Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

Name of foundation
Duim Family Foundation

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
3410 NE Harrison St

City or town, state or province, country, and ZIP or foreign postal code
Issaquah WA 98029

A Employer identification number
91-1872763

B Telephone number (see instructions)
(425) 687-6972

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 1,984,277.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants etc, received (attach schedule)	75,549.			
	2 Check ► ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	54,877.	54,877.		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	82,770.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 1,716,626.				
	7 Capital gain net income (from Part IV, line 2)		82,770.		
	8 Net short-term capital gain			82,770.	
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total Add lines 1 through 11	213,196.	137,647.	82,770.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	900.	350.		
	c Other professional fees (attach sch) L-16c Stmt	7,399.	7,399.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	639.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses Add lines 13 through 23	8,938.	7,749.		
	25 Contributions, gifts, grants paid	177,750.			177,750.
	26 Total expenses and disbursements Add lines 24 and 25	186,688.	7,749.		177,750.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	26,508.			
	b Net investment income (if negative, enter -0-)		129,898.		
	c Adjusted net income (if negative, enter -0-)			82,770.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	136,845.	90,098.	90,098.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) L-10b Stmt	25,381.	25,381.	89,639.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	1,677,821.	1,751,076.	1,804,540.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	1,840,047.	1,866,555.	1,984,277.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,840,047.	1,866,555.	
	30 **Total net assets or fund balances** (see instructions)	1,840,047.	1,866,555.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,840,047.	1,866,555.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,840,047.
2	Enter amount from Part I, line 27a	2	26,508.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,866,555.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,866,555.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	2046.372 sh. DFA EMERGING MKTS CORE EQUITY	P	Various	03/31/16
b	8155.954 sh. DFA TAX MGD INTL VALUE FD	P	Various	03/31/16
c	3937.008 sh. PIMCO FOREIGN BD	P	Various	04/07/16
d	SEE ATTACHED CHARLES SCHWAB STATEMENT	P	Various	Various
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	34,463.		33,326.	1,137.
b	102,584.		111,126.	-8,542.
c	40,000.		40,536.	-536.
d	1,539,579.		1,448,868.	90,711.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				1,137.
b				-8,542.
c				-536.
d				90,711.
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	82,770.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	82,770.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	210,345.	2,024,455.	0.103902
2014	182,793.	2,141,210.	0.085369
2013	121,501.	2,076,113.	0.058523
2012	118,900.	1,898,974.	0.062613
2011	204,799.	1,892,712.	0.108204

2	Total of line 1, column (d)	2	0.418611
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.083722
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,966,525.
5	Multiply line 4 by line 3	5	164,641.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,299.
7	Add lines 5 and 6	7	165,940.
8	Enter qualifying distributions from Part XII, line 4	8	177,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter: (attach copy of letter if necessary – see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	1,299.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,299.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,299.
6	Credits/Payments		
a	2016 estimated tax pmts and 2015 overpayment credited to 2016 — 6 a		
b	Exempt foreign organizations – tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c: 0.		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	14.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	1,313.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ (2) On foundation managers ► $			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col. (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► WASHINGTON			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Gary T Duim Telephone no ► (425) 687-6972

Located at ► 3410 NE Harrison St Issaquah WA ZIP + 4 ► 98029

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' *enter the name of the foreign country* ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Gary T Duim 3410 NE Harrison St Issaquah WA 98029	President 4.00	0.	0.	0.
Linda K Duim 3410 NE Harrison St Issaquah WA 98029	Vice President 0.00	0.	0.	0.
Douglas A Duim 3410 NE Harrison St Issaquah WA 98029	Secretary/Trasurer 0.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,876,124.
b	Average of monthly cash balances	1b	120,348.
c	Fair market value of all other assets (see instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,996,472.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,996,472.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	29,947.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,966,525.
6	**Minimum investment return.** Enter 5% of line 5	6	98,326.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	98,326.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,299.		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,299.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	97,027.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	97,027.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	97,027.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	177,750.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	177,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,299.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	176,451.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					97,027.
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only				0.	
b Total for prior years 20 ___, 20 ___, 20 ___					
3 Excess distributions carryover, if any, to 2016:					
a From 2011	203,080.				
b From 2012	25,301.				
c From 2013	18,944.				
d From 2014	78,078.				
e From 2015	110,222.				
f Total of lines 3a through e		435,625.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► $ 177,750.					
a Applied to 2015, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2016 distributable amount					97,027.
e Remaining amount distributed out of corpus		80,723.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		516,348.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions			0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount – see instructions				0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)					
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		203,080.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		313,268.			
10 Analysis of line 9					
a Excess from 2012	25,301.				
b Excess from 2013	18,944.				
c Excess from 2014	78,078.				
d Excess from 2015	110,222.				
e Excess from 2016	80,723.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

Gary T Duim

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
Vision House PO Box 2951 Renton WA 98056		N/A	Relief	5,000.
International Justice Mission PO Box 96961 Washington DC 20090		N/A	Relief	20,000.
Adventure Soccer PO Box 1582 Snohomish WA 98291		N/A	Disadvantaged Youth	20,000.
Christian Radio for Russia PO Box 428 Jamestown NY 14701		N/A	Relief	40,000.
Olive Crest 2130 E 4th St., Ste. 200 Santa Ana CA 92705		N/A	Relief	10,000.
Vine Maple PO Box 1092 Maple Valley WA 98038		N/A	Relief	25,000.
Medical Teams International PO Box 10 Portland OR 97207		N/A	Relief	50,000.
Hand in Hand 14 East Casino Road Ste. E Everett WA 98208		N/A	Relief	5,000.
Sacred Road Ministries PO Box 400 New Castle WA 98059		N/A	Relief	2,500.
Snohomish HS (Africa) 1316 5th St Snohomish WA 98290		N/A	Relief	250.
Total ► **3a**				177,750.
***b** Approved for future payment*				
None				
Total ► **3b**				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	54,877.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	82,770.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				137,647.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 137,647.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 6/8/2017 Director

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Don S. Archiable	Don S. Archiable	06/08/17		P01030893

Firm's name ► DON S. ARCHIABLE, CPA | Firm's EIN ►

Firm's address ► 1769 271st Ave SE, Sammamish WA 98075 | Phone no (888) 279-7818

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2016

Name of the organization	Employer identification number
Duim Family Foundation	91-1872763

Organization type (check one)

Filers of: | **Section:**

Form 990 or 990-EZ
- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF
- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** *or a* **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . . ► $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: Duim Family Foundation

Employer identification number: 91-1872763

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Duim Charitable Lead Trust 3410 NE Harrison St Issaquah WA 98029	$ 75,549.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2016

Name	Employer Identification Number
Duim Family Foundation	91-1872763

Asset Information:

Description of Property . . . . . .
Date Acquired . | How Acquired . . .
Date Sold . . . | Name of Buyer. . . .
Sales Price . . 1,716,626. | Cost or other basis (do not reduce by depreciation) . . . 1,633,856.
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . 82,770. | Accumulation Depreciation . . .

Description of Property . . . . . .
Date Acquired. . | How Acquired . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation. . . .

Description of Property . . . . . .
Date Acquired . | How Acquired . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation . . .

Description of Property . . . . . .
Date Acquired . | How Acquired . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation . . .

Description of Property . . . . . .
Date Acquired . | How Acquired . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation . . .

Description of Property . . . . . .
Date Acquired . | How Acquired. . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation . . .

Description of Property . . . . . .
Date Acquired . | How Acquired . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation . . .

Description of Property . . . . . .
Date Acquired. . | How Acquired . . .
Date Sold . . . | Name of Buyer . . .
Sales Price . . | Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . . | Valuation Method . . . .
Total Gain (Loss) . . | Accumulation Depreciation . . .

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Income Taxes	564.			
WA State Taxes	25.			
Bank Fees	50.			
Total	639.			

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] Rae Ann Duim 3410 NE Harrison St Issaquah WA 98029	Director 0.00	0.	0.	-0-.
Person . . [X] Business . [ ] Deanna K Duim 3410 NE Harrison St Issaquah WA 98029	Director 0.00	0.	0.	0.
Person . . [X] Business . [ ] Duane M Duim 3410 NE Harrison St Issaquah WA 98029	Director 0.00	0.	0.	0.
Total		0.	0.	0.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Don S. Archiable, CPA	Accounting	900.	350.		
Total		900.	350.		

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Allison Spielman Advisors	Investment Management	4,564.	4,564.		
Paracle Advisors	Investment Management	2,835.	2,835.		
Total		7,399.	7,399.		

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
Stocks	25,381.	89,639.
Total	25,381.	89,639.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
Mutual Funds	1,751,076.	1,804,540.
Total	1,751,076.	1,804,540.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

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REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D
Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol		CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA GLOBAL REAL ESTATE SECURITIES PORT		23320G554	106.86	12/17/15	08/10/16 $	1,273.39 $	1,094 32	-- $	179.07
Security Subtotal					$	**1,273.39 $**	**1,094.32**	**-- $**	**179.07**
DFA TA US CORE EQTY 2 INSTL	PORT	233203314	11.42	09/10/15	08/10/16 $	166 67 $	153 99	-- $	12 68
DFA TA US CORE EQTY 2 INSTL	PORT	233203314	5,721 17	12/03/15	08/10/16 $	83,458.53 $	80,000 00	-- $	3,458 53
DFA TA US CORE EQTY 2 INSTL	PORT	233203314	0 25	12/17/15	08/10/16 $	3.73 $	3 54	-- $	0.19
DFA TA US CORE EQTY 2 INSTL	PORT	233203314	46.91	12/17/15	08/10/16 $	684.39 $	649 32	-- $	35 07
DFA TA US CORE EQTY 2 INSTL	PORT	233203314	37 96	03/31/16	08/10/16 $	553 81 $	524 28	-- $	29 53
DFA TA US CORE EQTY 2 INSTL	PORT	233203314	36.64	06/30/16	08/10/16 $	534.55 $	504 95	-- $	29.60
Security Subtotal					$	**85,401.68 $**	**81,836.08**	**-- $**	**3,565.60**
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	263 22	09/10/15	08/10/16 $	2,487 40 $	2,363 80	-- $	123 60
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	113.15	03/31/16	08/10/16 $	1,069.25 $	1,021.77	-- $	47 48
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	3,835 52	03/31/16	08/10/16 $	36,244.06 $	34,481.37	-- $	1,762.69

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

Schwab One® Account of
DUIM FAMILY FOUNDATION

Account Number
2983-6097

TAX YEAR 2016
YEAR-END SUMMARY

Date Prepared: February 10, 2017

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA TA WORLD EX US CORE EQTY PORT	23320G505	11,412.89	03/31/16	08/10/16 $	107,846.92 $	102,601.90	-- $	5,245.02
DFA TA WORLD EX US CORE EQTY PORT	23320G505	560 24	06/30/16	08/10/16 $	5,294 10 $	4,896.58	-- $	397.52
Security Subtotal				$	152,941.73 $	145,365.42	-- $	7,576.31
LORD ABBETT TOTAL RETURN I	54400U106	34,328.16	12/03/15	08/10/16 $	366,948 52 $	352,225 00	-- $	14,723.52
LORD ABBETT TOTAL RETURN I	54400U106	8 40	12/18/15	08/10/16 $	89.84 $	85.82	-- $	4.02
LORD ABBETT TOTAL RETURN I	54400U106	52.78	12/18/15	08/10/16 $	564.25 $	538 95	-- $	25 30
LORD ABBETT TOTAL RETURN I	54400U106	81 54	12/31/15	08/10/16 $	871.69 $	830 95	-- $	40.74
LORD ABBETT TOTAL RETURN I	54400U106	91 84	01/29/16	08/10/16 $	981.73 $	938.61	-- $	43.12
LORD ABBETT TOTAL RETURN I	54400U106	90 29	02/29/16	08/10/16 $	965 19 $	925.51	-- $	39.68
LORD ABBETT TOTAL RETURN I	54400U106	89.00	03/31/16	08/10/16 $	951.39 $	925.63	-- $	25.76
LORD ABBETT TOTAL RETURN I	54400U106	91.16	04/29/16	08/10/16 $	974 46 $	954.46	-- $	20.00
LORD ABBETT TOTAL RETURN I	54400U106	81 17	05/31/16	08/10/16 $	867.71 $	848.28	-- $	19.43
LORD ABBETT TOTAL RETURN I	54400U106	80 49	06/30/16	08/10/16 $	860.40 $	854.01	-- $	6 39
LORD ABBETT TOTAL RETURN I	54400U106	15 98	07/29/16	08/10/16 $	170.82 $	170 83	-- $	(0.01)
Security Subtotal				$	374,246.00 $	359,298.05	-- $	14,947.95
PIMCO FOREIGN BD USD HEDGED CL D	693391666	8 50	08/31/15	08/10/16 $	89.94 $	89.94	-- $	0.00
PIMCO FOREIGN BD USD HEDGED CL D	693391666	7 21	09/30/15	08/10/16 $	76 33 $	76 48	-- $	(0.15)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	6 69	10/30/15	08/10/16 $	70.82 $	71 42	-- $	(0.60)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	6 31	11/30/15	08/10/16 $	66.83 $	67.66	-- $	(0 83)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

DST 00111444X

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	21 94	12/16/15	08/10/16 $	232.16 $	229.09	-- $	3 07
PIMCO FOREIGN BD USD HEDGED CL D	693391666	79.04	12/16/15	08/10/16 $	836.27 $	825.21	-- $	11 06
PIMCO FOREIGN BD USD HEDGED CL D	693391666	343 30	12/29/15	08/10/16 $	3,632.19 $	3,409.04	-- $	223 15
PIMCO FOREIGN BD USD HEDGED CL D	693391666	6.32	12/31/15	08/10/16 $	66 94 $	62.72	-- $	4 22
PIMCO FOREIGN BD USD HEDGED CL D	693391666	2 40	01/29/16	08/10/16 $	25 42 $	24.05	-- $	1.37
PIMCO FOREIGN BD USD HEDGED CL D	693391666	1 73	02/29/16	08/10/16 $	18.30 $	17.39	-- $	0 91
PIMCO FOREIGN BD USD HEDGED CL D	693391666	3 92	05/31/16	08/10/16 $	41.53 $	40 11	-- $	1 42
PIMCO FOREIGN BD USD HEDGED CL D	693391666	3.07	06/30/16	08/10/16 $	32 49 $	32.06	-- $	0 43
PIMCO FOREIGN BD USD HEDGED CL D	693391666	1 07	07/29/16	08/10/16 $	11.33 $	11.28	-- $	0 05
Security Subtotal				$	**5,200.55 $**	**4,956.45**	-- $	**244.10**
PIMCO INCM INST CL	72201F490	90.01	08/31/15	08/10/16 $	1,080.98 $	1,099 97	-- $	(18 99)
PIMCO INCM INST CL	72201F490	91 39	09/30/15	08/10/16 $	1,097.51 $	1,104 90	-- $	(7 39)
PIMCO INCM INST CL	72201F490	90.98	10/30/15	08/10/16 $	1,092.63 $	1,110.00	-- $	(17 37)
PIMCO INCM INST CL	72201F490	91 77	11/30/15	08/10/16 $	1,102 11 $	1,115.04	-- $	(12.93)
PIMCO INCM INST CL	72201F490	17 82	12/16/15	08/10/16 $	214.10 $	213 94	-- $	0 16
PIMCO INCM INST CL	72201F490	425 53	12/29/15	08/10/16 $	5,110.29 $	5,000 05	-- $	110 24
PIMCO INCM INST CL	72201F490	95.83	12/31/15	08/10/16 $	1,150 91 $	1,124 17	-- $	26 74
PIMCO INCM INST CL	72201F490	98.20	01/29/16	08/10/16 $	1,179.39 $	1,150 01	-- $	29 38

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section

Date Prepared: February 10, 2017

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Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part I, with Box A checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO INCM INST CL	72201F490	99 62	02/29/16	08/10/16	$ 1,196 39	$ 1,155.61	--	$ 40.78
PIMCO INCM INST CL	72201F490	98.64	03/31/16	08/10/16	$ 1,184.57	$ 1,160 99	--	$ 23.58
PIMCO INCM INST CL	72201F490	98 44	04/29/16	08/10/16	$ 1,182.18	$ 1,166 53	--	$ 15 65
PIMCO INCM INST CL	72201F490	98 65	05/31/16	08/10/16	$ 1,184.78	$ 1,172 05	--	$ 12 73
PIMCO INCM INST CL	72201F490	99.03	06/30/16	08/10/16	$ 1,189.29	$ 1,177 50	--	$ 11.79
PIMCO INCM INST CL	72201F490	35.03	07/29/16	08/10/16	$ 420.77	$ 419 76	--	$ 1.01
Security Subtotal					$ 18,385.90	$ 18,170.52	--	$ 215.38
PIMCO TOTAL RETURN FUND INSTL CL	693390700	125.10	09/30/15	08/10/16	$ 1,295.97	$ 1,309.81	--	$ (13 84)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	3 94	01/29/16	08/10/16	$ 40.83	$ 40 00	--	$ 0 83
PIMCO TOTAL RETURN FUND INSTL CL	693390700	4 19	02/29/16	08/10/16	$ 43 45	$ 42 22	--	$ 1.23
PIMCO TOTAL RETURN FUND INSTL CL	693390700	4 72	03/31/16	08/10/16	$ 48 90	$ 48.05	--	$ 0.85
PIMCO TOTAL RETURN FUND INSTL CL	693390700	4 49	04/29/16	08/10/16	$ 46 58	$ 45 86	--	$ 0.72
PIMCO TOTAL RETURN FUND INSTL CL	693390700	5 06	05/31/16	08/10/16	$ 52 43	$ 51.62	--	$ 0 81
PIMCO TOTAL RETURN FUND INSTL CL	693390700	5 16	06/30/16	08/10/16	$ 53.45	$ 53 20	--	$ 0.25

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Date Prepared: February 10, 2017

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Short-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	2.05	07/29/16	08/10/16 $	21.33 $	21.39	-- $	(0.06)
Security Subtotal				$	**1,602.94 $**	**1,612.15**	-- $	**(9.21)**
Total Short-Term *(Cost basis is reported to the IRS)*				$	**639,052.19 $**	**612,332.99**	-- $	**26,719.20**
Total Short-Term				$	**639,052.19 $**	**612,332.99**	-- $	**26,719.20**

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA FIVE YEAR GLBL FIXED INCM PORT INSTL	233203884	18,130 73	08/29/14	08/10/16 $	203,410.17 $	200,000 00	-- $	3,410.17
DFA FIVE YEAR GLBL FIXED INCM PORT INSTL	233203884	3,615 01	12/08/14	08/10/16 $	40,557.09 $	40.000 00	-- $	557.09
Security Subtotal				$	**243,967.26 $**	**240,000.00**	-- $	**3,967.26**
DFA GLOBAL REAL ESTATE SECURITIES PORT	23320G554	450 01	12/13/12	08/10/16 $	5,362 15 $	3.925 63	-- $	1,436.52
DFA GLOBAL REAL ESTATE SECURITIES PORT	23320G554	264 63	12/12/13	08/10/16 $	3,153 24 $	2,308 48	-- $	844 76

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA GLOBAL REAL ESTATE SECURITIES PORT	23320G554	257 71	12/17/14	08/10/16 $	3,070.84 $	2,639.03	-- $	431.81
DFA GLOBAL REAL ESTATE SECURITIES PORT	23320G554	24 35	12/31/14	08/10/16 $	290.21 $	254.52	-- $	35.69
Security Subtotal				$	**11,876.44 $**	**9,127.66**	**-- $**	**2,748.78**
DFA TA US CORE EQTY 2 PORT INSTL	233203314	2,143.15	05/03/12	08/10/16 $	31,263.57 $	20,693 64	-- $	10,569.93
DFA TA US CORE EQTY 2 PORT INSTL	233203314	42 26	06/08/12	08/10/16 $	616.55 $	408.10	-- $	208 45
DFA TA US CORE EQTY 2 PORT INSTL	233203314	35.20	09/10/12	08/10/16 $	513.50 $	339.89	-- $	173.61
DFA TA US CORE EQTY 2 PORT INSTL	233203314	11.12	12/13/12	08/10/16 $	162 29 $	107 42	-- $	54 87
DFA TA US CORE EQTY 2 PORT INSTL	233203314	72 31	12/13/12	08/10/16 $	1,054 91 $	698 25	-- $	356 66
DFA TA US CORE EQTY 2 PORT INSTL	233203314	19 15	03/08/13	08/10/16 $	279.48 $	184.99	-- $	94 49
DFA TA US CORE EQTY 2 PORT INSTL	233203314	35.41	06/10/13	08/10/16 $	516 55 $	341.91	-- $	174 64
DFA TA US CORE EQTY 2 PORT INSTL	233203314	35 51	09/10/13	08/10/16 $	518.12 $	342.95	-- $	175 17
DFA TA US CORE EQTY 2 PORT INSTL	233203314	42 83	12/12/13	08/10/16 $	624.80 $	413.57	-- $	211.23
DFA TA US CORE EQTY 2 PORT INSTL	233203314	89 29	12/12/13	08/10/16 $	1,302 58 $	862 19	-- $	440.39
DFA TA US CORE EQTY 2 PORT INSTL	233203314	24 37	03/11/14	08/10/16 $	355 54 $	332.45	-- $	23.09
DFA TA US CORE EQTY 2 PORT INSTL	233203314	33 65	06/10/14	08/10/16 $	490 87 $	473.12	-- $	17 75

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA TA US CORE EQTY 2 PORT INSTL	233203314	34 28	09/10/14	08/10/16 $	500.17 $	485.51	-- $	14.66
DFA TA US CORE EQTY 2 PORT INSTL	233203314	13 76	12/17/14	08/10/16 $	200 74 $	186.60	-- $	14.14
DFA TA US CORE EQTY 2 PORT INSTL	233203314	35 37	12/17/14	08/10/16 $	516.08 $	479.72	-- $	36.36
DFA TA US CORE EQTY 2 PORT INSTL	233203314	8 17	03/10/15	08/10/16 $	119.22 $	118.02	-- $	1.20
DFA TA US CORE EQTY 2 PORT INSTL	233203314	10 08	06/09/15	08/10/16 $	147.07 $	147.09	-- $	(0.02)
Security Subtotal				$	**39,182.04 $**	**26,615.42**	**-- $**	**12,566.62**
DFA TA WORLD EX US CORE EQTY PORT	23320G505	25 67	03/08/12	08/10/16 $	242.62 $	221.01	-- $	21 61
DFA TA WORLD EX US CORE EQTY PORT	23320G505	22,981 33	05/03/12	08/10/16 $	217,163.73 $	197,820.24	-- $	19,343.49
DFA TA WORLD EX US CORE EQTY PORT	23320G505	641 88	06/08/12	08/10/16 $	6,065.53 $	5,525 25	-- $	540 28
DFA TA WORLD EX US CORE EQTY PORT	23320G505	183 01	09/10/12	08/10/16 $	1,729.38 $	1,575.33	-- $	154 05
DFA TA WORLD EX US CORE EQTY PORT	23320G505	48 34	03/08/13	08/10/16 $	456 85 $	416 16	-- $	40.69
DFA TA WORLD EX US CORE EQTY PORT	23320G505	580 31	06/10/13	08/10/16 $	5,483 71 $	4,995 26	-- $	488.45
DFA TA WORLD EX US CORE EQTY PORT	23320G505	203.63	09/10/13	08/10/16 $	1,924.26 $	1,752.86	-- $	171.40
DFA TA WORLD EX US CORE EQTY PORT	23320G505	112 77	12/12/13	08/10/16 $	1,065 64 $	970 72	-- $	94.92
DFA TA WORLD EX US CORE EQTY PORT	23320G505	286 62	12/12/13	08/10/16 $	2,708 51 $	2,467 25	-- $	241.26

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA TA WORLD EX US CORE EQTY PORT	23320G505	177 58	06/24/15	08/10/16 $	1,678.08 $	1,749 42	-- $	(71.34)
Security Subtotal				$	**238,518.31 $**	**217,493.50**	-- $	**21,024.81**
PIMCO FOREIGN BD USD HEDGED CL D	693391666	5.46	04/20/10	08/10/16 $	57 82 $	56.32	-- $	1.50
PIMCO FOREIGN BD USD HEDGED CL D	693391666	4 24	05/19/10	08/10/16 $	44.91 $	43 84	-- $	1 07
PIMCO FOREIGN BD USD HEDGED CL D	693391666	17 33	01/31/12	08/10/16 $	183 39 $	187.85	-- $	(4 46)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	21 18	02/29/12	08/10/16 $	224 13 $	229.57	-- $	(5 44)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	24 48	03/30/12	08/10/16 $	259.02 $	265 31	-- $	(6.29)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	22 45	04/30/12	08/10/16 $	237.55 $	243.32	-- $	(5.77)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	27.32	05/31/12	08/10/16 $	289.09 $	296 11	-- $	(7.02)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	26 70	06/29/12	08/10/16 $	282.57 $	289 44	-- $	(6 87)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	23 51	07/31/12	08/10/16 $	248.76 $	254.80	-- $	(6.04)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	25 58	08/31/12	08/10/16 $	270.67 $	277.24	-- $	(6 57)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	19.73	09/28/12	08/10/16 $	208.74 $	213 82	-- $	(5.08)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	18 47	10/31/12	08/10/16 $	195 47 $	200 21	-- $	(4 74)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	20.75	11/30/12	08/10/16 $	219 56 $	224.89	-- $	(5 33)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	39 00	12/12/12	08/10/16	$ 412 69	$ 422 72	--	$ (10 03)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	358 67	12/12/12	08/10/16	$ 3,794 82	$ 3,887 03	--	$ (92.21)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	181 90	12/27/12	08/10/16	$ 1,924 50	$ 1,971 26	--	$ (46 76)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	17 17	12/31/12	08/10/16	$ 181 75	$ 186.17	--	$ (4.42)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	13 61	01/31/13	08/10/16	$ 143 99	$ 147.49	--	$ (3 50)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	14 47	02/28/13	08/10/16	$ 153 17	$ 156 89	--	$ (3 72)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	12 84	03/28/13	08/10/16	$ 135 89	$ 139 19	--	$ (3.30)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	16 70	04/30/13	08/10/16	$ 176 72	$ 181.01	--	$ (4 29)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	16.00	05/31/13	08/10/16	$ 169.31	$ 173 43	--	$ (4.12)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	16.20	06/28/13	08/10/16	$ 171.44	$ 175.60	--	$ (4.16)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	15 85	07/31/13	08/10/16	$ 167 75	$ 171.82	--	$ (4.07)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	17 06	08/30/13	08/10/16	$ 180 58	$ 184.97	--	$ (4 39)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	15 61	09/30/13	08/10/16	$ 165 20	$ 169 21	--	$ (4.01)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	20 13	10/31/13	08/10/16	$ 213 01	$ 218.18	--	$ (5 17)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	14 32	11/29/13	08/10/16	$ 151 52	$ 155.20	--	$ (3 68)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

Schwab One® Account of
DUIM FAMILY FOUNDATION

Account Number
2983-6097

TAX YEAR 2016
YEAR-END SUMMARY

Date Prepared: February 10, 2017

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	30 61	12/11/13	08/10/16 $	323.91 $	331 78	-- $	(7.87)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	89 82	12/11/13	08/10/16 $	950.35 $	973 44	-- $	(23 09)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	14.57	12/31/13	08/10/16 $	154 24 $	157.98	-- $	(3 74)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	15 82	01/31/14	08/10/16 $	167.46 $	171 53	-- $	(4.07)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	18 19	02/28/14	08/10/16 $	192.52 $	197.21	-- $	(4 69)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	17 21	03/31/14	08/10/16 $	182.15 $	184.56	-- $	(2 41)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	20.78	04/30/14	08/10/16 $	219.86 $	223.81	-- $	(3.95)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	21 69	05/30/14	08/10/16 $	229 48 $	234 47	-- $	(4.99)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	15.55	06/30/14	08/10/16 $	164 54 $	169.36	-- $	(4 82)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	14.94	07/31/14	08/10/16 $	158 09 $	163.91	-- $	(5 82)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	17.21	08/29/14	08/10/16 $	182.16 $	191.45	-- $	(9.29)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	18 18	09/30/14	08/10/16 $	192 40 $	202 04	-- $	(9 64)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	17.20	10/31/14	08/10/16 $	182.04 $	192.02	-- $	(9 98)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	14 30	11/28/14	08/10/16 $	151 32 $	161 61	-- $	(10 29)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	37.04	12/10/14	08/10/16 $	391.98 $	416.80	-- $	(24 82)

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	592 75	12/29/14	08/10/16	$ 6,271 31	$ 6,360 22	--	$ (88 91)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	16 15	12/31/14	08/10/16	$ 170.93	$ 174.00	--	$ (3.07)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	7 13	01/30/15	08/10/16	$ 75.51	$ 78.29	--	$ (2 78)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	5 17	02/27/15	08/10/16	$ 54.70	$ 56.56	--	$ (1.86)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	3 10	03/31/15	08/10/16	$ 32.82	$ 34 15	--	$ (1.33)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	6 59	04/30/15	08/10/16	$ 69 76	$ 71.68	--	$ (1 92)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	8 47	05/29/15	08/10/16	$ 89.64	$ 90.83	--	$ (1 19)
PIMCO FOREIGN BD USD HEDGED CL D	693391666	7 78	06/30/15	08/10/16	$ 82 32	$ 81.86	--	$ 0.46
PIMCO FOREIGN BD USD HEDGED CL D	693391666	10.10	07/31/15	08/10/16	$ 106.91	$ 108 02	--	$ (1.11)
Security Subtotal					$ 21,660.42	$ 22,150.47	--	$ (490.05)
PIMCO INCM INST CL	72201F490	64 93	01/31/12	08/10/16	$ 779 77	$ 786 32	--	$ (6.55)
PIMCO INCM INST CL	72201F490	67.58	02/29/12	08/10/16	$ 811.62	$ 818.44	--	$ (6.82)
PIMCO INCM INST CL	72201F490	67 56	03/30/12	08/10/16	$ 811 34	$ 818 16	--	$ (6.82)
PIMCO INCM INST CL	72201F490	67 29	04/30/12	08/10/16	$ 808.11	$ 814.90	--	$ (6 79)
PIMCO INCM INST CL	72201F490	67 68	05/31/12	08/10/16	$ 812.79	$ 819.61	--	$ (6 82)
PIMCO INCM INST CL	72201F490	67 41	06/29/12	08/10/16	$ 809.59	$ 816.39	--	$ (6.80)
PIMCO INCM INST CL	72201F490	66 41	07/31/12	08/10/16	$ 797 61	$ 804 31	--	$ (6.70)
PIMCO INCM INST CL	72201F490	65 65	08/31/12	08/10/16	$ 788.46	$ 795 08	--	$ (6 62)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

Schwab One® Account of
DUIM FAMILY FOUNDATION

Account Number
2983-6097

TAX YEAR 2016
YEAR-END SUMMARY

Date Prepared: February 10, 2017

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.
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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO INCM INST CL	72201F490	64.07	09/28/12	08/10/16 $	769.48 $	775.94	-- $	(6.46)
PIMCO INCM INST CL	72201F490	64.10	10/31/12	08/10/16 $	769.78 $	776.25	-- $	(6.47)
PIMCO INCM INST CL	72201F490	63.87	11/30/12	08/10/16 $	767.03 $	773.47	-- $	(6.44)
PIMCO INCM INST CL	72201F490	23.27	12/12/12	08/10/16 $	279.53 $	281.88	-- $	(2.35)
PIMCO INCM INST CL	72201F490	61.64	12/12/12	08/10/16 $	740.33 $	746.55	-- $	(6.22)
PIMCO INCM INST CL	72201F490	106.85	12/27/12	08/10/16 $	1,283.22 $	1,294.00	-- $	(10.78)
PIMCO INCM INST CL	72201F490	64.54	12/31/12	08/10/16 $	775.17 $	781.68	-- $	(6.51)
PIMCO INCM INST CL	72201F490	64.42	01/31/13	08/10/16 $	773.70 $	780.20	-- $	(6.50)
PIMCO INCM INST CL	72201F490	64.65	02/28/13	08/10/16 $	776.47 $	782.99	-- $	(6.52)
PIMCO INCM INST CL	72201F490	64.99	03/28/13	08/10/16 $	780.48 $	787.04	-- $	(6.56)
PIMCO INCM INST CL	72201F490	64.26	04/30/13	08/10/16 $	771.73 $	778.21	-- $	(6.48)
PIMCO INCM INST CL	72201F490	64.90	05/31/13	08/10/16 $	779.41 $	785.96	-- $	(6.55)
PIMCO INCM INST CL	72201F490	67.63	06/28/13	08/10/16 $	812.17 $	819.00	-- $	(6.83)
PIMCO INCM INST CL	72201F490	67.77	07/31/13	08/10/16 $	813.91 $	820.75	-- $	(6.84)
PIMCO INCM INST CL	72201F490	68.86	08/30/13	08/10/16 $	827.04 $	833.99	-- $	(6.95)
PIMCO INCM INST CL	72201F490	68.28	09/30/13	08/10/16 $	820.04 $	826.93	-- $	(6.89)
PIMCO INCM INST CL	72201F490	67.93	10/31/13	08/10/16 $	815.79 $	822.64	-- $	(6.85)
PIMCO INCM INST CL	72201F490	68.62	11/29/13	08/10/16 $	824.10 $	831.02	-- $	(6.92)
PIMCO INCM INST CL	72201F490	2.90	12/11/13	08/10/16 $	34.89 $	35.18	-- $	(0.29)
PIMCO INCM INST CL	72201F490	19.01	12/11/13	08/10/16 $	228.39 $	230.31	-- $	(1.92)
PIMCO INCM INST CL	72201F490	69.27	12/31/13	08/10/16 $	831.96 $	838.95	-- $	(6.99)
PIMCO INCM INST CL	72201F490	68.95	01/31/14	08/10/16 $	828.10 $	835.05	-- $	(6.95)
PIMCO INCM INST CL	72201F490	68.70	02/28/14	08/10/16 $	825.05 $	831.97	-- $	(6.92)
PIMCO INCM INST CL	72201F490	59.54	03/31/14	08/10/16 $	715.02 $	742.46	-- $	(27.44)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

This section is for *covered securities* and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO INCM INST CL	72201F490	69.05	04/30/14	08/10/16 $	829.30 $	864.58	-- $	(35 28)
PIMCO INCM INST CL	72201F490	68 43	05/30/14	08/10/16 $	821.83 $	868.43	-- $	(46 60)
PIMCO INCM INST CL	72201F490	68 62	06/30/14	08/10/16 $	824 17 $	872 28	-- $	(48 11)
PIMCO INCM INST CL	72201F490	69 08	07/31/14	08/10/16 $	829 67 $	876.02	-- $	(46.35)
PIMCO INCM INST CL	72201F490	68.94	08/29/14	08/10/16 $	827.96 $	879 74	-- $	(51 78)
PIMCO INCM INST CL	72201F490	69 90	09/30/14	08/10/16 $	839 52 $	883 63	-- $	(44 11)
PIMCO INCM INST CL	72201F490	69 87	10/31/14	08/10/16 $	839 15 $	887.43	-- $	(48 28)
PIMCO INCM INST CL	72201F490	70 36	11/28/14	08/10/16 $	844 96 $	891 46	-- $	(46 50)
PIMCO INCM INST CL	72201F490	2,787 41	12/08/14	08/10/16 $	33,474 19 $	35,000 00	-- $	(1.525 81)
PIMCO INCM INST CL	72201F490	5 66	12/10/14	08/10/16 $	68.02 $	70 57	-- $	(2 55)
PIMCO INCM INST CL	72201F490	37.77	12/10/14	08/10/16 $	453 68 $	470.71	-- $	(17 03)
PIMCO INCM INST CL	72201F490	163 22	12/29/14	08/10/16 $	1,960 13 $	2,012 51	-- $	(52 38)
PIMCO INCM INST CL	72201F490	82 22	12/31/14	08/10/16 $	987 49 $	1,013 88	-- $	(26 39)
PIMCO INCM INST CL	72201F490	86.81	01/30/15	08/10/16 $	1,042.55 $	1,066 08	-- $	(23.53)
PIMCO INCM INST CL	72201F490	86.50	02/27/15	08/10/16 $	1,038 86 $	1,070.95	-- $	(32 09)
PIMCO INCM INST CL	72201F490	86 74	03/31/15	08/10/16 $	1,041 76 $	1,075 67	-- $	(33.91)
PIMCO INCM INST CL	72201F490	86 79	04/30/15	08/10/16 $	1,042.28 $	1,080.55	-- $	(38.27)
PIMCO INCM INST CL	72201F490	86 89	05/29/15	08/10/16 $	1,043.52 $	1,085.31	-- $	(41.79)
PIMCO INCM INST CL	72201F490	88.20	06/30/15	08/10/16 $	1,059 21 $	1,090.16	-- $	(30.95)
PIMCO INCM INST CL	72201F490	88 52	07/31/15	08/10/16 $	1,063.04 $	1,094 99	-- $	(31.95)
Security Subtotal				$	74,993.37 $	77,440.58	-- $	(2,447.21)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	42 54	03/31/14	08/10/16 $	440 78 $	458.36	-- $	(17.58)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	52 13	04/30/14	08/10/16	$ 540.07	$ 565 12	--	$ (25.05)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	69 55	12/08/14	08/10/16	$ 720.51	$ 756.04	--	$ (35.53)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	63 90	12/24/14	08/10/16	$ 661.97	$ 669 06	--	$ (7.09)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	13 94	01/08/15	08/10/16	$ 144.41	$ 145.82	--	$ (1.41)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	42 76	01/28/15	08/10/16	$ 443.05	$ 466 19	--	$ (23 14)
Security Subtotal					$ 2,950.79	$ 3,060 59	--	$ (109.80)
Total Long-Term *(Cost basis is reported to the IRS)*					$ 633,148.63	$ 595,888.22	--	$ 37,260.41

Long-Term Realized Gain or (Loss)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DFA GLOBAL REAL ESTATE SECURITIES PORT	23320G554	3,116 42	03/15/11	08/10/16	$ 37,133.86	$ 24,952.73[1]	--	$ 12,181 13
DFA GLOBAL REAL ESTATE SECURITIES PORT	23320G554	242 70	12/13/11	08/10/16	$ 2,892.00	$ 1,943.33[1]	--	$ 948 67
Security Subtotal					$ 40,025.86	$ 26,896.06	--	$ 13,129.80

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Date Prepared: February 10, 2017

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol		CUSIP Number	Quantity/Par	Date Acquired	Date Sold		Total Proceeds		(-)Cost Basis	(+)Wash Sale Loss Disallowed		(=)Realized Gain or (Loss)
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	2,355.56	03/15/11	08/10/16	$	22,259.03	$	21,940.04[1]	--	$	318.99
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	15.38	03/24/11	08/10/16	$	145.39	$	132 44	--	$	12 95
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	338 48	03/24/11	08/10/16	$	3,198 54	$	2,913 63	--	$	284.91
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	1,993 16	09/01/11	08/10/16	$	18,834.56	$	18,564.65[1]	--	$	269 91
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	148.04	09/08/11	08/10/16	$	1,398 99	$	1,378 94[1]	--	$	20 05
DFA TA WORLD EX US EQTY PORT	CORE	23320G505	200 32	12/13/11	08/10/16	$	1,892.94	$	1,865.81[1]	--	$	27.13
Security Subtotal						$	**47,729.45**	$	**46,795.51**	--	$	**933.94**
PIMCO FOREIGN BD USD CL D	HEDGED	693391666	128 06	12/28/11	08/10/16	$	1,354.91	$	1,319 91[1]	--	$	35 00
PIMCO FOREIGN BD USD CL D	HEDGED	693391666	20.45	12/30/11	08/10/16	$	216.44	$	210.85[1]	--	$	5.59
Security Subtotal						$	**1,571.35**	$	**1,530.76**	--	$	**40.59**
PIMCO INCM INST CL		72201F490	12,166 21	09/07/10	08/10/16	$	146,104.87	$	134,137 85[1]	--	$	11,967.02
PIMCO INCM INST CL		72201F490	44.14	09/30/10	08/10/16	$	530.16	$	486.74[1]	--	$	43.42
PIMCO INCM INST CL		72201F490	57.02	10/29/10	08/10/16	$	684 80	$	628 71[1]	--	$	56 09
PIMCO INCM INST CL		72201F490	57 89	11/30/10	08/10/16	$	695 24	$	638.30[1]	--	$	56 94
PIMCO INCM INST CL		72201F490	15 09	12/08/10	08/10/16	$	181 29	$	166 44[1]	--	$	14 85
PIMCO INCM INST CL		72201F490	76 99	12/08/10	08/10/16	$	924 59	$	848 86[1]	--	$	75 73

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

Date Prepared: February 10, 2017

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO INCM INST CL	72201F490	59 50	12/31/10	08/10/16	$ 714.60	$ 656.07	--	$ 58.53
PIMCO INCM INST CL	72201F490	65 25	12/31/10	08/10/16	$ 783 70	$ 719.51	--	$ 64.19
PIMCO INCM INST CL	72201F490	59 29	01/31/11	08/10/16	$ 712 10	$ 653 78	--	$ 58.32
PIMCO INCM INST CL	72201F490	59.09	02/28/11	08/10/16	$ 709.65	$ 651 53	--	$ 58.12
PIMCO INCM INST CL	72201F490	59 28	03/31/11	08/10/16	$ 711 98	$ 653 66	--	$ 58 32
PIMCO INCM INST CL	72201F490	58 88	04/29/11	08/10/16	$ 707 12	$ 649 20	--	$ 57 92
PIMCO INCM INST CL	72201F490	58 84	05/31/11	08/10/16	$ 706 72	$ 648 84	--	$ 57 88
PIMCO INCM INST CL	72201F490	60.05	06/30/11	08/10/16	$ 721.18	$ 662.11	--	$ 59.07
PIMCO INCM INST CL	72201F490	60.06	07/29/11	08/10/16	$ 721 30	$ 662 22	--	$ 59.08
PIMCO INCM INST CL	72201F490	61.36	08/31/11	08/10/16	$ 736 94	$ 676.57	--	$ 60.37
PIMCO INCM INST CL	72201F490	62.05	09/30/11	08/10/16	$ 745 19	$ 684.15	--	$ 61 04
PIMCO INCM INST CL	72201F490	62.29	10/31/11	08/10/16	$ 748 10	$ 686.83	--	$ 61 27
PIMCO INCM INST CL	72201F490	63 44	11/30/11	08/10/16	$ 761 89	$ 699 49	--	$ 62.40
PIMCO INCM INST CL	72201F490	13.17	12/07/11	08/10/16	$ 158.16	$ 145.20	--	$ 12 96
PIMCO INCM INST CL	72201F490	97.98	12/07/11	08/10/16	$ 1,176 67	$ 1,080 29	--	$ 96 38
PIMCO INCM INST CL	72201F490	190.81	12/28/11	08/10/16	$ 2,291.53	$ 2,103.84	--	$ 187 69
PIMCO INCM INST CL	72201F490	65.08	12/30/11	08/10/16	$ 781.57	$ 717.56	--	$ 64.01
Security Subtotal					$ 163,009.35	$ 149,657.75	--	$ 13,351.60

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Date Prepared: February 10, 2017

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	497 67	08/17/09	08/10/16 $	5,155.59 $	5,404.00	-- $	(248.41)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	86 24	10/30/09	08/10/16 $	893 48 $	936 53	-- $	(43 05)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	69 25	11/30/09	08/10/16 $	717 47 $	752.04	-- $	(34 57)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	66.87	12/31/09	08/10/16 $	692 75 $	726 12	-- $	(33.37)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	50 54	01/29/10	08/10/16 $	523 57 $	548.79	-- $	(25 22)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	48 02	04/30/10	08/10/16 $	497 51 $	521 48	-- $	(23.97)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	25.37	06/30/10	08/10/16 $	262 87 $	275.53	-- $	(12.66)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	26 89	07/30/10	08/10/16 $	278 61 $	292.03	-- $	(13 42)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	24 73	08/31/10	08/10/16 $	256 23 $	268.57	-- $	(12 34)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	28.12	01/31/11	08/10/16 $	291.40 $	305.43	-- $	(14 03)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	29.14	02/28/11	08/10/16 $	301 93 $	316.47	-- $	(14.54)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	83 12	04/29/11	08/10/16 $	861 08 $	902.56	-- $	(41 48)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	80.60	06/30/11	08/10/16 $	834 97 $	875.20	-- $	(40 23)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	75.49	07/29/11	08/10/16 $	782 05 $	819 73	-- $	(37 68)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	82 59	09/30/11	08/10/16 $	855 67 $	896.89	-- $	(41 22)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

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Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box F checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold		Total Proceeds		(-)Cost Basis	(+)Wash Sale Loss Disallowed		(=)Realized Gain or (Loss)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	89.35	11/30/11	08/10/16	$	925 71	$	970 31	--	$	(44.60)
PIMCO TOTAL RETURN FUND INSTL CL	693390700	87.96	12/30/11	08/10/16	$	911 28	$	955 18	--	$	(43.90)
Security Subtotal					$	15,042.17	$	15,766.86	--	$	(724.69)
Total Long-Term *(Transactions are not reported on Form 1099-B or to the IRS)*					$	267,378.18	$	240,646.94	--	$	26,731.24
Total Long-Term					$	900,526.81	$	836,535.16	--	$	63,991.65

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