Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
MONA FINK TRUST 42C149012

A Employer identification number
90-0035502

Number and street (or P O box number if mail is not delivered to street address) Room/suite
307 MAIN STREET

B Telephone number (see instructions)
(877) 773-1229

City or town, state or province, country, and ZIP or foreign postal code
HYANNIS, MA 02601

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 936,870

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	17,842	17,842		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	6,565			
	b	Gross sales price for all assets on line 6a 318,420				
	7	Capital gain net income (from Part IV, line 2)		6,565		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	50			
	12	**Total.** Add lines 1 through 11	24,457	24,407		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	17,006	17,006		
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	725	725	0	0
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	237	237		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	35	35		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	18,003	18,003	0	0
	25	Contributions, gifts, grants paid	43,893			43,893
	26	**Total expenses and disbursements.** Add lines 24 and 25	61,896	18,003	0	43,893
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-37,439			
	b	**Net investment income** (if negative, enter -0-)		6,404		
	c	**Adjusted net income**(if negative, enter -0-)			0	

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	26,321	50,653	50,653
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	243,120	209,006	208,874
b	Investments—corporate stock (attach schedule)	428,408	413,859	484,739
c	Investments—corporate bonds (attach schedule)	207,429	195,261	192,604
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			0
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	905,278	868,779	936,870
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	905,278	868,779	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	905,278	868,779	
31	**Total liabilities and net assets/fund balances** (see instructions)	905,278	868,779	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	905,278
2	Enter amount from Part I, line 27a	2	-37,439
3	Other increases not included in line 2 (itemize) ▶	3	940
4	Add lines 1, 2, and 3	4	868,779
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	868,779

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,565
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	51,507	984,337	0 052327
2014	48,254	1,011,318	0 047714
2013	31,653	977,073	0 032396
2012	39,001	936,943	0 041626
2011	48,589	927,919	0 052363

2 Total of line 1, column (d)	2	0 226426
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 045285
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	929,918
5 Multiply line 4 by line 3	5	42,111
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	64
7 Add lines 5 and 6	7	42,175
8 Enter qualifying distributions from Part XII, line 4	8	43,893

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	64
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	64
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	64
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	432		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	432
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	368
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 64	**Refunded** ▶		11	304

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶TD Bank NA Telephone no ▶(877) 773-1229

Located at ▶143 NORTH MAIN STREET CONCORD NH ZIP+4 ▶03302

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. . . . . ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). . . . . ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. . . . . ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. . . . . ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. . . . . ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?. . . .	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
TD Bank NA 143 NORTH MAIN STREET CONCORD, NH 03302	Trustee 1	17,006		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	900,041
b	Average of monthly cash balances.	1b	44,038
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	944,079
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	944,079
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	14,161
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	929,918
6	**Minimum investment return.** Enter 5% of line 5.	6	46,496

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.			1	46,496
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	64		
b	Income tax for 2016 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	64
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	46,432
4	Recoveries of amounts treated as qualifying distributions.			4	0
5	Add lines 3 and 4.			5	46,432
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	46,432

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	43,893
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	43,893
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	64
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	43,829

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					46,432
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 2014 , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.	0				
b From 2012.	0				
c From 2013.	0				
d From 2014.	0				
e From 2015.	2,683				
f **Total** of lines 3a through e.		2,683			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 43,893					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					43,893
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		2,539			2,539
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		144			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)		0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		144			
10 Analysis of line 9					
a Excess from 2012.	0				
b Excess from 2013.	0				
c Excess from 2014.	0				
d Excess from 2015.	144				
e Excess from 2016.					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S HOSPITAL TRUST ATTN BRUCE BALTER 1295 BOYLSTON STREET 3RD FLOOR BOSTON, MA 022155344	NONE	501(C)(3)	DAILY OPERATIONS	14,631
MSPCA ATTN SONYA MARQUES-CORREIA JAMAICA PLAIN, MA 02130	NONE	501(C)(3)	DAILY OPERATIONS	14,631
SHRINERS HOSPITALS FOR CHILDREN ATTN INCOME DISTRIBUTIONS PO BOX 863770 ORLANDO, FL 328863770	NONE	501(C)(3)	DAILY OPERATIONS	14,631
Total . . . . ▶ **3a**				43,893
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	17,842	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	6,565	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				24,457	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 24,457

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-04-13	******	May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 COLGATE PALMOLIVE CO		2008-12-15	2016-01-04
30 CIT GROUP INC COM NEW		2013-11-26	2016-01-15
130 MORGAN STANLEY GROUP INC DEAN		2014-03-13	2016-01-19
20 NORTHERN TRUST CORP		2014-01-28	2016-01-20
40 NORTHERN TRUST CORP		2014-02-04	2016-01-21
80 CIT GROUP INC COM NEW		2014-10-29	2016-01-22
5000 BK OF NOVA SCOTIA 1 1% 12/13/2016 DTD 12/13/2013		2013-12-17	2016-01-25
5000 BK OF NOVA SCOTIA 1 1% 12/13/2016 DTD 12/13/2013		2015-01-28	2016-01-25
10000 BERKSHIRE HATHAWAY 5 40% 5/15/2018 DTD 11/15/2008		2012-08-31	2016-01-25
10000 PROVINCE OF QUEBEC 2 750% 08/25/2021 DTD 08/25/11		2014-03-14	2016-01-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,217		1,472	1,745
1,001		1,491	-490
3,406		4,085	-679
1,232		1,219	13
2,377		2,403	-26
2,471		3,860	-1,389
5,002		5,002	
5,002		5,012	-10
10,929		10,594	335
10,286		10,143	143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,745
			-490
			-679
			13
			-26
			-1,389
			-10
			335
			143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5000 TOYOTA MOTOR CREDIT 2 00% 09/15/2016 DTD 09/08/11		2012-08-21	2016-01-25
20 CVS CORP		2013-11-26	2016-02-03
60 KOHL'S CORP		2014-01-28	2016-02-04
5000 F H L M C NOTES 3 75% 03/27/2019 DTD 03/27/2009		2012-08-23	2016-02-08
5000 US TREASURY BOND 2 125% 01/31/2021 DTD 01/31/2014		2016-01-25	2016-02-08
5000 U S TREASURY NOTE 3 125% 05/15/2019 DTD 05/15/09		2012-08-23	2016-02-08
5000 U S TREASURY BOND 2 625% 08/15/2020 DTD 08/15/10		2012-08-23	2016-02-08
5000 U S TREASURY NOTE 1 875% 09/30/2017 DTD 09/30/10		2014-03-14	2016-02-08
120 CITIGROUP INC		2014-10-29	2016-02-09
324 705 TDAM 5 TO 10 YEAR CORP BD PORTFOLIO		2014-08-15	2016-02-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,036		5,026	10
1,906		1,332	574
2,496		3,073	-577
5,425		5,377	48
5,223		5,151	72
5,359		5,327	32
5,325		5,282	43
5,098		5,071	27
4,434		6,023	-1,589
3,318		3,406	-88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			574
			-577
			48
			72
			32
			43
			27
			-1,589
			-88

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
216 256 TDAM 1 TO 5 CORP BD PORTFOLIO		2014-08-15	2016-02-10
20 715 VANGUARD SHORT-TERM FED-ADM #549		2014-11-21	2016-02-10
20 CVS CORP		2013-11-26	2016-02-18
20 TEXAS INSTRUMENTS		2013-11-26	2016-02-19
20 TEXAS INSTRUMENTS		2013-11-26	2016-02-23
10 CVS CORP		2015-11-13	2016-02-25
20 CVS CORP		2013-11-26	2016-02-25
10 HOME DEPOT INC		2014-06-18	2016-02-25
10000 ANHEUSER-BUSCH 1 375% 07/15/2017 DTD 07/16/2012		2013-06-18	2016-03-15
15000 U S TREASURY NOTE 4 875% 08/15/2016 DTD 08/15/2006		2015-01-27	2016-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,173		2,193	-20
224		223	1
1,945		1,332	613
1,056		855	201
1,048		855	193
977		922	55
1,954		1,332	622
1,258		800	458
10,024		10,011	13
15,272		15,226	46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			1
			613
			201
			193
			55
			622
			458
			13
			46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 447 CALIFORNIA RESOURCES CORPORATION		2016-02-08	2016-03-30
6 553 CALIFORNIA RESOURCES CORPORATION		2014-01-28	2016-03-30
60 TEXAS INSTRUMENTS		2013-11-26	2016-04-01
425 CALIFORNIA RESOURCES CORPORATION		2016-02-08	2016-04-12
20 CHECK POINT SOFTWARE TECHNOLOGIES LTD		2014-08-28	2016-04-15
10 ABBOTT LABS CO		2013-01-15	2016-04-20
10 ABBVIE INC		2014-01-28	2016-04-20
10 ADVANCE AUTO PARTS INC		2015-12-17	2016-04-20
10 AMERICAN INTL GROUP INC COM NEW		2014-10-29	2016-04-20
10 AMERIPRISE FINANCIAL INC		2015-02-04	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		2	-1
7		10	-3
3,474		2,565	909
1,769		1,401	368
438		333	105
605		480	125
1,589		1,545	44
561		525	36
985		1,324	-339

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-3
			909
			368
			105
			125
			44
			36
			-339

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 ANADARKO PETROLEUM		2015-04-08	2016-04-20
10 APPLE COMPUTER, INC		2014-09-10	2016-04-20
20 APPLIED MATERIALS		2013-11-26	2016-04-20
10 BOEING CO		2014-01-28	2016-04-20
10 CENTURYTEL INC COM		2013-11-26	2016-04-20
20 CITIZENS FINANCIAL GROUP INC		2015-04-15	2016-04-20
10 COMCAST CORP CL A		2016-02-08	2016-04-20
10 DANAHER CORP		2013-11-26	2016-04-20
10 E I DUPONT DE NEMOURS INC		2014-01-28	2016-04-20
10 EVERSOURCE ENERGY		2014-01-28	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
513		861	-348
1,076		1,004	72
424		351	73
1,310		1,375	-65
328		308	20
465		509	-44
615		585	30
952		754	198
649		568	81
562		430	132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-348
			72
			73
			-65
			20
			-44
			30
			198
			81
			132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 F5 NETWORKS INC COM		2015-12-02	2016-04-20
10 FIDELITY NATL INFORMATION SV		2013-11-26	2016-04-20
10 FIDELITY NATIONAL FINANCIAL GROUP		2015-07-29	2016-04-20
40 FIRST DATA CORP - CLASS A		2015-10-16	2016-04-20
30 GENERAL ELECTRIC CO		2016-02-08	2016-04-20
10 GILEAD SCIENCES INC		2015-08-18	2016-04-20
10 MARSH & MCLENNAN CORP		2014-01-28	2016-04-20
10 MCKESSON HBOC INC COM		2015-04-20	2016-04-20
20 MICROSOFT CORPORATION		2008-10-29	2016-04-20
10 OCCIDENTAL PETROLEUM CO		2014-01-28	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
974		1,047	-73
665		510	155
329		390	-61
536		641	-105
938		843	95
1,004		1,175	-171
629		460	169
1,774		2,299	-525
1,121		477	644
760		852	-92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-73
			155
			-61
			-105
			95
			-171
			169
			-525
			644
			-92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 ORACLE CORPORATION		2014-01-28	2016-04-20
10 PPL CORP COM		2016-02-08	2016-04-20
10 PVH CORP COM		2015-04-15	2016-04-20
10 PEPSICO INCORPORATED		1996-12-19	2016-04-20
10 ROCKWELL COLLINS INC COM		2014-10-28	2016-04-20
10 SMUCKER J M CO NEW		2015-11-25	2016-04-20
10 SYNCHRONY FINANCIAL		2015-02-12	2016-04-20
10 TIME WARNER INC		2013-11-26	2016-04-20
10 US BANCORP DEL NEW		2016-03-15	2016-04-20
10 UNITEDHEALTH GROUP INC COM		2009-02-02	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
826		740	86
375		358	17
956		1,092	-136
1,030		266	764
943		819	124
1,292		1,229	63
305		343	-38
750		628	122
427		407	20
1,346		292	1,054

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			86
			17
			-136
			764
			124
			63
			-38
			122
			20
			1,054

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 VISA INC - CL A		2015-02-04	2016-04-20
10 VOYA FINANCIAL INC		2015-06-08	2016-04-20
10 INGERSOLL-RAND PLC SHS		2013-11-26	2016-04-20
10 SEAGATE TECHNOLOGY PLC		2015-01-27	2016-04-20
10 CHUBB LTD		2016-02-09	2016-04-20
10 CHECK POINT SOFTWARE TECHNOLOGIES LTD		2014-08-28	2016-04-20
115 64 INVESCO INTL GROWTH-Y		2010-11-22	2016-04-22
75 725 FIDELITY SPARTAN HIGH INCOME		2013-07-29	2016-04-22
84 825 HARBOR INTERNATIONAL FUND		2015-04-13	2016-04-22
739 198 EPOCH US SMALL MID CAP EQUITY FD #37		2015-04-13	2016-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
815		662	153
326		468	-142
638		560	78
258		573	-315
1,223		1,104	119
861		698	163
3,694		3,142	552
626		705	-79
5,240		4,965	275
8,013		8,690	-677

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			153
			-142
			78
			-315
			119
			163
			552
			-79
			275
			-677

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 TJX COMPANIES INC		2014-01-28	2016-05-11
5000 U S TREASURY NOTE 1 875% 09/30/2017 DTD 09/30/10		2015-04-02	2016-06-13
10000 WALMART STORES INC 3 25% 10/25/2020 DTD 10/25/2010		2015-02-26	2016-06-13
50 VOYA FINANCIAL INC		2015-06-08	2016-06-24
20 CITIZENS FINANCIAL GROUP INC		2015-04-15	2016-06-28
50 FIRST DATA CORP - CLASS A		2015-10-16	2016-06-28
50 VOYA FINANCIAL INC		2015-05-26	2016-06-28
40 ABBOTT LABS CO		2010-01-12	2016-07-08
10000 JPMORGAN CHASE & CO 6% 01/15/2018 DTD 12/20/07		2009-12-17	2016-07-14
30 ABBOTT LABS CO		2010-05-27	2016-07-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,487		1,168	319
5,077		5,075	2
10,797		10,561	236
1,336		2,317	-981
383		506	-123
524		801	-277
1,174		2,295	-1,121
1,678		1,063	615
10,668		10,180	488
1,285		686	599

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			319
			2
			236
			-981
			-123
			-277
			-1,121
			615
			488
			599

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 ABBOTT LABS CO		2010-05-27	2016-07-29
10 GILEAD SCIENCES INC		2015-08-18	2016-07-29
30 GILEAD SCIENCES INC		2015-05-27	2016-07-29
20 GILEAD SCIENCES INC		2016-01-26	2016-08-01
10 GILEAD SCIENCES INC		2016-01-26	2016-08-03
10 CHECK POINT SOFTWARE TECHNOLOGIES LTD		2013-11-26	2016-08-03
60 DEVON ENERGY CORPORATIOIN NE COM		2014-01-28	2016-08-04
20 DICKS SPORTING GOODS INC COM		2015-11-24	2016-08-04
10 CHECK POINT SOFTWARE TECHNOLOGIES LTD		2016-01-19	2016-08-04
10 CHECK POINT SOFTWARE TECHNOLOGIES LTD		2013-11-26	2016-08-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,785		915	870
794		1,175	-381
2,383		3,370	-987
1,615		2,101	-486
799		925	-126
755		607	148
2,300		3,561	-1,261
1,036		796	240
757		758	-1
757		607	150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			870
			-381
			-987
			-486
			-126
			148
			-1,261
			240
			-1
			150

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 AMERICAN INTL GROUP INC COM NEW		2015-05-06	2016-08-10
10 APPLE COMPUTER, INC		2014-09-10	2016-08-10
20 APPLIED MATERIALS		2013-11-26	2016-08-10
10 CME GROUP INC		2013-11-26	2016-08-10
10 CITIZENS FINANCIAL GROUP INC		2015-07-29	2016-08-10
10 DANAHER CORP		2013-11-26	2016-08-10
10 DICKS SPORTING GOODS INC COM		2015-11-24	2016-08-10
53 464 FIDELITY SPARTAN HIGH INCOME		2013-07-29	2016-08-10
10 FIDELITY NATL INFORMATION SV		2013-11-26	2016-08-10
10 FIDELITY NATIONAL FINANCIAL GROUP		2015-07-29	2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
590		581	9
1,081		1,004	77
529		351	178
1,036		817	219
232		262	-30
810		575	235
536		398	138
460		498	-38
795		510	285
365		390	-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			77
			178
			219
			-30
			235
			138
			-38
			285
			-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 FIRST DATA CORP - CLASS A		2015-10-16	2016-08-10
10 GENERAL ELECTRIC CO		2016-02-08	2016-08-10
143 07 HARBOR INTERNATIONAL FUND		2010-11-23	2016-08-10
10 KIMBERLY CLARK CORP		2016-02-25	2016-08-10
10 MICROSOFT CORPORATION		2008-10-29	2016-08-10
10 OCCIDENTAL PETROLEUM CO		2014-01-28	2016-08-10
10 ORACLE CORPORATION		2014-01-28	2016-08-10
10 PPL CORP COM		2015-11-20	2016-08-10
10 SYNCHRONY FINANCIAL		2015-02-12	2016-08-10
339 798 EPOCH US SMALL MID CAP EQUITY FD #37		2015-01-27	2016-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
132		160	-28
312		278	34
8,817		8,242	575
1,304		1,330	-26
580		238	342
736		852	-116
410		370	40
359		346	13
275		343	-68
3,864		3,819	45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28
			34
			575
			-26
			342
			-116
			40
			13
			-68
			45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 TJX COMPANIES INC		2014-01-28	2016-08-10
10 UNITEDHEALTH GROUP INC COM		2009-02-05	2016-08-10
10 VISA INC - CL A		2015-02-04	2016-08-10
10 WEC ENERGY GROUP INC		2014-01-28	2016-08-10
10 INGERSOLL-RAND PLC SHS		2015-08-05	2016-08-10
10 NIELSEN HOLDINGS PLC EUR		2015-04-10	2016-08-10
30 KIMBERLY CLARK CORP		2016-01-26	2016-08-11
50 DICKS SPORTING GOODS INC COM		2015-11-24	2016-08-16
15000 U S TREASURY NOTE 1 875% 09/30/2017 DTD 09/30/10		2014-03-14	2016-09-15
5000 US TREASURY BOND 2 5% 08/15/2023 DTD 08/15/2013		2014-05-20	2016-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
815		584	231
1,420		288	1,132
797		662	135
622		415	207
679		601	78
527		461	66
3,873		3,763	110
2,946		1,989	957
15,184		15,134	50
5,330		5,022	308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			231
			1,132
			135
			207
			78
			66
			110
			957
			50
			308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
60 SYNCHRONY FINANCIAL		2015-03-31	2016-10-10
80 SYNCHRONY FINANCIAL		2016-06-27	2016-10-11
20 SYNCHRONY FINANCIAL		2015-03-31	2016-10-11
20 TIME WARNER INC		2013-11-26	2016-10-24
90 CENTURYTEL INC COM		2014-01-28	2016-10-28
40 FORTIVE CORP USD		2013-11-26	2016-12-02
30 ROCKWELL COLLINS INC COM		2014-10-28	2016-12-02
50 FIDELITY NATIONAL FINANCIAL GROUP		2016-06-28	2016-12-07
60 FIDELITY NATIONAL FINANCIAL GROUP		2015-09-25	2016-12-07
50 99 FIDELITY SPARTAN HIGH INCOME		2013-07-29	2016-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,597		1,887	-290
2,124		2,243	-119
531		609	-78
1,740		1,255	485
2,736		2,751	-15
2,176		1,432	744
2,849		2,345	504
1,698		1,783	-85
2,037		2,244	-207
444		475	-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-290
			-119
			-78
			485
			-15
			744
			504
			-85
			-207
			-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
937 HARBOR INTERNATIONAL FUND		2010-11-23	2016-12-14
130 SPDR GOLD TRUST		2016-08-10	2016-12-14
211 123 TDAM 5 TO 10 YEAR CORP BD PORTFOLIO		2015-01-27	2016-12-14
1 966 TDAM 5 TO 10 YEAR CORP BD PORTFOLIO		2014-08-15	2016-12-14
198 655 TDAM 1 TO 5 CORP BD PORTFOLIO		2014-08-15	2016-12-14
1 85 TDAM 1 TO 5 CORP BD PORTFOLIO		2014-08-15	2016-12-14
26 047 VANGUARD SHORT-TERM FED-ADM #549		2015-04-13	2016-12-14
5000 FNMA 5 375% 06/12/2017 DTD 06/08/07		2013-06-18	2016-12-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
56		54	2
14,175		16,100	-1,925
2,145		2,215	-70
20		21	-1
1,989		2,014	-25
19		19	
278		281	-3
5,112		5,107	5
			186

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			-1,925
			-70
			-1
			-25
			-3
			5

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TY 2016 Accounting Fees Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	725	725		

TY 2016 Investments Corporate Bonds Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

Name of Bond	End of Year Book Value	End of Year Fair Market Value
5000 CISCO SYSTEMS INC 4.950%	5,149	5,345
4000 GE CAP INTL FNDG 3.373%	4,000	4,066
10000 ONTARIO PROVINCE OF 1.65	9,983	9,944
5000 US BANCORP 1.95%	5,003	5,025
10000 WACHOVIA CORP 5.750%	10,407	10,426
1611.485 FIDELITY HIGH INCOME	14,831	14,036
5417.077 TDAM 5 TO 10 YEAR COR	56,097	54,712
5097.163 TDAM 1 TO 5 CORP BD P	51,443	51,023
3557.293 VANGUARD SHORT-TERM F	38,348	38,027

TY 2016 Investments Corporate Stock Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

Name of Stock	End of Year Book Value	End of Year Fair Market Value
30 ADVANCE AUTO PARTS INC	4,397	5,074
100 COMCAST CORP NEW CL A	5,963	6,905
30 GENUINE PARTS CO	2,501	2,866
40 HOME DEPOT INC	3,198	5,363
30 PVH CORP COM	3,181	2,707
60 TJX COMPANIES INC.	3,505	4,508
60 TIME WARNER INC	3,782	5,792
90 COCA COLA CO	3,908	3,731
70 PEPSICO INCORPORATED	4,043	7,324
80 PROCTER & GAMBLE CO	6,924	6,726
20 SMUCKER J M CO NEW	2,417	2,561
70 ANADARKO PETROLEUM	5,776	4,881
80 OCCIDENTAL PETROLEUM CO	6,364	5,698
40 CHUBB LTD	4,788	5,285
130 AMERICAN INTL GROUP INC CO	6,735	8,490
50 AMERIPRISE FINANCIAL INC	5,611	5,547
80 B.B.&T. CORP	3,176	3,762
20 BLACKROCK INC CL A	6,002	7,611
60 CME GROUP INC	4,709	6,921
150 CITIZENS FINANCIAL GROUP I	3,735	5,345
70 DISCOVER FINANCIAL SERVICES	5,075	5,046
90 FIDELITY NATL INFORMATION S	4,885	6,808
260 FIRST DATA CORP - CLASS A	3,461	3,689
50 MARSH & MCLENNAN CORP	2,298	3,380
100 US BANCORP DEN NEW	4,226	5,137
110 VISA INC. - CL A	6,538	8,582
30 ALLERGAN PLC	9,245	6,300
100 ABBVIE INC	4,869	6,262
80 DENTSPLY SIRONA INC	5,000	4,618
40 MCKESSON CORP	7,401	5,618

Name of Stock	End of Year Book Value	End of Year Fair Market Value
70 UNITEDHEALTH GROUP INC	4,129	11,203
20 UNIVERSAL HLTH SVCS INC	2,724	2,128
90 INGERSOLL-RAND PLC	5,343	6,754
60 BOEING CO	8,065	9,341
80 DANAHER CORP SHS BEN INT	4,815	6,227
250 GENERAL ELECTRIC CO	6,045	7,900
20 MARTIN MARIETTA MATLS INC C	3,506	4,431
20 NORFOLK SOUTHERN CORP	1,661	2,161
30 ROCKWELL COLLINS INC	2,290	2,783
60 NIELSEN HOLDINGS PLC EUR	2,754	2,517
210 SEAGATE TECHNOLOGY PLC	7,844	8,016
10 ALPHABET INC CL-C	5,375	7,718
130 APPLE COMPUTER INC.	9,132	15,057
210 APPLIED MATERIALS	3,778	6,777
F5 NETWORKS INC COM	4,021	5,789
200 MICROSOFT CORPORATION	4,291	12,428
180 ORACLE CORPORATION	6,373	6,921
70 E I DUPONT DE NEMOURS INC	3,976	5,138
10 ECOLAB INC	999	1,172
80 EVERSOURCE ENERGY	3,436	4,418
90 PPL CORP	3,043	3,065
90 WEC ENERGY GROUP INC	3,737	5,279
1536.008 INVESCO INTL GROWTH-Y	42,054	46,695
625.683 HARBOR INTERNATIONAL F	35,554	36,546
170 SPDR GOLD TRUST	20,356	18,634
6800.679 EPOCH US SMALL MID CA	74,845	83,104

TY 2016 Investments Government Obligations Schedule

Name:	MONA FINK TRUST 42C149012
EIN:	90-0035502
US Government Securities - End of Year Book Value:	209,006
US Government Securities - End of Year Fair Market Value:	208,874
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2016 Other Expenses Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER NON-ALLOCABLE EXPENSE -	35	35		0

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TY 2016 Other Income Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491116001027

TY 2016 Other Increases Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

Description	Amount
CASH ACCRUAL	538
WASH SALE ADJUSTMENT	402

TY 2016 Taxes Schedule

Name: MONA FINK TRUST 42C149012

EIN: 90-0035502

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	83	83		0
FOREIGN TAXES ON QUALIFIED FOR	154	154		0