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For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation ARK FOUNDATION		A Employer identification number 91-2125649	
Number and street (or P.O. box number if mail is not delivered to street address) 903 W ALAMEDA STREET NO 101		Room/suite	B Telephone number (see instructions) (760) 895-4090
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 87501		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,287,938	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	58,420	58,420	58,420	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	50,431			
	b Gross sales price for all assets on line 6a _____ 689,375				
	7 Capital gain net income (from Part IV, line 2)		50,431		
	8 Net short-term capital gain			2,602	
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	108,851	108,851	61,022	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,250	0	0	1,625
	c Other professional fees (attach schedule)	16,060	16,060	0	0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	380	380	0	0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	510	0	0	0
	22 Printing and publications				
	23 Other expenses (attach schedule)	32	15	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	20,232	16,455	0	1,625
	25 Contributions, gifts, grants paid	114,849			114,849
	26 Total expenses and disbursements. Add lines 24 and 25	135,081	16,455	0	116,474
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-26,230			
	b Net investment income (if negative, enter -0-)		92,396		
				61,022	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	34,981	73,583	73,583
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	363,412	319,958	315,818
	b	Investments—corporate stock (attach schedule)	1,046,462	1,042,320	1,580,271
	c	Investments—corporate bonds (attach schedule)	311,329	328,457	318,266
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	34,364	0	0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,790,548	1,764,318	2,287,938	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,790,548	1,764,318	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,790,548	1,764,318	
	31	Total liabilities and net assets/fund balances (see instructions) .	1,790,548	1,764,318	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,790,548
2	Enter amount from Part I, line 27a	2	-26,230
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,764,318
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,764,318

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a PUBLICLY TRADED SECURITIES	P		
b PUBLICLY TRADED SECURITIES	P		
c LIMITED PARTNERSHIP TRANSACTIONS	P		
d CAPITAL GAINS DIVIDENDS	P		
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 86,103		82,231	3,872
b 588,566		541,139	47,427
c 14,304		15,574	-1,270
d 402			402
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			3,872
b			47,427
c			-1,270
d			402
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	50,431
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	2,602

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	114,498	2,259,951	0 050664
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	0 050664
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050664
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,164,305
5 Multiply line 4 by line 3	5	109,652
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	924
7 Add lines 5 and 6	7	110,576
8 Enter qualifying distributions from Part XII, line 4	8	116,474

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	924
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	924
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	924
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,503
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	2,503
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,579
11	Enter the amount of line 10 to be Credited to 2017 estimated tax <input type="checkbox"/> 1,579 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NM		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► REBECCA DANT Telephone no ► (760) 895-4090			

Located at ► 903 W ALAMEDA STREET 101 SANTE FE NM ZIP+4 ► 87501

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d)	5b		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870	6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
RICHARD DANT 103-B CAMINO LOS ABUELOS SANTA FE, NM 87508	TRUSTEE 1 00	0	0	0
REBECCA DANT 1008 CHARTER OAK AVENUE ST HELENA, CA 94574	TRUSTEE 1 00	0	0	0
DEBORAH DANT PO BOX 301 TESUQUE, NM 87574	TRUSTEE 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DIRECT CONTRIBUTIONS TO QUALIFIED ORGANIZATIONS	114,849
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	2,147,314
b	Average of monthly cash balances.	1b	49,950
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,197,264
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,197,264
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	32,959
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,164,305
6	Minimum investment return. Enter 5% of line 5.	6	108,215

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	116,474
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	116,474
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	924
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	115,550

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ _____				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.				
e Excess from 2016.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2016	(b) 2015	(c) 2014	(d) 2013	
61,022	59,132	78,818	37,176	236,148
51,869	50,262	66,995	31,600	200,726
116,474	114,498	114,147	106,514	451,633
0	0	0	0	0
116,474	114,498	114,147	106,514	451,633
2,214,351	2,085,145	2,288,621	2,334,618	8,922,735
2,214,351	2,085,145	2,288,621	2,334,618	8,922,735
				0
				0
				0
				0

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	114,849
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2016)

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Form **990-PF** (2016)

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AH HAA SCHOOL FOR THE ARTS 300 SOUTH TOWNSEND ST TELLURIDE, CO 81435	N/A	PC	TO SUPPORT OPERATIONS	15,000
ALBUQUERQUE CENTER FOR PEACE AND JUSTICE 202 HARVARD SE ALBUQUERQUE, NM 87106	N/A	PC	TO SUPPORT OPERATIONS	1,500
ALBURQUERQUE HEALTHCARE 1217 1ST ST ALBUQUERQUE, NM 87102	N/A	PC	TO SUPPORT OPERATIONS	2,000
ANIMAL PROTECTION OF NEW MEXICO PO BOX 11395 ALBUQUERQUE, NM 87192	N/A	PC	TO SUPPORT OPERATIONS	500
CAMPBILL SPECIAL SCHOOL 1784 FAIRVIEW ROAD GLENMOORE, PA 19343	N/A	PC	TO SUPPORT OPERATIONS	2,333
CENTER FOR BIOLOGICAL DIVERSITY PO BOX 710 TUCSON, AZ 85702	N/A	PC	TO SUPPORT OPERATIONS	2,000
DEFENDERS OF WILDLIFE 1130 17TH ST NW WASHINGTON, DC 20036	N/A	PC	TO SUPPORT OPERATIONS	2,000
DOCTORS WITHOUT BOARDERS MSF USA 333 7TH AVENUE NEW YORK, NY 10001	N/A	PC	TO SUPPORT OPERATIONS	11,000
CENTER OF SOUTHWEST CULTURE - GENERATION JUSTICE 500 COPPER AVE NW SUITE 103 ALBUQUERQUE, NM 87102	N/A	PC	TO SUPPORT OPERATIONS	1,000
GERARD'S HOUSE PO BOX 28693 SANTA FE, NM 87592	N/A	PC	TO SUPPORT OPERATIONS	2,333
GLOBAL PURPOSE GROUP 3524 DUTCH WAY CARMICHAEL, CA 95608	N/A	PC	TO SUPPORT OPERATIONS	10,333
HANDICAP INTERNATIONAL PO BOX 53 TOMS RIVER, NJ 08754	N/A	PC	TO SUPPORT OPERATIONS	3,000
HART2HEART 12045 DELANTE WAY GRANADA HILLS, CA 91344	N/A	PC	TO SUPPORT OPERATIONS	500
HEART OF TAOS 204 PASEO DEL PUEBLO NORTE SUTIE C TAOS, NM 87571	N/A	PC	TO SUPPORT OPERATIONS	1,000
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10001	N/A	PC	TO SUPPORT OPERATIONS	5,000
Total ► 3a				114,849

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUST FOR KIDS 129 MAIN STREET SUITE 406 BECKLEY, WV 25801	N/A	PC	TO SUPPORT OPERATIONS	5,000
LAS CUMBRES COMMUNITY SERVICES 805 EARLY ST BUILDING F SANTA FE, NM 87505	N/A	PC	TO SUPPORT OPERATIONS	3,000
LITTLE EARTH SCHOOL 321 W ZIA RD SANTA FE, NM 87505	N/A	PC	TO SUPPORT OPERATIONS	2,000
NATURAL RESOURCE DEFENSE COUNCIL 40 WEST 20TH ST NEW YORK, NY 10011	N/A	PC	TO SUPPORT OPERATIONS	2,000
NEW MEXICO DENTAL FOUNDATION 9201 MONTGOMERY BLVD NE 601 ALBUQUERQUE, NM 87111	N/A	PC	TO SUPPORT OPERATIONS	1,000
PALM SPRINGS ART MUSEUM 101 MUSEUM DRIVE PO BOX 2310 PALM SPRINGS, CA 92263	N/A	PC	TO SUPPORT OPERATIONS	1,500
PAWS & STRIPES 115 MONTECLAIRE DR SE ALBUQUERQUE, NM 87108	N/A	PC	TO SUPPORT OPERATIONS	2,000
REUNITY RESOURCES 1000 CORDOVA PLACE 650 SANTA FE, NM 87505	N/A	PC	TO SUPPORT OPERATIONS	1,500
SAN MIGUEL RESOURCE CENTER PO BOX 3243 TELLURIDE, CO 81435	N/A	PC	TO SUPPORT OPERATIONS	5,000
SANTA FE WALDORF SCHOOL 26 PUESTA DEL SOL SANTA FE, NM 87508	N/A	PC	TO SUPPORT OPERATIONS	2,000
SAVE THE CHILDREN 54 WILTON RD WESTPORT, CT 06880	N/A	PC	TO SUPPORT OPERATIONS	4,000
SEA SHEPPARD CONSERVATION PO BOX 8628 ALEXANDRIA, VA 22306	N/A	PC	TO SUPPORT OPERATIONS	1,000
SITKA CENTER 56605 SITKA DRIVE OTIS, OR 97368	N/A	PC	TO SUPPORT OPERATIONS	5,000
SPIRIT RUN EQUINE RESCUE 2233 IRONWOOD RD GILMER, TX 75644	N/A	PC	TO SUPPORT OPERATIONS	1,000
STANDING ROCK SIOUX FALLS PO BOX D FORT YATES, ND 58538	N/A	PC	TO SUPPORT OPERATIONS	1,000
Total ► 3a				114,849

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TARIRO PO BOX 50273 EUGENE, OR 97405	N/A	PC	TO SUPPORT OPERATIONS	5,000
TELLURIDE ACADEMY PO BOX 2255 TELLURIDE, CO 81435	N/A	PC	TO SUPPORT OPERATIONS	7,000
THE POPTECH INSTITUTE 21 ELM ST 2ND FL CAMDEN, ME 04843	N/A	PC	TO SUPPORT OPERATIONS	1,100
THE SANTA FE FARMERS MARKET 1607 PASEO DE PERALTA SANTA FE, NM 87501	N/A	PC	TO SUPPORT OPERATIONS	250
WILD EARTH GUARDIANS 516 ALTO ST SANTA FE, NM 87501	N/A	PC	TO SUPPORT OPERATIONS	5,000
Total 3a				114,849

TY 2016 Accounting Fees Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,250	0	0	1,625

TY 2016 Investments Corporate Bonds Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ALCOA INC	25,832	26,500
APPLE INC 2	26,058	25,519
AT&T INC 2	35,320	31,170
BAXTER INTL INC	0	0
BOTTLING GROUP LLC	0	0
CATERPILLAR INC	30,368	30,039
CELGENE CORP	25,923	25,223
CHEVRON CORP NEW	25,015	24,534
GENERAL ELEC CAP CORP	28,695	26,385
GOLDMAN SACHS GROUP INC	25,671	25,936
JPMORGAN CHASE & CO	25,588	26,456
ORACLE CORP	25,268	24,827
UNITEDHEALTH GROUP INC	25,333	25,320
XEROX CORP	29,386	26,357

TY 2016 Investments Corporate Stock Schedule

Name: ARK FOUNDATION
EIN: 91-2125649

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M CO	14,048	31,250
ADIENT PLC IRELAND	1,165	1,465
AETNA INC	3,052	14,881
AGCO CORP	0	0
ALLEGHANY CORP DEL	14,307	21,284
ALLEGHENYTECHNOLOGIES INC	0	0
ALLSTATE CORP	15,587	18,530
AMDOCS LTD	10,073	15,728
AMERICAN EXPRESS CO	13,799	18,520
AMGEN INC	5,265	16,814
APPLE INC	23,486	32,430
ARCHER DANIELS MIDLAND CO	0	0
ASTRAZENECA PLC	22,990	20,900
AT&T INC	13,626	22,328
AVNET INC	23,046	25,709
BABCOCK & WILCOX ENTERPRISES INC	0	0
BECTON DICKINSON & CO	7,445	19,038
BED BATH & BEYOND INC	0	0
BG GROUP PLC	0	0
BLACKROCK INC	6,975	19,027
BORG WARNER INC	14,683	17,354
BP P L C	0	0
BUNGE LTD	10,634	18,999
BWX TECHNOLOGIES INC	14,595	29,775
CHEVRON CORP	24,349	43,549
CHUBB CORP	0	0
CONOCOPHILLIPS	9,390	16,546
CSX CORP	32,383	34,672
DAVITA INC	22,911	22,791
DELL TECHNOLOGIES	4,248	4,947

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DISCOVERY COMMUNICATIONS INC	0	0
EMC CORP	0	0
EMERSON ELEC CO	9,905	16,446
EXPRESS SCRIPTS	15,294	14,446
EXXON MOBIL CORP	10,761	18,955
GENERAL ELEC CO	13,793	32,864
GOLDMAN SACHS GROUP INC 2	14,650	22,748
HARLEY DAVIDSON	19,292	28,295
HEWLETT PACKARD ENTERPRISE CO	17,113	25,223
HOWARD HUGHES CORP	24,220	33,660
HP INC	15,267	16,176
IAC INTERACTIVE CORP	27,998	32,071
INTEL CORP	15,309	30,285
J P MORGAN CHASE & CO	0	0
JOHNSON & JOHNSON	32,841	38,595
JOHNSON CTLS INC	11,423	10,298
JP MORGAN CHASE	10,789	47,460
KEYCORP NEW	24,693	36,631
KEYSIGHT TECHNOLOGIES	14,057	21,393
KONINKLIJKE PHILIPS NV	15,461	17,883
KROGER CO	16,211	18,118
LAC /INTERACTIVECORP	0	0
LEUCADIA NATL CORP	0	0
MAGNA INTL INC	15,731	16,275
MCDONALDS CORP	11,619	14,606
MCKESSON CORP	7,766	17,556
MERCK & CO INC	18,573	30,612
METHANEX CORP	24,877	19,053
MICROSOFT CORP	16,230	41,323
MORGAN STANLEY	7,229	19,013

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MOSAIC CO	15,030	17,598
NESTLES A	8,618	17,969
NEWMONT MNG CORP	16,189	24,190
PFIZER INC	31,028	32,480
PNC FINL SVCS GROUP INC	22,620	52,632
QUALCOMM INC	24,708	30,318
ROYAL DUTCH SHELL PLC	27,498	21,752
SCHLUMBERGER LTD	13,326	23,086
SIGNET JEWELERS LTD	15,579	18,852
SOUTHWEST AIRLS CO	6,209	37,380
STAPLES INC	0	0
STATE STR CORP	15,232	30,311
TE CONNECTIVITY LTD	2,906	25,634
TIME WARNER INC	21,371	29,442
TRIMBLE NAVIGATION LTD	15,824	23,819
URBAN OUTFITTERS INC	16,290	14,952
US BANCORP DEL	13,189	25,685
WAL-MART STORES INC	35,028	41,472
WELLS FARGO	22,516	26,177
WESTERN UNION CO	0	0

TY 2016 Investments Government Obligations Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649**US Government Securities - End
of Year Book Value:**

319,958

**US Government Securities - End
of Year Fair Market Value:**

315,818

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2016 Investments - Other Schedule

Name: ARK FOUNDATION

EIN: 91-2125649

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PLUM CREEK TIMBER CO INC	AT COST	0	0

TY 2016 Other Expenses Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES	15	15	0	0
MISCELLANEOUS	17	0	0	0

TY 2016 Other Professional Fees Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	13,013	13,013	0	0
BANK FEES	3,047	3,047	0	0

TY 2016 Taxes Schedule**Name:** ARK FOUNDATION**EIN:** 91-2125649

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	380	380	0	0