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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning**, and ending**

Name of foundation BRADY, SISTER KATHLEEN IRR TR-MAIN			A Employer identification number 86-6247678	
Number and street (or P O box number if mail is not delivered to street address) Wells Fargo Bank N A Trust Tax Dept - 100 N Main St MAC D4001-117		Room/suite		B Telephone number (see instructions) (888) 730-4933
City or town, state or province, country, and ZIP or foreign postal code Winston Salem NC 27101		C If exemption application is pending, check here ☐		
Foreign country name Foreign province/state/country Foreign postal code		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐		
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2,572,642		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	67,358	61,929		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	105,764			
	b Gross sales price for all assets on line 6a 2,024,089				
	7 Capital gain net income (from Part IV, line 2)		105,764		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	12,445	12,445			
12 Total. Add lines 1 through 11	185,567	180,138	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	35,263	31,737		3,526
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,145			1,145
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	996	222		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,257	2,257		
	24 Total operating and administrative expenses. Add lines 13 through 23	39,661	34,216	0	4,671
	25 Contributions, gifts, grants paid	63,528			63,528
26 Total expenses and disbursements. Add lines 24 and 25	103,189	34,216	0	68,199	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	82,378				
b Net investment income (if negative, enter -0-)		145,922			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2016)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	12,961		
	2	Savings and temporary cash investments	66,879	120,163	120,163
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,260,768	2,300,142	2,452,479
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,340,608	2,420,305	2,572,642
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	2,340,608	2,420,305	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	2,340,608	2,420,305	
	31	Total liabilities and net assets/fund balances (see instructions)	2,340,608	2,420,305	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,340,608
2	Enter amount from Part I, line 27a	2	82,378
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	1,766
4	Add lines 1, 2, and 3	4	2,424,752
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	4,447
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,420,305

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	105,764	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	65,997	2,768,991	0.023834
2014	267,560	2,803,935	0.095423
2013	67,246	2,709,603	0.024818
2012	96,368	2,765,943	0.034841
2011	138,830	2,775,912	0.050012
2 Total of line 1, column (d)			2 0.228928
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045786
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 2,534,386
5 Multiply line 4 by line 3			5 116,039
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,459
7 Add lines 5 and 6			7 117,498
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 68,199

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	2,918	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	2,918	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,918	
6	Credits/Payments:			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,248	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	1,248	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,670	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ Refunded ☐	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ AZ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ WELLS FARGO BANK N.A. Telephone no ▶ 888-730-4933 Located at ▶ 100 N Main St MAC D4001-117 Winston Salem NC ZIP+4 ▶ 27101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ▶ 20____, 20____, 20____, 20____		☐ Yes	☒ No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A		☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 100 N Main St MAC D4001-117 Winston Salem, NC 27	Trustee SEE ATTACHED	35,263		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,493,424
b	Average of monthly cash balances	1b	79,557
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	2,572,981
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,572,981
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	38,595
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,534,386
6	Minimum investment return. Enter 5% of line 5	6	126,719

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	126,719
2a	Tax on investment income for 2016 from Part VI, line 5	2a	2,918
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,918
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	123,801
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	123,801
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	123,801

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	68,199
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	68,199
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	68,199

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				123,801
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			63,546	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 68,199				
a Applied to 2015, but not more than line 2a			63,546	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				4,653
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				119,148
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement				
Total			3a	63,528
b Approved for future payment NONE				
Total			3b	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No	
	 SVP Wells Fargo Bank N.A. Signature of officer or trustee		4/4/2017 Date		SVP Wells Fargo Bank N.A. Title		
Paid Preparer Use Only	Pnnt/Type preparer's name		Preparer's signature		Date	Check ☒ if self-employed	PTIN
	JOSEPH J CASTRIANO				4/4/2017		P01251603
	Firm's name ▶ PricewaterhouseCoopers, LLP					Firm's EIN ▶ 13-4008324	
	Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777					Phone no 412-355-6000	

Supplemental Information:

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors.

Wells Fargo Bank N.A
100 N Main Street Mac 4001-117
Winston-Salem, NC 27101-3818

The compensation reported in column (c) is calculated based on periodic market values and/or the applicable fee agreement. It is not determined solely on an hourly basis. Corporate Trustee services include, but are not limited to, administrative services such as fiduciary accounting, custody of assets, complying with tax filing requirements, complying with distribution provisions, and complying with federal and state laws applicable to private foundations, plus asset management services such as creating asset allocation strategies, investment reporting and reallocating and rebalancing of portfolios as necessary.

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

DIOCESE OF BAKER, OREGON

Street

PO BOX 5999

City

BEND

State

OR

Zip Code

97708

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,218

Name

DIOCESE OF NASHVILLE

Street

2400 21ST AVE S

City

NASHVILLE

State

TN

Zip Code

37212-5302

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,218

Name

DIOCESE OF CHARLOTTE, NC

Street

PO BOX 36776

City

CHARLOTTE

State

NC

Zip Code

28236-6776

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,218

Name

DIOCESE OF SIOUX FALLS, SOUTH DAKOTA

Street

523 N DULUTH AVE

City

SIOUX FALLS

State

SD

Zip Code

57104-2714

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,218

Name

CATHOLIC DIOCESE OF RALEIGH

Street

715 NAZARETH ST

City

RALEIGH

State

NC

Zip Code

27606-2187

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,485

Name

DIOCESE OF SUPERIOR

Street

1201 HUGHIT AVE

City

SUPERIOR

State

WI

Zip Code

54880-0017

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,218

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ARCHDIOCESE OF MOBILE

Street

PO BOX 1966

City

MOBILE

State

AL

Zip Code

36633-1966

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

DIOCESE OF OWENSBORO, KENTUCKY

Street

600 LOCUST ST

City

OWENSBORO

State

KY

Zip Code

42301-2130

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

CATHOLIC DIOCESE OF LEXINGTON

Street

1310 W MAIN ST

City

LEXINGTON

State

KY

Zip Code

40508-2048

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

DIOCESE OF JACKSON, MISSISSIPPI

Street

237 AMITW ST PO BOX 2248

City

JACKSON

State

MS

Zip Code

39225-2248

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

ROMAN CATHOLIC DIOCESE OF DES MOINES

Street

601 GRAND AVE

City

DES MOINES

State

IA

Zip Code

50309-2501

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

THE SOCIETY OF THE PROPAGATION OF THE FAITH

Street

70 WEST 36TH ST, FLOOR 8

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

CATHOLIC CHURCH EXT SOC USA

Street

150 S WACKER DR 20TH FLOOR

City

CHICAGO

State

IL

Zip Code

60606

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

DIOCESE OF BISMARCK

Street

PO BOX 1137

City

BISMARCK

State

ND

Zip Code

58502-1137

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name

DIOCESE OF RAPID CITY

Street

PO BOX 678

City

RAPID CITY

State

SD

Zip Code

57709-0678

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

4,217

Name**Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals										Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions		1,260	Capital Gains/Losses Other sales										2,024,089		1,918,325		105,764	
	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss			
1	E-TRACS ALERIAN MLP INFR	902841946	X				6/21/2016	12/15/2016	1,084	1,036				0	48			
2	E-TRACS ALERIAN MLP INFR	902841948	X				8/9/2016	12/15/2016	1,111	1,170				0	-26			
3	E-TRACS ALERIAN MLP INFR	902841948	X				10/29/2015	9/20/2016	9,247	8,129				0	-59			
4	T ROWE PRICE INST EM MKT	74144Q203	X				3/3/2016	12/15/2016	15,751	16,225				0	1,118			
5	VANGUARD INTERMEDIATE	921937819	X				5/10/2016	12/15/2016	29,688	31,163				0	-474			
6	VANGUARD INTERMEDIATE	921937819	X				8/21/2016	12/15/2016	29,359	30,973				0	-1,475			
7	VANGUARD INTERMEDIATE	921937819	X				8/9/2016	12/15/2016	29,524	31,419				0	-1,614			
8	VANGUARD INTERMEDIATE	921937819	X				9/20/2016	12/15/2016	38,430	40,871				0	-1,895			
9	VANGUARD INTERMEDIATE	921937819	X				11/2/2016	12/15/2016	41,316	43,373				0	-2,441			
10	VANGUARD INTERMEDIATE	921937819	X				12/13/2016	12/15/2016	45,357	45,834				0	-2,057			
11	VANGUARD SHORT TERM BC	921937827	X				3/3/2016	12/15/2016	39,525	40,024				0	-477			
12	VANGUARD SHORT TERM BC	921937827	X				5/10/2016	12/15/2016	27,408	27,933				0	-489			
13	VANGUARD SHORT TERM BC	921937827	X				8/9/2016	12/15/2016	27,010	27,548				0	-527			
14	VANGUARD SHORT TERM BC	921937827	X				8/9/2016	12/15/2016	27,248	27,805				0	-538			
15	VANGUARD SHORT TERM BC	921937827	X				9/20/2016	12/15/2016	20,436	20,831				0	-557			
16	VANGUARD SHORT TERM BC	921937827	X				11/2/2016	12/15/2016	22,891	23,269				0	-395			
17	VANGUARD SHORT TERM BC	921937827	X				12/13/2016	12/15/2016	23,208	23,310				0	-378			
18	VANGUARD SHORT TERM BC	921937827	X				11/20/2014	3/3/2016	4,777	4,639				0	-102			
19	VANGUARD REIT VIPER	922908553	X				11/20/2014	5/10/2016	5,280	4,701				0	138			
20	VANGUARD REIT VIPER	922908553	X				11/20/2014	6/16/2016	5,108	4,612				0	589			
21	VANGUARD REIT VIPER	922908553	X				11/20/2014	8/9/2016	5,438	4,612				0	589			
22	VANGUARD REIT VIPER	922908553	X				11/20/2014	9/20/2016	776	680				0	496			
23	VANGUARD REIT VIPER	922908553	X				10/18/2013	9/20/2016	9,307	7,238				0	88			
24	VANGUARD REIT VIPER	922908553	X				11/2/2016	11/2/2016	2,556	2,144				0	2,069			
25	VANGUARD REIT VIPER	922908553	X				11/7/2013	11/2/2016	11,960	9,826				0	412			
26	VANGUARD REIT VIPER	922908553	X				8/20/2013	12/13/2016	399	305				0	2,154			
27	VANGUARD REIT VIPER	922908553	X				9/3/2013	12/13/2016	10,487	7,632				0	2,855			
28	VANGUARD REIT VIPER	922908553	X				1/28/2013	9/28/2016	35,146	37,796				0	1,367			
29	US TREASURY NOTE 2.750	912828MA5	X				6/16/2015	3/3/2016	11,810	13,801				0	-2,650			
30	JOHCM INTERNATIONAL SEL	00770G847	X				6/16/2015	5/10/2016	9,938	11,199				0	-1,991			
31	JOHCM INTERNATIONAL SEL	00770G847	X				5/1/2014	5/10/2016	2,070	2,059				0	-1,263			
32	JOHCM INTERNATIONAL SEL	00770G847	X				5/1/2014	6/21/2016	11,931	11,795				0	11			
33	JOHCM INTERNATIONAL SEL	00770G847	X				5/1/2014	8/9/2016	12,662	11,813				0	136			
34	JOHCM INTERNATIONAL SEL	00770G847	X				5/1/2014	9/20/2016	11,431	10,719				0	849			
35	JOHCM INTERNATIONAL SEL	00770G847	X				5/1/2014	11/2/2016	10,830	10,336				0	712			
36	JOHCM INTERNATIONAL SEL	00770G847	X				5/1/2014	12/13/2016	10,678	10,300				0	494			
37	JOHCM INTERNATIONAL SEL	00770G847	X				2/14/2012	3/3/2016	2,682	3,299				0	378			
38	JOHCM INTERNATIONAL SEL	00770G847	X				2/14/2012	5/10/2016	2,717	3,233				0	-617			
39	ABERDEEN GLOBAL HIGH IN	04315J860	X				2/14/2012	6/21/2016	2,715	3,194				0	-518			
40	ABERDEEN GLOBAL HIGH IN	04315J860	X				2/14/2012	8/9/2016	2,797	3,204				0	-479			
41	ABERDEEN GLOBAL HIGH IN	04315J860	X				2/14/2012	9/20/2016	2,921	2,909				0	-407			
42	ABERDEEN GLOBAL HIGH IN	04315J860	X				2/14/2012	11/2/2016	2,425	2,805				0	-388			
43	ABERDEEN GLOBAL HIGH IN	04315J860	X				2/14/2012	12/13/2016	2,441	2,788				0	-380			
44	ABERDEEN GLOBAL HIGH IN	04315J860	X				11/3/2015	3/3/2016	5,794	6,140				0	-345			
45	BROOKFIELD GL LISTED RE	112740303	X				11/3/2015	5/10/2016	6,115	6,020				0	-346			
46	BROOKFIELD GL LISTED RE	112740303	X				11/3/2015	6/21/2016	6,052	5,953				0	95			
47	BROOKFIELD GL LISTED RE	112740303	X				11/3/2015	8/9/2016	6,391	5,966				0	99			
48	BROOKFIELD GL LISTED RE	112740303	X				11/3/2015	9/20/2016	5,613	5,412				0	88			
49	BROOKFIELD GL LISTED RE	112740303	X				11/3/2015	11/2/2016	5,005	5,213				0	425			
50	BROOKFIELD GL LISTED RE	112740303	X				11/3/2015	12/13/2016	5,134	5,200				0	201			
51	BROOKFIELD GL LISTED RE	112740303	X				10/29/2015	3/3/2016	8,391	9,218				0	-208			
52	DELAWARE POOLED TR INTL	261800666	X				5/12/2016	9/20/2016	3,343	3,133				0	-66			
53	DREYFUS INTERNATL BOND	261800666	X				8/11/2016	11/2/2016	4,452	4,875				0	-827			
54	DREYFUS INTERNATL BOND	261800666	X				8/11/2016	12/13/2016	4,510	4,875				0	-423			
55	DREYFUS INTERNATL BOND	261800666	X				8/20/2013	12/13/2016	1,873	2,012				0	-365			
56	DREYFUS INTERNATL BOND	261800666	X				8/20/2013	9/20/2016	1,317	1,407				0	-413			
57	DREYFUS INTERNATL BOND	261800666	X				8/20/2013	11/2/2016	1,290	1,385				0	-139			
58	DREYFUS INTERNATL BOND	262028655	X				8/20/2013	12/13/2016	1,658	1,751				0	0			
59	DREYFUS INTERNATL BOND	262028655	X				5/10/2013	3/3/2016	20,377	22,701				0	-90			
60	DREYFUS INTERNATL BOND	262028655	X				5/10/2013	5/10/2016	20,840	22,246				0	-95			
61	DREYFUS INTERNATL BOND	262028655	X				3/12/2010	6/21/2016	11,464	11,529				0	-83			
62	EATON VANCE FLOATING RA	277911491	X				10/23/2009	8/9/2016	18,409	18,361				0	-2,324			
63	EATON VANCE FLOATING RA	277911491	X				10/23/2009	9/20/2016	19,048	18,174				0	-1,406			
64	EATON VANCE FLOATING RA	277911491	X				10/23/2009	11/2/2016	18,517	17,650				0	-586			
65	EATON VANCE FLOATING RA	277911491	X				5/16/2011	12/13/2016	18,551	17,562				0	-65			
66	EATON VANCE FLOATING RA	277911491	X				5/16/2011	3/3/2016	5,128	5,663				0	48			
67	EATON VANCE FLOATING RA	277911491	X				5/16/2011	5/10/2016	4,846	5,303				0	73			
68	EATON VANCE FLOATING RA	277911491	X											0	874			
69	EATON VANCE FLOATING RA	277911491	X											0	867			
70	EATON VANCE FLOATING RA	277911491	X											0	989			
71	EATON VANCE GLOBAL MAC	277923728	X											0	-537			
72	EATON VANCE GLOBAL MAC	277923728	X											0	-457			

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Short Term CG Distributions		Totals		Capital Gains/Losses		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Net Gain or Loss	
		1,280						Other sales		2,024,089		1,918,325		0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
73 EATON VANCE GLOBAL MAC	277923728	X				5/16/2011	6/21/2016	4,820	5,256					-436	
74 EATON VANCE GLOBAL MAC	277923728	X				5/16/2011	8/9/2016	4,791	5,192					-401	
75 EATON VANCE GLOBAL MAC	277923728	X				5/16/2011	12/15/2016	24,739	28,678					-1,939	
76 EATON VANCE GLOBAL MAC	277923728	X				7/6/2012	12/15/2016	32,288	33,559					-1,271	
77 EATON VANCE GLOBAL MAC	277923728	X				9/22/2016	12/15/2016	11,372	11,419					-47	
78 FPA NEW INCOME FUND #78	302544101	X				1/14/2014	5/10/2016	8,090	8,325					-235	
79 FPA NEW INCOME FUND #78	302544101	X				1/14/2014	9/21/2016	1,490	1,532					-42	
80 FPA NEW INCOME FUND #78	302544101	X				6/16/2015	6/21/2016	6,603	6,603					-85	
81 FPA NEW INCOME FUND #78	302544101	X				6/16/2015	8/9/2016	8,036	8,132					-96	
82 FPA NEW INCOME FUND #78	302544101	X				6/16/2015	9/20/2016	7,306	7,372					-66	
83 FPA NEW INCOME FUND #78	302544101	X				6/16/2015	11/2/2016	7,024	7,108					-84	
84 FPA NEW INCOME FUND #78	302544101	X				6/16/2015	12/13/2016	6,987	7,076					-89	
85 FED HOME LN MTG CORP 11	3137EADF3	X				2/14/2014	12/15/2016	15,031	15,168					-137	
86 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	5/10/2016	8,492	9,034					-542	
87 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	6/21/2016	8,500	8,935					-435	
88 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	8/9/2016	8,627	8,949					-322	
89 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	9/20/2016	7,818	8,128					-310	
90 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	11/2/2016	7,410	7,630					-220	
91 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	12/13/2016	7,585	7,802					-217	
92 MONDRIAN INTERNATIONAL	36381Y108	X				10/29/2015	12/15/2016	14,410	15,103					-693	
93 ISHARES CORE S & P 500 ET	464287200	X				6/16/2015	3/3/2016	23,846	24,913					-1,067	
94 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	3/3/2016	19,838	13,396					6,442	
95 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	5/10/2016	44,284	28,821					15,463	
96 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	6/21/2016	44,189	28,550					15,639	
97 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	8/9/2016	46,290	28,550					17,740	
98 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	9/20/2016	41,395	25,844					15,551	
99 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	11/2/2016	39,184	25,032					14,152	
100 ISHARES CORE S & P 500 ET	464287200	X				2/14/2012	12/13/2016	42,083	24,897					17,186	
101 ISHARES CORE S&P MIDCAP	464287507	X				7/10/2013	3/3/2016	15,659	13,527					2,132	
102 ISHARES CORE S&P MIDCAP	464287507	X				7/10/2013	5/10/2016	14,852	12,211					2,641	
103 ISHARES CORE S&P MIDCAP	464287507	X				7/11/2008	5/10/2016	1,165	598					597	
104 ISHARES CORE S&P MIDCAP	464287507	X				7/11/2008	6/21/2016	9,990	5,009					4,981	
105 ISHARES CORE S&P MIDCAP	464287507	X				9/22/2006	6/21/2016	6,262	3,122					3,140	
106 ISHARES CORE S&P MIDCAP	464287507	X				9/22/2006	8/9/2016	16,848	8,028					8,820	
107 ISHARES CORE S&P MIDCAP	464287507	X				6/6/2006	8/9/2016	156	74					82	
108 ISHARES CORE S&P MIDCAP	464287507	X				8/8/2008	9/20/2016	15,231	7,335					7,896	
109 ISHARES CORE S&P MIDCAP	464287507	X				6/6/2006	11/2/2016	11,144	5,597					5,597	
110 ISHARES CORE S&P MIDCAP	464287507	X				7/28/2008	11/2/2016	3,120	1,550					1,570	
111 ISHARES CORE S&P MIDCAP	464287507	X				7/28/2008	12/13/2016	4,899	2,140					2,759	
112 ISHARES CORE S&P MIDCAP	464287507	X				8/14/2006	12/13/2016	8,446	3,668					4,778	
113 ISHARES CORE S&P MIDCAP	464287507	X				1/6/2009	12/13/2016	2,703	845					1,858	
114 ISHARES CORE S&P SMALL	464287804	X				7/10/2013	3/3/2016	2,466	2,178					308	
115 ISHARES CORE S&P SMALL	464287804	X				6/11/2008	5/10/2016	5,658	3,164					2,494	
116 ISHARES CORE S&P SMALL	464287804	X				7/10/2013	5/10/2016	1,924	1,610					314	
117 ISHARES CORE S&P SMALL	464287804	X				7/23/2008	5/10/2016	113	62					51	
118 ISHARES CORE S&P SMALL	464287804	X				7/23/2008	6/21/2016	2,793	1,498					1,305	
119 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	8/9/2016	2,531	2,933					-402	
120 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	9/20/2016	3,740	4,368					-628	
121 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	11/2/2016	3,630	4,264					-634	
122 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	12/13/2016	4,194	4,662					-468	
123 ISHARES CORE S&P SMALL	464287804	X				9/22/2006	6/21/2016	5,003	2,918					2,385	
124 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	12/15/2016	31,119	34,452					-3,333	
125 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	3/3/2016	22,454	26,199					-2,366	
126 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	5/10/2016	24,055	26,199					-2,144	
127 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	6/21/2016	26,060	28,189					-2,128	
128 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	8/9/2016	26,787	28,245					-1,448	
129 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	9/20/2016	24,215	25,620					-1,405	
130 ISHARES CORE S&P SMALL	464287804	X				9/22/2006	8/9/2016	3,818	1,948					1,870	
131 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	11/2/2016	23,331	24,709					-1,378	
132 ISHARES CORE S&P SMALL	464287804	X				7/11/2006	8/9/2016	4,286	2,119					2,167	
133 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	3/3/2016	2,968	3,368					-420	
134 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	5/10/2016	2,553	3,002					-449	
135 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	12/13/2016	22,872	24,597					-1,725	
136 PINCO FOREIGN BD FD USD	693390882	X				8/27/2014	12/15/2016	44,323	47,621					-3,298	
137 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	3/3/2016	8,101	9,228					-1,127	
138 ASG GLOBAL ALTERNATIVES	638721885	X				8/16/2013	6/21/2016	2,477	2,933					-456	
139 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	5/10/2016	8,319	9,035					-716	
140 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	6/21/2016	8,338	8,938					-600	
141 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	9/9/2016	7,896	8,949					-217	
142 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	9/20/2016	7,866	8,123					-277	
143 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	11/2/2016	7,570	7,833					-263	
144 PINCO EMERG MKTS BD-INS	693391559	X				12/24/2013	12/13/2016	7,306	7,801					-495	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Totals										Net Gain or Loss	
Short Term CG Distributions		1,280	0	Capital Gains/Losses		Gross Sales		Cost or Other Basis, Expenses, Depreciation and Adjustments		Adjustments		Net Gain or Loss			
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
145 PIMCO EMERG MKTS BD-INS	693391559	X				12/24/2013	12/15/2016	14,067	15,094				0	-1,027	
146 T ROWE PRICE INST EM MKT	74144Q203	X				10/29/2015	3/3/2016	8,643	9,221				0	-578	
147 T ROWE PRICE INST EM MKT	74144Q203	X				10/29/2015	5/10/2016	8,937	9,030				0	-93	
148 T ROWE PRICE INST EM MKT	74144Q203	X				10/29/2015	6/21/2016	9,227	8,948				0	279	
149 T ROWE PRICE INST EM MKT	74144Q203	X				10/29/2015	8/9/2016	10,188	8,948				0	1,250	
150 T ROWE PRICE INST EM MKT	74144Q203	X				10/29/2015	11/2/2016	8,733	7,829				0	904	
151 T ROWE PRICE INST EM MKT	74144Q203	X				10/29/2015	12/13/2016	8,428	7,802				0	626	
152 PRINCIPAL PREFERRED SEC	74253Q416	X				6/23/2016	9/20/2016	3,992	3,942				0	50	
153 PRINCIPAL PREFERRED SEC	74253Q416	X				8/11/2016	9/20/2016	4,028	4,028				0	0	
154 PRINCIPAL PREFERRED SEC	74253Q416	X				2/28/2014	8/20/2016	514	514				0	0	
155 PRINCIPAL PREFERRED SEC	74253Q416	X				2/28/2014	11/2/2016	8,170	8,108				0	62	
156 PRINCIPAL PREFERRED SEC	74253Q416	X				2/28/2014	12/13/2016	7,958	8,078				0	-120	
157 BOSTON P LONG/SHRT RES-IN	74925K581	X				3/3/2016	3/3/2016	5,300	4,919				0	381	
158 BOSTON P LONG/SHRT RES-IN	74925K581	X				8/15/2013	5/10/2016	5,346	4,825				0	521	
159 BOSTON P LONG/SHRT RES-IN	74925K581	X				8/15/2013	6/21/2016	5,282	4,771				0	511	
160 BOSTON P LONG/SHRT RES-IN	74925K581	X				8/15/2013	8/9/2016	5,371	4,771				0	600	
161 BOSTON P LONG/SHRT RES-IN	74925K581	X				8/15/2013	9/20/2016	4,846	4,319				0	527	
162 BOSTON P LONG/SHRT RES-IN	74925K581	X				11/2/2016	11/2/2016	4,871	4,180				0	691	
163 BOSTON P LONG/SHRT RES-IN	74925K581	X				8/15/2013	12/13/2016	4,842	4,166				0	676	
164 SPDR DJ WILSHIRE INTERNA	78463X883	X				3/3/2016	12/15/2016	5,131	5,440				0	-309	
165 SPDR DJ WILSHIRE INTERNA	78463X883	X				5/10/2016	12/15/2016	4,758	5,402				0	-646	
166 SPDR DJ WILSHIRE INTERNA	78463X883	X				8/21/2016	12/15/2016	4,719	5,361				0	-642	
167 SPDR DJ WILSHIRE INTERNA	78463X883	X				8/9/2016	12/15/2016	4,719	5,361				0	-642	
168 SPDR DJ WILSHIRE INTERNA	78463X883	X				9/20/2016	12/15/2016	3,071	3,358				0	-287	
169 SPDR DJ WILSHIRE INTERNA	78463X883	X				11/2/2016	12/15/2016	637	863				0	-226	
170 SPDR DJ WILSHIRE INTERNA	78463X883	X				12/13/2016	12/15/2016	1,198	1,239				0	-38	
171 AMEX ENERGY SELECT SPD	81389Y506	X				8/9/2016	12/13/2016	931	825				0	108	
172 REAL ESTATE SELECT SECT	81389Y960	X				9/22/2016	10/7/2016	5	5				0	0	
173 SEMPRA ENERGY	6.500%	X				1/28/2013	10/7/2016	10,000	11,893				0	-1,893	
174 TARGET CORP	87812EAN6	X				1/28/2013	2/25/2016	15,285	17,821				0	-2,536	
175 E-TRACS ALERIAN MLP INFR	902841848	X				2/4/2015	11/2/2016	5,231	7,887				0	-2,656	
176 E-TRACS ALERIAN MLP INFR	902841846	X				2/4/2015	11/2/2016	4,594	6,956				0	-2,362	
177 E-TRACS ALERIAN MLP INFR	902841846	X				7/29/2015	11/2/2016	287	380				0	-93	
178 E-TRACS ALERIAN MLP INFR	902841846	X				7/29/2015	12/13/2016	5,137	6,462				0	-1,325	
179 E-TRACS ALERIAN MLP INFR	902841846	X				7/29/2015	12/15/2016	4,851	6,188				0	-1,335	
180 E-TRACS ALERIAN MLP INFR	902841846	X				3/3/2016	12/15/2016	1,653	1,448				0	205	
181 EATON VANCE GLOBAL M78	277923728	X				11/4/2014	12/15/2016	10,861	10,673				0	-182	
182 FPA NEWINCOME FUND #78	302544101	X				1/14/2014	3/3/2016	8,252	8,500				0	-248	

Part I, Line 11 (990-PF) - Other Income

		12,445	12,445	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	OTHER INCOME	1,488	1,488	
2	ROYALTY INCOME	10,957	10,957	

Part I, Line 16b (990-PF) - Accounting Fees

		1,145	0	0	1,145
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,145			1,145

Part I, Line 18 (990-PF) - Taxes

		996	222	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	222	222		
2	Estimated Excise Payments	774			

Part I, Line 23 (990-PF) - Other Expenses

		2,257	2,257	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ROYALTY EXPENSE	2,257	2,257		

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1					
2	FPA NEW INCOME FUND 78		0	13,707	13,478
3	PIMCO LOW DURATION FD I 36		0	425,000	427,168
4	CONSUMER STAPLES SECTOR SPDR TR		0	13,198	12,876
5	AMEX FINANCIAL SELECT SPDR		0	34,521	36,084
6	AMEX MATERIALS SPDR		0	6,582	6,958
7	AMEX TECHNOLOGY SELECT SPDR		0	51,468	54,889
8	AMEX ENERGY SELECT SPDR		0	11,964	13,708
9	MERGER FUND-INST #301		0	13,664	13,771
10	ARTIO GLOBAL HIGH INCOME-I 1525		0	5,397	4,655
11	FID ADV EMER MKTS INC- CL I 607		0	68,700	68,975
12	T ROWE PRICE REAL ESTATE FD 122		0	35,739	35,538
13	ISHARES TR SMALLCAP 600 INDEX FD		0	31,845	68,898
14	ISHARES S&P MIDCAP 400 VALUE		0	52,121	59,536
15	ISHARES S & P 500 INDEX FUND		0	73,316	104,845
16	ISHARES TR S & P MIDCAP 400 ID FD		0	9,495	30,423
17	DREYFUS INTERNATL BOND FD CL I 6094		0	54,177	53,673
18	BROOKFIELD GL LISTED RE-Y #2065		0	10,061	9,624
19	ISHARES S&P MIDCAP 400 GROWTH		0	43,216	45,732
20	AMEX INDUSTRIAL SPDR		0	24,951	27,128
21	T ROWE PRICE INST EM MKT EQ 146		0	15,093	15,995
22	AMEX HEALTH CARE SPDR		0	36,685	35,987
23	AMEX CONSUMER DISCR SPDR		0	31,310	32,072
24	DRIEHAUS ACTIVE INCOME FUND		0	36,975	35,166
25	EATON VANCE FLOATING RATE FD-I 924		0	33,869	36,062
26	REAL ESTATE SELECT SECT SPDR		0	6,321	6,088
27	VANGUARD REIT VIPER		0	47,294	62,558
28	VANGUARD EMERGING MARKETS ETF		0	47,348	47,301
29	VANGUARD INFLAT-PROT SECS-ADM 511		0	18,714	17,846
30	JPMORGAN HIGH YIELD FUND SS 3580		0	46,943	48,073
31	T ROWE PRICE INST FLOAT RATE 170		0	19,381	19,658
32	BLACKROCK GL L/S CREDIT-INS #1833		0	24,629	25,298
33	PRINCIPAL PREFERRED SEC-INS 4929		0	15,535	15,279
34	VANGUARD EUROPE PACIFIC ETF		0	86,076	86,344
35	ROBECO BP LNG/SHRT RES-INS		0	108,048	108,545
36	NEUBERGER BERMAN LONG SH-INS #183		0	15,147	15,354
37	CREDIT SUISSE COMM RT ST-CO 2156		0	35,191	36,378
38	JOHCM INTERNATIONAL SEL-I #180		0	19,925	20,286
39	ROGER MILLS CO , OK, RI		0	1	69
40	ROGER MILLS CO , OK, RI		0	1	47,632
41	ROGER MILLS CO , OK , 20 MI		0	1	2,276
42	ROGER MILLS OK XX MI		0	1	232
43	METLIFE INC 3 600% 4/10/24		0	10,392	10,265
44	BERKSHIRE HATHAWAY 5 400% 5/15/18		0	17,330	15,787
45	US TREASURY NOTE 2 500% 5/15/24		0	39,323	37,554
46	AMGEN INC 5.700% 2/01/19		0	17,908	16,122

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
47	CONOCOPHILLIPS 5 750% 2/01/19		0	22,408	21,487
48	CHEVRON CORP 4 950% 3/03/19		0	17,925	16,029
49	FED HOME LN MTG CORP 3 750% 3/27/19		0	38,324	36,870
50	PEPSICO INC 4 500% 1/15/20		0	17,282	16,141
51	TIME WARNER INC 4 875% 3/15/20		0	8,038	7,472
52	NOVARTIS CAPITAL COR 3 000% 11/20/25		0	15,539	14,895
53	HOME DEPOT INC 3 000% 4/01/26		0	10,463	9,981
54	BANK OF AMERICA CORP 5 625% 7/01/20		0	17,486	16,493
55	WAL-MART STORES INC 3 625% 7/08/20		0	16,526	15,856
56	US TREASURY NOTE 2 625% 8/15/20		0	26,999	25,850
57	WELLPOINT INC 4 350% 8/15/20		0	7,707	7,388
58	JPMORGAN CHASE & CO 4 250% 10/15/20		0	16,407	15,874
59	US TREASURY NOTE 2 250% 11/30/17		0	35,180	33,405
60	US TREASURY NOTE 1 750% 11/15/26		0	14,248	14,432
61	GENERAL ELEC CAP COR 4 650% 10/17/2		0	22,306	21,939
62	DOW CHEMICAL CO/THE 4 125% 11/15/21		0	10,211	10,566
63	PROCTER & GAMBLE CO/ 2 300% 2/06/22		0	20,021	20,040
64	UNITED TECHNOLOGIES 3 100% 6/01/22		0	10,401	10,278
65	APPLE INC 2 400% 5/03/23		0	14,685	14,606
66	GOLDMAN SACHS GROUP 5 950% 1/18/18		0	16,984	15,626
67	US TREASURY NOTE 3 500% 2/15/18		0	33,306	30,842
68	KINDER MORGAN ENER 5 950% 2/15/18		0	11,924	10,431
69	HALLIBURTON COMPANY 3 500% 8/01/23		0	15,070	15,231
70	VERIZON COMMUNICATIO 5 150% 9/15/23		0	7,843	7,740
71	ISHARES CMBS BOND FUND		0	17,364	17,360
72	ISHARES BARCLAYS MBS BOND FUND		0	36,617	36,156
73	FED NATL MTG ASSN 2 625% 9/06/24		0	30,716	30,290
74	US TREASURY NOTE 2 125% 9/30/21		0	35,151	35,310
75	US TREASURY NOTE 3 125% 5/15/19		0	44,448	41,705

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	1,625
2	Purchase of Accrued Interest c/o from PY	2	141
3	Total	3	1,766

Line 5 - Decreases not included in Part III, Line 2

1	Mutual Fund Timing Difference	1	1,186
2	PY Return of Capital Adjustment	2	1,360
3	Cost Basis Adjustment	3	1,901
4	Total	4	4,447

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Short Term CG Distributions												
Amount													Amount												
1,260													0												
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adjusted Basis	Gain Minus Excess FMV Over Adj Basis of Losses												
70 EATON VANCE FLOATING RA	277911491		10/23/2009	12/13/2016	18,551		0	17,582	969		0	0	969												
71 EATON VANCE GLOBAL MAC	277923728		5/16/2011	3/3/2016	5,126		0	5,663	-537		0	0	-537												
72 EATON VANCE GLOBAL MAC	277923728		5/16/2011	5/10/2016	4,848		0	5,303	-457		0	0	-457												
73 EATON VANCE GLOBAL MAC	277923728		5/16/2011	6/21/2016	4,820		0	5,296	-436		0	0	-436												
74 EATON VANCE GLOBAL MAC	277923728		5/16/2011	8/9/2016	4,791		0	5,192	-401		0	0	-401												
75 EATON VANCE GLOBAL MAC	277923728		5/16/2011	12/15/2016	24,739		0	26,678	-1,939		0	0	-1,939												
76 EATON VANCE GLOBAL MAC	277923728		7/6/2012	12/15/2016	32,288		0	33,559	-1,271		0	0	-1,271												
77 EATON VANCE GLOBAL MAC	277923728		9/22/2016	12/15/2016	11,372		0	11,419	-47		0	0	-47												
78 FPA NEW INCOME FUND #78	3025444101		1/14/2014	5/10/2016	8,090		0	8,325	-235		0	0	-235												
79 FPA NEW INCOME FUND #78	3025444101		1/14/2014	6/21/2016	1,490		0	1,532	-42		0	0	-42												
80 FPA NEW INCOME FUND #78	3025444101		6/16/2015	6/21/2016	6,518		0	6,603	-85		0	0	-85												
81 FPA NEW INCOME FUND #78	3025444101		6/16/2015	8/9/2016	8,036		0	8,132	-96		0	0	-96												
82 FPA NEW INCOME FUND #78	3025444101		6/16/2015	9/20/2016	7,306		0	7,372	-66		0	0	-66												
83 FPA NEW INCOME FUND #78	3025444101		6/16/2015	11/2/2016	7,024		0	7,108	-84		0	0	-84												
84 FPA NEW INCOME FUND #78	3025444101		6/16/2015	12/13/2016	6,987		0	7,078	-91		0	0	-91												
85 FED HOME LN MTG CORP 12	3137EADF3		2/14/2014	12/15/2016	15,031		0	15,168	-137		0	0	-137												
86 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	5/10/2016	8,482		0	9,034	-542		0	0	-542												
87 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	6/21/2016	8,500		0	8,935	-435		0	0	-435												
88 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	8/9/2016	8,627		0	8,949	-322		0	0	-322												
89 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	9/20/2016	7,818		0	8,128	-310		0	0	-310												
90 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	11/2/2016	7,410		0	7,830	-420		0	0	-420												
91 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	12/13/2016	7,565		0	7,802	-237		0	0	-237												
92 MONDRIAN INTERNATIONAL	36381Y108		10/29/2015	12/15/2016	14,410		0	15,103	-693		0	0	-693												
93 SHARES CORE S & P 500 ET	464287200		6/16/2015	3/3/2016	23,646		0	24,913	-1,267		0	0	-1,267												
94 SHARES CORE S & P 500 ET	464287200		2/14/2012	3/3/2016	19,838		0	13,366	6,442		0	0	6,442												
95 SHARES CORE S & P 500 ET	464287200		2/14/2012	5/10/2016	44,284		0	28,821	15,443		0	0	15,443												
96 SHARES CORE S & P 500 ET	464287200		2/14/2012	6/21/2016	44,189		0	28,550	15,639		0	0	15,639												
97 SHARES CORE S & P 500 ET	464287200		2/14/2012	8/9/2016	46,280		0	28,550	17,730		0	0	17,730												
98 SHARES CORE S & P 500 ET	464287200		2/14/2012	9/20/2016	41,395		0	25,844	15,551		0	0	15,551												
99 SHARES CORE S & P 500 ET	464287200		2/14/2012	11/2/2016	39,164		0	25,032	14,132		0	0	14,132												
100 SHARES CORE S & P 500 ET	464287200		2/14/2012	12/13/2016	42,083		0	24,897	17,186		0	0	17,186												
101 SHARES CORE S&P MIDCAP	464287507		7/10/2013	3/3/2016	15,659		0	13,527	2,132		0	0	2,132												
102 SHARES CORE S&P MIDCAP	464287507		7/10/2013	5/10/2016	14,852		0	12,211	2,641		0	0	2,641												
103 SHARES CORE S&P MIDCAP	464287507		7/11/2006	5/10/2016	1,165		0	598	567		0	0	567												
104 SHARES CORE S&P MIDCAP	464287507		7/11/2006	6/21/2016	9,990		0	5,009	4,981		0	0	4,981												
105 SHARES CORE S&P MIDCAP	464287507		9/22/2006	6/21/2016	6,262		0	3,122	3,140		0	0	3,140												
106 SHARES CORE S&P MIDCAP	464287507		9/22/2006	8/9/2016	16,848		0	8,028	8,820		0	0	8,820												
107 SHARES CORE S&P MIDCAP	464287507		6/8/2006	8/9/2016	156		0	74	82		0	0	82												
108 SHARES CORE S&P MIDCAP	464287507		6/8/2006	9/20/2016	15,231		0	7,335	7,896		0	0	7,896												
109 SHARES CORE S&P MIDCAP	464287507		6/8/2006	11/2/2016	11,144		0	5,557	5,587		0	0	5,587												
110 SHARES CORE S&P MIDCAP	464287507		7/28/2006	11/2/2016	3,120		0	1,550	1,570		0	0	1,570												
111 SHARES CORE S&P MIDCAP	464287507		7/28/2006	12/13/2016	4,899		0	2,140	2,759		0	0	2,759												
112 SHARES CORE S&P MIDCAP	464287507		8/14/2006	12/13/2016	8,446		0	3,686	4,778		0	0	4,778												
113 SHARES CORE S&P MIDCAP	464287507		1/9/2009	12/13/2016	2,703		0	845	1,858		0	0	1,858												
114 SHARES CORE S&P SMALL	464287804		7/10/2013	3/3/2016	2,486		0	2,178	308		0	0	308												
115 SHARES CORE S&P SMALL	464287804		6/11/2008	5/10/2016	5,658		0	3,164	2,494		0	0	2,494												
116 SHARES CORE S&P SMALL	464287804		7/10/2013	5/10/2016	1,924		0	1,610	314		0	0	314												
117 SHARES CORE S&P SMALL	464287804		7/23/2008	5/10/2016	113		0	62	51		0	0	51												
118 SHARES CORE S&P SMALL	464287804		7/23/2008	6/21/2016	2,793		0	1,486	1,305		0	0	1,305												
119 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	8/9/2016	2,531		0	2,893	-402		0	0	-402												
120 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	9/20/2016	3,740		0	4,366	-626		0	0	-626												
121 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	11/2/2016	3,630		0	4,264	-634		0	0	-634												
122 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	12/13/2016	4,194		0	4,682	-468		0	0	-468												
123 ASG GLOBAL ALTERNATIVES	638721885		9/22/2008	6/21/2016	5,003		0	2,618	2,385		0	0	2,385												
124 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	12/13/2016	31,119		0	34,452	-3,333		0	0	-3,333												
125 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	3/3/2016	22,454		0	24,820	-2,366		0	0	-2,366												
126 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	5/10/2016	24,055		0	26,199	-2,144		0	0	-2,144												
127 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	6/21/2016	26,060		0	28,189	-2,129		0	0	-2,129												
128 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	8/9/2016	26,297		0	28,245	-1,448		0	0	-1,448												
129 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	9/20/2016	24,215		0	25,620	-1,405		0	0	-1,405												
130 SHARES CORE S&P SMALL	464287804		9/22/2008	8/9/2016	3,918		0	1,948	1,970		0	0	1,970												
131 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	11/2/2016	23,331		0	24,709	-1,378		0	0	-1,378												
132 SHARES CORE S&P SMALL	464287804		8/9/2016	8/9/2016	4,286		0	2,119	2,167		0	0	2,167												
133 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	3/3/2016	2,968		0	3,388	-420		0	0	-420												
134 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	5/10/2016	2,553		0	3,002	-449		0	0	-449												
135 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	12/13/2016	22,872		0	24,597	-1,725		0	0	-1,725												
136 PIMCO FOREIGN BD FD USD	693390882		8/27/2014	12/15/2016	44,323		0	47,621	-3,298		0	0	-3,298												
137 PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	3/3/2016	8,101		0	9,228	-1,127		0	0	-1,127												
138 ASG GLOBAL ALTERNATIVES	638721885		8/16/2013	6/21/2016	2,477		0	2,933	-456		0	0	-456												

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													1,260	0
Short Term CG Distributions													0	0
	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Excess FMV over Adj Basis or Losses
139	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	5/10/2016	8,319			0	9,035	-716	0	0	-716
140	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	6/21/2016	6,338			0	8,938	-600	0	0	-600
141	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	8/9/2016	8,732			0	8,949	-217	0	0	-217
142	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	9/20/2016	7,866			0	8,123	-257	0	0	-257
143	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	11/2/2016	7,570			0	7,833	-263	0	0	-263
144	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	12/13/2016	7,306			0	7,801	-495	0	0	-495
145	PIMCO EMERG MKTS BD-INS	693391559		12/24/2013	12/15/2016	14,067			0	15,094	-1,027	0	0	-1,027
146	T ROWE PRICE INST EM MKT	741440203		10/29/2015	3/3/2016	8,643			0	9,221	-578	0	0	-578
147	T ROWE PRICE INST EM MKT	741440203		10/29/2015	5/10/2016	8,937			0	9,030	-93	0	0	-93
148	T ROWE PRICE INST EM MKT	741440203		10/29/2015	6/21/2016	9,227			0	8,948	279	0	0	279
149	T ROWE PRICE INST EM MKT	741440203		10/29/2015	8/9/2016	10,198			0	8,948	1,250	0	0	1,250
150	T ROWE PRICE INST EM MKT	741440203		10/29/2015	11/2/2016	8,733			0	7,829	904	0	0	904
151	T ROWE PRICE INST EM MKT	741440203		10/29/2015	12/13/2016	8,428			0	7,802	626	0	0	626
152	PRINCIPAL PREFERRED SEC	742530416		6/23/2016	9/20/2016	3,952			0	3,942	50	0	0	50
153	PRINCIPAL PREFERRED SEC	742530416		8/11/2016	9/20/2016	4,028			0	4,028	0	0	0	0
154	PRINCIPAL PREFERRED SEC	742530416		2/26/2014	9/20/2016	514			0	514	8	0	0	8
155	PRINCIPAL PREFERRED SEC	742530416		2/26/2014	11/2/2016	8,170			0	8,108	64	0	0	64
156	PRINCIPAL PREFERRED SEC	742530416		2/26/2014	12/13/2016	7,956			0	8,076	-120	0	0	-120
157	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	3/3/2016	5,300			0	4,919	381	0	0	381
158	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	5/10/2016	5,346			0	4,825	521	0	0	521
159	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	6/21/2016	5,282			0	4,771	511	0	0	511
160	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	8/9/2016	5,371			0	4,771	600	0	0	600
161	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	9/20/2016	4,846			0	4,319	527	0	0	527
162	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	11/2/2016	4,671			0	4,180	491	0	0	491
163	BOSTON P LNG/SHRT RES-IN	74925K581		8/15/2013	12/13/2016	4,842			0	4,166	676	0	0	676
164	SPDR DJ WILSHIRE INTERNA	78463X863		3/3/2016	12/15/2016	5,131			0	5,440	-309	0	0	-309
165	SPDR DJ WILSHIRE INTERNA	78463X863		5/10/2016	12/15/2016	4,796			0	5,402	-646	0	0	-646
166	SPDR DJ WILSHIRE INTERNA	78463X863		6/21/2016	12/15/2016	4,719			0	5,361	-642	0	0	-642
167	SPDR DJ WILSHIRE INTERNA	78463X863		8/9/2016	12/15/2016	4,719			0	5,381	-662	0	0	-662
168	SPDR DJ WILSHIRE INTERNA	78463X863		9/20/2016	12/15/2016	3,071			0	3,358	-287	0	0	-287
169	SPDR DJ WILSHIRE INTERNA	78463X863		11/2/2016	12/15/2016	637			0	663	-26	0	0	-26
170	SPDR DJ WILSHIRE INTERNA	78463X863		12/13/2016	12/15/2016	1,198			0	1,236	-38	0	0	-38
171	AMEX ENERGY SELECT SPD	81369Y506		8/6/2016	12/13/2016	931			0	825	106	0	0	106
172	REAL ESTATE SELECT SECT	81369Y860		9/22/2016	10/7/2016	5			0	5	0	0	0	0
173	SEMPRA ENERGY 6.500%	816851AN9		1/28/2013	6/1/2016	10,000			0	11,693	-1,693	0	0	-1,693
174	TARGET CORP 5.875%	87612EAN6		1/28/2013	2/25/2016	15,295			0	17,621	-2,326	0	0	-2,326
175	E-TRACS ALERIAN MLP INFR	902841646		2/4/2015	9/20/2016	5,231			0	7,667	-2,436	0	0	-2,436
176	E-TRACS ALERIAN MLP INFR	902841646		2/4/2015	11/2/2016	4,584			0	6,956	-2,362	0	0	-2,362
177	E-TRACS ALERIAN MLP INFR	902841646		7/29/2015	11/2/2016	287			0	380	-93	0	0	-93
178	E-TRACS ALERIAN MLP INFR	902841646		7/29/2015	12/13/2016	5,137			0	6,462	-1,325	0	0	-1,325
179	E-TRACS ALERIAN MLP INFR	902841646		7/29/2015	12/15/2016	4,851			0	6,186	-1,335	0	0	-1,335
180	E-TRACS ALERIAN MLP INFR	902841646		3/3/2016	12/15/2016	1,853			0	1,448	205	0	0	205
181	EATON VANCE GLOBAL MAC	277992J728		11/4/2016	12/15/2016	10,661			0	10,673	-12	0	0	-12
182	FPA NEW INCOME FUND #78	302544101		1/14/2014	3/3/2016	8,252			0	8,500	-248	0	0	-248

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		1 474
2 First quarter estimated tax payment		2
3 Second quarter estimated tax payment	6/10/2016	3 150
4 Third quarter estimated tax payment	9/12/2016	4 312
5 Fourth quarter estimated tax payment	12/12/2016	5 312
6 Other payments		6
7 Total		7 1,248

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year . . .	1	63,546
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	63,546