

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2016

Open to Public
Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

<p>Name of foundation THE GREENBAUM FOUNDATION</p> <p>Number and street (or P O box number if mail is not delivered to street address) 8424 SANTA MONICA BLVD NO A-806</p> <p>City or town, state or province, country, and ZIP or foreign postal code WEST HOLLYWOOD, CA 90069</p> <p>G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change</p> <p>H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation</p> <p>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 37,314,571</p>		<p>A Employer identification number 87-6217358</p> <p>B Telephone number (see instructions) (858) 759-8835</p> <p>C If exemption application is pending, check here ► <input type="checkbox"/></p> <p>D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/></p> <p>E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/></p> <p>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/></p>							
		<p>J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____</p> <p>(Part I, column (d) must be on cash basis)</p>							
<p>Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</p>		(a)	Revenue and expenses per books	(b)	Net investment income	(c)	Adjusted net income	(d)	Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)		592,583						
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B								
	3 Interest on savings and temporary cash investments								
	4 Dividends and interest from securities		434,924		423,475				
	5a Gross rents								
	b Net rental income or (loss)								
	6a Net gain or (loss) from sale of assets not on line 10		2,617,623						
	b Gross sales price for all assets on line 6a		10,091,893						
	7 Capital gain net income (from Part IV, line 2)				1,142,717				
	8 Net short-term capital gain								
	9 Income modifications								
	10a Gross sales less returns and allowances								
b Less Cost of goods sold									
c Gross profit or (loss) (attach schedule)									
11 Other income (attach schedule)		164		-278,358					
12 Total. Add lines 1 through 11		3,645,294		1,287,834					
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc		0	0					0
	14 Other employee salaries and wages								
	15 Pension plans, employee benefits								
	16a Legal fees (attach schedule)								
	b Accounting fees (attach schedule)		23,777		23,777				0
	c Other professional fees (attach schedule)								
	17 Interest								
	18 Taxes (attach schedule) (see instructions)		25,000		1,233				0
	19 Depreciation (attach schedule) and depletion								
	20 Occupancy								
	21 Travel, conferences, and meetings		1,944		0				0
	22 Printing and publications								
	23 Other expenses (attach schedule)								
	24 Total operating and administrative expenses.		50,721		25,010				0
Add lines 13 through 23									
25 Contributions, gifts, grants paid		3,485,381						3,485,381	
26 Total expenses and disbursements. Add lines 24 and 25		3,536,102		25,010				3,485,381	
27 Subtract line 26 from line 12									
a Excess of revenue over expenses and disbursements		109,192							
b Net investment income (if negative, enter -0-)				1,262,824					
c Adjusted net income (if negative, enter -0-)									

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments		1,682,937	1,152,767	1,152,767
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)	109,512	92,181	92,181	
	b Investments—corporate stock (attach schedule)	22,126,168	19,468,921	19,468,921	
	c Investments—corporate bonds (attach schedule)	633,773	909,946	909,946	
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)	12,503,989	15,690,756	15,690,756	
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► _____)	25,000	0	0	0
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	37,081,379	37,314,571	37,314,571	
	17 Accounts payable and accrued expenses				
	18 Grants payable	615,000			
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► _____)	615,000	0	0	
	23 Total liabilities (add lines 17 through 22)				
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	36,466,379	37,314,571		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)	36,466,379	37,314,571		
	31 Total liabilities and net assets/fund balances (see instructions)	37,081,379	37,314,571		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	36,466,379
2	Enter amount from Part I, line 27a	2	109,192
3	Other increases not included in line 2 (itemize) ►	3	739,000
4	Add lines 1, 2, and 3	4	37,314,571
5	Decreases not included in line 2 (itemize) ►	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	37,314,571

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 10,091,893		8,949,176	1,142,717		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a			1,142,717		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,142,717	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	2,779,579	37,449,147	0 074223
2014	2,609,548	35,332,149	0 073858
2013	2,160,505	30,723,783	0 070320
2012	2,015,478	28,912,354	0 069710
2011	1,997,893	29,454,867	0 067829
2 Total of line 1, column (d)			2 0 355940
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 071188
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 36,520,788
5 Multiply line 4 by line 3			5 2,599,842
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 12,628
7 Add lines 5 and 6			7 2,612,470
8 Enter qualifying distributions from Part XIII, line 4			8 3,485,381

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	12,628
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	12,628
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	12,628
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	31,752
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	31,752
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	19,124
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ►	11	0
	19,124	Refunded ►	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	Yes	No
1c	Did the foundation file Form 1120-POL for this year?	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ 0 (2) On foundation managers ► \$ _____ 0		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ 0		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►CA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	Yes	No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions). 12 No

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Yes

Website address ► WWW GREENBAUMFOUNDATION.ORG

14 The books are in care of ► JAMES R GREENBAUM JR Telephone no ► (858) 759-8835

Located at ► 8424 SANTA MONICA BLVD SUITE A-806 WEST HOLLYWOOD CA

ZIP+4 ► 90069

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15 □

16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No

b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ►

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? 1c No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____ Yes No

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) 2b

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No

b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). 3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
JAMES R GREENBAUM JR 8424 SANTA MONICA BLVD SUITE A-806 WEST HOLLYWOOD, CA 90069	TRUSTEE 40 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
Total number of other employees paid over \$50,000. ► 0				

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the year, see instructions		Amount
1		
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		►

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	35,624,820
b	Average of monthly cash balances.	1b	1,452,122
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	37,076,942
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	37,076,942
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	556,154
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	36,520,788
6	Minimum investment return. Enter 5% of line 5.	6	1,826,039

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	1,826,039
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	12,628
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,628
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,813,411
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,813,411
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,813,411

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,485,381
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,485,381
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	12,628
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,472,753
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				1,813,411
2 Undistributed income, if any, as of the end of 2016			0	
a Enter amount for 2015 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	536,126			
b From 2012.	587,046			
c From 2013.	704,926			
d From 2014.	889,751			
e From 2015.	969,930			
f Total of lines 3a through e.	3,687,779			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 3,485,381			0	
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				1,813,411
e Remaining amount distributed out of corpus	1,671,970			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,359,749			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	536,126			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	4,823,623			
10 Analysis of line 9				
a Excess from 2012.	587,046			
b Excess from 2013.	704,926			
c Excess from 2014.	889,751			
d Excess from 2015.	969,930			
e Excess from 2016.	1,671,970			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JAMES R GREENBAUM JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

JAMES R GREENBAUM JR

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions)	
1	Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount
a					
b					
c					
d					
e					
f					
g	Fees and contracts from government agencies				
2	Membership dues and assessments.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities.			14	434,924
5	Net rental income or (loss) from real estate				
a	Debt-financed property.				
b	Not debt-financed property.				
6	Net rental income or (loss) from personal property				
7	Other investment income.			14	164
8	Gain or (loss) from sales of assets other than inventory			18	2,617,623
9	Net income or (loss) from special events				
10	Gross profit or (loss) from sales of inventory				
11	Other revenue a				
b					
c					
d					
e					
12	Subtotal Add columns (b), (d), and (e).		0		3,052,711
13	Total. Add line 12, columns (b), (d), and (e).			13	3,052,711

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

(1) Cash.	1a(1)	No
(2) Other assets.	1a(2)	No
Other transactions		
(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
(3) Rental of facilities, equipment, or other assets.	1b(3)	No
(4) Reimbursement arrangements.	1b(4)	No
(5) Loans or loan guarantees.	1b(5)	No
(6) Performance of services or membership or fundraising solicitations.	1b(6)	No
Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. **1c**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**

* * * * *

2017-11-09

*** * * *

May the IRS discuss this return
with the preparer shown below
(see instr.)? Yes No

Paid Preparer Use Only	Print/Type preparer's name GREY K BELL	Preparer's Signature	Date 2017-11-09	Check if self-employed ► <input type="checkbox"/>	PTIN P01058822
	Firm's name ► HANCOCK ASKEW & CO LLP				Firm's EIN ► 58-0662558
	Firm's address ► 275 SCIENTIFIC DRIVE STE 2500 NORCROSS, GA 30092				Phone no (770) 246-0793

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TOSTAN PO BOX 51987 WASHINGTON, DC 20091	N/A	PUBLIC	GENERAL FUND - 2016 GRANT MADE TO TOSTAN RELATING TO PRIOR YEAR MULTI-YEAR COMMITMENT	500,000
TOSTAN PO BOX 51987 WASHINGTON, DC 20091	N/A	PUBLIC	GENERAL FUND	175,000
LA CONSCIENCE EQUIVALENCY DETERMINATION ON FILE TO	N/A	PUBLIC	GENERAL FUND	174,143
SHINING HOPE FOR COMMUNITIES 175 VARICK ST 6TH FLOOR NEW YORK, NY 10014	N/A	PUBLIC	GENERAL FUND	100,000
SHARE (ASIA PACIFIC) LTD PO BOX 23526 WAN CHAI POST OFFICE HK	N/A	PUBLIC	GENERAL FUND	100,000
Total	►			3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FREEDOM FOR ALL INC PO BOX 536 NEW YORK, NY 10014	N/A	PUBLIC	GENERAL FUND	100,000
PHYSICIANS COMMITTEE FOR RESPONSIBLE MEDICINE 5100 WISCONSIN AVE NW SUITE 400 WASHINGTON, DC 20016	N/A	PUBLIC	GENERAL FUND - 2016 GRANT MADE TO PCRM RELATING TO PRIOR YEAR MULTI-YEAR COMMITMTT	100,000
THE FREEDOM FUND (TRANSPARENTEM) 33 IRVING PLACE NEW YORK, NY 10003	N/A	PUBLIC	GENERAL FUND	90,000
THE GIVING BACK FUND (NEXUS GLOBAL YOUTH SUMMIT) 5757 W CENTURY BLVD SUITE 410 LOS ANGELES, CA 90045	N/A	PUBLIC	GENERAL FUND	75,000
THE STRONGHEART GROUP 1410 LAKEWAY DR AUSTIN, TX 78734	N/A	PUBLIC	GENERAL FUND	52,500
Total			►	3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
YOUNG LOVE FOUNDATION PLOT 6789 EXTENSION 21 GABORONE BC	N/A	PUBLIC	GENERAL FUND	50,000
RISE UP INC 6825 HWY 10 TAHLEQUAH, OK 74464	N/A	PUBLIC	GENERAL FUND	50,000
RESCUE FOUNDATION PLOT NO 39 FATIMADEVI RD POISUR KANDIVALI W MUMBAI IN	N/A	PUBLIC	GENERAL FUND	50,000
POLARIS PROJECT PO BOX 53315 WASHINGTON, DC 20009	N/A	PUBLIC	GENERAL FUND	50,000
LAST MILE HEALTH PO BOX 130122 BOSTON, MA 02113	N/A	PUBLIC	GENERAL FUND	50,000
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
JUSTICE RAPID RESPONSE 205 EAST 42ND ST 20TH FLOOR NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUND	50,000
EDWARD CHARLES FOUNDATION (THE HELENA FOUNDATION) 269 S BEVERLY DR 338 BEVERLY HILLS, CA 90212	N/A	PUBLIC	GENERAL FUND	50,000
CRITICALINK 1706 S ST NW UNIT 1 WASHINGTON, DC 20009	N/A	PUBLIC	GENERAL FUND	50,000
INTERNATIONAL CIVIL SOCIETY ACTION NETWORK 1775 MASSACHUSETTS AVENUE NW SUITE 524 WASHINGTON, DC 20036	N/A	PUBLIC	GENERAL FUND	50,000
NO MEANS NO WORLDWIDE 921 FRONT ST 204 SAN FRANCISCO, CA 94111	N/A	PUBLIC	GENERAL FUND	50,000
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HUMANE AFRICA EQUIVALENCY DETERMINATION ON FILE UG	N/A	PUBLIC	GENERAL FUND	45,000
TRUCKERS AGAINST TRAFFICKING PO BOX 816 ENGLEWOOD, CO 80151	N/A	PUBLIC	GENERAL FUND	44,808
WHOLE CHILD INTL 610 SANTA MONICA BOULEVARD 215 SANTA MONICA, CA 90401	N/A	PUBLIC	GENERAL FUND	40,000
WOMEN MOVING MILLIONS INC 19 FULTON STREET SUITE 301 NEW YORK, NY 10038	N/A	PUBLIC	GENERAL FUND	25,000
THORN 200 PARK AVENUE SOUTH 8TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	GENERAL FUND	25,000
Total			►	3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE END FUND 33 IRVING PLACE 3RD FLOOR NEW YORK, NY 10003	N/A	PUBLIC	GENERAL FUND	25,000
SOLSTICE INSTITUTE (STOP TRAFFICKING AND OPPRESSION OF WOMEN AND CHILDREN) 255 CANYON BLVD SUITE 200 BOULDER, CO 80302	N/A	PUBLIC	GENERAL FUND	20,000
CRISIS ACTION 708 THIRD AVENUE SUITE 1820 NEW YORK, NY 10017	N/A	PUBLIC	GENERAL FUND	20,000
BEAGLE FREEDOM PROJECT 4804 LAUREL CANYON BLVD 534 VALLEY VILLAGE, CA 91607	N/A	PUBLIC	GENERAL FUND	20,000
FOUNDATION FOR THE DEFENSE OF DEMOCRACIES PO BOX 33249 WASHINGTON, DC 20033	N/A	PUBLIC	GENERAL FUND	20,000
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CLINTON GLOBAL INITIATIVE 1271 AVENUE OF THE AMERICAS 42ND FLOOR NEW YORK, NY 10020	N/A	PUBLIC	GENERAL FUND	19,000
REFUGE POINT 689 MASSACHUSETTS AVENUE 2ND FLOOR CAMBRIDGE, MA 02139	N/A	PUBLIC	GENERAL FUND	10,000
LANDESA 1424 4TH AVE SUITE 300 SEATTLE, WA 98101	N/A	PUBLIC	GENERAL FUND	10,000
THE ANGLO AMERICAN CHARITABLE (ORCHID PROJECT) 10 NORWICH STREET LONDON UK	N/A	PUBLIC	GENERAL FUND	10,000
D REV DESIGN REVOLUTION 695 MINNESOTA ST SAN FRANCISCO, CA 94107	N/A	PUBLIC	GENERAL FUND	10,000
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALTRUISM IN MEDICINE 2524 E CHOLLA ST PHOENIX, AZ 85028	N/A	PUBLIC	GENERAL FUND	10,000
AIRLINK 1023 15TH ST NW STE 1100 WASHINGTON, DC 20005	N/A	PUBLIC	GENERAL FUND	10,000
LIFT UP AFRICA 801 E 1ST ST SUITE B 199 CLE ELUM, WA 98922	N/A	PUBLIC	GENERAL FUND	6,000
SPARK MICROGRANTS INC 116 W HOUSTON ST FLOOR 2 NEW YORK, NY 10012	N/A	PUBLIC	GENERAL FUND	5,400
THE POLLINATION PROJECT (WOMEN'S CRISIS CARE INT'L) 1569 SOLANO AVENUE 643 BERKELEY, CA 94707	N/A	PUBLIC	GENERAL FUND	5,355
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ONE HEART WORLD WIDE 1818 PACHECO ST SAN FRANCISCO, CA 94116	N/A	PUBLIC	GENERAL FUND	5,000
MERCY FOR ANIMALS 8033 SUNSET BLVD SUITE 864 LOS ANGELES, CA 90046	N/A	PUBLIC	GENERAL FUND	231,000
WHITE COAT WASTE PROJECT PO BOX 26029 WASHINGTON, DC 20001	N/A	PUBLIC	GENERAL FUND	200,000
STOP ANIMAL EXPLOITATION NOW 1081-B STATE ROUTE 28 280 MILFORD, OH 45150	N/A	PUBLIC	GENERAL FUND	100,000
ANIMAL EQUALITY 8581 SANTA MONICA BLVD 350 LOS ANGELES, CA 90069	N/A	PUBLIC	GENERAL FUND	94,275
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANIMAL RECOVERY MISSION PO BOX 403344 MIAMI BEACH, FL 33140	N/A	PUBLIC	GENERAL FUND	75,000
FACE FOUNDATION 10505 SORRENTO VALLEY ROAD SUITE 175 SAN DIEGO, CA 92121	N/A	PUBLIC	GENERAL FUND	62,000
BEYOND CARNISM INC 700 N VALLEY ST SUITE 73867 ANAHEIM, CA 92801	N/A	PUBLIC	GENERAL FUND	60,000
ACTASIA FOR ANIMALS PO BOX 1049 REDDING CENTER, CT 06875	N/A	PUBLIC	GENERAL FUND	50,000
ANIMAL CHARITY EVALUATORS PO BOX 5482 SAN DIEGO, CA 92165	N/A	PUBLIC	GENERAL FUND	50,000
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANIMALS UNITED NETWORK PO BOX 6105 SANTA ROSA, CA 95406	N/A	PUBLIC	GENERAL FUND	50,000
THE HUMANE LEAGUE PO BOX 10476 ROCKVILLE, MD 20849	N/A	PUBLIC	GENERAL FUND	30,000
MINDY'S MEMORY PO BOX 134 NEWCASTLE, OK 73065	N/A	PUBLIC	GENERAL FUND	25,000
THE GENTLE BARN FOUNDATION 15825 SIERRA HIGHWAY SANTA CLARITA, CA 91390	N/A	PUBLIC	GENERAL FUND	25,000
THINKING ANIMALS INC 311 EAST 72ND ST SUITE 10D NEW YORK, NY 10021	N/A	PUBLIC	GENERAL FUND	25,000
Total	►			3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LOVE ANIMALS INDEPENDENCE PLAZA B-180 1001 16TH ST DENVER, CO 80265	N/A	PUBLIC	GENERAL FUND - 2016 GRANT MADE TO LOVE ANIMALS RELATING TO PRIOR YEAR MULTI-YEAR COMMITMENT	15,000
FAUNA SANCTUARY INC 333 WASHINGTON STREET BOSTON, MA 02108	N/A	PUBLIC	GENERAL FUND	12,500
DOWNTOWN DOG RESCUE PO BOX 90035 PASADENA, CA 91109	N/A	PUBLIC	GENERAL FUND	10,000
FROSTED FACE FOUNDATION 864 GRAND AVENUE MAILBOX 333 SAN DIEGO, CA 92109	N/A	PUBLIC	GENERAL FUND	10,000
INDI LAB RESCUE 17130 VAN BUREN BLVD 183 RIVERSIDE, CA 92504	N/A	PUBLIC	GENERAL FUND	5,000
Total				3,485,381
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE POLLINATION PROJECT (LIBERIA ANIMAL WELLNESS SANCTUARY PROJECT) 1569 SOLANO AVENUE 643 BERKELEY, CA 94707	N/A	PUBLIC	GENERAL FUND	2,500
RANCHO COASTAL HUMANE SOCIETY 389 REQUEZA ST ENCINITAS, CA 92024	N/A	PUBLIC	GENERAL FUND	900
Total			►	3,485,381
3a				

TY 2016 Accounting Fees Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	23,777	23,777		0

TY 2016 Investments Corporate Bonds Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	909,946	909,946

TY 2016 Investments Corporate Stock Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCK	19,468,921	19,468,921

TY 2016 Investments Government Obligations Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

**US Government Securities - End
of Year Book Value:** 92,181

**US Government Securities - End
of Year Fair Market Value:** 92,181

**State & Local Government
Securities - End of Year Book
Value:** 0

**State & Local Government
Securities - End of Year Fair
Market Value:** 0

TY 2016 Investments - Other Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PARTNERSHIPS AND CORPORATIONS	FMV	11,657,741	11,657,741
MANAGED FUTURES	FMV	4,033,015	4,033,015

TY 2016 Other Assets Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS TO CHARITIES	25,000	0	0

TY 2016 Other Income Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MS #022039 - OTHER INCOME	164	164	164

TY 2016 Other Increases Schedule

Name: THE GREENBAUM FOUNDATION

EIN: 87-6217358

Description	Amount
ACCRUAL TO CASH ADJUSTMENTS - TIMING OF GRANT PAYMENTS	739,000

TY 2016 Taxes Schedule

Name: THE GREENBAUM FOUNDATION**EIN:** 87-6217358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	25,000	0		0
FOREIGN TAXES	0	1,233		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF
 ► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

2016**Name of the organization**
THE GREENBAUM FOUNDATION**Employer identification number**
87-6217358**Organization type (check one)****Filers of:****Section:**

Form 990 or 990-EZ

501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

527 political organization
 501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization THE GREENBAUM FOUNDATION		Employer identification number 87-6217358	
Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES R GREENBAUM JR	\$ 592,583	Person <input type="checkbox"/>
	8424 SANTA MONICA BLVD SUITE A-806		Payroll <input type="checkbox"/>
	WEST HOLLYWOOD, CA 90069		Noncash <input checked="" type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ _____	Person <input type="checkbox"/>
			Payroll <input type="checkbox"/>
			Noncash <input type="checkbox"/>
			(Complete Part II for noncash contributions)

Name of organization
THE GREENBAUM FOUNDATION

Employer identification number
87-6217358

Part II Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—	See Additional Data Table	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$ _____	_____

Name of organization THE GREENBAUM FOUNDATION		Employer identification number 87-6217358	
Part III <i>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____</i> Use duplicate copies of Part III if additional space is needed			
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

Software ID:

Software Version:

EIN: 87-6217358

Name: THE GREENBAUM FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	700 SHS OF APPLE INC	\$ 73,969	2016-03-24
<u>1</u>	370 SHS OF HOME DEPOT INC	\$ 48,270	2016-03-24
<u>1</u>	900 SHS OF HONEYWELL INTERNATIONAL INC	\$ 100,188	2016-03-24
<u>1</u>	700 SHS OF THERMO FISHER SCIENTIFIC	\$ 97,314	2016-03-24
<u>1</u>	1,180 SHS OF UNITEDHEALTH GP INC	\$ 151,736	2016-03-24
<u>1</u>	650 SHS OF VISA INC CL A	\$ 48,191	2016-03-24
<u>1</u>	750 SHS OF WALT DISNEY CO HLDG CO	\$ 72,915	2016-03-24