Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

A Employer identification number
91-2161843

Number and street (or P O box number if mail is not delivered to street address) Room/suite
12352 WOODLEY AVE

B Telephone number (see instructions)
(818) 368-5374

City or town, state or province, country, and ZIP or foreign postal code
GRANADA HILLS, CA 91344

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 1,704,983

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	6,144	6,144	6,144	
	4	Dividends and interest from securities	35,612	35,612	35,612	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,707			
	b	Gross sales price for all assets on line 6a 274,768				
	7	Capital gain net income (from Part IV, line 2)		1,707		
	8	Net short-term capital gain			13,067	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	43,463	43,463	54,823	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	7,900			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	10,210			10,210
	c	Other professional fees (attach schedule)	10,446			
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	355			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	279			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	29,190	0		10,210
	25	Contributions, gifts, grants paid	57,800			57,800
	26	**Total expenses and disbursements.** Add lines 24 and 25	86,990	0		68,010
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-43,527			
	b	**Net investment income** (if negative, enter -0-)		43,463		
	c	**Adjusted net income**(if negative, enter -0-)			54,823	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	18,052	9,131	9,132
2	Savings and temporary cash investments	288,373	182,297	182,298
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	972,625	1,221,030	1,209,207
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	480,000	300,000	300,281
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	1,000	4,065	4,065
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,760,050	1,716,523	1,704,983
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	1,760,050	1,716,523	
30	**Total net assets or fund balances** (see instructions)	1,760,050	1,716,523	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,760,050	1,716,523	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,760,050
2	Enter amount from Part I, line 27a	2	-43,527
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,716,523
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,716,523

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,707
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	13,067

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	869
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2.			3	869
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	869
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	4,065		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	4,065
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,196
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 3,196	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JEFFREY M STONE Telephone no ▶(818) 368-5374

Located at ▶12352 WOODLEY AVE GRANADA HILLS CA ZIP+4 ▶91344

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?.	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SHARON FRIEDMAN 503 NORTH FULLER AVE LOS ANGELES, CA 90036	Secretary 0 00	2,800		
JEFFREY M STONE 12352 WOODLEY AVE GRANADA HILLS, CA 91344	President 0 00	5,100		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	1,016,450
b	Average of monthly cash balances.		1b	654,562
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	1,671,012
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	1,671,012
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	25,065
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,645,947
6	**Minimum investment return.** Enter 5% of line 5.		6	82,297

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	82,297
2a	Tax on investment income for 2016 from Part VI, line 5.	2a 869		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	869
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	81,428
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	81,428
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	81,428

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	68,010
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	68,010
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	68,010

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				81,428
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			12,565	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 68,010				
a Applied to 2015, but not more than line 2a			12,565	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				55,445
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				25,983
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SOL TINA P WAXMAN FAMILY FOUNDATION
12352 WOODLEY AVE
GRANADA HILLS, CA 91344
(818) 368-5374

b The form in which applications should be submitted and information and materials they should include

WHAT DOES THE ORGANIZATION DO? HOW MUCH MONEY GETS SPENT ON THE INTENT AND OR PURPOSE OF THE ORGANIZATION COMPARED TO THE ADMINISTRATION EXPENSES? ALL MATERIALS RELAVENT TO THE QUESTIONS JUST ASKED PREVIOUSLY

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACCESS LIVING OF METROPOLITAN CHICA 115 W CHICAGO AVE CHICAGO, IL 60610	NONE		TO FURTHER THE GOALS OF HELPING THE HANDICAP	10,000
SCLERODERMA FOUNDATION OF SOUTHERN 5855 GREEN VALLEY CIRCLE 200 CULVER CITY, CA 90230	NONE		TO FURTHER THE CAUSE OF THE HANDICAP FROM THE AFFECTS OF THIS DISEASE	35,300
CONCERN FOUNDATION 11111 W OLYMPIC BLVD SUITE 214 LOS ANGELES, CA 90064	NONE		TO FURTHER THE CAUSE OF PREVENTING PEOPLE GETTING THESE DEBILITATING DISEASES	5,000
PUPPIES BEHIND BARS 263 WEST 38th Street 4th FLOOR NEW YORK, NY 10018	NONE		TO FURTHER THE GOALS OF HELPING THE HANDICAP	7,500
Total . . . . ▶ **3a**				57,800
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments				6,144	
4 Dividends and interest from securities. . . .				35,612	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					1,707
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				41,756	1,707

13 **Total.** Add line 12, columns (b), (d), and (e). 13 43,463

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-08-16	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JEFFREY M STONE EA				P01245167
Firm's name ▶ PREMIER ACCOUNTING & TAX SERVICES INC				Firm's EIN ▶
Firm's address ▶ 9040 Topanga Canyon Blvd SUite 210 Canoga Park, CA 91304				Phone no (818) 366-1144

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 SWISS RE LTD	P	2011-06-03	2016-01-19
45 CHESAPEAKE ENERGY	P	2015-03-03	2016-02-12
15 CHESAPEAKE ENERGY	P	2010-12-08	2016-12-12
20 CHESAPEAKE ENERGY	P	2012-05-04	2016-02-12
30 CHESAPEAKE ENERGY	P	2012-05-11	2016-02-12
20 CHESAPEAKE ENERGY	P	2012-05-25	2016-02-12
20 CHESAPEAKE ENERGY	P	2013-01-15	2016-02-12
82 KONINKLIJKE AHOLD	P	2005-11-11	2016-02-02
50 MITSUBISHI	P	2007-02-09	2016-02-12
85 MITSUBISHI	P	2007-07-18	2016-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
938		593	345
76		743	-667
25		322	-297
34		328	-294
50		477	-427
34		298	-264
34		320	-286
1,846		784	1,062
195		595	-400
332		932	-600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			345
			-667
			-297
			-294
			-427
			-264
			-286
			1,062
			-400
			-600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
105 MITSUBISHI	P	2007-09-19	2016-02-12
5 PEPSICO	P	2011-10-20	2016-02-26
40 PETROLEO BRASILEIRO SA	P	2013-06-21	2016-02-26
65 PETROLEO BRASILEIRO SA	P	2013-07-18	2016-02-26
70 PETROLEO BRASILEIRO SA	P	2014-01-31	2016-02-26
40 PETROLEO BRASILEIRO SA	P	2014-11-11	2016-02-26
25 DAIICHI SANKYO	P	2013-09-24	2016-03-04
5 PEPSICO	P	2012-03-06	2016-03-16
1 PEPSICO	P	2012-03-09	2016-03-18
195 CIA SANEAMENTO	P	2014-09-29	2016-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
410		964	-554
494		310	184
97		593	-496
158		912	-754
170		844	-674
97		434	-337
551		443	108
506		313	193
101		63	38
1,366		1,609	-243

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-554
			184
			-496
			-754
			-674
			-337
			108
			193
			38
			-243

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 CIA SANEAMENTO	P	2014-11-12	2016-04-15
70 CIA SANEAMENTO	P	2015-01-29	2016-04-15
25 DAIICHI SANKYO	P	2013-09-24	2016-04-15
5 DAIICHI SANKYO	P	2014-03-27	2016-04-15
25 EXELON CORP	P	2013-11-01	2016-04-01
90 CIA SANEAMENTO	P	2015-05-29	2016-05-02
110 CIA SANEAMENTO	P	2015-08-21	2016-05-02
5 CIA SANEAMENTO	P	2015-01-29	2016-05-02
10 CRH PLC	P	2012-07-20	2016-06-23
25 CRH PLC	P	2012-07-26	2016-06-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
455		497	-42
490		361	129
596		464	132
119		83	36
889		716	173
672		525	147
821		460	361
37		26	11
310		186	124
776		447	329

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-42
			129
			132
			36
			173
			147
			361
			11
			124
			329

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 CRH PLC	P	2012-08-15	2016-06-23
45 CANON INC	P	2008-10-22	2016-06-24
10 CANON INC	P	2012-08-21	2016-06-24
10 GLAXOSMITHKLINE	P	2007-06-04	2016-08-19
15 XILINX	P	2015-01-30	2016-08-19
10 CRH PLC	P	2012-08-15	2016-09-02
20 CRH PLC	P	2012-10-22	2016-09-02
20 CRH PLC	P	2013-05-14	2016-09-02
10 MICROSOFT CORP	P	2010-12-31	2016-10-27
50 WM MORRISON	P	2013-03-15	2016-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
465		271	194
1,320		1,506	-186
293		344	-51
439		513	-74
796		580	216
337		180	157
674		366	308
674		419	255
602		277	325
693		1,027	-334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			194
			-186
			-51
			-74
			216
			157
			308
			255
			325
			-334

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 STATE STREET	P	2012-05-03	2016-11-11
30 XILINX	P	2015-01-30	2016-11-30
02 CLIFFS NATURAL RES	P	2016-02-01	2015-04-29
08 CLIFFS NATURAL RES	P	2014-01-30	2016-02-01
08 CLIFFS NATURAL RES	P	2014-03-10	2016-02-01
04 CLIFFS NATURAL RES	P	2014-09-25	2016-02-01
02 CLIFFS NATURAL RES	P	2014-12-01	2016-02-01
040 GENCO SHIPPING	P	2016-07-08	2016-07-08
300 MOLYCORP	P	2013-01-17	2016-09-16
300 MOLYCORP	P	2013-02-04	2016-09-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
382		230	152
1,634		1,161	473
		2	-2
		2	-2
		1	-1
2			2
		2,646	-2,646
		2,205	-2,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			152
			473
			-2
			-2
			-1
			2
			-2,646
			-2,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
300 MOLYCORP	P	2013-03-15	2016-09-16
300 MOLYCORP	P	2013-10-17	2016-09-16
300 MOLYCORP	P	2013-12-12	2016-09-16
300 MOLYCORP	P	2014-05-08	2016-09-16
500 NEKTAR THREAPEUTICS	P	2010-07-08	2016-09-12
8 CONOCOPHILLIPS	P	2015-07-24	2016-01-26
11 CONOCOPHILLIPS	P	2015-08-24	2016-01-26
1 NIKE INC	P	2016-01-20	2016-03-07
5 NORDSTROMS	P	2016-06-01	2016-11-14
1 5 VERSUM MATERIALS	P	2016-06-28	2016-10-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,862	-1,862
		1,653	-1,653
		1,392	-1,392
		1,110	-1,110
9,490		6,007	3,483
277		420	-143
381		472	-91
59		58	1
305		184	121
34		35	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,862
			-1,653
			-1,392
			-1,110
			3,483
			-143
			-91
			1
			121
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2703 CHUBB LTD	P	2016-01-15	2016-01-15
1 AFLAC INC	P	2012-10-26	2016-03-07
1 AT&T CORP	P	2012-10-26	2016-03-07
1 ANALOG DEVICES	P	2012-10-26	2016-03-07
1 AUTOMATIC DATA	P	2012-10-26	2016-03-07
1 BECTON DICKINSON	P	2012-10-26	2016-03-07
1 CHEVRON CORP	P	2012-10-26	2016-03-07
1 CLOROX CORP	P	2012-10-26	2016-03-07
2 COLGATE PALMOLIVE	P	2012-10-26	2016-03-07
25 CONOCOPHILLIPS	P	2012-10-26	2016-01-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
30		30	
61		50	11
38		35	3
56		39	17
86		51	35
148		75	73
90		111	-21
123		72	51
135		104	31
865		1,436	-571

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			11
			3
			17
			35
			73
			-21
			51
			31
			-571

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 CONOCOPHILLIPS	P	2013-05-03	2016-01-26
2 EATON VANCE CORP	P	2012-10-26	2016-03-07
1 EMERSON ELECTRIC	P	2012-10-26	2006-03-07
1 EVERSOURCE ENERGY	P	2012-10-26	2016-03-07
1 EXXON MOBIL CORP	P	2012-10-26	2016-03-07
1 FACTSET RESEARCH	P	2012-10-26	2016-03-07
1 GENERAL DYNAMICS	P	2012-10-26	2016-03-07
1 HARRIS CORP	P	2012-10-26	2016-03-07
1 ILLINOIS TOOL	P	2012-10-26	2016-03-07
1 KELLOGG COMPANY	P	2012-10-26	2016-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
554		988	-434
63		56	7
52		48	4
56		39	17
84		91	-7
157		91	66
132		67	65
79		47	32
98		60	38
75		53	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-434
			7
			4
			17
			-7
			66
			65
			32
			38
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 LOWES COMPANIES	P	2013-02-04	2016-03-07
1 MCDONALDS	P	2012-10-26	2016-03-07
1 MICROSOFT CORP	P	2012-10-26	2016-03-07
1 NORDSTROM INC	P	2012-10-26	2016-03-07
2 NORDSTROM INC	P	2015-02-02	2016-11-14
6 NORDSTROM INC	P	2015-07-24	2016-11-14
11 NORDSTROM INC	P	2015-06-24	2016-11-14
1 NORFOLK SOUTHERN	P	2012-10-26	2016-03-07
1 PEPSICO	P	2012-10-26	2016-03-07
2 PHILLIPS	P	2012-10-26	2016-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
141		77	64
117		87	30
51		28	23
55		56	-1
122		151	-29
366		466	-100
671		793	-122
79		63	16
99		69	30
174		94	80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			64
			30
			23
			-1
			-29
			-100
			-122
			16
			30
			80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 POLARIS IND	P	2012-10-26	2016-03-07
1 PRAXAIR INC	P	2012-10-26	2016-03-07
1 PROCTER & GAMBLE	P	2012-10-26	2016-03-07
1 SCANA CORP	P	2012-10-26	2016-03-07
1 SOUTHERN COMPANIE	P	2012-10-26	2016-03-07
1 V F CORP	P	2012-10-26	2016-03-07
10 VERSUM MATERIALS	P	2012-10-26	2016-10-14
2 VERSUM MATERIALS	P	2015-07-24	2016-10-14
2 5 VERSUM MATERIALS	P	2015-08-24	2016-10-14
1 WEC ENERGY	P	2012-10-26	2016-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
94		84	10
108		105	3
83		70	13
66		49	17
49		46	3
66		39	27
225		133	92
45		45	
56		57	-1
57		38	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			3
			13
			17
			3
			27
			92
			-1
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 WAL MART	P	2012-10-26	2016-03-07
2 MEDTRONICS PLC	P	2015-01-27	2016-03-07
25 CHUBB CORP	P	2012-10-26	2016-01-15
1 CHUBB CORP	P	2015-02-02	2016-01-16
4 CHUBB CORP	P	2015-07-24	2016-01-15
7 CHUBB CORP	P	2015-08-24	2016-01-15
1 PAYCHEX	P	2012-10-26	2016-03-07
1 TARGET	P	2012-10-26	2016-03-07
35 NORDSTROM INC	P	2012-10-26	2016-03-07
75000 WELLS FARGO & CO NOTE LINKED TO	P	2010-08-31	2016-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
68		75	-7
152		152	
3,244		1,959	1,285
130		98	32
519		493	26
908		840	68
53		33	20
81		64	17
2,134		1,941	193
75,000		76,568	-1,568

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			1,285
			32
			26
			68
			20
			17
			193
			-1,568

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100000 WELLS FARGO & CO NOTE LINKED TO	P	2011-01-31	2016-02-08
2 CLIFFS NATURAL RES	P	2015-02-03	2016-02-01
100 VMWEAR INC	P	2015-10-21	2016-06-10
100 CYBERARK SOFTWARE	P	2015-11-06	2016-07-29
500 KRONOS WORLDWIDE	P	2015-09-09	2016-11-08
200 VALHI INC	P	2015-07-06	2016-11-23
300 VALHI INC	P	2015-07-06	2016-11-23
500 VALHI INC	P	2015-07-10	2016-11-23
500 VALHI INC	P	2015-09-29	2016-11-23
10000 CLIFFS NATURAL RES	P	2016-03-10	2016-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,000		102,522	-2,522
		1	-1
6,425		5,350	1,075
5,640		4,730	910
4,645		3,100	1,545
598		934	-336
897		1,399	-502
1,495		2,290	-795
1,495		1,035	460
10,160		3,988	6,172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,522
			-1
			1,075
			910
			1,545
			-336
			-502
			-795
			460
			6,172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
47 VALEANT	P	2016-03-15	2016-08-11
53 VALEANT	P	2016-03-15	2016-08-11
100 PUMA BIO	P	2016-03-30	2016-05-27
100 VALHI INC	P	2016-04-01	2016-11-23
600 VALHI INC	P	2016-04-01	2016-11-23
300 VALHI INC	P	2016-04-01	2016-11-23
100 LAS VEGAS SANDS	P	2016-04-21	2016-08-12
100 VALEANT PHARM	P	2016-05-11	2016-08-11
200 VALEANT PHARM	P	2016-06-24	2016-08-11
1 CALL CYBERARK SOFTWARE	P	2016-01-13	2016-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,203		1,622	-419
1,357		1,830	-473
3,140		2,755	385
299		120	179
1,794		720	1,074
897		360	537
5,102		4,654	448
2,560		2,672	-112
5,120		4,098	1,022
150			150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-419
			-473
			385
			179
			1,074
			537
			448
			-112
			1,022
			150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CALL INTERXON CORP	P	2016-03-02	2016-03-21
1 CALL CAL MAINE FOODS	P	2016-03-28	2016-04-18
1 CALL INTEREXON CORP	P	2016-03-21	2016-04-18
1 CALL VALEANT PHARM	P	2016-04-06	2016-05-02
1 CALL INTERXON CORP	P	2016-04-20	2016-05-23
1 CALL PUMA BIOTECHNOLOGY	P	2016-04-28	2016-05-10
1 CALL VMWARE INC	P	2016-05-17	2016-05-31
5 CALLS VIRNETX HLDG	P	2016-06-24	2016-07-18
3 CALLS ENDO INTL	P	2016-09-30	2016-11-21
1 CALL NUTANIX INC	P	2016-10-13	2016-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100			100
120			120
200			200
155			155
140			140
180			180
120			120
150			150
405			405
100			100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			100
			120
			200
			155
			140
			180
			120
			150
			405
			100

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CALL NUTANIX INC	P	2016-10-24	2016-11-21
1 CALL NUTANIX INC	P	2016-11-28	2016-12-19
10 CALLS CLIFFS NATURAL RES	P	2016-11-22	2016-12-31
4 CALLS FREEPORT MCMORAN	P	2016-11-29	2016-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
120			120
130			130
220			220
120			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			120
			130
			220
			120

TY 2016 Accounting Fees Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	10,210	0	0	10,210

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491228006057

TY 2016 Investments Corporate Stock Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

Software Version: 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS SEE ATTACHED	1,221,030	1,209,207

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491228006057

TY 2016 Investments - Other Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

Software Version: 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LOAN TO IVDN & CD LINK	AT COST	300,000	300,281

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491228006057

TY 2016 Other Assets Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

Software Version: 2016v3.0

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ESTIMATED TAXES	1,000	4,065	4,065

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491228006057

TY 2016 Other Expenses Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

Software Version: 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CORPORATE FEES	10			
Miscellaneous	269			

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491228006057

TY 2016 Other Professional Fees Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT AND ADVISORY FEES	10,446	0	0	0

TY 2016 Taxes Schedule

Name: SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

EIN: 91-2161843

Software ID: 16000303

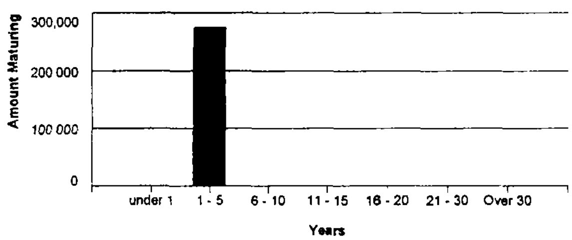
Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	355			

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8783-0150

Bond maturity schedule



MATURING IN	AMOUNT MATURING	CURRENT VALUE	% OF MATURING VALUE
under 1 year	0 00	0 00	0 00
1 to 5 years	275,000 00	275,281 25	100 00
6 to 10 years	0 00	0 00	0 00
11 to 15 years	0 00	0 00	0 00
16 to 20 years	0 00	0 00	0 00
21 to 30 years	0 00	0 00	0 00
over 30 years	0 00	0 00	0 00
Total	**$275,000.00**	**$275,281.25**	**100 00%**

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0 00	175,000 00

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP Interest Period 12/01/16 - 12/31/16	16 87	0 01	162,480 24	16 24
Total Cash and Sweep Balances	**16.87**		**$162,480.24**	**$16.24**
Margin/loan balance	0 00	0 00	2,035 00	N/A

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8783-0150

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ARES CAPITAL CORP ARCC - HELD IN MARGIN									
Acquired 12/02/14 L		2,500	16 09	40,506 75		41,225 00	718 25		
Acquired 08/21/15 L		1,000	15 45	15,588 20		16,490 00	901 80		
Acquired 12/18/15 L		1,000	14 04	14,172 00		16,490 00	2,318 00		
Acquired 02/11/16 S		500	12 49	6,377 00		8,245 00	1,868 00		
Total	**8.56**	**5,000**	**$15.33**	**$76,643.95**	**16.4900**	**$82,450.00**	**$5,806.05**	**$7,600.00**	**9.22**
CAL-MAINE FOODS INC NEW 4/84 CALM - HELD IN MARGIN Acquired 12/18/15 L	1 38	300	47 06	14,250 00	44 1750	13,252 50	-997 50	N/A	N/A
CLIFFS NATURAL RESOURCES CLF - HELD IN MARGIN Acquired 10/04/16 S	2 62	3,000	5 57	16,842 00	8 4100	25,230 00	8,388 00	N/A	N/A
CORECIVIC INC CXW - HELD IN MARGIN Acquired 02/11/16 S	1 27	500	27 60	13,932 00	24 4600	12,230 00	-1,702 00	840 00	6 86
CYBER-ARK SOFTWARE LTD/ISRAEL CYBR - HELD IN MARGIN Acquired 10/25/16 S	2 84	600	46 50	28,032 00	45 5000	27,300 00	-732 00	N/A	N/A
ENDO INTERNATIONAL PLC ENDP - HELD IN MARGIN									
Acquired 10/04/16 S		1,000	20 60	20,732 00		16,470 00	-4,262 00		
Acquired 11/25/16 S		500	16 45	8,357 00		8,235 00	-122 00		
Total	**2.57**	**1,500**	**$19.39**	**$29,089.00**	**16.4700**	**$24,705 00**	**-$4,384.00**	**N/A**	**N/A**
EXONE CO/THE XONE - HELD IN MARGIN									
Acquired 12/23/14 L		500	15 30	7,762 00		4,670 00	-3,092 00		
Acquired 11/28/16 S		500	10 65	5,457 00		4,670 00	-787 00		
Total	**0.97**	**1,000**	**$13.22**	**$13,219.00**	**9 3400**	**$9,340.00**	**-$3,879.00**	**N/A**	**N/A**

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8783-0150

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FREEPORT-MCMORAN INC CLASS B FCX - HELD IN MARGIN									
Acquired 12/23/14 L		500	23 05	11,637 00		6,595 00	-5,042 00		
Acquired 08/21/15 L		500	9 75	5,009 55		6,595 00	1,585 45		
Acquired 12/18/15 L		500	6 38	3,322 00		6,595 00	3,273 00		
Total	**2.05**	**1,500**	**$13 31**	**$19,968.55**	**13.1900**	**$19,785.00**	**-$183 55**	**N/A**	**N/A**
GENERAL MOTORS CO GM									
Acquired 02/11/16 S R		500	26 89	13,577 00		17,420 00	3,843 00		
Acquired 10/25/16 S		1,000	31 68	31,821 11		34,840 00	3,018 89		
Total	**5.43**	**1,500**	**$30.27**	**$45,398.11**	**34.8400**	**$52,260.00**	**$6,861.89**	**$2,280 00**	4 36
GLAXOSMITHKLINE PLC-ADR GSK - HELD IN MARGIN									
Acquired 12/01/16 S	2 80	700	37 60	26,452 00	38 5100	26,957 00	505 00	1,446 90	5 36
GRAMERCY PROPERTY TRUST GPT - HELD IN MARGIN									
Acquired 12/29/09 L nc		10,309	9 70	100,000 00		94,636 62	-5,363 38		
Acquired 12/15/14 L		5,000	7 77 7 98	38,897 49 40,107 00		45,900 00	7,002 51		
Acquired 05/01/15 L		2,500	7 15 7 48	17,880 19 18,854 46		22,950 00	5,069 81		
Acquired 12/18/15 L		2,500	7 89	19,857 00		22,950 00	3,093 00		
Total	**19.36**	**20,309**	**$8.70** **$8.80**	**$176,634.68** **$178,818.46**	**9.1800**	**$186,436.62**	**$9,801.94**	**$10,154 50**	5 45
TWITTER INC TWTR - HELD IN MARGIN									
Acquired 05/01/15 L		1,000	37 45	37,582 00		16,300 00	-21,282 00		
Acquired 12/18/15 L		500	22 74	11,502 00		8,150 00	-3,352 00		
Total	**2 54**	**1,500**	**$32.72**	**$49,084.00**	**16.3000**	**$24,450.00**	**-$24,634.00**	**N/A**	**N/A**
Total Stocks and ETFs	**52.38**			**$509,545.29** **$511,729.07**		**$504,396.12**	**-$5,149.17**	**$22,321 40**	**4.43**
otal Stocks, options & ETFs	**52.38**			**$509,545 29**		**$504,396.12**	**-$5,149.17**	**$22,321.40**	**4.43**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS
R Tax lot(s) held in Margin, rather than Cash

WELLS FARGO ADVISORS

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8783-0150

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Market Linked Certificates of Deposit

For more information, see the Specific instructions and disclosures page

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO BANK CD LINKED TO A BASKET OF COMMODITIES FDIC INSURED CPN 0 000% DUE 08/07/18 DTD 08/07/12 CUSIP 94986TKT3 Acquired 07/31/12 L nc	10 03	100,000	100 00	100,000 00&	96 6250	96,625 00	-3,375 00	N/A	N/A	N/A
WELLS FARGO BANK CD LKD TO COMMODITY BASKET FDIC INSURED CPN 0 000% DUE 06/05/20 DTD 12/05/13 FC 06/05/20 CUSIP 94986TNU7 Acquired 11/27/13 L nc	7 12	75,000	100 00	75,000 00&	91 3750	68,531 25	-6,468 75	N/A	N/A	N/A
Total Market Linked Certificates of Deposit	**17.15**			**$175,000 00**		**$165,156.25**	**-$9,843.75**			

& Insufficient data available for accurate cost or other basis adjustment
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 8783-0150

Fixed Income Securities

Market Linked Notes and Securities

For more information, see the Specific instructions and disclosures page

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO 7YR MLN LNKD TO GLOBAL ETF BSKT CPN 1 500% DUE 02/07/18 DTD 02/07/11 FC 08/07/11 CUSIP 94986RCP4 Acquired 01/31/11 L nc	11 44	100,000	100 00	100,000 00&	110 1250	110,125 00	10,125 00	N/A	1,500 00	1 36
Total Market Linked Notes and Securities	**11 44**			**$100,000.00**		**$110,125.00**	**$10,125 00**		**$1,500.00**	**1 36**
Total Fixed Income Securities	**28.59**			**$275,000.00**		**$275,281.25**	**$281.25**		**$1,500 00**	**0 54**

& Insufficient data available for accurate cost or other basis adjustment
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS

Mutual Funds

Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
EATON VANCE SHORT DURATION DIVERSIFIED INCOME FUND EVG - HELD IN MARGIN Acquired 02/23/05 L nc	2 16	1,500	18 95 20 00	28,433 85 30,000 00	13 8600	20,790 00	-7,643 85	1,620 00	7 79
Total Closed End Mutual Funds	**2.16**			**$28,433.85** **$30,000.00**		**$20,790.00**	**-$7,643.85**	**$1,620.00**	**7 79**
Total Mutual Funds	**2 16**			**$28,433.85** **$30,000.00**		**$20,790 00**	**-$7,643.85**	**$1,620 00**	**7 79**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 7063-0821

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0 00	9,492 39

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Investment Professional

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 00	-852 00	0 00
BANK DEPOSIT SWEEP Interest Period 12/01/16 - 12/31/16	0 01	1,413 10	0 14
Total Cash and Sweep Balances		**$561.10**	**$0.14**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Investment Professional if you have additional questions regarding your foreign security holdings

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MERICAN INTERNATIONAL)LDING CORP \MIH Acquired 11/05/10 nc	2	9 26	9 26 ✓	1 2500	2 50	-6 76	N/A	N/A

WELLS FARGO ADVISORS

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 7063-0821

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
BRENHAM OIL & GAS CORP BRHM Acquired 07/12/11	980	N/A##	N/A	0 0120	11 76	N/A	N/A	N/A
CHINA YUCHAI INTL LTD CYD Acquired Net Tax Lots	1,900	16 98	32,275 96	13 8100	26,239 00	-6,036 96	1,615 00	6 15
CLIFFS NATURAL RESOURCES CLF Acquired Net Tax Lots m	1,500	14 32	21,481 09	8 4100	12,615 00	-8,866 09	N/A	N/A
COMPREHENSIVE CARE CORP PAR $0 01 CHCR Acquired 11/05/10 nc	3	0 26	0 78	0 0650	0 19	-0 59	N/A	N/A
DAXOR CORPORATION DXR Acquired Net Tax Lots	666	6 54	4,358 92	8 2425	5,489 50	1,130 58	19 98	0 36
ENDO INTERNATIONAL PLC ENDP Acquired 11/03/16	500	16 96	8,480 00	16 4700	8,235 00	-245 00	N/A	N/A
FORTINET INC FTNT Acquired 10/23/15	169	34 34	5,805 13	30 1200	5,090 28	-714 85	N/A	N/A
MAGICJACK VOCALTEC LTD CALL Acquired Net Tax Lots	1,700	13 87	23,593 00	6 8500	11,645 00	-11,948 00	N/A	N/A
NEKTAR THERAPEUTICS (NEW) NKTR Acquired Net Tax Lots m	4,003	8 83	35,392 58	12 2700	49,116 81	13,724 23	N/A	N/A
NQ MOBILE INC ADR CLASS A NQ Acquired Net Tax Lots	1,400	10 08	14,119 98	3 2200	4,508 00	-9,611 98	N/A	N/A
ENGROWTH ENERGY CORP GH Acquired Net Tax Lots	2,900	5 28	15,336 92	1 4200	4,118 00	-11,218 92	N/A	N/A

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 7063-0821

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
VALHI INC NEW VHI Acquired Net Tax Lots	1,400	5 27	7,382 00	3 4600	4,844 00	-2,538 00	112 00	2 31
4LICENSING CORP FOURQ Acquired 11/05/10 nc	1	0 45	0 45	0 0007		0 00	N/A	N/A
Total Stocks and ETFs			**$168,236.07**		**$131,915.04**	**-$36,332 34**	**$1,746.98**	**1 32**
Total Stocks, options & ETFs			**$168,236.07**		**$131,915.04**	**-$36,332.34**	**$1,746.98**	**1 32**

Cost information for one or more securities is not available If you have cost information and would like to see it on future statements contact Your Investment Professional
m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			1 365 11
12/22	Cash	DIVIDEND		VALHI INC NEW 122216 1,400		28 00	1 393 11
12/29	Cash	DIVIDEND		DAXOR CORPORATION 122916 666		19 98	1,413 09
12/30	Cash	INTEREST		BANK DEPOSIT SWEEP 123016 1,413		0 01	
12/30	Cash	PURCHASE	100 00000	CLIFFS NATURAL RESOURCES	8 5200	-852 00	561 10

Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options These 'sweep transactions' may represent a net amount for the day and occur on settlement date The following section displays transfers into and out of your sweep option Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest These transaction amounts are not included in your cash flow summary

DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01		BEGINNING BALANCE	1,365 11
12/23	TRANSFER TO	BANK DEPOSIT SWEEP	28 00
12/30	REINVEST INT	BANK DEPOSIT SWEEP	0 01
12/30	TRANSFER TO	BANK DEPOSIT SWEEP	19 98
12/31		ENDING BALANCE	1,413 10

Specific instructions and disclosures

Income on non-reportable accounts
Your income summary is categorized into taxable and tax-exempt income based upon the securities that you hold Please be aware that since this is a 1099 non-reportable account, this income will NOT be reported to the IRS but is being provided to you for informational purposes only

Cost basis - To add or update information or modify your reporting options, please contact Your Investment Professional
This statement presents estimated unrealized or realized gains or losses for your information only If acquisition or other information is not available the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio Cost basis information is not verified by Wells Fargo Advisors and should not be relied upon for legal or tax purposes Revisions to this information (due to corporate mergers tenders and other reorganizations) may be required from time to time

Cost basis for factored bonds (GNMA, CMO etc) will be adjusted for paydown of principal Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average

Your account statement should not be used for tax preparation without assistance from your tax consultant We do not report capital gains or losses for non-covered securities to the IRS

Cost basis options
Unless specific tax lots are selected at trade time, sales of tax lots will occur using the cost basis election reflected in the Account profile section

[redacted]

Additional Information

Description	This Period
Securities Bought and Sold	-$9,436 30

Client Service Information

Your Financial Advisor Is: F76

Your Account Information

Tax Lot Default Disposition Method

Default Method for Mutual Funds FIRST IN FIRST OUT
Default Method for Stocks in a Dividend Reinvestment Plan FIRST IN FIRST OUT
Default Method for all Other Securities FIRST IN FIRST OUT

Bond Amortization Elections:

Amortize premium on taxable bonds based on Constant Yield Method Yes
Accrual market discount method for all other bond types Constant Yield Method
Include market discount in income annually No

Electronic Delivery

You are currently enrolled to receive the following account communications via electronic delivery
Notifications
Prospectus*
Please log in to your account to make any changes to your electronic delivery preferences

E-mail notifications are delivered to the following e-mail address(es):
j###@earthlink net
*j###@earthlink net is on file for these documents
The above e-mail address is partially masked for your security Please log into your account to review the full e-mail address

Portfolio Holdings

Opening Date	Quantity	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and Bank Deposits 1.00% of Portfolio									
Cash Balance				119 83	80 00				
FDIC Insured Bank Deposits									
LIQUID INSURED DEPOSITS C									
12/01/16	2,065 020	N/A	12/30/16	11,222 92	2,065 02	0 22	20 80	N/A	N/A
Total FDIC Insured Bank Deposits				**$11,222.92**	**$2,065.02**	**$0.22**	**$20.80**		
Total Cash, Money Funds, and Bank Deposits				**$11,342.75**	**$2,145.02**	**$0.22**	**$20.80**		

CAMBRIDGE INVESTMENT RESEARCH, INC
1776 Pleasant Plain Road
Fairfield IA 52556
641-472-5100

Brokerage Account Statement

Statement Period: 12/01/2016 - 12/31/2016

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 99.00% of Portfolio								
Common Stocks								
ENDO INTL PLC SHS			**Security Identifier: ENDP**					
ISIN#IE00BJ3V9050			CUSIP G30401106					
Dividend Option Cash								
05/06/16	200.000	16.2200	3,244.02	16.4700	3,294.00	49.98		
05/11/16	100.000	13.9290	1,392.94	16.4700	1,647.00	254.06		
09/30/16	300.000	20.9000	6,270.00	16.4700	4,941.00	-1,329.00		
Total Covered	**600.000**		**10,906.96**		**9,882.00**	**-1,024.96**		
Total	**600.000**		**$10,906.96**		**$9,882.00**	**-$1,024.96**	**$0.00**	
CYBERARK SOFTWARE LTD SHS			**Security Identifier: CYBR**					
ISIN#IL0011334468			CUSIP M2682V108					
Dividend Option Cash								
09/20/16	100.000	48.8500	4,885.00	45.5000	4,550.00	335.00		
10/19/16	100.000	45.0500	4,505.00	45.5000	4,550.00	45.00		
Total Covered	**200.000**		**9,390.00**		**9,100.00**	**-290.00**		
Total	**200.000**		**$9,390.00**		**$9,100.00**	**-$290.00**	**$0.00**	
STRATASYS LTD SHS			**Security Identifier: SSYS**					
ISIN#IL0011267213			CUSIP M85548101					
Dividend Option Cash								
04/30/15	200.000	38.1650	7,633.00	16.5400	3,308.00	-4,325.00		
07/18/16	100.000	20.2960	2,029.60	16.5400	1,654.00	-375.60		
11/15/16	100.000	17.6670	1,766.65	16.5400	1,654.00	-112.65		
Total Covered	**400.000**		**11,429.25**		**6,616.00**	**-4,813.25**		
Total	**400.000**		**$11,429.25**		**$6,616.00**	**-$4,813.25**	**$0.00**	
CAL MAINE FOODS INC COM NEW			**Security Identifier: CALM**					
Dividend Option Cash			CUSIP 128030202					
05/26/15	100.000	56.2950	5,629.49	44.1750	4,417.50	-1,211.99	176.40	3.99%
06/29/15	100.000	51.1900	5,119.02	44.1750	4,417.50	-701.52	176.40	3.99%
Total Covered	**200.000**		**10,748.51**		**8,835.00**	**-1,913.51**	**352.80**	
Total	**200.000**		**$10,748.51**		**$8,835.00**	**-$1,913.51**	**$352.80**	

[redacted]

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CLIFFS NAT RES INC COM			**Security Identifier: CLF**					
Dividend Option Cash			CUSIP, 18683K101					
02/03/15	199 800	6 7900	1,356 62	8 4100	1,680 32	323 70		
02/03/15	800 000	6 7850	5,428 00	8 4100	6,728 00	1,300 00		
03/06/15	905 200	7 3350	6,640 00	8 4100	7,612 73	972 73		
10/27/16	200 000	4 9400	987 98	8 4100	1,682 00	694 02		
Total Covered	**2,105.000**		**14,412.60**		**17,703.05**	**3,290.45**		
Total	**2,105.000**		**$14,412.60**		**$17,703.05**	**$3,290.45**	**$0.00**	
CORECIVIC INC COM			**Security Identifier: CXW**					
Dividend Option Cash			CUSIP 21871N101					
08/18/15	100 000	31 5000	3,150 04	24 4600	2,446 00	-704 04	216 00	8 83%
08/24/15	100 000	28 4350	2,843 54	24 4600	2,446 00	-397 54	216 00	8 83%
08/10/16	100 000	27 7500	2,775 00	24 4600	2,446 00	-329 00	216 00	8 83%
08/19/16	100 000	19 4460	1,944 60	24 4600	2,446 00	501 40	216 00	8 83%
Total Covered	**400.000**		**10,713.18**		**9,784.00**	**-929.18**	**864.00**	
Total	**400.000**		**$10,713.18**		**$9,784.00**	**-$929.18**	**$864.00**	
DAXOR CORP COM			**Security Identifier: DXR**					
Dividend Option Cash			CUSIP 239467103					
03/12/15	100 000	6 0100	601 00	8 2425	824 25	223 25	3 00	0 36%
03/13/15	100 000	6 0100	601 00	8 2425	824 25	223 25	3 00	0 36%
03/13/15	800 000	6 0100	4,808 00	8 2425	6,594 00	1,786 00	24 00	0 36%
07/10/15	100 000	6 5000	650 00	8 2425	824 25	174 25	3 00	0 36%
07/10/15	100 000	6 5000	650 00	8 2425	824 25	174 25	3 00	0 36%
07/10/15	2 000	6 5000	13 00	8 2425	16 49	3 49	0 06	0 36%
07/10/15	100 000	6 5000	650 00	8 2425	824 25	174 25	3 00	0 36%
07/10/15	100 000	6 5000	650 00	8 2425	824 25	174 25	3 00	0 36%
08/20/15	100 000	6 0700	607 00	8 2425	824 25	217 25	3 00	0 36%
08/20/15	100 000	6 0700	607 00	8 2425	824 25	217 25	3 00	0 36%
08/20/15	100 000	6 0700	607 00	8 2425	824 25	217 25	3 00	0 36%
08/20/15	100 000	6 0700	607 00	8 2425	824 25	217 25	3 00	0 36%
08/20/15	100 000	6 0700	607 00	8 2425	824 25	217 25	3 00	0 36%
08/20/15	98 000	6 0700	594 86	8 2425	807 76	212 90	2 94	0 36%
Total Covered	**2,000.000**		**12,252.86**		**16,485.00**	**4,232.14**	**60.00**	
Total	**2,000.000**		**$12,252.86**		**$16,485.00**	**$4,232.14**	**$60.00**	
FREEPORT-MCMORAN INC CL B			**Security Identifier: FCX**					
Dividend Option Cash			CUSIP 35671D857					
01/15/15	400 000	18 8900	7,555 96	13 1900	5,276 00	-2,279 96	80 00	1 51%
08/31/15	200 000	10 6550	2,131 00	13 1900	2,638 00	507 00	40 00	1 51%
09/15/16	200 000	9 5000	1,900 00	13 1900	2,638 00	738 00	40 00	1 51%

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
FREEPORT-MCMORAN INC CL B *(continued)*								
Total Covered	**800.000**		**11,586.96**		**10,552.00**	**-1,034.96**	**160.00**	
Total	**800.000**		**$11,586.96**		**$10,552.00**	**-$1,034.96**	**$160.00**	
GNC HLDGS INC COM CL A			**Security Identifier: GNC**					
Dividend Option Cash			CUSIP 36191G107					
12/02/16	400 000	13 4600	5,384 00 ✓	11 0400	4,416 00	-968 00	320 00	7 24%
12/23/16	100 000	11 2730	1,127 30 ✓	11 0400	1,104 00	-23 30	80 00	7 24%
Total Covered	**500.000**		**6,511.30**		**5,520.00**	**-991.30**	**400.00**	
Total	**500.000**		**$6,511.30**		**$5,520.00**	**-$991.30**	**$400.00**	
GENERAL MTRS CO COM			**Security Identifier: GM**					
Dividend Option Cash			CUSIP 37045V100					
10/25/16	100 000	31 6270	3,162 65 ✓	34 8400	3,484 00	321 35	152 00	4 36%
GLAXOSMITHKLINE PLC SPONS ADR			**Security Identifier: GSK**					
Dividend Option Cash			CUSIP 37733W105					
02/04/15	200 000	44 6150	8,923 00 ✓	38 5100	7,702 00	-1,221 00	413 40	5 36%
INTREXON CORP COM			**Security Identifier: XON**					
Dividend Option Cash			CUSIP 46122T102					
09/28/15	100 000	33 9800	3,398 00 ✓	24 3000	2,430 00	-968 00		
03/15/16	100 000	34 4550	3,445 50 ✓	24 3000	2,430 00	-1,015 50		
04/21/16	100 000	28 9400	2,894 00 ✓	24 3000	2,430 00	-464 00		
04/27/16	100 000	24 7000	2,470 00 ✓	24 3000	2,430 00	-40 00		
Total Covered	**400.000**		**12,207.50**		**9,720.00**	**-2,487.50**		
Total	**400.000**		**$12,207.50**		**$9,720.00**	**-$2,487.50**	**$0.00**	
NEKTAR THERAPEUTICS COM			**Security Identifier: NKTR**					
Dividend Option Cash			CUSIP 640268108					
10/19/16	400 000	13 3690	5,347 44 ✓	12 2700	4,908 00	-439 44		
10/19/16	100 000	13 3660	1,336 55 ✓	12 2700	1,227 00	-109 55		
Total Covered	**500.000**		**6,683.99**		**6,135.00**	**-548.99**		
Total	**500.000**		**$6,683.99**		**$6,135.00**	**-$548.99**	**$0.00**	

[redacted]

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NUTANIX INC COM			**Security Identifier: NTNX**					
Dividend Option Cash			CUSIP 67059N108					
10/11/16	100 000	31 6800	3,168 00	26 5600	2,656 00	-512 00		
12/01/16	100 000	29 2500	X 2,925 00	26 5600	2,656 00	-269 00		
Total Covered	**200.000**		**6,093.00**		**5,312.00**	**-781.00**		
Total	**200.000**		**$6,093.00**		**$5,312.00**	**-$781.00**	**$0.00**	
PENGROWTH ENERGY CORP COM			**Security Identifier: PGH**					
ISIN#CA70706P1045			CUSIP 70706P104					
Dividend Option Cash								
03/23/16	100 000	1 0300	103 00	1 4200	142 00	39 00		
03/23/16	100 000	1 0300	103 00	1 4200	142 00	39 00		
03/23/16	800 000	1 0300	824 00	1 4200	1,136 00	312 00		
03/23/16	500 000	1 0300	514 95	1 4200	710 00	195 05		
Total Covered	**1,500.000**		**1,544.95**		**2,130.00**	**585.05**		
Total	**1,500.000**		**$1,544.95**		**$2,130.00**	**$585.05**	**$0.00**	
RENT A CENTER INC COM			**Security Identifier: RCII**					
Dividend Option Cash			CUSIP 76009N100					
06/24/16	100 000	11 3000	1,130 00	11 2500	1,125 00	-5 00	32 00	2 84%
06/24/16	200 000	11 3000	2,260 00	11 2500	2,250 00	-10 00	64 00	2 84%
06/24/16	100 000	11 3000	1,130 00	11 2500	1,125 00	-5 00	32 00	2 84%
10/21/16	200 000	9 5860	1,917 20	11 2500	2,250 00	332 80	64 00	2 84%
Total Covered	**600.000**		**6,437.20**		**6,750.00**	**312.80**	**192.00**	
Total	**600.000**		**$6,437.20**		**$6,750.00**	**$312.80**	**$192.00**	
SEARS HLDGS CORP COM			**Security Identifier: SHLD**					
Dividend Option Cash			CUSIP 812350106					
06/10/15	200 000	29 6500	5,930 00	9 2900	1,858 00	-4,072 00		
TWITTER INC COM			**Security Identifier: TWTR**					
Dividend Option Cash			CUSIP 90184L102					
05/01/15	200 000	37 4350	7,486 98	16 3000	3,260 00	-4,226 98		
04/27/16	210 000	14 9500	3,139 50	16 3000	3,423 00	283 50		
04/27/16	90 000	14 9500	1,345 50	16 3000	1,467 00	121 50		
Total Covered	**500.000**		**11,971.98**		**8,150.00**	**-3,821.98**		
Total	**500.000**		**$11,971.98**		**$8,150.00**	**-$3,821.98**	**$0.00**	
VIRNETX HLDG CORP COM			**Security Identifier: VHC**					
Dividend Option Cash			CUSIP 92823T108					
06/24/16	900 000	4 0500	3,645 00	2 2000	1,980 00	-1,665 00		
06/24/16	100 000	4 0500	405 00	2 2000	220 00	-185 00		

CAMBRIDGE
INVESTMENT RESEARCH, INC
1776 Pleasant Plain Road
Fairfield IA 52556
641 472-5100

Brokerage
Account Statement

Statement Period: 12/01/2016 - 12/31/2016

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VIRNETX HLDG CORP COM *(continued)*								
Total Covered	**1,000.000**		**4,050.00**		**2,200.00**	**-1,850.00**		
Total	**1,000.000**		**$4,050.00**		**$2,200.00**	**-$1,850.00**	**$0.00**	
WILLIAMS COS INC COM			**Security Identifier: WMB**					
Dividend Option Cash				CUSIP 969457100				
09/18/15	100 000	45 9670	4,596 65 ✓	31 1400	3,114 00	-1,482 65	80 00	2 56%
09/29/15	100 000	35 2800	3,528 00 ✓	31 1400	3,114 00	-414 00	80 00	2 56%
03/23/16	100 000	16 6480	1,664 78 ✓	31 1400	3,114 00	1,449 22	80 00	2 56%
Total Covered	**300.000**		**9,789.43**		**9,342.00**	**-447.43**	**240.00**	
Total	**300.000**		**$9,789.43**		**$9,342.00**	**-$447.43**	**$240.00**	
Total Common Stocks			**$174,745.32** ✓		**$157,260.05**	**-$17,485.27**	**$2,834.20**	
Options								
CALL 100 CLIFFS NAT RES INC COM			**Option Identifier: 99QAJYVMZ**					
EXP 12-30-16@9 500 OPTION ROOT= CLF			Underlying Security Identifier CLF					
			Underlying Security Price $8 41					
11/22/16 *	-10 000	0 2200	-219 58 ✓	0 0100	-10 00	209 58		
CALL 100 CONCORDIA INTL CORP COM			**Option Identifier: 99QAJM5PV**					
ISIN#CA20653P1027 EXP 05-19-17@5 000			Underlying Security Identifier CXRX					
OPTION ROOT= CXRX			Underlying Security Price $2 13					
10/31/16	10 000	0 8000	800 41 ✓	0 1000	100 00	-700 41		
Total Options			**$580.83**		**$90.00**	**-$490.83**	**$0.00**	
Total Equities			**$175,326.15**		**$157,350.05**	**-$17,976.10**	**$2,834.20**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$177,471.17	$159,495.07	-$17,976.10	$0.00	$2,855.00

* Noncovered under the cost basis rules as defined below

Securities acquired before 2011 or in retirement accounts are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P L 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such

SOL WAXMAN AND TINA P WAXMAN
FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 1376-0828

Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Gross proceeds	0 00	11,575 97	Foreign withholding	0 00	-17 88

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 00	63 66	0 00
BANK DEPOSIT SWEEP Interest Period 12/01/16 - 12/31/16	0 01	11,369 30	1 13
Total Cash and Sweep Balances		**$11,432.96**	**$1.13**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ABBOTT LABORATORIES ABT	109	38 4100	4,186 69	115 54	2 75
AFLAC INC AFL	64	69 6000	4,454 40	110 08	2 47

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AIR PRODUCTS & CHEMICALS INC APD	32	143 8200	4,602 24	110 08	2 39
AMGEN INC AMGN	28	146 2100	4,093 88	128 80	3 14
ANALOG DEVICES INC ADI	76	72 6200	5,519 12	127 68	2 3[illegible]
AT & T INC T	121	42 5300	5,146 13	237 16	4 60
AUTOMATIC DATA PROCESSING ADP	54	102 7800	5,550 12	123 12	[illegible]
BECTON DICKINSON & CO BDX	36	165 5500	5,959 80	105 12	1 76
BLACKROCK INC BLK	12	380 5400	4,566 48	109 92	2 40
CHEVRON CORPORATION CVX	42	117 7000	4,943 40	181 44	[illegible]
CHUBB LTD CB	47	132 1200	6,209 64	129 72	2 08
CLOROX COMPANY CLX	43	120 0200	5,160 86	137 60	2 66
COLGATE-PALMOLIVE CO CL	61	65 4400	3,991 84	95 16	2 38
EATON VANCE CORP NON VTG EV	55	41 8800	2,303 40	61 60	2 67
EMERSON ELECTRIC CO EMR	76	55 7500	4,237 00	145 92	3 44
EVERSOURCE ENERGY ES	84	55 2300	4,639 32	149 52	3 22
EXXON MOBIL CORP XOM	51	90 2600	4,603 26	153 00	3 32
FACTSET RESEARCH SYSTEMS NC DS	23	163 4300	3,758 89	46 00	1 22
GENERAL MILLS INC GIS	74	61 7700	4,570 98	142 08	3 10

SOL WAXMAN AND TINA P WAXMAN
FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 1376-0828

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
GENL DYNAMICS CORP GD	42	172 6600	7,251 72	127 68	1 76
GRAINGER W W INC GWW	11	232 2500	2,554 75	53 68	2 10
HARRIS CORP DEL HRS	46	102 4700	4,713 62	97 52	2 06
ILLINOIS TOOL WORKS INC ITW	52	122 4600	6,367 92	135 20	2 12
INTERNATIONAL BUSINESS MACHINE CORP IBM	24	165 9900	3,983 76	134 40	3 37
J M SMUCKER CO SJM	38	128 0600	4,866 28	114 00	2 34
JOHNSON & JOHNSON JNJ	55	115 2100	6,336 55	176 00	2 77
KELLOGG COMPANY K	56	73 7100	4,127 76	116 48	2 82
LOWES COMPANIES INC LOW	96	71 1200	6,827 52	134 40	1 96
MCDONALDS CORP MCD	31	121 7200	3,773 32	116 56	3 08
MEDTRONIC PLC MDT	71	71 2300	5,057 33	122 12	2 41
MICROSOFT CORP MSFT	139	62 1400	8,637 46	216 84	2 51
NEXTERA ENERGY INC NEE	48	119 4600	5,734 08	167 04	2 91
NIKE INC CLASS B NKE	54	50 8300	2,744 82	38 88	1 41
NORFOLK SOUTHERN CORP NSC	45	108 0700	4,863 15	106 20	2 18
NOVARTIS AG SPON ADR NVS	48	72 8400	3,496 32	110 54	3 16
'AYCHEX INC ›AYX	90	60 8800	5,479 20	165 60	3 02

SOL WAXMAN AND TINA P WAXMAN
FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 1376-0828

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PEPSICO INCORPORATED PEP	44	104 6300	4,603 72	132 44	2 87
PHILLIPS 66 PSX	55	86 4100	4,752 55	138 60	2 91
POLARIS INDS INC PII	35	82 3900	2,883 65	77 00	2 67
PRAXAIR INC PX	34	117 1900	3,984 46	102 00	2 55
PROCTER & GAMBLE CO PG	44	84 0800	3,699 52	117 83	3 16
QUALCOMM INC QCOM	63	65 2000	4,107 60	133 56	3 25
SCANA CORP COM SCG	31	73 2800	2,271 68	71 30	[illegible]
SOUTHERN COMPANY/THE SO	67	49 1900	3,295 73	150 08	4 [illegible]
SYSCO CORPORATION SYY	99	55 3700	5,481 63	130 68	[illegible]
TARGET CORP TGT	52	72 2300	3,755 96	124 80	[illegible]
UNITED TECHNOLOGIES CORP UTX	46	109 6200	5,042 52	121 44	[illegible] 4[illegible]
V F CORPORATION VFC	86	53 3500	4,588 10	144 48	3 14
WAL-MART STORES INC WMT	63	69 1200	4,354 56	126 00	2 85
WEC ENERGY GROUP INC WEC	39	58 6500	2,287 35	81 12	3 54
3M CO MMM	32	178 5700	5,714 24	142 08	2 48
Total Stocks and ETFs			**$236,136.28**	**$6,336 09**	**2 68**
Total Stocks, options & ETFs			**$236,136.28**	**$6,336.09**	**2 68**

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3708-3157

Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Gross proceeds	0 00	20,988 62	Foreign withholding	-19 30	-3[illegible]

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 01	0 00	12 38	0 00
BANK DEPOSIT SWEEP Interest Period 12/01/16 - 12/31/16	2 38	0 01	3,630 94	0 36
Total Cash and Sweep Balances	**2.38**		**$3,643.32**	**$0.36**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMERICA MOVIL SAB DE CV ADR SERIES L AMX									
Acquired 05/14/13 L		20	21 09	422 00		251 40	-170 60		
Acquired 06/07/13 L		20	20 24	404 95		251 40	-153 55		

MASTERS/BRANDES INV PARTNERS LP/GLOBAL ADR EQUITY

WELLS FARGO ADVISORS

SOL WAXMAN AND TINA P WAXMAN
FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 06/04/14 L		25	19 58	489 65		314 25	-175 40		
Acquired 11/12/15 L		30	16 37	491 27		377 10	-114 17		
Total	**0.78**	**95**	**$19.03**	**$1,807.87**	**12.5700**	**$1,194.15**	**-$613.72**	**$27 07**	2 27
AMERICAN INTL GROUP INC AIG									
Acquired 03/10/15 L		30	54 97	1,649 39		1,959 30	309 91		
Acquired 03/30/15 L		15	54 58	818 82		979 65	160 83		
Total	**1.92**	**45**	**$54.85**	**$2,468 21**	**65.3100**	**$2,938.95**	**$470.74**	**$57.60**	1 96
APACHE CORP COMMON APA									
Acquired 12/05/14 L	1 25	30	61 84	1,855 25	63 4700	1,904 10	48 85	30 00	1 [illegible]
BANK NEW YORK MELLON CORP BK									
Acquired 04/09/12 L		20	23 29	465 81		947 60	481 79		
Acquired 05/11/12 L		15	22.18	332 81		710 70	377 89		
Acquired 06/25/12 L		20	20 82	416 48		947 60	531 12		
Total	**1.71**	**55**	**$22.09**	**$1,215.10**	**47 3800**	**$2,605.90**	**$1,390.80**	**$41 80**	1 60
BANK OF AMERICA CORP BAC									
Acquired 12/18/09 L nc		35	15 01	525 70		773 50	247 80		
Acquired 09/27/10 L nc		25	13 47	336 99		552 50	215 51		
Acquired 04/29/11 L		30	12 35	370 50		663 00	292 50		
Acquired 02/12/16 S		75	11.48	861 71		1,657 50	795 79		
Total	**2 39**	**165**	**$12.70**	**$2,094.90**	**22.1000**	**$3,646.50**	**$1,551.60**	**$49.50**	1 36
BARCLAYS PLC ADR BCS									
Acquired 07/08/14 L		115	14 76	1,698 22		1,265 00	-433 22		
Acquired 04/06/15 L		1	N/A##	14 73		11 00	-3 73		
Acquired 04/04/16 S		50	8 59	429 50		550 00	120 50		
Total	**1.20**	**166**		**$2,142 45**	**11.0000**	**$1,826.00**	**-$316.45**	**$50 96**	2 79
BP PLC SPONS ADR BP									
Acquired 07/27/11 L		15	45 57	683 68		560 70	-122 98		
Acquired 02/15/12 L		15	45 80	687 14		560 70	-126 44		
Acquired 02/23/12 L		10	46 83	468 30		373 80	-94 50		
Acquired 03/28/12 L		10	45 11	451 19		373 80	-77 39		

SOL WAXMAN AND TINA P WAXMAN
FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 06/05/12 L		10	36 68	366 83		373 80	6 97		
Acquired 12/27/12 L		10	41 79	417 98		373 80	-44 18		
Acquired 06/07/13 L		10	43 02	430 30		373 80	-56 50		
Acquired 09/16/13 L		10	42 30	423 10		373 80	-49 30		
Acquired 09/23/13 L		1	N/A##	41 29		37 38	-3 91		
Acquired 03/31/14 L		1	N/A##	48 71		37 38	-11 33		
Acquired 12/05/14 L		10	39 98	399 90		373 80	-26 10		
Acquired 06/22/15 L		1	N/A##	42 29		37 38	-4 91		
Acquired 09/10/15 L		15	31 20	468 14		560 70	92 56		
Acquired 09/21/15 L		1	N/A##	35 54		37 38	1 84		
Acquired 03/28/16 S		2	N/A##	57 05		74 76	17 71		
Acquired 06/20/16 S		2	N/A##	61 65		74 76	13 11		
Acquired 09/16/16 S		2	N/A##	65 49		74 76	9 27		
Acquired 12/19/16 S		2	N/A##	65 49		74 76	9 27		
Total	**3.11**	**127**		**$5,214.07**	**37.3800**	**$4,747.26**	**-$466.81**	**$302 26**	6 37
CARREFOUR SA SPONS ADR CRRFY									
Acquired 12/29/10 L nc		20	8 41	168 33		96 80	-71 53		
Acquired 10/03/11 L		60	4 51	271 10		290 40	19 30		
Acquired 06/25/12 L		115	3 48	400 96		556 60	155 64		
Acquired 08/02/12 L		13	N/A##	33 23		62 92	29 69		
Acquired 06/11/14 L		3	N/A##	19 18		14 52	-4 66		
Acquired 07/31/15 L		3	N/A##	16 70		14 52	-2 18		
Acquired 06/30/16 S		4	N/A##	16 85		19 36	2 51		
Acquired 09/16/16 S		80	4 97	399 03		387 20	-11 83		
Total	**0.94**	**298**		**$1,325 38**	**4 8400**	**$1,442.32**	**$116 94**	**$32.18**	2 2[illegible]
CHINA MOBILE LTD SPONS ADR CHL									
Acquired 06/24/13 L		5	48 64	243 21		262 15	18 94		
Acquired 07/09/13 L		10	52 07	520 74		524 30	3 56		
Acquired 10/03/13 L		25	55 36	1,384 11		1,310 75	-73 36		
Acquired 01/07/14 L		10	50 53	505 40		524 30	18 90		
Total	**1.72**	**50**	**$53.07**	**$2,653.46**	**52.4300**	**$2,621.50**	**-$31.96**	**$77 85**	2 97
CITIGROUP INC NEW C									
Acquired 03/30/09 L nc		25 14084	20 38	511 56		1,494 12	982 56		
Acquired 12/16/09 L nc		9 85916	33 89	334 16		585 93	251 77		
Acquired 11/15/11 L		10	27 96	279 70		594 30	314 60		

SOL WAXMAN AND TINA P WAXMAN
FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 02/04/13 L		10	42 49	424 96		594 30	169 34		
Acquired 08/16/13 L		10	50 73	507 31		594 30	86 99		
Acquired 11/07/13 L		10	48 96	489 60		594 30	104 70		
Acquired 01/25/16 S		10	39 98	399 86		594 30	194 44		
Total	**3.31**	**85**	**$34.67**	**$2,947.15**	**59.4300**	**$5,051.55**	**$2,104.40**	**$54 40**	1 08
CORNING INC GLW									
Acquired 06/24/11 L		25	17 56	439 09		606 75	167 66		
Acquired 03/01/12 L		35	13 00	455 00		849 45	394 45		
Acquired 02/05/13 L		55	11 77	647 63		1,334 85	687 22		
Total	**1 83**	**115**	**$13.41**	**$1,541.72**	**24.2700**	**$2,791.05**	**$1,249.33**	**$62 10**	2 2[illegible]
CREDIT SUISSE GROUP SPON ADR CS									
Acquired 10/23/15 L		60	24 82	1,489 75		858 60	-631 15		
Acquired 01/22/16 S		50	17 75	887 82		715 50	-172 32		
Acquired 02/23/16 S		45	13 41	603 74		643 95	40 21		
Acquired 06/15/16 S		8	N/A##	102 52		114 48	11 96		
Total	**1.53**	**163**		**$3,083.83**	**14.3100**	**$2,332.53**	**-$751.30**	**$117.19**	5 02
DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL 1 DSNKY									
Acquired 03/27/14 L		65	16 65	1,082 86		1,319 50	236 64		
Acquired 01/07/15 L		30	13 71	411 59		609 00	197 41		
Total	**1 26**	**95**	**$15.73**	**$1,494.45**	**20.3000**	**$1,928.50**	**$434 05**	**$43.32**	2 25
EMBRAER S A ADR SPONS ADR REPSTG PFD SHS ERJ									
Acquired 01/09/15 L		45	34 54	1,554 61		866 25	-688 36		
Acquired 03/03/15 L		30	35 19	1,055 94		577 50	-478 44		
Acquired 08/14/15 L		15	27 13	407 05		288 75	-118 30		
Acquired 03/07/16 S		20	25 36	507 40		385 00	-122 40		
Acquired 05/10/16 S		20	22 52	450 56		385 00	-65 56		
Acquired 08/02/16 S		30	17 46	523 95		577 50	53 55		
Total	**2 02**	**160**	**$28.12**	**$4,499 51**	**19.2500**	**$3,080.00**	**-$1,419.51**	**$5 44**	0 18

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD
EMERSON ELECTRIC CO EMR									
Acquired 08/07/15 L		35	49 39	1,728 66		1,951 25	222 59		
Acquired 09/25/15 L		15	43 71	655 80		836 25	180 45		
Total	**1 82**	**50**	**$47.69**	**$2,384.46**	**55.7500**	**$2,787 50**	**$403.04**	**$96.00**	3 44
ENGIE SPON ADR 1 RCPT= 1 ORD SHRS ENGIY									
Acquired 04/10/12 L		15	24 20	363 03		191 10	-171 93		
Acquired 04/24/12 L		25	24 14	603 63		318 50	-285 13		
Acquired 05/04/12 L		20	22 70	454 05		254 80	-199 25		
Acquired 05/16/12 L		35	20 68	724 02		445 90	-278 12		
Acquired 07/13/12 L		10	21 49	214 94		127 40	-87 54		
Acquired 12/14/12 L		30	20 05	601 72		382 20	-219 52		
Acquired 12/21/12 L		15	20 14	302 15		191 10	-111 05		
Acquired 03/04/13 L		20	18 80	376 19		254 80	-121 39		
Acquired 10/28/16 S		35	14 53	508 80		445 90	-62 90		
Acquired 11/21/16 S		40	12 16	486 41		509 60	23 19		
Total	**2.04**	**245**	**$18.92**	**$4,634.94**	**12.7400**	**$3,121.30**	**-$1,513.64**	**$219.76**	7 04
ENI S P A ADR SPON ADR E									
Acquired 08/05/09 L nc		10	46 65	466 51		322 40	-144 11		
Acquired 08/12/09 L nc		15	45 50	682 62		483 60	-199 02		
Acquired 02/04/10 L nc		10	46 75	467 51		322 40	-145 11		
Acquired 03/22/10 L nc		10	46 10	461 03		322 40	-138 63		
Acquired 12/16/10 L nc		10	43 22	432 20		322 40	-109 80		
Acquired 08/07/13 L		10	45 31	454 18		322 40	-131 78		
Acquired 09/21/16 S		15	27 93	419 93		483 60	63 67		
Total	**1 69**	**80**	**$42.30**	**$3,383.98**	**32.2400**	**$2,579.20**	**-$804.78**	**$102.72**	3 98
ERICSSON (LM) TEL-SP ADR NEW ERIC									
Acquired 01/23/08 L nc		90	10 67	960 99		524 70	-436 29		
Acquired 10/10/12 L		40	8 65	346 09		233 20	-112 89		
Acquired 08/21/15 L		105	10 01	1,051 97		612 15	-439 82		
Acquired 06/10/16 S		145	7 44	1,079 89		845 35	-234 54		
Total	**1 45**	**380**	**$9.05**	**$3,438.94**	**5.8300**	**$2,215.40**	**-$1,223.54**	**$114 00**	5 15

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%
ERSTE GROUP BANK AG ADR EBKDY									
Acquired 08/07/14 L		87	12 74	1,108 57		1,271 50	162 93		
Acquired 08/08/14 L		75	12 91	968 75		1,096 13	127 38		
Total	**1.55**	**162**	**$12.82**	**$2,077.32**	**14.6150**	**$2,367 63**	**$290.31**	**$42 12**	1 78
EXELON CORPORATION EXC									
Acquired 11/01/13 L	1 05	45	28 64	1,289 06	35 4900	1,597 05	307 99	57 24	3 5[illegible]
EXPRESS SCRIPTS HLDG CO ESRX									
Acquired 05/15/14 L	1 80	40	67 92	2,717 12	68 7900	2,751 60	34 48	N/A	N/A
FIRST PAC CO LTD SPONSORED ADR FPAFY									
Acquired 04/01/14 L		234	5 10	1,195 42		809 64	-385 78		
Acquired 04/01/14 L		81	5 10	413 80		280 26	-133 54		
Acquired 11/10/14 L		140	5 28	739 93		484 40	-255 53		
Acquired 06/26/15 L		120	4 42	531 00		415 20	-115 80		
Acquired 09/18/15 L		140	3 11	435 71		484 40	48 69		
Total	**1.62**	**715**	**$4.64**	**$3,315 86**	**3.4600**	**$2,473.90**	**-$841.96**	**$50 76**	2 05
GAZPROM PJSC ADR SPONSORED ADR OGZPY									
Acquired 08/22/14 L		165	7 49	1,237 32		839 85	-397 47		
Acquired 09/23/14 L		75	7 07	530 87		381 75	-149 12		
Acquired 12/19/14 L		95	4 52	429 64		483 55	53 91		
Total	**1.12**	**335**	**$6.56**	**$2,197.83**	**5.0900**	**$1,705.15**	**-$492.68**	**$62.98**	3 69
GLAXOSMITHKLINE PLC-ADR GSK									
Acquired 06/04/07 L nc		20	51 26	1,025 22		770 20	-255 02		
Acquired 07/25/07 L nc		20	52 58	1,051 71		770 20	-281 51		
Acquired 05/07/14 L		10	55 44	554 47		385 10	-169 37		
Acquired 07/29/14 L		10	48 45	484 60		385 10	-99 50		
Acquired 08/11/14 L		15	46 40	696 09		577 65	-118 44		
Acquired 12/19/14 L		10	43 49	435 00		385 10	-49 90		
Acquired 01/21/15 L		15	44 10	661 63		577 65	-83 98		
Acquired 06/17/15 L		10	42 86	428 70		385 10	-43 60		

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 09/10/15 L		10	40 30	403 08		385 10	-17 98		
Total	**3.02**	**120**	**$47.84**	**$5,740.50**	**38.5100**	**$4,621.20**	**-$1,119.30**	**$248 04**	5 37
HONDA MOTOR LTD NEW AMERICAN SHARES 10/76 HMC									
Acquired 03/24/11 L		35	37 91	1,327 04		1,021 65	-305 39		
Acquired 06/02/11 L		10	37 70	377 05		291 90	-85 15		
Acquired 02/27/14 L		20	36 20	724 06		583 80	-140 26		
Acquired 11/17/14 L		40	31 51	1,260 72		1,167 60	-93 12		
Total	**2.01**	**105**	**$35 13**	**$3,688.87**	**29.1900**	**$3,064.95**	**-$623.92**	**$76 12**	2 48
HSBC HOLDINGS PLC-SPON ADR HSBC									
Acquired 03/14/14 L		35	50 08	1,753 13		1,406 30	-346 83		
Acquired 01/07/15 L		10	45 31	453 19		401 80	-51 39		
Acquired 05/01/15 L		1	N/A##	42 56		40 18	-2 38		
Total	**1.21**	**46**		**$2,248.88**	**40.1800**	**$1,848.28**	**-$400.60**	**$117 30**	6 35
IMPERIAL BRANDS PLC ADR SPON IMBBY									
Acquired 08/23/13 L		28	33 59	940 61		1,219 40	278 79		
Acquired 09/06/13 L		20	35 01	700 32		871 00	170 68		
Total	**1 37**	**48**	**$34.19**	**$1,640.93**	**43.5500**	**$2,090.40**	**$449.47**	**$95.42**	4 56
J SAINSBURY PLC ADR (NEW) JSAIY									
Acquired 08/26/08 L nc		20	24 05	481 00		244 24	-236 76		
Acquired 12/09/09 L nc		15	20 75	311 39		183 18	-128 21		
Acquired 03/29/11 L		20	21 73	434 76		244 24	-190 52		
Acquired 04/02/14 L		20	20 91	418 27		244 24	-174 03		
Acquired 09/26/14 L		25	16 76	419 16		305 30	-113 86		
Acquired 05/08/15 L		55	17 10	940 81		671 66	-269 15		
Acquired 10/27/16 S		35	12 04	421 45		427 42	5 97		
Total	**1.52**	**190**	**$18 04**	**$3,426.84**	**12.2120**	**$2,320.28**	**-$1,106.56**	**$106.21**	4 58

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
LEUCADIA NATIONAL CORP LUK									
Acquired 07/01/15 L	1 07	70	24 34	1,703 89	23 2500	1,627 50	-76 39	17 50	1 07
LUKOIL PJSC SPON ADR 1 RCPT= 1 ORD SHRS LUKOY									
Acquired 05/16/12 L		15	53 48	802 28		841 80	39 52		
Acquired 06/08/12 L		5	52 29	261 48		280 60	19 12		
Acquired 06/20/12 L		10	53 84	538 42		561 20	22 78		
Acquired 03/03/14 L		10	49 46	494 68		561 20	66 52		
Acquired 09/22/14 L		10	53 90	539 06		561 20	22 14		
Acquired 12/19/14 L		10	38 18	381 84		561 20	179 36		
Total	**2.20**	**60**	**$50.30**	**$3,017.76**	**56 1200**	**$3,367.20**	**$349.44**	**$144.12**	4 28
LVMH MOET HENNESSY LOUIS VUITTON ADR LVMUY									
Acquired 10/06/14 L		20	32 29	647 16		759 90	112 74		
Acquired 10/10/14 L		25	31 83	797 46		949 87	152 41		
Total	**1 12**	**45**	**$32.10**	**$1,444.62**	**37.9950**	**$1,709.77**	**$265 15**	**$25 69**	1 50
MARKS & SPENCER PLC-ADR MAKSY									
Acquired 08/26/08 L nc		90	9 38	844 20		765 90	-78 30		
Acquired 09/09/14 L		30	14 04	421 44		255 30	-166 14		
Acquired 07/01/16 S		145	8 51	1,234 70		1,233 95	-0 75		
Total	**1.48**	**265**	**$9.44**	**$2,500.34**	**8.5100**	**$2,255.15**	**-$245.19**	**$119.25**	5 29
MCKESSON CORPORATION MCK									
Acquired 10/28/16 S		11	122 16	1,343 86		1,544 95	201 09		
Acquired 12/02/16 S		10	144 19	1,442 00		1,404 50	-37 50		
Total	**1.93**	**21**	**$132.66**	**$2,785.86**	**140.4500**	**$2,949.45**	**$163 59**	**$23.52**	0 80
MERCK & CO INC NEW MRK									
cquired 11/05/09 L nc		32	19 33	618 64		1,883 84	1,265 20		
cquired 05/10/13 L		15	45 85	687 78		883 05	195 27		

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 05/26/15 L		10	58 97	589 78		588 70	-1 08		
Total	**2.20**	**57**	**$33.27**	**$1,896.20**	**58.8700**	**$3,355.59**	**$1,459.39**	**$107.16**	3 19
MICROSOFT CORP MSFT									
Acquired 12/31/10 L nc		5	27 69	138 49		310 70	172 21		
Acquired 03/09/11 L		15	25 68	385 35		932 10	546 75		
Acquired 05/16/11 L		15	24 87	373 16		932 10	558 94		
Acquired 12/12/11 L		15	25 42	381 30		932 10	550 80		
Acquired 01/11/13 L		15	26 38	395 76		932 10	536 34		
Total	**2.64**	**65**	**$25.75**	**$1,674 06**	**62.1400**	**$4,039.10**	**$2,365.04**	**$101 40**	2 51
NISSAN MTR LTD SPONS-ADR NSANY									
Acquired 09/27/13 L		75	20 49	1,537 16		1,500 00	-37 16		
Acquired 10/09/13 L		40	19 83	793 59		800 00	6 41		
Acquired 10/30/13 L		35	20 73	725 68		700 00	-25 68		
Acquired 11/11/13 L		25	18 14	453 54		500 00	46 46		
Acquired 12/11/13 L		25	17 51	437 78		500 00	62 22		
Acquired 03/06/14 L		25	17 65	441 41		500 00	58 59		
Total	**2.95**	**225**	**$19.51**	**$4,389.16**	**20.0000**	**$4,500.00**	**$110.84**	**$154 35**	3 43
NOKIA CORP SPONSORED ADR NOK									
Acquired 04/21/16 S	0 74	235	6 07	1,426 83	4 8100	1,130 35	-296 48	47 70	4 2.
PEPSICO INCORPORATED PEP									
Acquired 03/09/12 L		4	63 01	252 07		418 52	166 45		
Acquired 09/21/12 L		10	70 51	705 14		1,046 30	341 16		
Total	**0.96**	**14**	**$68.37**	**$957.21**	**104 6300**	**$1,464.82**	**$507.61**	**$42 14**	2 88
PFIZER INCORPORATED PFE									
Acquired 07/31/08 L nc		50	18 83	941 79		1,624 00	682 21		
Acquired 10/16/09 L nc		44	17 51	770 66		1,429 12	658 46		
Acquired 09/09/14 L		20	29 09	582 00		649 60	67 60		
Total	**2.42**	**114**	**$20.13**	**$2,294.45**	**32.4800**	**$3,702.72**	**$1,408.27**	**$145.92**	3 94

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD
PNC FINANCIAL SERVICES GROUP PNC									
Acquired 05/29/08 L nc		5	151 44	728 94		584 80	-144 14		
Acquired 06/23/11 L		10	56 06	560 67		1,169 60	608 93		
Acquired 05/01/14 L		15	83 83	1,257 60		1,754 40	496 80		
Total	**2.30**	**30**	**$84.91**	**$2,547.21**	**116.9600**	**$3,508.80**	**$961.59**	**$66.00**	1 88
REPSOL YPF S A REPYY									
Acquired 08/04/15 L		100	16 59	1,659 52		1,410 00	-249 52		
Acquired 01/21/16 S		4	N/A##	44 35		56 40	12 05		
Total	**0 96**	**104**		**$1,703.87**	**14 1000**	**$1,466.40**	**-$237 47**	**$57 09**	3 89
SANOFI ADR SNY									
Acquired 10/07/05 L nc		15	42 10	631 55		606 60	-24 95		
Acquired 11/09/06 L nc		25	42 79	1,069 87		1,011 00	-58 87		
Acquired 07/25/14 L		10	50 99	511 00		404 40	-106 60		
Acquired 11/03/14 L		20	45 52	912 40		808 80	-103 60		
Acquired 03/21/16 S		15	40 20	604 23		606 60	2 37		
Acquired 04/29/16 S		20	41 16	825 04		808 80	-16 24		
Acquired 08/23/16 S		10	39 06	391 41		404 40	12 99		
Total	**3.04**	**115**	**$43 00**	**$4,945.50**	**40 4400**	**$4,650.60**	**-$294.90**	**$129 26**	2 78
SCHNEIDER ELECTRIC SE-ADR SBGSY									
Acquired 08/21/15 L		120	12 84	1,543 99		1,657 20	113 21		
Acquired 09/04/15 L		30	12 23	367 75		414 30	46 55		
Acquired 09/25/15 L		40	11 38	456 11		552 40	96 29		
Acquired 01/08/16 S		75	10 77	809 90		1,035 75	225 85		
Total	**2.40**	**265**	**$11.99**	**$3,177.75**	**13.8100**	**$3,659.65**	**$481.90**	**$107.32**	2 93
STATE STR CORP STT									
Acquired 05/03/12 L		10	45 99	460 00		777 20	317 20		
Acquired 08/02/12 L		10	39 82	398 30		777 20	378 90		
Acquired 08/24/12 L		15	41 05	615 78		1,165 80	550 02		
Acquired 05/20/14 L		15	63 57	953 58		1,165 80	212 22		
Total	**2 54**	**50**	**$48.55**	**$2,427.66**	**77.7200**	**$3,886.00**	**$1,458.34**	**$76 00**	1 96

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
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Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD
SWISS RE LTD SPONSORED ADR SSREY									
Acquired 06/03/11 L	0 93	60	14 83	890 22	23 7600	1,425 60	535 38	58 44	[illegible]
TELECOM ITALIA SPA SPON ADR TI/A									
Acquired 02/05/16 S		160	8 21	1,316 55		1,166 40	-150 15		
Acquired 07/06/16 S		60	5 98	359 96		437 40	77 44		
Total	**1.05**	**220**	**$7.62**	**$1,676.51**	**7 2900**	**$1,603.80**	**-$72.71**	**$68.64**	4 28
TELEFONICA BRASIL SA SPONSORED ADR VIV									
Acquired 02/04/14 L		120	18 56	2,227 98		1,605 60	-622 38		
Acquired 05/12/15 L		40	15 22	608 82		535 20	-73 62		
Acquired 09/22/15 L		55	9 62	529 39		735 90	206 51		
Total	**1 88**	**215**	**$15.66**	**$3,366.19**	**13.3800**	**$2,876 70**	**-$489.49**	**$111 80**	3 89
TESCO PLC SPON ADR TSCDY									
Acquired 02/03/14 L		50	15 80	790 02		379 50	-410 52		
Acquired 02/12/14 L		45	16 14	726 62		341 55	-385 07		
Acquired 05/20/14 L		65	15 49	1,006 95		493 35	-513 60		
Acquired 08/07/14 L		35	12 49	437 24		265 65	-171 59		
Acquired 09/26/14 L		65	9 41	611 90		493 35	-118 55		
Acquired 12/09/15 L		55	7 08	389 54		417 45	27 91		
Total	**1.56**	**315**	**$12.58**	**$3,962.27**	**7.5900**	**$2,390.85**	**-$1,571.42**	**N/A**	N/A
TIM PARTICIPACOES S A SPONSORED ADR TSU									
Acquired 08/21/12 L		13	21 20	275 66		153 40	-122 26		
Acquired 10/15/12 L		45	17 77	799 65		531 00	-268 65		
Acquired 05/21/13 L		35	20 33	711 73		413 00	-298 73		
Acquired 06/10/13 L		20	18 89	377 93		236 00	-141 93		
Acquired 10/05/15 L		40	10 04	401 60		472 00	70 40		
Total	**1.18**	**153**	**$16 77**	**$2,566.57**	**11.8000**	**$1,805.40**	**-$761 17**	**$38 55**	2 14

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31, 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
TOTAL S A SPONS ADR TOT									
Acquired 02/23/16 S		20	44 75	896 79		1,019 40	122 61		
Acquired 03/03/16 S		25	46 13	1,155 62		1,274 25	118 63		
Total	**1 50**	**45**	**$45.61**	**$2,052.41**	**50.9700**	**$2,293.65**	**$241 24**	**$102 73**	4 48
TOYOTA MTR CORP ADR NEW 3/82 TM									
Acquired 11/20/09 L nc		5	77 30	386 52		586 00	199 48		
Acquired 12/15/10 L nc		5	78 67	393 40		586 00	192 60		
Acquired 01/28/11 L		5	82 74	413 72		586 00	172 28		
Total	**1.15**	**15**	**$79.58**	**$1,193.64**	**117.2000**	**$1,758.00**	**$564.36**	**$50.37**	2 87
TURKIYE GARANTI BANKASI ADR TKGBY									
Acquired 03/14/14 L	0 77	565	2 90	1,640 93	2 0900	1,180 85	-460 08	20 11	1 70
UBS GROUP AG UBS									
Acquired 06/13/14 L		55	19 49	1,072 20		861 85	-210 35		
Acquired 08/04/14 L		60	17 07	1,024 36		940 20	-84 16		
Acquired 05/17/16 S		30	14 46	433 82		470 10	36 28		
Acquired 06/10/16 S		50	14 53	726 77		783 50	56 73		
Acquired 10/03/16 S		30	13 57	407 36		470 10	62 74		
Total	**2.31**	**225**	**$16.29**	**$3,664.51**	**15.6700**	**$3,525.75**	**-$138.76**	**$188 21**	5 34
WELLS FARGO & CO NEW WFC									
Acquired 10/02/09 L nc		12	26 20	314 49		661 32	346 83		
Acquired 11/13/09 L nc		20	27 85	557 20		1,102 20	545 00		
Acquired 06/10/11 L		15	25 75	386 27		826 65	440 38		
Acquired 06/28/16 S		15	45 76	686 40		826 65	140 25		
Acquired 10/04/16 S		10	43 79	438 00		551 10	113 10		
Total	**2.60**	**72**	**$33.09**	**$2,382.36**	**55.1100**	**$3,967.92**	**$1,585 56**	**$109 44**	2 76
WESTERN DIGITAL CORP WDC									
Acquired 01/19/11 L		11	32 84	361 32		747 45	386 13		
Acquired 09/23/11 L		10	26 04	260 50		679 50	419 00		
Acquired 05/08/15 L		5	95 99	480 00		339 75	-140 25		

SOL WAXMAN AND TINA P WAXMAN FAMILY FOUNDATION

DECEMBER 1, 2016 - DECEMBER 31 2016
ACCOUNT NUMBER 3708-3157

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNU YIELD (%)
Acquired 06/17/15 L		5	92 99	465 00		339 75	-125 25		
Acquired 07/22/15 L		15	78 50	1,177 51		1,019 25	-158 26		
Total	**2.05**	**46**	**$59.66**	**$2,744.33**	**67.9500**	**$3,125.70**	**$381.37**	**$92.00**	**2 94**
WM MORRISON ADR MRWSY									
Acquired 03/15/13 L		50	20 54	1,027 26		709 00	-318 26		
Acquired 10/15/13 L		20	22 57	451 45		283 60	-167 85		
Acquired 12/03/13 L		25	21 18	529 61		354 50	-175 11		
Acquired 07/24/14 L		30	14 67	440 22		425 40	-14 82		
Acquired 12/14/15 L		35	10 66	373 17		496 30	123 13		
Total	**1.48**	**160**	**$17.64**	**$2,821.71**	**14.1800**	**$2,268.80**	**-$552.91**	**$47 20**	2 08
Total Stocks and ETFs	**97.62**			**$144,382.90**		**$149,150.27**	**$4,767.37**	**$4,592.25**	3 08
Total Stocks, options & ETFs	**97.62**			**$144,382.90**		**$149,150.27**	**$4,767.37**	**$4,592 25**	3 08

Cost information for one or more securities is not available If you have cost information and would like to see it on future statements contact Your Financial Advisor
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated cost for all other lots will be reported to the IRS

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCE
12/01				BEGINNING BALANCE			4 664 [illegible]
12/01	Cash	DIVIDEND		PFIZER INCORPORATED 120116 114		34 20	
12/01	Cash	DIVIDEND		WELLS FARGO & CO NEW 120116 72		27 36	4 [illegible]
12/02	Cash	DIVIDEND		NISSAN MTR LTD SPONS-ADR 120216 225		88 88	
12/02	Cash	PURCHASE	10 00000	MCKESSON CORPORATION WE ACTED AS AGENT FOR YOUR ACCOUNT	144 1997	-1,442 00	
12/02	Cash	WITHHOLDING		FRGN-W/H @ SOURCE NISSAN MTR LTD SPONS-ADR		-8 89	3 363 [illegible]