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EXTENDED TO NOVEMBER 15, 2017

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

Name of foundation HERBERT K. CUMMINGS CHARITABLE TRUST		A Employer identification number 86-6148404
Number and street (or P O box number if mail is not delivered to street address) PO BOX 653	Room/suite	B Telephone number 480-483-1170
City or town, state or province, country, and ZIP or foreign postal code PACIFIC PALISADES, CA 90272		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,060,317.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		31.	31.		STATEMENT 1
4 Dividends and interest from securities		32,093.	30,208.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		50,114.			
b Gross sales price for all assets on line 6a 99,080.					
7 Capital gain net income (from Part IV, line 2)			50,114.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		82,238.	80,353.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,980.	2,980.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		1,153.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		30.	30.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		4,163.	3,010.		0.
25 Contributions, gifts, grants paid		110,000.			110,000.
26 Total expenses and disbursements. Add lines 24 and 25		114,163.	3,010.		110,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-31,925.			
b Net investment income (if negative, enter -0-)			77,343.		
c Adjusted net income (if negative, enter -0-)				N/A	

623501 11-23-16 LHA For Paperwork Reduction Act Notice, see instructions.

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9420727 758363 0508229

2016.03040 HERBERT K. CUMMINGS CHARITA 05082291

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		670.		
	2	Savings and temporary cash investments		373,278.	90,699.	90,699.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		535.	483.	555.
	b	Investments - corporate stock STMT 7		948,712.	1,100,088.	1,869,063.
	c	Investments - corporate bonds				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other STMT 8		0.	100,000.	100,000.
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,323,195.	1,291,270.	2,060,317.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds		1,921,472.	1,921,472.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		-598,277.	-630,202.	
	30	Total net assets or fund balances		1,323,195.	1,291,270.	
	31	Total liabilities and net assets/fund balances		1,323,195.	1,291,270.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,323,195.
2	Enter amount from Part I, line 27a	2	-31,925.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,291,270.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,291,270.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2,250 SHS. ITC HOLDING CORP	P	VARIOUS	05/31/16
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 99,080.		48,966.	50,114.
b			
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			50,114.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	50,114.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	135,247.	2,185,118.	.061895
2014	119,409.	2,108,490.	.056632
2013	60,200.	1,928,986.	.031208
2012	50,059.	1,752,229.	.028569
2011	75,634.	1,697,991.	.044543

2 Total of line 1, column (d)	2	.222847
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044569
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	2,099,219.
5 Multiply line 4 by line 3	5	93,560.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	773.
7 Add lines 5 and 6	7	94,333.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	110,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	773.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	773.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	773.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a 1,200.	7	1,200.
b Exempt foreign organizations - tax withheld at source	6b	8	3.
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	424.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 424. Refunded <input checked="" type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>AZ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NA</u>	X	
14 The books are in care of ► <u>HENRY & HORNE, LLP</u> Telephone no. ► <u>480-483-1170</u> Located at ► <u>7098 E. COCHISE ROAD, SUITE 100, SCOTTSDALE, AZ</u> ZIP+4 ► <u>85253</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		<input type="checkbox"/>
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES J. CUMMINGS	TRUSTEE			
PO BOX 1633				
PACIFIC PALISADES, CA 90272	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,814,768.
b	Average of monthly cash balances	1b	316,419.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,131,187.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,131,187.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	31,968.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,099,219.
6	Minimum investment return. Enter 5% of line 5	6	104,961.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	104,961.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	773.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	773.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	104,188.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	104,188.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	104,188.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	110,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	110,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	773.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	109,227.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				104,188.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014	1,991.			
e From 2015	27,897.			
f Total of lines 3a through e	29,888.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 110,000.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				104,188.
e Remaining amount distributed out of corpus	5,812.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	35,700.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	35,700.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014	1,991.			
d Excess from 2015	27,897.			
e Excess from 2016	5,812.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JEWISH COMMUNITY FOUNDATION 6505 WILSHIRE BLVD STE 1200 LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	CHARITABLE PURPOSE	110,000.
Total			3a	110,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

TRUSTEE

Title _____

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

CANDACE BERG TOOKE,
CPA

Preparer's signature

CANDACE BERG TOOK

Date	
------	--

07/27/17

Check ☐ if self-employed

PTIN

P00038193

Firm's name ► **HENRY & HORNE, LLP**

Firm's EIN ► 86-0133881

Firm's address ▶ 7098 E. COCHISE SUITE 100
SCOTTSDALE, AZ 85253-4517

Phone no. (480) 483-1170

[illegible]

3. |

09420727	758363	0508229	2016.03040	16	HERBERT K. CUMMINGS CHARITA	05082291
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H.K.CUMMINGS CHARITABLE TR
JAMES K CUMMINGS TTEE
RICHARD A CUMMINGS TTEE
U/A DTD 10/03/1984
DECEMBER 1, 2016 - DECEMBER 31, 2016

Additional information

Return of principal	THIS PERIOD 4 32	THIS YEAR 47 62	Gross proceeds	THIS PERIOD 0 00	THIS YEAR 99,080 40
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Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 02	0 00	475 00	0 00
BANK DEPOSIT SWEEP	4 60	0 01	90,223 76	9 02
Interest Period 12/01/16 - 12/31/16				
Total Cash and Sweep Balances	4.63		\$90,698.76	\$9.02

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES									
ABT									
Acquired 06/12/06 L nc		140	20 52	2,873 36		5,377 40	2,504 04		
Acquired 08/31/08 L nc		160	23 31	3,730 34		6,145 60	2,415 26		
Acquired 01/24/08 L nc		100	27 31	2,794 31		3,841 00	1,046 69		
Acquired 12/06/12 L		100	30 98	3,167 70		3,841 00	673 30		
Acquired 12/22/15 L		600	44 51	27,271 53		23,046 00	-4,225 53		
Total	2.16	1,100	\$36.22	\$39,837.24	38.4100	\$42,251.00	\$2,413.76	\$1,166.00	2.76



ADVISORS

H.K.CUMMINGS CHARITABLE TR
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U/A DTD 10/03/1984
DECEMBER 1, 2016 - DECEMBER 31, 2016

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ABBVIE INC									
ABBV									
Acquired 06/12/06 L nc		140	22.25	3,115.91		8,766.80	5,650.89		
Acquired 08/31/06 L nc		160	25.28	4,045.23		10,019.20	5,973.97		
Acquired 01/24/08 L nc		100	29.62	3,030.19		6,262.00	3,231.81		
Acquired 12/06/12 L		100	33.60	3,435.09		6,262.00	2,826.91		
Acquired 12/22/15 L		400	57.85	23,652.01		25,048.00	1,395.99		
Acquired 04/04/16 S		500	59.13	30,164.38		31,310.00	1,145.62		
Total	4.47	1,400	\$48.17	\$67,442.81	62.6200	\$87,668.00	\$20,225.19	\$3,584.00	4.09
ALPHABET INC VOTING									
CAP STK CL A									
GOOGL									
Acquired 09/30/08 L nc		50	205.76	10,342.65		39,622.50	29,279.85		
Acquired 05/26/10 L nc		50	242.83	12,207.00		39,622.50	27,415.50		
Total	4.04	100	\$225.50	\$22,549.65	792.4500	\$79,245.00	\$56,695.35	N/A	N/A
ALPHABET INC NON VOTING									
CAP STK CL C									
GOOG									
Acquired 09/30/08 L nc		50	205.10	10,309.60		38,591.00	28,281.40		
Acquired 05/26/10 L nc		50	242.06	12,168.00		38,591.00	26,423.00		
Total	3.94	100	\$224.78	\$22,477.60	771.8200	\$77,182.00	\$54,704.40	N/A	N/A
APPLE INC									
AAPL									
Acquired 09/30/08 L nc		1,050	15.90	16,892.61		121,611.00	104,718.39		
Acquired 12/06/12 L		175	77.69	13,768.93		20,268.50	6,499.57		
Total	7.24	1,225	\$25.03	\$30,661.54	115.8200	\$141,879.50	\$111,217.96	\$2,793.00	1.97
AVANGRID INC									
AGR									
Acquired 04/04/16 S		1,526	39.60	61,255.52		57,804.88	-3,450.64		
Acquired 04/04/16 S		274	39.58	11,000.14		10,379.12	-621.02		
Acquired 04/04/16 S		200	39.59	8,026.22		7,576.00	-450.22		
Total	3.86	2,000	\$40.14	\$80,281.88	37.8800	\$75,760.00	-\$4,521.88	\$3,456.00	4.56
BERKSHIRE HATHAWAY INC									
SERIES B NEW									
BRK/B									
Acquired 01/23/08 L nc		200	91.96	18,582.74		32,596.00	14,013.26		
Acquired 07/07/08 L nc		100	77.84	7,915.99		16,298.00	8,382.01		

H.K.CUMMINGS CHARITABLE TR
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U/A DTD 10/03/1984
DECEMBER 1, 2016 - DECEMBER 31, 2016

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/16/12 L		300	81.09	24,708.77		48,894.00	24,185.23		
Total	4.99	600	\$85.35	\$51,207.50	162.9800	\$97,788.00	\$48,580.50	N/A	N/A
COACH INC									
COH									
Acquired 12/19/16 S		1,033	35.22	36,933.57		36,175.66	-757.91		
Acquired 12/19/16 S		767	35.23	27,425.67		26,860.34	-565.33		
Total	3.22	1,800	\$35.76	\$64,359.24	35.0200	\$63,036.00	-\$1,323.24	\$2,430.00	3.85
COCA-COLA COMPANY									
KO									
Acquired 05/19/06 L nc		370	21.83	8,078.95		15,340.20	7,261.25		
Acquired 07/31/06 L nc		560	22.32	12,501.16		23,217.60	10,716.44		
Acquired 08/25/14 L		500	41.37	21,132.66		20,730.00	-402.66		
Total	3.02	1,430	\$29.17	\$41,712.77	41.4600	\$59,287.80	\$17,575.03	\$2,002.00	3.38
COLGATE-PALMOLIVE CO									
CL									
Acquired 02/10/14 L	3.34	1,000	61.07	61,880.26	65.4400	65,440.00	3,559.74	1,560.00	2.38
CUMMINS INC									
GMI									
Acquired 09/30/08 L nc		470	42.74	20,447.87		64,234.90	43,787.03		
Acquired 04/04/16 S		230	108.81	25,536.86		31,434.10	5,897.24		
Total	4.88	700	\$65.69	\$45,984.73	136.6700	\$95,669.00	\$49,684.27	\$2,870.00	3.00
EXPRESS SCRIPTS HLDG CO									
ESRX									
Acquired 02/22/08 L nc		480	33.28	16,272.93		33,019.20	16,746.27		
Acquired 02/22/08 L nc		243	56.49	13,727.07		16,715.97	2,988.90		
Acquired 07/07/08 L nc		200	31.62	6,455.90		13,758.00	7,302.10		
Acquired 12/06/12 L		327	53.75	17,913.56		22,494.33	4,580.77		
Total	4.39	1,250	\$43.50	\$54,399.46	68.7900	\$85,987.50	\$31,618.04	N/A	N/A
GRAINGER W W INC									
GWV									
Acquired 01/23/08 L nc		150	76.30	11,636.61		34,837.50	23,200.89		
Acquired 01/23/08 L nc		125	76.31	9,695.01		29,031.25	19,336.24		
Acquired 12/06/12 L		50	190.22	9,697.71		11,612.50	1,914.79		
Acquired 12/22/15 L		75	202.48	15,523.20		17,418.75	1,895.55		
Total	4.74	400	\$116.38	\$46,552.53	232.2500	\$92,900.00	\$46,347.47	\$1,952.00	2.10



ADVISORS

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U/A DTD 10/03/1984
DECEMBER 1, 2018 - DECEMBER 31, 2016

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
JPMORGAN CHASE & CO									
JPM									
Acquired 05/11/12 L	4.40	1,000	37.21	37,779.05	86.2900	86,290.00	48,510.95	1,920.00	2.22
KANSAS CITY SOUTHERN NEW									
KSU									
Acquired 10/24/12 L		350	79.10	28,022.93		29,697.50	1,674.57		
Acquired 10/24/12 L		200	79.09	16,010.88		16,970.00	959.12		
Acquired 10/24/12 L		100	79.09	8,010.13		8,485.00	474.87		
Acquired 12/22/15 L		300	72.18	22,132.75		25,455.00	3,322.25		
Total	4.11	950	\$78.08	\$74,176.69	84.8500	\$80,607.50	\$6,430.81	\$1,254.00	1.58
MCKESSON CORPORATION									
MCK									
Acquired 10/24/12 L	3.94	550	89.94	50,074.22	140.4500	77,247.50	27,173.28	616.00	0.79
MEAD JOHNSON NUTRITION CO									
MJN									
Acquired 05/08/14 L		500	87.03	44,048.69		35,380.00	-8,668.69		
Acquired 05/08/14 L		250	87.03	22,032.12		17,690.00	-4,342.12		
Acquired 12/22/15 L		200	78.71	16,105.78		14,152.00	-1,953.78		
Total	3.43	950	\$86.51	\$82,186.59	70.7600	\$67,222.00	-\$14,984.59	\$1,567.50	2.33
MONDELEZ INTL INC									
MDLZ									
Acquired 03/15/10 L nc		665	19.14	12,967.24		29,479.45	16,512.21		
Acquired 09/15/10 L nc		400	20.45	8,356.60		17,732.00	9,375.40		
Acquired 11/08/13 L		200	32.30	6,608.11		8,866.00	2,257.89		
Acquired 11/08/13 L		200	32.30	6,603.13		8,866.00	2,262.87		
Acquired 11/08/13 L		150	32.31	4,953.12		6,649.50	1,586.38		
Total	3.65	1,615	\$24.45	\$39,488.20	44.3300	\$71,592.95	\$32,104.75	\$1,227.40	1.71
NORTHWESTERN CORP									
NWE									
Acquired 02/09/10 L nc	2.76	950	24.04	23,280.03	56.8700	54,026.50	30,746.47	1,900.00	3.51
O'REILLY AUTOMOTIVE INC									
ORLY									
Acquired 12/16/09 L nc		476	37.74	18,272.24		132,523.16	114,250.92		
Acquired 12/16/09 L nc		234	37.75	8,988.53		65,147.94	56,159.41		
Total	10.08	710	\$38.40	\$27,260.77	278.4100	\$197,671.10	\$170,410.33	N/A	N/A

H.K.CUMMINGS CHARITABLE TR
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U/A DTD 10/03/1984
DECEMBER 1, 2016 - DECEMBER 31, 2016

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
REALTY INCOME CORP									
REIT									
O									
Acquired 12/06/12 L		1,000	39.23	39,231.69		57,480.00	18,248.31		
			40.55	41,147.81					
Acquired 12/12/13 L		1,000	36.58	36,589.46		57,480.00	20,890.54		
			37.03	37,673.48					
Total	5.86	2,000	\$37.91	\$75,821.15	57.4800	\$114,960.00	\$39,138.85	\$4,860.00	4.23
			\$39.41	\$78,821.29					
SEMPRA ENERGY									
SRE									
Acquired 08/25/14 L	2.82	550	103.59	57,703.77	100.6400	55,352.00	-2,351.77	1,661.00	3.00
Total Stocks and ETFs	95.34			\$1,097,087.68		\$1,869,063.35	\$771,975.67	\$36,818.90	1.97
				\$1,100,087.82					
Total Stocks, options & ETFs	95.34			\$1,097,087.68		\$1,869,063.35	\$771,975.67	\$36,818.90	1.97

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO SECURITIES	31.	31.	
TOTAL TO PART I, LINE 3	31.	31.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO SECURITIES	32,093.	0.	32,093.	30,208.	
TO PART I, LINE 4	32,093.	0.	32,093.	30,208.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,980.	2,980.		0.
TO FORM 990-PF, PG 1, LN 16B	2,980.	2,980.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	1,153.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,153.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK FEES	30.	30.			0.
TO FORM 990-PF, PG 1, LN 23	30.	30.			0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GNMA BOND	X		483.	555.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			483.	555.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			483.	555.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
STOCKS (SEE ATTACHED LISTING)			1,100,088.	1,869,063.
TOTAL TO FORM 990-PF, PART II, LINE 10B			1,100,088.	1,869,063.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
GIGAWATT GLOBAL	COST	100,000.	100,000.	
TOTAL TO FORM 990-PF, PART II, LINE 13		100,000.	100,000.	