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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>THE JAMIE PARSLEY FAMILY FOUNDATION, INC.</b>                                                                                                                                                                                                                                          |                                                                                                                                                  | A Employer identification number<br><b>84-1664591</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>7012 BREAKWATER PLACE</b>                                                                                                                                                                                                | Room/suite                                                                                                                                       | B Telephone number<br><b>502.228.5325</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>PROSPECT, KY 40059-9683</b>                                                                                                                                                                                                      |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><b>\$ 2,081,738.</b>                                                                                                                                                                                                      | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |            | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                     |            |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                      |            |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                               |            |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                           |            | 41,928.                            | 41,928.                   |                         | STATEMENT 1                                                 |
| 5a Gross rents                                                                                                                                     |            |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                      |            |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                           |            | 87,066.                            |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                      | 1,897,655. |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                   |            |                                    | 87,066.                   |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                      |            |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                             |            |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                        |            |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |            |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |            |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    |            |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                   |            | 128,994.                           | 128,994.                  |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                              |            | 0.                                 | 0.                        |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                                                                               |            |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                |            |                                    |                           |                         |                                                             |
| 16a Legal fees                                                                                                                                     |            |                                    |                           |                         |                                                             |
| b Accounting fees                                                                                                                                  | STMT 2     | 2,460.                             | 400.                      |                         | 2,060.                                                      |
| c Other professional fees                                                                                                                          | STMT 3     | 14,504.                            | 14,504.                   |                         | 0.                                                          |
| 17 Interest                                                                                                                                        |            |                                    |                           |                         |                                                             |
| 18 Taxes                                                                                                                                           | STMT 4     | 5,905.                             | 371.                      |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                      |            |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                       |            |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                               |            |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                       |            |                                    |                           |                         |                                                             |
| 23 Other expenses                                                                                                                                  | STMT 5     | 552.                               | 0.                        |                         | 552.                                                        |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                            |            | 23,421.                            | 15,275.                   |                         | 2,612.                                                      |
| 25 Contributions, gifts, grants paid                                                                                                               |            | 107,500.                           |                           |                         | 107,500.                                                    |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           |            | 130,921.                           | 15,275.                   |                         | 110,112.                                                    |
| 27 Subtract line 26 from line 12:                                                                                                                  |            |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                |            | <1,927.>                           |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |            |                                    | 113,719.                  |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |            |                                    |                           | N/A                     |                                                             |

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| <b>Part II Balance Sheets</b>      |                                                                                                      | Attached schedules and amounts in the description column should be for end-of-year amounts only |            | Beginning of year | End of year    |                       |
|------------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------|-------------------|----------------|-----------------------|
|                                    |                                                                                                      |                                                                                                 |            | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1                                                                                                    | Cash - non-interest-bearing                                                                     |            | 6,516.            | 6,298.         | 6,298.                |
|                                    | 2                                                                                                    | Savings and temporary cash investments                                                          |            | 52,273.           | 1,219,196.     | 1,219,196.            |
|                                    | 3                                                                                                    | Accounts receivable ▶                                                                           |            |                   |                |                       |
|                                    |                                                                                                      | Less: allowance for doubtful accounts ▶                                                         |            |                   |                |                       |
|                                    | 4                                                                                                    | Pledges receivable ▶                                                                            |            |                   |                |                       |
|                                    |                                                                                                      | Less: allowance for doubtful accounts ▶                                                         |            |                   |                |                       |
|                                    | 5                                                                                                    | Grants receivable                                                                               |            |                   |                |                       |
|                                    | 6                                                                                                    | Receivables due from officers, directors, trustees, and other disqualified persons              |            |                   |                |                       |
|                                    | 7                                                                                                    | Other notes and loans receivable ▶                                                              |            |                   |                |                       |
|                                    |                                                                                                      | Less: allowance for doubtful accounts ▶                                                         |            |                   |                |                       |
|                                    | 8                                                                                                    | Inventories for sale or use                                                                     |            |                   |                |                       |
|                                    | 9                                                                                                    | Prepaid expenses and deferred charges                                                           |            |                   |                |                       |
|                                    | 10a                                                                                                  | Investments - U.S. and state government obligations                                             |            |                   |                |                       |
|                                    | b                                                                                                    | Investments - corporate stock                                                                   | STMT 6     | 585,174.          | 287,786.       | 316,966.              |
|                                    | c                                                                                                    | Investments - corporate bonds                                                                   | STMT 7     | 195,871.          | 102,334.       | 103,812.              |
|                                    | 11                                                                                                   | Investments - land, buildings, and equipment: basis ▶                                           |            |                   |                |                       |
|                                    | Less accumulated depreciation ▶                                                                      |                                                                                                 |            |                   |                |                       |
| 12                                 | Investments - mortgage loans                                                                         |                                                                                                 |            |                   |                |                       |
| 13                                 | Investments - other                                                                                  | STMT 8                                                                                          | 1,203,845. | 416,220.          | 435,466.       |                       |
| 14                                 | Land, buildings, and equipment: basis ▶                                                              |                                                                                                 |            |                   |                |                       |
|                                    | Less accumulated depreciation ▶                                                                      |                                                                                                 |            |                   |                |                       |
| 15                                 | Other assets (describe ▶)                                                                            |                                                                                                 |            |                   |                |                       |
| 16                                 | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) |                                                                                                 | 2,043,679. | 2,031,834.        | 2,081,738.     |                       |
| <b>Liabilities</b>                 | 17                                                                                                   | Accounts payable and accrued expenses                                                           |            |                   |                |                       |
|                                    | 18                                                                                                   | Grants payable                                                                                  |            |                   |                |                       |
|                                    | 19                                                                                                   | Deferred revenue                                                                                |            |                   |                |                       |
|                                    | 20                                                                                                   | Loans from officers, directors, trustees, and other disqualified persons                        |            |                   |                |                       |
|                                    | 21                                                                                                   | Mortgages and other notes payable                                                               |            |                   |                |                       |
|                                    | 22                                                                                                   | Other liabilities (describe ▶)                                                                  |            |                   |                |                       |
|                                    | 23                                                                                                   | <b>Total liabilities</b> (add lines 17 through 22)                                              |            | 0.                | 0.             |                       |
| <b>Net Assets or Fund Balances</b> | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                              |                                                                                                 |            |                   |                |                       |
|                                    | and complete lines 24 through 26 and lines 30 and 31.                                                |                                                                                                 |            |                   |                |                       |
|                                    | 24                                                                                                   | Unrestricted                                                                                    |            |                   |                |                       |
|                                    | 25                                                                                                   | Temporarily restricted                                                                          |            |                   |                |                       |
|                                    | 26                                                                                                   | Permanently restricted                                                                          |            |                   |                |                       |
|                                    | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>            |                                                                                                 |            |                   |                |                       |
|                                    | and complete lines 27 through 31.                                                                    |                                                                                                 |            |                   |                |                       |
|                                    | 27                                                                                                   | Capital stock, trust principal, or current funds                                                |            | 0.                | 0.             |                       |
|                                    | 28                                                                                                   | Paid-in or capital surplus, or land, bldg., and equipment fund                                  |            | 0.                | 0.             |                       |
|                                    | 29                                                                                                   | Retained earnings, accumulated income, endowment, or other funds                                |            | 2,043,679.        | 2,031,834.     |                       |
| 30                                 | <b>Total net assets or fund balances</b>                                                             |                                                                                                 | 2,043,679. | 2,031,834.        |                |                       |
| 31                                 | <b>Total liabilities and net assets/fund balances</b>                                                |                                                                                                 | 2,043,679. | 2,031,834.        |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                               |   |            |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 2,043,679. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | <1,927.>   |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.         |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 2,041,752. |
| 5 | Decreases not included in line 2 (itemize) ▶ <b>COST BASIS ADJUSTMENTS</b>                                                                                    | 5 | 9,918.     |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30                                                  | 6 | 2,031,834. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 |                                                  |                                      |                                  |
| b SEE ATTACHED STATEMENT                                                                                                           |                                                  |                                      |                                  |
| c                                                                                                                                  |                                                  |                                      |                                  |
| d                                                                                                                                  |                                                  |                                      |                                  |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 1,897,655.          |                                            | 1,810,875.                                      | 87,066.                                      |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                           |
| a                                                                                           |                                      |                                                 |                                                                                           |
| b                                                                                           |                                      |                                                 |                                                                                           |
| c                                                                                           |                                      |                                                 |                                                                                           |
| d                                                                                           |                                      |                                                 |                                                                                           |
| e                                                                                           |                                      |                                                 | 87,066.                                                                                   |

|                                                                                                                                                                                 |                                                                                     |   |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss)                                                                                                                                 | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 87,066. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | { }                                                                                 | 3 | N/A     |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2015                                                              | 116,672.                              | 2,184,221.                                | .053416                                                  |
| 2014                                                              | 130,373.                              | 2,255,247.                                | .057809                                                  |
| 2013                                                              | 122,838.                              | 2,155,876.                                | .056978                                                  |
| 2012                                                              | 144,041.                              | 2,028,668.                                | .071003                                                  |
| 2011                                                              | 99,226.                               | 2,012,745.                                | .049299                                                  |

|                                                                                                                                                                                |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | .288505    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .057701    |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5                                                                                                 | 4 | 2,045,105. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 118,005.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 1,137.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 119,142.   |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 110,112.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |        |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |        |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    | 1      | 2,274. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2      | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3      | 2,274. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4      | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5      | 2,274. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |        |        |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016                                                                                                                                                                    | 6a | 3,800. |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |        |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |        |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |        |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 3,800. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |        |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |        |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 1,526. |        |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> 1,526. Refunded <input type="checkbox"/>                                                                                                 | 11 | 0.     |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               |    |     |    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       | 1a | Yes | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | 1b |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        | 1c |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                      |    |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.                                                                                                                                                                        |    |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                               | 2  |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  | 3  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                | 4a |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            | 4b |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                         | 5  |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | 6  | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV                                                                                                                                                                                                          | 7  | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>KY</u>                                                                                                                                                                                                      |    |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | 8b | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                        | 9  |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         | 10 |     | X  |

N/A

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**Part VII-A Statements Regarding Activities** (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                         | 11  | X   |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                        | 12  | X   |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <b>WWW.JPFF-KY.ORG</b>                                                                                                                                                                 | 13  | X   |
| 14 The books are in care of ► <b>MR. ED PARSLEY</b> Telephone no. ► <b>502.228.5325</b><br>Located at ► <b>7012 BREAKWATER PLACE, PROSPECT, KY</b> ZIP+4 ► <b>40059</b>                                                                                                                                                            |     |     |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                             | 15  | N/A |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16  | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | 1b                                                                  | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                                                                         | 1c                                                                  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |    |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                       | N/A                                                                 | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | N/A                                                                 | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a                                                                  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?                                                                                                                                                                                                                                                               | 4b                                                                  | X  |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address                                                  | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ARCHIE E. PARSLEY, JR<br>7012 BREAKWATER PLACE<br>PROSPECT, KY 40059  | PRESIDENT<br>2.00                                         | 0.                                        | 0.                                                                    | 0.                                    |
| MARY K. PARSLEY<br>7012 BREAKWATER PLACE<br>PROSPECT, KY 40059        | SECRETARY<br>2.00                                         | 0.                                        | 0.                                                                    | 0.                                    |
| KAREN MICHALAK PARSLEY<br>7012 BREAKWATER PLACE<br>PROSPECT, KY 40059 | DIRECTOR<br>2.00                                          | 0.                                        | 0.                                                                    | 0.                                    |
| BRIAN EDWARD PARSLEY<br>7012 BREAKWATER PLACE<br>PROSPECT, KY 40059   | DIRECTOR<br>2.00                                          | 0.                                        | 0.                                                                    | 0.                                    |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
| 2     |          |
|       |          |
| 3     |          |
|       |          |
| 4     |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
|                                                          |        |
| 2                                                        |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
|                                                          |        |
|                                                          |        |
|                                                          |        |

Total. Add lines 1 through 3

0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |            |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |            |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 1,901,005. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 175,244.   |
| <b>c</b> | Fair market value of all other assets                                                                       | <b>1c</b> |            |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 2,076,249. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> | 0.         |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | 0.         |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 2,076,249. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 31,144.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 2,045,105. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 102,255.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |          |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 102,255. |
| <b>2a</b> | Tax on investment income for 2016 from Part VI, line 5                                                    | <b>2a</b> | 2,274.   |
| <b>b</b>  | Income tax for 2016. (This does not include the tax from Part VI.)                                        | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 2,274.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 99,981.  |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  | 0.       |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 99,981.  |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  | 0.       |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 99,981.  |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                   |           |          |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 110,112. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                              |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |          |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | <b>4</b>  | 110,112. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 0.       |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | <b>6</b>  | 110,112. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2015 | (c)<br>2015 | (d)<br>2016 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2016 from Part XI, line 7                                                                                                                       |               |                            |             | 99,981.     |
| <b>2</b> Undistributed income, if any, as of the end of 2016                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2015 only                                                                                                                                               |               |                            | 17,657.     |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2016:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2011                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2012                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2013                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2014                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2015                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ <u>110,112.</u>                                                                                            |               |                            |             |             |
| <b>a</b> Applied to 2015, but not more than line 2a                                                                                                                               |               |                            | 17,657.     |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2016 distributable amount                                                                                                                                     |               |                            |             | 92,455.     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 0.            |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017                                                              |               |                            |             | 7,526.      |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2012                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2013                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2014                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2015                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2016                                                                                                                                                         |               |                            |             |             |

N/A

- ☐ 4942(i)(3) or ☐ 4942(i)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
  - a "Assets" alternative test - enter:
    - (1) Value of all assets
    - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
  - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
  - c "Support" alternative test - enter:
    - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
    - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
    - (3) Largest amount of support from an exempt organization
    - (4) Gross investment income

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**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                                     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount   |
|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------|
| Name and address (home or business)                                           |                                                                                                           |                                |                                  |          |
| a Paid during the year                                                        |                                                                                                           |                                |                                  |          |
| AUBURN UNIVERSITY<br>202 MARTIN HALL<br>AUBURN, AL 36849                      | N/A                                                                                                       | UNIVERSITY                     | SCHOLARSHIPS                     | 5,000.   |
| BELLARMINE UNIVERSITY<br>2001 NEWBURG ROAD<br>LOUISVILLE, KY 40205            | N/A                                                                                                       | UNIVERSITY                     | SCHOLARSHIPS                     | 10,000.  |
| BLUEGRASS CENTER FOR AUTISM<br>9810 BLUEGRASS PARKWAY<br>LOUISVILLE, KY 40299 | N/A                                                                                                       | SCHOOL - PUBLIC<br>CHARITY     | GRANT - OPERATIONAL              | 3,000.   |
| DARE TO CARE, INC.<br>5803 FERN VALLEY ROAD<br>LOUISVILLE, KY 40228           | N/A                                                                                                       | PUBLIC CHARITY                 | GRANT - OPERATIONAL              | 3,000.   |
| DENVER UNIVERSITY<br>2199 S. UNIVERSITY BLVD.<br>DENVER, CO 80208             | N/A                                                                                                       | UNIVERSITY                     | SCHOLARSHIPS                     | 5,000.   |
| Total SEE CONTINUATION SHEET(S) ▶ 3a                                          |                                                                                                           |                                |                                  | 107,500. |
| b Approved for future payment                                                 |                                                                                                           |                                |                                  |          |
| NONE                                                                          |                                                                                                           |                                |                                  |          |
|                                                                               |                                                                                                           |                                |                                  |          |
|                                                                               |                                                                                                           |                                |                                  |          |
|                                                                               |                                                                                                           |                                |                                  |          |
| Total ▶ 3b                                                                    |                                                                                                           |                                |                                  | 0.       |

▶ 3a

▶ 3b

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| Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)                                  |
|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------|
| (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount | Related or exempt<br>function income |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               | 14                                   | 41,928.       |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               | 18                                   | 87,066.       |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           |               |                                      |               |                                      |
|                           | 0.            |                                      | 128,994.      | 0.                                   |
|                           |               |                                      | 13            | 128,994.                             |

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

|          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes          | No       |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                                      |              |          |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |              |          |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | <b>1a(1)</b> | <b>X</b> |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | <b>1a(2)</b> | <b>X</b> |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |              |          |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | <b>1b(1)</b> | <b>X</b> |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | <b>1b(2)</b> | <b>X</b> |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | <b>1b(3)</b> | <b>X</b> |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | <b>1b(4)</b> | <b>X</b> |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | <b>1b(5)</b> | <b>X</b> |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | <b>1b(6)</b> | <b>X</b> |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | <b>1c</b>    | <b>X</b> |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |          |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

| b If "Yes," complete the following schedule. |                          |                                 |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
| N/A                                          |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |

|                  |                                                                                                                                                                                                                                                                                                                        |                         |                                                                                                                                                                         |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Sign Here</b> | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. |                         | <div>May the IRS discuss this return with the preparer shown below (see instr. 9)?<br/><input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No</div> |
|                  | <br>Signature of officer or trustee                                                                                                                                                                                                 | <u>15-12-17</u><br>Date |                                                                                                                                                                         |

|                                       |                                                                            |                           |         |                                                 |                          |
|---------------------------------------|----------------------------------------------------------------------------|---------------------------|---------|-------------------------------------------------|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name                                                 | Preparer's signature      | Date    | Check <input type="checkbox"/> if self-employed | PTIN                     |
|                                       | CHRISTINE N KOENIG                                                         | <i>Christine N Koenig</i> | 5.11.17 |                                                 | P01022180                |
|                                       | Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC                          |                           |         |                                                 | Firm's EIN ▶ 61-1064249  |
|                                       | Firm's address ▶ 9300 SHELBYVILLE RD STE 1100<br>LOUISVILLE, KY 40222-5187 |                           |         |                                                 | Phone no. (502) 426-9660 |

THE JAMIE PARSLEY FAMILY  
FOUNDATION, INC.

CONTINUATION FOR 990-PF, PART IV  
84-1664591 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a MERRILL LYNCH SEE ATTACHED                                                                                                        | P                                                |                                      |                                  |
| b MERRILL LYNCH SEE ATTACHED                                                                                                         | P                                                |                                      |                                  |
| c FIFTH THIRD SEE ATTACHED                                                                                                           | P                                                |                                      |                                  |
| d FIFTH THIRD SEE ATTACHED                                                                                                           | P                                                |                                      |                                  |
| e FIFTH THIRD WASH SALE LOSS DISALLOWED                                                                                              | P                                                |                                      |                                  |
| f WELLS FARGO 02.625%, 25000 STATED                                                                                                  | P                                                | 07/31/13                             | 12/15/16                         |
| g CAPITAL GAINS DIVIDENDS                                                                                                            |                                                  |                                      |                                  |
| h                                                                                                                                    |                                                  |                                      |                                  |
| i                                                                                                                                    |                                                  |                                      |                                  |
| j                                                                                                                                    |                                                  |                                      |                                  |
| k                                                                                                                                    |                                                  |                                      |                                  |
| l                                                                                                                                    |                                                  |                                      |                                  |
| m                                                                                                                                    |                                                  |                                      |                                  |
| n                                                                                                                                    |                                                  |                                      |                                  |
| o                                                                                                                                    |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 357,657.            |                                            | 352,304.                                        | 5,353.                                       |
| b 1,131,343.          |                                            | 1,067,941.                                      | 63,402.                                      |
| c 290,456.            |                                            | 307,696.                                        | <17,240.>                                    |
| d 64,027.             |                                            | 57,934.                                         | 6,093.                                       |
| e                     |                                            |                                                 | 286.                                         |
| f 25,000.             |                                            | 25,000.                                         | 0.                                           |
| g 29,172.             |                                            |                                                 | 29,172.                                      |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 5,353.                                                                                                  |
| b                         |                                      |                                                 | 63,402.                                                                                                 |
| c                         |                                      |                                                 | <17,240.>                                                                                               |
| d                         |                                      |                                                 | 6,093.                                                                                                  |
| e                         |                                      |                                                 | 286.                                                                                                    |
| f                         |                                      |                                                 | 0.                                                                                                      |
| g                         |                                      |                                                 | 29,172.                                                                                                 |
| h                         |                                      |                                                 |                                                                                                         |
| i                         |                                      |                                                 |                                                                                                         |
| j                         |                                      |                                                 |                                                                                                         |
| k                         |                                      |                                                 |                                                                                                         |
| l                         |                                      |                                                 |                                                                                                         |
| m                         |                                      |                                                 |                                                                                                         |
| n                         |                                      |                                                 |                                                                                                         |
| o                         |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                     |   |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               | 2 | 87,066. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A     |

THE JAMIE PARSLEY FAMILY  
FOUNDATION, INC.

84-1664591

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| DEPAUW UNIVERSITY<br>313 S LOCUST ST<br>GREENCASTLE, IN 46135                       | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000.  |
| HARVARD UNIVERSITY<br>MASSACHUSETTS HALL<br>CAMBRIDGE, MA 02138                     | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000.  |
| LIPSCOMB UNIVERSITY<br>1 UNIVERSITY PARK DR<br>NASHVILLE, TN 37204                  | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000.  |
| SUMMIT ACADEMY OF GREATER LOUISVILLE<br>11508 MAIN STREET<br>LOUISVILLE, KY 40243   | N/A                                                                                                                | SCHOOL - PUBLIC<br>CHARITY           | GRANT FOR TEXTBOOKS                 | 7,500.  |
| UNION COLLEGE<br>310 COLLEGE STREET<br>BARBOURVILLE, KY 40906                       | N/A                                                                                                                | COLLEGE                              | SCHOLARSHIPS                        | 5,000.  |
| UNIVERSITY OF KENTUCKY<br>MAIN BUILDING<br>LEXINGTON, KY 40506                      | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 10,000. |
| UNIVERSITY OF LOUISVILLE<br>2211 S. BROOK STREET<br>LOUISVILLE, KY 40208            | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 10,000. |
| WESTERN KENTUCKY UNIVERSITY<br>1906 COLLEGE HEIGHTS BLVD<br>BOWLING GREEN, KY 42101 | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000.  |
| YMCA SAFE PLACE SERVICES<br>2400 CRITTENDEN DRIVE<br>LOUISVILLE, KY 40217           | N/A                                                                                                                | PUBLIC CHARITY                       | GRANT - OPERATIONAL                 | 4,000.  |
| CABBAGE PATCH SETTLEMENT HOUSE<br>1413 S 6TH ST<br>LOUISVILLE, KY 40208             | N/A                                                                                                                | PUBLIC CHARITY                       | GRANT - OPERATIONAL                 | 5,000.  |
| Total from continuation sheets                                                      |                                                                                                                    |                                      |                                     | 81,500. |

THE JAMIE PARSLEY FAMILY  
FOUNDATION, INC.

84-1664591

**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

| Recipient<br>Name and address (home or business)                            | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount |
|-----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|--------|
| WASHINGTON AND LEE UNIVERSITY<br>204 W WASHINGTON ST<br>LEXINGTON, VA 24450 | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000. |
| EASTERN KENTUCKY UNIVERSITY<br>521 LANCASTER AVE<br>RICHMOND, KY 40475      | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000. |
| UNIVERSITY OF THE CUMBERLANDS<br>6178 COLLEGE ST<br>WILLIAMSBURG, KY 40769  | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000. |
| SAMFORD UNIVERSITY<br>800 LAKESHORE DR<br>BIRMINGHAM, AL 35229              | N/A                                                                                                                | UNIVERSITY                           | SCHOLARSHIPS                        | 5,000. |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
|                                                                             |                                                                                                                    |                                      |                                     |        |
| Total from continuation sheets                                              |                                                                                                                    |                                      |                                     |        |

THE JAMIE PARSLEY FAMILY

## ITEMS FOR ATTENTION

December 01, 2016 - December 30, 2016

| Security              | Message  | Date     | Security           | Message          | Date |
|-----------------------|----------|----------|--------------------|------------------|------|
| GENERAL ELEC CAP CORP | Maturing | 01/09/17 | DISCOVER FINL SVCS | Opinion Upgraded |      |

## YOUR EMA ASSETS

| CASH/MONEY ACCOUNTS | Quantity   | Total Cost Basis | Estimated Market Price | Estimated Market Value | Estimated Annual Income | Est. Annual Yield% |
|---------------------|------------|------------------|------------------------|------------------------|-------------------------|--------------------|
| CASH                | 412.55     | 412.55           |                        | 412.55                 |                         |                    |
| BIF MONEY FUND      | 114,295.00 | 114,295.00       | 1.0000                 | 114,295.00             |                         |                    |
| <b>TOTAL</b>        |            | 114,707.55       |                        | 114,707.55             |                         |                    |

| CORPORATE BONDS                                                                                                                             | Acquired | Quantity | Adjusted/Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Interest | Estimated Current Annual Income | Yield% |
|---------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|---------------------------|------------------------|------------------------|------------------------|---------------------------|---------------------------------|--------|
| Δ GENERAL ELEC CAP CORP<br>GLB 02.900% JAN 09 2017<br>MOODY'S: A1 S&P: AA- CUSIP: 36962G5N0<br>ORIGINAL UNIT/TOTAL COST: 100.9040/25,226.02 | 07/21/14 | 25,000   | 25,002.09                 | 100.0350               | 25,008.75              | 6.66                   | 344.38                    | 725                             | 2.89   |
| Θ EBAY INC<br>GLB 01 350% JUL 15 2017<br>MOODY'S: BAA1 S&P: BBB+ CUSIP: 278642AG8<br>ORIGINAL UNIT/TOTAL COST: 99.6910/24,922.75            | 06/10/16 | 25,000   | 24,922.75                 | 100.0420               | 25,010.50              | 87.75                  | 154.69                    | 338                             | 1.34   |
| Δ PHILIP MORRIS INTL INC<br>GLB 05 650% MAY 16 2018<br>MOODY'S: A2 S&P: A CUSIP: 718172AA7<br>ORIGINAL UNIT/TOTAL COST: 110.6497/27,662.44  | 06/10/13 | 25,000   | 25,785.19                 | 105.3990               | 26,349.75              | 564.56                 | 172.64                    | 1,413                           | 5.36   |

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THE JAMIE PARSLEY FAMILY

24-Hour Assistance: (800) MERRILL

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| CORPORATE BONDS (continued)                  |          |          |                              |                           |                           |                           |                           |                               |                                           |
|----------------------------------------------|----------|----------|------------------------------|---------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|-------------------------------------------|
| Description                                  | Acquired | Quantity | Adjusted/Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated Current<br>Annual Income Yield% |
| Δ BHP BILLITON FIN USA LTD                   | 06/10/13 | 25,000   | 26,624.37                    | 109.7720                  |                           | 27,443.00                 | 818.63                    | 401.74                        | 1,625 5.92                                |
| COMPANY GUARANT GLB 06.500% APR 01 2019      |          |          |                              |                           |                           |                           |                           |                               |                                           |
| MOODY'S: A3 S&P: A CUSIP: 055451AH1          |          |          |                              |                           |                           |                           |                           |                               |                                           |
| ORIGINAL UNIT/TOTAL COST: 115.8089/28.952.24 |          |          |                              |                           |                           |                           |                           |                               |                                           |
| <b>TOTAL</b>                                 |          | 100,000  | 102,334.40                   |                           |                           | <b>103,812.00</b>         | 1,477.60                  | 1,073.45                      | <b>4,101 3.95</b>                         |

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

| EQUITIES<br>Description  | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total      |              | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated Current<br>Annual Income Yield% |
|--------------------------|--------|----------|----------|--------------------|------------|--------------|---------------------------|---------------------------|---------------------------|-------------------------------------------|
|                          |        |          |          |                    | Cost Basis | Market Price |                           |                           |                           |                                           |
| ABBVIE INC SHS           | ABBV   | 07/23/15 | 92       | 70.7798            | 6,511.75   | 62.6200      | 5,761.04                  | (750.71)                  | 236                       | 4.08                                      |
| ACTIVISION BLIZZARD INC  | ATVI   | 08/09/16 | 19       | 41.3815            | 786.25     | 36.1100      | 686.09                    | (100.16)                  | 5                         | .72                                       |
|                          |        | 08/09/16 | 20       | 41.3315            | 826.63     | 36.1100      | 722.20                    | (104.43)                  | 6                         | .72                                       |
|                          |        | 08/09/16 | 29       | 41.1958            | 1,194.68   | 36.1100      | 1,047.19                  | (147.49)                  | 8                         | .72                                       |
|                          |        | 08/10/16 | 11       | 41.3963            | 455.36     | 36.1100      | 397.21                    | (58.15)                   | 3                         | .72                                       |
|                          |        | 08/10/16 | 53       | 41.3826            | 2,193.28   | 36.1100      | 1,913.83                  | (279.45)                  | 14                        | .72                                       |
| <b>Subtotal</b>          |        |          | 132      |                    | 5,456.20   |              | <b>4,766.52</b>           | <b>(689.68)</b>           | <b>36</b>                 | <b>.72</b>                                |
| ADVANSIX INC<br>SHS      | ASIX   | 06/03/16 | 2        | 15.2200            | 30.44      | 22.1400      | 44.28                     | 13.84                     |                           |                                           |
| ALASKA AIR GROUP INC COM | ALK    | 08/20/15 | 42       | 79.0016            | 3,318.07   | 88.7300      | 3,725.66                  | 408.59                    | 47                        | 1.23                                      |
|                          |        | 08/20/15 | 9        | 84.1288            | 757.16     | 88.7300      | 798.57                    | 41.41                     | 10                        | 1.23                                      |
|                          |        | 08/21/15 | 35       | 77.4974            | 2,712.41   | 88.7300      | 3,105.55                  | 393.14                    | 39                        | 1.23                                      |
|                          |        | 08/09/16 | 7        | 65.3757            | 457.63     | 88.7300      | 621.11                    | 163.48                    | 8                         | 1.23                                      |
| <b>Subtotal</b>          |        |          | 93       |                    | 7,245.27   |              | <b>8,251.89</b>           | <b>1,006.62</b>           | <b>104</b>                | <b>1.23</b>                               |
| ALBEMARLE CORP COM       | ALB    | 08/09/16 | 14       | 82.4964            | 1,154.95   | 86.0800      | 1,205.12                  | 50.17                     | 18                        | 1.41                                      |
|                          |        | 08/09/16 | 26       | 82.1657            | 2,136.31   | 86.0800      | 2,238.08                  | 101.77                    | 32                        | 1.41                                      |
|                          |        | 08/10/16 | 16       | 81.7881            | 1,308.61   | 86.0800      | 1,377.28                  | 68.67                     | 20                        | 1.41                                      |
| <b>Subtotal</b>          |        |          | 56       |                    | 4,599.87   |              | <b>4,820.48</b>           | <b>220.61</b>             | <b>70</b>                 | <b>1.41</b>                               |

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THE JAMIE PARSLEY FAMILY

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income | Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| ALPHABET INC SHS                    | GOOGL  | 09/25/15 | 6        | 645.1500           | 3,870.90            | 792.4500                  | 4,754.70                  | 883.80                           |                             |        |
|                                     |        | 08/08/16 | 1        | 805.1000           | 805.10              | 792.4500                  | 792.45                    | (12.65)                          |                             |        |
| <i>Subtotal</i>                     |        |          | 7        |                    | 4,676.00            |                           | 5,547.15                  | 871.15                           |                             |        |
| ALTRIA GROUP INC                    | MO     | 06/02/16 | 7        | 64.2514            | 449.76              | 67.6200                   | 473.34                    | 23.58                            | 18                          | 3.60   |
|                                     |        | 06/03/16 | 76       | 65.1996            | 4,955.17            | 67.6200                   | 5,139.12                  | 183.95                           | 186                         | 3.60   |
|                                     |        | 09/23/16 | 12       | 63.9300            | 767.16              | 67.6200                   | 811.44                    | 44.28                            | 30                          | 3.60   |
| <i>Subtotal</i>                     |        |          | 95       |                    | 6,172.09            |                           | 6,423.90                  | 251.81                           | 234                         | 3.60   |
| AMERIPRISE FINL INC                 | AMP    | 07/23/15 | 41       | 129.0197           | 5,289.81            | 110.9400                  | 4,548.54                  | (741.27)                         | 123                         | 2.70   |
|                                     |        | 02/03/16 | 16       | 83.8875            | 1,342.20            | 110.9400                  | 1,775.04                  | 432.84                           | 48                          | 2.70   |
| <i>Subtotal</i>                     |        |          | 57       |                    | 6,632.01            |                           | 6,323.58                  | (308.43)                         | 171                         | 2.70   |
| AMGEN INC COM PV \$0.0001           | AMGN   | 07/23/15 | 37       | 164.5600           | 6,088.72            | 146.2100                  | 5,409.77                  | (678.95)                         | 171                         | 3.14   |
| APPLE INC                           | AAPL   | 12/21/12 | 23       | 73.5095            | 1,690.72            | 115.8200                  | 2,663.86                  | 973.14                           | 53                          | 1.96   |
|                                     |        | 09/26/14 | 14       | 99.8042            | 1,397.26            | 115.8200                  | 1,621.48                  | 224.22                           | 32                          | 1.96   |
|                                     |        | 10/21/14 | 5        | 102.1280           | 510.64              | 115.8200                  | 579.10                    | 68.46                            | 12                          | 1.96   |
|                                     |        | 02/02/16 | 9        | 94.4255            | 849.83              | 115.8200                  | 1,042.38                  | 192.55                           | 21                          | 1.96   |
| <i>Subtotal</i>                     |        |          | 51       |                    | 4,448.45            |                           | 5,906.82                  | 1,458.37                         | 118                         | 1.96   |
| AT&T INC                            | T      | 08/25/11 | 29       | 29.1096            | 844.18              | 42.5300                   | 1,233.37                  | 389.19                           | 57                          | 4.60   |
|                                     |        | 05/08/13 | 60       | 37.7000            | 2,262.00            | 42.5300                   | 2,551.80                  | 289.80                           | 118                         | 4.60   |
|                                     |        | 09/26/14 | 13       | 35.1600            | 457.08              | 42.5300                   | 552.89                    | 95.81                            | 26                          | 4.60   |
| <i>Subtotal</i>                     |        |          | 102      |                    | 3,563.26            |                           | 4,338.06                  | 774.80                           | 201                         | 4.60   |
| BANK OF AMERICA CORP                | BAC    | 09/26/16 | 363      | 15.4177            | 5,596.66            | 22.1000                   | 8,022.30                  | 2,425.64                         | 109                         | 1.35   |
| BOEING COMPANY                      | BA     | 10/02/14 | 14       | 124.3050           | 1,740.27            | 155.6800                  | 2,179.52                  | 439.25                           | 80                          | 3.64   |
|                                     |        | 01/22/15 | 11       | 134.7900           | 1,482.69            | 155.6800                  | 1,712.48                  | 229.79                           | 63                          | 3.64   |
|                                     |        | 03/04/15 | 10       | 154.6400           | 1,546.40            | 155.6800                  | 1,556.80                  | 10.40                            | 57                          | 3.64   |
|                                     |        | 08/20/15 | 9        | 138.4400           | 1,245.96            | 155.6800                  | 1,401.12                  | 155.16                           | 52                          | 3.64   |
| <i>Subtotal</i>                     |        |          | 44       |                    | 6,015.32            |                           | 6,849.92                  | 834.60                           | 252                         | 3.64   |
| BROADCOM LTD                        | AVGO   | 06/02/16 | 35       | 154.5648           | 5,409.77            | 176.7700                  | 6,186.95                  | 777.18                           | 143                         | 2.30   |

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THE JAMIE PARSLEY FAMILY

24-Hour Assistance: (800) MERRILL

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued)<br>Description | Symbol | Acquired                         | Quantity        | Unit<br>Cost Basis               | Total<br>Cost Basis              | Estimated<br>Market Price        | Estimated<br>Market Value        | Unrealized<br>Gain/(Loss)       | Annual Income   | Estimated Current<br>Yield% |
|-------------------------------------|--------|----------------------------------|-----------------|----------------------------------|----------------------------------|----------------------------------|----------------------------------|---------------------------------|-----------------|-----------------------------|
| BROADRIDGE FINL<br>SOLUTIONS INC    | BR     | 07/23/15                         | 85              | 53.7203                          | 4,566.23                         | 66.3000                          | 5,635.50                         | 1,069.27                        | 113             | 1.99                        |
| CDW CORP                            | CDW    | 07/23/15                         | 127             | 34.5783                          | 4,391.45                         | 52.0900                          | 6,615.43                         | 2,223.98                        | 82              | 1.22                        |
| CHEVRON CORP                        | CVX    | 10/17/05<br>09/26/14<br>08/20/15 | 21<br>9<br>24   | 60.0500<br>121.2100<br>80.2400   | 1,261.05<br>1,090.89<br>1,925.76 | 117.7000<br>117.7000<br>117.7000 | 2,471.70<br>1,059.30<br>2,824.80 | 1,210.65<br>(31.59)<br>899.04   | 91<br>39<br>104 | 3.67<br>3.67<br>3.67        |
| Subtotal                            |        |                                  | 54              |                                  | 4,277.70                         |                                  | 6,355.80                         | 2,078.10                        | 234             | 3.67                        |
| CHUBB LTD                           | CB     | 06/03/16<br>06/03/16<br>06/06/16 | 28<br>3<br>13   | 127.3450<br>130.6200<br>127.2846 | 3,565.66<br>391.86<br>1,654.70   | 132.1200<br>132.1200<br>132.1200 | 3,699.36<br>396.36<br>1,717.56   | 133.70<br>4.50<br>62.86         | 78<br>9<br>36   | 2.08<br>2.08<br>2.08        |
| Subtotal                            |        |                                  | 44              |                                  | 5,612.22                         |                                  | 5,813.28                         | 201.06                          | 123             | 2.08                        |
| CISCO SYSTEMS INC COM               | CSCO   | 03/10/15<br>07/23/15<br>02/03/16 | 61<br>101<br>26 | 28.8419<br>27.8799<br>22.8284    | 1,759.36<br>2,815.87<br>593.54   | 30.2200<br>30.2200<br>30.2200    | 1,843.42<br>3,052.22<br>785.72   | 84.06<br>236.35<br>192.18       | 64<br>106<br>28 | 3.44<br>3.44<br>3.44        |
| Subtotal                            |        |                                  | 188             |                                  | 5,168.77                         |                                  | 5,681.36                         | 512.59                          | 198             | 3.44                        |
| CMS ENERGY CORP                     | CMS    | 07/23/15                         | 115             | 32.7372                          | 3,764.78                         | 41.6200                          | 4,786.30                         | 1,021.52                        | 143             | 2.97                        |
| COMCAST CORP NEW CL A               | CMCSA  | 03/07/14<br>08/04/14<br>09/23/16 | 26<br>54<br>15  | 52.0384<br>53.5698<br>67.3300    | 1,353.00<br>2,892.77<br>1,009.95 | 69.0500<br>69.0500<br>69.0500    | 1,795.30<br>3,728.70<br>1,035.75 | 442.30<br>835.93<br>25.80       | 29<br>60<br>17  | 1.59<br>1.59<br>1.59        |
| Subtotal                            |        |                                  | 95              |                                  | 5,255.72                         |                                  | 6,559.75                         | 1,304.03                        | 106             | 1.59                        |
| D R HORTON INC                      | DHI    | 08/20/15<br>08/21/15<br>09/23/16 | 33<br>147<br>29 | 31.7378<br>31.1223<br>30.3127    | 1,047.35<br>4,574.99<br>879.07   | 27.3300<br>27.3300<br>27.3300    | 901.89<br>4,017.51<br>792.57     | (145.46)<br>(557.48)<br>(86.50) | 14<br>59<br>12  | 1.46<br>1.46<br>1.46        |
| Subtotal                            |        |                                  | 209             |                                  | 6,501.41                         |                                  | 5,711.97                         | (789.44)                        | 85              | 1.46                        |
| DELL TECHNOLOGIES INC<br>CL V       | DVMT   | 09/26/16                         | 122             | 47.8857                          | 5,842.06                         | 54.9700                          | 6,706.34                         | 864.28                          |                 |                             |
| DISCOVER FINL SVCS                  | DFS    | 08/09/16                         | 104             | 57.8702                          | 6,018.51                         | 72.0900                          | 7,497.36                         | 1,478.85                        | 125             | 1.66                        |

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THE JAMIE PARSLEY FAMILY

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued)<br>Description | Symbol | Acquired                                     | Quantity                 | Unit<br>Cost Basis                           | Total<br>Cost Basis                                  | Estimated<br>Market Price                    | Estimated<br>Market Value                              | Unrealized<br>Gain/(Loss)                             | Annual Income               | Current<br>Yield%                    |
|-------------------------------------|--------|----------------------------------------------|--------------------------|----------------------------------------------|------------------------------------------------------|----------------------------------------------|--------------------------------------------------------|-------------------------------------------------------|-----------------------------|--------------------------------------|
| DR PEPPER SNAPPLE GROUP<br>INC      | DPS    | 07/23/15<br>09/23/16<br>09/26/16             | 54<br>10<br>2<br>66      | 77.3700<br>91.6300<br>91.4400                | 4,177.98<br>916.30<br>182.88<br>5,277.16             | 90.6700<br>90.6700<br>90.6700                | 4,896.18<br>906.70<br>181.34<br>5,984.22               | 718.20<br>(9.60)<br>(1.54)<br>707.06                  | 115<br>22<br>5<br>142       | 2.33<br>2.33<br>2.33<br>2.33         |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |
| EXELON CORPORATION                  | EXC    | 08/09/16                                     | 148                      | 36.2837                                      | 5,370.00                                             | 35.4900                                      | 5,252.52                                               | (117.48)                                              | 189                         | 3.58                                 |
| EXXON MOBIL CORP COM                | XOM    | 10/28/08<br>09/26/14                         | 11<br>49<br>60           | 71.8600<br>95.6800                           | 790.46<br>4,688.32<br>5,478.78                       | 90.2600<br>90.2600                           | 992.86<br>4,422.74<br>5,415.60                         | 202.40<br>(265.58)<br>(63.18)                         | 33<br>147<br>180            | 3.32<br>3.32<br>3.32                 |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |
| FACEBOOK INC                        | FB     | 08/09/16                                     | 34                       | 125.9332                                     | 4,281.73                                             | 115.0500                                     | 3,911.70                                               | (370.03)                                              |                             |                                      |
| CLASS A COMMON STOCK                |        | 08/09/16                                     | 12                       | 125.7983                                     | 1,509.58                                             | 115.0500                                     | 1,380.60                                               | (128.98)                                              |                             |                                      |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |
| HONEYWELL INTL INC DEL              | HON    | 06/02/16<br>06/03/16                         | 3<br>50<br>53            | 113.7800<br>113.6130                         | 341.34<br>5,680.65<br>6,021.99                       | 115.8500<br>115.8500                         | 347.55<br>5,792.50<br>6,140.05                         | 6.21<br>111.85<br>118.06                              | 8<br>133<br>141             | 2.29<br>2.29<br>2.29                 |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |
| INGREDION INC<br>SHS                | INGR   | 08/09/16<br>08/09/16<br>08/10/16<br>09/23/16 | 2<br>8<br>31<br>6<br>47  | 136.2400<br>136.0712<br>136.0503<br>129.4250 | 272.48<br>1,088.57<br>4,217.56<br>776.55<br>6,355.16 | 124.9600<br>124.9600<br>124.9600<br>124.9600 | 249.92<br>999.68<br>3,873.76<br>749.76<br>5,873.12     | (22.56)<br>(88.89)<br>(343.80)<br>(26.79)<br>(482.04) | 4<br>16<br>62<br>12<br>94   | 1.60<br>1.60<br>1.60<br>1.60<br>1.60 |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |
| JOHNSON AND JOHNSON COM             | JNJ    | 03/08/13<br>04/05/13<br>09/26/14<br>09/23/16 | 19<br>20<br>9<br>6<br>54 | 78.1815<br>81.8500<br>106.7200<br>118.9700   | 1,485.45<br>1,637.00<br>960.48<br>713.82<br>4,796.75 | 115.2100<br>115.2100<br>115.2100<br>115.2100 | 2,188.99<br>2,304.20<br>1,036.89<br>691.26<br>6,221.34 | 703.54<br>667.20<br>76.41<br>(22.56)<br>1,424.59      | 61<br>64<br>29<br>20<br>174 | 2.77<br>2.77<br>2.77<br>2.77<br>2.77 |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |
| JPMORGAN CHASE & CO                 | JPM    | 02/03/16                                     | 84                       | 56.7398                                      | 4,766.15                                             | 86.2900                                      | 7,248.36                                               | 2,482.21                                              | 162                         | 2.22                                 |
| KIMBERLY CLARK                      | KMB    | 07/23/15<br>09/23/16                         | 40<br>8<br>48            | 111.5500<br>126.8500                         | 4,462.00<br>1,014.80<br>5,476.80                     | 114.1200<br>114.1200                         | 4,564.80<br>912.96<br>5,477.76                         | 102.80<br>(101.84)<br>.96                             | 148<br>30<br>178            | 3.22<br>3.22<br>3.22                 |
| Subtotal                            |        |                                              |                          |                                              |                                                      |                                              |                                                        |                                                       |                             |                                      |

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THE JAMIE PARSLEY FAMILY

24-Hour Assistance: (800) MERRILL

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Annual Income | Current<br>Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|---------------------------|---------------|-------------------|
| LAM RESEARCH CORP COM               | LRCX   | 08/20/15 | 6        | 71.4050            | 428.43              | 105.7300                  | 634.38                    | 205.95                    | 11            | 1.70              |
|                                     |        | 08/21/15 | 61       | 70.9344            | 4,327.00            | 105.7300                  | 6,449.53                  | 2,122.53                  | 110           | 1.70              |
| <b>Subtotal</b>                     |        |          | 67       |                    | 4,755.43            |                           | 7,083.91                  | 2,328.48                  | 121           | 1.70              |
| LEAR CORP SHS                       | LEA    | 12/07/15 | 9        | 125.2088           | 1,126.88            | 132.3700                  | 1,191.33                  | 64.45                     | 11            | .90               |
|                                     |        | 12/07/15 | 37       | 125.8275           | 4,655.62            | 132.3700                  | 4,897.69                  | 242.07                    | 45            | .90               |
|                                     |        | 09/23/16 | 7        | 120.2500           | 841.75              | 132.3700                  | 926.59                    | 84.84                     | 9             | .90               |
| <b>Subtotal</b>                     |        |          | 53       |                    | 6,624.25            |                           | 7,015.61                  | 391.36                    | 65            | .90               |
| LOWE'S COMPANIES INC                | LOW    | 07/23/15 | 66       | 68.1248            | 4,496.24            | 71.1200                   | 4,693.92                  | 197.68                    | 93            | 1.96              |
|                                     |        | 09/23/16 | 21       | 72.5800            | 1,524.18            | 71.1200                   | 1,493.52                  | (30.66)                   | 30            | 1.96              |
| <b>Subtotal</b>                     |        |          | 87       |                    | 6,020.42            |                           | 6,187.44                  | 167.02                    | 123           | 1.96              |
| MICROSOFT CORP                      | MSFT   | 07/23/15 | 107      | 46.1469            | 4,937.72            | 62.1400                   | 6,648.98                  | 1,711.26                  | 167           | 2.51              |
| NASDAQ OMX GRP INC                  | NDAQ   | 02/03/16 | 12       | 61.3400            | 736.08              | 67.1200                   | 805.44                    | 69.36                     | 16            | 1.90              |
|                                     |        | 02/03/16 | 32       | 61.3581            | 1,963.46            | 67.1200                   | 2,147.84                  | 184.38                    | 41            | 1.90              |
|                                     |        | 02/04/16 | 13       | 61.2000            | 795.60              | 67.1200                   | 872.56                    | 76.96                     | 17            | 1.90              |
|                                     |        | 02/04/16 | 24       | 61.3062            | 1,471.35            | 67.1200                   | 1,610.88                  | 139.53                    | 31            | 1.90              |
| <b>Subtotal</b>                     |        |          | 81       |                    | 4,966.49            |                           | 5,436.72                  | 470.23                    | 105           | 1.90              |
| NEWELL BRANDS INC                   | NWL    | 08/09/16 | 98       | 54.3604            | 5,327.32            | 44.6500                   | 4,375.70                  | (951.62)                  | 75            | 1.70              |
|                                     |        | 09/23/16 | 24       | 52.0354            | 1,248.85            | 44.6500                   | 1,071.60                  | (177.25)                  | 19            | 1.70              |
| <b>Subtotal</b>                     |        |          | 122      |                    | 6,576.17            |                           | 5,447.30                  | (1,128.87)                | 94            | 1.70              |
| OMEGA HEALTHCARE INVS<br>REIT       | OHI    | 09/27/16 | 2        | 36.5750            | 73.15               | 31.2600                   | 62.52                     | (10.63)                   | 5             | 7.80              |
|                                     |        | 09/27/16 | 40       | 36.5732            | 1,462.93            | 31.2600                   | 1,250.40                  | (212.53)                  | 98            | 7.80              |
|                                     |        | 09/27/16 | 87       | 36.5028            | 3,175.75            | 31.2600                   | 2,719.62                  | (456.13)                  | 213           | 7.80              |
| <b>Subtotal</b>                     |        |          | 129      |                    | 4,711.83            |                           | 4,032.54                  | (679.29)                  | 316           | 7.80              |
| OWENS CORNING INC                   | OC     | 09/26/16 | 2        | 53.6850            | 107.37              | 51.5600                   | 103.12                    | (4.25)                    | 2             | 1.55              |
|                                     |        | 09/26/16 | 82       | 53.6065            | 4,395.74            | 51.5600                   | 4,227.92                  | (167.82)                  | 66            | 1.55              |
|                                     |        | 09/27/16 | 5        | 53.7820            | 268.91              | 51.5600                   | 257.80                    | (11.11)                   | 4             | 1.55              |
|                                     |        | 09/27/16 | 21       | 54.0185            | 1,134.39            | 51.5600                   | 1,082.76                  | (51.63)                   | 17            | 1.55              |
| <b>Subtotal</b>                     |        |          | 110      |                    | 5,906.41            |                           | 5,671.60                  | (234.81)                  | 89            | 1.55              |

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THE JAMIE PARSLEY FAMILY

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income | Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| PACKAGING CORP AMERICA              | PKG    | 09/26/16 | 8        | 82.1037            | 656.83              | 84.8200                   | 678.56                    | 21.73                            | 21                          | 2.97   |
|                                     |        | 09/26/16 | 46       | 81.9997            | 3,771.99            | 84.8200                   | 3,901.72                  | 129.73                           | 116                         | 2.97   |
| Subtotal                            |        |          | 54       |                    | 4,428.82            |                           | 4,580.28                  | 151.46                           | 137                         | 2.97   |
| PFIZER INC                          | PFE    | 08/20/15 | 172      | 34.8837            | 6,000.00            | 32.4800                   | 5,586.56                  | (413.44)                         | 221                         | 3.94   |
|                                     |        | 09/23/16 | 9        | 34.3300            | 308.97              | 32.4800                   | 292.32                    | (16.65)                          | 12                          | 3.94   |
| Subtotal                            |        |          | 181      |                    | 6,308.97            |                           | 5,878.88                  | (430.09)                         | 233                         | 3.94   |
| PROLOGIS INC                        | PLD    | 02/04/16 | 70       | 39.5794            | 2,770.56            | 52.7900                   | 3,695.30                  | 924.74                           | 118                         | 3.18   |
|                                     |        | 02/04/16 | 16       | 39.3406            | 629.45              | 52.7900                   | 844.64                    | 215.19                           | 27                          | 3.18   |
| Subtotal                            |        |          | 86       |                    | 3,400.01            |                           | 4,539.94                  | 1,139.93                         | 145                         | 3.18   |
| ROYAL CARIBBEAN CRUISES             | RCL    | 07/23/15 | 63       | 84.1198            | 5,299.55            | 82.0400                   | 5,168.52                  | (131.03)                         | 121                         | 2.34   |
|                                     |        | 02/02/16 | 7        | 71.5171            | 500.62              | 82.0400                   | 574.28                    | 73.66                            | 14                          | 2.34   |
|                                     |        | 09/23/16 | 19       | 72.1700            | 1,371.23            | 82.0400                   | 1,558.76                  | 187.53                           | 37                          | 2.34   |
| Subtotal                            |        |          | 89       |                    | 7,171.40            |                           | 7,301.56                  | 130.16                           | 172                         | 2.34   |
| SCHLUMBERGER LTD                    | SLB    | 11/05/10 | 8        | 75.1600            | 601.28              | 83.9500                   | 671.60                    | 70.32                            | 16                          | 2.38   |
|                                     |        | 01/29/15 | 37       | 81.2583            | 3,006.56            | 83.9500                   | 3,106.15                  | 99.59                            | 74                          | 2.38   |
|                                     |        | 03/10/15 | 12       | 81.5741            | 978.89              | 83.9500                   | 1,007.40                  | 28.51                            | 24                          | 2.38   |
|                                     |        | 08/20/15 | 12       | 80.4400            | 965.28              | 83.9500                   | 1,007.40                  | 42.12                            | 24                          | 2.38   |
| Subtotal                            |        |          | 69       |                    | 5,552.01            |                           | 5,792.55                  | 240.54                           | 138                         | 2.38   |
| SPECTRA ENERGY CORP                 | SE     | 06/02/16 | 26       | 32.4857            | 844.63              | 41.0900                   | 1,068.34                  | 223.71                           | 43                          | 3.94   |
|                                     |        | 06/03/16 | 101      | 32.6509            | 3,297.75            | 41.0900                   | 4,150.09                  | 852.34                           | 164                         | 3.94   |
| Subtotal                            |        |          | 127      |                    | 4,142.38            |                           | 5,218.43                  | 1,076.05                         | 207                         | 3.94   |
| STANLEY BLACK & DECKER<br>INC       | SWK    | 08/20/15 | 50       | 105.1258           | 5,256.29            | 114.6900                  | 5,734.50                  | 478.21                           | 116                         | 2.02   |
| STERIS PLC SHS                      | STE    | 12/08/15 | 78       | 74.9311            | 5,844.63            | 67.3900                   | 5,256.42                  | (588.21)                         | 88                          | 1.66   |
|                                     |        | 09/23/16 | 10       | 73.1800            | 731.80              | 67.3900                   | 673.90                    | (57.90)                          | 12                          | 1.66   |
| Subtotal                            |        |          | 88       |                    | 6,576.43            |                           | 5,930.32                  | (646.11)                         | 100                         | 1.66   |

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THE JAMIE PARSLEY FAMILY

24-Hour Assistance: (800) MERRILL

# YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity   | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|------------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| STRYKER CORP                        | SYK    | 06/02/16 | 20         | 112.5680           | 2,251.36            | 119.8100                  | 2,396.20                  | 144.84                           | 34 1.41                            |
|                                     |        | 06/03/16 | 30         | 112.5946           | 3,377.84            | 119.8100                  | 3,594.30                  | 216.46                           | 51 1.41                            |
|                                     |        | 09/23/16 | 3          | 118.0700           | 354.21              | 119.8100                  | 359.43                    | 5.22                             | 6 1.41                             |
| <b>Subtotal</b>                     |        |          | <b>53</b>  |                    | <b>5,983.41</b>     |                           | <b>6,349.93</b>           | <b>366.52</b>                    | <b>91 1.41</b>                     |
| SUNTRUST BKS INC COM                | STI    | 07/23/15 | 101        | 44.6900            | 4,513.69            | 54.8500                   | 5,539.85                  | 1,026.16                         | 106 1.89                           |
|                                     |        | 02/03/16 | 15         | 33.6040            | 504.06              | 54.8500                   | 822.75                    | 318.69                           | 16 1.89                            |
|                                     |        | 02/04/16 | 12         | 34.9408            | 419.29              | 54.8500                   | 658.20                    | 238.91                           | 13 1.89                            |
| <b>Subtotal</b>                     |        |          | <b>128</b> |                    | <b>5,437.04</b>     |                           | <b>7,020.80</b>           | <b>1,583.76</b>                  | <b>135 1.89</b>                    |
| SYSCO CORPORATION                   | SY     | 09/26/16 | 122        | 48.9731            | 5,974.72            | 55.3700                   | 6,755.14                  | 780.42                           | 162 2.38                           |
| UNITEDHEALTH GROUP INC              | UNH    | 06/02/16 | 17         | 135.8376           | 2,309.24            | 160.0400                  | 2,720.68                  | 411.44                           | 43 1.56                            |
|                                     |        | 06/03/16 | 25         | 136.3968           | 3,409.92            | 160.0400                  | 4,001.00                  | 591.08                           | 63 1.56                            |
|                                     |        | 09/23/16 | 2          | 140.5900           | 281.18              | 160.0400                  | 320.08                    | 38.90                            | 5 1.56                             |
| <b>Subtotal</b>                     |        |          | <b>44</b>  |                    | <b>6,000.34</b>     |                           | <b>7,041.76</b>           | <b>1,041.42</b>                  | <b>111 1.56</b>                    |
| VERIZON COMMUNICATIONS COM          | VZ     | 07/23/15 | 73         | 46.4900            | 3,393.77            | 53.3800                   | 3,896.74                  | 502.97                           | 169 4.32                           |
|                                     |        | 08/09/16 | 9          | 53.7100            | 483.39              | 53.3800                   | 480.42                    | (2.97)                           | 21 4.32                            |
| <b>Subtotal</b>                     |        |          | <b>82</b>  |                    | <b>3,877.16</b>     |                           | <b>4,377.16</b>           | <b>500.00</b>                    | <b>190 4.32</b>                    |
| <b>TOTAL</b>                        |        |          |            |                    | <b>287,786.46</b>   |                           | <b>316,966.37</b>         | <b>29,179.91</b>                 | <b>7,331 2.31</b>                  |

## RESEARCH RATINGS

| Security                 | Symbol | BoFA/M/L Research | Morningstar | S&P  |
|--------------------------|--------|-------------------|-------------|------|
| ALBEMARLE CORP           | ALB    | N/A               | Buy         | Hold |
| ALTRIA GROUP INC         | MO     | Neutral (B27)     | Hold        | Buy  |
| ALASKA AIR GROUP INC COM | ALK    | Neutral (C27)     | No Coverage | Hold |
| PROLOGIS INC             | PLD    | Neutral (C27)     | Hold        | Buy  |
| ABBVIE INC SHS           | ABBV   | N/A               | Buy         | Buy  |
| ALPHABET INC SHS CL A    | GOOGL  | Buy (B19)         | Hold        | Buy  |
| AT&T INC                 | T      | Buy (A17)         | Hold        | Buy  |
| ACTIVISION BLIZZARD INC  | ATVI   | Neutral (C29)     | Hold        | Buy  |

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THE JAMIE PARSLEY FAMILY

24-Hour Assistance: (800) MERRILL

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

### RESEARCH RATINGS (continued)

| Security                 | Symbol | BofAML Research | Morningstar | S&P  |
|--------------------------|--------|-----------------|-------------|------|
| SCHLUMBERGER LTD         | SLB    | Neutral (B27)   | Hold        | Buy  |
| SPECTRA ENERGY CORP      | SE     | N/A             | Hold        | Hold |
| STRYKER CORP             | SYK    | Buy (A17)       | Hold        | Hold |
| SUNTRUST BKS INC COM     | STI    | Buy (B17)       | Hold        | Hold |
| SYSCO CORPORATION        | SY     | Buy (B17)       | Hold        | Hold |
| UNITEDHEALTH GROUP INC   | UNH    | Buy (C17)       | Hold        | Buy  |
| VERIZON COMMUNICATNS COM | VZ     | Buy (A17)       | Hold        | Hold |

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

### MUTUAL FUNDS/CLOSED END FUNDS/UIT

| Description                                                                                                                              | Quantity | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Total Client<br>Investment | Cumulative<br>Investment<br>Return (\$) | Estimated<br>Annual Current<br>Income Yield% |
|------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------------|---------------------------|---------------------------|---------------------------|----------------------------|-----------------------------------------|----------------------------------------------|
| AMERICAN EURO PACIFIC<br>GROWTH FUND CL F2<br>SYMBOL: AEPFX Initial Purchase 06/15/12<br>Equity 100%                                     | 3,460    | 152,702.95          | 44.9700                   | 155,596.20                | 2,893.25                  | 152,702                    | 2,893                                   | 2,394 1.53                                   |
| ASG MANAGED FUTURES<br>STR FD CL Y<br>SYMBOL: ASFYX Initial Purchase: 05/10/16<br>Alternative Investments 100%<br>.6940 Fractional Share | 127      | 1,365.54            | 9.8300                    | 1,248.41                  | (117.13)                  | 1,365                      | (117)                                   |                                              |
| BLACKROCK STRATEGIC<br>INCOME OPPRTNPTS PTF INST<br>SYMBOL: BSIX Initial Purchase: 07/20/15<br>Alternative Investments 100%              | 1,978    | 19,997.58           | 9.8300                    | 19,443.74                 | (553.84)                  | 19,997                     | (553)                                   | 558 2.86                                     |
| BOSTON PARTNERS LONG /                                                                                                                   | 383      | 5,948.24            | 15.4500                   | 5,917.35                  | (30.89)                   | 5,948                      | (30)                                    |                                              |

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THE JAMIE PARSLEY FAMILY

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| MUTUAL FUNDS/CLOSED END FUNDS/UIT Description                                                                 | (continued)<br>Quantity | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Total Client<br>Investment | Cumulative<br>Investment<br>Return (\$) | Estimated<br>Annual Current<br>Income Yield% |
|---------------------------------------------------------------------------------------------------------------|-------------------------|---------------------|---------------------------|---------------------------|---------------------------|----------------------------|-----------------------------------------|----------------------------------------------|
| SHORT RESEARCH FD INSTL                                                                                       |                         |                     |                           |                           |                           |                            |                                         |                                              |
| SYMBOL: BPIRX Initial Purchase: 07/20/15<br>Alternative Investments 100%<br>.0090 Fractional Share            |                         | N/A                 | 15 4500                   | .14                       | N/A                       |                            |                                         |                                              |
| DODGE & COX INCOME FUND<br>SYMBOL: DODIX Initial Purchase: 12/16/15<br>Fixed Income 100%                      | 2,985                   | 39,969.15           | 13.5900                   | 40,566.15                 | 597.00                    | 39,969                     | 597                                     | 1,308 3.22                                   |
| ISHARES CORE U.S.<br>AGGREGATE BOND ETF<br>SYMBOL: AGG Initial Purchase: 07/22/16<br>Fixed Income 100%        | 133                     | 14,979.52           | 108.0600                  | 14,371.98                 | (607.54)                  | 14,979                     | (607)                                   | 344 2.39                                     |
| SPDR S&P 500 ETF TRUST<br>SYMBOL: SPY Initial Purchase: 07/22/16<br>Equity 100%                               | 69                      | 14,976.44           | 223.5300                  | 15,423.57                 | 447.13                    | 14,976                     | 447                                     | 314 2.03                                     |
| T ROWE PRICE HIGH YIELD<br>FUND RETAIL CLASS<br>SYMBOL: PRHYX Initial Purchase: 03/09/11<br>Fixed Income 100% | 3,439                   | 23,446.77           | 6.6500                    | 22,869.35                 | (577.42)                  | 23,446                     | (577)                                   | 1,311 5.72                                   |
| TOUCHSTONE SMALL CAP<br>FUND CLASS Y<br>SYMBOL: TSFYX Initial Purchase: 06/25/12<br>Equity 100%               | 2,160                   | 37,306.24           | 17.4800                   | 37,756.80                 | 450.56                    | 37,306                     | 450                                     | 104 .27                                      |
| UBS E-TRACS ALERIAN MLP<br>INFRASTRUCTURE<br>SYMBOL: MLPI Initial Purchase 07/17/15                           | 862                     | 29,635.56           | 28.4100                   | 24,489.42                 | (5,146.14)                | 29,635                     | (5,146)                                 | 1,617 6.59                                   |

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THE JAMIE PARSLEY FAMILY

24-Hour Assistance: (800) MERRILL

## YOUR EMA ASSETS

December 01, 2016 - December 30, 2016

| MUTUAL FUNDS/CLOSED END FUNDS/UIT<br>Description                                                                                                  | (continued)<br>Quantity            | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Total Client<br>Investment | Cumulative<br>Investment<br>Return (\$) | Estimated<br>Annual Current<br>Income | Current<br>Yield% |
|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------|---------------------------|---------------------------|---------------------------|----------------------------|-----------------------------------------|---------------------------------------|-------------------|
| Equity 100%                                                                                                                                       |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| VANGUARD REIT ETF<br>SYMBOL: VNQ<br>Equity 100%                                                                                                   | 637<br>Initial Purchase: 11/05/10  | 34,252.76           | 82.5300                   | 52,571.61                 | 18,318.85                 | 34,252                     | 18,318                                  | 2,533                                 | 4.81              |
| VANGUARD FTSE EMERGING<br>MARKETS ETF<br>SYMBOL: VWO<br>Equity 100%                                                                               | 1,229<br>Initial Purchase 12/22/15 | 40,236.23           | 35.7800                   | 43,973.62                 | 3,737.39                  | 40,236                     | 3,737                                   | 1,107                                 | 2.51              |
| WILLIAM BLAIR MACRO<br>ALLOCATION FUND CL I<br>SYMBOL: WMCIX Initial Purchase: 07/17/15<br>Alternative Investments 100%<br>.1560 Fractional Share | 108                                | 1,382.23            | 11.3800                   | 1,229.04                  | (153.19)                  | 1,382                      | (153)                                   | 28                                    | 2.24              |
| 1.99 11.3800 1.78 (0.21) 1 2.24                                                                                                                   |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Subtotal (Fixed Income) 77,807.48                                                                                                                 |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Subtotal (Equities) 329,811.22                                                                                                                    |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Subtotal (Alternative Investments) 27,847.28                                                                                                      |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| TOTAL 416,208.71 19,257.13 19,259 11,619 2.67                                                                                                     |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| LONG PORTFOLIO                                                                                                                                    |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Adjusted/Total Cost Basis 921,037.12                                                                                                              |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Estimated Market Value 970,951.90                                                                                                                 |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Unrealized Gain/(Loss) 49,914.64                                                                                                                  |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| Estimated Annual Interest 1,073.45                                                                                                                |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |
| TOTAL 23,051 2.37                                                                                                                                 |                                    |                     |                           |                           |                           |                            |                                         |                                       |                   |

# 2016 Tax Information Statement

Page 6 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
Recipient's Tax ID Number:  
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

## 2016 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Disposal Property            | Date Sold  | Date Disposed | Proceeds | Cost or Other Basis | Wash. Loss Disallowed | Federal Income Tax | State Tax |
|------------------------------|------------|---------------|----------|---------------------|-----------------------|--------------------|-----------|
| <b>Short Term Sales</b>      |            |               |          |                     |                       |                    |           |
| 6.0 ABBVIE INC               |            |               |          |                     |                       |                    |           |
| 00287Y109                    | 06/14/2016 | 07/23/2015    | 357.77   | 424.68              | -66.91                | 0.00               | 0.00      |
| 4.0 ABBVIE INC               |            |               |          |                     |                       |                    |           |
| 00287Y109                    | 06/23/2016 | 07/23/2015    | 243.71   | 283.12              | -39.41                | 0.00               | 0.00      |
| 10.0 ABBVIE INC              |            |               |          |                     |                       |                    |           |
| 00287Y109                    | 07/11/2016 | 07/23/2015    | 644.08   | 707.80              | -63.72                | 0.00               | 0.00      |
| 21.0 ACTIVISION BLIZZARD INC |            |               |          |                     |                       |                    |           |
| 00507V109                    | 09/23/2016 | 08/09/2016    | 932.59   | 869.01              | 63.58                 | 0.00               | 0.00      |
| 0.12 ADVANSIX INC COM        |            |               |          |                     |                       |                    |           |
| 00773T101                    | 10/28/2016 | 06/02/2016    | 1.90     | 1.82                | 0.08                  | 0.00               | 0.00      |
| 64.0 AETNA INC NEW           |            |               |          |                     |                       |                    |           |
| 00817Y108                    | 06/02/2016 | 07/23/2015    | 7,652.11 | 7,276.15            | 375.96                | 0.00               | 0.00      |
| 4.0 AETNA INC NEW            |            |               |          |                     |                       |                    |           |
| 00817Y108                    | 06/02/2016 | 12/07/2015    | 478.26   | 418.54              | 59.72                 | 0.00               | 0.00      |
| 6.0 ALASKA AIR GROUP INC     |            |               |          |                     |                       |                    |           |
| 011659109                    | 06/14/2016 | 08/20/2015    | 379.28   | 474.01              | -94.73                | 0.00               | 0.00      |
| 3.0 ALASKA AIR GROUP INC     |            |               |          |                     |                       |                    |           |
| 011659109                    | 06/23/2016 | 08/20/2015    | 178.34   | 237.01              | -58.67                | 0.00               | 0.00      |

This is important tax information and is being furnished to you.

# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property       | CUSIP | Date Sold or Disposed | Date Acquired | Proceeds | Other Basis | Wash Loss Disallowed | Market Discount | Net Gain or Loss | Capital Gain or Loss | Ordinary Income | Capital Loss | Total |
|-------------------------------|-------|-----------------------|---------------|----------|-------------|----------------------|-----------------|------------------|----------------------|-----------------|--------------|-------|
|                               |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 9.0 ALASKA AIR GROUP INC      |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 011659109                     |       | 07/11/2016            | 08/20/2015    | 542.23   | 711.01      | 168.78               |                 | 0.00             |                      | 0.00            |              | 0.00  |
| 2.0 ALPHABET INC CAP STK CL A |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 02079K305                     |       | 02/02/2016            | 09/25/2015    | 1,585.20 | 1,290.30    |                      |                 | 294.90           |                      | 0.00            |              | 0.00  |
| 1.0 ALPHABET INC CAP STK CL A |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 02079K305                     |       | 06/23/2016            | 09/25/2015    | 706.27   | 645.15      |                      |                 | 61.12            |                      | 0.00            |              | 0.00  |
| 1.0 ALPHABET INC CAP STK CL A |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 02079K305                     |       | 09/23/2016            | 09/25/2015    | 815.39   | 645.15      |                      |                 | 170.24           |                      | 0.00            |              | 0.00  |
| 6.0 ALTRIA GROUP INC          |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 02209S103                     |       | 06/14/2016            | 06/02/2016    | 388.79   | 385.51      |                      |                 | 3.28             |                      | 0.00            |              | 0.00  |
| 4.0 ALTRIA GROUP INC          |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 02209S103                     |       | 06/23/2016            | 06/02/2016    | 264.35   | 257.01      |                      |                 | 7.34             |                      | 0.00            |              | 0.00  |
| 8.0 ALTRIA GROUP INC          |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| 02209S103                     |       | 07/11/2016            | 06/02/2016    | 558.38   | 514.02      |                      |                 | 44.36            |                      | 0.00            |              | 0.00  |
| 7.0 AMDOCS LIMITED COM        |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| G02602103                     |       | 06/14/2016            | 07/23/2015    | 395.07   | 395.15      |                      |                 | -0.08            |                      | 0.00            |              | 0.00  |
| 4.0 AMDOCS LIMITED COM        |       |                       |               |          |             |                      |                 |                  |                      |                 |              |       |
| G02602103                     |       | 06/23/2016            | 07/23/2015    | 225.55   | 225.80      |                      |                 | -0.25            |                      | 0.00            |              | 0.00  |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683



Account Number:  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property |                             | Capital Gain or Loss |          | Ordinary Income      |                 | Capital Gain or Loss |                 | Ordinary Income      |                 | Capital Gain or Loss |                 | Ordinary Income      |                 | Capital Gain or Loss |                 | Ordinary Income      |                 |
|-------------------------|-----------------------------|----------------------|----------|----------------------|-----------------|----------------------|-----------------|----------------------|-----------------|----------------------|-----------------|----------------------|-----------------|----------------------|-----------------|----------------------|-----------------|
| Customer Number         | Date Acquired               | Cost or Other Basis  | Proceeds | Capital Gain or Loss | Ordinary Income | Capital Gain or Loss | Ordinary Income | Capital Gain or Loss | Ordinary Income | Capital Gain or Loss | Ordinary Income | Capital Gain or Loss | Ordinary Income | Capital Gain or Loss | Ordinary Income | Capital Gain or Loss | Ordinary Income |
| 10.0                    | AMDOCS LIMITED COM          |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| G02602103               | 07/11/2016                  | 07/23/2015           | 581.98   | 564.50               | 17.48           | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 4.0                     | AMERIPRISE FINANCIAL INC    |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 03076C106               | 06/14/2016                  | 07/23/2015           | 391.55   | 516.08               | -124.53         | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 2.0                     | AMERIPRISE FINANCIAL INC    |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 03076C106               | 06/23/2016                  | 07/23/2015           | 201.91   | 258.04               | -56.13          | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 8.0                     | AMERIPRISE FINANCIAL INC    |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 03076C106               | 07/11/2016                  | 07/23/2015           | 733.10   | 1,032.16             | -299.06         | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 3.0                     | AMGEN INC                   |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 031162100               | 06/14/2016                  | 07/23/2015           | 457.40   | 493.68               | -36.28          | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 2.0                     | AMGEN INC                   |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 031162100               | 06/23/2016                  | 07/23/2015           | 303.69   | 329.12               | -25.43          | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 3.0                     | AMGEN INC                   |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 031162100               | 07/11/2016                  | 07/23/2015           | 481.00   | 493.68               | -12.68          | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 41.567                  | ACR EQUITY MARKET NEUTRAL I |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 00191K799               | 06/14/2016                  | 05/10/2016           | 478.02   | 482.59               | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |
| 91.635                  | ACR EQUITY MARKET NEUTRAL I |                      |          |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |                      |                 |
| 00191K799               | 09/02/2016                  | 05/10/2016           | 1,055.64 | 1,063.88             | -8.24           | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            | 0.00                 | 0.00            |

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# 2016 Tax Information Statement

Account Number: [REDACTED]

Recipient's Tax ID Number: [REDACTED]

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Disposition of Property                  | Date Sold  | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Ordinary | Capital | State Tax |
|------------------------------------------|------------|---------------|----------|---------------------|-----------------|----------------------|------------------|----------|---------|-----------|
| 38.22 AQR EQUITY MARKET NEUTRAL I        |            |               |          |                     |                 |                      |                  |          |         |           |
| 00191K799                                | 11/03/2016 | 05/10/2016    | 455.96   | 443.74              |                 |                      | 12.22            | 0.00     | 0.00    | 0.00      |
| 118.087 AQR EQUITY MARKET NEUTRAL I      |            |               |          |                     |                 |                      |                  |          |         |           |
| 00191K799                                | 11/08/2016 | 05/10/2016    | 1,407.60 | 1,370.99            |                 |                      | 36.61            | 0.00     | 0.00    | 0.00      |
| 41.567 AQR EQUITY MARKET NEUTRAL I       |            |               |          |                     |                 |                      |                  |          |         |           |
| 00191K799                                | 11/08/2016 | 05/10/2016    | 495.48   | 485.92              |                 |                      | 9.56             | 0.00     | 0.00    | 0.00      |
| 357.584 AQR EQUITY MARKET NEUTRAL I      |            |               |          |                     |                 |                      |                  |          |         |           |
| 00191K799                                | 11/08/2016 | 07/06/2016    | 4,282.40 | 4,140.82            |                 |                      | 121.58           | 0.00     | 0.00    | 0.00      |
| 9.524 AQR MANAGED FUTURES STRATEGY HV I  |            |               |          |                     |                 |                      |                  |          |         |           |
| 00203H461                                | 06/14/2016 | 05/10/2016    | 101.62   | 99.14               |                 |                      | 2.48             | 0.00     | 0.00    | 0.00      |
| 48.544 AQR MANAGED FUTURES STRATEGY HV I |            |               |          |                     |                 |                      |                  |          |         |           |
| 00203H461                                | 07/06/2016 | 05/10/2016    | 545.64   | 505.35              |                 |                      | 40.29            | 0.00     | 0.00    | 0.00      |
| 9.374 AQR MANAGED FUTURES STRATEGY HV I  |            |               |          |                     |                 |                      |                  |          |         |           |
| 00203H461                                | 11/08/2016 | 05/10/2016    | 92.43    | 97.58               |                 |                      | -5.15            | 0.00     | 0.00    | 0.00      |
| 59.128 AQR MANAGED FUTURES STRATEGY HV I |            |               |          |                     |                 |                      |                  |          |         |           |
| 00203H461                                | 11/08/2016 | 06/24/2016    | 583.00   | 646.27              |                 |                      | -63.27           | 0.00     | 0.00    | 0.00      |
| 41.592 AQR MANAGED FUTURES STRATEGY HV I |            |               |          |                     |                 |                      |                  |          |         |           |
| 00203H461                                | 11/08/2016 | 06/29/2016    | 410.10   | 456.26              |                 |                      | -46.16           | 0.00     | 0.00    | 0.00      |

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# 2016 Tax Information Statement

Page 10 of 54

Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:

Recipient's Tax ID Number:

☐ Corrected

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FIFTH THIRD BANK  
P O BOX 630858  
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| Cust<br>Number | Description of Property                              | Date<br>Acquired | Date<br>Disposed | Gross<br>Proceeds | Cost or<br>Other Basis | Market<br>Discount | Capital<br>Gains | Ordinary<br>Loss | Federal<br>Income<br>Tax | State<br>Tax | Withheld |
|----------------|------------------------------------------------------|------------------|------------------|-------------------|------------------------|--------------------|------------------|------------------|--------------------------|--------------|----------|
|                |                                                      |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 14.832         | AQR MANAGED FUTURES STRATEGY HV I                    |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 00203H461      |                                                      | 11/08/2016       | 11/03/2016       | 146.24            | 146.39                 |                    |                  | -0.15            | 0.00                     | 0.00         | 0.00     |
| 9.031          | ASG MANAGED FUTURES STRAG FD                         |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 63872T729      |                                                      | 08/14/2016       | 05/10/2016       | 98.17             | 96.18                  |                    |                  | 1.99             | 0.00                     | 0.00         | 0.00     |
| 42.522         | ASG MANAGED FUTURES STRAG FD                         |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 63872T729      |                                                      | 07/06/2016       | 05/10/2016       | 474.12            | 452.86                 |                    |                  | 21.26            | 0.00                     | 0.00         | 0.00     |
| 113.866        | BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 66538F645      |                                                      | 06/14/2016       | 05/10/2016       | 1,165.99          | 1,134.11               |                    |                  | 31.88            | 0.00                     | 0.00         | 0.00     |
| 431.393        | BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 66538F645      |                                                      | 08/24/2016       | 05/10/2016       | 4,391.58          | 4,296.67               |                    |                  | 94.91            | 0.00                     | 0.00         | 0.00     |
| 24.729         | BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 66538F645      |                                                      | 11/03/2016       | 05/10/2016       | 251.74            | 246.30                 |                    |                  | 5.44             | 0.00                     | 0.00         | 0.00     |
| 245.344        | BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 66538F645      |                                                      | 11/08/2016       | 05/10/2016       | 2,534.40          | 2,443.63               |                    |                  | 90.77            | 0.00                     | 0.00         | 0.00     |
| 106.268        | BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 66538F645      |                                                      | 11/08/2016       | 06/29/2016       | 1,097.75          | 1,087.12               |                    |                  | 10.63            | 0.00                     | 0.00         | 0.00     |
| 106.457        | BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |                  |                  |                   |                        |                    |                  |                  |                          |              |          |
| 66538F645      |                                                      | 11/08/2016       | 06/30/2016       | 1,099.70          | 1,096.51               |                    |                  | 3.19             | 0.00                     | 0.00         | 0.00     |

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# 2016 Tax Information Statement

Page 11 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
Recipient's Tax ID Number:  
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property                                      | Date Sold | Date Disposed | Proceeds | Cost or Other Basis | Market Discount | Washloss | Net Gain | Federal Income Tax | State Tax |
|--------------------------------------------------------------|-----------|---------------|----------|---------------------|-----------------|----------|----------|--------------------|-----------|
| 101.427 BALTER L/S SMALL CAP EQUITY FUND INSTITUTIONAL CLASS |           |               |          |                     |                 |          |          |                    |           |
| 65538F645 11/08/2016 09/02/2016                              | 1,047.74  | 1,084.25      |          |                     |                 |          | -36.51   | 0.00               | 0.00      |
| 29.0 BARCLAYS BK PLC IPATH S&P 500 VIX SHORT TERM            |           |               |          |                     |                 |          |          |                    |           |
| 06740Q252 11/04/2016 11/02/2016                              | 1,068.59  | 1,041.67      |          |                     |                 |          | 26.92    | 0.00               | 0.00      |
| 855.723 BOSTON PARTNERS LONG/SHORT RESEARCH INSTL            |           |               |          |                     |                 |          |          |                    |           |
| 74925K581 05/10/2016 07/20/2015                              | 12,741.72 | 13,340.72     |          |                     |                 |          | -599.00  | 0.00               | 0.00      |
| 84.459 BOSTON PARTNERS LONG/SHORT RESEARCH INSTL             |           |               |          |                     |                 |          |          |                    |           |
| 74925K581 06/14/2016 07/20/2015                              | 1,249.99  | 1,316.72      |          |                     |                 |          | -66.73   | 0.00               | 0.00      |
| 126.134 BOSTON PARTNERS LONG/SHORT RESEARCH INSTL            |           |               |          |                     |                 |          |          |                    |           |
| 74925K581 07/06/2016 07/20/2015                              | 1,854.17  | 1,966.43      |          |                     |                 |          | -112.26  | 0.00               | 0.00      |
| 5.0 BRISTOL MYERS SQUIBB CO                                  |           |               |          |                     |                 |          |          |                    |           |
| 110122108 06/14/2016 06/02/2016                              | 361.49    | 364.46        |          |                     |                 |          | -2.97    | 0.00               | 0.00      |
| 4.0 BRISTOL MYERS SQUIBB CO                                  |           |               |          |                     |                 |          |          |                    |           |
| 110122108 06/23/2016 06/02/2016                              | 289.39    | 291.57        |          |                     |                 |          | -2.18    | 0.00               | 0.00      |
| 7.0 BRISTOL MYERS SQUIBB CO                                  |           |               |          |                     |                 |          |          |                    |           |
| 110122108 07/11/2016 06/02/2016                              | 526.80    | 510.24        |          |                     |                 |          | 16.56    | 0.00               | 0.00      |
| 20.0 BRISTOL MYERS SQUIBB CO                                 |           |               |          |                     |                 |          |          |                    |           |
| 110122108 09/26/2016 08/09/2016                              | 1,114.10  | 1,227.76      |          |                     |                 |          | -113.66  | 0.00               | 0.00      |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9883

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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| Description of Property           | Crisp Number | Date Sold/Disposed | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Ordinary | Capital | Federal Income Tax | State Tax | Withheld |
|-----------------------------------|--------------|--------------------|---------------|----------|---------------------|-----------------|----------------------|------------------|----------|---------|--------------------|-----------|----------|
| 78.0 BRISTOL MYERS SQUIBB CO      |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| 110122108                         |              | 09/27/2016         | 06/02/2016    | 4,351.25 | 5,685.54            |                 |                      | -1,334.29        |          |         | 0.00               |           | 0.00     |
| 1.0 BRISTOL MYERS SQUIBB CO       |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| 110122108                         |              | 09/27/2016         | 08/09/2016    | 55.79    | 60.97               |                 |                      | -5.18            |          |         | 0.00               |           | 0.00     |
| 3.0 BROADCOM LTD SHS [QDI]        |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| Y09827109                         |              | 06/14/2016         | 06/02/2016    | 476.69   | 463.69              |                 |                      | 13.00            |          |         | 0.00               |           | 0.00     |
| 2.0 BROADCOM LTD SHS [QDI]        |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| Y09827109                         |              | 06/23/2016         | 06/02/2016    | 313.91   | 309.13              |                 |                      | 4.78             |          |         | 0.00               |           | 0.00     |
| 4.0 BROADCOM LTD SHS [QDI]        |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| Y09827109                         |              | 07/11/2016         | 06/02/2016    | 629.10   | 618.26              |                 |                      | 10.84            |          |         | 0.00               |           | 0.00     |
| 3.0 BROADCOM LTD SHS [QDI]        |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| Y09827109                         |              | 09/23/2016         | 06/02/2016    | 500.75   | 463.69              |                 |                      | 37.06            |          |         | 0.00               |           | 0.00     |
| 19.0 BROADRIDGE FINANCIAL SOLUTIO |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| 11133T103                         |              | 06/02/2016         | 07/23/2015    | 1,216.95 | 1,020.68            |                 |                      | 196.27           |          |         | 0.00               |           | 0.00     |
| 7.0 BROADRIDGE FINANCIAL SOLUTIO  |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| 11133T103                         |              | 06/14/2016         | 07/23/2015    | 447.78   | 376.04              |                 |                      | 71.74            |          |         | 0.00               |           | 0.00     |
| 4.0 BROADRIDGE FINANCIAL SOLUTIO  |              |                    |               |          |                     |                 |                      |                  |          |         |                    |           |          |
| 11133T103                         |              | 06/23/2016         | 07/23/2015    | 258.63   | 214.88              |                 |                      | 43.75            |          |         | 0.00               |           | 0.00     |

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# 2016 Tax Information Statement

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Account Number:  
Recipient's Tax ID Number:

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| CUSIP<br>Number | Description of Property        | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------------|--------------------------------|-----------------------|---------------|----------|---------------------|-----------------|----------------------|------------------|-----------------------------|--------------------|
|                 |                                |                       |               |          |                     |                 |                      |                  |                             |                    |
| 9.0             | BROADBRIDGE FINANCIAL SOLUTION |                       |               |          |                     |                 |                      |                  |                             |                    |
| 11133T103       |                                | 07/11/2016            | 07/23/2015    | 602.98   | 483.48              |                 |                      | 119.50           | 0.00                        | 0.00               |
| 6.0             | CAMPBELL SOUP CO               |                       |               |          |                     |                 |                      |                  |                             |                    |
| 134429109       |                                | 06/14/2016            | 02/03/2016    | 370.49   | 338.91              |                 |                      | 31.58            | 0.00                        | 0.00               |
| 4.0             | CAMPBELL SOUP CO               |                       |               |          |                     |                 |                      |                  |                             |                    |
| 134429109       |                                | 06/23/2016            | 02/03/2016    | 249.63   | 225.94              |                 |                      | 23.69            | 0.00                        | 0.00               |
| 9.0             | CAMPBELL SOUP CO               |                       |               |          |                     |                 |                      |                  |                             |                    |
| 134429109       |                                | 07/11/2016            | 02/03/2016    | 599.92   | 508.36              |                 |                      | 91.56            | 0.00                        | 0.00               |
| 21.0            | CAMPBELL SOUP CO               |                       |               |          |                     |                 |                      |                  |                             |                    |
| 134429109       |                                | 09/26/2016            | 02/03/2016    | 1,146.76 | 1,186.18            |                 |                      | -39.42           | 0.00                        | 0.00               |
| 2.0             | CAMPBELL SOUP CO               |                       |               |          |                     |                 |                      |                  |                             |                    |
| 134429109       |                                | 09/26/2016            | 02/03/2016    | 109.26   | 112.97              |                 |                      | -3.71            | 0.00                        | 0.00               |
| 68.0            | CAMPBELL SOUP CO               |                       |               |          |                     |                 |                      |                  |                             |                    |
| 134429109       |                                | 09/27/2016            | 02/03/2016    | 3,725.85 | 3,840.98            |                 |                      | -115.13          | 0.00                        | 0.00               |
| 80.0            | CARDINAL HEALTH INC            |                       |               |          |                     |                 |                      |                  |                             |                    |
| 14149Y108       |                                | 06/03/2016            | 12/07/2015    | 6,371.08 | 7,080.47            |                 |                      | -709.39          | 0.00                        | 0.00               |
| 15.0            | CDW CORP                       |                       |               |          |                     |                 |                      |                  |                             |                    |
| 12514G108       |                                | 06/14/2016            | 07/23/2015    | 627.59   | 518.68              |                 |                      | 108.91           | 0.00                        | 0.00               |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
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☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property                    | Quantity   | Date Sold  | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Sale Disallowed | Net Gain or Loss | Federal Income Tax | State Tax |
|--------------------------------------------|------------|------------|---------------|----------|---------------------|-----------------|----------------------|------------------|--------------------|-----------|
|                                            |            |            |               |          |                     |                 |                      |                  |                    |           |
| 7.0 CDW CORP                               |            |            |               |          |                     |                 |                      |                  |                    |           |
| 12514G108                                  | 06/23/2016 | 07/23/2015 |               | 291.19   | 242.05              |                 |                      | 49.14            | 0.00               | 0.00      |
| 13.0 CDW CORP                              |            |            |               |          |                     |                 |                      |                  |                    |           |
| 12514G108                                  | 07/11/2016 | 07/23/2015 |               | 531.94   | 449.52              |                 |                      | 82.42            | 0.00               | 0.00      |
| 3.0 CHUBB LIMITED ISIN# CH0044328745 [QDI] |            |            |               |          |                     |                 |                      |                  |                    |           |
| H1467J104                                  | 06/14/2016 | 06/03/2016 |               | 372.20   | 382.03              |                 | 9.83                 | 0.00             | 0.00               | 0.00      |
| 2.0 CHUBB LIMITED ISIN# CH0044328745 [QDI] |            |            |               |          |                     |                 |                      |                  |                    |           |
| H1467J104                                  | 06/23/2016 | 06/03/2016 |               | 255.39   | 254.69              |                 |                      | 0.70             | 0.00               | 0.00      |
| 5.0 CHUBB LIMITED ISIN# CH0044328745 [QDI] |            |            |               |          |                     |                 |                      |                  |                    |           |
| H1467J104                                  | 07/11/2016 | 06/03/2016 |               | 649.88   | 636.72              |                 |                      | 13.16            | 0.00               | 0.00      |
| 4.0 CHUBB LIMITED ISIN# CH0044328745 [QDI] |            |            |               |          |                     |                 |                      |                  |                    |           |
| H1467J104                                  | 09/23/2016 | 06/03/2016 |               | 503.08   | 509.38              |                 |                      | -6.30            | 0.00               | 0.00      |
| 124.0 CITIGROUP INC                        |            |            |               |          |                     |                 |                      |                  |                    |           |
| 172967424                                  | 02/03/2016 | 08/20/2015 |               | 4,862.50 | 6,886.90            |                 |                      | -2,024.40        | 0.00               | 0.00      |
| 21.0 CMS ENERGY CORP                       |            |            |               |          |                     |                 |                      |                  |                    |           |
| 125896100                                  | 02/02/2016 | 07/23/2015 |               | 827.99   | 687.48              |                 |                      | 140.51           | 0.00               | 0.00      |
| 7.0 CMS ENERGY CORP                        |            |            |               |          |                     |                 |                      |                  |                    |           |
| 125896100                                  | 06/14/2016 | 07/23/2015 |               | 302.60   | 229.16              |                 |                      | 73.44            | 0.00               | 0.00      |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9883

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

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FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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| Description of Property | Cost or Other Basis | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Capital Gain or Loss | Ordinary Gain or Loss | State Tax | Federal Income Tax |
|-------------------------|---------------------|-----------------------|---------------|----------|---------------------|----------------------|-----------------------|-----------|--------------------|
|                         |                     |                       |               |          |                     |                      |                       |           |                    |
| 6.0 CMS ENERGY CORP     |                     |                       |               |          |                     |                      |                       |           |                    |
| 125896100               | 06/23/2016          | 07/23/2015            |               | 260.21   | 196.42              | 63.79                | 0.00                  | 0.00      | 0.00               |
| 11.0 CMS ENERGY CORP    |                     |                       |               |          |                     |                      |                       |           |                    |
| 125896100               | 07/11/2016          | 07/23/2015            |               | 498.94   | 360.11              | 138.83               | 0.00                  | 0.00      | 0.00               |
| 12.0 D R HORTON INC COM |                     |                       |               |          |                     |                      |                       |           |                    |
| 23331A109               | 06/14/2016          | 08/20/2015            |               | 367.19   | 380.86              | -13.67               | 0.00                  | 0.00      | 0.00               |
| 9.0 D R HORTON INC COM  |                     |                       |               |          |                     |                      |                       |           |                    |
| 23331A109               | 06/23/2016          | 08/20/2015            |               | 279.08   | 285.64              | -6.56                | 0.00                  | 0.00      | 0.00               |
| 17.0 D R HORTON INC COM |                     |                       |               |          |                     |                      |                       |           |                    |
| 23331A109               | 07/11/2016          | 08/20/2015            |               | 575.60   | 539.55              | 36.05                | 0.00                  | 0.00      | 0.00               |
| 4.0 DOLLAR GEN CORP     |                     |                       |               |          |                     |                      |                       |           |                    |
| 256677105               | 06/14/2016          | 06/03/2016            |               | 361.39   | 365.49              | -4.10                | 0.00                  | 0.00      | 0.00               |
| 2.0 DOLLAR GEN CORP     |                     |                       |               |          |                     |                      |                       |           |                    |
| 256677105               | 06/23/2016          | 06/03/2016            |               | 183.57   | 182.74              | 0.83                 | 0.00                  | 0.00      | 0.00               |
| 7.0 DOLLAR GEN CORP     |                     |                       |               |          |                     |                      |                       |           |                    |
| 256677105               | 07/11/2016          | 06/03/2016            |               | 659.80   | 639.60              | 20.20                | 0.00                  | 0.00      | 0.00               |
| 43.0 DOLLAR GEN CORP    |                     |                       |               |          |                     |                      |                       |           |                    |
| 256677105               | 09/26/2016          | 06/03/2016            |               | 3,082.28 | 3,928.99            | -846.71              | 0.00                  | 0.00      | 0.00               |

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# 2016 Tax Information Statement

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Recipients Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
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7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
Recipients Tax ID Number:

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FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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| Description of property                | Cost or<br>Other Basis | Proceeds | Market<br>Discount | Net Gain<br>or Loss | Federal<br>Income<br>Tax | State<br>Income<br>Tax | Withheld<br>Foreign<br>Tax |
|----------------------------------------|------------------------|----------|--------------------|---------------------|--------------------------|------------------------|----------------------------|
| 12.0 DOLLAR GEN CORP                   |                        |          |                    |                     |                          |                        |                            |
| 256677105 09/26/2016 06/03/2016        | 860.62                 | 1,096.46 |                    | -235.84             | 0.00                     | 0.00                   | 0.00                       |
| 3.0 DOLLAR GEN CORP                    |                        |          |                    |                     |                          |                        |                            |
| 256677105 09/26/2016 06/03/2016        | 215.13                 | 274.12   |                    | -58.99              | 0.00                     | 0.00                   | 0.00                       |
| 159.222 DOUBLELINE FLEXIBLE INCOME FDI |                        |          |                    |                     |                          |                        |                            |
| 258620798 06/14/2016 05/10/2016        | 1,539.68               | 1,536.49 |                    | 3.19                | 0.00                     | 0.00                   | 0.00                       |
| 3.099 DOUBLELINE FLEXIBLE INCOME FDI   |                        |          |                    |                     |                          |                        |                            |
| 258620798 07/06/2016 05/10/2016        | 30.06                  | 29.91    |                    | 0.15                | 0.00                     | 0.00                   | 0.00                       |
| 57.465 DOUBLELINE FLEXIBLE INCOME FDI  |                        |          |                    |                     |                          |                        |                            |
| 258620798 09/02/2016 05/10/2016        | 563.16                 | 554.54   |                    | 8.62                | 0.00                     | 0.00                   | 0.00                       |
| 19.995 DOUBLELINE FLEXIBLE INCOME FDI  |                        |          |                    |                     |                          |                        |                            |
| 258620798 11/03/2016 05/10/2016        | 195.95                 | 192.95   |                    | 3.00                | 0.00                     | 0.00                   | 0.00                       |
| 880.728 DOUBLELINE FLEXIBLE INCOME FDI |                        |          |                    |                     |                          |                        |                            |
| 258620798 11/14/2016 05/10/2016        | 8,578.29               | 8,499.02 |                    | 79.27               | 0.00                     | 0.00                   | 0.00                       |
| 13.0 DR PEPPER SNAPPLE GROUP INC       |                        |          |                    |                     |                          |                        |                            |
| 26138E109 02/03/2016 07/23/2015        | 1,222.18               | 1,005.81 |                    | 216.37              | 0.00                     | 0.00                   | 0.00                       |
| 5.0 DR PEPPER SNAPPLE GROUP INC        |                        |          |                    |                     |                          |                        |                            |
| 26138E109 02/04/2016 07/23/2015        | 459.18                 | 386.85   |                    | 72.33               | 0.00                     | 0.00                   | 0.00                       |

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# 2016 Tax Information Statement

Page 17 of 54

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THE JAMIE PARSLEY FAMILY FDN  
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CINCINNATI, OH 45263-0858

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Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

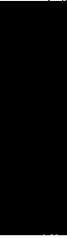
| Description of Property         | Cusip Number | Date Sold/Disposed | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash. Loss | Deallowed | Net Gain/Loss | Federal Income Tax | State Tax |
|---------------------------------|--------------|--------------------|---------------|----------|---------------------|-----------------|------------|-----------|---------------|--------------------|-----------|
| 5.0 DR PEPPER SNAPPLE GROUP INC |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 26138E109                       | 06/23/2016   | 07/23/2015         |               | 461.03   | 386.85              |                 |            |           | 74.18         | 0.00               | 0.00      |
| 6.0 DR PEPPER SNAPPLE GROUP INC |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 26138E109                       | 07/11/2016   | 07/23/2015         |               | 578.50   | 464.22              |                 |            |           | 114.28        | 0.00               | 0.00      |
| 14.0 ELECTRONIC ARTS INC        |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 285512109                       | 06/02/2016   | 02/03/2016         |               | 1,071.35 | 854.95              |                 |            |           | 216.40        | 0.00               | 0.00      |
| 5.0 ELECTRONIC ARTS INC         |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 285512109                       | 06/14/2016   | 02/03/2016         |               | 367.79   | 305.34              |                 |            |           | 62.45         | 0.00               | 0.00      |
| 4.0 ELECTRONIC ARTS INC         |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 285512109                       | 06/23/2016   | 02/03/2016         |               | 302.95   | 244.27              |                 |            |           | 58.68         | 0.00               | 0.00      |
| 7.0 ELECTRONIC ARTS INC         |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 285512109                       | 07/11/2016   | 02/03/2016         |               | 553.54   | 427.47              |                 |            |           | 126.07        | 0.00               | 0.00      |
| 65.0 ELECTRONIC ARTS INC        |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 285512109                       | 08/09/2016   | 02/03/2016         |               | 5,137.33 | 3,969.38            |                 |            |           | 1,167.95      | 0.00               | 0.00      |
| 13.0 ELECTRONIC ARTS INC        |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 285512109                       | 08/10/2016   | 02/03/2016         |               | 1,024.15 | 793.88              |                 |            |           | 230.27        | 0.00               | 0.00      |
| 4.0 FACEBOOK INC                |              |                    |               |          |                     |                 |            |           |               |                    |           |
| 30303M102                       | 09/23/2016   | 08/09/2016         |               | 511.20   | 503.73              |                 |            |           | 7.47          | 0.00               | 0.00      |

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# 2016 Tax Information Statement

Page 18 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683



Account Number:  
Recipient's Tax ID Number:

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property                  | Crisp Number | Date Sold or Disposed | Proceeds   | Cost or Other Basis | Market Discount | Washloss Disallowed | Net Gain or Loss | Ordinary Dividend | Capital Gain | Federal Income Tax | State Tax | Unrelated |
|------------------------------------------|--------------|-----------------------|------------|---------------------|-----------------|---------------------|------------------|-------------------|--------------|--------------------|-----------|-----------|
| 49.0 GENERAL DYNAMICS CORP               |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 369550108                                |              | 06/03/2016            | 07/23/2015 | 6,854.03            | 7,162.33        |                     | -308.30          |                   |              | 0.00               | 0.00      | 0.00      |
| 22.0 GENERAL ELEC CO                     |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 369604103                                |              | 06/14/2016            | 12/07/2015 | 659.55              | 666.79          |                     | -7.24            |                   |              | 0.00               | 0.00      | 0.00      |
| 10.0 GENERAL ELEC CO                     |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 369604103                                |              | 06/23/2016            | 12/07/2015 | 310.89              | 303.09          |                     | 7.80             |                   |              | 0.00               | 0.00      | 0.00      |
| 20.0 GENERAL ELEC CO                     |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 369604103                                |              | 07/11/2016            | 12/07/2015 | 643.98              | 606.17          |                     | 37.81            |                   |              | 0.00               | 0.00      | 0.00      |
| 208.0 GENERAL ELEC CO                    |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 369604103                                |              | 08/09/2016            | 12/07/2015 | 6,489.23            | 6,304.21        |                     | 185.02           |                   |              | 0.00               | 0.00      | 0.00      |
| 11.0 GILEAD SCIENCES INC COM             |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 375558103                                |              | 06/02/2016            | 02/03/2016 | 950.69              | 935.91          |                     | 14.78            |                   |              | 0.00               | 0.00      | 0.00      |
| 35.0 GOLDMAN SACHS GROUP                 |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 38141G104                                |              | 02/03/2016            | 07/23/2015 | 5,231.09            | 7,447.30        |                     | -2,216.21        |                   |              | 0.00               | 0.00      | 0.00      |
| 162.238 GOLDMAN SACHS L/S CREDITSTRATEGY |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 38145L257                                |              | 06/14/2016            | 05/10/2016 | 1,552.62            | 1,549.37        |                     | 3.25             |                   |              | 0.00               | 0.00      | 0.00      |
| 2.942 GOLDMAN SACHS L/S CREDITSTRATEGY   |              |                       |            |                     |                 |                     |                  |                   |              |                    |           |           |
| 38145L257                                |              | 07/06/2016            | 05/10/2016 | 28.13               | 28.10           |                     | 0.03             |                   |              | 0.00               | 0.00      | 0.00      |

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# 2016 Tax Information Statement

Page 19 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
Recipient's Tax ID Number:



☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Disposition | Symbol                           | Date Sold  | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Washd. Loss | Net Gain | Federal Income Tax | State Tax |
|-------------|----------------------------------|------------|---------------|----------|---------------------|-----------------|-------------|----------|--------------------|-----------|
| 54.214      | GOLDMAN SACHS L/S CREDITSTRATEGY |            |               |          |                     |                 |             |          |                    |           |
| 381451257   | 09/02/2016                       | 05/10/2016 |               | 526.96   | 517.74              |                 |             | 9.22     | 0.00               | 0.00      |
| 4.356       | GOLDMAN SACHS L/S CREDITSTRATEGY |            |               |          |                     |                 |             |          |                    |           |
| 381451257   | 11/03/2016                       | 05/10/2016 |               | 41.56    | 41.60               |                 |             | -0.04    | 0.00               | 0.00      |
| 903.78      | GOLDMAN SACHS L/S CREDITSTRATEGY |            |               |          |                     |                 |             |          |                    |           |
| 381451257   | 11/08/2016                       | 05/10/2016 |               | 8,613.02 | 8,631.10            |                 |             | -18.08   | 0.00               | 0.00      |
| 9.0         | HARTFORD FINANCIAL SVCS GRP      |            |               |          |                     |                 |             |          |                    |           |
| 416515104   | 06/14/2016                       | 08/20/2015 |               | 395.27   | 437.02              |                 |             | -41.75   | 0.00               | 0.00      |
| 7.0         | HARTFORD FINANCIAL SVCS GRP      |            |               |          |                     |                 |             |          |                    |           |
| 416515104   | 06/23/2016                       | 08/20/2015 |               | 313.52   | 339.91              |                 |             | -26.39   | 0.00               | 0.00      |
| 13.0        | HARTFORD FINANCIAL SVCS GRP      |            |               |          |                     |                 |             |          |                    |           |
| 416515104   | 07/11/2016                       | 08/20/2015 |               | 568.86   | 631.26              |                 |             | -62.40   | 0.00               | 0.00      |
| 28.0        | HARTFORD FINANCIAL SVCS GRP      |            |               |          |                     |                 |             |          |                    |           |
| 416515104   | 08/09/2016                       | 08/20/2015 |               | 1,130.51 | 1,359.63            |                 |             | -229.12  | 0.00               | 0.00      |
| 22.0        | HARTFORD FINANCIAL SVCS GRP      |            |               |          |                     |                 |             |          |                    |           |
| 416515104   | 08/09/2016                       | 08/21/2015 |               | 888.25   | 1,052.21            |                 |             | -163.96  | 0.00               | 0.00      |
| 65.0        | HARTFORD FINANCIAL SVCS GRP      |            |               |          |                     |                 |             |          |                    |           |
| 416515104   | 08/10/2016                       | 08/21/2015 |               | 2,601.00 | 3,108.80            |                 |             | -507.80  | 0.00               | 0.00      |

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# 2016 Tax Information Statement

Page 20 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-JTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property          | Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax | State Tax | Withheld |
|----------------------------------|--------------|-----------------------|---------------|----------|---------------------|-----------------|----------------------|------------------|--------------------|-----------|----------|
| 24.0 HARTFORD FINANCIAL SVCS GRP |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 416515104                        | 08/10/2016   | 02/03/2016            |               | 937.09   |                     |                 |                      | 23.28            | 0.00               | 0.00      | 0.00     |
| 4.0 HONEYWELL INTERNATIONAL INC  |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 438516106                        | 06/14/2016   | 06/02/2016            |               | 460.27   | 457.56              |                 |                      | 2.71             | 0.00               | 0.00      | 0.00     |
| 3.0 HONEYWELL INTERNATIONAL INC  |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 438516106                        | 06/23/2016   | 06/02/2016            |               | 350.87   | 343.17              |                 |                      | 7.70             | 0.00               | 0.00      | 0.00     |
| 5.0 HONEYWELL INTERNATIONAL INC  |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 438516106                        | 07/11/2016   | 06/02/2016            |               | 593.18   | 571.96              |                 |                      | 21.22            | 0.00               | 0.00      | 0.00     |
| 16.0 INTERPUBLIC GROUP COS INC   |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 460690100                        | 06/14/2016   | 12/07/2015            |               | 377.11   | 375.78              |                 |                      | 1.33             | 0.00               | 0.00      | 0.00     |
| 12.0 INTERPUBLIC GROUP COS INC   |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 460690100                        | 06/23/2016   | 12/07/2015            |               | 295.07   | 281.83              |                 |                      | 13.24            | 0.00               | 0.00      | 0.00     |
| 24.0 INTERPUBLIC GROUP COS INC   |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 460690100                        | 07/11/2016   | 12/07/2015            |               | 575.74   | 563.66              |                 |                      | 12.08            | 0.00               | 0.00      | 0.00     |
| 15.0 INTERPUBLIC GROUP COS INC   |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 460690100                        | 08/09/2016   | 12/07/2015            |               | 341.39   | 352.29              |                 |                      | -10.90           | 0.00               | 0.00      | 0.00     |
| 14.0 INTERPUBLIC GROUP COS INC   |              |                       |               |          |                     |                 |                      |                  |                    |           |          |
| 460690100                        | 08/09/2016   | 12/08/2015            |               | 318.63   | 327.68              |                 |                      | -9.05            | 0.00               | 0.00      | 0.00     |

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# 2016 Tax Information Statement

Page 21 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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| Description of Property         | Cusip      | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Capital Gain or Loss | Wash Loss | Market Discount | Net Gain or Loss | Income Tax | State Tax | Withheld |
|---------------------------------|------------|-----------------------|---------------|----------|---------------------|----------------------|-----------|-----------------|------------------|------------|-----------|----------|
| 217.0 INTERPUBLIC GROUP COS INC |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 460690100                       | 08/09/2016 | 12/08/2015            |               | 4,938.82 | 5,115.78            | -176.96              |           |                 | 0.00             | 0.00       |           | 0.00     |
| 6.0 J P MORGAN CHASE & CO       |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 46625H100                       | 06/14/2016 | 02/03/2016            |               | 376.61   | 340.44              | 36.17                |           |                 | 0.00             | 0.00       |           | 0.00     |
| 5.0 J P MORGAN CHASE & CO       |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 46625H100                       | 06/23/2016 | 02/03/2016            |               | 319.59   | 283.70              | 35.89                |           |                 | 0.00             | 0.00       |           | 0.00     |
| 9.0 J P MORGAN CHASE & CO       |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 46625H100                       | 07/11/2016 | 02/03/2016            |               | 561.22   | 510.66              | 50.56                |           |                 | 0.00             | 0.00       |           | 0.00     |
| 11.0 J P MORGAN CHASE & CO      |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 46625H100                       | 09/23/2016 | 02/03/2016            |               | 741.16   | 624.14              | 117.02               |           |                 | 0.00             | 0.00       |           | 0.00     |
| 14.0 KIMBERLY CLARK CORP        |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 494368103                       | 02/03/2016 | 07/23/2015            |               | 1,802.37 | 1,561.70            | 240.67               |           |                 | 0.00             | 0.00       |           | 0.00     |
| 3.0 KIMBERLY CLARK CORP         |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 494368103                       | 06/14/2016 | 07/23/2015            |               | 392.57   | 334.65              | 57.92                |           |                 | 0.00             | 0.00       |           | 0.00     |
| 2.0 KIMBERLY CLARK CORP         |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 494368103                       | 06/23/2016 | 07/23/2015            |               | 266.79   | 223.10              | 43.69                |           |                 | 0.00             | 0.00       |           | 0.00     |
| 4.0 KIMBERLY CLARK CORP         |            |                       |               |          |                     |                      |           |                 |                  |            |           |          |
| 494368103                       | 07/11/2016 | 07/23/2015            |               | 544.22   | 446.20              | 98.02                |           |                 | 0.00             | 0.00       |           | 0.00     |

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# 2016 Tax Information Statement

Page 22 of 54

Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:

Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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| Description of Property | Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Capital Gains or Losses | Ordinary Income or Loss | Net Gain or Loss | Federal Income Tax | State Income Tax |
|-------------------------|--------------|-----------------------|---------------|----------|---------------------|-------------------------|-------------------------|------------------|--------------------|------------------|
|                         |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 18.0 KROGER CO          |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 501044101               | 02/03/2016   | 07/23/2015            |               | 716.71   | 702.54              |                         |                         | 14.17            | 0.00               | 0.00             |
| 13.0 KROGER CO          |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 501044101               | 02/03/2016   | 07/23/2015            |               | 516.87   | 507.39              |                         |                         | 9.48             | 0.00               | 0.00             |
| 8.0 KROGER CO           |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 501044101               | 06/14/2016   | 07/23/2015            |               | 285.19   | 312.24              |                         |                         | -27.05           | 0.00               | 0.00             |
| 7.0 KROGER CO           |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 501044101               | 06/23/2016   | 07/23/2015            |               | 240.93   | 273.21              |                         |                         | -32.28           | 0.00               | 0.00             |
| 12.0 KROGER CO          |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 501044101               | 07/11/2016   | 07/23/2015            |               | 450.23   | 468.36              |                         |                         | -18.13           | 0.00               | 0.00             |
| 5.0 LAM RESH CORP COM   |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 512807108               | 02/03/2016   | 08/20/2015            |               | 350.75   | 357.03              |                         |                         | -6.28            | 0.00               | 0.00             |
| 5.0 LAM RESH CORP COM   |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 512807108               | 06/14/2016   | 08/20/2015            |               | 409.34   | 357.03              |                         |                         | 52.31            | 0.00               | 0.00             |
| 4.0 LAM RESH CORP COM   |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 512807108               | 06/23/2016   | 08/20/2015            |               | 347.35   | 285.62              |                         |                         | 61.73            | 0.00               | 0.00             |
| 8.0 LAM RESH CORP COM   |              |                       |               |          |                     |                         |                         |                  |                    |                  |
| 512807108               | 07/11/2016   | 08/20/2015            |               | 690.38   | 571.24              |                         |                         | 119.14           | 0.00               | 0.00             |

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# 2016 Tax Information Statement

Page 23 of 54

Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
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| Description of Property |                   | Date Sold  | Date Acquired | Proceeds | Cost or Other Basis | Market Price at Disposal | Wash Loss or Disallowed | Net Gain or Loss | Federal Income Tax | State Tax | Withheld |
|-------------------------|-------------------|------------|---------------|----------|---------------------|--------------------------|-------------------------|------------------|--------------------|-----------|----------|
| 13.0                    | LAM RESH CORP COM |            |               |          |                     |                          |                         |                  |                    |           |          |
| 512807108               | 08/09/2016        | 08/20/2015 |               | 1,209.10 | 928.27              |                          |                         | 280.83           | 0.00               | 0.00      | 0.00     |
| 5.0                     | LEAR CORP         |            |               |          |                     |                          |                         |                  |                    |           |          |
| 521865204               | 06/14/2016        | 12/07/2015 |               | 567.39   | 626.05              |                          |                         | -58.66           | 0.00               | 0.00      | 0.00     |
| 2.0                     | LEAR CORP         |            |               |          |                     |                          |                         |                  |                    |           |          |
| 521865204               | 06/23/2016        | 12/07/2015 |               | 231.19   | 250.42              |                          |                         | -19.23           | 0.00               | 0.00      | 0.00     |
| 4.0                     | LEAR CORP         |            |               |          |                     |                          |                         |                  |                    |           |          |
| 521865204               | 07/11/2016        | 12/07/2015 |               | 429.63   | 500.83              |                          |                         | -71.20           | 0.00               | 0.00      | 0.00     |
| 13.0                    | LENNOX INTL INC   |            |               |          |                     |                          |                         |                  |                    |           |          |
| 526107107               | 09/26/2016        | 08/09/2016 |               | 2,046.95 | 2,052.54            |                          |                         | -5.59            | 0.00               | 0.00      | 0.00     |
| 16.0                    | LENNOX INTL INC   |            |               |          |                     |                          |                         |                  |                    |           |          |
| 526107107               | 09/26/2016        | 08/09/2016 |               | 2,519.16 | 2,526.20            |                          |                         | -7.04            | 0.00               | 0.00      | 0.00     |
| 10.0                    | LENNOX INTL INC   |            |               |          |                     |                          |                         |                  |                    |           |          |
| 526107107               | 09/27/2016        | 08/09/2016 |               | 1,576.02 | 1,578.88            |                          |                         | -2.86            | 0.00               | 0.00      | 0.00     |
| 3.0                     | LOWES CO          |            |               |          |                     |                          |                         |                  |                    |           |          |
| 548661107               | 02/03/2016        | 07/23/2015 |               | 202.05   | 204.37              |                          |                         | -2.32            | 0.00               | 0.00      | 0.00     |
| 4.0                     | LOWES CO          |            |               |          |                     |                          |                         |                  |                    |           |          |
| 548661107               | 02/03/2016        | 07/23/2015 |               | 266.32   | 272.50              |                          |                         | -6.18            | 0.00               | 0.00      | 0.00     |

This is important tax information and is being furnished to you.

## Page 24 of 54

**Recipient's Name and Address:**

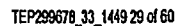
THE JAMIE PARSELY FAMILY FDN

7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

## 2nd TIN notice

[illegible]

**This is important tax information and is being furnished to you.**



# 2016 Tax Information Statement

Page 25 of 54

Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ FATCA

☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property              | Date Sold  | Date of Disposal | Date | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Ordinary | Capital | State Tax |
|--------------------------------------|------------|------------------|------|----------|---------------------|-----------------|----------------------|------------------|----------|---------|-----------|
|                                      |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 10.0 MICROSOFT CORP                  |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 594918104                            | 07/11/2016 | 07/23/2015       |      | 526.28   | 461.47              |                 |                      | 64.81            |          | 0.00    | 0.00      |
| 6.0 NASDAQ, INC                      |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 631103108                            | 06/14/2016 | 02/03/2016       |      | 388.43   | 368.15              |                 |                      | 20.28            |          | 0.00    | 0.00      |
| 4.0 NASDAQ, INC                      |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 631103108                            | 06/23/2016 | 02/03/2016       |      | 255.39   | 245.43              |                 |                      | 9.96             |          | 0.00    | 0.00      |
| 8.0 NASDAQ, INC                      |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 631103108                            | 07/11/2016 | 02/03/2016       |      | 531.18   | 490.86              |                 |                      | 40.32            |          | 0.00    | 0.00      |
| 7.0 NASDAQ, INC                      |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 631103108                            | 09/23/2016 | 02/03/2016       |      | 486.70   | 429.51              |                 |                      | 57.19            |          | 0.00    | 0.00      |
| 34.601 NORTHERN LTS FD TR II BALTER  |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 66538F660                            | 06/14/2016 | 05/10/2016       |      | 336.38   | 341.17              |                 |                      | -4.79            |          | 0.00    | 0.00      |
| 80.163 NORTHERN LTS FD TR II BALTER  |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 66538F660                            | 07/06/2016 | 05/10/2016       |      | 775.36   | 790.40              |                 |                      | -15.04           |          | 0.00    | 0.00      |
| 126.007 NORTHERN LTS FD TR II BALTER |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 66538F660                            | 11/08/2016 | 05/10/2016       |      | 1,227.31 | 1,242.43            |                 |                      | -15.12           |          | 0.00    | 0.00      |
| 23.0 OMEGA HEALTHCARE INVS INC COM   |            |                  |      |          |                     |                 |                      |                  |          |         |           |
| 681936100                            | 06/02/2016 | 07/23/2015       |      | 739.48   | 815.35              |                 |                      | -75.87           |          | 0.00    | 0.00      |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
Recipient's Tax ID Number:  
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property             | Date Sold or Disposed | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash. Loss Disallowed | Net Gain or Loss | Ordinary | Capital | Federal Income Tax | State Tax | Withheld |
|-------------------------------------|-----------------------|---------------|----------|---------------------|-----------------|-----------------------|------------------|----------|---------|--------------------|-----------|----------|
| 170.0 OMEGA HEALTHCARE INVS INC COM |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 681936100                           | 06/03/2016            | 07/23/2015    | 5,518.11 | 6,026.51            |                 |                       | -508.40          |          |         | 0.00               | 0.00      | 0.00     |
| 12.0 PFIZER INC                     |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 717081103                           | 06/14/2016            | 08/20/2015    | 417.11   | 418.60              |                 |                       | -1.49            |          |         | 0.00               | 0.00      | 0.00     |
| 8.0 PFIZER INC                      |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 717081103                           | 06/23/2016            | 08/20/2015    | 275.67   | 279.07              |                 |                       | -3.40            |          |         | 0.00               | 0.00      | 0.00     |
| 17.0 PFIZER INC                     |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 717081103                           | 07/11/2016            | 08/20/2015    | 614.36   | 593.02              |                 |                       | 21.34            |          |         | 0.00               | 0.00      | 0.00     |
| 72.0 PNC BANK CORP                  |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 693475105                           | 02/03/2016            | 07/23/2015    | 5,907.18 | 7,200.72            |                 |                       | -1,293.54        |          |         | 0.00               | 0.00      | 0.00     |
| 30.0 PRINCIPAL FINL GROUP INC       |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 74251V102                           | 02/03/2016            | 08/20/2015    | 1,059.27 | 1,685.74            |                 |                       | -626.47          |          |         | 0.00               | 0.00      | 0.00     |
| 86.0 PRINCIPAL FINL GROUP INC       |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 74251V102                           | 02/03/2016            | 08/21/2015    | 3,036.56 | 4,776.89            |                 |                       | -1,740.33        |          |         | 0.00               | 0.00      | 0.00     |
| 8.0 PRINCIPAL FINL GROUP INC        |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 74251V102                           | 02/03/2016            | 08/21/2015    | 279.54   | 444.36              |                 |                       | -164.82          |          |         | 0.00               | 0.00      | 0.00     |
| 17.0 PRINCIPAL FINL GROUP INC       |                       |               |          |                     |                 |                       |                  |          |         |                    |           |          |
| 74251V102                           | 02/03/2016            | 12/07/2015    | 594.01   | 835.00              |                 |                       | -240.99          |          |         | 0.00               | 0.00      | 0.00     |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
Recipient's Tax ID Number:  
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property | Disposal Date | Acquired Date | Proceeds | Cost or Other Basis | Market Disposition | Wash Sale | Capital Gain or Loss | Federal Income Tax | State Tax | Withhold |
|-------------------------|---------------|---------------|----------|---------------------|--------------------|-----------|----------------------|--------------------|-----------|----------|
| 9.0 PROLOGIS INC        |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 06/14/2016    | 02/03/2016    | 446.75   | 354.68              |                    |           | 92.07                | 0.00               | 0.00      | 0.00     |
| 6.0 PROLOGIS INC        |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 06/23/2016    | 02/03/2016    | 300.05   | 236.46              |                    |           | 63.59                | 0.00               | 0.00      | 0.00     |
| 14.0 PROLOGIS INC       |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 07/11/2016    | 02/03/2016    | 697.88   | 551.72              |                    |           | 146.16               | 0.00               | 0.00      | 0.00     |
| 4.0 PROLOGIS INC        |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 08/09/2016    | 02/03/2016    | 215.80   | 157.64              |                    |           | 58.16                | 0.00               | 0.00      | 0.00     |
| 6.0 PROLOGIS INC        |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 08/09/2016    | 02/03/2016    | 323.69   | 235.14              |                    |           | 88.55                | 0.00               | 0.00      | 0.00     |
| 17.0 PROLOGIS INC       |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 08/09/2016    | 02/04/2016    | 917.13   | 672.85              |                    |           | 244.28               | 0.00               | 0.00      | 0.00     |
| 26.0 PROLOGIS INC       |               |               |          |                     |                    |           |                      |                    |           |          |
| 74340W103               | 09/23/2016    | 02/04/2016    | 1,420.82 | 1,029.06            |                    |           | 391.76               | 0.00               | 0.00      | 0.00     |
| 66.0 QORVO INC          |               |               |          |                     |                    |           |                      |                    |           |          |
| 74736K101               | 01/15/2016    | 07/23/2015    | 2,409.70 | 5,047.01            |                    |           | -2,637.31            | 0.00               | 0.00      | 0.00     |
| 29.0 QORVO INC          |               |               |          |                     |                    |           |                      |                    |           |          |
| 74736K101               | 01/19/2016    | 07/23/2015    | 1,076.55 | 2,217.63            |                    |           | -1,141.08            | 0.00               | 0.00      | 0.00     |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
[REDACTED]  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property                   | Crisp<br>Number | Date Sold<br>or Disposed | Date<br>Acquired | Proceeds | Cost or<br>Other Basis | Market<br>Discount | Wash/Basis<br>Disallowed | Federal             |          |          |           |
|-------------------------------------------|-----------------|--------------------------|------------------|----------|------------------------|--------------------|--------------------------|---------------------|----------|----------|-----------|
|                                           |                 |                          |                  |          |                        |                    |                          | Net Gain<br>or Loss | Ordinary | Withheld | State Tax |
| 14.0 QORVO INC                            |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| 74736K101                                 | 01/19/2016      | 08/20/2015               |                  | 519.71   | 748.46                 |                    |                          | -228.75             | 0.00     | 0.00     | 0.00      |
| 23.0 QORVO INC                            |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| 74736K101                                 | 01/20/2016      | 08/20/2015               |                  | 829.78   | 1,229.60               |                    |                          | -399.82             | 0.00     | 0.00     | 0.00      |
| 13.0 REYNOLDS AMERICAN INC                |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| 761713106                                 | 02/03/2016      | 07/23/2015               |                  | 628.02   | 519.80                 |                    |                          | 108.22              | 0.00     | 0.00     | 0.00      |
| 23.0 REYNOLDS AMERICAN INC                |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| 761713106                                 | 02/03/2016      | 07/23/2015               |                  | 1,138.60 | 919.65                 |                    |                          | 216.95              | 0.00     | 0.00     | 0.00      |
| 41.0 REYNOLDS AMERICAN INC                |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| 761713106                                 | 06/02/2016      | 07/23/2015               |                  | 2,042.47 | 1,639.39               |                    |                          | 403.08              | 0.00     | 0.00     | 0.00      |
| 85.0 REYNOLDS AMERICAN INC                |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| 761713106                                 | 06/03/2016      | 07/23/2015               |                  | 4,277.02 | 3,398.72               |                    |                          | 878.30              | 0.00     | 0.00     | 0.00      |
| 5.0 ROYAL CARIBBEAN CRUISES LTD COM [QDI] |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| V7780T103                                 | 06/14/2016      | 07/23/2015               |                  | 361.54   | 420.60                 |                    |                          | -59.06              | 0.00     | 0.00     | 0.00      |
| 3.0 ROYAL CARIBBEAN CRUISES LTD COM [QDI] |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| V7780T103                                 | 06/23/2016      | 07/23/2015               |                  | 231.41   | 252.36                 |                    |                          | -20.95              | 0.00     | 0.00     | 0.00      |
| 7.0 ROYAL CARIBBEAN CRUISES LTD COM [QDI] |                 |                          |                  |          |                        |                    |                          |                     |          |          |           |
| V7780T103                                 | 07/11/2016      | 07/23/2015               |                  | 486.34   | 588.84                 |                    |                          | -102.50             | 0.00     | 0.00     | 0.00      |

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# 2016 Tax Information Statement

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Account Number:  
Recipient's Tax ID Number:

Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property | Cost or Other Basis    | Proceeds      | Market Discom | Wash Loss  | Net Gain  | Federal Income Tax |
|-------------------------|------------------------|---------------|---------------|------------|-----------|--------------------|
| Number                  | Date Acquired          | Date Disposed | Discom        | Disallowed | Ordinary  | Withheld           |
| 9.0                     | SEALED AIR CORP COM    |               |               |            |           |                    |
| 81211K100               | 06/23/2016             | 09/25/2015    | 429.47        | 420.90     | 8.57      | 0.00               |
| 8.0                     | SEALED AIR CORP COM    |               |               |            |           |                    |
| 81211K100               | 07/11/2016             | 09/25/2015    | 379.91        | 374.13     | 5.78      | 0.00               |
| 75.0                    | SEALED AIR CORP COM    |               |               |            |           |                    |
| 81211K100               | 09/26/2016             | 09/28/2015    | 3,500.94      | 3,489.83   | 11.11     | 0.00               |
| 49.0                    | SKYWORKS SOLUTIONS INC |               |               |            |           |                    |
| 83088M102               | 06/02/2016             | 07/23/2015    | 3,259.35      | 5,141.07   | -1,881.72 | 0.00               |
| 27.0                    | SKYWORKS SOLUTIONS INC |               |               |            |           |                    |
| 83088M102               | 06/03/2016             | 07/23/2015    | 1,827.79      | 2,832.84   | -1,005.05 | 0.00               |
| 8.0                     | SKYWORKS SOLUTIONS INC |               |               |            |           |                    |
| 83088M102               | 06/03/2016             | 08/20/2015    | 541.57        | 669.52     | -127.95   | 0.00               |
| 14.0                    | SKYWORKS SOLUTIONS INC |               |               |            |           |                    |
| 83088M102               | 06/03/2016             | 02/03/2016    | 947.74        | 935.86     | 11.88     | 0.00               |
| 11.0                    | SPECTRA ENERGY CORP    |               |               |            |           |                    |
| 847560109               | 06/14/2016             | 06/02/2016    | 385.08        | 357.34     | 7.74      | 0.00               |
| 8.0                     | SPECTRA ENERGY CORP    |               |               |            |           |                    |
| 847560109               | 06/23/2016             | 06/02/2016    | 277.91        | 259.88     | 18.03     | 0.00               |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
Recipient's Tax ID Number:  
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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| ID        | Description of Property    | Date Sold or Disposed | Date Acquired | Proceeds | Other Basis | Capital Gain or Loss | Market Discount | Wash Transactions | Disallowed | Net Gain or Loss | Ordinary | Capital | Federal Income Tax | State Tax | Unheld |
|-----------|----------------------------|-----------------------|---------------|----------|-------------|----------------------|-----------------|-------------------|------------|------------------|----------|---------|--------------------|-----------|--------|
|           |                            |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 16.0      | SPECTRA ENERGY CORP        |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 847560109 |                            | 07/11/2016            | 06/02/2016    | 583.34   | 519.77      |                      |                 |                   |            | 63.57            |          |         | 0.00               | 0.00      | 0.00   |
| 8.0       | SPECTRA ENERGY CORP        |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 847560109 |                            | 08/09/2016            | 06/02/2016    | 291.67   | 259.88      |                      |                 |                   |            | 31.79            |          |         | 0.00               | 0.00      | 0.00   |
| 15.0      | SPECTRA ENERGY CORP        |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 847560109 |                            | 08/10/2016            | 06/02/2016    | 539.03   | 487.28      |                      |                 |                   |            | 51.75            |          |         | 0.00               | 0.00      | 0.00   |
| 17.0      | SPECTRA ENERGY CORP        |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 847560109 |                            | 09/23/2016            | 06/02/2016    | 718.23   | 552.25      |                      |                 |                   |            | 165.98           |          |         | 0.00               | 0.00      | 0.00   |
| 4.0       | STANLEY BLACK & DECKER INC |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 854502101 |                            | 06/14/2016            | 08/20/2015    | 447.31   | 420.50      |                      |                 |                   |            | 26.81            |          |         | 0.00               | 0.00      | 0.00   |
| 2.0       | STANLEY BLACK & DECKER INC |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 854502101 |                            | 06/23/2016            | 08/20/2015    | 229.21   | 210.25      |                      |                 |                   |            | 18.96            |          |         | 0.00               | 0.00      | 0.00   |
| 6.0       | STANLEY BLACK & DECKER INC |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 854502101 |                            | 07/12/2016            | 08/20/2015    | 699.22   | 630.76      |                      |                 |                   |            | 68.46            |          |         | 0.00               | 0.00      | 0.00   |
| 10.0      | STANLEY BLACK & DECKER INC |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| 854502101 |                            | 08/09/2016            | 08/20/2015    | 1,236.77 | 1,051.26    |                      |                 |                   |            | 185.51           |          |         | 0.00               | 0.00      | 0.00   |
| 6.0       | STERIS PLC SHS [QDII]      |                       |               |          |             |                      |                 |                   |            |                  |          |         |                    |           |        |
| G84720104 |                            | 06/14/2016            | 12/07/2015    | 407.21   | 456.42      |                      |                 |                   |            | -49.21           |          |         | 0.00               | 0.00      | 0.00   |

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**Recipient's Name and Address:**

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE

7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Description of Property |                      | Date of Disposal | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Ordinary | Capital | Federal Income Tax | State Tax | Withheld |
|-------------------------|----------------------|------------------|---------------|----------|---------------------|-----------------|----------------------|------------------|----------|---------|--------------------|-----------|----------|
| 4.0                     | STERIS PLC SHS [QDI] | 06/23/2016       | 12/07/2015    | 275.15   | 304.28              |                 |                      | -29.13           |          |         | 0.00               |           | 0.00     |
| 4.0                     | STERIS PLC SHS [QDI] | 07/11/2016       | 12/07/2015    | 276.43   | 304.28              |                 |                      | -27.85           |          |         | 0.00               |           | 0.00     |
| 3.0                     | STERIS PLC SHS [QDI] | 07/11/2016       | 12/08/2015    | 207.32   | 224.79              |                 |                      | -17.47           |          |         | 0.00               |           | 0.00     |
| 4.0                     | STRYKER CORP         | 06/14/2016       | 06/02/2016    | 457.75   | 450.27              |                 |                      | 7.48             |          |         | 0.00               |           | 0.00     |
| 2.0                     | STRYKER CORP         | 06/23/2016       | 06/02/2016    | 236.03   | 225.14              |                 |                      | 10.89            |          |         | 0.00               |           | 0.00     |
| 5.0                     | STRYKER CORP         | 07/11/2016       | 06/02/2016    | 613.23   | 562.84              |                 |                      | 50.39            |          |         | 0.00               |           | 0.00     |
| 13.0                    | SUNTRUST BKS INC     | 06/02/2016       | 07/23/2015    | 571.21   | 580.97              |                 |                      | -9.76            |          |         | 0.00               |           | 0.00     |
| 7.0                     | SUNTRUST BKS INC     | 06/03/2016       | 07/23/2015    | 298.03   | 312.83              |                 |                      | -14.80           |          |         | 0.00               |           | 0.00     |
| 10.0                    | SUNTRUST BKS INC     | 06/14/2016       | 07/23/2015    | 416.19   | 446.90              |                 |                      | -30.71           |          |         | 0.00               |           | 0.00     |

**This is important tax information and is being furnished to you.**

# 2016 Tax Information Statement

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Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
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PROSPECT, KY 40059-9683

Account Number:  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA

☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property    | Disposal Date | Acquired Date | Proceeds | Cost or Other Basis | Market Discount | Wash Sales Disallowed | Net Gain | Ordinary | Capital | Federal Income Tax | State Tax | Withheld |
|----------------------------|---------------|---------------|----------|---------------------|-----------------|-----------------------|----------|----------|---------|--------------------|-----------|----------|
| 6.0 SUNTRUST BKS INC       |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 867914103                  | 06/23/2016    | 07/23/2015    | 256.85   | 268.14              |                 |                       | -11.29   |          |         | 0.00               |           | 0.00     |
| 14.0 SUNTRUST BKS INC      |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 867914103                  | 07/11/2016    | 07/23/2015    | 585.88   | 625.66              |                 |                       | -39.78   |          |         | 0.00               |           | 0.00     |
| 18.0 TARGET CORP           |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 87612E106                  | 06/03/2016    | 07/23/2015    | 1,235.11 | 1,485.00            |                 |                       | -249.89  |          |         | 0.00               |           | 0.00     |
| 33.0 TESORO PETE CORP COM  |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 881609101                  | 06/02/2016    | 07/23/2015    | 2,689.59 | 3,283.16            |                 |                       | -593.57  |          |         | 0.00               |           | 0.00     |
| 26.0 TESORO PETE CORP COM  |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 881609101                  | 06/03/2016    | 07/23/2015    | 2,080.10 | 2,586.74            |                 |                       | -506.64  |          |         | 0.00               |           | 0.00     |
| 7.0 TESORO PETE CORP COM   |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 881609101                  | 06/03/2016    | 02/03/2016    | 560.03   | 556.11              |                 |                       | 3.92     |          |         | 0.00               |           | 0.00     |
| 2.0 UNITEDHEALTH GROUP INC |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 913224P102                 | 06/14/2016    | 06/02/2016    | 275.73   | 271.67              |                 |                       | 4.06     |          |         | 0.00               |           | 0.00     |
| 3.0 UNITEDHEALTH GROUP INC |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 913224P102                 | 06/23/2016    | 08/02/2016    | 414.59   | 407.51              |                 |                       | 7.08     |          |         | 0.00               |           | 0.00     |
| 3.0 UNITEDHEALTH GROUP INC |               |               |          |                     |                 |                       |          |          |         |                    |           |          |
| 913224P102                 | 07/11/2016    | 06/02/2016    | 421.10   | 407.51              |                 |                       | 13.59    |          |         | 0.00               |           | 0.00     |

This is important tax information and is being furnished to you.

# 2016 Tax Information Statement

Page 33 of 54

Account Number:  
 Recipient's Tax ID Number:

Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
 C/O ARCH & MARY PARSLEY, CO-TTEE  
 7012 BREAKWATER PLACE  
 PROSPECT, KY 40059-9683

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
 P O BOX 630858  
 CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Disposition of Property               | Acquisition Date | Disposal Date | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax | State Tax |
|---------------------------------------|------------------|---------------|---------------------|-----------------|----------------------|------------------|--------------------|-----------|
| 44.0 VELOCITYSHARES DAILY INVERSE VIX |                  |               |                     |                 |                      |                  |                    |           |
| 22542D795                             | 06/30/2016       | 06/24/2016    | 1,095.42            | 1,005.07        |                      | 90.35            | 0.00               | 0.00      |
| 6.0 VERIZON COMMUNICATIONS COM        |                  |               |                     |                 |                      |                  |                    |           |
| 92343V104                             | 06/14/2016       | 07/23/2015    | 316.43              | 278.94          |                      | 37.49            | 0.00               | 0.00      |
| 4.0 VERIZON COMMUNICATIONS COM        |                  |               |                     |                 |                      |                  |                    |           |
| 92343V104                             | 06/23/2016       | 07/23/2015    | 217.27              | 185.96          |                      | 31.31            | 0.00               | 0.00      |
| 7.0 VERIZON COMMUNICATIONS COM        |                  |               |                     |                 |                      |                  |                    |           |
| 92343V104                             | 07/11/2016       | 07/23/2015    | 390.94              | 325.43          |                      | 65.51            | 0.00               | 0.00      |
| 7.0 WELLS FARGO COMPANY               |                  |               |                     |                 |                      |                  |                    |           |
| 949746101                             | 06/14/2016       | 07/23/2015    | 332.77              | 408.78          |                      | -76.01           | 0.00               | 0.00      |
| 4.0 WELLS FARGO COMPANY               |                  |               |                     |                 |                      |                  |                    |           |
| 949746101                             | 06/23/2016       | 07/23/2015    | 190.83              | 233.59          |                      | -42.76           | 0.00               | 0.00      |
| 10.0 WELLS FARGO COMPANY              |                  |               |                     |                 |                      |                  |                    |           |
| 949746101                             | 07/11/2016       | 07/23/2015    | 480.98              | 583.96          | 102.98               | 0.00             | 0.00               | 0.00      |
| 10.0 WELLS FARGO COMPANY              |                  |               |                     |                 |                      |                  |                    |           |
| 949746101                             | 09/26/2016       | 08/09/2016    | 453.58              | 490.49          |                      | -36.91           | 0.00               | 0.00      |
| 2125.138 WILLIAM BLAIR FDS ALLOC I    |                  |               |                     |                 |                      |                  |                    |           |
| 969251784                             | 05/10/2016       | 07/17/2015    | 24,332.83           | 27,159.26       |                      | -2,826.43        | 0.00               | 0.00      |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSELEY FAMILY FDN  
C/O ARCH & MARY PARSELEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property          | Acquired | Disposed | Date Sold  | Date       | Cost or Other Basis | Market Discount | Wash Sale Disallowed | Net Capital Gain or Loss | Ordinary Income | Capital Gain | Total      | State Tax |
|----------------------------------|----------|----------|------------|------------|---------------------|-----------------|----------------------|--------------------------|-----------------|--------------|------------|-----------|
|                                  |          |          |            |            |                     |                 |                      |                          |                 |              |            |           |
| 38.783 WILLIAM BLAIR FDS ALLOC I |          |          | 06/14/2016 | 07/17/2015 | 438.64              | 495.65          |                      | -57.01                   | 0.00            | 0.00         | 0.00       |           |
| 67.923 WILLIAM BLAIR FDS ALLOC I |          |          | 07/06/2016 | 07/17/2015 | 770.93              | 868.06          |                      | -97.13                   | 0.00            | 0.00         | 0.00       |           |
| 23.0 XCEL ENERGY INC COM         |          |          | 02/03/2016 | 07/23/2015 | 896.18              | 755.48          |                      | 140.70                   | 0.00            | 0.00         | 0.00       |           |
| 8.0 XCEL ENERGY INC COM          |          |          | 06/14/2016 | 07/23/2015 | 338.47              | 262.78          |                      | 75.69                    | 0.00            | 0.00         | 0.00       |           |
| 6.0 XCEL ENERGY INC COM          |          |          | 06/23/2016 | 07/23/2015 | 254.63              | 197.08          |                      | 57.55                    | 0.00            | 0.00         | 0.00       |           |
| 12.0 XCEL ENERGY INC COM         |          |          | 07/11/2016 | 07/23/2015 | 531.94              | 394.17          |                      | 137.77                   | 0.00            | 0.00         | 0.00       |           |
| Total Short Term Sales           |          |          |            |            | 290,456.22          | 307,696.44      | 0.00                 | 286.16                   |                 |              | -16,954.06 | 0.00      |
| Long Term Sales                  |          |          |            |            |                     |                 |                      |                          |                 |              |            |           |
| 76.0 AMDOCS LIMITED COM          |          |          | 08/09/2016 | 07/23/2015 | 4,398.97            | 4,290.19        |                      | 108.78                   | 0.00            | 0.00         | 0.00       |           |

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# 2016 Tax Information Statement

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Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:

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☐ Corrected ☐ FATCA

☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property      | Cust. No.  | Date Sold  | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss | Disallowed | New Gain | Ordinary | Capital | Federal Income Tax | State Tax |
|------------------------------|------------|------------|---------------|----------|---------------------|-----------------|-----------|------------|----------|----------|---------|--------------------|-----------|
| 25.0 AMDOCS LIMITED COM      |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| G02602103                    | 08/10/2016 | 07/23/2015 |               | 1,443.65 | 1,411.25            |                 |           |            | 32.40    |          |         | 0.00               | 0.00      |
| 7.0 AMERIPRISE FINANCIAL INC |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 03076C106                    | 09/23/2016 | 07/23/2015 |               | 699.42   | 903.14              |                 |           |            | -203.72  |          |         | 0.00               | 0.00      |
| 4.0 APPLE COMPUTER INC       |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 037833100                    | 06/14/2016 | 12/07/2010 |               | 390.35   | 182.50              |                 |           |            | 207.85   |          |         | 0.00               | 0.00      |
| 2.0 APPLE COMPUTER INC       |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 037833100                    | 06/23/2016 | 12/07/2010 |               | 191.27   | 91.25               |                 |           |            | 100.02   |          |         | 0.00               | 0.00      |
| 7.0 APPLE COMPUTER INC       |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 037833100                    | 07/11/2016 | 12/21/2012 |               | 678.84   | 514.57              |                 |           |            | 164.27   |          |         | 0.00               | 0.00      |
| 5.0 APPLE COMPUTER INC       |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 037833100                    | 09/23/2016 | 12/21/2012 |               | 564.19   | 367.55              |                 |           |            | 196.64   |          |         | 0.00               | 0.00      |
| 9.0 AT&T INC                 |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 00206R102                    | 06/14/2016 | 08/25/2011 |               | 359.99   | 261.99              |                 |           |            | 98.00    |          |         | 0.00               | 0.00      |
| 5.0 AT&T INC                 |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 00206R102                    | 06/23/2016 | 08/25/2011 |               | 208.17   | 145.55              |                 |           |            | 62.62    |          |         | 0.00               | 0.00      |
| 11.0 AT&T INC                |            |            |               |          |                     |                 |           |            |          |          |         |                    |           |
| 00206R102                    | 07/11/2016 | 08/25/2011 |               | 466.93   | 320.20              |                 |           |            | 146.73   |          |         | 0.00               | 0.00      |

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# 2016 Tax Information Statement

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Recipients Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
Recipients Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

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Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property                          | Cost or Other Basis | Proceeds | Capital Gain or Loss | Ordinary Gain or Loss | Withheld | Subst Tax |
|--------------------------------------------------|---------------------|----------|----------------------|-----------------------|----------|-----------|
| 11.0 AT&T INC                                    |                     |          |                      |                       |          |           |
| 00206R102 08/09/2016 08/25/2011                  | 320.20              | 470.79   | 150.59               | 0.00                  | 0.00     | 0.00      |
| 3.0 BOEING CO                                    |                     |          |                      |                       |          |           |
| 097023105 06/14/2016 10/02/2014                  | 372.92              | 389.54   | 16.62                | 0.00                  | 0.00     | 0.00      |
| 2.0 BOEING CO                                    |                     |          |                      |                       |          |           |
| 097023105 06/23/2016 10/02/2014                  | 248.61              | 265.67   | 17.06                | 0.00                  | 0.00     | 0.00      |
| 5.0 BOEING CO                                    |                     |          |                      |                       |          |           |
| 097023105 07/11/2016 10/02/2014                  | 621.52              | 660.53   | 39.01                | 0.00                  | 0.00     | 0.00      |
| 25.973 BOSTON PARTNERS LONG/SHORT RESEARCH INSTL |                     |          |                      |                       |          |           |
| 74925K581 11/03/2016 07/20/2015                  | 404.92              | 388.82   | -16.10               | 0.00                  | 0.00     | 0.00      |
| 8.0 BROADRIDGE FINANCIAL SOLUTION                |                     |          |                      |                       |          |           |
| 11133T103 09/26/2016 07/23/2015                  | 429.76              | 545.81   | 116.05               | 0.00                  | 0.00     | 0.00      |
| 13.0 CDW CORP                                    |                     |          |                      |                       |          |           |
| 12514G108 09/23/2016 07/23/2015                  | 449.52              | 600.33   | 150.81               | 0.00                  | 0.00     | 0.00      |
| 10.0 CHEVRONTXACO CORP                           |                     |          |                      |                       |          |           |
| 166764100 02/03/2016 10/17/2005                  | 600.50              | 811.98   | 211.48               | 0.00                  | 0.00     | 0.00      |
| 3.0 CHEVRONTXACO CORP                            |                     |          |                      |                       |          |           |
| 166764100 06/14/2016 10/17/2005                  | 180.15              | 304.97   | 124.82               | 0.00                  | 0.00     | 0.00      |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
 THE JAMIE PARSLEY FAMILY FDN  
 C/O ARCH & MARY PARSLEY, CO-TTEE  
 7012 BREAKWATER PLACE  
 PROSPECT, KY 40059-9683

FIFTH THIRD BANK  
 P O BOX 630858  
 CINCINNATI, OH 45263-0858

☐ Corrected ☐ FATCA

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.  
 Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property | Cusip Number | Date Sold/Disposed | Date Acquired | Proceeds | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain/Loss | Federal Income Tax | State Tax | Withheld |
|-------------------------|--------------|--------------------|---------------|----------|---------------------|-----------------|----------------------|---------------|--------------------|-----------|----------|
| 2.0 CHEVRONTXACO CORP   |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 166764100               |              | 06/23/2016         | 10/17/2005    | 207.23   | 120.10              |                 |                      | 87.13         | 0.00               | 0.00      | 0.00     |
| 6.0 CHEVRONTXACO CORP   |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 166764100               |              | 07/11/2016         | 10/17/2005    | 633.04   | 360.30              |                 |                      | 272.74        | 0.00               | 0.00      | 0.00     |
| 36.0 CISCO SYS INC      |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 17275R102               |              | 06/02/2016         | 03/10/2015    | 1,044.45 | 1,038.31            |                 |                      | 6.14          | 0.00               | 0.00      | 0.00     |
| 14.0 CISCO SYS INC      |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 17275R102               |              | 06/14/2016         | 03/10/2015    | 403.33   | 403.79              |                 |                      | -0.46         | 0.00               | 0.00      | 0.00     |
| 8.0 CISCO SYS INC       |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 17275R102               |              | 06/23/2016         | 03/10/2015    | 232.59   | 230.74              |                 |                      | 1.85          | 0.00               | 0.00      | 0.00     |
| 22.0 CISCO SYS INC      |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 17275R102               |              | 07/11/2016         | 03/10/2015    | 647.66   | 634.52              |                 |                      | 13.14         | 0.00               | 0.00      | 0.00     |
| 18.0 CISCO SYS INC      |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 17275R102               |              | 09/23/2016         | 03/10/2015    | 564.29   | 519.16              |                 |                      | 45.13         | 0.00               | 0.00      | 0.00     |
| 15.0 COMCAST CORP CLA   |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 20030N101               |              | 02/03/2016         | 03/06/2014    | 839.38   | 781.14              |                 |                      | 58.24         | 0.00               | 0.00      | 0.00     |
| 6.0 COMCAST CORP CLA    |              |                    |               |          |                     |                 |                      |               |                    |           |          |
| 20030N101               |              | 06/14/2016         | 03/06/2014    | 373.25   | 312.46              |                 |                      | 60.79         | 0.00               | 0.00      | 0.00     |

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# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:  
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FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property         | Cost or Other Basis | Proceeds | Capital Gain | Capital Loss | Ordinary Gain | Ordinary Loss | Net Capital Gain | Net Capital Loss | Net Ordinary Gain | Net Ordinary Loss | Net Taxable Income | Net Taxable Loss | State Tax | Withheld |
|---------------------------------|---------------------|----------|--------------|--------------|---------------|---------------|------------------|------------------|-------------------|-------------------|--------------------|------------------|-----------|----------|
| 5.0 COMCAST CORP CL A           |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 20030N101 06/23/2016 03/06/2014 | 314.74              | 260.38   |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 8.0 COMCAST CORP CL A           |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 20030N101 07/11/2016 03/07/2014 | 538.86              | 416.31   |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 11.0 COMCAST CORP CL A          |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 20030N101 08/09/2016 03/07/2014 | 739.35              | 572.42   |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 13.0 CVS CORP                   |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 126650100 02/03/2016 08/05/2014 | 1,228.44            | 1,008.79 |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 3.0 CVS CORP                    |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 126650100 06/14/2016 08/05/2014 | 286.94              | 232.80   |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 3.0 CVS CORP                    |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 126650100 06/23/2016 08/05/2014 | 281.12              | 232.80   |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 5.0 CVS CORP                    |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 126650100 07/11/2016 08/05/2014 | 484.48              | 388.00   |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 24.0 CVS CORP                   |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 126650100 09/26/2016 08/05/2014 | 2,154.19            | 1,862.38 |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 30.0 CVS CORP                   |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 126650100 09/26/2016 08/05/2014 | 2,692.90            | 2,327.97 |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 54.36                           |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 122.55                          |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 166.93                          |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 219.65                          |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 54.14                           |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 48.32                           |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 96.48                           |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 291.81                          |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |
| 364.93                          |                     |          |              |              |               |               |                  |                  |                   |                   |                    |                  |           |          |

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## Page 39 of 54

**Recipient's Name and Address:**

THE JAMIE PARSELEY FAMILY FDN

7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

THE JAMIE PARSELY FAMILY FDN  
C/O ARCH & MARY PARSELY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Sales are listed at Gross Proceeds less commissions and option premiums.

| Description of Property |                         |                  |                  |                  |                  |                  |                  |                  |                  |
|-------------------------|-------------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| Asset<br>Number         | Disposal<br>Date        | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date |
| Asset<br>Number         | Disposal<br>Date        | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date | Disposal<br>Date |
| 13.0                    | EXXON MOBIL CORPORATION |                  |                  |                  |                  |                  |                  |                  |                  |
| 30231G102               | 02/02/2016              | 03/26/2008       |                  |                  |                  |                  |                  |                  |                  |
| 3.0                     | EXXON MOBIL CORPORATION |                  |                  |                  |                  |                  |                  |                  |                  |
| 30231G102               | 02/02/2016              | 10/28/2008       |                  |                  |                  |                  |                  |                  |                  |
| 4.0                     | EXXON MOBIL CORPORATION |                  |                  |                  |                  |                  |                  |                  |                  |
| 30231G102               | 06/14/2016              | 10/28/2008       |                  |                  |                  |                  |                  |                  |                  |
| 3.0                     | EXXON MOBIL CORPORATION |                  |                  |                  |                  |                  |                  |                  |                  |
| 30231G102               | 06/23/2016              | 10/28/2008       |                  |                  |                  |                  |                  |                  |                  |
| 5.0                     | EXXON MOBIL CORPORATION |                  |                  |                  |                  |                  |                  |                  |                  |
| 30231G102               | 07/11/2016              | 10/28/2008       |                  |                  |                  |                  |                  |                  |                  |
| 63.0                    | GILEAD SCIENCES INC COM |                  |                  |                  |                  |                  |                  |                  |                  |
| 375558103               | 06/02/2016              | 03/09/2011       |                  |                  |                  |                  |                  |                  |                  |
| 4.0                     | GILEAD SCIENCES INC COM |                  |                  |                  |                  |                  |                  |                  |                  |
| 375558103               | 06/02/2016              | 09/26/2014       |                  |                  |                  |                  |                  |                  |                  |
| 1.0                     | JOHNSON & JOHNSON       |                  |                  |                  |                  |                  |                  |                  |                  |
| 478160104               | 02/03/2016              | 12/21/2012       |                  |                  |                  |                  |                  |                  |                  |
| 6.0                     | JOHNSON & JOHNSON       |                  |                  |                  |                  |                  |                  |                  |                  |
| 478160104               | 02/03/2016              | 03/08/2013       |                  |                  |                  |                  |                  |                  |                  |

**This is important tax information and is being furnished to you.**

# 2016 Tax Information Statement

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Recipient's Name and Address:

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number:

Recipient's Tax ID Number:

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of Property                 | U | Date Sold or Disposed | Acquired   | Proceeds | Cost or Other Basis | Capital Gain or Loss | Ordinary Gain or Loss | Withheld | State |
|-----------------------------------------|---|-----------------------|------------|----------|---------------------|----------------------|-----------------------|----------|-------|
| 4.0 JOHNSON & JOHNSON                   |   |                       |            |          |                     |                      |                       |          |       |
| 478160104                               |   | 06/14/2016            | 03/08/2013 | 465.59   | 312.73              | 152.86               | 0.00                  | 0.00     | 0.00  |
| 2.0 JOHNSON & JOHNSON                   |   |                       |            |          |                     |                      |                       |          |       |
| 478160104                               |   | 06/23/2016            | 03/08/2013 | 233.61   | 156.36              | 77.25                | 0.00                  | 0.00     | 0.00  |
| 5.0 JOHNSON & JOHNSON                   |   |                       |            |          |                     |                      |                       |          |       |
| 478160104                               |   | 07/11/2016            | 03/08/2013 | 614.33   | 390.91              | 223.42               | 0.00                  | 0.00     | 0.00  |
| 4.0 JOHNSON & JOHNSON                   |   |                       |            |          |                     |                      |                       |          |       |
| 478160104                               |   | 08/09/2016            | 03/08/2013 | 494.23   | 312.73              | 181.50               | 0.00                  | 0.00     | 0.00  |
| 129.0 KROGER CO                         |   |                       |            |          |                     |                      |                       |          |       |
| 501044101                               |   | 08/09/2016            | 07/23/2015 | 4,191.47 | 5,034.87            | -843.40              | 0.00                  | 0.00     | 0.00  |
| 6.0 LOWES CO                            |   |                       |            |          |                     |                      |                       |          |       |
| 548661107                               |   | 08/09/2016            | 07/23/2015 | 490.67   | 408.75              | 81.92                | 0.00                  | 0.00     | 0.00  |
| 10.0 LYONDELLBASELL INDUSTRIES NV [ODI] |   |                       |            |          |                     |                      |                       |          |       |
| N53745100                               |   | 08/09/2016            | 07/23/2015 | 749.57   | 943.40              | -193.83              | 0.00                  | 0.00     | 0.00  |
| 23.0 LYONDELLBASELL INDUSTRIES NV [ODI] |   |                       |            |          |                     |                      |                       |          |       |
| N53745100                               |   | 08/09/2016            | 07/23/2015 | 1,718.29 | 2,169.82            | -451.53              | 0.00                  | 0.00     | 0.00  |
| 14.0 LYONDELLBASELL INDUSTRIES NV [ODI] |   |                       |            |          |                     |                      |                       |          |       |
| N53745100                               |   | 08/10/2016            | 07/23/2015 | 1,048.03 | 1,320.76            | -272.73              | 0.00                  | 0.00     | 0.00  |

This is important tax information and is being furnished to you.

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**Recipient's Name and Address:**

THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE

7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

FIFTH THIRD BANK

CINCINNATI, OH 45263-0858

## 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip Number | Description of Property | Date Sold  | Date Acquired | Proceeds | Cost Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax |          |
|--------------|-------------------------|------------|---------------|----------|------------|-----------------|----------------------|------------------|--------------------|----------|
|              |                         |            |               |          |            |                 |                      |                  | Ordinary           | Withheld |
| 5.0          | SCHLUMBERGER LTD [QDI]  |            |               |          |            |                 |                      |                  |                    |          |
| 806857108    |                         | 06/14/2016 | 11/05/2010    | 387.14   | 375.80     |                 |                      | 11.34            | 0.00               | 0.00     |
| 3.0          | SCHLUMBERGER LTD [QDI]  |            |               |          |            |                 |                      |                  |                    |          |
| 806857108    |                         | 06/23/2016 | 11/05/2010    | 237.29   | 225.48     |                 |                      | 11.81            | 0.00               | 0.00     |
| 6.0          | SCHLUMBERGER LTD [QDI]  |            |               |          |            |                 |                      |                  |                    |          |
| 806857108    |                         | 07/11/2016 | 11/05/2010    | 468.04   | 450.96     |                 |                      | 17.08            | 0.00               | 0.00     |
| 16.0         | SEALED AIR CORP COM     |            |               |          |            |                 |                      |                  |                    |          |
| 81211K100    |                         | 09/26/2016 | 09/25/2015    | 746.87   | 748.27     |                 |                      | -1.40            | 0.00               | 0.00     |
| 11.0         | SUNTRUST BKS INC        |            |               |          |            |                 |                      |                  |                    |          |
| 867914103    |                         | 09/23/2016 | 07/23/2015    | 486.14   | 491.59     |                 |                      | -5.45            | 0.00               | 0.00     |
| 46.0         | TARGET CORP             |            |               |          |            |                 |                      |                  |                    |          |
| 87612E106    |                         | 06/02/2016 | 03/04/2015    | 3,137.16 | 3,589.73   |                 |                      | -452.57          | 0.00               | 0.00     |
| 4.0          | TARGET CORP             |            |               |          |            |                 |                      |                  |                    |          |
| 87612E106    |                         | 06/03/2016 | 03/04/2015    | 274.47   | 312.15     |                 |                      | -37.68           | 0.00               | 0.00     |
| 23.0         | TARGET CORP             |            |               |          |            |                 |                      |                  |                    |          |
| 87612E106    |                         | 06/03/2016 | 03/10/2015    | 1,578.20 | 1,788.77   |                 |                      | -210.57          | 0.00               | 0.00     |
| 65.0         | WELLS FARGO COMPANY     |            |               |          |            |                 |                      |                  |                    |          |
| 949746101    |                         | 09/26/2016 | 07/23/2015    | 2,948.32 | 3,795.77   |                 |                      | -847.45          | 0.00               | 0.00     |

**This is important tax information and is being furnished to you.**

# 2016 Tax Information Statement

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Recipient's Name and Address:  
THE JAMIE PARSLEY FAMILY FDN  
C/O ARCH & MARY PARSLEY, CO-TTEE  
7012 BREAKWATER PLACE  
PROSPECT, KY 40059-9683

Account Number: [REDACTED]  
Recipient's Tax ID Number:  
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

FIFTH THIRD BANK  
P O BOX 630858  
CINCINNATI, OH 45263-0858

Sales are listed at Gross Proceeds less commissions and option premiums.  
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

| Description of property   | Cusip Number | Date Sold or Disposed | Date Acquired | Proceeds  | Cost or Other Basis | Market Discount | Wash Loss Disallowed | Net Gain or Loss | Federal Income Tax | State Tax | Withheld |
|---------------------------|--------------|-----------------------|---------------|-----------|---------------------|-----------------|----------------------|------------------|--------------------|-----------|----------|
| 10.0 WELLS FARGO COMPANY  |              |                       |               |           |                     |                 |                      |                  |                    |           |          |
| 949746101                 |              | 09/26/2016            | 07/23/2015    | 453.59    | 593.47              |                 |                      | -139.88          | 0.00               | 0.00      | 0.00     |
| 38.0 WELLS FARGO COMPANY  |              |                       |               |           |                     |                 |                      |                  |                    |           |          |
| 949746101                 |              | 09/26/2016            | 07/23/2015    | 1,705.00  | 2,219.07            |                 |                      | -514.07          | 0.00               | 0.00      | 0.00     |
| 6.0 XCEL ENERGY INC COM   |              |                       |               |           |                     |                 |                      |                  |                    |           |          |
| 98389B100                 |              | 08/09/2016            | 07/23/2015    | 255.53    | 197.08              |                 |                      | 58.45            | 0.00               | 0.00      | 0.00     |
| 118.0 XCEL ENERGY INC COM |              |                       |               |           |                     |                 |                      |                  |                    |           |          |
| 98389B100                 |              | 08/09/2016            | 07/23/2015    | 5,031.40  | 3,875.96            |                 |                      | 1,155.44         | 0.00               | 0.00      | 0.00     |
| Total Long Term Sales     |              |                       |               | 64,027.28 | 57,934.33           | 0.00            | 0.00                 | 6,092.95         | 0.00               | 0.00      | 0.00     |

This is important tax information and is being furnished to you.



# Fiscal Statement



BEA ROSENBERG

## FISCAL YEAR ACTIVITY

| Date     | Transaction   | Quantity | Description              | Price | Debit     | Credit  |
|----------|---------------|----------|--------------------------|-------|-----------|---------|
| 06/13/16 | Wire Transfer |          |                          |       | 47,500.00 |         |
|          |               |          | Net Total                |       | 47,500.00 |         |
|          |               |          | Electronic Transfers     |       |           |         |
|          |               |          | WIRE TRF OUTP48165025858 |       |           |         |
|          |               |          | Net Total                |       |           |         |
|          |               |          | Other Activity           |       |           |         |
|          |               |          | REFUND/ADJUSTMENT        |       |           | 13.30CR |
| 01/05/16 | Journal Entry |          | INV. ADVISORY FEE DEC    |       | 13.39     |         |
| 01/05/16 | Journal Entry |          | INV. ADVISORY FEE JAN    |       | 414.98    |         |
| 01/05/16 | Journal Entry |          | 1919 FINANCIAL SERVICES  |       |           | 1.73    |
| 01/22/16 | Journal Entry |          | MAINSTAY HIGH YIELD      |       |           | 3.37    |
| 02/02/16 | Journal Entry |          | INV. ADVISORY FEE FEB    |       | 397.93    |         |
| 03/03/16 | Journal Entry |          | INV. ADVISORY FEE MAR    |       | 394.64    |         |
| 04/05/16 | Journal Entry |          | INV. ADVISORY FEE APR    |       | 413.04    |         |
| 05/03/16 | Journal Entry |          | INV. ADVISORY FEE MAY    |       | 417.88    |         |
| 06/03/16 | Journal Entry |          | INV. ADVISORY FEE JUN    |       | 420.79    |         |
| 06/13/16 | Journal Entry |          | TRANSFR FEE P48165025858 |       | 30.00     |         |
| 07/06/16 | Journal Entry |          | INV. ADVISORY FEE JUL    |       | 404.14    |         |
| 08/02/16 | Journal Entry |          | INV. ADVISORY FEE AUG    |       | 415.81    |         |
| 09/02/16 | Journal Entry |          | INV. ADVISORY FEE SEP    |       | 418.74    |         |
| 09/23/16 | Cash in Lieu  |          | REAL ESTATE SELECT       |       |           | 12.53   |
| 10/04/16 | Journal Entry |          | INV. ADVISORY FEE OCT    |       | 418.49    |         |
| 11/02/16 | Journal Entry |          | INV. ADVISORY FEE NOV    |       | 412.49    |         |
| 12/05/16 | Journal Entry |          | INV. ADVISORY FEE DEC    |       | 413.28    |         |
| 12/09/16 | Journal Entry |          | TR TO 55502783           |       | 20,000.00 |         |
| 12/28/16 | Journal Entry |          | REFUND/ADJUSTMENT        |       |           | 148.65  |
|          |               |          | Net Total                |       | 24,985.60 | 177.58  |

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 2,367.0000 | 1919 FINANCIAL SERVICE I | 11/04/10            | 01/04/16            | 44,973.00   | 26,226.36  | 18,746.64 LT   |
| 1.0000     | 1919 FINANCIAL SERVICE I | 06/22/11            | 01/04/16            | 19.00       | 8.96       | 10.04 LT       |

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Year Ending 12/31/16

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# EMA<sup>SM</sup> Fiscal Statement

BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description      | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|---------------------------|---------------------|---------------------|-------------|------------|----------------|
| 201.0000   | 1919 FINANCIAL SERVICE I  | 06/22/11            | 01/04/16            | 3,818.99    | 2,295.42   | 1,523.57 LT    |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 12/13/11            | 01/04/16            | 19.00       | 9.61       | 9.39 LT        |
| 43.0000    | 1919 FINANCIAL SERVICE I  | 12/13/11            | 01/04/16            | 817.00      | 459.24     | 357.76 LT      |
| 21.0000    | 1919 FINANCIAL SERVICE I  | 12/19/11            | 01/04/16            | 399.00      | 221.76     | 177.24 LT      |
| 22.0000    | 1919 FINANCIAL SERVICE I  | 12/13/12            | 01/04/16            | 418.00      | 288.41     | 129.59 LT      |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 09/30/13            | 01/04/16            | 18.99       | 12.88      | 6.11 LT        |
| 45.0000    | 1919 FINANCIAL SERVICE I  | 09/30/13            | 01/04/16            | 855.00      | 758.25     | 96.75 LT       |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 12/12/13            | 01/04/16            | 19.00       | 15.24      | 3.76 LT        |
| 12.0000    | 1919 FINANCIAL SERVICE I  | 12/12/13            | 01/04/16            | 228.00      | 216.48     | 11.52 LT       |
| 35.0000    | 1919 FINANCIAL SERVICE I  | 05/09/14            | 01/04/16            | 665.00      | 634.55     | 30.45 LT       |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 06/18/14            | 01/04/16            | 19.00       | 18.24      | 0.76 LT        |
| 4.0000     | 1919 FINANCIAL SERVICE I  | 06/18/14            | 01/04/16            | 76.00       | 74.85      | 1.15 LT        |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 12/29/14            | 01/04/16            | 19.00       | 14.94      | 4.06 LT        |
| 13.0000    | 1919 FINANCIAL SERVICE I  | 12/29/14            | 01/04/16            | 247.00      | 255.71     | (8.71) LT      |
| 68.0000    | 1919 FINANCIAL SERVICE I  | 12/29/14            | 01/04/16            | 1,292.00    | 1,337.55   | (45.55) LT     |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 12/28/15            | 01/04/16            | 19.00       | 11.19      | 7.81 ST        |
| 19.0000    | 1919 FINANCIAL SERVICE I  | 12/28/15            | 01/04/16            | 361.00      | 372.02     | (11.02) ST     |
| 189.0000   | 1919 FINANCIAL SERVICE I  | 12/28/15            | 01/04/16            | 3,591.01    | 3,700.62   | (109.61) ST    |
| 1.0000     | 1919 FINANCIAL SERVICE I  | 12/28/15            | 01/04/16            | 19.01       | 19.59      | (0.58) ST      |
| 0.0990     | 1919 FINANCIAL SERVICE I  | 12/28/15            | 01/22/16            | 1.73        | 1.94       | (0.21) ST      |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 02/01/12            | 01/04/16            | 7.43        | 8.37       | N/C            |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 02/01/12            | 01/04/16            | 7.43        | 8.37       | N/C            |
| 23.0000    | AM CENT SM CAP VAL INVSTR | 02/01/12            | 01/04/16            | 170.89      | 192.51     | N/C            |
| 4.0000     | BARON SMALL CAP FUND INS  | 02/01/12            | 01/04/16            | 112.56      | 99.13      | 13.43 LT       |
| 1.0000     | DELAWARE EM MKT INST      | 03/28/13            | 01/20/16            | 10.84       | 14.70      | N/C            |
| 14.0000    | DELAWARE EM MKT INST      | 03/28/13            | 01/20/16            | 151.76      | 205.74     | N/C            |
| 313.0000   | DELAWARE EM MKT INST      | 03/28/13            | 01/20/16            | 3,392.92    | 4,599.83   | N/C            |
| 2,117.0000 | DELAWARE EM MKT INST      | 03/28/13            | 01/20/16            | 22,948.28   | 31,111.33  | (8,163.05) LT  |
| 177.0000   | MFS VALUE FD CL I         | 02/01/12            | 01/04/16            | 5,743.85    | 4,181.08   | 1,562.57 LT    |
| 1.0000     | METRO W TTL RTRN BD CL I  | 06/19/15            | 01/04/16            | 10.63       | 10.85      | N/C            |
| 1.0000     | METRO W TTL RTRN BD CL I  | 06/19/15            | 01/04/16            | 10.63       | 10.85      | N/C            |
| 35.0000    | METRO W TTL RTRN BD CL I  | 06/19/15            | 01/04/16            | 372.05      | 379.75     | N/C            |
| 46.0000    | METRO W TTL RTRN BD CL I  | 06/19/15            | 01/04/16            | 488.98      | 499.10     | N/C            |
| 12.0000    | METRO W TTL RTRN BD CL I  | 06/19/15            | 01/04/16            | 127.56      | 130.20     | N/C            |
| 349.0000   | METRO W TTL RTRN BD CL I  | 06/19/15            | 01/04/16            | 3,709.87    | 3,786.85   | N/C            |
| 1.0000     | MAINSTAY HI YLD CRP BD I  | 09/29/10            | 01/04/16            | 5.28        | 5.85       | (0.57) LT      |
| 78.0000    | MAINSTAY HI YLD CRP BD I  | 09/29/10            | 01/04/16            | 412.61      | 454.38     | (41.77) LT     |
| 73.0000    | MAINSTAY HI YLD CRP BD I  | 10/29/10            | 01/04/16            | 386.17      | 431.85     | (45.68) LT     |
| 4,986.0000 | MAINSTAY HI YLD CRP BD I  | 11/04/10            | 01/04/16            | 26,375.94   | 29,417.27  | (3,041.33) LT  |
| 1.0000     | MAINSTAY HI YLD CRP BD I  | 11/04/10            | 01/04/16            | 5.28        | 6.22       | (0.94) LT      |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 234.0000 | MAINSTAY HI YLD CRP BD I | 11/04/10            | 01/04/16            | 1,237.86    | 1,391.15   | (153.29) LT    |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 11/30/10            | 01/04/16            | 5.29        | 5.91       | (0.62) LT      |
| 106.0000 | MAINSTAY HI YLD CRP BD I | 11/30/10            | 01/04/16            | 560.74      | 614.84     | (54.10) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 12/20/10            | 01/04/16            | 5.28        | 5.85       | (0.57) LT      |
| 107.0000 | MAINSTAY HI YLD CRP BD I | 12/20/10            | 01/04/16            | 566.03      | 620.64     | (54.61) LT     |
| 135.0000 | MAINSTAY HI YLD CRP BD I | 12/27/10            | 01/04/16            | 714.15      | 785.75     | (71.60) LT     |
| 107.0000 | MAINSTAY HI YLD CRP BD I | 01/31/11            | 01/04/16            | 566.03      | 631.34     | (65.31) LT     |
| 107.0000 | MAINSTAY HI YLD CRP BD I | 02/28/11            | 01/04/16            | 566.03      | 635.63     | (69.60) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 03/30/11            | 01/04/16            | 5.28        | 5.92       | (0.64) LT      |
| 108.0000 | MAINSTAY HI YLD CRP BD I | 03/30/11            | 01/04/16            | 571.32      | 639.40     | (68.08) LT     |
| 54.0000  | MAINSTAY HI YLD CRP BD I | 04/29/11            | 01/04/16            | 285.66      | 321.88     | (36.22) LT     |
| 18.0000  | MAINSTAY HI YLD CRP BD I | 07/29/11            | 01/04/16            | 95.21       | 106.42     | (11.21) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 08/31/11            | 01/04/16            | 5.28        | 5.85       | (0.57) LT      |
| 55.0000  | MAINSTAY HI YLD CRP BD I | 08/31/11            | 01/04/16            | 290.95      | 314.10     | (23.15) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 10/05/11            | 01/04/16            | 5.28        | 5.67       | (0.39) LT      |
| 56.0000  | MAINSTAY HI YLD CRP BD I | 10/05/11            | 01/04/16            | 296.23      | 313.64     | (17.41) LT     |
| 55.0000  | MAINSTAY HI YLD CRP BD I | 10/31/11            | 01/04/16            | 290.95      | 318.49     | (27.54) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 01/31/12            | 01/04/16            | 5.29        | 5.76       | (0.47) LT      |
| 51.0000  | MAINSTAY HI YLD CRP BD I | 01/31/12            | 01/04/16            | 269.78      | 298.39     | (28.61) LT     |
| 186.0000 | MAINSTAY HI YLD CRP BD I | 02/01/12            | 01/04/16            | 983.94      | 1,088.14   | (104.20) LT    |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 02/29/12            | 01/04/16            | 5.28        | 5.94       | (0.66) LT      |
| 52.0000  | MAINSTAY HI YLD CRP BD I | 02/29/12            | 01/04/16            | 275.08      | 307.87     | (32.79) LT     |
| 53.0000  | MAINSTAY HI YLD CRP BD I | 03/30/12            | 01/04/16            | 280.37      | 311.89     | (31.52) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 04/30/12            | 01/04/16            | 5.28        | 5.94       | (0.66) LT      |
| 53.0000  | MAINSTAY HI YLD CRP BD I | 04/30/12            | 01/04/16            | 280.37      | 312.75     | (32.38) LT     |
| 54.0000  | MAINSTAY HI YLD CRP BD I | 05/31/12            | 01/04/16            | 285.66      | 314.32     | (28.66) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 06/28/12            | 01/04/16            | 5.28        | 5.89       | (0.61) LT      |
| 54.0000  | MAINSTAY HI YLD CRP BD I | 06/28/12            | 01/04/16            | 285.66      | 316.48     | (30.82) LT     |
| 53.0000  | MAINSTAY HI YLD CRP BD I | 07/31/12            | 01/04/16            | 280.37      | 314.87     | (34.50) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 08/31/12            | 01/04/16            | 5.29        | 6.00       | (0.71) LT      |
| 53.0000  | MAINSTAY HI YLD CRP BD I | 08/31/12            | 01/04/16            | 280.37      | 316.99     | (36.62) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 09/27/12            | 01/04/16            | 5.29        | 6.04       | (0.75) LT      |
| 53.0000  | MAINSTAY HI YLD CRP BD I | 09/27/12            | 01/04/16            | 280.37      | 318.58     | (38.21) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 10/31/12            | 01/04/16            | 5.28        | 6.08       | (0.80) LT      |
| 53.0000  | MAINSTAY HI YLD CRP BD I | 10/31/12            | 01/04/16            | 280.37      | 319.63     | (39.26) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 11/30/12            | 01/04/16            | 5.29        | 5.50       | (0.21) LT      |
| 49.0000  | MAINSTAY HI YLD CRP BD I | 11/30/12            | 01/04/16            | 259.21      | 295.51     | (36.30) LT     |
| 50.0000  | MAINSTAY HI YLD CRP BD I | 12/04/12            | 01/04/16            | 264.50      | 300.03     | (35.53) LT     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 12/06/12            | 01/04/16            | 5.29        | 6.08       | (0.79) LT      |
| 102.0000 | MAINSTAY HI YLD CRP BD I | 12/06/12            | 01/04/16            | 539.58      | 615.10     | (75.52) LT     |

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 50.0000    | MAINSTAY HI YLD CRP BD I | 01/31/13            | 01/04/16            | 264.50      | 305.04     | (40.54) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 02/28/13            | 01/04/16            | 5.29        | 6.14       | (0.85) LT      |
| 50.0000    | MAINSTAY HI YLD CRP BD I | 02/28/13            | 01/04/16            | 264.49      | 305.03     | (40.54) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 03/28/13            | 01/04/16            | 5.29        | 6.16       | (0.87) LT      |
| 50.0000    | MAINSTAY HI YLD CRP BD I | 03/28/13            | 01/04/16            | 264.50      | 305.53     | (41.03) LT     |
| 337.0000   | MAINSTAY HI YLD CRP BD I | 03/28/13            | 01/04/16            | 1,782.73    | 2,059.10   | (276.37) LT    |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 04/30/13            | 01/04/16            | 5.28        | 6.18       | (0.90) LT      |
| 52.0000    | MAINSTAY HI YLD CRP BD I | 04/30/13            | 01/04/16            | 275.08      | 320.87     | (45.79) LT     |
| 53.0000    | MAINSTAY HI YLD CRP BD I | 05/31/13            | 01/04/16            | 280.37      | 323.88     | (43.51) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 06/27/13            | 01/04/16            | 5.29        | 6.14       | (0.85) LT      |
| 55.0000    | MAINSTAY HI YLD CRP BD I | 06/27/13            | 01/04/16            | 290.95      | 325.64     | (34.69) LT     |
| 55.0000    | MAINSTAY HI YLD CRP BD I | 07/31/13            | 01/04/16            | 290.95      | 329.50     | (38.55) LT     |
| 56.0000    | MAINSTAY HI YLD CRP BD I | 08/30/13            | 01/04/16            | 296.24      | 332.12     | (35.88) LT     |
| 56.0000    | MAINSTAY HI YLD CRP BD I | 09/27/13            | 01/04/16            | 296.24      | 333.24     | (37.00) LT     |
| 19.0000    | MAINSTAY HI YLD CRP BD I | 09/30/13            | 01/04/16            | 100.50      | 112.91     | (12.41) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 10/31/13            | 01/04/16            | 5.29        | 6.00       | (0.71) LT      |
| 55.0000    | MAINSTAY HI YLD CRP BD I | 10/31/13            | 01/04/16            | 290.95      | 331.70     | (40.75) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 11/20/13            | 01/04/16            | 5.29        | 6.08       | (0.79) LT      |
| 9,456.0000 | MAINSTAY HI YLD CRP BD I | 11/20/13            | 01/04/16            | 50,022.34   | 57,019.39  | (6,997.05) LT  |
| 113.0000   | MAINSTAY HI YLD CRP BD I | 11/29/13            | 01/04/16            | 597.77      | 679.18     | (81.41) LT     |
| 114.0000   | MAINSTAY HI YLD CRP BD I | 12/16/13            | 01/04/16            | 603.06      | 682.90     | (79.84) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 01/31/14            | 01/04/16            | 5.29        | 6.06       | (0.77) LT      |
| 107.0000   | MAINSTAY HI YLD CRP BD I | 01/31/14            | 01/04/16            | 566.03      | 644.19     | (78.16) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 02/28/14            | 01/04/16            | 5.29        | 6.13       | (0.84) LT      |
| 106.0000   | MAINSTAY HI YLD CRP BD I | 02/28/14            | 01/04/16            | 560.74      | 644.52     | (83.78) LT     |
| 107.0000   | MAINSTAY HI YLD CRP BD I | 03/28/14            | 01/04/16            | 566.03      | 648.47     | (82.44) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 04/30/14            | 01/04/16            | 5.28        | 6.11       | (0.83) LT      |
| 108.0000   | MAINSTAY HI YLD CRP BD I | 04/30/14            | 01/04/16            | 571.32      | 655.60     | (84.28) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 05/09/14            | 01/04/16            | 5.28        | 6.14       | (0.86) LT      |
| 3,509.0000 | MAINSTAY HI YLD CRP BD I | 05/09/14            | 01/04/16            | 18,562.66   | 21,369.73  | (2,807.07) LT  |
| 128.0000   | MAINSTAY HI YLD CRP BD I | 05/30/14            | 01/04/16            | 677.12      | 778.28     | (101.16) LT    |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 06/27/14            | 01/04/16            | 5.28        | 6.14       | (0.86) LT      |
| 128.0000   | MAINSTAY HI YLD CRP BD I | 06/27/14            | 01/04/16            | 677.12      | 779.56     | (102.44) LT    |
| 130.0000   | MAINSTAY HI YLD CRP BD I | 07/31/14            | 01/04/16            | 687.70      | 778.74     | (91.04) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 08/29/14            | 01/04/16            | 5.29        | 5.59       | (0.30) LT      |
| 130.0000   | MAINSTAY HI YLD CRP BD I | 08/29/14            | 01/04/16            | 687.70      | 782.64     | (94.94) LT     |
| 134.0000   | MAINSTAY HI YLD CRP BD I | 09/29/14            | 01/04/16            | 708.86      | 785.28     | (76.42) LT     |
| 134.0000   | MAINSTAY HI YLD CRP BD I | 10/31/14            | 01/04/16            | 708.86      | 789.30     | (80.44) LT     |
| 1.0000     | MAINSTAY HI YLD CRP BD I | 11/28/14            | 01/04/16            | 5.28        | 5.92       | (0.64) LT      |
| 136.0000   | MAINSTAY HI YLD CRP BD I | 11/28/14            | 01/04/16            | 719.44      | 791.56     | (72.12) LT     |

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000   | MAINSTAY HI YLD CRP BD I | 12/16/14            | 01/04/16            | 5.29        | 5.72       | (0.43) LT      |
| 143.0000 | MAINSTAY HI YLD CRP BD I | 12/16/14            | 01/04/16            | 756.47      | 795.13     | (38.66) LT     |
| 128.0000 | MAINSTAY HI YLD CRP BD I | 01/30/15            | 01/04/16            | 677.12      | 728.99     | (51.87) ST     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 02/27/15            | 01/04/16            | 5.29        | 5.74       | (0.45) ST      |
| 126.0000 | MAINSTAY HI YLD CRP BD I | 02/27/15            | 01/04/16            | 666.54      | 730.82     | (64.28) ST     |
| 128.0000 | MAINSTAY HI YLD CRP BD I | 03/31/15            | 01/04/16            | 677.12      | 736.65     | (59.53) ST     |
| 128.0000 | MAINSTAY HI YLD CRP BD I | 04/30/15            | 01/04/16            | 677.12      | 743.68     | (66.56) ST     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 05/29/15            | 01/04/16            | 5.29        | 5.82       | (0.53) ST      |
| 128.0000 | MAINSTAY HI YLD CRP BD I | 05/29/15            | 01/04/16            | 677.12      | 744.32     | (67.20) ST     |
| 30.0000  | MAINSTAY HI YLD CRP BD I | 06/19/15            | 01/04/16            | 158.69      | 173.55     | (14.86) ST     |
| 80.0000  | MAINSTAY HI YLD CRP BD I | 08/19/15            | 01/04/16            | 423.20      | 462.80     | (39.60) ST     |
| 128.0000 | MAINSTAY HI YLD CRP BD I | 08/19/15            | 01/04/16            | 677.12      | 740.48     | (63.36) ST     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 08/30/15            | 01/04/16            | 5.29        | 5.81       | (0.52) ST      |
| 134.0000 | MAINSTAY HI YLD CRP BD I | 08/30/15            | 01/04/16            | 708.86      | 769.16     | (60.30) ST     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 07/31/15            | 01/04/16            | 5.29        | 5.83       | (0.54) ST      |
| 128.0000 | MAINSTAY HI YLD CRP BD I | 07/31/15            | 01/04/16            | 677.13      | 730.24     | (53.11) ST     |
| 131.0000 | MAINSTAY HI YLD CRP BD I | 08/31/15            | 01/04/16            | 693.00      | 733.61     | (40.61) ST     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 09/30/15            | 01/04/16            | 5.29        | 5.61       | (0.32) ST      |
| 135.0000 | MAINSTAY HI YLD CRP BD I | 09/30/15            | 01/04/16            | 714.16      | 740.48     | (26.32) ST     |
| 134.0000 | MAINSTAY HI YLD CRP BD I | 10/30/15            | 01/04/16            | 708.87      | 747.72     | (38.85) ST     |
| 137.0000 | MAINSTAY HI YLD CRP BD I | 11/30/15            | 01/04/16            | 724.74      | 749.39     | (24.65) ST     |
| 1.0000   | MAINSTAY HI YLD CRP BD I | 12/16/15            | 01/04/16            | 5.29        | 5.47       | (0.18) ST      |
| 0.6580   | MAINSTAY HI YLD CRP BD I | 12/16/15            | 01/22/16            | 3.37        | 3.49       | (0.12) ST      |
| 142.0000 | MAINSTAY HI YLD CRP BD I | 12/16/15            | 01/04/16            | 751.20      | 752.80     | (1.40) ST      |
| 503.0000 | MAINSTAY LG CP GRTH CL I | 12/29/10            | 01/04/16            | 4,838.86    | 3,656.87   | 1,181.99 LT    |
| 234.0000 | CULLEN HIGH DIV EQTY I   | 02/01/12            | 01/04/16            | 3,823.58    | 3,072.42   | 751.14 LT      |
| 1.0000   | CALVERT SHORT DURATION   | 10/19/09            | 01/04/16            | 15.95       | 16.85      | N/C            |
| 11.0000  | CALVERT SHORT DURATION   | 10/19/09            | 01/04/16            | 175.45      | 184.58     | N/C            |
| 3.0000   | CALVERT SHORT DURATION   | 10/19/09            | 01/04/16            | 47.84       | 50.07      | N/C            |
| 1.0000   | CALVERT SHORT DURATION   | 11/14/09            | 01/04/16            | 15.95       | 17.03      | N/C            |
| 2.0000   | CALVERT SHORT DURATION   | 11/14/09            | 01/04/16            | 31.90       | 33.09      | N/C            |
| 3.0000   | CALVERT SHORT DURATION   | 12/05/09            | 01/04/16            | 47.85       | 49.77      | N/C            |
| 11.0000  | CALVERT SHORT DURATION   | 05/01/10            | 01/04/16            | 175.45      | 181.95     | N/C            |
| 203.0000 | CALVERT SHORT DURATION   | 11/04/10            | 01/04/16            | 3,237.86    | 3,418.49   | N/C            |
| 1.0000   | PIMCO REAL RETURN FUND   | 02/01/12            | 01/04/16            | 10.56       | 11.97      | N/C            |
| 1.0000   | PIMCO REAL RETURN FUND   | 02/01/12            | 01/04/16            | 12.10       | 12.10      | N/C            |
| 2.0000   | PIMCO REAL RETURN FUND   | 02/01/12            | 01/04/16            | 21.12       | 24.26      | N/C            |
| 1.0000   | PIMCO REAL RETURN FUND   | 02/01/12            | 01/04/16            | 10.56       | 12.12      | N/C            |
| 3.0000   | PIMCO REAL RETURN FUND   | 02/01/12            | 01/04/16            | 31.67       | 36.38      | N/C            |
| 1.0000   | PIMCO REAL RETURN FUND   | 02/01/12            | 01/04/16            | 10.56       | 12.12      | (1.56) LT      |

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity    | Security Description    | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|-------------|-------------------------|---------------------|---------------------|-------------|------------|----------------|
| 148.0000    | PIMCO REAL RETURN FUND  | 02/01/12            | 01/04/16            | 1,582.89    | 1,782.15   | (219.26) LT    |
| 282.0000    | PIMCO REAL RETURN FUND  | 02/01/12            | 01/20/16            | 2,955.36    | 3,395.72   | (440.36) LT    |
| 11.0000     | AMERICAN EURO PACIFIC   | 11/04/10            | 01/04/16            | 489.39      | 467.95     | 21.44 LT       |
| 1.0000      | AMERICAN EURO PACIFIC   | 11/04/10            | 01/20/16            | 40.54       | 42.54      | N/C            |
| 51.0000     | AMERICAN EURO PACIFIC   | 11/04/10            | 01/20/16            | 2,067.54    | 2,169.57   | N/C            |
| 32.0000     | AMERICAN EURO PACIFIC   | 11/04/10            | 01/20/16            | 1,297.28    | 1,361.30   | (158.05) LT    |
| 79.0000     | AMERICAN EURO PACIFIC   | 11/04/10            | 01/20/16            | 3,202.66    | 3,360.71   | 1,007.82 LT    |
| 99.0000     | AMERICAN EURO PACIFIC   | 11/04/10            | 01/20/16            | 6,883.47    | 5,875.85   | 1,007.82 LT    |
| 1.0000      | C&S REALTY SHARES N     | 12/16/10            | 01/04/16            | 69.53       | 33.76      | 35.77 LT       |
| 11.0000     | C&S REALTY SHARES N     | 12/16/10            | 01/04/16            | 764.82      | 611.05     | 153.77 LT      |
| 1.0000      | C&S REALTY SHARES N     | 12/27/10            | 01/04/16            | 69.53       | 57.57      | 11.96 LT       |
| 12.0000     | C&S REALTY SHARES N     | 12/27/10            | 01/04/16            | 834.37      | 697.56     | 136.81 LT      |
| 13.0000     | C&S REALTY SHARES N     | 12/27/10            | 01/20/16            | 849.16      | 755.69     | 93.47 LT       |
| 7.0000      | C&S REALTY SHARES N     | 03/31/11            | 01/20/16            | 457.24      | 435.26     | 21.98 LT       |
| 1.0000      | C&S REALTY SHARES N     | 06/30/11            | 01/20/16            | 65.31       | 44.19      | 21.12 LT       |
| 2.0000      | C&S REALTY SHARES N     | 06/30/11            | 01/20/16            | 130.64      | 128.52     | 2.12 LT        |
| 4.0000      | C&S REALTY SHARES N     | 09/30/11            | 01/20/16            | 261.28      | 214.24     | 47.04 LT       |
| 6.0000      | C&S REALTY SHARES N     | 12/15/11            | 01/20/16            | 391.92      | 348.60     | 43.32 LT       |
| 1.0000      | C&S REALTY SHARES N     | 12/19/11            | 01/20/16            | 65.32       | 57.04      | 8.28 LT        |
| 11.0000     | C&S REALTY SHARES N     | 12/19/11            | 01/20/16            | 718.52      | 639.98     | 78.54 LT       |
| 1.0000      | C&S REALTY SHARES N     | 03/30/12            | 01/20/16            | 65.32       | 56.81      | 8.51 LT        |
| 3.0000      | C&S REALTY SHARES N     | 03/30/12            | 01/20/16            | 195.96      | 200.46     | (4.50) LT      |
| 3.0000      | C&S REALTY SHARES N     | 07/02/12            | 01/20/16            | 195.96      | 204.80     | (8.84) LT      |
| 1.0000      | C&S REALTY SHARES N     | 07/02/12            | 01/20/16            | 65.32       | 68.27      | (2.95) LT      |
| 3.0000      | C&S REALTY SHARES N     | 09/28/12            | 01/20/16            | 195.96      | 203.78     | (7.82) LT      |
| 1.0000      | C&S REALTY SHARES N     | 12/06/12            | 01/20/16            | 65.32       | 67.91      | (2.59) LT      |
| 38.0000     | C&S REALTY SHARES N     | 12/06/12            | 01/20/16            | 2,482.16    | 2,569.56   | (87.40) LT     |
| 5.0000      | C&S REALTY SHARES N     | 12/13/12            | 01/20/16            | 326.60      | 313.54     | 13.06 LT       |
| 1.0000      | C&S REALTY SHARES N     | 12/13/12            | 01/20/16            | 65.32       | 66.80      | (1.48) LT      |
| 31.0000     | C&S REALTY SHARES N     | 12/13/12            | 01/20/16            | 2,024.92    | 1,944.00   | 80.92 LT       |
| 20.0000     | C&S REALTY SHARES N     | 12/13/12            | 01/20/16            | 1,308.40    | 1,254.20   | 54.20 LT       |
| 4.0000      | C&S REALTY SHARES N     | 03/28/13            | 01/20/16            | 261.28      | 276.55     | (15.27) LT     |
| 3.0000      | C&S REALTY SHARES N     | 08/28/13            | 01/20/16            | 195.97      | 202.83     | (6.86) LT      |
| 10,817.0000 | BLACKROCK HIGH YLD INST | 01/04/16            | 01/20/16            | 74,096.45   | 76,908.87  | (2,812.42) ST  |
| 1.0000      | PIMCO REAL RETURN FUND  | 02/01/12            | 01/04/16            | 10.56       | 12.12      | 1.56 LT        |
| 1.0000      | PIMCO REAL RETURN FUND  | 02/01/12            | 01/04/16            | 10.56       | 12.12      | N/C            |
| 148.0000    | PIMCO REAL RETURN FUND  | 02/01/12            | 01/04/16            | 1,562.89    | 1,782.15   | 219.26 LT      |
| 2.0000      | PIMCO REAL RETURN FUND  | 02/01/12            | 01/04/16            | 21.12       | 24.08      | N/C            |
| 146.0000    | PIMCO REAL RETURN FUND  | 02/01/12            | 01/04/16            | 1,541.77    | 1,758.07   | (216.30) LT    |
| 79.0000     | AMERICAN EURO PACIFIC   | 02/01/12            | 01/20/16            | 3,202.66    | 3,360.71   | 158.05 LT      |

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# Fiscal Statement



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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description      | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|---------------------------|---------------------|---------------------|-------------|------------|----------------|
| 75.0000    | AMERICAN EURO PACIFIC     | 11/04/10            | 01/20/16            | 3,040.50    | 3,190.55   | N/C            |
| 4.0000     | AMERICAN EURO PACIFIC     | 11/04/10            | 01/20/16            | 162.16      | 170.16     | (8.00) LT      |
| 117.0000   | SECTOR SPDR FINANCIAL     | 01/04/16            | 06/14/16            | 2,655.85    | 2,717.90   | (62.05) ST     |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 02/01/12            | 06/14/16            | 7.76        | 8.37       | N/C            |
| 23.0000    | AM CENT SM CAP VAL INVSTR | 02/01/12            | 06/14/16            | 178.48      | 192.51     | N/C            |
| 451.0000   | AM CENT SM CAP VAL INVSTR | 02/01/12            | 06/14/16            | 3,499.76    | 3,774.87   | (275.11) LT    |
| 108.0000   | BARON SMALL CAP FUND INS  | 02/01/12            | 06/14/16            | 3,144.96    | 2,676.49   | 468.47 LT      |
| 93.0000    | DELAWARE EM MKT INST      | 03/28/13            | 06/14/16            | 1,196.91    | 1,366.72   | (169.81) LT    |
| 1.0000     | DELAWARE EM MKT INST      | 03/28/13            | 06/14/16            | 12.86       | 18.46      | (5.60) LT      |
| 14.0000    | DELAWARE EM MKT INST      | 03/28/13            | 06/14/16            | 180.18      | 229.54     | (49.36) LT     |
| 177.0000   | DELAWARE EM MKT INST      | 03/28/13            | 06/14/16            | 2,278.00    | 2,818.89   | (540.89) LT    |
| 334.0000   | MFS VALUE FD CL I         | 02/01/12            | 06/14/16            | 11,546.38   | 7,889.73   | 3,656.65 LT    |
| 201.0000   | MAINSTAY LG CP GRTH CL I  | 12/29/10            | 06/14/16            | 1,885.38    | 1,461.29   | 424.09 LT      |
| 248.0000   | CULLEN HIGH DIV EQTY I    | 02/01/12            | 06/14/16            | 4,218.48    | 3,256.24   | 962.24 LT      |
| 3.0000     | PIMCO REAL RETURN FUND    | 02/01/12            | 06/14/16            | 32.94       | 36.12      | N/C            |
| 41.0000    | PIMCO REAL RETURN FUND    | 02/01/12            | 06/14/16            | 450.18      | 493.71     | (43.53) LT     |
| 102.0000   | AMERICAN EURO PACIFIC     | 11/04/10            | 06/14/16            | 4,405.38    | 4,339.15   | 66.23 LT       |
| 2.0000     | C&S REALTY SHARES N       | 06/28/13            | 06/14/16            | 145.39      | 135.22     | 10.17 LT       |
| 1.0000     | C&S REALTY SHARES N       | 09/30/13            | 06/14/16            | 72.70       | 66.08      | 6.62 LT        |
| 4.0000     | C&S REALTY SHARES N       | 09/30/13            | 06/14/16            | 290.80      | 261.92     | 28.88 LT       |
| 26.0000    | C&S REALTY SHARES N       | 09/30/13            | 06/14/16            | 1,890.21    | 1,702.48   | 187.73 LT      |
| 100.0000   | BLACKROCK HIGH YLD INST   | 01/04/16            | 06/14/16            | 730.00      | 711.00     | 19.00 ST       |
| 41.0000    | PIMCO REAL RETURN FUND    | 02/01/12            | 06/14/16            | 450.18      | 493.71     | 43.53 LT       |
| 3.0000     | PIMCO REAL RETURN FUND    | 02/01/12            | 06/14/16            | 32.94       | 36.13      | N/C            |
| 38.0000    | PIMCO REAL RETURN FUND    | 02/01/12            | 06/14/16            | 417.24      | 457.58     | (40.34) LT     |
| 323.0000   | REAL ESTATE SELECT        | 09/22/16            | 10/06/16            | 10,061.23   | N/A        | N/C            |
| 323.0000   | REAL ESTATE SELECT        | 09/22/16            | 10/06/16            | 10,061.23   | N/A        | N/C            |
| 323.0000   | REAL ESTATE SELECT        | 09/22/16            | 10/06/16            | 10,061.23   | 10,513.64  | (452.41) ST    |
| 255.0000   | SPDR US FINANCIAL SECTOR  | 01/04/16            | 12/12/16            | 5,997.50    | 5,923.62   | 73.88 ST       |
| 1,947.0000 | SPDR US FINANCIAL SECTOR  | 01/04/16            | 12/20/16            | 46,006.60   | 45,228.62  | 777.98 ST      |
| 122.0000   | SPDR US FINANCIAL SECTOR  | 01/20/16            | 12/20/16            | 2,882.79    | 2,547.36   | 335.43 ST      |
| 528.0000   | SPDR US FINANCIAL SECTOR  | 10/06/16            | 12/20/16            | 12,476.38   | 10,336.58  | 2,139.80 ST    |
| 317.0000   | AM CENT SM CAP VAL INVSTR | 02/01/12            | 12/12/16            | 2,992.48    | 2,653.29   | 339.19 LT      |
| 1,306.0000 | AM CENT SM CAP VAL INVSTR | 02/01/12            | 12/20/16            | 11,910.71   | 10,931.22  | 979.49 LT      |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 02/01/12            | 12/20/16            | 9.11        | 9.28       | (0.17) LT      |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 02/01/12            | 12/20/16            | 9.12        | 8.71       | 0.41 LT        |
| 23.0000    | AM CENT SM CAP VAL INVSTR | 02/01/12            | 12/20/16            | 209.76      | 200.33     | 9.43 LT        |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 02/08/12            | 12/20/16            | 9.12        | 8.28       | 0.84 LT        |
| 23.0000    | AM CENT SM CAP VAL INVSTR | 02/08/12            | 12/20/16            | 209.76      | 192.51     | 17.25 LT       |
| 1.0000     | AM CENT SM CAP VAL INVSTR | 06/12/12            | 12/20/16            | 9.12        | 7.98       | 1.14 LT        |

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BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description      | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|---------------------------|---------------------|---------------------|-------------|------------|----------------|
| 32.0000  | AM CENT SM CAP VAL INVSTR | 06/12/12            | 12/20/16            | 291.84      | 252.79     | 39.05 LT       |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 09/18/12            | 12/20/16            | 9.12        | 7.98       | 1.14 LT        |
| 11.0000  | AM CENT SM CAP VAL INVSTR | 09/18/12            | 12/20/16            | 100.31      | 97.02      | 3.29 LT        |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 12/07/12            | 12/20/16            | 9.12        | 8.47       | 0.65 LT        |
| 137.0000 | AM CENT SM CAP VAL INVSTR | 12/07/12            | 12/20/16            | 1,249.44    | 1,134.36   | 115.08 LT      |
| 141.0000 | AM CENT SM CAP VAL INVSTR | 12/07/12            | 12/20/16            | 1,285.92    | 1,187.48   | 118.44 LT      |
| 42.0000  | AM CENT SM CAP VAL INVSTR | 12/07/12            | 12/20/16            | 383.04      | 353.22     | 29.82 LT       |
| 4.0000   | AM CENT SM CAP VAL INVSTR | 12/26/12            | 12/20/16            | 36.48       | 37.39      | (0.91) LT      |
| 17.0000  | AM CENT SM CAP VAL INVSTR | 03/12/13            | 12/20/16            | 155.04      | 163.37     | (8.33) LT      |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 08/11/13            | 12/20/16            | 9.12        | 8.79       | 0.33 LT        |
| 6.0000   | AM CENT SM CAP VAL INVSTR | 09/10/13            | 12/20/16            | 54.72       | 60.90      | (6.18) LT      |
| 320.0000 | AM CENT SM CAP VAL INVSTR | 12/06/13            | 12/20/16            | 2,918.40    | 3,017.60   | (99.20) LT     |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 12/06/13            | 12/20/16            | 9.11        | 9.16       | (0.05) LT      |
| 224.0000 | AM CENT SM CAP VAL INVSTR | 12/06/13            | 12/20/16            | 2,042.88    | 2,112.32   | (69.44) LT     |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 12/23/13            | 12/20/16            | 9.12        | 9.61       | (0.49) LT      |
| 6.0000   | AM CENT SM CAP VAL INVSTR | 12/23/13            | 12/20/16            | 54.72       | 57.90      | (3.18) LT      |
| 6.0000   | AM CENT SM CAP VAL INVSTR | 09/09/14            | 12/20/16            | 54.72       | 58.44      | (3.72) LT      |
| 142.0000 | AM CENT SM CAP VAL INVSTR | 09/26/14            | 12/20/16            | 1,295.04    | 1,329.12   | (34.08) LT     |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 12/09/14            | 12/20/16            | 9.11        | 9.41       | (0.30) LT      |
| 323.0000 | AM CENT SM CAP VAL INVSTR | 12/09/14            | 12/20/16            | 2,945.77    | 2,826.25   | 119.52 LT      |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 12/09/14            | 12/20/16            | 9.12        | 8.75       | 0.37 LT        |
| 193.0000 | AM CENT SM CAP VAL INVSTR | 12/09/14            | 12/20/16            | 1,760.16    | 1,688.75   | 71.41 LT       |
| 17.0000  | AM CENT SM CAP VAL INVSTR | 12/23/14            | 12/20/16            | 155.04      | 150.62     | 4.42 LT        |
| 5.0000   | AM CENT SM CAP VAL INVSTR | 06/09/15            | 12/20/16            | 45.60       | 46.00      | (0.40) LT      |
| 4.0000   | AM CENT SM CAP VAL INVSTR | 09/08/15            | 12/20/16            | 36.48       | 34.24      | 2.24 LT        |
| 100.0000 | AM CENT SM CAP VAL INVSTR | 12/08/15            | 12/20/16            | 912.00      | 777.00     | 135.00 LT      |
| 376.0000 | AM CENT SM CAP VAL INVSTR | 12/08/15            | 12/20/16            | 3,429.13    | 2,921.52   | 507.61 LT      |
| 6.0000   | AM CENT SM CAP VAL INVSTR | 12/28/15            | 12/20/16            | 54.72       | 45.78      | 8.94 ST        |
| 307.0000 | AM CENT SM CAP VAL INVSTR | 01/20/16            | 12/20/16            | 2,799.85    | 2,047.69   | 752.16 ST      |
| 3.0000   | AM CENT SM CAP VAL INVSTR | 09/20/16            | 12/20/16            | 27.36       | 24.54      | 2.82 ST        |
| 1.0000   | AM CENT SM CAP VAL INVSTR | 12/13/16            | 12/20/16            | 9.12        | 8.58       | 0.54 ST        |
| 101.0000 | AM CENT SM CAP VAL INVSTR | 12/13/16            | 12/20/16            | 921.13      | 909.00     | 12.13 ST       |
| 0.8700   | AM CENT SM CAP VAL INVSTR | 12/13/16            | 12/20/16            | 7.93        | 7.83       | 0.10 ST        |
| 76.0000  | AM CENT SM CAP VAL INVSTR | 12/13/16            | 12/20/16            | 693.13      | 684.00     | 9.13 ST        |
| 43.0000  | BARON SMALL CAP FUND INS  | 02/01/12            | 12/12/16            | 1,133.48    | 1,065.64   | 67.84 LT       |
| 595.0000 | BARON SMALL CAP FUND INS  | 02/01/12            | 12/20/16            | 15,713.94   | 14,745.48  | 968.46 LT      |
| 1.0000   | BARON SMALL CAP FUND INS  | 06/26/12            | 12/20/16            | 26.41       | 24.61      | 1.80 LT        |
| 1.0000   | BARON SMALL CAP FUND INS  | 06/26/12            | 12/20/16            | 26.40       | 24.24      | 2.16 LT        |
| 85.0000  | BARON SMALL CAP FUND INS  | 11/30/12            | 12/20/16            | 2,244.85    | 2,199.79   | 45.06 LT       |
| 63.0000  | BARON SMALL CAP FUND INS  | 11/27/13            | 12/20/16            | 1,663.83    | 2,135.70   | (471.87) LT    |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000     | BARON SMALL CAP FUND INS | 05/09/14            | 12/20/16            | 26.41       | 26.52      | (0.11) LT      |
| 42.0000    | BARON SMALL CAP FUND INS | 05/09/14            | 12/20/16            | 1,109.22    | 1,404.48   | (295.26) LT    |
| 62.0000    | BARON SMALL CAP FUND INS | 12/03/14            | 12/20/16            | 1,637.42    | 2,063.99   | (426.57) LT    |
| 1.0000     | BARON SMALL CAP FUND INS | 12/04/15            | 12/20/16            | 28.41       | 28.44      | (2.03) LT      |
| 117.0000   | BARON SMALL CAP FUND INS | 12/04/15            | 12/20/16            | 3,089.97    | 3,405.87   | (315.90) LT    |
| 78.0000    | BARON SMALL CAP FUND INS | 01/20/16            | 12/20/16            | 2,059.98    | 1,979.64   | 80.34 ST       |
| 0.8080     | BARON SMALL CAP FUND INS | 11/30/16            | 12/20/16            | 21.34       | 21.71      | (0.37) ST      |
| 235.0000   | BARON SMALL CAP FUND INS | 11/30/16            | 12/20/16            | 6,206.37    | 6,171.10   | 35.27 ST       |
| 123.0000   | DELAWARE EM MKT INST     | 03/28/13            | 12/12/16            | 1,840.08    | 1,958.89   | (118.81) LT    |
| 13.0000    | DELAWARE EM MKT INST     | 03/28/13            | 12/20/16            | 190.45      | 207.04     | (16.59) LT     |
| 709.0000   | DELAWARE EM MKT INST     | 11/20/13            | 12/20/16            | 10,386.85   | 11,518.38  | (1,131.53) LT  |
| 1.0000     | DELAWARE EM MKT INST     | 12/23/13            | 12/20/16            | 14.64       | 15.84      | (1.20) LT      |
| 33.0000    | DELAWARE EM MKT INST     | 12/23/13            | 12/20/16            | 483.45      | 526.89     | (43.44) LT     |
| 61.0000    | DELAWARE EM MKT INST     | 05/09/14            | 12/20/16            | 893.65      | 994.06     | (100.41) LT    |
| 1.0000     | DELAWARE EM MKT INST     | 09/26/14            | 12/20/16            | 14.65       | 16.47      | (1.82) LT      |
| 1,361.0000 | DELAWARE EM MKT INST     | 09/26/14            | 12/20/16            | 19,938.65   | 23,335.64  | (3,396.99) LT  |
| 34.0000    | DELAWARE EM MKT INST     | 12/23/14            | 12/20/16            | 498.10      | 497.97     | 0.13 LT        |
| 1.0000     | DELAWARE EM MKT INST     | 12/23/14            | 12/20/16            | 14.65       | 15.53      | (0.88) LT      |
| 91.0000    | DELAWARE EM MKT INST     | 12/23/14            | 12/20/16            | 1,333.15    | 1,332.79   | 0.36 LT        |
| 20.0000    | DELAWARE EM MKT INST     | 12/23/14            | 12/20/16            | 293.01      | 292.92     | 0.09 LT        |
| 0.6450     | DELAWARE EM MKT INST     | 12/23/15            | 12/20/16            | 9.45        | 8.09       | 1.36 ST        |
| 96.0000    | MFS VALUE FD CL I        | 02/01/12            | 12/12/16            | 3,604.80    | 2,267.71   | 1,337.09 LT    |
| 2,101.0000 | MFS VALUE FD CL I        | 02/01/12            | 12/20/16            | 77,043.68   | 49,629.67  | 27,413.99 LT   |
| 1.0000     | MFS VALUE FD CL I        | 03/22/12            | 12/20/16            | 36.66       | 24.13      | 12.53 LT       |
| 20.0000    | MFS VALUE FD CL I        | 03/22/12            | 12/20/16            | 733.40      | 496.60     | 236.80 LT      |
| 31.0000    | MFS VALUE FD CL I        | 06/26/12            | 12/20/16            | 1,136.77    | 720.44     | 416.33 LT      |
| 1.0000     | MFS VALUE FD CL I        | 09/25/12            | 12/20/16            | 36.67       | 25.16      | 11.51 LT       |
| 22.0000    | MFS VALUE FD CL I        | 09/25/12            | 12/20/16            | 806.74      | 563.42     | 243.32 LT      |
| 34.0000    | MFS VALUE FD CL I        | 12/11/12            | 12/20/16            | 1,246.78    | 865.64     | 381.14 LT      |
| 1.0000     | MFS VALUE FD CL I        | 12/11/12            | 12/20/16            | 36.67       | 24.85      | 11.82 LT       |
| 38.0000    | MFS VALUE FD CL I        | 12/11/12            | 12/20/16            | 1,393.46    | 967.47     | 425.99 LT      |
| 22.0000    | MFS VALUE FD CL I        | 03/27/13            | 12/20/16            | 806.74      | 621.72     | 185.02 LT      |
| 1.0000     | MFS VALUE FD CL I        | 03/27/13            | 12/20/16            | 36.66       | 27.31      | 9.35 LT        |
| 538.0000   | MFS VALUE FD CL I        | 03/28/13            | 12/20/16            | 19,728.47   | 15,295.34  | 4,433.13 LT    |
| 1.0000     | MFS VALUE FD CL I        | 06/26/13            | 12/20/16            | 36.66       | 29.28      | 7.38 LT        |
| 29.0000    | MFS VALUE FD CL I        | 06/26/13            | 12/20/16            | 1,063.43    | 852.30     | 211.13 LT      |
| 1.0000     | MFS VALUE FD CL I        | 09/25/13            | 12/20/16            | 36.66       | 29.75      | 6.91 LT        |
| 20.0000    | MFS VALUE FD CL I        | 09/25/13            | 12/20/16            | 733.40      | 621.60     | 111.80 LT      |
| 22.0000    | MFS VALUE FD CL I        | 09/30/13            | 12/20/16            | 806.74      | 679.80     | 126.94 LT      |
| 25.0000    | MFS VALUE FD CL I        | 12/10/13            | 12/20/16            | 916.75      | 808.49     | 108.26 LT      |

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000     | MFS VALUE FD CL I        | 12/10/13            | 12/20/16            | 36.67       | 26.53      | 10.14 LT       |
| 68.0000    | MFS VALUE FD CL I        | 12/10/13            | 12/20/16            | 2,420.22    | 2,134.43   | 285.79 LT      |
| 21.0000    | MFS VALUE FD CL I        | 12/10/13            | 12/20/16            | 770.07      | 679.16     | 90.91 LT       |
| 1.0000     | MFS VALUE FD CL I        | 03/27/14            | 12/20/16            | 36.67       | 32.59      | 4.08 LT        |
| 37.0000    | MFS VALUE FD CL I        | 03/27/14            | 12/20/16            | 1,356.79    | 1,222.49   | 134.30 LT      |
| 1.0000     | MFS VALUE FD CL I        | 06/26/14            | 12/20/16            | 36.67       | 26.86      | 9.81 LT        |
| 23.0000    | MFS VALUE FD CL I        | 06/26/14            | 12/20/16            | 843.41      | 793.52     | 49.89 LT       |
| 26.0000    | MFS VALUE FD CL I        | 09/25/14            | 12/20/16            | 953.42      | 889.73     | 63.69 LT       |
| 1.0000     | MFS VALUE FD CL I        | 09/26/14            | 12/20/16            | 34.35       | 2.32       | 32.03 LT       |
| 7.0000     | MFS VALUE FD CL I        | 09/26/14            | 12/20/16            | 256.69      | 240.87     | 15.82 LT       |
| 1.0000     | MFS VALUE FD CL I        | 12/11/14            | 12/20/16            | 36.67       | 34.63      | 2.04 LT        |
| 24.0000    | MFS VALUE FD CL I        | 12/11/14            | 12/20/16            | 880.08      | 834.23     | 45.85 LT       |
| 113.0000   | MFS VALUE FD CL I        | 12/11/14            | 12/20/16            | 4,143.71    | 3,927.88   | 215.83 LT      |
| 1.0000     | MFS VALUE FD CL I        | 12/11/14            | 12/20/16            | 36.67       | 34.76      | 1.91 LT        |
| 12.0000    | MFS VALUE FD CL I        | 12/11/14            | 12/20/16            | 440.04      | 417.12     | 22.92 LT       |
| 31.0000    | MFS VALUE FD CL I        | 03/26/15            | 12/20/16            | 1,136.77    | 1,081.27   | 55.50 LT       |
| 18.0000    | MFS VALUE FD CL I        | 06/25/15            | 12/20/16            | 660.06      | 644.23     | 15.83 LT       |
| 18.0000    | MFS VALUE FD CL I        | 09/28/15            | 12/20/16            | 660.06      | 574.20     | 85.86 LT       |
| 1.0000     | MFS VALUE FD CL I        | 12/10/15            | 12/20/16            | 36.67       | 33.13      | 3.54 LT        |
| 22.0000    | MFS VALUE FD CL I        | 12/10/15            | 12/20/16            | 806.74      | 729.72     | 77.02 LT       |
| 140.0000   | MFS VALUE FD CL I        | 12/10/15            | 12/20/16            | 5,133.81    | 4,643.80   | 490.01 LT      |
| 5.0000     | MFS VALUE FD CL I        | 12/10/15            | 12/20/16            | 183.35      | 165.85     | 17.50 LT       |
| 70.0000    | MFS VALUE FD CL I        | 01/20/16            | 12/20/16            | 2,566.91    | 2,111.20   | 455.71 ST      |
| 1.0000     | MFS VALUE FD CL I        | 03/29/16            | 12/20/16            | 36.67       | 33.18      | 3.49 ST        |
| 15.0000    | MFS VALUE FD CL I        | 03/29/16            | 12/20/16            | 550.05      | 502.20     | 47.85 ST       |
| 1.0000     | MFS VALUE FD CL I        | 06/28/16            | 12/20/16            | 36.67       | 33.60      | 3.07 ST        |
| 18.0000    | MFS VALUE FD CL I        | 06/28/16            | 12/20/16            | 660.06      | 606.24     | 53.82 ST       |
| 13.0000    | MFS VALUE FD CL I        | 09/27/16            | 12/20/16            | 476.71      | 459.80     | 16.91 ST       |
| 1.0000     | MFS VALUE FD CL I        | 12/13/16            | 12/20/16            | 36.67       | 35.67      | 1.00 ST        |
| 28.0000    | MFS VALUE FD CL I        | 12/13/16            | 12/20/16            | 1,026.76    | 1,030.39   | (3.63) ST      |
| 1.0000     | MFS VALUE FD CL I        | 12/13/16            | 12/20/16            | 36.67       | 36.80      | (0.13) ST      |
| 0.4130     | MFS VALUE FD CL I        | 12/13/16            | 12/20/16            | 15.14       | 15.20      | (0.06) ST      |
| 60.0000    | MFS VALUE FD CL I        | 12/13/16            | 12/20/16            | 2,200.22    | 2,208.01   | (7.79) ST      |
| 6,932.0000 | MFS VALUE FD CL I        | 06/19/15            | 12/20/16            | 72,439.40   | 75,212.20  | (2,772.80) LT  |
| 1.0000     | METRO W TTL RTRN BD CL I | 08/19/15            | 12/20/16            | 10.44       | 10.92      | (0.48) LT      |
| 3.0000     | METRO W TTL RTRN BD CL I | 08/19/15            | 12/20/16            | 31.34       | 32.67      | (1.33) LT      |
| 1.0000     | METRO W TTL RTRN BD CL I | 08/19/15            | 12/20/16            | 10.45       | 10.99      | (0.54) LT      |
| 1.0000     | METRO W TTL RTRN BD CL I | 08/19/15            | 12/20/16            | 10.45       | 10.85      | (0.40) LT      |
| 35.0000    | METRO W TTL RTRN BD CL I | 06/19/15            | 12/20/16            | 365.75      | 379.75     | (14.00) LT     |
| 46.0000    | METRO W TTL RTRN BD CL I | 06/19/15            | 12/20/16            | 480.70      | 499.10     | (18.40) LT     |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 12.0000    | METRO W TTL RTRN BD CL I | 06/19/15            | 12/20/16            | 125.40      | 130.08     | (4.88) LT      |
| 349.0000   | METRO W TTL RTRN BD CL I | 07/05/15            | 12/20/16            | 3,647.05    | 3,811.08   | (164.03) LT    |
| 1.0000     | METRO W TTL RTRN BD CL I | 07/10/15            | 12/20/16            | 10.44       | 10.93      | (0.49) LT      |
| 12.0000    | METRO W TTL RTRN BD CL I | 07/10/15            | 12/20/16            | 125.40      | 131.18     | (5.76) LT      |
| 1.0000     | METRO W TTL RTRN BD CL I | 08/31/15            | 12/20/16            | 10.44       | 10.83      | (0.39) LT      |
| 11.0000    | METRO W TTL RTRN BD CL I | 08/31/15            | 12/20/16            | 114.95      | 118.91     | (3.96) LT      |
| 10.0000    | METRO W TTL RTRN BD CL I | 09/30/15            | 12/20/16            | 104.50      | 108.30     | (3.80) LT      |
| 10.0000    | METRO W TTL RTRN BD CL I | 10/30/15            | 12/20/16            | 104.49      | 108.20     | (3.71) LT      |
| 11.0000    | METRO W TTL RTRN BD CL I | 11/30/15            | 12/20/16            | 114.94      | 118.69     | (3.75) LT      |
| 3,931.0000 | METRO W TTL RTRN BD CL I | 01/20/16            | 12/20/16            | 41,079.00   | 42,061.70  | (982.70) ST    |
| 1.0000     | METRO W TTL RTRN BD CL I | 01/29/16            | 12/20/16            | 10.45       | 10.66      | (0.21) ST      |
| 12.0000    | METRO W TTL RTRN BD CL I | 01/29/16            | 12/20/16            | 125.40      | 128.76     | (3.36) ST      |
| 14.0000    | METRO W TTL RTRN BD CL I | 02/29/16            | 12/20/16            | 146.30      | 150.64     | (4.34) ST      |
| 1.0000     | METRO W TTL RTRN BD CL I | 03/31/16            | 12/20/16            | 10.45       | 10.78      | (0.33) ST      |
| 19.0000    | METRO W TTL RTRN BD CL I | 03/31/16            | 12/20/16            | 198.55      | 205.77     | (7.22) ST      |
| 18.0000    | METRO W TTL RTRN BD CL I | 04/29/16            | 12/20/16            | 188.10      | 195.48     | (7.38) ST      |
| 1.0000     | METRO W TTL RTRN BD CL I | 05/31/16            | 12/20/16            | 10.45       | 10.85      | (0.40) ST      |
| 20.0000    | METRO W TTL RTRN BD CL I | 05/31/16            | 12/20/16            | 209.00      | 216.80     | (7.80) ST      |
| 18.0000    | METRO W TTL RTRN BD CL I | 06/30/16            | 12/20/16            | 188.10      | 197.82     | (9.72) ST      |
| 1.0000     | METRO W TTL RTRN BD CL I | 07/29/16            | 12/20/16            | 10.45       | 11.00      | (0.55) ST      |
| 20.0000    | METRO W TTL RTRN BD CL I | 07/29/16            | 12/20/16            | 209.00      | 221.00     | (12.00) ST     |
| 17.0000    | METRO W TTL RTRN BD CL I | 08/31/16            | 12/20/16            | 177.65      | 187.51     | (9.86) ST      |
| 1.0000     | METRO W TTL RTRN BD CL I | 09/30/16            | 12/20/16            | 10.45       | 11.04      | (0.59) ST      |
| 15.0000    | METRO W TTL RTRN BD CL I | 09/30/16            | 12/20/16            | 156.75      | 165.30     | (8.55) ST      |
| 16.0000    | METRO W TTL RTRN BD CL I | 10/31/16            | 12/20/16            | 167.20      | 174.88     | (7.68) ST      |
| 1.0000     | METRO W TTL RTRN BD CL I | 11/30/16            | 12/20/16            | 10.45       | 10.94      | (0.49) ST      |
| 16.0000    | METRO W TTL RTRN BD CL I | 11/30/16            | 12/20/16            | 167.20      | 171.04     | (3.84) ST      |
| 17.0000    | METRO W TTL RTRN BD CL I | 12/12/16            | 12/20/16            | 177.65      | 178.50     | (0.85) ST      |
| 1.0000     | METRO W TTL RTRN BD CL I | 12/12/16            | 12/20/16            | 10.45       | 10.51      | (0.06) ST      |
| 0.0310     | METRO W TTL RTRN BD CL I | 12/12/16            | 12/20/16            | 0.32        | 0.33       | (0.01) ST      |
| 148.0000   | METRO W TTL RTRN BD CL I | 12/12/16            | 12/20/16            | 1,546.61    | 1,554.00   | (7.39) ST      |
| 44.0000    | MAINSTAY LG CP GRTH CL I | 12/29/10            | 12/12/16            | 379.28      | 319.89     | 59.39 LT       |
| 8,327.0000 | MAINSTAY LG CP GRTH CL I | 12/29/10            | 12/20/16            | 72,278.36   | 60,538.27  | 11,740.09 LT   |
| 432.0000   | MAINSTAY LG CP GRTH CL I | 12/19/11            | 12/20/16            | 3,749.75    | 2,959.20   | 790.55 LT      |
| 1.0000     | MAINSTAY LG CP GRTH CL I | 12/08/12            | 12/20/16            | 8.67        | 7.64       | 1.03 LT        |
| 20.0000    | MAINSTAY LG CP GRTH CL I | 12/06/12            | 12/20/16            | 173.60      | 158.20     | 14.40 LT       |
| 1.0000     | MAINSTAY LG CP GRTH CL I | 12/13/12            | 12/20/16            | 8.68        | 7.94       | 0.74 LT        |
| 50.0000    | MAINSTAY LG CP GRTH CL I | 12/13/12            | 12/20/16            | 434.00      | 396.50     | 37.50 LT       |
| 12.0000    | MAINSTAY LG CP GRTH CL I | 12/28/12            | 12/20/16            | 104.16      | 93.95      | 10.21 LT       |
| 775.0000   | MAINSTAY LG CP GRTH CL I | 12/04/13            | 12/20/16            | 6,727.00    | 7,757.75   | (1,030.75) LT  |

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# EMA<sup>SM</sup> Fiscal Statement

BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description     | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|--------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000     | MAINSTAY LG CP GRTH CL I | 05/09/14            | 12/20/16            | 8.68        | 9.62       | (0.94) LT      |
| 165.0000   | MAINSTAY LG CP GRTH CL I | 05/09/14            | 12/20/16            | 1,432.20    | 1,659.90   | (227.70) LT    |
| 1,279.0000 | MAINSTAY LG CP GRTH CL I | 12/04/14            | 12/20/16            | 11,101.72   | 13,493.45  | (2,391.73) LT  |
| 1.0000     | MAINSTAY LG CP GRTH CL I | 12/04/14            | 12/20/16            | 8.67        | 10.28      | (1.61) LT      |
| 157.0000   | MAINSTAY LG CP GRTH CL I | 12/04/14            | 12/20/16            | 1,362.76    | 1,656.35   | (293.59) LT    |
| 1,638.0000 | MAINSTAY LG CP GRTH CL I | 12/03/15            | 12/20/16            | 14,217.85   | 16,085.16  | (1,867.31) LT  |
| 650.0000   | MAINSTAY LG CP GRTH CL I | 01/20/16            | 12/20/16            | 5,642.00    | 5,726.50   | (84.50) ST     |
| 1.0000     | MAINSTAY LG CP GRTH CL I | 12/05/16            | 12/20/16            | 8.68        | 7.47       | 1.21 ST        |
| 0.7570     | MAINSTAY LG CP GRTH CL I | 12/05/16            | 12/20/16            | 6.57        | 6.43       | 0.14 ST        |
| 1,691.0000 | MAINSTAY LG CP GRTH CL I | 12/05/16            | 12/20/16            | 14,677.90   | 14,373.50  | 304.40 ST      |
| 43.0000    | CULLEN HIGH DIV EQTY I   | 02/01/12            | 12/12/16            | 767.98      | 564.59     | 203.39 LT      |
| 1,544.0000 | CULLEN HIGH DIV EQTY I   | 02/01/12            | 12/20/16            | 27,745.67   | 20,272.72  | 7,472.95 LT    |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 03/09/12            | 12/20/16            | 17.96       | 13.15      | 4.81 LT        |
| 22.0000    | CULLEN HIGH DIV EQTY I   | 03/09/12            | 12/20/16            | 395.34      | 292.15     | 103.19 LT      |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 03/30/12            | 12/20/16            | 17.96       | 13.29      | 4.67 LT        |
| 12.0000    | CULLEN HIGH DIV EQTY I   | 03/30/12            | 12/20/16            | 215.64      | 159.84     | 55.80 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 04/30/12            | 12/20/16            | 17.96       | 13.41      | 4.55 LT        |
| 7.0000     | CULLEN HIGH DIV EQTY I   | 04/30/12            | 12/20/16            | 125.79      | 95.12      | 30.67 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 05/31/12            | 12/20/16            | 17.97       | 13.13      | 4.84 LT        |
| 16.0000    | CULLEN HIGH DIV EQTY I   | 05/31/12            | 12/20/16            | 287.52      | 207.68     | 79.84 LT       |
| 16.0000    | CULLEN HIGH DIV EQTY I   | 08/29/12            | 12/20/16            | 287.52      | 216.00     | 71.52 LT       |
| 8.0000     | CULLEN HIGH DIV EQTY I   | 07/31/12            | 12/20/16            | 143.76      | 111.68     | 32.08 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 08/31/12            | 12/20/16            | 17.97       | 13.76      | 4.21 LT        |
| 14.0000    | CULLEN HIGH DIV EQTY I   | 08/31/12            | 12/20/16            | 251.58      | 193.33     | 58.25 LT       |
| 12.0000    | CULLEN HIGH DIV EQTY I   | 09/28/12            | 12/20/16            | 215.64      | 169.19     | 46.45 LT       |
| 9.0000     | CULLEN HIGH DIV EQTY I   | 11/02/12            | 12/20/16            | 161.73      | 125.72     | 36.01 LT       |
| 14.0000    | CULLEN HIGH DIV EQTY I   | 11/30/12            | 12/20/16            | 251.58      | 194.03     | 57.55 LT       |
| 36.0000    | CULLEN HIGH DIV EQTY I   | 12/06/12            | 12/20/16            | 646.92      | 501.48     | 145.44 LT      |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 12/31/12            | 12/20/16            | 17.96       | 13.97      | 3.99 LT        |
| 15.0000    | CULLEN HIGH DIV EQTY I   | 12/31/12            | 12/20/16            | 269.55      | 206.10     | 63.45 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 01/31/13            | 12/20/16            | 17.96       | 14.03      | 3.93 LT        |
| 21.0000    | CULLEN HIGH DIV EQTY I   | 02/28/13            | 12/20/16            | 377.37      | 307.23     | 70.14 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 03/28/13            | 12/20/16            | 17.97       | 14.63      | 3.34 LT        |
| 17.0000    | CULLEN HIGH DIV EQTY I   | 03/28/13            | 12/20/16            | 305.49      | 255.33     | 50.16 LT       |
| 5.0000     | CULLEN HIGH DIV EQTY I   | 04/30/13            | 12/20/16            | 89.85       | 77.60      | 12.25 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 05/31/13            | 12/20/16            | 17.96       | 14.01      | 3.95 LT        |
| 19.0000    | CULLEN HIGH DIV EQTY I   | 05/31/13            | 12/20/16            | 341.43      | 298.87     | 42.56 LT       |
| 16.0000    | CULLEN HIGH DIV EQTY I   | 08/28/13            | 12/20/16            | 287.52      | 245.11     | 42.41 LT       |
| 1.0000     | CULLEN HIGH DIV EQTY I   | 07/31/13            | 12/20/16            | 17.97       | 15.60      | 2.37 LT        |
| 4.0000     | CULLEN HIGH DIV EQTY I   | 07/31/13            | 12/20/16            | 71.88       | 63.28      | 8.60 LT        |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000   | CULLEN HIGH DIV EQTY I | 08/30/13            | 12/20/16            | 17.96       | 15.40      | 2.56 LT        |
| 22.0000  | CULLEN HIGH DIV EQTY I | 08/30/13            | 12/20/16            | 395.34      | 337.47     | 57.87 LT       |
| 11.0000  | CULLEN HIGH DIV EQTY I | 09/30/13            | 12/20/16            | 197.67      | 173.59     | 24.08 LT       |
| 6.0000   | CULLEN HIGH DIV EQTY I | 10/31/13            | 12/20/16            | 107.82      | 97.74      | 10.08 LT       |
| 15.0000  | CULLEN HIGH DIV EQTY I | 11/29/13            | 12/20/16            | 269.55      | 246.60     | 22.95 LT       |
| 1.0000   | CULLEN HIGH DIV EQTY I | 12/31/13            | 12/20/16            | 17.97       | 16.53      | 1.44 LT        |
| 9.0000   | CULLEN HIGH DIV EQTY I | 12/31/13            | 12/20/16            | 161.73      | 150.02     | 11.71 LT       |
| 1.0000   | CULLEN HIGH DIV EQTY I | 01/31/14            | 12/20/16            | 17.96       | 16.21      | 1.75 LT        |
| 105.0000 | CULLEN HIGH DIV EQTY I | 02/28/14            | 12/20/16            | 1,886.85    | 1,721.99   | 164.86 LT      |
| 1.0000   | CULLEN HIGH DIV EQTY I | 03/31/14            | 12/20/16            | 179.70      | 165.89     | 13.81 LT       |
| 10.0000  | CULLEN HIGH DIV EQTY I | 03/31/14            | 12/20/16            | 71.88       | 68.72      | 3.16 LT        |
| 4.0000   | CULLEN HIGH DIV EQTY I | 04/30/14            | 12/20/16            | 197.67      | 190.29     | 7.38 LT        |
| 11.0000  | CULLEN HIGH DIV EQTY I | 05/30/14            | 12/20/16            | 125.79      | 123.28     | 2.51 LT        |
| 7.0000   | CULLEN HIGH DIV EQTY I | 06/30/14            | 12/20/16            | 35.94       | 35.14      | 0.80 LT        |
| 2.0000   | CULLEN HIGH DIV EQTY I | 07/31/14            | 12/20/16            | 215.64      | 212.16     | 3.48 LT        |
| 12.0000  | CULLEN HIGH DIV EQTY I | 08/29/14            | 12/20/16            | 125.79      | 122.22     | 3.57 LT        |
| 7.0000   | CULLEN HIGH DIV EQTY I | 09/30/14            | 12/20/16            | 17.97       | 17.50      | 0.47 LT        |
| 1.0000   | CULLEN HIGH DIV EQTY I | 10/31/14            | 12/20/16            | 17.97       | 17.79      | 0.18 LT        |
| 1.0000   | CULLEN HIGH DIV EQTY I | 11/28/14            | 12/20/16            | 107.82      | 108.90     | (1.08) LT      |
| 6.0000   | CULLEN HIGH DIV EQTY I | 12/31/14            | 12/20/16            | 71.88       | 70.38      | 1.52 LT        |
| 4.0000   | CULLEN HIGH DIV EQTY I | 12/31/14            | 12/20/16            | 17.97       | 17.91      | 0.06 LT        |
| 85.0000  | CULLEN HIGH DIV EQTY I | 12/31/14            | 12/20/16            | 1,527.45    | 1,495.15   | 32.30 LT       |
| 1.0000   | CULLEN HIGH DIV EQTY I | 01/30/15            | 12/20/16            | 17.97       | 17.22      | 0.75 LT        |
| 1.0000   | CULLEN HIGH DIV EQTY I | 02/27/15            | 12/20/16            | 17.97       | 17.45      | 0.52 LT        |
| 10.0000  | CULLEN HIGH DIV EQTY I | 02/27/15            | 12/20/16            | 179.70      | 174.41     | 5.29 LT        |
| 1.0000   | CULLEN HIGH DIV EQTY I | 03/31/15            | 12/20/16            | 17.96       | 17.30      | 0.66 LT        |
| 6.0000   | CULLEN HIGH DIV EQTY I | 03/31/15            | 12/20/16            | 107.82      | 102.31     | 5.51 LT        |
| 9.0000   | CULLEN HIGH DIV EQTY I | 05/29/15            | 12/20/16            | 161.73      | 155.97     | 5.76 LT        |
| 729.0000 | CULLEN HIGH DIV EQTY I | 06/19/15            | 12/20/16            | 13,100.18   | 12,495.06  | 605.12 LT      |
| 10.0000  | CULLEN HIGH DIV EQTY I | 06/30/15            | 12/20/16            | 179.70      | 166.50     | 13.20 LT       |
| 2.0000   | CULLEN HIGH DIV EQTY I | 07/31/15            | 12/20/16            | 35.94       | 34.00      | 1.94 LT        |
| 15.0000  | CULLEN HIGH DIV EQTY I | 08/31/15            | 12/20/16            | 269.55      | 239.40     | 30.15 LT       |
| 1.0000   | CULLEN HIGH DIV EQTY I | 09/30/15            | 12/20/16            | 17.97       | 16.38      | 1.61 LT        |
| 5.0000   | CULLEN HIGH DIV EQTY I | 09/30/15            | 12/20/16            | 89.85       | 77.30      | 12.55 LT       |
| 1.0000   | CULLEN HIGH DIV EQTY I | 10/30/15            | 12/20/16            | 17.97       | 16.31      | 1.66 LT        |
| 4.0000   | CULLEN HIGH DIV EQTY I | 10/30/15            | 12/20/16            | 71.88       | 68.76      | 3.12 LT        |
| 1.0000   | CULLEN HIGH DIV EQTY I | 11/30/15            | 12/20/16            | 17.97       | 17.17      | 0.80 LT        |
| 10.0000  | CULLEN HIGH DIV EQTY I | 11/30/15            | 12/20/16            | 179.70      | 171.61     | 8.09 LT        |
| 4.0000   | CULLEN HIGH DIV EQTY I | 12/31/15            | 12/20/16            | 71.88       | 67.01      | 4.87 ST        |

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# EMA Fiscal Statement

BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000     | CULLEN HIGH DIV EQTY I | 12/31/15            | 12/20/16            | 17.97       | 16.84      | 1.13 ST        |
| 92.0000    | CULLEN HIGH DIV EQTY I | 12/31/15            | 12/20/16            | 1,653.24    | 1,541.00   | 112.24 ST      |
| 592.0000   | CULLEN HIGH DIV EQTY I | 01/20/16            | 12/20/16            | 10,638.29   | 9,028.00   | 1,610.29 ST    |
| 1.0000     | CULLEN HIGH DIV EQTY I | 01/29/16            | 12/20/16            | 17.97       | 16.24      | 1.73 ST        |
| 2.0000     | CULLEN HIGH DIV EQTY I | 01/29/16            | 12/20/16            | 35.94       | 31.22      | 4.72 ST        |
| 19.0000    | CULLEN HIGH DIV EQTY I | 02/29/16            | 12/20/16            | 341.43      | 302.85     | 38.58 ST       |
| 1.0000     | CULLEN HIGH DIV EQTY I | 03/31/16            | 12/20/16            | 17.97       | 16.01      | 1.96 ST        |
| 10.0000    | CULLEN HIGH DIV EQTY I | 03/31/16            | 12/20/16            | 179.70      | 167.69     | 12.01 ST       |
| 1.0000     | CULLEN HIGH DIV EQTY I | 04/29/16            | 12/20/16            | 17.97       | 16.85      | 1.12 ST        |
| 3.0000     | CULLEN HIGH DIV EQTY I | 04/29/16            | 12/20/16            | 53.91       | 50.70      | 3.21 ST        |
| 13.0000    | CULLEN HIGH DIV EQTY I | 05/31/16            | 12/20/16            | 233.61      | 222.55     | 11.06 ST       |
| 6.0000     | CULLEN HIGH DIV EQTY I | 08/30/16            | 12/20/16            | 107.82      | 103.75     | 4.07 ST        |
| 1.0000     | CULLEN HIGH DIV EQTY I | 07/29/16            | 12/20/16            | 17.97       | 17.11      | 0.86 ST        |
| 3.0000     | CULLEN HIGH DIV EQTY I | 07/29/16            | 12/20/16            | 53.91       | 53.48      | 0.43 ST        |
| 14.0000    | CULLEN HIGH DIV EQTY I | 08/31/16            | 12/20/16            | 251.58      | 249.33     | 2.25 ST        |
| 1.0000     | CULLEN HIGH DIV EQTY I | 09/30/16            | 12/20/16            | 17.97       | 17.82      | 0.15 ST        |
| 5.0000     | CULLEN HIGH DIV EQTY I | 09/30/16            | 12/20/16            | 89.85       | 87.60      | 2.25 ST        |
| 4.0000     | CULLEN HIGH DIV EQTY I | 10/31/16            | 12/20/16            | 71.88       | 68.44      | 3.44 ST        |
| 0.5720     | CULLEN HIGH DIV EQTY I | 11/30/16            | 12/20/16            | 10.28       | 9.89       | 0.39 ST        |
| 17.0000    | CULLEN HIGH DIV EQTY I | 11/30/16            | 12/20/16            | 305.50      | 295.30     | 10.20 ST       |
| 1.0000     | CALVERT SHORT DURATION | 11/04/09            | 12/20/16            | 16.10       | 16.84      | (0.74) LT      |
| 11.0000    | CALVERT SHORT DURATION | 11/04/09            | 12/20/16            | 177.20      | 184.47     | (7.27) LT      |
| 3.0000     | CALVERT SHORT DURATION | 11/04/09            | 12/20/16            | 48.33       | 50.05      | (1.72) LT      |
| 1.0000     | CALVERT SHORT DURATION | 11/30/09            | 12/20/16            | 16.10       | 17.02      | (0.92) LT      |
| 2.0000     | CALVERT SHORT DURATION | 11/30/09            | 12/20/16            | 32.22       | 33.07      | (0.85) LT      |
| 3.0000     | CALVERT SHORT DURATION | 12/21/09            | 12/20/16            | 48.33       | 49.74      | (1.41) LT      |
| 11.0000    | CALVERT SHORT DURATION | 05/17/10            | 12/20/16            | 177.21      | 181.84     | (4.63) LT      |
| 111.0000   | CALVERT SHORT DURATION | 11/04/10            | 12/20/16            | 1,788.21    | 1,889.22   | (81.01) LT     |
| 3,431.0000 | CALVERT SHORT DURATION | 11/04/10            | 12/20/16            | 55,273.42   | 57,606.03  | (2,332.61) LT  |
| 203.0000   | CALVERT SHORT DURATION | 11/20/10            | 12/20/16            | 3,270.33    | 3,416.45   | (146.12) LT    |
| 1.0000     | CALVERT SHORT DURATION | 11/24/10            | 12/20/16            | 16.10       | 16.78      | (0.68) LT      |
| 25.0000    | CALVERT SHORT DURATION | 11/24/10            | 12/20/16            | 402.75      | 417.50     | (14.75) LT     |
| 89.0000    | CALVERT SHORT DURATION | 12/03/10            | 12/20/16            | 1,433.79    | 1,471.15   | (37.36) LT     |
| 26.0000    | CALVERT SHORT DURATION | 12/23/10            | 12/20/16            | 418.86      | 428.21     | (9.35) LT      |
| 201.0000   | CALVERT SHORT DURATION | 12/27/10            | 12/20/16            | 3,238.11    | 3,314.46   | (76.35) LT     |
| 1.0000     | CALVERT SHORT DURATION | 01/28/11            | 12/20/16            | 16.10       | 16.60      | (0.50) LT      |
| 29.0000    | CALVERT SHORT DURATION | 01/28/11            | 12/20/16            | 467.19      | 478.48     | (11.29) LT     |
| 1.0000     | CALVERT SHORT DURATION | 02/25/11            | 12/20/16            | 16.11       | 16.53      | (0.42) LT      |
| 27.0000    | CALVERT SHORT DURATION | 02/25/11            | 12/20/16            | 434.97      | 447.11     | (12.14) LT     |
| 1.0000     | CALVERT SHORT DURATION | 03/31/11            | 12/20/16            | 16.10       | 16.57      | (0.47) LT      |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 28.0000  | CALVERT SHORT DURATION | 03/31/11            | 12/20/16            | 451.08      | 464.23     | (13.15) LT     |
| 14.0000  | CALVERT SHORT DURATION | 04/29/11            | 12/20/16            | 225.54      | 232.68     | (7.14) LT      |
| 13.0000  | CALVERT SHORT DURATION | 05/27/11            | 12/20/16            | 209.43      | 216.31     | (6.88) LT      |
| 1.0000   | CALVERT SHORT DURATION | 08/22/11            | 12/20/16            | 16.11       | 18.61      | (0.50) LT      |
| 56.0000  | CALVERT SHORT DURATION | 06/22/11            | 12/20/16            | 902.16      | 930.71     | (28.55) LT     |
| 1.0000   | CALVERT SHORT DURATION | 06/30/11            | 12/20/16            | 16.10       | 18.58      | (0.48) LT      |
| 13.0000  | CALVERT SHORT DURATION | 06/30/11            | 12/20/16            | 209.43      | 215.15     | (5.72) LT      |
| 13.0000  | CALVERT SHORT DURATION | 07/29/11            | 12/20/16            | 209.42      | 215.54     | (6.12) LT      |
| 1.0000   | CALVERT SHORT DURATION | 08/28/11            | 12/20/16            | 16.10       | 16.13      | (0.03) LT      |
| 15.0000  | CALVERT SHORT DURATION | 08/26/11            | 12/20/16            | 241.65      | 246.30     | (4.65) LT      |
| 20.0000  | CALVERT SHORT DURATION | 09/30/11            | 12/20/16            | 322.20      | 326.20     | (4.00) LT      |
| 1.0000   | CALVERT SHORT DURATION | 10/28/11            | 12/20/16            | 16.11       | 16.28      | (0.17) LT      |
| 15.0000  | CALVERT SHORT DURATION | 10/28/11            | 12/20/16            | 241.65      | 242.39     | (0.74) LT      |
| 1.0000   | CALVERT SHORT DURATION | 01/27/12            | 12/20/16            | 16.10       | 15.91      | 0.19 LT        |
| 1.0000   | CALVERT SHORT DURATION | 01/27/12            | 12/20/16            | 177.21      | 176.10     | 1.11 LT        |
| 1.0000   | CALVERT SHORT DURATION | 02/01/12            | 12/20/16            | 16.10       | 16.02      | 0.08 LT        |
| 188.0000 | CALVERT SHORT DURATION | 02/01/12            | 12/20/16            | 3,028.68    | 3,017.36   | 11.32 LT       |
| 1.0000   | CALVERT SHORT DURATION | 02/24/12            | 12/20/16            | 16.11       | 16.07      | 0.04 LT        |
| 12.0000  | CALVERT SHORT DURATION | 02/24/12            | 12/20/16            | 193.32      | 192.96     | 0.36 LT        |
| 11.0000  | CALVERT SHORT DURATION | 03/30/12            | 12/20/16            | 177.21      | 177.32     | (0.11) LT      |
| 1.0000   | CALVERT SHORT DURATION | 04/27/12            | 12/20/16            | 16.10       | 16.12      | (0.02) LT      |
| 15.0000  | CALVERT SHORT DURATION | 04/27/12            | 12/20/16            | 241.65      | 241.93     | (0.28) LT      |
| 12.0000  | CALVERT SHORT DURATION | 05/31/12            | 12/20/16            | 193.32      | 193.44     | (0.12) LT      |
| 13.0000  | CALVERT SHORT DURATION | 08/31/12            | 12/20/16            | 209.43      | 213.72     | (4.29) LT      |
| 1.0000   | CALVERT SHORT DURATION | 09/28/12            | 12/20/16            | 16.10       | 16.40      | (0.30) LT      |
| 14.0000  | CALVERT SHORT DURATION | 09/28/12            | 12/20/16            | 225.54      | 231.69     | (6.15) LT      |
| 1.0000   | CALVERT SHORT DURATION | 11/02/12            | 12/20/16            | 16.10       | 16.57      | (0.47) LT      |
| 13.0000  | CALVERT SHORT DURATION | 11/02/12            | 12/20/16            | 209.43      | 215.67     | (6.24) LT      |
| 3.0000   | CALVERT SHORT DURATION | 12/07/12            | 12/20/16            | 48.32       | 49.74      | (1.42) LT      |
| 1.0000   | CALVERT SHORT DURATION | 12/28/12            | 12/20/16            | 16.10       | 16.57      | (0.47) LT      |
| 11.0000  | CALVERT SHORT DURATION | 12/28/12            | 12/20/16            | 177.20      | 182.38     | (5.18) LT      |
| 1.0000   | CALVERT SHORT DURATION | 01/31/13            | 12/20/16            | 16.10       | 16.58      | (0.48) LT      |
| 11.0000  | CALVERT SHORT DURATION | 01/31/13            | 12/20/16            | 177.21      | 182.37     | (5.16) LT      |
| 13.0000  | CALVERT SHORT DURATION | 02/28/13            | 12/20/16            | 209.43      | 215.79     | (6.36) LT      |
| 11.0000  | CALVERT SHORT DURATION | 03/28/13            | 12/20/16            | 177.21      | 182.81     | (5.60) LT      |
| 1.0000   | CALVERT SHORT DURATION | 03/28/13            | 12/20/16            | 16.10       | 16.62      | (0.52) LT      |
| 403.0000 | CALVERT SHORT DURATION | 03/28/13            | 12/20/16            | 6,492.34    | 8,897.88   | (2,055.52) LT  |
| 12.0000  | CALVERT SHORT DURATION | 04/28/13            | 12/20/16            | 193.32      | 200.28     | (6.96) LT      |
| 1.0000   | CALVERT SHORT DURATION | 05/31/13            | 12/20/16            | 16.11       | 16.67      | (0.56) LT      |
| 11.0000  | CALVERT SHORT DURATION | 05/31/13            | 12/20/16            | 177.21      | 182.70     | (5.49) LT      |

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BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000     | CALVERT SHORT DURATION | 06/28/13            | 12/20/16            | 16.11       | 16.54      | (0.43) LT      |
| 12.0000    | CALVERT SHORT DURATION | 06/28/13            | 12/20/16            | 193.32      | 196.32     | (3.00) LT      |
| 1.0000     | CALVERT SHORT DURATION | 07/26/13            | 12/20/16            | 16.11       | 16.38      | (0.27) LT      |
| 12.0000    | CALVERT SHORT DURATION | 07/26/13            | 12/20/16            | 193.32      | 196.92     | (3.60) LT      |
| 12.0000    | CALVERT SHORT DURATION | 08/30/13            | 12/20/16            | 193.32      | 196.21     | (2.89) LT      |
| 1.0000     | CALVERT SHORT DURATION | 09/27/13            | 12/20/16            | 16.11       | 16.38      | (0.25) LT      |
| 12.0000    | CALVERT SHORT DURATION | 09/27/13            | 12/20/16            | 193.32      | 196.80     | (3.48) LT      |
| 1.0000     | CALVERT SHORT DURATION | 09/30/13            | 12/20/16            | 16.11       | 16.39      | (0.28) LT      |
| 46.0000    | CALVERT SHORT DURATION | 09/30/13            | 12/20/16            | 741.06      | 754.40     | (13.34) LT     |
| 12.0000    | CALVERT SHORT DURATION | 10/31/13            | 12/20/16            | 193.32      | 197.76     | (4.44) LT      |
| 1.0000     | CALVERT SHORT DURATION | 11/20/13            | 12/20/16            | 16.10       | 16.45      | (0.35) LT      |
| 1,564.0000 | CALVERT SHORT DURATION | 11/20/13            | 12/20/16            | 25,196.10   | 25,727.80  | (531.70) LT    |
| 1.0000     | CALVERT SHORT DURATION | 11/22/13            | 12/20/16            | 16.11       | 16.45      | (0.34) LT      |
| 15.0000    | CALVERT SHORT DURATION | 11/22/13            | 12/20/16            | 241.65      | 246.29     | (4.64) LT      |
| 16.0000    | CALVERT SHORT DURATION | 12/27/13            | 12/20/16            | 257.78      | 261.93     | (4.17) LT      |
| 1.0000     | CALVERT SHORT DURATION | 01/31/14            | 12/20/16            | 16.10       | 16.42      | (0.32) LT      |
| 15.0000    | CALVERT SHORT DURATION | 01/31/14            | 12/20/16            | 241.65      | 246.75     | (5.10) LT      |
| 16.0000    | CALVERT SHORT DURATION | 02/28/14            | 12/20/16            | 257.78      | 263.83     | (6.07) LT      |
| 15.0000    | CALVERT SHORT DURATION | 03/28/14            | 12/20/16            | 241.65      | 246.60     | (4.95) LT      |
| 1.0000     | CALVERT SHORT DURATION | 10/31/14            | 12/20/16            | 16.10       | 16.54      | (0.44) LT      |
| 12.0000    | CALVERT SHORT DURATION | 10/31/14            | 12/20/16            | 193.32      | 195.61     | (2.29) LT      |
| 13.0000    | CALVERT SHORT DURATION | 11/26/14            | 12/20/16            | 209.43      | 211.52     | (2.09) LT      |
| 1.0000     | CALVERT SHORT DURATION | 12/12/14            | 12/20/16            | 16.10       | 16.27      | (0.17) LT      |
| 1.0000     | CALVERT SHORT DURATION | 12/12/14            | 12/20/16            | 16.11       | 16.23      | (0.12) LT      |
| 1.0000     | CALVERT SHORT DURATION | 12/30/14            | 12/20/16            | 16.11       | 16.21      | (0.10) LT      |
| 12.0000    | CALVERT SHORT DURATION | 12/30/14            | 12/20/16            | 193.32      | 194.16     | (0.84) LT      |
| 1.0000     | CALVERT SHORT DURATION | 01/30/15            | 12/20/16            | 16.11       | 16.21      | (0.10) LT      |
| 12.0000    | CALVERT SHORT DURATION | 01/30/15            | 12/20/16            | 193.32      | 195.01     | (1.69) LT      |
| 12.0000    | CALVERT SHORT DURATION | 02/27/15            | 12/20/16            | 193.32      | 195.24     | (1.92) LT      |
| 1.0000     | CALVERT SHORT DURATION | 03/27/15            | 12/20/16            | 16.10       | 16.26      | (0.16) LT      |
| 11.0000    | CALVERT SHORT DURATION | 03/27/15            | 12/20/16            | 177.21      | 178.75     | (1.54) LT      |
| 12.0000    | CALVERT SHORT DURATION | 04/24/15            | 12/20/16            | 193.32      | 195.72     | (2.40) LT      |
| 1.0000     | CALVERT SHORT DURATION | 05/29/15            | 12/20/16            | 16.10       | 16.27      | (0.17) LT      |
| 11.0000    | CALVERT SHORT DURATION | 05/29/15            | 12/20/16            | 177.21      | 178.98     | (1.75) LT      |
| 1,244.0000 | CALVERT SHORT DURATION | 06/19/15            | 12/20/16            | 20,040.91   | 20,190.12  | (149.21) LT    |
| 1.0000     | CALVERT SHORT DURATION | 06/26/15            | 12/20/16            | 16.10       | 16.25      | (0.15) LT      |
| 12.0000    | CALVERT SHORT DURATION | 06/26/15            | 12/20/16            | 193.32      | 194.16     | (0.84) LT      |
| 1.0000     | CALVERT SHORT DURATION | 07/31/15            | 12/20/16            | 16.11       | 16.73      | (0.62) LT      |
| 13.0000    | CALVERT SHORT DURATION | 07/31/15            | 12/20/16            | 209.43      | 210.08     | (0.65) LT      |
| 1.0000     | CALVERT SHORT DURATION | 08/28/15            | 12/20/16            | 16.11       | 16.15      | (0.04) LT      |

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BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 14.0000    | CALVERT SHORT DURATION | 08/28/15            | 12/20/16            | 225.54      | 225.68     | (0.14) LT      |
| 16.0000    | CALVERT SHORT DURATION | 09/25/15            | 12/20/16            | 257.76      | 258.08     | (0.32) LT      |
| 1.0000     | CALVERT SHORT DURATION | 10/30/15            | 12/20/16            | 16.11       | 16.11      | 0.00           |
| 14.0000    | CALVERT SHORT DURATION | 10/30/15            | 12/20/16            | 225.54      | 225.27     | 0.27 LT        |
| 1,206.0000 | CALVERT SHORT DURATION | 01/20/16            | 12/20/16            | 19,428.75   | 19,223.64  | 205.11 ST      |
| 19.0000    | CALVERT SHORT DURATION | 01/29/16            | 12/20/16            | 306.09      | 302.86     | 3.23 ST        |
| 1.0000     | CALVERT SHORT DURATION | 02/26/16            | 12/20/16            | 16.11       | 15.93      | 0.18 ST        |
| 19.0000    | CALVERT SHORT DURATION | 02/26/16            | 12/20/16            | 306.09      | 302.67     | 3.42 ST        |
| 19.0000    | CALVERT SHORT DURATION | 03/30/16            | 12/20/16            | 306.09      | 304.38     | 1.71 ST        |
| 1.0000     | CALVERT SHORT DURATION | 04/29/16            | 12/20/16            | 16.11       | 16.08      | 0.05 ST        |
| 19.0000    | CALVERT SHORT DURATION | 04/29/16            | 12/20/16            | 308.09      | 308.46     | (0.37) ST      |
| 1.0000     | CALVERT SHORT DURATION | 05/27/16            | 12/20/16            | 16.11       | 16.13      | (0.02) ST      |
| 18.0000    | CALVERT SHORT DURATION | 05/27/16            | 12/20/16            | 289.98      | 290.34     | (0.36) ST      |
| 1.0000     | CALVERT SHORT DURATION | 08/29/16            | 12/20/16            | 16.11       | 16.21      | (0.10) ST      |
| 19.0000    | CALVERT SHORT DURATION | 08/29/16            | 12/20/16            | 306.09      | 308.18     | (2.09) ST      |
| 1.0000     | CALVERT SHORT DURATION | 07/29/16            | 12/20/16            | 18.11       | 16.27      | (0.16) ST      |
| 18.0000    | CALVERT SHORT DURATION | 07/29/16            | 12/20/16            | 289.98      | 293.04     | (3.06) ST      |
| 18.0000    | CALVERT SHORT DURATION | 08/31/16            | 12/20/16            | 289.98      | 293.41     | (3.43) ST      |
| 1.0000     | CALVERT SHORT DURATION | 09/29/16            | 12/20/16            | 16.11       | 16.30      | (0.19) ST      |
| 17.0000    | CALVERT SHORT DURATION | 09/29/16            | 12/20/16            | 273.87      | 277.27     | (3.40) ST      |
| 17.0000    | CALVERT SHORT DURATION | 10/28/16            | 12/20/16            | 273.87      | 276.58     | (2.71) ST      |
| 1.0000     | CALVERT SHORT DURATION | 11/30/16            | 12/20/16            | 16.11       | 16.30      | (0.19) ST      |
| 0.1820     | CALVERT SHORT DURATION | 11/30/16            | 12/20/16            | 2.93        | 2.93       | 0.00           |
| 18.0000    | CALVERT SHORT DURATION | 11/30/16            | 12/20/16            | 290.00      | 290.53     | (0.53) ST      |
| 2,082.0000 | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 22,484.77   | 25,070.51  | (2,605.74) LT  |
| 1.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 10.78       | 12.11      | (1.33) LT      |
| 325.0000   | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 3,506.75    | 3,928.76   | (423.01) LT    |
| 3.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 32.37       | 36.12      | (3.75) LT      |
| 1.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 10.78       | 11.90      | (1.11) LT      |
| 1.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 10.78       | 12.03      | (1.25) LT      |
| 2.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 21.58       | 24.12      | (2.54) LT      |
| 1.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 10.79       | 12.17      | (1.38) LT      |
| 3.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 32.37       | 36.24      | (3.87) LT      |
| 3.0000     | PIMCO REAL RETURN FUND | 02/01/12            | 12/20/16            | 32.38       | 35.79      | (3.43) LT      |
| 1.0000     | PIMCO REAL RETURN FUND | 02/10/12            | 12/20/16            | 10.79       | 12.90      | (2.11) LT      |
| 7.0000     | PIMCO REAL RETURN FUND | 02/11/12            | 12/20/16            | 75.53       | 84.44      | (8.91) LT      |
| 1.0000     | PIMCO REAL RETURN FUND | 02/11/12            | 12/20/16            | 10.79       | 12.14      | (1.35) LT      |
| 14.0000    | PIMCO REAL RETURN FUND | 02/11/12            | 12/20/16            | 151.06      | 167.36     | (16.30) LT     |
| 3.0000     | PIMCO REAL RETURN FUND | 02/17/12            | 12/20/16            | 32.36       | 36.49      | (4.13) LT      |
| 29.0000    | PIMCO REAL RETURN FUND | 02/22/12            | 12/20/16            | 312.91      | 344.85     | (31.94) LT     |

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BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 1.0000   | PIMCO REAL RETURN FUND | 02/22/12            | 12/20/16            | 10.78       | 13.20      | (2.42) LT      |
| 3.0000   | PIMCO REAL RETURN FUND | 02/22/12            | 12/20/16            | 32.37       | 36.37      | (4.00) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 02/26/12            | 12/20/16            | 10.78       | 12.19      | (1.41) LT      |
| 2.0000   | PIMCO REAL RETURN FUND | 02/28/12            | 12/20/16            | 21.58       | 24.22      | (2.64) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 02/29/12            | 12/20/16            | 10.79       | 12.06      | (1.27) LT      |
| 6.0000   | PIMCO REAL RETURN FUND | 02/29/12            | 12/20/16            | 64.74       | 72.20      | (7.46) LT      |
| 7.0000   | PIMCO REAL RETURN FUND | 03/30/12            | 12/20/16            | 75.53       | 83.48      | (7.93) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 04/30/12            | 12/20/16            | 10.79       | 11.99      | (1.20) LT      |
| 11.0000  | PIMCO REAL RETURN FUND | 04/30/12            | 12/20/16            | 118.69      | 133.88     | (14.99) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 05/31/12            | 12/20/16            | 10.79       | 12.21      | (1.42) LT      |
| 44.0000  | PIMCO REAL RETURN FUND | 05/31/12            | 12/20/16            | 474.76      | 543.03     | (68.27) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 06/29/12            | 12/20/16            | 10.79       | 12.34      | (1.55) LT      |
| 21.0000  | PIMCO REAL RETURN FUND | 06/29/12            | 12/20/16            | 226.59      | 257.29     | (30.70) LT     |
| 7.0000   | PIMCO REAL RETURN FUND | 07/31/12            | 12/20/16            | 75.53       | 87.38      | (11.85) LT     |
| 8.0000   | PIMCO REAL RETURN FUND | 08/31/12            | 12/20/16            | 86.32       | 99.62      | (13.30) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 10/01/12            | 12/20/16            | 10.79       | 12.29      | (1.50) LT      |
| 6.0000   | PIMCO REAL RETURN FUND | 10/01/12            | 12/20/16            | 64.74       | 75.20      | (10.46) LT     |
| 7.0000   | PIMCO REAL RETURN FUND | 10/31/12            | 12/20/16            | 75.53       | 88.36      | (12.83) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 11/30/12            | 12/20/16            | 10.78       | 12.16      | (1.38) LT      |
| 12.0000  | PIMCO REAL RETURN FUND | 11/30/12            | 12/20/16            | 129.48      | 152.18     | (22.70) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 12/06/12            | 12/20/16            | 10.79       | 12.76      | (1.97) LT      |
| 155.0000 | PIMCO REAL RETURN FUND | 12/06/12            | 12/20/16            | 1,672.45    | 1,979.59   | (307.14) LT    |
| 98.0000  | PIMCO REAL RETURN FUND | 12/12/12            | 12/20/16            | 1,057.42    | 1,219.26   | (161.84) LT    |
| 1.0000   | PIMCO REAL RETURN FUND | 12/12/12            | 12/20/16            | 10.79       | 12.48      | (1.69) LT      |
| 79.0000  | PIMCO REAL RETURN FUND | 12/12/12            | 12/20/16            | 852.41      | 982.89     | (130.48) LT    |
| 66.0000  | PIMCO REAL RETURN FUND | 12/27/12            | 12/20/16            | 712.14      | 810.58     | (98.44) LT     |
| 7.0000   | PIMCO REAL RETURN FUND | 12/31/12            | 12/20/16            | 75.53       | 85.69      | (10.16) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 01/31/13            | 12/20/16            | 75.53       | 85.13      | (9.60) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 02/28/13            | 12/20/16            | 10.79       | 12.27      | (1.48) LT      |
| 6.0000   | PIMCO REAL RETURN FUND | 02/28/13            | 12/20/16            | 64.74       | 73.09      | (8.35) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 03/28/13            | 12/20/16            | 10.78       | 12.22      | (1.44) LT      |
| 7.0000   | PIMCO REAL RETURN FUND | 03/28/13            | 12/20/16            | 75.53       | 85.58      | (10.03) LT     |
| 705.0000 | PIMCO REAL RETURN FUND | 03/28/13            | 12/20/16            | 7,606.98    | 8,618.12   | (1,009.14) LT  |
| 7.0000   | PIMCO REAL RETURN FUND | 04/30/13            | 12/20/16            | 75.53       | 86.19      | (10.66) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 05/31/13            | 12/20/16            | 10.79       | 12.30      | (1.51) LT      |
| 8.0000   | PIMCO REAL RETURN FUND | 05/31/13            | 12/20/16            | 86.32       | 93.88      | (7.54) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 06/28/13            | 12/20/16            | 10.79       | 11.88      | (0.89) LT      |
| 7.0000   | PIMCO REAL RETURN FUND | 06/28/13            | 12/20/16            | 75.53       | 78.20      | (2.67) LT      |
| 8.0000   | PIMCO REAL RETURN FUND | 07/31/13            | 12/20/16            | 86.32       | 90.28      | (3.94) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 08/30/13            | 12/20/16            | 10.79       | 11.13      | (0.34) LT      |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 8.0000   | PIMCO REAL RETURN FUND | 08/30/13            | 12/20/16            | 86.32       | 88.42      | (2.10) LT      |
| 7.0000   | PIMCO REAL RETURN FUND | 09/30/13            | 12/20/16            | 75.53       | 78.91      | (3.38) LT      |
| 768.0000 | PIMCO REAL RETURN FUND | 09/30/13            | 12/20/16            | 8,286.76    | 8,656.46   | (389.70) LT    |
| 4.0000   | PIMCO REAL RETURN FUND | 12/31/13            | 12/20/16            | 43.16       | 43.78      | (0.62) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 01/31/14            | 12/20/16            | 10.79       | 11.08      | (0.27) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 01/31/14            | 12/20/16            | 43.16       | 44.74      | (1.58) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 02/28/14            | 12/20/16            | 43.16       | 45.01      | (1.85) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 03/31/14            | 12/20/16            | 10.79       | 11.21      | (0.42) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 03/31/14            | 12/20/16            | 43.16       | 44.72      | (1.56) LT      |
| 3.0000   | PIMCO REAL RETURN FUND | 04/30/14            | 12/20/16            | 32.37       | 33.99      | (1.62) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 05/30/14            | 12/20/16            | 10.79       | 12.02      | (1.23) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 05/30/14            | 12/20/16            | 43.16       | 46.36      | (3.20) LT      |
| 8.0000   | PIMCO REAL RETURN FUND | 08/29/14            | 12/20/16            | 86.32       | 93.04      | (6.72) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 09/26/14            | 12/20/16            | 10.79       | 11.80      | (0.81) LT      |
| 18.0000  | PIMCO REAL RETURN FUND | 09/26/14            | 12/20/16            | 194.22      | 203.58     | (9.36) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 09/30/14            | 12/20/16            | 43.16       | 45.16      | (2.00) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 10/31/14            | 12/20/16            | 10.79       | 11.32      | (0.53) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 10/31/14            | 12/20/16            | 43.16       | 45.52      | (2.36) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 11/28/14            | 12/20/16            | 43.16       | 45.64      | (2.48) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 12/10/14            | 12/20/16            | 10.79       | 11.37      | (0.58) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 12/10/14            | 12/20/16            | 10.79       | 11.28      | (0.49) LT      |
| 118.0000 | PIMCO REAL RETURN FUND | 12/29/14            | 12/20/16            | 1,273.23    | 1,285.02   | (11.79) LT     |
| 1.0000   | PIMCO REAL RETURN FUND | 12/31/14            | 12/20/16            | 10.79       | 11.08      | (0.29) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 12/31/14            | 12/20/16            | 43.16       | 43.68      | (0.52) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 01/30/15            | 12/20/16            | 43.16       | 45.16      | (2.00) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 02/27/15            | 12/20/16            | 10.79       | 11.16      | (0.37) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 02/27/15            | 12/20/16            | 43.16       | 44.72      | (1.56) LT      |
| 4.0000   | PIMCO REAL RETURN FUND | 03/31/15            | 12/20/16            | 43.16       | 44.24      | (1.08) LT      |
| 3.0000   | PIMCO REAL RETURN FUND | 04/30/15            | 12/20/16            | 32.37       | 33.30      | (0.93) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 05/29/15            | 12/20/16            | 10.79       | 11.07      | (0.28) LT      |
| 3.0000   | PIMCO REAL RETURN FUND | 05/29/15            | 12/20/16            | 32.37       | 32.91      | (0.54) LT      |
| 1.0000   | PIMCO REAL RETURN FUND | 08/31/15            | 12/20/16            | 10.79       | 10.90      | (0.11) LT      |
| 3.0000   | PIMCO REAL RETURN FUND | 08/31/15            | 12/20/16            | 32.37       | 32.28      | 0.09 LT        |
| 3.0000   | PIMCO REAL RETURN FUND | 09/30/15            | 12/20/16            | 32.37       | 31.89      | 0.48 LT        |
| 1.0000   | PIMCO REAL RETURN FUND | 10/30/15            | 12/20/16            | 10.79       | 10.68      | 0.11 LT        |
| 3.0000   | PIMCO REAL RETURN FUND | 10/30/15            | 12/20/16            | 32.37       | 32.10      | 0.27 LT        |
| 3.0000   | PIMCO REAL RETURN FUND | 11/30/15            | 12/20/16            | 32.37       | 31.95      | 0.42 LT        |
| 3.0000   | PIMCO REAL RETURN FUND | 02/29/16            | 12/20/16            | 32.37       | 31.96      | 0.41 ST        |
| 3.0000   | PIMCO REAL RETURN FUND | 03/31/16            | 12/20/16            | 32.37       | 32.76      | (0.39) ST      |
| 1.0000   | PIMCO REAL RETURN FUND | 04/29/16            | 12/20/16            | 10.79       | 10.61      | 0.18 ST        |

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# EMA<sup>TM</sup> Fiscal Statement

BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity | Security Description   | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|----------|------------------------|---------------------|---------------------|-------------|------------|----------------|
| 3.0000   | PIMCO REAL RETURN FUND | 04/29/16            | 12/20/16            | 32.37       | 32.91      | (0.54) ST      |
| 3.0000   | PIMCO REAL RETURN FUND | 07/29/16            | 12/20/16            | 32.37       | 33.57      | (1.20) ST      |
| 1.0000   | PIMCO REAL RETURN FUND | 08/31/16            | 12/20/16            | 10.79       | 11.06      | (0.27) ST      |
| 3.0000   | PIMCO REAL RETURN FUND | 08/31/16            | 12/20/16            | 32.37       | 33.45      | (1.08) ST      |
| 3.0000   | PIMCO REAL RETURN FUND | 09/30/16            | 12/20/16            | 32.37       | 33.69      | (1.32) ST      |
| 3.0000   | PIMCO REAL RETURN FUND | 10/31/16            | 12/20/16            | 32.37       | 33.54      | (1.17) ST      |
| 0.5430   | PIMCO REAL RETURN FUND | 11/30/16            | 12/20/16            | 5.86        | 6.08       | (0.22) ST      |
| 8.0000   | PIMCO REAL RETURN FUND | 11/30/16            | 12/20/16            | 86.33       | 87.52      | (1.19) ST      |
| 26.0000  | AMERICAN EURO PACIFIC  | 11/04/10            | 12/12/16            | 1,201.72    | 1,108.08   | 95.66 LT       |
| 185.0000 | AMERICAN EURO PACIFIC  | 11/04/10            | 12/20/16            | 8,458.20    | 7,870.01   | 588.19 LT      |
| 1.0000   | AMERICAN EURO PACIFIC  | 11/04/10            | 12/20/16            | 45.72       | 50.45      | (4.73) LT      |
| 51.0000  | AMERICAN EURO PACIFIC  | 11/04/10            | 12/20/16            | 2,331.71    | 2,430.19   | (98.48) LT     |
| 32.0000  | AMERICAN EURO PACIFIC  | 11/04/10            | 12/20/16            | 1,463.04    | 1,524.80   | (61.76) LT     |
| 75.0000  | AMERICAN EURO PACIFIC  | 11/16/10            | 12/20/16            | 3,428.99    | 3,339.80   | 89.19 LT       |
| 25.0000  | AMERICAN EURO PACIFIC  | 11/25/10            | 12/20/16            | 1,142.99    | 1,056.00   | 86.99 LT       |
| 1.0000   | AMERICAN EURO PACIFIC  | 12/27/10            | 12/20/16            | 45.71       | 41.44      | 4.27 LT        |
| 39.0000  | AMERICAN EURO PACIFIC  | 12/27/10            | 12/20/16            | 1,783.08    | 1,596.27   | 186.81 LT      |
| 1.0000   | AMERICAN EURO PACIFIC  | 12/28/10            | 12/20/16            | 45.71       | 40.93      | 4.78 LT        |
| 64.0000  | AMERICAN EURO PACIFIC  | 12/28/10            | 12/20/16            | 2,926.08    | 2,619.52   | 306.56 LT      |
| 5.0000   | AMERICAN EURO PACIFIC  | 06/22/11            | 12/20/16            | 228.59      | 210.05     | 18.54 LT       |
| 286.0000 | AMERICAN EURO PACIFIC  | 12/19/11            | 12/20/16            | 13,075.92   | 9,849.84   | 3,226.08 LT    |
| 43.0000  | AMERICAN EURO PACIFIC  | 12/28/11            | 12/20/16            | 1,965.96    | 1,509.73   | 456.23 LT      |
| 1.0000   | AMERICAN EURO PACIFIC  | 03/28/13            | 12/20/16            | 45.71       | 41.22      | 4.49 LT        |
| 810.0000 | AMERICAN EURO PACIFIC  | 03/28/13            | 12/20/16            | 37,033.23   | 34,287.30  | 2,745.93 LT    |
| 1.0000   | AMERICAN EURO PACIFIC  | 11/20/13            | 12/20/16            | 45.72       | 43.57      | 2.15 LT        |
| 241.0000 | AMERICAN EURO PACIFIC  | 11/20/13            | 12/20/16            | 11,018.53   | 11,474.01  | (455.48) LT    |
| 25.0000  | AMERICAN EURO PACIFIC  | 12/27/13            | 12/20/16            | 1,143.00    | 1,207.75   | (64.75) LT     |
| 1.0000   | AMERICAN EURO PACIFIC  | 09/28/14            | 12/20/16            | 45.72       | 45.93      | (0.21) LT      |
| 30.0000  | AMERICAN EURO PACIFIC  | 09/26/14            | 12/20/16            | 1,371.60    | 1,473.90   | (102.30) LT    |
| 35.0000  | AMERICAN EURO PACIFIC  | 12/29/14            | 12/20/16            | 1,600.20    | 1,662.15   | (61.95) LT     |
| 441.0000 | AMERICAN EURO PACIFIC  | 06/19/15            | 12/20/16            | 20,162.55   | 22,491.00  | (2,328.45) LT  |
| 0.9280   | AMERICAN EURO PACIFIC  | 12/24/15            | 12/20/16            | 42.43       | 42.37      | 0.06 ST        |
| 1.0000   | C&S REALTY SHARES N    | 09/30/13            | 12/20/16            | 65.28       | 65.48      | (0.20) LT      |
| 1.0000   | C&S REALTY SHARES N    | 11/20/13            | 12/20/16            | 65.28       | 65.46      | (0.18) LT      |
| 29.0000  | C&S REALTY SHARES N    | 11/20/13            | 12/20/16            | 1,893.41    | 1,894.57   | (1.16) LT      |
| 1.0000   | C&S REALTY SHARES N    | 12/12/13            | 12/20/16            | 65.29       | 63.48      | 1.83 LT        |
| 5.0000   | C&S REALTY SHARES N    | 12/12/13            | 12/20/16            | 326.45      | 306.61     | 19.84 LT       |
| 1.0000   | C&S REALTY SHARES N    | 12/12/13            | 12/20/16            | 65.28       | 61.32      | 3.96 LT        |
| 27.0000  | C&S REALTY SHARES N    | 12/12/13            | 12/20/16            | 1,762.83    | 1,655.61   | 107.22 LT      |
| 2.0000   | C&S REALTY SHARES N    | 12/12/13            | 12/20/16            | 130.58      | 122.68     | 7.92 LT        |

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# Fiscal Statement



BEA ROSENBERG

## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| Quantity   | Security Description    | Date of Acquisition | Date of Liquidation | Sale Amount | Cost Basis | Gain or (Loss) |
|------------|-------------------------|---------------------|---------------------|-------------|------------|----------------|
| 5.0000     | C&S REALTY SHARES N     | 03/31/14            | 12/20/16            | 326.45      | 342.64     | (16.19) LT     |
| 233.0000   | C&S REALTY SHARES N     | 05/09/14            | 12/20/16            | 15,212.57   | 16,736.39  | (1,523.82) LT  |
| 6.0000     | C&S REALTY SHARES N     | 06/30/14            | 12/20/16            | 391.74      | 434.55     | (42.81) LT     |
| 1.0000     | C&S REALTY SHARES N     | 06/30/14            | 12/20/16            | 65.28       | 67.11      | (1.83) LT      |
| 7.0000     | C&S REALTY SHARES N     | 06/30/14            | 12/20/16            | 457.03      | 507.01     | (49.98) LT     |
| 1.0000     | C&S REALTY SHARES N     | 09/28/14            | 12/20/16            | 65.29       | 41.75      | 23.54 LT       |
| 210.0000   | C&S REALTY SHARES N     | 09/26/14            | 12/20/16            | 13,710.91   | 14,826.00  | (1,115.09) LT  |
| 7.0000     | C&S REALTY SHARES N     | 09/30/14            | 12/20/16            | 457.03      | 487.79     | (30.76) LT     |
| 9.0000     | C&S REALTY SHARES N     | 12/11/14            | 12/20/16            | 587.61      | 686.37     | (98.76) LT     |
| 1.0000     | C&S REALTY SHARES N     | 12/11/14            | 12/20/16            | 65.29       | 72.59      | (7.30) LT      |
| 26.0000    | C&S REALTY SHARES N     | 12/11/14            | 12/20/16            | 1,897.54    | 1,982.75   | (85.21) LT     |
| 1.0000     | C&S REALTY SHARES N     | 12/11/14            | 12/20/16            | 65.29       | 76.27      | (10.98) LT     |
| 12.0000    | C&S REALTY SHARES N     | 03/31/15            | 12/20/16            | 783.48      | 915.09     | (131.61) LT    |
| 1.0000     | C&S REALTY SHARES N     | 03/31/15            | 12/20/16            | 65.29       | 79.92      | (14.63) LT     |
| 5.0000     | C&S REALTY SHARES N     | 03/31/15            | 12/20/16            | 326.45      | 402.15     | (75.70) LT     |
| 6.0000     | C&S REALTY SHARES N     | 08/30/15            | 12/20/16            | 391.74      | 400.90     | (9.16) LT      |
| 1.0000     | C&S REALTY SHARES N     | 08/30/15            | 12/20/16            | 65.29       | 67.31      | (2.02) LT      |
| 67.0000    | C&S REALTY SHARES N     | 08/30/15            | 12/20/16            | 4,374.43    | 4,476.92   | (102.49) LT    |
| 8.0000     | C&S REALTY SHARES N     | 06/30/15            | 12/20/16            | 522.32      | 534.54     | (12.22) LT     |
| 6.0000     | C&S REALTY SHARES N     | 09/30/15            | 12/20/16            | 391.74      | 408.60     | (16.86) LT     |
| 1.0000     | C&S REALTY SHARES N     | 12/10/15            | 12/20/16            | 65.29       | 67.96      | (2.67) LT      |
| 8.0000     | C&S REALTY SHARES N     | 12/10/15            | 12/20/16            | 522.32      | 543.50     | (21.18) LT     |
| 29.0000    | C&S REALTY SHARES N     | 12/10/15            | 12/20/16            | 1,893.41    | 1,970.28   | (76.87) LT     |
| 3.0000     | C&S REALTY SHARES N     | 12/10/15            | 12/20/16            | 195.87      | 203.82     | (7.95) LT      |
| 1.0000     | C&S REALTY SHARES N     | 03/31/16            | 12/20/16            | 65.29       | 68.78      | (3.49) ST      |
| 4.0000     | C&S REALTY SHARES N     | 03/31/16            | 12/20/16            | 261.16      | 290.99     | (29.83) ST     |
| 4.0000     | C&S REALTY SHARES N     | 06/30/16            | 12/20/16            | 261.16      | 298.99     | (37.83) ST     |
| 16.0000    | C&S REALTY SHARES N     | 06/30/16            | 12/20/16            | 1,044.84    | 1,195.98   | (151.34) ST    |
| 1.0000     | C&S REALTY SHARES N     | 09/30/16            | 12/20/16            | 65.29       | 74.59      | (9.30) ST      |
| 4.0000     | C&S REALTY SHARES N     | 09/30/16            | 12/20/16            | 261.16      | 297.06     | (35.90) ST     |
| 5.0000     | C&S REALTY SHARES N     | 12/08/16            | 12/20/16            | 326.45      | 323.55     | 2.90 ST        |
| 1.0000     | C&S REALTY SHARES N     | 12/08/16            | 12/20/16            | 65.29       | 67.49      | (2.20) ST      |
| 8.0000     | C&S REALTY SHARES N     | 12/08/16            | 12/20/16            | 522.32      | 517.69     | 4.63 ST        |
| 0.3480     | C&S REALTY SHARES N     | 12/08/16            | 12/20/16            | 22.72       | 22.52      | 0.20 ST        |
| 54.0000    | C&S REALTY SHARES N     | 12/08/16            | 12/20/16            | 3,525.69    | 3,494.35   | 31.34 ST       |
| 25.0000    | BLACKROCK HIGH YLD INST | 01/04/16            | 12/12/16            | 191.50      | 177.75     | 13.75 ST       |
| 7,174.0000 | BLACKROCK HIGH YLD INST | 01/04/16            | 12/20/16            | 54,809.36   | 51,007.14  | 3,802.22 ST    |

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| FORM 990-PF       | DIVIDENDS AND INTEREST FROM SECURITIES |                         |                       |                           | STATEMENT 1             |
|-------------------|----------------------------------------|-------------------------|-----------------------|---------------------------|-------------------------|
| SOURCE            | GROSS AMOUNT                           | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
| INVESTMENT INCOME | 71,100.                                | 29,172.                 | 41,928.               | 41,928.                   |                         |
| TO PART I, LINE 4 | 71,100.                                | 29,172.                 | 41,928.               | 41,928.                   |                         |

| FORM 990-PF                  | ACCOUNTING FEES        |                           |                         |                         | STATEMENT 2 |
|------------------------------|------------------------|---------------------------|-------------------------|-------------------------|-------------|
| DESCRIPTION                  | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |             |
| TAX PREPARATION FEE          | 2,060.                 | 0.                        |                         | 2,060.                  |             |
| TAX DOCUMENT FEE             | 400.                   | 400.                      |                         | 0.                      |             |
| TO FORM 990-PF, PG 1, LN 16B | 2,460.                 | 400.                      |                         | 2,060.                  |             |

| FORM 990-PF                  | OTHER PROFESSIONAL FEES |                           |                         |                         | STATEMENT 3 |
|------------------------------|-------------------------|---------------------------|-------------------------|-------------------------|-------------|
| DESCRIPTION                  | (A) EXPENSES PER BOOKS  | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |             |
| INVESTMENT MANAGEMENT FEE    | 14,504.                 | 14,504.                   |                         | 0.                      |             |
| TO FORM 990-PF, PG 1, LN 16C | 14,504.                 | 14,504.                   |                         | 0.                      |             |

| FORM 990-PF                 | TAXES                  |                           |                         |                         | STATEMENT 4 |
|-----------------------------|------------------------|---------------------------|-------------------------|-------------------------|-------------|
| DESCRIPTION                 | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |             |
| 2015 FEDERAL PAYMENT        | 1,734.                 | 0.                        |                         | 0.                      |             |
| 2016 ESTIMATED TAXES        | 3,800.                 | 0.                        |                         | 0.                      |             |
| FOREIGN TAXES               | 371.                   | 371.                      |                         | 0.                      |             |
| TO FORM 990-PF, PG 1, LN 18 | 5,905.                 | 371.                      |                         | 0.                      |             |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| BANK FEE                    | 34.                          | 0.                                |                               | 34.                           |   |
| POSTAGE                     | 102.                         | 0.                                |                               | 102.                          |   |
| WEBSITE EXPENSE             | 416.                         | 0.                                |                               | 416.                          |   |
| TO FORM 990-PF, PG 1, LN 23 | 552.                         | 0.                                |                               | 552.                          |   |

| FORM 990-PF                             | CORPORATE STOCK |                      | STATEMENT | 6 |
|-----------------------------------------|-----------------|----------------------|-----------|---|
| DESCRIPTION                             | BOOK VALUE      | FAIR MARKET<br>VALUE |           |   |
| FIFTH THIRD BANK - SEE ATTACHED         | 0.              | 0.                   |           |   |
| MERRILL LYNCH - SEE ATTACHED            | 287,786.        | 316,966.             |           |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 287,786.        | 316,966.             |           |   |

| FORM 990-PF                             | CORPORATE BONDS |                      | STATEMENT | 7 |
|-----------------------------------------|-----------------|----------------------|-----------|---|
| DESCRIPTION                             | BOOK VALUE      | FAIR MARKET<br>VALUE |           |   |
| FIFTH THIRD BANK - SEE ATTACHED         | 0.              | 0.                   |           |   |
| MERRILL LYNCH - SEE ATTACHED            | 102,334.        | 103,812.             |           |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 102,334.        | 103,812.             |           |   |

| FORM 990-PF                                                | OTHER INVESTMENTS   |            | STATEMENT            | 8 |
|------------------------------------------------------------|---------------------|------------|----------------------|---|
| DESCRIPTION                                                | VALUATION<br>METHOD | BOOK VALUE | FAIR MARKET<br>VALUE |   |
| FIFTH THIRD BANK - ETFS AND MUTUAL<br>FUNDS - SEE ATTACHED | COST                | 0.         | 0.                   |   |
| MERRILL LYNCH - MUTUAL FUNDS - SEE<br>ATTACHED             | COST                | 416,220.   | 435,466.             |   |
| TOTAL TO FORM 990-PF, PART II, LINE 13                     |                     | 416,220.   | 435,466.             |   |

THE JAMIE PARSLEY FAMILY FOUNDATION, INC

84-1664591

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

ARCHIE E. PARSLEY, JR  
MARY K. PARSLEY

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ARCHIE E. PARSLEY  
C/O THE JAMIE PARSLEY FAMILY FOUNDATION PO BOX 991066  
LOUISVILLE, KY 40269

TELEPHONE NUMBER

502.228.5325

FORM AND CONTENT OF APPLICATIONS

AN APPLICATION IS REQUIRED WITH SUPPORTING DOCUMENTATION AND MUST BE RECEIVED BY SPECIFIED DEADLINES. APPLICATION WAS ATTACHED TO 2011 RETURN. SEE WEBSITE FOR ADDITIONAL DETAILS AND APPLICATIONS.

ANY SUBMISSION DEADLINES

SCHOLARSHIPS: FEBRUARY 1 FOR THE APRIL 1 AWARD. GRANTS: OCTOBER 1 FOR DECEMBER AWARD.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS WILL BE AWARDED TO NONPROFIT ORGANIZATIONS WITH 501(C)(3) STATUS FOR SPECIFIC PROGRAMS WITH A CLEAR EDUCATIONAL COMPONENT. SCHOLARSHIPS ARE AWARDED TO HIGH SCHOOL STUDENTS AT PAROCHIAL, PRIVATE, OR INDEPENDENT SCHOOLS WHO ATTEND ACCREDITED UNDER-GRADUATE INSTITUTES OR ACADEMIC OR TRAINING CAMPS IN A NON-TRADITIONAL SPORT AND HAVE A MINIMUM 2.75 GPA.