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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2016

Open to Public Inspection

Department of the Treasury
Internal Revenue Service► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

PEI FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

5921 Spring Ranch Parkway

City or town, state or province, country, and ZIP or foreign postal code

Las Vegas NV 89118

G Check all that apply Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeH Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at J Accounting method Cash Accrual
end of year (from Part II, col (c), Other (specify)
line 16) ► \$ 1,054,965 (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	68,862			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	22,204	22,204		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	46,911			
	b Gross sales price for all assets on line 6a	422,943			
	7 Capital gain net income (from Part IV, line 2)		46,911		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	137,977	69,115	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	2,964			2,964
	b Accounting fees (attach schedule)	4,780			4,780
	c Other professional fees (attach schedule)	8,155	8,155		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,051	51		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	19,943	4,971		14,972
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	36,893	13,177	0	22,716
	25 Contributions, gifts, grants paid	9,500			9,500
	26 Total expenses and disbursements. Add lines 24 and 25	46,393	13,177	0	32,216
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	91,584			
	b Net investment income (if negative, enter -0-)		55,938		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	126,739	159,883	159,883
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	220,331	241,463	383,125
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	493,704	462,388	511,957
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	840,774	863,734	1,054,965
Net Assets or Fund Balances	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)	0	0	
	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	840,774	863,734	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	840,774	863,734	
	31	Total liabilities and net assets/fund balances (see instructions)	840,774	863,734	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	840,774
2	Enter amount from Part I, line 27a	2	91,584
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	932,358
5	Decreases not included in line 2 (itemize) ► appreciation of donated securities	5	68,624
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	863,734

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brck warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See schedules attached			p		
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 422,943		376,032	46,911		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	46,911	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	25,090	896,480	0.0280
2014	41,774	798,548	0.0523
2013	67,834	687,271	0.0987
2012	65,703	543,308	0.1209
2011	72,055	474,722	0.1518
2 Total of line 1, column (d)			2 0.4517
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .09034
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 974,347
5 Multiply line 4 by line 3			5 88,023
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 559
7 Add lines 5 and 6			7 88,582
8 Enter qualifying distributions from Part XII, line 4			8 32,216
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	1	1,119
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,119
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,119
6	Credits/Payments:		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,064
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,064
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	55
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	0
11	Enter the amount of line 10 to be: Credited to 2017 estimated tax ► Refunded ►	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	X	
1c	Did the foundation file Form 1120-POL for this year?	X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers ► \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	X	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	X	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► California		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X	

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	X
14	The books are in care of ► Kevin Pei Located at ► 5921 Spring Ranch Parkway, Las Vegas NV	Telephone no ► 650-823-6972 ZIP+4 ► 89118	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here, and enter the amount of tax-exempt interest received or accrued during the year ► 15		► □
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► □		
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
2b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		
3a	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3b	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
4a	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)		
4b	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b	
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	X
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Kevin Pei, 5921 Spring Ranch Parkway, Las Vegas NV 89118	Pres, Dir 3 hrs.	0	0	9,942
Melinda Pei same address	Secy,CFO,Dir 3 hrs.	0	0	9,941

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000				►

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1	<hr/> <hr/>	
2	<hr/> <hr/>	
3	<hr/> <hr/>	
4	<hr/> <hr/>	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	_____	_____
	_____	_____
2	_____	_____
	_____	_____
All other program-related investments See instructions		
3	_____	_____
	_____	_____
Total. Add lines 1 through 3		►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	855,817
b	Average of monthly cash balances	1b	133,368
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	989,185
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	989,185
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,838
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	974,347
6	Minimum investment return. Enter 5% of line 5	6	48,717

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1	48,717
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,119
b	Income tax for 2016. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,119
3	Distributable amount before adjustments Subtract line 2c from line 1	3	47,598
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	47,598
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	47,598

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	32,216
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required)	3b	
b	Cash distribution test (attach the required schedule)	4	32,216
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	5	
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	6	32,216
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				47,598
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only				
b Total for prior years: 20 ____ ,20 ____ ,20 ____				
3 Excess distributions carryover, if any, to 2016:				
a From 2011	72,055			
b From 2012	65,703			
c From 2013	67,834			
d From 2014	41,774			
e From 2015	25,090			
f Total of lines 3a through e	272,456			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 32,216				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus	32,216			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	47,598			47,598
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	257,074			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0	
d Subtract line 6c from line 6b. Taxable amount—see instructions			0	
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions				0
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	24,457			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	232,617			
10 Analysis of line 9				
a Excess from 2012	65,703			
b Excess from 2013	67,834			
c Excess from 2014	41,774			
d Excess from 2015	25,090			
e Excess from 2016	32,216			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►				
b	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				0
c	Qualifying distributions from Part XII, line 4 for each year listed				0
d	Amounts included in line 2c not used directly for active conduct of exempt activities				0
e	Qualifying distributions made directly for active conduct of exempt activities				0
	Subtract line 2d from line 2c				0
3	Complete 3a, b, or c for the alternative test relied upon				0
a	"Assets" alternative test—enter				
(1)	Value of all assets				0
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b	"Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed				0
c	"Support" alternative test—enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)				0
(3)	Largest amount of support from an exempt organization				0
(4)	Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Kevin Pei and Melinda Pei

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Rice University Houston TX		501c3	general fund	7,000
National Multiple Sclerosis Society New York NY		501c3	general fund	2,500
Total				► 3a 9,500
b Approved for future payment				
none				
Total				► 3b 0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions)		
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		69,115	0
13	Total. Add line 12, columns (b), (d), and (e)				13	69,115

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

13/6/17

President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Ronald G. Coleman		1/27/17		P01492463
	Firm's name ► Ronald G. Coleman, PC	Firm's EIN ► 77-0331678			
Firm's address ► 96 N. Third St., Suite 500, San Jose CA 95112	Phone no 408-580-6401				

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2016

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

PEI FAMILY FOUNDATION

Employer identification number

91-2163497

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

PEI FAMILY FOUNDATION

Employer identification number

91-2163497

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Kevin Pei and Melinda Pei 5921 Spring Ranch Parkway Las Vegas NV 89118	\$ 68,862	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

PEI FAMILY FOUNDATION

Employer identification number

91-2163497

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1700 sh. ORCL common stock	\$ 68,862	8/11, 12/8
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received

Pei Charitable Foundation
5921 Spring Ranch Parkway
Las Vegas, Nevada 89118
91-2163497
12/31/2016

Part I. Revenue and Expense

Expenses:

Legal fees: annual meeting, minutes, resolutions	2,964
Accounting fees: annual tax return prep, annual meetings	4,780
Asset management fees	8,155
Excise tax paid	1,000
Foreign income tax	<u>51</u>
	1,051
 Other expenses:	
Medical expense reimbursements	19,883
Filing fees	<u>60</u>
	19,943

Part II. Balance Sheet

See schedules attached

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3904-1205

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
APPLE INC AAPL		385 98	53.25 51.9	20,502.90 5,076.26		44,590.70 11,350.36	24,087.80 6,274.10		
Acquired 08/05/11 L Acquired 08/18/11 L									
Total	5.30	483	\$52.96	\$25,579.16	115.8200	\$55,941.06	\$30,361.90	\$1,101.24	1.97
CELGENE CORP CELG		1.10 100	73.59	7,359.00	115.7500	11,575.00	4,216.00		
Acquired 04/29/14 L								N/A	N/A
EXXON MOBIL CORP XOM		1.28 150	93.17	13,976.33	90.2600	13,539.00	-437.33	450.00	3.32
GOLDMAN SACHS GROUP INC GS		2.27 100	100.00	10,035.00	239.4500	23,945.00	13,910.00	260.00	1.08
ISHARES NASDAQ ET BIOTECHNOLOGY IBB		1.89 75	295.93	22,195.49	265.3800	19,903.50	-2,291.99	36.97	0.18
Acquired 08/23/16 S									
JPMORGAN CHASE & CO JPM		200 100	66.01 66.01	13,202.60 6,601.50		17,258.00 8,629.00	4,055.40 2,027.50		
Acquired 08/23/16 S Acquired 08/23/16 S									
Total	2.45	300	\$66.01	\$19,804.10	86.2900	\$25,887.00	\$6,082.90	\$576.00	2.23
MAGELLAN MIDSTREAM PRNTNS MMP									
Acquired 06/07/13 L r/c									
ORACLE CORPORATION ORCL		2.51 1,000	51.87 0.14	18,155.55 140.00	75.6300 38,4500	26,470.50 38,310.00	8,314.95 38,310.00	1,172.50	4.42
Acquired 09/28/90 L r/c									
								600.00	1.56

PEI FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3904-1205

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME ANNUAL YIELD (%)	
								ANNUAL INCOME	ANNUAL YIELD (%)
PEPSICO INCORPORATED PEP	0.99	100	65.46	6,546.58	104,630.00	104,630.00	3,916.42	301.00	2.87
POWERSHARES QQQ TR ET SERIES 1									
QQQ	250	62.34	15,586.12		29,620.00	14,033.88			
Acquired 06/06/12 L	200	66.34	13,269.14		23,696.00	10,426.86			
Acquired 08/06/12 L	200	105.34	21,068.00		23,696.00	2,628.00			
Total	7.30	650	\$76.81	\$49,923.26	118,480.00	\$77,012.00	\$27,088.74	\$814.45	1.06
THOMSON REUTERS CORP TRI	0.62	150	34.99	5,249.27	43,780.00	6,567.00	1,317.73	204.00	3.10
VANGUARD INDEX FDS ET S&P 500 ETF SHS NEW VOO									
Acquired 06/26/13 L	82	147.79	12,119.25		16,835.42	4,716.17			
Acquired 06/17/15 L	68	193.51	13,159.35		13,961.08	801.73			
Acquired 01/07/16 S	100	178.36	17,836.53		20,531.00	2,694.47			
Total	4.87	250	\$172.46	\$43,115.13	205,310.00	\$51,327.50	\$8,212.37	\$1,034.50	2.02
WELLS FARGO & CO NEW WFC									
Acquired 08/23/16 S	2.09	400	48.46	19,384.00	55,110.00	22,044.00	2,660.00	608.00	2.75
Total Stocks and ETFs	36.32			\$241,462.87		\$383,124.56	\$141,661.69	\$7,158.66	1.87
Total Stocks, options & ETFs	36.32			\$241,462.87		\$383,124.56	\$141,661.69	\$7,158.66	1.87

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS



PEI FAMILY FOUNDATION

Page 7 of 14

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3904-1205**Mutual Funds**

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ADVISORS INNER CIRCLE EDGEWOOD GROWTH FUND INSTL CLASS EGFIX									
On Reinvestment									
Acquired 12/18/15 L		3,501,40100	21.42	75,000.00		77,766.11	2,766.11		
Acquired 04/07/16 S		1,893,93900	21.12	40,000.00		42,064.39	2,064.39		
Reinvestments S		1,115,29600	22.45	2,569.33		2,560.72	28.61		
Total	11.60	5,510.63600	\$21.34	\$117,569.33	22.2100	\$122,391.22	\$4,801.89	\$22.59	0.02
Client Investment (Excluding Reinvestments)						\$115,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$7,391.22			
FIDELITY ADVISOR ADVISORS MATERIALS CLASS I FMFEX									
On Reinvestment									
Acquired 04/07/16 S		593,64800	67.38	40,000.00		45,093.49	5,093.49		
Reinvestments S		6,17900	76.86	474.92		469.36	5.56		
Total	4.32	599.82700	\$67.48	\$40,474.92	75.960	\$45,562.85	\$5,087.93	\$959.72	2.11
Client Investment (Excluding Reinvestments)						\$40,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$5,562.85			
JOHN HANCOCK FUNDS III DISCIPLINED VALUE MID CAP FD CL I JVMIX									
Acquired 10/11/12 L		2,382,40400	12.82	30,542.42		51,150.21	20,607.79		
Acquired 12/23/13 L		557,41400	17.94	10,000.00		11,967.68	1,967.68		
Acquired 02/04/14 L		1,152,07400	17.36	20,000.00		24,735.03	4,735.03		
Reinvestments L		17,67400	13.09	231.35		379.46	148.11		
Total	8.36	4,109.56600	\$14.79	\$60,773.77	21.4700	\$88,232.38	\$27,458.61	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									

PEI FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3904-1205

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MORGAN STANLEY INSTL FD INC - GLOBAL OPPTY PORT CLASS INSTL MGIX									
On Reinvestment									
Acquired 12/17/15 L		1,788.01300	16.45	29,412.81		27,553.28	-1,859.53		
Acquired 01/07/16 S		1,951.85400	15.37	30,000.00		30,078.06	.78.06		
Reinvestments S		272.24900	14.97	4,076.12		4,195.36	119.24		
Total	5.86	4,012.11600	\$15.82	\$63,488.93	15.4100	\$61,826.70	-\$1,662.23	N/A	N/A
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)						\$59,412.81			
NEUBERGER BERMAN EQUITY FDS REAL ESTATE INSTL CL									
NBRIX									
Acquired 12/05/11 L nc		303.45900	11.60	3,520.12		3,817.51	297.39		
Acquired 08/06/12 L		1,073.72900	13.97	15,000.00		13,507.51	-1,492.49		
Total	1.64	1,377.18800	\$13.45	\$18,520.12	12.5800	\$17,325.02	-\$1,195.10	\$491.65	2.84
WELLS FARGO FDS TR SPECIAL SMALL CAP VALUE INSTL CL									
ESPNX									
On Reinvestment									
Acquired 04/07/16 S		1,644.13600	27.37	45,000.00		55,637.56	10,637.56		
Reinvestments S		41.35000	34.26	1,417.04		1,399.28	-.17.76		
Total	5.41	1,685.48600	\$27.54	\$46,417.04	33.8400	\$57,036.84	\$10,619.80	\$487.10	0.85
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
OPPENHEIMER INTL SMALL MID COMPANY FD CL Y OSMYX									
On Reinvestment									
Acquired 04/07/16 S		920.22900	35.98	33,109.83		33,790.80	680.97		
Reinvestments S		4,00300	36.47	145.99		146.99	1.00		
Total	3.22	924.23200	\$35.98	\$33,255.82	36.7200	\$33,937.79	\$681.97	\$146.95	0.43



PEI FAMILY FOUNDATION

Page 9 of 14

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3904-1205

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED								
								ANNUAL INCOME	ANNUAL YIELD (%)							
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)																
PIMCO FDS INCOME FD INSTL CL PIMIX																
On Reinvestment			4,262.57500 /	11.73	50,000.00	51,406.65	1,406.65									
Acquired 04/07/16 S Reinvestments S			156.11900	11.96	1,868.51	1,882.79	14.28									
Total	5.05	4,418.69400		\$11.74	\$51,868.51	12,0600	\$53,289.44	\$1,420.93	\$2,942.85							
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)																
VOYA MUT FDS GLOBAL REAL ESTATE FD CL-1 IGLIX																
Acquired 10/11/12 L	3.07	1,719.19800	17.45	30,000.00	18.8200	32,355.30	2,355.30		1,045.27							
Total Open End Mutual Funds	48.53			\$462,388.44		\$511,957.54	\$49,569.10	\$6,096.13	3.23							
Total Mutual Funds	48.53			\$462,388.44		\$511,957.54	\$49,569.10	\$6,096.13	1.19							

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/01	Cash	DIVIDEND		PIMCO FDS INCOME FD INSTL CL 113016 4,398.33100 AS OF 11/30/16	244.15	244.15
12/01	Cash	DIVIDEND		WELLS FARGO & CO NEW 120116 400	152.00	152.00

WELLS FARGO ADVISORS

Portfolio

FOUNDATION *1205		TOTAL VALUE \$1,072,784		TODAY'S CHANGE +\$1,824 (+0.20%)		Priced as of 10:35 ET on 01/24/2017							
For	Last Year	>	GO										
Stocks													
Symbol/Description	Quantity	Open Date	Close Date/Term	Wash Sale	Original Cost Basis	Adjusted Cost Basis	Net Proceeds						
							Net Gain/Loss						
EMR	100.000	04/29/2014	04/07/2016	\$0.00	\$6,807.51	\$6,807.51	\$5,342.88						
(+)	EMERSON ELECTRIC CO		Long				-\$1,464.63						
ORCL	-1,927.000	Multiple(3)	Multiple(3)	\$0.00	\$262.89	\$262.89	-\$79,348.56						
(-)	ORACLE CORP						+\$79,085.67						

+	FOUNDATION *1205	1,027 000	03/28/1991	03/17/2016 Long	N/A	\$140.11	\$140.11	\$42,039.22	+\$41,899.11
+	FOUNDATION *1205	200.000	03/28/1991	03/17/2016 Long	N/A	\$27.28	\$27.28	\$8,186.82	+\$8,159.54
+	FOUNDATION *1205	700 000	03/28/1991	08/23/2016 Long	N/A	\$95.50	\$95.50	\$29,122.52	+\$29,027.02
-	RAX RACKSPACE HOSTING INC	400.000	Multiple(2)	Multiple(2)	\$0.00	\$13,233.72	\$13,233.72	\$12,800.00	-\$433.72
+	FOUNDATION *1205	200.000	04/29/2014	11/03/2016 Long	N/A	\$5,775.12	\$5,775.12	\$6,400.00	+\$624.88
+	FOUNDATION *1205	200.000	06/17/2015	11/03/2016 Long	N/A	\$7,458.60	\$7,458.60	\$6,400.00	-\$1,058.60
-	TWTR TWITTER INC	450.000	Multiple(3)	Multiple(3)	\$0.00	\$15,085.00	\$15,085.00	\$7,687.09	-\$7,397.91
+	FOUNDATION *1205	250.000	05/13/2014	04/07/2016 Long	N/A	\$8,380.00	\$8,380.00	\$4,271.16	-\$4,108.84
+	FOUNDATION *1205	100.000	05/13/2014	04/07/2016 Long	N/A	\$3,352.00	\$3,352.00	\$1,707.96	-\$1,644.04

+	FOUNDATION V&W *1205	100,000	05/13/2014	04/07/2016	N/A	\$3,353.00	\$3,353.00	\$1,707.97	\$1,645.03
+	VANGUARD MATERIALS ETF	400,000	Multiple(2)	Multiple(2)	\$0.00	\$44,002.17	\$44,002.17	\$38,853.95	\$5,148.22
 									
+	FOUNDATION *1205	150,000	12/19/2014	04/07/2016	N/A	\$16,132.49	\$16,132.49	\$14,570.23	\$1,562.26
+	FOUNDATION *1205	250,000	06/17/2015	04/07/2016	N/A	\$27,869.68	\$27,869.68	\$24,283.72	\$3,585.96

Stocks Total

Symbol/Description ▲	Quantity	Open Date	Date/Term	Close Amount	Wash Sale	Original Cost Basis	Adjusted Cost Basis	Net Proceeds	Ga
— FDBIX FEDRTD INV T BD FD INSTL	2,078.310	Multiple(3)	Multiple(3)	\$0.00	\$20,117.00	\$20,117.00	\$20,117.00	\$18,663.22	-\$1

(+)	FOUNDATION *1205	2,053.149	10/11/2012	04/07/2016 Long	N/A	\$19,874.47	\$19,874.47	\$18,437.27	-\$1
(+)	FOUNDATION *1205	11.787	10/25/2012	04/07/2016 Long	N/A	\$113.74	\$113.74	\$105.84	
(+)	FOUNDATION *1205	13.374	11/27/2012	04/07/2016 Long	N/A	\$128.79	\$128.79	\$120.11	
(+)	MGGIX MORGAN STNLY GLBL OPP! I	5,810.771	Multiple(2)	Multiple(2)	\$0.00	\$95,587.19	\$95,587.19	\$90,000.00	-\$5
(+)	FOUNDATION *1205	3,213.368	12/17/2015	04/07/2016 Short	N/A	\$52,859.91	\$52,859.91	\$50,000.00	-\$2
(+)	FOUNDATION *1205	2,597.403	12/17/2015	06/27/2016 Short	N/A	\$42,727.28	\$42,727.28	\$40,000.00	-\$2
(+)	MYHIX MAINSTAY HI YLD OPPTYS! I	2,329.240	Multiple(7)	Multiple(7)	\$0.00	\$25,895.44	\$25,785.10	\$23,222.52	-\$2
(+)	FOUNDATION *1205	431.779	08/05/2011	04/07/2016 Long	N/A	\$5,000.00	\$4,979.71	\$4,304.83	-\$4
(+)	FOUNDATION *1205	66.726	08/18/2011	04/07/2016 Long	N/A	\$750.00	\$746.96	\$665.25	
(+)	FOUNDATION *1205	2.774	09/01/2011	04/07/2016 Long	N/A	\$30.96	\$30.89	\$27.65	

+	FOUNDATION *1205	2,576	10/03/2011	04/07/2016	N/A	\$27.67	\$27.63	\$25.68
+	FOUNDATION *1205	921,659	10/14/2011	04/07/2016	N/A	\$10,000.00	\$9,956.55	\$9,188.95
+	FOUNDATION *1205	7,669	11/02/2011	04/07/2016	N/A	\$86.81	\$86.57	\$76.46
+	FOUNDATION *1205	896,057	01/19/2012	04/07/2016	N/A	\$10,000.00	\$9,956.79	\$8,933.70
OSMYX OPPENHMR INTL SML/MID Y		877,706	Multiple(2)	Multiple(2)	\$0.00	\$31,890.17	\$31,890.17	\$30,000.00
+	FOUNDATION *1205	408,274	12/17/2015	06/27/2016	N/A	\$15,000.00	\$15,000.00	\$13,954.80
+	FOUNDATION *1205	469,432	04/07/2016	06/27/2016	N/A	\$16,890.17	\$16,890.17	\$16,045.20
SAGYX LEGG MASON CLRBRDG AGG I		160,467	Multiple(2)	Multiple(2)	\$0.00	\$30,000.00	\$30,000.00	\$31,467.58
+	FOUNDATION *1205	108,737	10/04/2013	04/07/2016	N/A	\$20,000.00	\$20,000.00	\$21,323.32

+	FOUNDATION *1205	51.730	12/23/2013	04/07/2016 Long	N/A	\$10,000.00	\$10,000.00	\$10,144.26 +\$1
+	SVAIX FEDERATED STRT FLU INSTL	2,389.651	01/19/2012	04/07/2016 Long	\$0.00	\$11,446.42	\$11,446.42	\$14,481.29 +\$3
-	TSVIX TRANSAMER S/M CAP VAL I	2,272.758	Multiple(4)	Multiple(4)	\$0.00	\$61,245.77	\$61,245.77	\$54,182.55 -\$7
+	FOUNDATION *1205	484.732	12/20/2012	04/07/2016 Long	N/A	\$11,245.77	\$11,245.77	\$11,556.01 +\$1
+	FOUNDATION *1205	736.106	02/04/2014	04/07/2016 Long	N/A	\$20,000.00	\$20,000.00	\$17,548.76 -\$2
+	FOUNDATION *1205	670.241	04/02/2014	04/07/2016 Long	N/A	\$20,000.00	\$20,000.00	\$15,978.55 -\$4
+	FOUNDATION *1205	381.679	12/17/2015	04/07/2016 Short	N/A	\$10,000.00	\$10,000.00	\$9,099.23 -\$1
-	TTRZX TEMPLETON INCOME TR ♦*	1,516.434	Multiple(7)	Multiple(7)	\$0.00	\$20,705.18	\$20,569.16	\$16,893.07 -\$3
+	FOUNDATION *1205	706.819	10/11/2012	04/07/2016 Long	N/A	\$9,584.46	\$9,521.08	\$7,873.96 -\$1
+	FOUNDATION *1205	8.687	10/16/2012	04/07/2016 Long	N/A	\$118.14	\$117.37	\$96.77

Portfolio Summary							Net Gain/Loss
	Symbol	Name	Buy Date	Sell Date	Cost Basis	Proceeds	Net Gain/Loss
+	FOUNDATION *1205	8.950	11/16/2012	04/07/2016	N/A	\$121.27	\$120.48
+	FOUNDATION *1205	60.777	12/18/2012	04/07/2016	N/A	\$821.10	\$815.66
+	FOUNDATION *1205	2.740	12/18/2012	04/07/2016	N/A	\$37.02	\$36.79
+	FOUNDATION *1205	1.717	12/18/2012	04/07/2016	N/A	\$23.19	\$23.06
+	FOUNDATION *1205	726.744	02/01/2013	04/07/2016	N/A	\$10,000.00	\$9,934.72
Mutual Funds Total							\$296,887.17
Portfolio Grand Total		Short-Term Gain/Loss	Long-Term Gain/Loss	Adjusted Cost Basis	Net Proceeds	Net Gain/Loss	
-\$11,964.09 +\$58,874.70							\$422,942.71 +\$46,910.61