INCLUDES ADVICE AND TRAINING ON STRATEGIC PLANNING, BOARD DEVELOPMENT, FUNDRAISING, ORGANIZATION DEVELOPMENT AND LEADERSHIP, AS WELL AS A 3-DAY RETREAT.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 1	9,095,823.

FORM 990-PF PART XV - LINE 1A STATEMENT 16
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

WILLIAM H. DRAPER III
ROBIN RICHARDS DONOHOE
ROBERT S. KAPLAN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 17
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM BILDNER, CHIEF EXECUTIVE OFFICER
1600 EL CAMINO REAL, SUITE 155
MENLO PARK, CA 94025

TELEPHONE NUMBER	NAME OF GRANT PROGRAM
650-319-7808	ALL PROGRAMS

EMAIL ADDRESS

N/A

FORM AND CONTENT OF APPLICATIONS

ORGANIZATIONS INTERESTED IN REQUESTING A GRANT FROM THE FOUNDATION ARE ENCOURAGED TO REVIEW OUR FUNDING CRITERIA AND OBJECTIVES FIRST AND THEN SUBMIT AN ONLINE APPLICATION THROUGH OUR WEBSITE AT WWW.DRKFOUNDATION.ORG. THE FOUNDATION REVIEWS ALL ONLINE APPLICATIONS AND BASED ON OUR APPRAISAL OF THE FIT OF THE VENTURE WITH OUR INVESTMENT STYLE, A SELECT NUMBER OF APPLICATIONS WILL MOVE TO THE SUBSEQUENT PHASES OF DILIGENCE.

ANY SUBMISSION DEADLINES

THERE ARE NO DEADLINES FOR SUBMISSION; ACCEPTED ALL YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATIONS MUST BE AT THE BEGINNING OF THEIR DEVELOPMENT. WE TYPICALLY PROVIDE THE ORGANIZATION'S FIRST MAJOR INVESTMENT. WE DO NOT FUND ORGANIZATIONS LATER IN THEIR LIFECYCLE. ORGANIZATIONS MUST BE BASED IN THE UNITED STATES ONLY. PREFERENCE IS GIVEN TO THOSE ORGANIZATIONS THAT ARE NATIONAL OR GLOBAL IN REACH AND SOCIAL ISSUE.

GENERAL EXPLANATION STATEMENT 18

FORM/LINE IDENTIFIER

FORM 990-PF, PART VIII, COLUMN (D):

EXPLANATION:

PART VIII, COLUMN (D) INCLUDES 401K, HEALTH AND LIFE INSURANCE, AND HEALTH SAVINGS ACCOUNT DEPOSITS.

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
ORACLE CORP	7/1/14	150,000 000	$100 000	$100 076	$150,000 00			$1,201 00	0 80
Coupon Rate 1 067%, Matures 07/07/2017, CUSIP 68389XAT2			$100 000		$150,000 00	$150,114 00	$114 00 LT	$373 76	
Interest Paid Quarterly Oct 07, Yield to Maturity 919%, Floater, Moody A1 S&P AA-, Issued 07/08/14, Asset Class FI & Pref									
INTERNATIONAL LEASE FINANCE CORPORATION	3/22/16	175,000 000	108 000	104 500	189,000 00			15,531 00	8 49
Coupon Rate 8 875%, Matures 09/01/2017, CUSIP 459745GE9			103 780		181,615 42	182,875 00	1,259 58 ST	5,177 08	
Int Semi-Annually Mar/Sep 01, Yield to Maturity 2 037%, Moody BA1 (+) S&P BBB-, Issued 08/20/10, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
ENTERPRISE PRODS OPER	12/2/15	100,000 000	107 280	103 136	107,280 00			6,300 00	6 10
Coupon Rate 6 300%, Matures 09/15/2017, CUSIP 29379VAA1			102 927		102,926 92	103,136 00	209 08 LT	1,854 99	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 1 802%; Moody BAA1 S&P BBB+, Issued 09/04/07, Asset Class FI & Pref									
SANTANDER UK PLC	9/24/14	500,000 000	100 000	99 825	500,000 00			5,276 00	1 05
Coupon Rate 1 407%, Matures 09/29/2017, CUSIP 80283LAE3			100 000		500,000 00	499,125 00	(875 00) LT	39 08	
Interest Paid Quarterly Dec 29, Yield to Maturity 1 644%, Floater, Moody AA3 S&P A, Issued 09/29/14, Asset Class FI & Pref									
ORACLE CORP	10/14/16	100,000 000	100 174	100 024	100,174 00			1,200 00	1 19
Coupon Rate 1 200%, Matures 10/15/2017, CUSIP 68389XAN5			100 139		100,138 90	100,024 00	(114 90) ST	253 33	
Int Semi-Annually Apr/Oct 15, Yield to Maturity 1 169%, Moody A1 S&P AA-, Issued 10/25/12, Asset Class FI & Pref									
WHIRLPOOL CORP	2/26/16	300,000 000	99 906	100 143	299,718 00			4,950 00	1 64
Coupon Rate 1 650%, Matures 11/01/2017, CUSIP 963320AS5			99 906		299,718 00	300,429 00	711 00 ST	825 00	
Int Semi-Annually May/Nov 01, Yield to Maturity 1 476%, Moody BAA1 S&P BBB, Issued 11/04/14, Asset Class FI & Pref									
BP CAPITAL MARKETS PLC	11/30/15	150,000 000	99 846	99 960	149,769 00			2,063 00	1 37
Coupon Rate 1 375%, Matures 11/06/2017, CUSIP 05565QCC0			99 846		149,769 00	149,940 00	171 00 LT	315 10	
Int Semi-Annually May/Nov 06, Yield to Maturity 1 422%, Moody A2 S&P A-, Issued 11/06/12, Asset Class FI & Pref									
TRANS-CANADA PIPELINES	12/1/15	150,000 000	99 890	99 926	149,835 00			2,438 00	1 62
Coupon Rate 1 625%, Matures 11/09/2017, CUSIP 89352HAQ2			99 890		149,835 00	149,889 00	54.00 LT	352 08	
Int Semi-Annually May/Nov 09, Yield to Maturity 1 712%, Moody A3 S&P A-, Issued 11/09/15, Asset Class FI & Pref									
CARNIVAL CORP	1/26/16	200,000 000	100 373	100 401	200,746 00			3,750 00	1 86
Coupon Rate 1 875%, Matures 12/15/2017, CUSIP 143658AY8			100 191		200,382 39	200,802 00	419 61 ST	166 66	
Int Semi-Annually Jun/Dec 15, Yield to Maturity 1 451%, Moody A3 S&P A-, Issued 12/06/12, Asset Class. FI & Pref									
AMERITECH CAPITAL FUNDING CO GUARNTEE	12/9/15	125,000 000	108 105	104 535	135,131 25			8,063 00	6 17
Coupon Rate 6 450%, Matures 01/15/2018, CUSIP 030955AM0			104 086		130,107 43	130,668 75	561 32 LT	3,717 70	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 2 016%, S&P BBB+ (-), Issued 01/21/98, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LL	12/8/15	200,000 000	100 243	100 447	200,486 00			4,750 00	2 36
Coupon Rate 2 375%, Matures 01/16/2018, CUSIP 34540UAA7			100 122		200,244 15	200,894 00	649 85 LT	2,177 08	
Int Semi-Annually Jan/Jul 16, Yield to Maturity 1 939%, Moody BAA2 S&P BBB, Issued 01/11/13, Asset Class FI & Pref									
JP MORGAN CHASE & CO	12/17/15	150,000 000	99 913	100 111	149,869 50			2,700 00	1 79
Coupon Rate 1 800%, Matures 01/25/2018, CUSIP 46625HJG6			99 913		149,869 50	150,166 50	297 00 LT	1,169 99	
Int Semi-Annually Jan/Jul 25, Yield to Maturity 1 694%, Moody A3 S&P A-, Issued 01/25/13, Asset Class FI & Pref									
VENTAS REALTY LP/CAP CRP	3/31/16	200,000 000	100 000	100 206	200,000 00			4,000 00	1 99
Coupon Rate 2 000%, Matures 02/15/2018, CUSIP 92276MBA2			100 000		200,000 00	200,412 00	412 00 ST	1,511 11	
Int Semi-Annually Feb/Aug 15, Callable $100 00 on 01/15/18, Yield to Call 1.799%, Moody BAA1 S&P BBB+, Issued 12/13/12, Asset Class FI & Pref									
HEALTHCARE REIT INC	1/25/16	250,000 000	100 366	100 427	250,915 00			5,625 00	2 24
Coupon Rate 2 250%, Matures 03/15/2018, CUSIP 42217KAZ9			100 209		250,522 29	251,067 50	545 21 ST	1,656 24	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 1 889%, Moody BAA1 S&P BBB+, Issued 12/06/12, Asset Class FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
ABBVIE INC	1/26/16	250,000 000	99 678	100 075	249,195 00			4,500 00	1 79
Coupon Rate 1 800%, Matures 05/14/2018, CUSIP 00287YAN9			99 678		249,195 00	250,187 50	992 50 ST	587 50	
Int Semi-Annually May/Nov 14, Yield to Maturity 1 744%, Moody BAA2 S&P A-, Issued 05/14/15, Asset Class FI & Pref									
BOEING CO	6/23/16	200,000 000	99 900	99 550	199,800 00			1,900 00	0 95
Coupon Rate 0 950%, Matures 05/15/2018, CUSIP 097023BE4			99 900		199,800 00	199,100 00	(700 00) ST	242 77	
Int Semi-Annually May/Nov 15, Yield to Maturity 1 282%, Moody A2 S&P A, Issued 05/03/13, Asset Class FI & Pref									
TORONTO DOMINION BANK	12/17/15	125,000 000	99 885	100 157	124,856 25			2,188.00	1 74
Coupon Rate 1 750%, Matures 07/23/2018, CUSIP 89114QB64			99 885		124,856 25	125,196 25	340 00 LT	960 06	
Int Semi-Annually Jan/Jul 23, Yield to Maturity 1 648%, Moody AA1 S&P AA-, Issued 07/23/15, Asset Class FI & Pref									
AUTOZONE INC	1/19/16	175,000 000	112 473	108 153	196,827 75			12,469 00	6 58
Coupon Rate 7 125%, Matures 08/01/2018, CUSIP 053332AJ1			107 894		188,813 75	189,267 75	454 00 ST	5,195 31	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 1 873%, Moody BAA1 S&P BBB, Issued 08/04/08, Asset Class FI & Pref									
BEST BUY CO INC	11/30/15	125,000 000	104 375	104 589	130,468 75				
Coupon Rate 5 000%, Matures 08/01/2018, CUSIP 086516AM3			102 647		128,308 37	130,736 25	2,427 88 LT		
	2/18/16	130,000 000	104 250	104 589	135,525 00				
			102 795		133,633 17	135,965 70	2,332 53 ST		
	Total	255,000 000			265,993 75			12,750 00	4 78
					261,941 54	266,701 95	2,427 88 LT	5,312 50	
							2,332 53 ST		
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 039%, Moody BAA1 S&P BBB-, Issued 07/16/13, Asset Class FI & Pref									
DAIMLER FINANCE NA LLC	11/25/15	150,000 000	100 607	100 753	150,910 50			3,563 00	2 35
Coupon Rate 2 375%, Matures 08/01/2018, CUSIP U2339CBF4			100 364		150,546 59	151,129 50	582 91 LT	1,484 37	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 1 890%, Moody A3 S&P A, Issued 08/01/13, Asset Class FI & Pref									
METLIFE INC	12/8/15	150,000 000	112 799	107 810	169,198 50			10,226 00	6 32
Coupon Rate 6 817%, Matures 08/15/2018, CUSIP 59156RAR9			107 827		161,740 69	161,715 00	(25 69) LT	3,862 96	
Int Semi-Annually Feb/Aug 15, Yield to Maturity 1 903%, Moody A3 S&P A-, Issued 08/15/08, Asset Class FI & Pref									
CAPITAL ONE NA/MCLEAN VA	1/7/16	250,000 000	100.404	100 574	251,010 00			5,875 00	2 33
Coupon Rate 2 350%, Matures 08/17/2018, CUSIP 14042E5V8			100 256		250,640 03	251,435 00	794 97 ST	2,186 80	
Int Semi-Annually Feb/Aug 17, Callable $100 00 on 07/17/18, Yield to Call 1 971%, Moody BAA1 S&P BBB+, Issued 08/18/15, Asset Class FI & Pref									
GILEAD SCIENCES INC	1/27/16	243,000 000	100 685	100 378	244,664 55			4,496 00	1.84
Coupon Rate 1 850%, Matures 09/04/2018, CUSIP 375558BE2			100 446		244,083 14	243,918 54	(164 60) ST	1,461 03	
Int Semi-Annually Mar/Sep 04, Yield to Maturity 1 620%, Moody A3 S&P A, Issued 09/14/15, Asset Class FI & Pref									
TORONTO-DOMINION BANK	8/30/16	150,000 000	99 982	99 514	149,973 00			2,175 00	1 45
Coupon Rate 1 450%, Matures 09/06/2018, CUSIP 89114QBN7			99 982		149,973 00	149,271 00	(702 00) ST	694 79	
Int Semi-Annually Mar/Sep 06, Yield to Maturity 1 744%, First Coupon 03/06/17, Moody AA1 S&P AA-, Issued 09/06/16, Asset Class FI & Pref									
TOYOTA MOTOR CREDIT CORP	12/10/15	150,000 000	101 112	100 592	151,668 00			3,000 00	1 98
Coupon Rate 2 000%, Matures 10/24/2018, CUSIP 89236TAY1			100 711		151,066 88	150,888 00	(178 88) LT	558 33	

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Int Semi-Annually Apr/Oct 24, Yield to Maturity 1 667%, Moody AA3 S&P AA-, Issued 10/24/13, Asset Class FI & Pref									
BNP PARIBAS	1/13/16	125,000 000	101 580	100 785	126,975 00			3,000 00	2 38
Coupon Rate 2 400%; Matures 12/12/2018, CUSIP 05574LTX6			101 071		126,338 58	125,981 25	(357 33) ST	158 33	
Int Semi-Annually Jun/Dec 12, Yield to Maturity 1 987%, Moody A1 S&P A, Issued 12/12/13, Asset Class FI & Pref									
JOHN DEERE CAPITAL CORP	1/5/16	150,000 000	99 994	100 421	149,991 00			2,925 00	1 94
Coupon Rate 1 950%, Matures 01/08/2019, CUSIP 24422ETE9			99 994		149,991 00	150,631 50	640 50 ST	1,405 62	
Int Semi-Annually Jan/Jul 08, Yield to Maturity 1 737%, Moody A2 S&P A, Issued 01/08/16, Asset Class FI & Pref									
DR PEPPER SNAPPLE GROUP	1/22/16	225,000 000	100 781	101 464	226,757 25			5,850 00	2 56
Coupon Rate 2 600%, Matures 01/15/2019, CUSIP 26138EAN9			100 542		226,220 41	228,294 00	2,073 59 ST	2,697 49	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 1 865%, Moody BAA1 S&P BBB+, Issued 11/15/11, Asset Class FI & Pref									
GENERAL MOTORS FINL CO	1/22/16	225,000 000	99.523	101 061	223,926 75				
Coupon Rate 3 100%, Matures 01/15/2019; CUSIP 37045XBB1			99 523		223,926 75	227,387 25	3,460 50 ST		
	2/26/16	75,000 000	99 099	101 061	74,324 18				
			99 099		74,324 18	75,795 75	1,471 57 ST		
	Total	300,000 000			298,250 93			9,300 00	3 06
					298,250 93	303,183 00	4,932 07 ST	4,288 33	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 2 562%, Moody BA1 S&P BBB-, Issued 10/13/15, Asset Class FI & Pref									
ORACLE CORP	10/14/16	100,000 000	102 209	101 339	102,209 00			2,375 00	2 34
Coupon Rate 2 375%, Matures 01/15/2019, CUSIP 68389XAQ8			102 014		102,014 04	101,339 00	(675 04) ST	1,095 13	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 1 704%, Moody A1 S&P AA-, Issued 07/16/13, Asset Class FI & Pref									
SYNCHRONY FINANCIAL	12/1/15	150,000 000	99 974	100 506	149,961 00				
Coupon Rate 2 600%, Matures 01/15/2019, CUSIP 87165BAJ2			99 974		149,961 00	150,759.00	798 00 LT		
	2/26/16	150,000 000	99 488	100 506	149,232 00				
			99 488		149,232 00	150,759 00	1,527 00 ST		
	Total	300,000 000			299,193 00			7,800 00	2 58
					299,193 00	301,518 00	798 00 LT 1,527 00 ST	3,596 66	
Int Semi-Annually Jan/Jul 15, Callable $100 00 on 12/15/18, Yield to Call 2 333%, S&P BBB-, Issued 12/04/15, Asset Class FI & Pref									
WELLS FARGO & COMPANY	1/29/16	291,000 000	100 981	100 550	293,854 71			6,257 00	2 13
Coupon Rate 2 150%, Matures 01/15/2019, CUSIP 94974BFQ8			100 683		292,988 86	292,600 50	(388 36) ST	2,884 94	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 1 874%, Moody A2 S&P A, Issued 10/28/13, Asset Class FI & Pref									
ANHEUSER-BUSCH INBEV FIN	1/13/16	140,000 000	99 729	100 144	139,620 60			2,660 00	1 89
Coupon Rate 1 900%, Matures 02/01/2019, CUSIP 035242AG1			99 729		139,620 60	140,201 60	581 00 ST	1,108 33	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 1 829%, Moody A3 S&P A-, Issued 01/25/16, Asset Class FI & Pref									
SIMON PROPERTY GROUP LP	1/27/16	275,000 000	101 318	100 813	278,623 40			6,050 00	2 18
Coupon Rate 2 200%, Matures 02/01/2019, CUSIP 828807CQ8			100 922		277,535 88	277,235 75	(300 13) ST	2,520 83	
Int Semi-Annually Feb/Aug 01, Callable $100 00 on 11/01/18, Yield to Call 1 747%, Moody A2 S&P A, Issued 01/21/14, Asset Class FI & Pref									

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THERMO FISHER SCIENTIFIC	2/2/16	250,000 000	100 750	100 760	251,875 00			6,000 00	2 38
Coupon Rate 2 400%, Matures 02/01/2019, CUSIP 883556BE1			100 528		251,319 21	251,900 00	580 79 ST	2,500 00	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 025%, Moody BAA2 S&P BBB, Issued 12/11/13, Asset Class FI & Pref									
CISCO SYSTEMS INC	2/4/16	225,000 000	110 075	106 899	247,668 75			11,138 00	4 63
Coupon Rate 4 950%, Matures 02/15/2019, CUSIP 17275RAE2			107 134		241,052 22	240,522 75	(529 47) ST	4,207 50	
Int Semi-Annually Feb/Aug 15, Yield to Maturity 1 629%; Moody A1 S&P AA-, Issued 02/17/09, Asset Class FI & Pref									
TOYOTA MOTOR CREDIT CORP	2/16/16	100,000 000	99 988	99 684	99,988 00			1,700 00	1 70
Coupon Rate 1 700%, Matures 02/19/2019; CUSIP 89236TCU7			99 988		99,988 00	99,684 00	(304 00) ST	623 33	
Int Semi-Annually Feb/Aug 19, Yield to Maturity 1 851%, Moody AA3 S&P AA-, Issued 02/19/16, Asset Class FI & Pref									
APPLE INC	2/16/16	200,000 000	99 983	100 189	199,966 00			3,400 00	1 69
Coupon Rate 1 700%, Matures 02/22/2019, CUSIP 037833BQ2			99 983		199,966 00	200,378 00	412 00 ST	1,208 88	
Int Semi-Annually Feb/Aug 23, Yield to Maturity 1 610%, Moody AA1 S&P AA+, Issued 02/23/16, Asset Class FI & Pref									
CISCO SYSTEM INC	2/22/16	100,000 000	99 971	99 772	99,971 00			1,600 00	1 60
Coupon Rate 1 600%, Matures 02/28/2019, CUSIP 17275RBB7			99 971		99,971 00	99,772 00	(199 00) ST	546 66	
Int Semi-Annually Feb/Aug 28, Yield to Maturity 1 708%, Moody A1 S&P AA-, Issued 02/29/16, Asset Class FI & Pref									
SANTANDER UK PLC	6/17/16	300,000 000	101 773	100 510	305,319 00			7,500 00	2 48
Coupon Rate 2 500%, Matures 03/14/2019, CUSIP 80283LAK9			101 438		304,313 80	301,530 00	(2,783 80) ST	2,229 16	
Int Semi-Annually Mar/Sep 14, Yield to Maturity 2 261%, Moody AA3 S&P A, Issued 03/14/16, Asset Class FI & Pref									
BERKSHIRE HATHAWAY FIN	3/8/16	290,000 000	99 924	99 911	289,779 60			4,930 00	1 70
Coupon Rate 1 700%, Matures 03/15/2019, CUSIP 084664CG4			99 924		289,779 60	289,741 90	(37 70) ST	1,451 61	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 1 741%, Moody AA2 S&P AA, Issued 03/15/16, Asset Class FI & Pref									
MEDTRONIC INC	1/14/16	110,000 000	111 327	107 689	122,459 70			6,160 00	5.20
Coupon Rate 5 600%, Matures 03/15/2019, CUSIP 585055AN6			107 991		118,789 81	118,457 90	(331 91) ST	1,813 77	
Int Semi-Annually Mar/Sep 15, Yield to Maturity 2 017%, Moody A3 S&P A, Issued 03/12/09, Asset Class FI & Pref									
IBM CORP	10/21/16	230,000 000	101 264	100 043	232,907 20			4,140 00	1 79
Coupon Rate 1 800%, Matures 05/17/2019, CUSIP 459200JE2			101 176		232,704 65	230,098 90	(2,605 75) ST	505 99	
Int Semi-Annually May/Nov 17, Yield to Maturity 1 781%, Moody AA3 S&P AA-, Issued 02/19/16, Asset Class FI & Pref									
CITIGROUP INC	6/3/16	250,000 000	99 948	99 623	249,870 00			5,125 00	2 05
Coupon Rate 2 050%, Matures 06/07/2019, CUSIP 172967KS9			99 948		249,870 00	249,057 50	(812 50) ST	341 66	
Int Semi-Annually Jun/Dec 07, Yield to Maturity 2 210%, Moody BAA1 S&P BBB+, Issued 06/09/16, Asset Class FI & Pref									
CATERPILLAR FINANCIAL SE	12/12/16	165,000 000	100 428	100 364	165,706 20			3,465 00	2 09
Coupon Rate 2 100%, Matures 06/09/2019, CUSIP 14912L6B2			100 420		165,693 80	165,600 60	(93 20) ST	211 74	
Int Semi-Annually Jun/Dec 09, Yield to Maturity 1 946%, Moody A3 S&P A, Issued 06/09/14, Asset Class FI & Pref									
GENERAL ELECTRIC CAPITAL CORP	10/28/16	175,000 000	112 540	110 487	196,945 00			10,500 00	5 43
Coupon Rate 6 000%, Matures 08/07/2019, CUSIP 36962G4D3			111 808		195,663 86	193,352 25	(2,311 61) ST	4,200 00	
Int Semi-Annually Feb/Aug 07, Yield to Maturity 1 849%, Moody A1 S&P AA-, Issued 08/07/09, Asset Class· FI & Pref									

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HONEYWELL INTERNATIONAL	10/25/16	200,000 000	99 921	99 075	199,842 00			2,800 00	1 41
Coupon Rate 1 400%, Matures 10/30/2019, CUSIP 438516BJ4			99 921		199,842 00	198,150 00	(1,692 00) ST	466 66	
Int Semi-Annually Apr/Oct 30, Yield to Maturity 1 736%, First Coupon 04/30/17, Moody A2 S&P A, Issued 10/31/16, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		9,349,000 000	$9,514,793 39 $9,458,893 12	$9,467,583 64	$5,125 47 LT $3,565 05 ST	$245,664 00 $82,198 24	2 59%
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	93 16%			**$9,549,781.88**			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE	11/15/16	200,000 000	$99 512	$99 281	$199,023 40			$1,500 00	0 75
Coupon Rate 0 750%, Matures 10/31/2018, CUSIP 912828T83			$99 512		$199,023 40	$198,562 00	$(461 40) ST	$251 37	
Int Semi-Annually Apr/Oct 30, Yield to Maturity 1 147%, Moody AAA, Issued 10/31/16, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
GOVERNMENT SECURITIES		200,000 000	$199,023 40 $199,023 40	$198,562 00	$(461 40) ST	$1,500 00 $251 37	0 76%
TOTAL GOVERNMENT SECURITIES (includes accrued interest)	1 94%			$198,813 37			

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$9,657,916 52	$10,168,773 79	$5,125 47 LT $3,103 65 ST	$247,215 00 $82,449 61	2 41%

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CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
AIR LEASE CORP	1/21/16	120,000 000	$101 016	$101 696	$121,219 20				
Coupon Rate 3 375%, Matures 01/15/2019, CUSIP 00912XAL8			$100 707		$120,848 55	$122,035 20	$1,186 65 ST		
	3/16/16	100,000 000	100 199	101 696	100,199 00				
			100 146		100,145 80	101,696 00	1,550 20 ST		
	Total	220,000 000			221,418 20			7,425 00	3 31
					220,994 35	223,731 20	2,736 85 ST	3,423 74	

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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
Int Semi-Annually Jan/Jul 15, Callable $100 00 on 12/16/18, Yield to Call 2 482%, S&P BBB, Issued 11/19/13, Asset Class FI & Pref									
THERMO FISHER SCIENTIFIC	2/2/16	200,000 000	100 750	100 760	201,500 00			4,800 00	2.38
Coupon Rate 2 400%, Matures 02/01/2019, CUSIP 883556BE1			100 528		201,055 37	201,520 00	464 63 ST	2,000 00	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 025%, Moody BAA2 S&P BBB, Issued 12/11/13, Asset Class FI & Pref									
AT&T INC	3/21/16	200,000 000	100 000	109 292	200,000 00			11,750 00	5 37
Coupon Rate 5 875%, Matures 10/01/2019, CUSIP 00206RCX8			100 000		200,000 00	218,584 00	18,584 00 ST	2,937 50	
Int Semi-Annually Apr/Oct 01, Yield to Maturity 2 364%, Moody BAA1 (-) S&P BBB+ (-), Issued 10/01/15, Asset Class FI & Pref									
VERIZON COMMUNICATIONS	12/15/15	150,000 000	100 645	100 959	150,967 50				
Coupon Rate 2 625%, Matures 02/21/2020, CUSIP 92343VCH5			100 491		150,736 28	151,438 50	702 22 LT		
	3/16/16	150,000 000	102 158	100 959	153,237 45				
			101 743		152,614 31	151,438 50	(1,175 81) ST		
	Total	300,000 000			304,204 95			7,875 00	2 60
					303,350 59	302,877 00	702 22 LT	2,843 74	
							(1,175 81) ST		
Int Semi-Annually Feb/Aug 21, Yield to Maturity 2 306%, Moody BAA1 S&P BBB+, Issued 02/21/15, Asset Class FI & Pref									
CVS HEALTH CORP	1/5/16	200,000 000	100.836	101 461	201,672 00				
Coupon Rate 2 800%, Matures 07/20/2020, CUSIP 126650CJ7			100 663		201,326 61	202,922 00	1,595 39 ST		
	3/16/16	100,000 000	103 373	101 461	103,373 00				
			102 788		102,787 73	101,461 00	(1,326 73) ST		
	Total	300,000 000			305,045 00			8,400 00	2 75
					304,114 34	304,383 00	268 66 ST	3,756 66	
Int Semi-Annually Jan/Jul 20, Callable $100 00 on 06/20/20, Yield to Call 2 359%, Moody BAA1 S&P BBB+, Issued 07/20/15, Asset Class FI & Pref									
RYDER SYSTEM INC	12/8/15	250,000 000	99 450	101 087	248,625 00			7,188 00	2 84
Coupon Rate 2 875%, Matures 09/01/2020, CUSIP 78355HKA6			99 450		248,625 00	252,717 50	4,092 50 LT	2,395 83	
Int Semi-Annually Mar/Sep 01, Callable $100 00 on 08/01/20, Yield to Call 2 555%, Moody BAA1 S&P BBB+, Issued 08/24/15; Asset Class FI & Pref									
AMERICAN EXPRESS CREDIT	12/15/15	150,000 000	100 025	100 836	150,037 50				
Coupon Rate 2 600%, Matures 09/14/2020, CUSIP 0258M0DX4			100 020		150,029 68	151,254 00	1,224 32 LT		
	3/16/16	150,000 000	101 460	100 836	152,190 00				
			101 217		151,825 09	151,254 00	(571 09) ST		
	Total	300,000 000			302,227 50			7,800 00	2 57
					301,854 77	302,508 00	1,224 32 LT	2,318 33	
							(571 09) ST		
Int Semi-Annually Mar/Sep 14, Callable $100 00 on 08/14/20, Yield to Call 2 357%, Moody A2 S&P A-, Issued 09/14/15, Asset Class FI & Pref									
EBAY INC	1/13/16	200,000 000	102 464	102 351	204,928 00			6,500 00	3 17
Coupon Rate 3 250%, Matures 10/15/2020, CUSIP 278642AC7			101 994		203,988 03	204,702 00	713 97 ST	1,372 22	
Int Semi-Annually Apr/Oct 15, Callable $100 00 on 07/15/20, Yield to Call 2 551%, Moody BAA1 S&P BBB+, Issued 10/28/10, Asset Class. FI & Pref									

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
DOW CHEMICAL CO/THE	1/7/16	200,000 000	105 514	105 908	211,028 00			8,500 00	4 01
Coupon Rate 4 250%, Matures 11/15/2020, CUSIP 260543CC5			104 472		208,943 08	211,816 00	2,872 92 ST	1,086 11	
Int Semi-Annually May/Nov 15, Callable $100 00 on 08/15/20, Yield to Call 2 532%, Moody BAA2 S&P BBB (*), Issued 11/09/10, Asset Class FI & Pref									
ROPER TECHNOLOGIES INC	12/4/15	150,000 000	100 174	101 301	150,261 00				
Coupon Rate 3 000%, Matures 12/15/2020, CUSIP 776743AA4			100 139		150,208 95	151,951 50	1,742 55 LT		
	3/16/16	150,000 000	100 926	101 301	151,389 00				
			100 782		151,172 80	151,951 50	778 70 ST		
	Total	300,000 000			301,650 00			9,000 00	2 96
					301,381 75	303,903 00	1,742 55 LT 778 70 ST	399 99	
Int Semi-Annually Jun/Dec 15, Callable $100 00 on 11/15/20, Yield to Call 2 644%, Moody BAA3 S&P BBB, Issued 12/07/15, Asset Class FI & Pref									
SANTANDER UK GROUP HLDGS	1/5/16	200,000 000	99 899	99 766	199,798 00			6,250 00	3 13
Coupon Rate 3 125%, Matures 01/08/2021, CUSIP 80281LAD7			99 899		199,798 00	199,532 00	(266 00) ST	3,003 47	
Int Semi-Annually Jan/Jul 08, Yield to Maturity 3 187%, Moody BAA1 S&P BBB, Issued 01/08/16, Asset Class FI & Pref									
ANHEUSER-BUSCH INBEV FIN	1/13/16	200,000 000	99 687	100 575	199,374 00			5,300 00	2 63
Coupon Rate 2 650%, Matures 02/01/2021, CUSIP 035242AJ5			99 687		199,374 00	201,150 00	1,776 00 ST	2,208 33	
Int Semi-Annually Feb/Aug 01, Callable $100 00 on 01/01/21, Yield to Call 2 498%, Moody A3 S&P A-, Issued 01/25/16, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LLC	12/3/15	200,000 000	111 513	109 658	223,026 00			11,500 00	5 24
Coupon Rate 5 750%, Matures 02/01/2021, CUSIP 345397VR1			109 287		218,574 16	219,316 00	741 84 LT	4,791 66	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 3 207%, Moody BAA2 S&P BBB, Issued 02/07/11, Asset Class FI & Pref									
REGIONS FINANCIAL CORP	2/3/16	170,000 000	99 895	101 415	169,821 50			5,440 00	3 15
Coupon Rate 3 200%, Matures 02/08/2021, CUSIP 7591EPAK6			99 895		169,821 50	172,405 50	2,584 00 ST	2,160 88	
Int Semi-Annually Feb/Aug 08, Callable $100 00 on 01/08/21, Yield to Call 2 825%, Moody BAA2 S&P BBB, Issued 02/08/16, Asset Class FI & Pref									
AMERICAN INTL GROUP	3/17/16	300,000 000	101 555	102 428	304,665 00			9,900 00	3 22
Coupon Rate 3 300%, Matures 03/01/2021, CUSIP 026874DG9			101 325		303,976 40	307,284 00	3,307 60 ST	3,299 99	
Int Semi-Annually Mar/Sep 01, Callable $100 00 on 02/01/21, Yield to Call 2 668%, Moody BAA1 S&P A-, Issued 02/26/16, Asset Class FI & Pref									
WELLS FARGO & COMPANY	2/26/16	300,000 000	99 977	99 259	299,931 00			7,500 00	2 51
Coupon Rate 2 500%, Matures 03/04/2021, CUSIP 949746RS2			99 977		299,931 00	297,777 00	(2,154 00) ST	2,437 50	
Int Semi-Annually Mar/Sep 04, Yield to Maturity 2 688%, Moody A2 S&P A, Issued 03/04/16, Asset Class FI & Pref									
BEST BUY CO INC	12/3/15	200,000 000	104 375	109 000	208,750 00			11,000 00	5 04
Coupon Rate 5 500%, Matures 03/15/2021, CUSIP 086516AL5			103 571		207,142 92	218,000 00	10,857 08 LT	3,238 88	
Int Semi-Annually Mar/Sep 15, Callable $100 00 on 12/15/20, Yield to Call 3 066%, Moody BAA1 S&P BBB-, Issued 03/11/11, Asset Class FI & Pref									
MASCO CORP SR GLBL	3/17/16	300,000 000	100 773	100 250	302,319 00			10,500 00	3 49
Coupon Rate 3 500%, Matures 04/01/2021, CUSIP 574599BK1			100 662		301,985 60	300,750 00	(1,235 60) ST	2,625 00	
Int Semi-Annually Apr/Oct 01, Callable $100 00 on 03/01/21, Yield to Call 3 434%, Moody BA2 S&P BBB, Issued 03/17/16, Asset Class FI & Pref									
AUTOZONE INC	12/4/15	150,000 000	98 156	98 876	147,234 00			3,750 00	2 52
Coupon Rate 2 500%, Matures 04/15/2021, CUSIP 053332AS1			98 156		147,234 00	148,314 00	1,080 00 LT	791 66	

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int Semi-Annually Apr/Oct 15, Callable $100 00 on 03/15/21, Yield to Maturity 2 779%, Moody BAA1 S&P BBB, Issued 04/29/15, Asset Class FI & Pref									
BANK OF AMERICA CORP	12/3/15	200,000 000	110 315	108 904	220,630 00			10,000 00	4 59
Coupon Rate 5 000%, Matures 05/13/2021, CUSIP 06051GEH8			110 315		220,630 00	217,808 00	(2,822 00) LT	1,333 33	
Int Semi-Annually May/Nov 13, Yield to Maturity 2 818%, Moody BAA1 S&P BBB+, Issued 05/13/11, Asset Class FI & Pref									
VULCAN MATERIALS	10/11/16	200,000 000	121 900	117 750	243,800 00			15,000 00	6 36
Coupon Rate 7 500%, Matures 06/15/2021, CUSIP 929160AR0			120 947		241,894 73	235,500 00	(6,394 73) ST	666 66	
Int Semi-Annually Jun/Dec 15, Yield to Maturity 3 194%; Moody BA1 S&P BBB, Issued 06/14/11, Asset Class FI & Pref									
TORONTO-DOMINION BANK	7/7/16	300,000 000	99 895	96 837	299,685 00			5,400 00	1 85
Coupon Rate 1 800%, Matures 07/13/2021, CUSIP 89114QBL1			99 895		299,685 00	290,511 00	(9,174 00) ST	2,520 00	
Int Semi-Annually Jan/Jul 13; Yield to Maturity 2 543%, First Coupon 01/13/17, Moody AA1 S&P AA-, Issued 07/13/16, Asset Class FI & Pref									
CAPITAL ONE FINANCIAL CO	12/3/15	200,000 000	108 674	108 158	217,348 00			9,500 00	4 39
Coupon Rate 4 750%, Matures 07/15/2021, CUSIP 14040HAY1			107 137		214,274 14	216,316 00	2,041 86 LT	4,380 55	
Int Semi-Annually Jan/Jul 15, Yield to Maturity 2 822%, Moody BAA1 S&P BBB, Issued 07/19/11, Asset Class FI & Pref									
CITIGROUP INC	10/11/16	255,000 000	100 204	97 820	255,520 20			5,993 00	2 40
Coupon Rate 2 350%, Matures 08/02/2021, CUSIP 172967KV2			100 195		255,498 15	249,441 00	(6,057 15) ST	2,480 22	
Int Semi-Annually Feb/Aug 02, Yield to Maturity 2 860%, First Coupon 02/02/17, Moody BAA1 S&P BBB+, Issued 08/02/16, Asset Class FI & Pref									
SYNCHRONY FINANCIAL	12/3/15	200,000 000	100 450	102 782	200,900 00				
Coupon Rate 3 750%, Matures 08/15/2021, CUSIP 87165BAC7			100 373		200,745 17	205,564 00	4,818 83 LT		
	3/16/16	100,000 000	102 792	102 782	102,792 00				
			102 418		102,418 00	102,782 00	364 00 ST		
	Total	300,000 000			303,692 00			11,250 00	3 64
					303,163 17	308,346 00	4,818 83 LT	4,250 00	
							364 00 ST		
Int Semi-Annually Feb/Aug 15, Callable $100 00 on 06/15/21, Yield to Call 3 077%, S&P BBB-, Issued 08/11/14, Asset Class FI & Pref									
ORACLE CORP	7/14/16	230,000 000	100 574	97 719	231,320 20			4,370 00	1 94
Coupon Rate 1 900%, Matures 09/15/2021, CUSIP 68389XBK0			100 526		231,209 65	224,753 70	(6,455 95) ST	2,112 16	
Int Semi-Annually Mar/Sep 15, Callable $100 00 on 08/15/21, Yield to Maturity 2 415%, First Coupon 03/15/17, Moody A1 S&P AA-, Issued 07/07/16, Asset Class FI & Pref									
AERCAP IRELAND CAP LTD	5/17/16	300,000 000	99 813	100 875	299,439 00			11,850 00	3 91
Coupon Rate 3 950%, Matures 02/01/2022, CUSIP 00772BAR2			99 813		299,439 00	302,625 00	3,186 00 ST	4,937 50	
Int Semi-Annually Feb/Aug 01, Callable $100 00 on 01/01/22, Yield to Call 3 756%, Moody BA1 (+) S&P BBB-, Issued 05/23/16, Asset Class FI & Pref									
GENERAL MOTORS FINL CO	12/3/15	200,000 000	96 418	98 894	192,836 00				
Coupon Rate 3 450%, Matures 04/10/2022, CUSIP 37045XAW6			96 418		192,836 00	197,788 00	4,952 00 LT		
	3/16/16	100,000 000	97 031	98 894	97,031 00				
			97 031		97,031 00	98,894 00	1,863 00 ST		
	Total	300,000 000			289,867 00			10,350 00	3 48
					289,867 00	296,682 00	4,952 00 LT	2,328 75	
							1,863 00 ST		

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
Int Semi-Annually Apr/Oct 10, Callable $100 00 on 02/10/22, Yield to Maturity 3 682%, Moody BA1 S&P BBB-, Issued 04/10/15, Asset Class FI & Pref									
ECOLAB INC	1/11/16	200,000 000	99 950	101 826	199,900 00			6,500 00	3 19
Coupon Rate 3 250%, Matures 01/14/2023, CUSIP 278865AU4			99 950		199,900 00	203,652 00	3,752 00 ST	3,015 27	
Int Semi-Annually Jan/Jul 14, Callable $100 00 on 11/14/22, Yield to Call 2 909%, Moody BAA1 S&P A-, Issued 01/14/16, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		6,975,000 000	$7,116,746 55			$240,591 00	3 37%
			$7,097,705 70	$7,136,904 90	$29,431 20 LT	$75,115 93	
					$9,768 00 ST		
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	93 62%			**$7,212,020.83**			

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
TOTAL MARKET VALUE		$7,097,705 70	$7,628,393 61	$29,431 20 LT	$240,641 00	3 12%
				$9,768 00 ST	$75,115 93	
TOTAL VALUE (includes accrued interest)	100 00%		$7,703,509 54			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included

ALLOCATION OF ASSETS (^ includes accrued interest)

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	$491,488 71	—	—	—	—	—	—
Corporate Fixed Income ^	—	—	$7,212,020 83	—	—	—	—
TOTAL ALLOCATION OF ASSETS ^	$491,488 71	—	$7,212,020 83	—	—	—	—

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
MERCK & CO INC	2/5/15	150,000 000	$100 000	$100 022	$150,000 00			—	—
Coupon Rate 1 007%, Matures 02/10/2017, CUSIP 58933YAN5			$100 000		$150,000 00	$150,033 00	$33 00 LT	$209 85	
Interest Paid Quarterly May 11, Yield to Maturity 801%, Floater, Moody A1 S&P AA, Issued 02/10/15, Asset Class FI & Pref									
AT&T INC	8/23/16	267,000 000	100 346	100 027	267,923 82			2,136 00	0 79
Coupon Rate 1 600%, Matures 02/15/2017, CUSIP 00206RBC5			100 090		267,240 52	267,072 09	(168 43) ST	1,613 86	
Int Semi-Annually Feb/Aug 15, Yield to Maturity 1 371%, Moody BAA1 (-) S&P BBB+ (-), Issued 02/13/12, Asset Class FI & Pref									
MEDTRONIC INC	2/20/14	125,000 000	99 897	99 943	124,871 25				
Coupon Rate 0 875%, Matures 02/27/2017, CUSIP 585055BB1			99 897		124,871 25	124,928 75	57 50 LT		
	12/3/14	25,000 000	99 468	99 943	24,867 00				
			99 468		24,867 00	24,985 75	118 75 LT		
	12/24/14	50,000 000	99 700	99 943	49,850 00				
			99 700		49,850 00	49,971 50	121 50 LT		
	Total	200,000 000			199,588 25			875 00	0 43
					199,588 25	199,886 00	297 75 LT	602 77	
Int Semi-Annually Feb/Aug 27, Yield to Maturity 1 238%, Moody A3 S&P A, Issued 02/27/14, Asset Class FI & Pref									
SANTANDER UK PLC	3/13/14	37,000 000	99 983	99 980	36,993 71				
Coupon Rate 1 375%, Matures 03/13/2017, CUSIP 80283LAB9			99 983		36,993 71	36,992 60	(1 11) LT		
	3/13/14	38,000 000	99 991	99 980	37,996 58				
			99 991		37,996 58	37,992 40	(4 18) LT		
	3/13/14	124,000 000	99 991	99 980	123,988 84				
			99 991		123,988 84	123,975 20	(13 64) LT		
	3/13/14	126,000 000	99 983	99 980	125,978.58				
			99 983		125,978 58	125,974 80	(3 78) LT		
	11/17/14	25,000 000	100 097	99 980	25,024 25				
			100 008		25,002 12	24,995 00	(7 12) LT		
	12/24/14	50,000 000	99 867	99 980	49,933 50				
			99 867		49,933 50	49,990 00	56 50 LT		
	Total	400,000 000			399,915 46			2,750 00	0 68
					399,893 33	399,920 00	26 67 LT	1,650 00	
Int. Semi-Annually Mar/Sep 13, Yield to Maturity 1 469%, Moody AA3 S&P A, Issued 03/13/14, Asset Class FI & Pref									
FORD MOTOR CREDIT CO LLC	11/4/15	350,000 000	99 322	100 016	347,627 00			2,557 00	0 73
Coupon Rate 1 461%, Matures 03/27/2017, CUSIP 345397XD0			99 322		347,627 00	350,056 00	2,429 00 LT	1,335 19	
Int Semi-Annually Mar/Sep 27, Yield to Maturity 1 389%, Moody BAA2 S&P BBB, Issued 03/27/15, Asset Class FI & Pref									
PROVINCE OF MANITOBA	6/13/16	300,000 000	100 444	100 043	301,332 00			1,950 00	0 64
Coupon Rate 1 300%, Matures 04/03/2017, CUSIP 563469TU9			100 142		300,427 33	300,129 00	(298 33) ST	953 33	

DRAPER RICHARDS KAPLAN FOUNDATION
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Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
Int Semi-Annually Apr/Oct 03, Yield to Maturity 1 128%, Moody AA2 S&P AA-, Issued 03/07/12, Asset Class FI & Pref									
WAL-MART STORES INC	5/5/16	200,000 000	104 259	101 096	208,518 00			5,375 00	2 65
Coupon Rate 5 375%, Matures 04/05/2017, CUSIP 931142CG6			101 233		202,466 48	202,192 00	(274 48) ST	2,568 05	
Int Semi-Annually Apr/Oct 05, Yield to Maturity 1 150%, Moody AA2 S&P AA, Issued 04/05/07, Asset Class FI & Pref									
TORONTO-DOMINION BANK	4/28/14	200,000 000	100 000	100 038	200,000 00			1,124 00	0 56
Coupon Rate 1 124%, Matures 05/02/2017, CUSIP 89114QAR9			100 000		200,000 00	200,076 00	76 00 LT	368 48	
Interest Paid Quarterly Aug 02, Yield to Maturity 1 009%, Floater, Moody AA1 S&P AA-, Issued 05/02/14, Asset Class FI & Pref									
APPLE INC	4/29/14	150,000 000	100 000	100 032	150,000 00			357 00	0 23
Coupon Rate 0 950%, Matures 05/05/2017; CUSIP 037833AN0			100 000		150,000 00	150,048 00	48 00 LT	217 91	
Interest Paid Quarterly Aug 06, Yield to Maturity 856%, Floater, Moody AA1 S&P AA+, Issued 05/06/14, Asset Class FI & Pref									
GLAXOSMITHKLINE CAPITAL	9/22/16	250,000 000	100 331	100 148	250,827 50			1,875 00	0 74
Coupon Rate 1 500%, Matures 05/08/2017, CUSIP 377373AC9			100 190		250,475 63	250,370 00	(105 63) ST	552 08	
Int Semi-Annually May/Nov 08; Yield to Maturity 1 077%, Moody A2 S&P A+, Issued 05/09/12, Asset Class FI & Pref									
NEXEN INC	1/22/15	130,000 000	107 737	101 649	140,058 10			3,673 00	2 77
Coupon Rate 5 650%, Matures 05/15/2017, CUSIP 65334HAF9			101 276		131,659 27	132,143 70	484 43 LT	938 52	
Int Semi-Annually May/Nov 15, Yield to Maturity 1 192%, Moody AA3 S&P A+, Issued 05/04/07, Asset Class FI & Pref									
US BANCORP	8/25/16	150,000 000	100 403	100 143	150,604 50			1,238 00	0 82
Coupon Rate 1 650%, Matures 05/15/2017, CUSIP 91159HHD5			100 212		150,317 87	150,214 50	(103 37) ST	316 25	
Int Semi-Annually May/Nov 15, Callable $100 00 on 04/15/17, Yield to Call 1 149%, Moody A1 S&P A+, Issued 05/08/12, Asset Class FI & Pref									
NEXTERA ENERGY CAPITAL HOLDINGS, INC	5/4/15	250,000 000	100 365	100 059	250,912 50			1,983 00	0 79
Coupon Rate 1 586%, Matures 06/01/2017, CUSIP 65339KAB6			102 910		257,274 33	250,147 50	(7,126 83) LT	330 41	
Int Semi-Annually Jun/Dec 01, Yield to Maturity 1 442%, Moody BAA1 S&P BBB+, Issued 05/07/15, Asset Class FI & Pref									
UNITED TECHNOLOGIES CORP	5/25/16	375,000 000	100 784	100 261	377,940 00			3,375 00	0 89
Coupon Rate 1 800%, Matures 06/01/2017, CUSIP 913017BU2			100 327		376,228 09	375,978 75	(249 34) ST	562 49	
Int Semi-Annually Jun/Dec 01; Yield to Maturity 1 169%, Moody A3 S&P A-, Issued 06/01/12, Asset Class FI & Pref									
AMERICAN EXPRESS CREDIT	3/19/15	200,000 000	99 916	100 078	199,832 00			606 00	0 30
Coupon Rate 1 211%, Matures 06/05/2017, CUSIP 0258M0DN6			99 916		199,832 00	200,156 00	324 00 LT	161 54	
Interest Paid Quarterly Sep 07, Yield to Maturity 1 027%, Floater, Moody A2 S&P A-, Issued 06/05/14, Asset Class FI & Pref									
BANK OF AMERICA CORP	5/29/15	250,000 000	100 000	100 109	250,000 00			870 00	0 34
Coupon Rate 1 391%, Matures 06/05/2017, CUSIP 06050TMB5			100 000		250,000 00	250,272 50	272 50 LT	222 26	
Interest Paid Quarterly Sep 08, Yield to Maturity 1 134%, Floater; Moody A1 S&P A+, Issued 06/05/15, Asset Class FI & Pref									
UNITEDHEALTH GROUP INC	5/5/16	103,000 000	105 585	102 059	108,752 55				
Coupon Rate 6 000%, Matures 06/15/2017, CUSIP 91324PAW2			102 325		105,394 26	105,120 77	(273 49) ST		
	12/28/16	30,000 000	102 157	102 059	30,647 10				
			102 157		30,647 10	30,617 70	(29 40) ST		
	Total	133,000 000			139,399 65			3,990 00	2 93
					136,041 36	135,738 47	(302 89) ST	354 66	

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Int Semi-Annually Jun/Dec 15, Yield to Maturity 1 447%, Moody A3 S&P A+, Issued 12/15/07, Asset Class FI & Pref									
PEPSICO INC	7/14/15	200,000 000	99 968	99 978	199,936 00			2,250 00	1 12
Coupon Rate 1 125%, Matures 07/17/2017, CUSIP 713448CW6			99 968		199,936 00	199,956 00	20 00 LT	1,025 00	
Int Semi-Annually Jan/Jul 17, Yield to Maturity 1 165%, Moody A1 S&P A, Issued 07/17/15, Asset Class FI & Pref									
CATERPILLAR FINANCIAL SE	8/24/16	185,000 000	100 239	100 032	185,442 15			2,313 00	1 24
Coupon Rate 1 250%, Matures 08/18/2017, CUSIP 14912L6D8			100 156		185,287 96	185,059 20	(228 76) ST	854 34	
Int Semi-Annually Feb/Aug 18, Yield to Maturity 1 198%, Moody A3 S&P A, Issued 08/20/14, Asset Class FI & Pref									
AMERICAN EXPRESS CREDIT	12/22/16	100,000 000	100 082	100 058	100,082 00			972 00	0 97
Coupon Rate 1 296%, Matures 09/22/2017, CUSIP 0258M0DS5			100 081		100,080 76	100,058 00	(22 76) ST	32 40	
Interest Paid Quarterly Dec 22, Yield to Maturity 1 215%, Floater, Moody A2 S&P A-, Issued 09/23/14, Asset Class FI & Pref									
SANTANDER UK PLC	2/4/15	75,000 000	99 690	99 825	74,767 50			791 00	1 05
Coupon Rate 1 407%, Matures 09/29/2017, CUSIP 80283LAE3			99 690		74,767 50	74,868 75	101 25 LT	5 86	
Interest Paid Quarterly Dec 29, Yield to Maturity 1 644%, Floater, Moody AA3 S&P A, Issued 09/29/14, Asset Class FI & Pref									
AFRICAN DEVELOPMENT BANK	5/18/16	375,000 000	99 798	99 635	374,242 50			2,813 00	0 75
Coupon Rate 0 750%, Matures 11/03/2017, CUSIP 00828EBH1			99 798		374,242 50	373,631 25	(611 25) ST	453 12	
Int Semi-Annually May/Nov 03, Yield to Maturity 1 188%, Moody AAA S&P AAA, Issued 11/03/15, Asset Class FI & Pref									
CARNIVAL CORP	12/30/16	200,000 000	100 414	100 401	200,828 00			3,750 00	1 86
Coupon Rate 1 875%, Matures 12/15/2017, CUSIP 143658AY8			100 414		200,828 00	200,802 00	(26 00) ST	166 66	
Int Semi-Annually Jun/Dec 15, Yield to Maturity 1 451%, Moody A3 S&P A-, Issued 12/06/12, Asset Class FI & Pref									
BERKSHIRE HATHAWAY FIN	1/13/15	150,000 000	100 000	100 260	150,000 00			1,761 00	1 17
Coupon Rate 1 173%, Matures 01/12/2018, CUSIP 084664CD1			100 000		150,000 00	150,390 00	390 00 LT	381 48	
Interest Paid Quarterly Apr 13, Yield to Maturity 919%, Floater, Moody AA2 S&P AA, Issued 01/15/15, Asset Class. FI & Pref									
GLAXOSMITHKLINE CAP INC	5/17/16	175,000 000	108 720	105 401	190,260 00			9,888 00	5 36
Coupon Rate 5 650%, Matures 05/15/2018, CUSIP 377372AD9			106 045		185,579 37	184,451 75	(1,127 62) ST	1,263 40	
Int Semi-Annually May/Nov 15, Yield to Maturity 1 651%, Moody A2 S&P A+, Issued 05/13/08, Asset Class FI & Pref									
PEPSICO INC	5/10/16	200,000 000	108 092	105 018	216,184 00			10,000 00	4 76
Coupon Rate 5 000%, Matures 06/01/2018, CUSIP 713448BH0			105 609		211,217 45	210,036 00	(1,181 45) ST	833 33	
Int Semi-Annually Jun/Dec 01, Yield to Maturity 1 409%, Moody A1 S&P A, Issued 05/28/08, Asset Class FI & Pref									
PFIZER INC	5/31/16	200,000 000	99 927	99 738	199,854 00			2,400 00	1.20
Coupon Rate 1 200%, Matures 06/01/2018, CUSIP 717081DW0			99 927		199,854 00	199,476 00	(378 00) ST	199 99	
Int Semi-Annually Jun/Dec 01, Yield to Maturity 1 387%, Moody A1 S&P AA, Issued 06/03/16, Asset Class FI & Pref									
CARDINAL HEALTH INC	5/18/16	250,000 000	100 814	100 217	252,035 00			4,875 00	1 94
Coupon Rate 1 950%, Matures 06/15/2018, CUSIP 14149YBC1			100 577		251,443 51	250,542 50	(901 01) ST	216 66	
Int Semi-Annually Jun/Dec 15, Yield to Maturity 1 798%, Moody BAA2 S&P A-, Issued 06/23/15, Asset Class FI & Pref									
BEST BUY CO INC	11/30/15	251,000 000	104 375	104 589	261,981 25			12,550 00	4 78
Coupon Rate 5 000%, Matures 08/01/2018, CUSIP 086516AM3			102 647		257,643 21	262,518 39	4,875.18 LT	5,229 16	
Int Semi-Annually Feb/Aug 01, Yield to Maturity 2 039%, Moody BAA1 S&P BBB-, Issued 07/16/13, Asset Class FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-217235.1
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
WELLS FARGO & COMPANY	5/6/16	200,000 000	102 134	100 324	204,268 00			4,250 00	2 11
Coupon Rate 2 125%, Matures 04/22/2019, CUSIP 94974BFU9			101 678		203,356 70	200,648 00	(2,708 70) ST	814 58	
Int Semi-Annually Apr/Oct 22, Yield to Maturity 1 980%, Moody A2 S&P A, Issued 04/22/14, Asset Class FI & Pref									
CITIGROUP INC	6/3/16	300,000 000	99 948	99 623	299,844 00			6,150 00	2 05
Coupon Rate 2 050%, Matures 06/07/2019, CUSIP 172967KS9			99 948		299,844 00	298,869 00	(975 00) ST	410 00	
Int Semi-Annually Jun/Dec 07, Yield to Maturity 2 210%, Moody BAA1 S&P BBB+, Issued 06/09/16, Asset Class FI & Pref									

	Percentage of Holdings	Face Value	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		6,816,000 000	$6,894,203 18			$99,497 00	1 45%
			$6,863,152 42	$6,855,740 35	$2,250 95 LT	$24,843 63	
					$(9,663 02) ST		
TOTAL CORPORATE FIXED INCOME	83 94%			**$6,880,583.98**			
(includes accrued interest)							

Watchlist and CreditWatch Indicators (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost / Adj Unit Cost	Unit Price	Orig Total Cost / Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income / Accrued Interest	Current Yield %
UNITED STATES TREASURY BILL	12/28/16	150,000 000	$99 966	$99 973	$149,948 70			—	—
Zero Coupon, Matures 01/26/2017, CUSIP 912796KE8			$99 966		$149,948 70	$149,959 50	$10 80 ST		
Issued 07/28/16, Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	11/6/15	500,000 000	99 699	99 910	498,496 00			3,750 00	0 75
Coupon Rate 0 750%, Matures 10/31/2017, CUSIP 912828TW0			99 699		498,496 00	499,550 00	1,054 00 LT	638 73	
Int Semi-Annually Apr/Oct 30, Yield to Maturity 859%, Moody AAA, Issued 10/31/12, Asset Class FI & Pref									
UNITED STATES TREASURY NOTE	11/15/16	300,000.000	99 902	99 836	299,706 90			2,250 00	0 75
Coupon Rate 0 750%, Matures 12/31/2017, CUSIP 912828UE8			99 902		299,706 90	299,508 00	(198 90) ST	6 18	
Int Semi-Annually Jun/Dec 30, Yield to Maturity 915%, Moody AAA, Issued 12/31/12, Asset Class FI & Pref									
TREASURY SECURITIES		950,000 000			$948,151 60			$6,000 00	0 63%
					$948,151 60	$949,017 50	$1,054 00 LT	$644 91	
							$(188 10) ST		