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EXTENDED TO NOVEMBER 15, 2017  
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

HERBERT K. CUMMINGS CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address)

PO BOX 653

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

PACIFIC PALISADES, CA 90272

G Check all that apply:

Initial return       Initial return of a former public charity  
 Final return       Amended return  
 Address change       Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust       Other taxable private foundationI Fair market value of all assets at end of year      J Accounting method:  Cash       Accrual  
(from Part II, col. (c), line 16)       Other (specify) \_\_\_\_\_

► \$ 2,060,317. (Part I, column (d) must be on cash basis.)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	31.	31.		STATEMENT 1
4	Dividends and interest from securities	32,093.	30,208.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	50,114.			
b	Gross sales price for all assets on line 6a	99,080.			
7	Capital gain net income (from Part IV, line 2)		50,114.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	82,238.	80,353.		
13	Compensation of officers, directors, trustees, etc	0.	0.	0.	
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 3	2,980.	2,980.	
c	Other professional fees				
17	Interest				
18	Taxes	STMT 4	1,153.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	STMT 5	30.	30.	0.
24	Total operating and administrative expenses. Add lines 13 through 23		4,163.	3,010.	0.
25	Contributions, gifts, grants paid		110,000.		110,000.
26	Total expenses and disbursements. Add lines 24 and 25		114,163.	3,010.	110,000.
27	Subtract line 26 from line 12:		-31,925.		
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		77,343.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year
			(a) Book Value	(b) Book Value
			(c) Fair Market Value	
1	Cash - non-interest-bearing		670.	
2	Savings and temporary cash investments		373,278.	90,699.
3	Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ►			
	Less: allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations	STMT 6	535.	483.
b	Investments - corporate stock	STMT 7	948,712.	1,100,088.
c	Investments - corporate bonds			1,869,063.
11	Investments - land, buildings, and equipment basis	►		
	Less accumulated depreciation	►		
12	Investments - mortgage loans			
13	Investments - other	STMT 8	0.	100,000.
14	Land, buildings, and equipment: basis ►			
	Less accumulated depreciation	►		
15	Other assets (describe ►)			
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,323,195.	1,291,270.
				2,060,317.
Liabilities	17	Accounts payable and accrued expenses		
	18	Grants payable		
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ►)			
23	<b>Total liabilities</b> (add lines 17 through 22)		0.	0.
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds		1,921,472.	1,921,472.
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.
29	Retained earnings, accumulated income, endowment, or other funds		-598,277.	-630,202.
30	<b>Total net assets or fund balances</b>		1,323,195.	1,291,270.
31	<b>Total liabilities and net assets/fund balances</b>		1,323,195.	1,291,270.

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,323,195.
2	Enter amount from Part I, line 27a	2	-31,925.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	1,291,270.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	1,291,270.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2,250 SHS. ITC HOLDING CORP			P	VARIOUS	05/31/16
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 99,080.		48,966.	50,114.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) F.M.V. as of 12/31/69      (j) Adjusted basis as of 12/31/69      (k) Excess of col. (i) over col. (j), if any		
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }		3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	135,247.	2,185,118.	.061895
2014	119,409.	2,108,490.	.056632
2013	60,200.	1,928,986.	.031208
2012	50,059.	1,752,229.	.028569
2011	75,634.	1,697,991.	.044543

2 Total of line 1, column (d)

2 .222847

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .044569

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4 2,099,219.

5 Multiply line 4 by line 3

5 93,560.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 773.

7 Add lines 5 and 6

7 94,333.

8 Enter qualifying distributions from Part XII, line 4

8 110,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	773.	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b				
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				
3	Add lines 1 and 2				
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-				
6	<b>Credits/Payments:</b>				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,200.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d	7	1,200.		
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	3.		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9			
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	424.		
11	Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> ►	424.	Refunded	11	0.

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	X	
1c	Did the foundation file Form 1120-POL for this year?	X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>0.</u> (2) On foundation managers. ► \$ <u>0.</u>		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ <u>0.</u>		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	X	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	X	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>AZ</u>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X	

## Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NA	13	X
14	The books are in care of ► HENRY & HORNE, LLP Located at ► 7098 E. COCHISE ROAD, SUITE 100, SCOTTSDALE, AZ	Telephone no. ► 480-483-1170 ZIP+4 ► 85253	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	X No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	►	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes	X No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	X No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4a	X
		4b	X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No Yes  No Yes  No Yes  No Yes  Nob If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES J. CUMMINGS PO BOX 1633 PACIFIC PALISADES, CA 90272	TRUSTEE			
	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

**Total number of others receiving over \$50,000 for professional services**

►

**0**
**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	<b>N/A</b>	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	<b>N/A</b>	
2		
3		

All other program-related investments. See instructions.

**Total. Add lines 1 through 3**

►

**0.**

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	1,814,768.
b Average of monthly cash balances	1b	316,419.
c Fair market value of all other assets	1c	
d <b>Total</b> (add lines 1a, b, and c)	1d	2,131,187.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,131,187.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	31,968.
5 <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,099,219.
6 <b>Minimum investment return.</b> Enter 5% of line 5	6	104,961.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	104,961.
2a Tax on investment income for 2016 from Part VI, line 5	2a	773.
b Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	773.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	104,188.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	104,188.
6 Deduction from distributable amount (see instructions)	6	0.
7 <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	104,188.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	110,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	110,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	773.
6 <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	109,227.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				<b>104,188.</b>
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only			0.	
b Total for prior years:				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014	<b>1,991.</b>			
e From 2015	<b>27,897.</b>			
f Total of lines 3a through e	<b>29,888.</b>			
4 Qualifying distributions for 2016 from Part XII, line 4: ► \$ <b>110,000.</b>			0.	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				<b>104,188.</b>
e Remaining amount distributed out of corpus	<b>5,812.</b>			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	<b>35,700.</b>			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	<b>35,700.</b>			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	<b>1,991.</b>			
d Excess from 2015	<b>27,897.</b>			
e Excess from 2016	<b>5,812.</b>			

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling  
b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

## **2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b. The form in which applications should be submitted and information and materials they should include;

**c. Any submission deadlines:**

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors;

## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
JEWISH COMMUNITY FOUNDATION 6505 WILSHIRE BLVD STE 1200 LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	CHARITABLE PURPOSE	110,000.
<b>Total</b>				► 3a 110,000.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>				► 3b 0

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	31.
4 Dividends and interest from securities				14	32,093.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	50,114.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		82,238.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	82,238.
(See worksheet in line 13 instructions to verify calculations.)					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
<b>ALL-SUPPORT EXEMPT PURPOSE</b>	

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions:		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

b. If "Yes" complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr.)?

Signature of officer or trustee		Date	Title	
<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>CANDACE BERG TOOKE, CPA</b>	Preparer's signature <b>CANDACE BERG TOOKE</b>	Date <b>07/27/17</b>	Check <input type="checkbox"/> if self-employed
	Firm's name ► <b>HENRY &amp; HORNE, LLP</b>			Firm's EIN ► <b>86-0133881</b>
	Firm's address ► <b>7098 E. COCHISE SUITE 100 SCOTTSDALE, AZ 85253-4517</b>			Phone no. <b>(480) 483-1170</b>

**FORM 990-PF**  
**UNDERPAYMENT OF ESTIMATED TAX WORKSHEET**

Penalty Due (Sum of Column F).

3.

- \* Date of estimated tax payment, withholding credit date or installment due date.

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## Additional information

	THIS PERIOD	THIS YEAR	THIS PERIOD	THIS YEAR
Return of principal	4.32	47.62	Gross proceeds	99,080.40

## Portfolio detail

### Cash and Sweep Balances

**Sweep Balances** - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more days prior notice before permitting withdrawals. Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

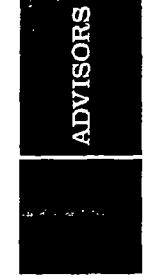
DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.02	0.00	475.00	0.00
BANK DEPOSIT SWEEP	4.60	0.01	90,223.76	9.02
Interest Period 12/01/16 - 12/31/16				
<b>Total Cash and Sweep Balances</b>	<b>4.63</b>		<b>\$80,698.76</b>	<b>\$9.02</b>

\* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

### Stocks, options & ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES									
ABT									
Acquired 06/12/06 L nc	140	20.52	2,873.36			5,377.40	2,504.04		
Acquired 08/31/08 L nc	160	23.31	3,730.34			6,145.60	2,415.26		
Acquired 01/24/08 L nc	100	27.31	2,794.31			3,841.00	1,046.69		
Acquired 12/06/12 L	100	30.98	3,167.70			3,841.00	673.30		
Acquired 12/22/15 L	600	44.51	27,271.53			23,046.00	-4,225.53		
<b>Total</b>	<b>2.16</b>	<b>1,100</b>	<b>\$36.22</b>	<b>\$39,837.24</b>	<b>38,4100</b>	<b>\$42,251.00</b>	<b>\$2,413.76</b>	<b>\$1,166.00</b>	<b>2.76</b>





ADVISORS

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## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	ACCOUNT	% OF QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	ESTIMATED	
							ANNUAL INCOME	ANNUAL YIELD (%)
ABBIE INC								
ABBV								
Acquired 06/12/06 L nc		140	22.25	3,115.91		8,766.80	5,650.89	
Acquired 08/31/06 L nc		160	25.28	4,045.23		10,019.20	5,973.97	
Acquired 01/24/08 L nc		100	29.62	3,030.19		6,262.00	3,231.81	
Acquired 12/06/12 L		100	33.60	3,435.09		6,262.00	2,826.91	
Acquired 12/22/15 L		400	57.85	23,652.01		25,048.00	1,395.99	
Acquired 04/04/16 S		500	59.13	30,164.38		31,310.00	1,145.82	
<b>Total</b>		<b>4.47</b>	<b>1,400</b>	<b>\$48.17</b>	<b>\$67,442.81</b>	<b>62,6200</b>	<b>\$87,668.00</b>	<b>\$20,225.19</b>
ALPHABET INC	VOTING CAP STK CL A							
GOOGL								
Acquired 09/30/08 L nc		50	205.76	10,342.65		39,622.50	29,279.85	
Acquired 05/26/10 L nc		50	242.83	12,207.00		39,622.50	27,415.50	
<b>Total</b>		<b>4.04</b>	<b>100</b>	<b>\$225.50</b>	<b>\$22,549.85</b>	<b>792,4500</b>	<b>\$79,245.00</b>	<b>\$56,895.35</b>
ALPHABET INC	NON VOTING CAP STK CL C							
GOOG								
Acquired 09/30/08 L nc		50	205.10	10,309.60		38,591.00	28,281.40	
Acquired 05/26/10 L nc		50	242.06	12,168.00		38,591.00	26,423.00	
<b>Total</b>		<b>3.94</b>	<b>100</b>	<b>\$224.78</b>	<b>\$22,477.60</b>	<b>771,8200</b>	<b>\$77,182.00</b>	<b>\$54,704.40</b>
APPLE INC								
APL								
Acquired 09/30/08 L nc		1,050	15.90	16,892.61		121,611.00	104,718.39	
Acquired 12/06/12 L		175	77.69	13,768.93		20,268.50	6,499.57	
<b>Total</b>		<b>7.24</b>	<b>1,225</b>	<b>\$25.03</b>	<b>\$30,661.54</b>	<b>115,8200</b>	<b>\$141,879.50</b>	<b>\$111,217.96</b>
AVANGRID INC								
AGR								
Acquired 04/04/16 S		1,526	39.60	61,255.52		57,804.88	-3,450.64	
Acquired 04/04/16 S		274	39.58	11,000.14		10,379.12	-621.02	
Acquired 04/04/16 S		200	39.59	8,026.22		7,576.00	-450.22	
<b>Total</b>		<b>3.86</b>	<b>2,000</b>	<b>\$40.14</b>	<b>\$80,281.88</b>	<b>37,8800</b>	<b>\$75,760.00</b>	<b>\$4,521.88</b>
BERKSHIRE HATHAWAY INC								
SERIES B NEW								
BRK/B								
Acquired 01/23/08 L nc		200	91.96	18,582.74		32,596.00	14,013.26	
Acquired 07/07/08 L nc		100	77.84	7,915.99		16,298.00	8,382.01	
<b>Total</b>								<b>\$3,456.00</b>
								<b>4.56</b>

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### Stocks, options & ETFs

#### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME		UNREALIZED GAIN/LOSS	ANNUAL YIELD (%)
							N/A	N/A		
Acquired 03/16/12 L		300	81.09	24,708.77		48,894.00		24,185.23		
<b>Total</b>	<b>4.99</b>	<b>600</b>	<b>\$85.35</b>	<b>\$51,207.50</b>	<b>162,9800</b>	<b>\$97,788.00</b>			<b>\$46,580.50</b>	<b>N/A</b>
COACH INC										
COH		1,033	35.22	36,933.57		36,175.66		-757.91		
Acquired 12/19/16 S		767	35.23	27,425.67		26,860.34		-565.33		
Acquired 12/19/16 S										
<b>Total</b>	<b>3.22</b>	<b>1,800</b>	<b>\$35.76</b>	<b>\$64,359.24</b>	<b>35.0200</b>	<b>\$63,036.00</b>		<b>\$1,323.24</b>		<b>\$2,430.00</b>
COCA-COLA COMPANY										
KO		370	21.83	8,078.95		15,340.20		7,261.25		
Acquired 05/19/06 L nc		560	22.32	12,501.16		23,217.60		10,716.44		
Acquired 07/31/06 L nc		500	41.37	21,132.66		20,730.00		-402.66		
Acquired 08/25/14 L										
<b>Total</b>	<b>3.02</b>	<b>1,430</b>	<b>\$29.17</b>	<b>\$41,712.77</b>	<b>41.4600</b>	<b>\$59,287.80</b>		<b>\$17,575.03</b>		<b>\$2,002.00</b>
COLGATE-PALMOLIVE CO										
CL		334	1,000	61.07	61,880.26	65,440.00		3,559.74		1,560.00
Acquired 02/10/14 L										2.38
CUMMINS INC										
CMI		470	42.74	20,447.87		64,234.90		43,787.03		
Acquired 09/30/08 L nc		230	108.81	25,536.86		31,434.10		5,897.24		
Acquired 04/04/16 S										
<b>Total</b>	<b>4.88</b>	<b>700</b>	<b>\$65.89</b>	<b>\$45,984.73</b>	<b>136.6700</b>	<b>\$95,869.00</b>		<b>\$49,884.27</b>		<b>\$2,870.00</b>
EXPRESS SCRIPTS HLDG CO										
ESRX		480	33.28	16,272.93		33,019.20		16,746.27		
Acquired 02/22/08 L nc		243	56.49	13,727.07		16,715.97		2,988.90		
Acquired 02/22/08 L nc		200	31.62	6,455.90		13,758.00		7,302.10		
Acquired 07/07/08 L nc		327	53.75	17,913.56		22,494.33		4,580.77		
Acquired 12/06/12 L										
<b>Total</b>	<b>4.39</b>	<b>1,250</b>	<b>\$43.50</b>	<b>\$54,389.46</b>	<b>68.7900</b>	<b>\$85,987.50</b>		<b>\$31,618.04</b>		<b>N/A</b>
GRAINGER W/ W INC										
GWW		150	76.30	11,636.61		34,837.50		23,200.89		
Acquired 01/23/08 L nc		125	76.31	9,695.01		29,031.25		19,336.24		
Acquired 01/23/08 L nc										
Acquired 12/06/12 L		50	190.22	9,697.71		11,612.50		1,914.79		
Acquired 12/22/15 L		75	202.48	15,523.20		17,418.75		1,895.55		
<b>Total</b>	<b>4.74</b>	<b>400</b>	<b>\$116.38</b>	<b>\$46,552.53</b>	<b>232.2500</b>	<b>\$92,900.00</b>		<b>\$46,347.47</b>		<b>\$1,952.00</b>
										<b>2.10</b>



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Stocks, options & ETFs

## Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME		ANNUAL YIELD (%)
								ESTIMATED	ACTUAL	
JPMORGAN CHASE & CO JPM	Acquired 05/11/12 L	4.40	1,000	37.21	37,779.05	86,290.00	48,510.95	1,920.00	2.22	
KANSAS CITY SOUTHERN NEW KSU	Acquired 10/24/12 L	350	79.10	28,022.93	29.697.50	1,674.57				
	Acquired 10/24/12 L	200	79.09	16,010.88	16,970.00	959.12				
	Acquired 10/24/12 L	100	79.09	8,010.13	8,485.00	474.87				
	Acquired 12/22/15 L	300	72.18	22,132.75	25,455.00	3,322.25				
<b>Total</b>	<b>4.11</b>	<b>950</b>	<b>\$78.08</b>	<b>\$74,176.59</b>	<b>84,8500</b>	<b>\$80,807.50</b>	<b>\$6,430.81</b>	<b>\$1,254.00</b>	<b>1.58</b>	
MCKESSON CORPORATION MCK	Acquired 10/24/12 L	3.94	550	89.94	50,074.22	140,4500	77,247.50	27,173.28	616.00	0.79
MEAD JOHNSON MJN	Acquired 05/08/14 L	500	87.03	44,048.69	35,380.00	-8,668.69				
	Acquired 05/08/14 L	250	87.03	22,032.12	17,690.00	-4,342.12				
	Acquired 12/22/15 L	200	78.71	16,105.78	14,152.00	-1,953.78				
<b>Total</b>	<b>3.43</b>	<b>950</b>	<b>\$86.51</b>	<b>\$82,186.59</b>	<b>70,7600</b>	<b>\$67,222.00</b>	<b>-\$14,984.59</b>	<b>\$1,567.50</b>	<b>2.33</b>	
MONDELEZ INTL INC MDLZ	Acquired 03/15/10 L nc	665	19.14	12,967.24	29,479.45	16,512.21				
	Acquired 09/15/10 L nc	400	20.45	8,356.60	17,732.00	9,375.40				
	Acquired 11/08/13 L	200	32.30	6,608.11	8,866.00	2,257.89				
	Acquired 11/08/13 L	200	32.30	6,603.13	8,866.00	2,262.87				
	Acquired 11/08/13 L	150	32.31	4,953.12	6,649.50	1,696.38				
<b>Total</b>	<b>3.65</b>	<b>1,615</b>	<b>\$24.45</b>	<b>\$39,486.20</b>	<b>44,3300</b>	<b>\$71,592.95</b>	<b>\$32,104.75</b>	<b>\$1,227.40</b>	<b>1.71</b>	
NORTHWESTERN CORP NWE	Acquired 02/09/10 L nc	2.76	950	24.04	23,280.03	56,8700	54,026.50	30,746.47	1,900.00	3.51
O'REILLY AUTOMOTIVE INC ORLY	Acquired 12/16/09 L nc	476	37.74	18,272.24	132,523.16	114,250.92				
	Acquired 12/16/09 L nc	234	37.75	8,988.53	65,147.94	56,159.41				
<b>Total</b>	<b>10.08</b>	<b>710</b>	<b>\$38.40</b>	<b>\$27,260.77</b>	<b>278,4100</b>	<b>\$197,671.10</b>	<b>\$170,410.33</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

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### Stocks, options & ETFs

#### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME YIELD (%)	
								ANNUAL INCOME	ANNUAL YIELD (%)
<b>REALTY INCOME CORP REIT</b>									
O Acquired 12/06/12 L	1,000	39.23	39.23169		41.14781	57,480.00	18,248.31		
Acquired 12/12/13 L	1,000	40.55	41.14781		36.58946	57,480.00	20,890.54		
<b>Total</b>	<b>5.86</b>	<b>2,000</b>	<b>\$37.91</b>	<b>\$75,821.15</b>	<b>57.4800</b>	<b>\$114,960.00</b>	<b>\$39,138.85</b>	<b>\$4,860.00</b>	<b>4.23</b>
<b>SEMPRA ENERGY</b>									
SRE Acquired 08/25/14 L	2.82	550	103.59	57,703.77	100.6400	55,352.00	-2,351.77	1,661.00	3.00
<b>Total Stocks and ETFs</b>	<b>95.34</b>			<b>\$1,097,087.68</b>		<b>\$1,869,063.35</b>	<b>\$771,975.67</b>	<b>\$36,818.90</b>	<b>1.97</b>
<b>Total Stocks, Options &amp; ETFs</b>	<b>95.34</b>			<b>\$1,097,087.68</b>		<b>\$1,869,063.35</b>	<b>\$771,975.67</b>	<b>\$36,818.90</b>	<b>1.97</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO SECURITIES	31.	31.	
TOTAL TO PART I, LINE 3	31.	31.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO SECURITIES	32,093.	0.	32,093.	30,208.	
TO PART I, LINE 4	32,093.	0.	32,093.	30,208.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,980.	2,980.		0.
TO FORM 990-PF, PG 1, LN 16B	2,980.	2,980.		0.

## FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	1,153.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,153.	0.		0.

## HERBERT K. CUMMINGS CHARITABLE TRUST

86-6148404

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	30.	30.		0.
TO FORM 990-PF, PG 1, LN 23	30.	30.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GNMA BOND	X		483.	555.
TOTAL U.S. GOVERNMENT OBLIGATIONS			483.	555.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			483.	555.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS (SEE ATTACHED LISTING)	1,100,088.	1,869,063.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,100,088.	1,869,063.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GIGAWATT GLOBAL	COST	100,000.	100,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		100,000.	100,000.