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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending

BARBARA ALLEN CHARITABLE FOUNDATION
PO BOX 973
EDWARDS, CO 81632

A Employer identification number
84-1435014

B Telephone number (see instructions)
970-926-5253

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

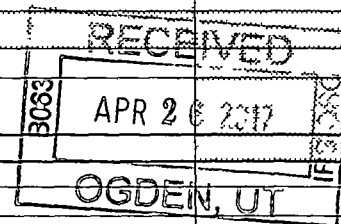
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ 559,637.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule)	59,752.			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1.	1.	N/A	
	4 Dividends and interest from securities	16,019.	16,019.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,434.			
	b Gross sales price for all assets on line 6a	671,099.			
	7 Capital gain net income (from Part IV, line 2)		4,434.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	80,206.	20,454.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)	SEE ST 1 600.	600.		
	c Other professional fees (attach sch)	SEE ST 2 1,990.	1,990.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	SEE STATEMENT 3 6,338.	6,338.		
	25 Contributions, gifts, grants paid	PART XV 39,000.			39,000.
26 Total expenses and disbursements. Add lines 24 and 25	45,338.	6,338.		39,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	34,868.				
b Net investment income (if negative, enter -0-)		14,116.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing		4,273.	7,678.	7,679.
	2	Savings and temporary cash investments		1,428.	35,390.	35,390.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch.)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) STATEMENT 4		347,136.	332,595.	342,221.
	c	Investments — corporate bonds (attach schedule) STATEMENT 5		158,240.	170,282.	174,347.
LIABILITIES	11	Investments — land, buildings, and equipment: basis				
		Less: accumulated depreciation (attach schedule)				
	12	Investments — mortgage loans				
	13	Investments — other (attach schedule)				
	14	Land, buildings, and equipment: basis				
		Less: accumulated depreciation (attach schedule)				
	15	Other assets (describe)				
	16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		511,077.	545,945.	559,637.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
FUND ASSETS	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe SEE STATEMENT 6)		50.	50.	
	23	Total liabilities (add lines 17 through 22)		50.	50.	
NET FUND ASSETS		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		511,027.	545,895.	
	30	Total net assets or fund balances (see instructions)		511,027.	545,895.	
	31	Total liabilities and net assets/fund balances (see instructions)		511,077.	545,945.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	511,027.
2	Enter amount from Part I, line 27a	2	34,868.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	545,895.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	545,895.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a LT CAPITAL GAIN / LOSS SEE STMT		P	VARIOUS	VARIOUS
b ST COVERED SEE STMT		P	VARIOUS	VARIOUS
c ST COVERED SEE STMT 2100		P	VARIOUS	VARIOUS
d LT COVERED SEE STMT 2100		P	VARIOUS	VARIOUS
e OTHER G/L		P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 88,789.		49,753.	39,036.
b 111,182.		109,522.	1,660.
c 246,856.		266,593.	-19,737.
d 224,218.		240,797.	-16,579.
e 54.			54.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			39,036.
b			1,660.
c			-19,737.
d			-16,579.
e			54.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	4,434.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8.		3	4,434.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	27,060.	482,838.	0.056044
2014	26,675.	382,260.	0.069782
2013	10,825.	232,727.	0.046514
2012	48,125.	136,525.	0.352500
2011	22,114.	113,999.	0.193984

2 Total of line 1, column (d)	2	0.718824
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.143765
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	474,570.
5 Multiply line 4 by line 3	5	68,227.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	141.
7 Add lines 5 and 6	7	68,368.
8 Enter qualifying distributions from Part XII, line 4	8	39,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	282.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	282.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	282.
6 Credits/Payments.			
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a	1,011.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	1,011.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	729.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax 729. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CO		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
14 The books are in care of <u>BARBARA ALLEN</u> Telephone no. <u>970-926-5253</u> Located at <u>PO BOX 973 EDWARDS CO</u> ZIP + 4 <u>81632</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>		N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u>		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA ALLEN PO BOX 973 EDWARDS, CO 81632	PRESID, TREA 1.00	0.	0.	0.
MATTHEW ALLEN PO BOX 973 EDWARDS, CO 81632	VP, SECRETAR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	362,078.
b Average of monthly cash balances	1 b	119,719.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	481,797.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	481,797.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	7,227.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	474,570.
6 Minimum investment return. Enter 5% of line 5	6	23,729.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	23,729.
2 a Tax on investment income for 2016 from Part VI, line 5	2 a	282.	
b Income tax for 2016. (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b		2 c	282.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	23,447.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	23,447.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	23,447.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	39,000.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	39,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	39,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				23,447.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2016				
a From 2011	16,416.			
b From 2012	41,343.			
c From 2013				
d From 2014	7,938.			
e From 2015	3,507.			
f Total of lines 3a through e	69,204.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 39,000.				
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2016 distributable amount				23,447.
e Remaining amount distributed out of corpus	15,553.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	84,757.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	16,416.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	68,341.			
10 Analysis of line 9:				
a Excess from 2012	41,343.			
b Excess from 2013				
c Excess from 2014	7,938.			
d Excess from 2015	3,507.			
e Excess from 2016	15,553.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- | | | | | | |
|--|----------|---------------|----------|----------|-----------|
| 1 If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling _____ ▶ | | | | | |
| b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5) | | | | | |
| 2 a | | | | | |
| Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | Tax year | Prior 3 years | | | (e) Total |
| | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a 'Assets' alternative test — enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test — enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

BARBARA ALLEN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT	N/A	PUBLIC	VARIOUS	39,000.
Total				3 a 39,000.
b Approved for future payment				
Total				3 b

Form 990-PF (2016)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

BARBARA ALLEN CHARITABLE FOUNDATION

Employer identification number

84-1435014

Organization type (check one).

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

BARBARA ALLEN CHARITABLE FOUNDATION

84-1435014

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BARBARA ALLEN PO BOX 973 EDWARDS, CO 81632	\$ 59,752.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

BARBARA ALLEN CHARITABLE FOUNDATION

84-1435014

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	MARKET SECURITIES SEE STMT COST 49752 FMV 89456	\$ 49,752.	5/26/16
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Employer identification number

84-1435014

or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	N/A		
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
-----	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2016

FEDERAL STATEMENTS

PAGE 1

CLIENT B-ALLEN

BARBARA ALLEN CHARITABLE FOUNDATION

84-1435014

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STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	\$ 600.	\$ 600.		
TOTAL	\$ 600.	\$ 600.		\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	\$ 1,990.	\$ 1,990.		
TOTAL	\$ 1,990.	\$ 1,990.		\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FIDUCIARY FEES	\$ 3,738.	\$ 3,738.		
TAXES / LICENSE	10.	10.		
TOTAL	\$ 3,748.	\$ 3,748.		\$ 0.

STATEMENT 4
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BERNSTEIN - EQUITIES	COST	\$ 0.	\$ 0.
FIDELITY STOCK FUNDS	COST	332,595.	342,221.
TOTAL		\$ 332,595.	\$ 342,221.

2016

FEDERAL STATEMENTS

PAGE 2

CLIENT B-ALLEN

BARBARA ALLEN CHARITABLE FOUNDATION

84-1435014

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**STATEMENT 5
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS**

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
BERNSTEIN - FIXED INCOME	COST	\$ 0.	\$ 0.
FIDELITY BOND FUNDS	COST	170,282.	174,347.
	TOTAL	<u>\$ 170,282.</u>	<u>\$ 174,347.</u>

**STATEMENT 6
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES**

OTHER DUE TO	\$ 50.
TOTAL	<u>\$ 50.</u>

2016

FEDERAL SUPPLEMENTAL INFORMATION

PAGE 1

CLIENT B-ALLEN

BARBARA ALLEN CHARITABLE FOUNDATION

84-1435014

3/30/17

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CONTRIBUTION SCHEDULE

CONTRIBUTIONS TO THE FOUNDATION WERE MADE SOLELY BY BARBARA ALLEN DURING THIS YEAR.

Barbara Allen Charitable Foundation
Average Value Calculations
2016

TO TAX RETURN:

Total Average	362,078	119,718	481,796
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Alpine Bank Checking 3380007323

January		3,023	3,023
February		2,773	2,773
March		11,073	11,073
April		10,628	10,628
May		9,578	9,578
June		8,688	8,688
July		8,339	8,339
August		8,089	8,089
September		7,029	7,029
October		4,879	4,879
November		4,629	4,629
December			-
Totals	-	78,728	78,728
Number of months	12	12	12
Average	-	6,561	6,561

Bernstein/Fidelity

039-59772 / 668-001696

Securities	Cash	Total
------------	------	-------

January		471,063	471,063
February	94,969	377,146	472,115
March	249,748	220,169	469,917
April	357,173	116,252	473,425
May	532,695	5,442	538,137
June	509,887	27,424	537,311
July	518,994	28,583	547,577
August	522,432	27,834	550,267
September	523,809	28,992	552,802
October	517,945	29,166	547,111
November	517,283	25,818	543,102
December			-
Totals	4,344,935	1,357,891	5,702,827
Number of months	12	12	12
Average	362,078	113,158	475,236

Barbara Allen Charitable Foundation
Transaction Detail By Account
January through December 2016

	Type	Date	Num	Adj	Entity Type	Donation Type	Debit	Credit	Balance
Calvary Chapel Vail Valley PO Box 933 Vail CO 81658	Check	01/10/2016	219		Religious	General Operating	100.00		100.00
	Check	02/07/2016	245		Religious	General Operating	100.00		200.00
	Check	03/20/2016	220		Religious	General Operating	100.00		300.00
	Check	06/26/2016	284		Religious	General Operating	100.00		400.00
	Check	07/02/2016	295		Religious	General Operating	100.00		500.00
	Check	12/25/2016	296		Religious	General Operating	1,000.00		1,500.00
Total Calvary							1,500.00	0.00	1,500.00
Desert Springs Church 43-435 Monterey Avenue Palm Desert, CA 92260	Check	01/03/2016	218		Religious	General Operating	100.00		100.00
	Check	02/14/2016	246		Religious	General Operating	100.00		200.00
	Check	03/03/2016	221		Religious	General Operating	100.00		300.00
	Check	03/06/2016	247		Religious	General Operating	100.00		400.00
	Check	03/20/2016	222		Religious	General Operating	100.00		500.00
	Check	04/17/2016	223		Religious	General Operating	100.00		600.00
Total Desert Springs Church	Check	04/23/2016	224		Religious	General Operating	100.00		700.00
	Check	04/30/2016	225		Religious	General Operating	100.00		800.00
	Check	05/15/2016	249		Religious	General Operating	100.00		900.00
	Check	12/04/2016	295		Religious	General Operating	100.00		1,000.00
							1,000.00	0.00	1,000.00
East West Ministries 2001 W Plano Parkway Ste 3000 Plano, TX 75075	Check	01/26/2016	visa		Religious	General Operating	1,000.00		1,000.00
	Check	10/20/2016	visa		Religious	General Operating	1,000.00		2,000.00
Total East West Ministries							2,000.00	0.00	2,000.00
Eisenhower Medical Center 39-000 Bob Hope Drive Rancho Mirage CA 92270-3221	Check	04/28/2016	259		Medical	General Operating	10,000.00		10,000.00
First Liberty Institute PO Box 9078 Pueblo CO 81008-0078	Check	12/28/2016	265		Religious	General Operating	1,000.00		1,000.00
Focus on the Family 8605 Explorer Drive Colorado Springs, CO 80920	Check	12/28/2016	269		Religious	General Operating	100.00		100.00
Habitat for Humanity PO Box 4149 Avon, CO 81620	Check	12/28/2016	266		Public	General Operating	1,000.00		1,000.00
Humane Society - Bellevue WA 13212 SE Eastgate Way Bellevue, WA 98005	Check	05/11/2016	visa		Animals / Public	General Operating	3,000.00		3,000.00
	Check	05/13/2016	visa		Animals / Public	General Operating	1,000.00		4,000.00
Total Humane Society - Bellevue WA							4,000.00	0.00	4,000.00
In Touch Ministries PO Box 7900 Atlanta, GA 30357	Check	12/28/2016	264		Religious	General Operating	100.00		100.00
Jack's Place c/o WMC Foundation PO Box 1529 Vail CO 81658	Check	04/28/2016	260		Medical	General Operating	10,000.00		10,000.00
Junior Diabetes Research Foundation 28 Broadway 14th Floor	Check	09/30/2016	visa		Medical	General Operating	1,000.00		1,000.00

Barbara Allen Charitable Foundation
Transaction Detail By Account
January through December 2016

	Type	Date	Num	Adj	Entity Type	Donation Type	Debit	Credit	Balance
New York, NY 10004									
Medical Teams International PO Box 10 Portland, OR 97207	Check	10/19/2016	visa		Medical	General Operating	1 000 00		1 000 00
My Blue Dots PO Box 60725 Palo Alto, CA 94306	Check	05/09/2016	visa		Medical	General Operating	1 000 00		1 000 00
Rocky Mountain PBS PO Box 173704 Denver CO 3704	Check	12/28/2016	263		Public	General Operating	500 00		500 00
Southwest Church 42-575 Melane Place Suite B Palm Desert, CA 92211	Check	11/11/2016	292		Religious	General Operating	100 00		100 00
	Check	11/16/2016	293		Religious	General Operating	100 00		200 00
	Check	11/25/2016	294		Religious	General Operating	100 00		300 00
Total Southwest Church							300 00	0 00	300 00
Starting Hearts PO Box 2981 Aven CO 81620	Check	12/28/2016	268		Medical	General Operating	1,000 00		1,000 00
The Vail Church PO Box 955 Aven, CO 81620	Check	07/10/2016	287		Vail Church		100 00		100 00
	Check	07/17/2016	290		Religious	General Operating	100 00		200 00
Total Vail Church							200 00		200 00
University Presbyterian 4540 15th Ave NE Seattle WA 98105	Check	05/09/2016	248		Religious	General Operating	100 00		100 00
	Check	05/28/2016	250		Religious	General Operating	100 00		200 00
	Check	06/04/2016	286		Religious	General Operating	100 00		300 00
	Check	06/06/2016	291		Religious	General Operating	100 00		400 00
	Check	08/21/2016	288		Religious	General Operating	100 00		500 00
	Check	10/15/2016	289		Religious	General Operating	100 00		600 00
Total University Presbyterian							600 00	0 00	600 00
Vail Christian High School PO Box 2023 Edwards, CO 81632	Check	12/28/2016	267		Religious Education	General Operating	1,000 00		1,000 00
Vail Valley Foundation PO Box 309 Vail CO 81658	Check	05/16/2016	261		Public	General Operating	1 000 00		1 000 00
	Deposit	05/19/2016			Public	VNF non deductible portion		300 00	700 00
Total Vail Valley Foundation							1 000 00	300 00	700 00
Young Life - Vail Valley PO Box 520 Colorado Springs, CO 80901	Check	12/28/2016	262		Religious	General Operating	1 000 00		1,000 00
TOTAL							39,300 00	300 00	39,000 00

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01/09/17
Accrual Basis

Barbara Allen Charitable Foundation
Profit & Loss Detail
January through December 2016

Type	Date	Num	Name	Memo	Clr	Split	Amount	Balance
General Journal	03/31/2016	AJE		Long Term C		Securities	58 57	58 57
Total Long Term Cap Gain							58 57	58 57
Realized Gains/(Losses) Sale								
General Journal	02/29/2016	AJE		Securities Sol		Money Market		0 00
General Journal	04/30/2016	AJE		Securities Sol		Money Market		0 00
General Journal	05/31/2016	AJE		Securities Sol		Money Market		0 00
General Journal	06/30/2016	AJE		Securities Sol		Money Market	-55 35	-55 35
General Journal	07/31/2016	AJE		Securities Sol		Money Market		-55 35
General Journal	08/31/2016	AJE		Securities Sol		Money Market		-55 35
General Journal	09/30/2016	AJE		Securities Sol		Money Market		-55 35
General Journal	10/31/2016	AJE		Securities Sol		Money Market	367 02	311 67
General Journal	11/30/2016	AJE		Securities Sol		Money Market	665 16	976 83
General Journal	12/31/2016	AJE		Securities Sol		Money Market		976 83
Total Realized Gains/(Losses) Sale							976 83	976 83
Total Fidelity Threshold Income							16,256 37	16,256 37
Total Income							80,205 84	80,205 84
Expense								
Accounting Fees								
Bill	01/31/2016		Barbie Allen			Accounts Paya	50 00	50 00
Bill	02/29/2016		Barbie Allen			Accounts Paya	50 00	100 00
Bill	03/31/2016		Barbie Allen			Accounts Paya	50 00	150 00
Bill	04/30/2016		Barbie Allen			Accounts Paya	50 00	200 00
Bill	05/31/2016		Barbie Allen			Accounts Paya	50 00	250 00
Bill	06/30/2016		Barbie Allen			Accounts Paya	50 00	300 00
Bill	07/31/2016		Barbie Allen			Accounts Paya	50 00	350 00
Bill	08/31/2016		Barbie Allen			Accounts Paya	50 00	400 00
Bill	09/30/2016		Barbie Allen			Accounts Paya	50 00	450 00
Bill	10/31/2016		Barbie Allen			Accounts Paya	50 00	500 00
Bill	11/30/2016		Barbie Allen			Accounts Paya	50 00	550 00
Bill	12/31/2016		Barbie Allen			Accounts Paya	50 00	600 00
Total Accounting Fees							600 00	600 00
Charitable Donation								
Check	01/03/2016	218	Desert Springs Chur			Alpine Bank 73	100 00	100 00
Check	01/10/2016	219	Calvary	mission trp		Alpine Bank 73	100 00	200 00
Check	01/26/2016	visa	East West Ministries			Alpine Bank 73	1,000 00	1,200 00
Check	02/07/2016	245	Calvary	mission trp		Alpine Bank 73	100 00	1,300 00
Check	02/14/2016	246	Desert Springs Chur			Alpine Bank 73	100 00	1,400 00
Check	03/03/2016	221	Desert Springs Chur			Alpine Bank 73	100 00	1,500 00
Check	03/06/2016	247	Desert Sprngs Chur			Alpine Bank 73	100 00	1,600 00
Check	03/20/2016	220	Calvary	mission trp		Alpine Bank 73	100 00	1,700 00
Check	03/20/2016	222	Desert Sprngs Chur			Alpine Bank 73	100 00	1,800 00
Check	04/17/2016	223	Desert Springs Chur			Alpine Bank 73	100 00	1,900 00

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01/09/17
Accrual Basis

Barbara Allen Charitable Foundation
Profit & Loss Detail
January through December 2016

Type	Date	Num	Name	Memo	Cir	Split	Amount	Balance
Check	04/23/2016	224	Desert Springs Chur			Alpine Bank 73	100 00	2,000 00
Check	04/28/2016	259	Eisenhower Medical.			Alpine Bank 73	10,000 00	12,000 00
Check	04/28/2016	260	Jack'sPlace			Alpine Bank 73	10,000 00	22,000 00
Check	04/30/2016	225	Desert Springs Chur			Alpine Bank 73	100 00	22,100 00
Check	05/08/2016	248	University Presbyteri			Alpine Bank 73	100 00	22,200 00
Check	05/09/2016	visa	My Blue Dots			Alpine Bank 73	1,000 00	23,200 00
Check	05/11/2016	visa	Humane Society - B			Alpine Bank 73	3,000 00	26,200 00
Check	05/13/2016	visa	Humane Society - B			Alpine Bank 73	1,000 00	27,200 00
Check	05/15/2016	249	Desert Springs Chur			Alpine Bank 73	100 00	27,300 00
Check	05/16/2016	261	Vail Valley Foundation			Alpine Bank 73	1,000 00	28,300 00
Deposit	05/19/2016		Vail Valley Foundation	VVF non ded		Alpine Bank 73	-300 00	28,000 00
Check	05/28/2016	250	University Presbyten			Alpine Bank 73	100 00	28,100 00
Check	06/04/2016	286	University Presbyten			Alpine Bank 73	100 00	28,200 00
Check	06/26/2016	284	Calvary			Alpine Bank 73	100 00	28,300 00
Check	07/02/2016	285	Calvary			Alpine Bank 73	100 00	28,400 00
Check	07/10/2016	287	The Vail Church			Alpine Bank 73	100 00	28,500 00
Check	07/17/2016	290	The Vail Church			Alpine Bank 73	100 00	28,600 00
Check	08/06/2016	291	University Presbyten			Alpine Bank 73	100 00	28,700 00
Check	08/21/2016	288	University Presbyten			Alpine Bank 73	100 00	28,800 00
Check	09/30/2016	visa	Junior Diabetes Res			Alpine Bank 73	1,000 00	29,800 00
Check	10/16/2016	289	University Presbyten			Alpine Bank 73	100 00	29,900 00
Check	10/19/2016	visa	Medical Teams Inter			Alpine Bank 73	1,000 00	30,900 00
Check	10/20/2016	visa	East West Ministres			Alpine Bank 73	1,000 00	31,900 00
Check	11/11/2016	292	Southwest Church			Alpine Bank 73	100 00	32,000 00
Check	11/18/2016	293	Southwest Church			Alpine Bank 73	100 00	32,100 00
Check	11/26/2016	294	Southwest Church			Alpine Bank 73	100 00	32,200 00
Check	12/04/2016	295	Desert Springs Chur			Alpine Bank 73	100 00	32,300 00
Check	12/25/2016	296	Calvary			Alpine Bank 73	1,000 00	33,300 00
Check	12/28/2016	262	Young Life			Alpine Bank 73	1,000 00	34,300 00
Check	12/28/2016	263	Rocky Mountain PBS			Alpine Bank 73	500 00	34,800 00
Check	12/28/2016	264	In Touch Ministres			Alpine Bank 73	100 00	34,900 00
Check	12/28/2016	265	First Liberty Institute			Alpine Bank 73	1,000 00	35,900 00
Check	12/28/2016	266	Habitat for Humanity			Alpine Bank 73	1,000 00	36,900 00
Check	12/28/2016	267	Vail Chnstan High S			Alpine Bank 73	1,000 00	37,900 00
Check	12/28/2016	268	Starting Hearts			Alpine Bank 73	1,000 00	38,900 00
Check	12/28/2016	269	Focus on the Family			Alpine Bank 73	100 00	39,000 00
Total Chantable Donation							39,000 00	39,000 00
Fiduciary Fees								
Fidelity Threshold Fees								
General Journal	02/29/2016	AJE		Fees Charged		Money Market		0 00
General Journal	03/31/2016	AJE		Securities Pur		Securities	476 00	476 00
General Journal	04/30/2016	AJE		Fees Charged		Money Market		476 00
General Journal	05/31/2016	AJE		Fees Charged		Money Market		476 00
General Journal	06/30/2016	AJE		Fees Charged		Money Market	940 00	1,416 00
General Journal	07/31/2016	AJE		Fees Charged		Money Market		1,416 00
General Journal	08/31/2016	AJE		Fees Charged		Money Market	1,075 00	2,491 00
General Journal	09/30/2016	AJE		Fees Charged		Money Market		2,491 00

9.00 AM

01/09/17

Accrual Basis

Barbara Allen Charitable Foundation

Profit & Loss Detail

January through December 2016

Type	Date	Num	Name	Memo	Clr	Split	Amount	Balance
General Journal	10/31/2016	AJE		Fees Charged		Money Market		2,491 00
General Journal	11/30/2016	AJE		Fees Charged		Money Market	1,106 00	3,597 00
General Journal	12/31/2016	AJE		Fees Charged		Money Market		3,597 00
Total Fidelity Threshold Fees							3,597 00	3,597 00
Fiduciary Fees - Other								
General Journal	01/31/2016	AJE		Fees Charged		Money Market	140 85	140 85
Total Fiduciary Fees - Other							140 85	140 85
Total Fiduciary Fees							3,737 85	3,737 85
Licenses and Permits								
Check	09/01/2016	visa	Colorado Secretary			Alpine Bank 73	10 00	10 00
Total Licenses and Permits							10 00	10 00
Professional Fees								
Legal Fees								
Check	06/15/2016	eft	Sherman & Howard			Alpine Bank 73	540 00	540 00
Total Legal Fees							540 00	540 00
Professional Fees - Other								
Check	03/15/2016	eft	McDonough & Asso			Alpine Bank 73	1,450 00	1,450 00
Total Professional Fees - Other							1,450 00	1,450 00
Total Professional Fees							1,990 00	1,990 00
Total Expense							45,337 85	45,337 85
Net Ordinary Income							34,867 99	34,867 99
Other Income/Expense								
Other Income								
Interest Income								
Deposit	01/31/2016			Interest		Alpine Bank 73	0 04	0 04
Deposit	02/29/2016			Interest		Alpine Bank 73	0 03	0 07
Deposit	03/31/2016			Interest		Alpine Bank 73	0 05	0 12
Deposit	04/30/2016			Interest		Alpine Bank 73	0 09	0 21
Deposit	05/31/2016			Interest		Alpine Bank 73	0 16	0 37
Deposit	06/30/2016			Interest		Alpine Bank 73	0 07	0 44
Deposit	07/31/2016			Interest		Alpine Bank 73	0 07	0 51
Deposit	08/31/2016			Interest		Alpine Bank 73	0 07	0 58
Deposit	09/30/2016			Interest		Alpine Bank 73	0 07	0 65
Deposit	10/31/2016			Interest		Alpine Bank 73	0 05	0 70
Deposit	11/30/2016			Interest		Alpine Bank 73	0 04	0 74
Deposit	12/31/2016			Interest		Alpine Bank 73	0 05	0 79

9 00 AM

01/09/17

Accrual Basis

Barbara Allen Charitable Foundation

Profit & Loss Detail

January through December 2016

Type	Date	Num	Name	Memo	Clr	Split	Amount	Balance
Total Interest Income							0 79	0 79
Total Other Income							0 79	0 79
Net Other Income							0 79	0 79
Net Income							34,868 78	34,868 78

2016 YEAR-END INVESTMENT REPORT
January 1, 2016 - December 31, 2016

Account # 668-001696
CORPORATION

Holdings

Core Account

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
FIDELITY GOVERNMENT MONEY MARKET (SPAXX)	35 389 820	\$1 0000	\$35 389 82	not applicable	not applicable	\$17 79
-- 7-day yield 0.17%						
Total Core Account (6% of account holdings)			\$35,389 82			\$17 79

Mutual Funds

Description	Quantity	Price Per Unit	Total Market Value	Total Cost Basis	Unrealized Gain/Loss	Income Earned
Stock Funds						
FIRST EAGLE GLOBAL CLASS I (SGIIX)	524 615	\$54 4900	\$28,586 27	\$26,477 21	\$2,109 06	\$1 418 03
POLARIS GLOBAL VALUE (PGVFX)	5,848 721	23 3200	136,392 17	126,030 00	10,362 17	1,805 85
GAVEKAL KNOWLEDGE LEADERS INST'L CL (GAVIX)	2,482 483	13 5100	33,538 34	35,917 00	-2 378 66	1,189 90
MORGAN STANLEY GLBL FRANCHISE CLASS I (MSFAX)	5,599 107	20 5600	115,117 63	114,156 04	961 59	5,060 55
RUSSELL GLOBAL INFRASTRUCTURE Y (RGIYX)	2,666 667	10 7200	28,586 67	30,015 00	-1,428 33	1,862 93
Total Stock Funds (62% of account holdings)			\$342 221 08	\$332,595 25	\$9,625 83	\$11,337 26
Bond Funds						
DODGE & COX INCOME (DODIX)	7,229 919	\$13 5900	\$98,254 59	\$97,167 80	\$1,086 79	\$2,786 40
HOTCHKIS AND WILEY HIGH YIELD FUND CL I (HWHIX)	1,871 129	12 0100	22,472 25	20,724 49	1,747 76	1,566 79
TEMPLETON GLOBAL BOND ADVISOR CLASS I (TGBAX)	2,074 415	11 9600	24,810 00	22,980 87	1,829 13	578 61
PERFORMANCE TRUST STRATEGIC BOND FD (PTIAX)	1,289 055	22 3500	28,810 37	29,409 00	-598 63	382 64
Total Bond Funds (32% of account holdings)			\$174,347 21	\$170,282 16	\$4,065 05	\$5,314 44
Total Mutual Funds (94% of account holdings)			\$516,568 29	\$502,877 41	\$13,690 88	\$16,651 70
Total Holdings			\$551,958 11	\$502,877 41	\$13,690 88	\$16,669 49
Total income earned on positions no longer held			\$676 70			



Barbara Allen Charitable Foundation
Security Sales
Year. 2016

	Sales		
Bernstein account:	Price	Cost	Gain/Loss
Short term	246856	266593	-19737
Long term	224218	240797	-16579
Fidelity account:			
Short term	88789	49753	39036
Long term	111182	109522	1660
Other	54		54
TOTAL			4434

BARBARA ALLEN

DATE: MAY 26 2016

CHARITY CONTRIBUTION TO BAA CF

Security Description	Ticker	Qty	Trade Date	Unit Cost	Close	Total Cost	Total Market
ALPHABET INC-CL A	GOOGL	2	05/01/2013	410.4100	721.7100	820.82	1,495.20
ALPHABET INC-CL C	GOOG	6	05/01/2013	409.6150	709.7400	2,457.69	4,395.96
ALTRIA GROUP INC	MO	65	04/23/2012	31.6743	62.9600	2,058.83	4,157.40
ALTRIA GROUP INC	MO	1	08/03/2012	35.9200	62.9600	35.92	63.96
COMCAST CORP-CLASS A	CMCSA	60	08/03/2012	34.6803	61.7600	2,080.82	3,772.80
DANAHER CORP	DHR	5	04/23/2012	52.6180	98.7500	263.09	494.55
DANAHER CORP	DHR	95	05/01/2013	60.3804	98.7500	5,736.14	9,396.45
FACEBOOK INC-A	FB	50	04/09/2014	59.9624	117.3500	2,998.12	5,969.00
FACEBOOK INC-A	FB	25	05/05/2014	60.9832	117.3500	1,524.58	2,984.50
FACEBOOK INC-A	FB	25	05/29/2014	63.8528	117.3500	1,596.32	2,984.50
FACEBOOK INC-A	FB	25	10/15/2014	72.3680	117.3500	1,809.20	2,984.50
HOME DEPOT INC	HD	15	09/17/2012	58.9373	131.8500	884.06	2,009.10
HOME DEPOT INC	HD	80	05/01/2013	73.0545	131.8500	5,844.36	10,715.20
HOME DEPOT INC	HD	20	01/24/2014	79.5415	131.8500	1,590.83	2,678.80
HOME DEPOT INC	HD	50	05/29/2014	79.8030	131.8500	3,990.15	6,697.00
INTUITIVE SURGICAL INC	ISRG	7	05/29/2014	370.9500	632.5800	2,596.65	4,456.55
JOHNSON & JOHNSON	JNJ	65	08/03/2012	69.1903	112.6400	4,497.37	7,348.90
JOHNSON & JOHNSON	JNJ	15	07/18/2012	69.3480	112.6400	1,040.22	1,695.90
MICROSOFT CORP	MSFT	30	11/26/2012	27.2753	50.6200	818.26	1,569.60
MICROSOFT CORP	MSFT	50	08/03/2012	29.8320	50.6200	1,491.60	2,616.00
MONSTER BEVERAGE CORP	MNST	24	05/07/2014	66.2263	147.9000	1,589.43	3,612.24
PRICELINE GROUP INC/THE	PCLN	5	05/01/2013	696.9540	1,249.2900	3,484.77	6,365.00
STARBUCKS CORP	SBOX	18	05/01/2013	30.1433	54.6200	542.58	992.70

TOTALS

49,751.81

89,455.81

Capital Gains Report

BARBARA A ALLEN CHARITABLE FOUNDATION (Account 888-92100)

January 1, 2016 - December 31, 2016

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM								
03/25/2015	01/21/2016	4	F5 NETWORKS INC	90 17	113 52	360 69	454 08	(93 39)
03/25/2015	01/22/2016	5	UNION PACIFIC CORP	70 88	111 71	354 39	558 56	(204 17)
03/25/2015	01/25/2016	18	***MOBILEYE NV	29 30	43 18	527 41	777 16	(249 75)
08/31/2015	01/25/2016	8	VOYA FINANCIAL INC	30 01	42 97	240 10	343 74	(103 64)
09/09/2015	01/25/2016	7	VOYA FINANCIAL INC	30 01	42 18	210 08	295 23	(85 15)
09/10/2015	01/25/2016	12	VOYA FINANCIAL INC	30 01	41 94	360 15	503 24	(143 09)
09/14/2015	01/25/2016	12	VOYA FINANCIAL INC	30 01	42 58	360 14	510 96	(150 82)
03/25/2015	01/26/2016	28	***AMDOCS LTD	52 94	53 67	1,482 32	1,502 78	(20 46)
03/25/2015	01/26/2016	26	***LYONDELLBASELL INDU-CL A	76 47	86 23	1,988 22	2,242 03	(253 81)
10/13/2015	01/26/2016	5	***LYONDELLBASELL INDU-CL A	76 47	93 68	382 35	468 38	(86 03)
08/17/2015	01/26/2016	21	***MAGNA INTERNATIONAL INC	34 93	52 75	733 53	1,107 83	(374 30)
03/25/2015	01/26/2016	23	***MEDTRONIC PLC	75 94	78 09	1,746 62	1,796 11	(49 49)
03/25/2015	01/26/2016	18	***SCHLUMBERGER LTD	65 19	83 80	1,173 42	1,508 45	(335 03)
08/17/2015	01/26/2016	2 476	AB BOND INFLATION STRATEGY CLASS 1	10 20	10 45	25 26	25 87	(0 61)
09/14/2015	01/26/2016	1 300	AB BOND INFLATION STRATEGY CLASS 1	10 20	10 34	13 26	13 44	(0 18)
12/16/2015	01/26/2016	3 709	AB BOND INFLATION STRATEGY CLASS 1	10 20	10 23	37 83	37 94	(0 11)
03/25/2015	01/26/2016	659 161	AB DISCOVERY GRWTH FUND- ADV	7 86	9 77	5,181 00	6,440 00	(1,259 00)
05/04/2015	01/26/2016	81 256	AB DISCOVERY GRWTH FUND- ADV	7 86	10 03	638 67	815 00	(176 33)
09/08/2015	01/26/2016	43 344	AB DISCOVERY GRWTH FUND- ADV	7 86	9 69	340 68	420 00	(79 32)
09/30/2015	01/26/2016	35 078	AB DISCOVERY GRWTH FUND- ADV	7 86	8 98	275 71	315 00	(39 29)
10/23/2015	01/26/2016	26 175	AB DISCOVERY GRWTH FUND- ADV	7 86	9 36	205 74	245 00	(39 26)
11/12/2015	01/26/2016	32 309	AB DISCOVERY GRWTH FUND- ADV	7 86	9 44	253 95	305 00	(51 05)
12/21/2015	01/26/2016	49 947	AB DISCOVERY GRWTH FUND- ADV	7 86	8 93	392 59	446 03	(53 44)
01/08/2016	01/26/2016	27 607	AB DISCOVERY GRWTH FUND- ADV	7 86	8 15	216 99	225 00	(8 01)
03/25/2015	01/26/2016	306 084	AB DISCOVERY VALUE FUND- ADV	16 51	21 04	5,053 45	6,440 00	(1,386 55)
05/04/2015	01/26/2016	42 763	AB DISCOVERY VALUE FUND- ADV	16 51	21 28	706 02	910 00	(203 98)
07/17/2015	01/26/2016	11 500	AB DISCOVERY VALUE FUND- ADV	16 51	20 87	189 86	240 00	(50 14)
09/08/2015	01/26/2016	18 584	AB DISCOVERY VALUE FUND- ADV	16 51	19 91	306 82	370 00	(63 18)
10/23/2015	01/26/2016	11 381	AB DISCOVERY VALUE FUND- ADV	16 51	20 21	187 90	230 00	(42 10)
11/02/2015	01/26/2016	26 482	AB DISCOVERY VALUE FUND- ADV	16 51	20 58	437 22	545 00	(107 78)
12/15/2015	01/26/2016	11 020	AB DISCOVERY VALUE FUND- ADV	16 51	19 51	181 94	215 00	(33 06)

BERNSTEIN

Capital Gains Report

BARBARA A ALLEN CHARITABLE FOUNDATION (Account 888-92100)

January 1, 2016 - December 31, 2016

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
12/21/2015	01/26/2016	0 032	AB DISCOVERY VALUE FUND- ADV	16 51	18 13	0 53	0 58	(0 05)
12/21/2015	01/26/2016	0 690	AB DISCOVERY VALUE FUND- ADV	16 51	18 16	11 39	12 53	(1 14)
12/22/2015	01/26/2016	27 322	AB DISCOVERY VALUE FUND- ADV	16 51	18 15	451 08	495 90	(44 82)
01/20/2016	01/26/2016	12 044	AB DISCOVERY VALUE FUND- ADV	16 51	16 19	198 85	195 00	3 85
02/03/2015	01/26/2016	3 766	AB GLOBAL BOND FUND- ADV	8 19	8 56	30 84	32 24	(1 40)
03/03/2015	01/26/2016	3 627	AB GLOBAL BOND FUND- ADV	8 19	8 51	29 71	30 87	(1 16)
03/25/2015	01/26/2016	5,868 545	AB GLOBAL BOND FUND- ADV	8 19	8 52	48,063 39	50,000 00	(1,936 61)
04/02/2015	01/26/2016	5 254	AB GLOBAL BOND FUND- ADV	8 19	8 53	43 03	44 82	(1 79)
05/04/2015	01/26/2016	15 736	AB GLOBAL BOND FUND- ADV	8 19	8 45	128 88	132 97	(4 09)
06/02/2015	01/26/2016	17 795	AB GLOBAL BOND FUND- ADV	8 19	8 43	145 74	150 01	(4 27)
07/02/2015	01/26/2016	15 729	AB GLOBAL BOND FUND- ADV	8 19	8 29	128 82	130 39	(1 57)
08/04/2015	01/26/2016	17 472	AB GLOBAL BOND FUND- ADV	8 19	8 36	143 10	146 07	(2 97)
09/02/2015	01/26/2016	15 513	AB GLOBAL BOND FUND- ADV	8 19	8 31	127 05	128 91	(1 86)
10/02/2015	01/26/2016	14 671	AB GLOBAL BOND FUND- ADV	8 19	8 33	120 16	122 21	(2 05)
11/03/2015	01/26/2016	13 702	AB GLOBAL BOND FUND- ADV	8 19	8 35	112 22	114 41	(2 19)
12/02/2015	01/26/2016	13 814	AB GLOBAL BOND FUND- ADV	8 19	8 35	113 14	115 35	(2 21)
12/14/2015	01/26/2016	139 487	AB GLOBAL BOND FUND- ADV	8 19	8 18	1,142 39	1,141 00	1 39
01/05/2016	01/26/2016	15 490	AB GLOBAL BOND FUND- ADV	8 19	8 15	126 86	126 24	0 62
02/03/2015	01/26/2016	6 178	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 23	59 74	63 20	(3 46)
03/03/2015	01/26/2016	5 745	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 35	55 55	59 46	(3 91)
04/02/2015	01/26/2016	5 449	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 31	52 69	56 18	(3 49)
05/04/2015	01/26/2016	3 323	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 35	32 13	34 39	(2 26)
06/02/2015	01/26/2016	3 431	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 36	33 18	35 55	(2 37)
07/02/2015	01/26/2016	3 331	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 26	32 21	34 18	(1 97)
08/04/2015	01/26/2016	3 444	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 23	33 30	35 23	(1 93)
09/02/2015	01/26/2016	3 127	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 13	30 24	31 68	(1 44)
10/02/2015	01/26/2016	3 416	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	9 96	33 04	34 02	(0 98)
11/03/2015	01/26/2016	3 704	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 11	35 82	37 45	(1 63)
12/02/2015	01/26/2016	3 438	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	9 96	33 25	34 24	(0 99)

Capital Gains Report

BARBARA A. ALLEN CHARITABLE FOUNDATION (Account 888-92100)

January 1, 2016 - December 31, 2016

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/05/2016	01/26/2016	3 746	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	9 81	36 22	36 75	(0 53)
03/25/2015	01/26/2016	23	AETNA INC	105 10	108 41	2,417 30	2,493 43	(76 13)
03/13/2015	01/26/2016	23 444	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 61	230 22	248 74	(18 52)
03/25/2015	01/26/2016	404 676	ALLIANCEBERNSTEIN GLBL RE-II	9 82	11 12	3,973 92	4,500 00	(526 08)
06/12/2015	01/26/2016	31 877	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 54	313 03	335 98	(22 95)
09/14/2015	01/26/2016	12 870	ALLIANCEBERNSTEIN GLBL RE-II	9 82	9 89	126 38	127 28	(0 90)
12/22/2015	01/26/2016	86 929	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 32	853 65	897 11	(43 46)
03/25/2015	01/26/2016	46	ALLSTATE CORP	58 39	70 99	2,685 94	3,265 57	(579 63)
06/08/2015	01/26/2016	8	ALLSTATE CORP	58 39	66 54	467 12	532 30	(65 18)
08/17/2015	01/26/2016	8	ALLSTATE CORP	58 39	63 92	467 12	511 37	(44 25)
03/25/2015	01/26/2016	7	ALPHABET INC-CL C	712 45	562 25	4,987 15	3,935 77	1,051 38
07/17/2015	01/26/2016	2	ALPHABET INC-CL C	712 45	660 76	1,424 90	1,321 53	103 37
03/25/2015	01/26/2016	26	ALTRIA GROUP INC	59 12	50 68	1,537 12	1,317 59	219 53
08/13/2015	01/26/2016	12	ALTRIA GROUP INC	59 12	55 51	709 44	666 14	43 30
08/25/2015	01/26/2016	8	ALTRIA GROUP INC	59 12	52 76	472 96	422 08	50 88
10/30/2015	01/26/2016	30	ALTRIA GROUP INC	59 12	61 02	1,773 60	1,830 68	(57 08)
03/26/2015	01/26/2016	29	AMERICAN ELECTRIC POWER	58 23	55 67	1,688 67	1,614 53	74 14
03/25/2015	01/26/2016	28	AMPHENOL CORP-CL A	48 70	57 99	1,363 60	1,623 65	(260 05)
03/25/2015	01/26/2016	21	ANSYS INC	86 17	86 92	1,809 64	1,825 38	(15 74)
03/25/2015	01/26/2016	17	ANTHEM INC	139 12	156 34	2,365 04	2,657 82	(292 78)
06/04/2015	01/26/2016	8	APPLE INC	100 39	129 94	803 12	1,039 55	(236 43)
06/05/2015	01/26/2016	54	APPLIED MATERIALS INC	17 40	20 12	939 60	1,086 51	(146 91)
06/10/2015	01/26/2016	58	APPLIED MATERIALS INC	17 40	20 04	1,009 20	1,162 47	(153 27)
10/07/2015	01/26/2016	28	APPLIED MATERIALS INC	17 40	15 40	487 20	431 25	55 95
11/30/2015	01/26/2016	22	APPLIED MATERIALS INC	17 40	18 86	382 80	414 95	(32 15)
03/25/2015	01/26/2016	33	BALL CORP	67 76	70 56	2,236 08	2,328 46	(92 38)
03/25/2015	01/26/2016	162	BANK OF AMERICA CORP	13 26	15 49	2,148 12	2,509 80	(361 68)
07/27/2015	01/26/2016	87	BANK OF AMERICA CORP	13 26	17 72	1,153 62	1,541 27	(387 65)
12/08/2015	01/26/2016	13 847	BERNSTEIN EMERGING MARKETS	20 24	22 64	280 26	313 49	(33 23)
02/20/2015	01/26/2016	11 122	BERNSTEIN INTERMEDIATE	13 09	13 58	145 59	151 04	(5 45)
03/20/2015	01/26/2016	8 703	BERNSTEIN INTERMEDIATE	13 09	13 69	113 92	119 14	(5 22)
04/20/2015	01/26/2016	10 417	BERNSTEIN INTERMEDIATE	13 09	13 73	136 36	143 03	(6 67)
05/20/2015	01/26/2016	10 552	BERNSTEIN INTERMEDIATE	13 09	13 49	138 13	142 34	(4 21)

BERNSTEIN

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Capital Gains Report

BARBARA A. ALLEN CHARITABLE FOUNDATION (Account 888-92100)

January 1, 2016 - December 31, 2016

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/19/2015	01/26/2016	10 951	BERNSTEIN INTERMEDIATE	13 09	13 43	143 35	147 07	(3 72)
07/20/2015	01/26/2016	10 263	BERNSTEIN INTERMEDIATE	13 09	13 37	134 34	137 21	(2 87)
08/20/2015	01/26/2016	11 274	BERNSTEIN INTERMEDIATE	13 09	13 44	147 58	151 52	(3 94)
09/18/2015	01/26/2016	10 958	BERNSTEIN INTERMEDIATE	13 09	13 42	143 44	147 05	(3 61)
10/20/2015	01/26/2016	10 961	BERNSTEIN INTERMEDIATE	13 09	13 43	143 48	147 20	(3 72)
11/20/2015	01/26/2016	11 936	BERNSTEIN INTERMEDIATE	13 09	13 32	156 24	158 99	(2 75)
12/08/2015	01/26/2016	90 590	BERNSTEIN INTERMEDIATE	13 09	13 08	1,185 82	1,184 92	0 90
12/18/2015	01/26/2016	10 424	BERNSTEIN INTERMEDIATE	13 09	13 05	136 45	136 03	0 42
12/31/2015	01/26/2016	4 243	BERNSTEIN INTERMEDIATE	13 09	13 01	55 54	55 20	0 34
01/20/2016	01/26/2016	7 940	BERNSTEIN INTERMEDIATE	13 09	13 09	103 94	103 93	0 01
03/25/2015	01/26/2016	458 543	BERNSTEIN INTERNATIONAL	14 16	15 92	6,492 97	7,300 00	(807 03)
12/08/2015	01/26/2016	68 812	BERNSTEIN INTERNATIONAL	14 16	15 24	974 38	1,048 70	(74 32)
03/25/2015	01/26/2016	7	BIOGEN INC	262 05	434 24	1,834 35	3,039 71	(1,205 36)
03/25/2015	01/26/2016	28	CAPITAL ONE FINANCIAL CORP	59 87	79 54	1,676 36	2,227 20	(550 84)
04/16/2015	01/26/2016	40	CF INDUSTRIES HOLDINGS INC	29 36	58 32	1,174 40	2,332 99	(1,158 59)
10/15/2015	01/26/2016	9	CF INDUSTRIES HOLDINGS INC	29 36	52 61	264 24	473 53	(209 29)
11/27/2015	01/26/2016	16	CF INDUSTRIES HOLDINGS INC	29 36	45 18	469 76	722 83	(253 07)
12/01/2015	01/26/2016	37	CITIZENS FINANCIAL GROUP	20 30	26 65	750 92	986 00	(235 08)
12/21/2015	01/26/2016	17	CITIZENS FINANCIAL GROUP	20 30	25 88	345 02	439 88	(94 86)
12/22/2015	01/26/2016	17	CITIZENS FINANCIAL GROUP	20 30	26 06	345 01	443 03	(98 02)
03/25/2015	01/26/2016	35	COMCAST CORP-CLASS A	54 67	56 92	1,913 63	1,992 04	(78 41)
06/05/2015	01/26/2016	11	COMCAST CORP-CLASS A	54 67	58 59	601 42	644 47	(43 05)
07/27/2015	01/26/2016	8	COMCAST CORP-CLASS A	54 67	61 27	437 40	490 20	(52 80)
03/25/2015	01/26/2016	14	COSTCO WHOLESALE CORP	150 45	151 86	2,106 30	2,125 99	(19 69)
03/25/2015	01/26/2016	37	CVS HEALTH CORP	94 17	104 29	3,484 29	3,858 90	(374 61)
03/25/2015	01/26/2016	27	DANAHER CORP	83 99	85 00	2,267 73	2,294 87	(27 14)
07/10/2015	01/26/2016	7	DANAHER CORP	83 99	86 66	587 93	606 62	(18 69)
03/25/2015	01/26/2016	41	DELTA AIR LINES INC	46 06	44 91	1,888 46	1,841 16	47 30
04/29/2015	01/26/2016	38	DISCOVER FINANCIAL SERVICES	48 28	58 26	1,834 64	2,213 90	(379 26)
03/26/2015	01/26/2016	37	DOLLAR GENERAL CORP	73 55	74 07	2,721 35	2,740 70	(19 35)
03/26/2015	01/26/2016	27	DR PEPPER SNAPPLE GROUP INC	91 41	79 08	2,468 07	2,135 27	332 80
07/10/2015	01/26/2016	3	DR PEPPER SNAPPLE GROUP INC	91 41	77 27	274 23	231 81	42 42
03/25/2015	01/26/2016	36	EBAY INC	26 35	24 56	948 69	884 29	64 40

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
08/17/2015	01/26/2016	18	EBAY INC	26 35	28 16	474 35	506 93	(32 58)
06/25/2015	01/26/2016	19	EDISON INTERNATIONAL	60 56	55 97	1,150 64	1,063 46	87 18
06/26/2015	01/26/2016	7	EDISON INTERNATIONAL	60 56	56 09	423 92	392 60	31 32
08/25/2015	01/26/2016	9	EDISON INTERNATIONAL	60 56	59 54	545 04	535 90	9 14
10/07/2015	01/26/2016	9	EOG RESOURCES INC	65 39	83 64	588 51	752 78	(164 27)
10/12/2015	01/26/2016	7	EOG RESOURCES INC	65 39	85 81	457 73	600 66	(142 93)
11/27/2015	01/26/2016	5	EOG RESOURCES INC	65 39	82 30	326 95	411 49	(84 54)
12/21/2015	01/26/2016	8	EOG RESOURCES INC	65 39	69 90	523 12	559 17	(36 05)
03/25/2015	01/26/2016	20	ESTEE LAUDER COMPANIES-CL A	83 99	84 03	1,679 80	1,680 70	(0 90)
03/25/2015	01/26/2016	33	FACEBOOK INC-A	96 79	83 83	3,194 07	2,766 27	427 80
09/04/2015	01/26/2016	5	FACEBOOK INC-A	96 79	87 19	483 95	435 95	48 00
03/25/2015	01/26/2016	18	FISERV INC	91 50	78 38	1,647 00	1,410 80	236 20
03/25/2015	01/26/2016	36	GAMESTOP CORP-CLASS A	26 39	40 25	950 04	1,448 99	(498 95)
03/25/2015	01/26/2016	33	GILEAD SCIENCES INC	92 33	99 91	3,046 89	3,297 14	(250 25)
03/25/2015	01/26/2016	10	HESS CORP	35 28	69 09	352 84	690 87	(338 03)
03/25/2015	01/26/2016	17	HESS CORP	35 56	69 09	604 52	1,174 49	(569 97)
03/25/2015	01/26/2016	100	HEWLETT PACKARD ENTERPRIS	13 44	17 81	1,344 00	1,780 99	(436 99)
03/25/2015	01/26/2016	30	HOME DEPOT INC	122 09	114 75	3,662 70	3,442 45	220 25
03/25/2015	01/26/2016	100	HP INC	9 75	14 81	975 00	1,480 93	(505 93)
03/25/2015	01/26/2016	4	INTUITIVE SURGICAL INC	548 49	494 92	2,193 96	1,979 68	214 28
03/25/2015	01/26/2016	54	ITT CORP	30 52	39 20	1,648 08	2,116 94	(468 86)
07/17/2015	01/26/2016	43	JETBLUE AIRWAYS	21 47	22 99	923 21	988 61	(65 40)
03/25/2015	01/26/2016	29	JOHNSON & JOHNSON	99 54	100 84	2,886 66	2,924 38	(37 72)
03/25/2015	01/26/2016	42	KROGER CO	36 75	38 15	1,543 50	1,602 16	(58 66)
09/03/2015	01/26/2016	8	KROGER CO	36 75	34 69	294 00	277 54	16 46
03/25/2015	01/26/2016	4	L BRANDS INC	96 50	94 55	386 00	378 21	7 79
04/06/2015	01/26/2016	6	L BRANDS INC	96 50	94 86	579 00	569 14	9 86
03/25/2015	01/26/2016	18	L-3 COMMUNICATIONS HOLDINGS	111 44	125 62	2,005 92	2,261 10	(255 18)
09/08/2015	01/26/2016	3	L-3 COMMUNICATIONS HOLDINGS	111 44	106 68	334 32	320 03	14 29
09/02/2015	01/26/2016	5	MCDONALD'S CORP	120 18	95 85	600 90	479 23	121 67
09/10/2015	01/26/2016	11	MCDONALD'S CORP	120 18	95 39	1,321 98	1,049 25	272 73
03/25/2015	01/26/2016	8	MCKESSON CORP	170 89	226 61	1,367 12	1,812 86	(445 74)
03/25/2015	01/26/2016	20	MERCK & CO INC	51 47	58 58	1,029 40	1,171 64	(142 24)

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
03/25/2015	01/26/2016	62	MICROSOFT CORP	52 20	41 85	3,236 11	2,594 88	641 23
04/30/2015	01/26/2016	11	MICROSOFT CORP	52 20	49 11	574 15	540 25	33 90
05/06/2015	01/26/2016	17	MICROSOFT CORP	52 20	46 77	887 32	795 10	92 22
06/04/2015	01/26/2016	16	MICROSOFT CORP	52 20	46 74	835 12	747 81	87 31
03/25/2015	01/26/2016	19	MURPHY OIL CORP	17 45	46 63	331 63	886 06	(554 43)
10/12/2015	01/26/2016	12	MURPHY OIL CORP	17 45	29 27	209 45	351 28	(141 83)
11/18/2015	01/26/2016	25	MURPHY OIL CORP	17 45	30 84	436 35	771 03	(334 68)
03/25/2015	01/26/2016	50	NIKE INC -CL B	61 09	49 74	3,054 50	2,486 99	567 51
05/29/2015	01/26/2016	6	NORTHROP GRUMMAN CORP	181 57	159 11	1,089 42	954 68	134 74
06/04/2015	01/26/2016	6	NORTHROP GRUMMAN CORP	181 57	159 50	1,089 42	957 03	132 39
06/11/2015	01/26/2016	4	NORTHROP GRUMMAN CORP	181 57	160 29	726 28	641 15	85 13
03/25/2015	01/26/2016	17	ORACLE CORP	34 73	43 11	590 41	732 91	(142 50)
08/13/2015	01/26/2016	12	ORACLE CORP	34 73	39 41	416 76	472 89	(56 13)
09/04/2015	01/26/2016	14	ORACLE CORP	34 73	36 46	486 22	510 42	(24 20)
03/25/2015	01/26/2016	13	PEPSICO INC	96 69	95 58	1,256 97	1,242 49	14 48
05/07/2015	01/26/2016	8	PEPSICO INC	96 69	95 37	773 52	762 93	10 59
06/04/2015	01/26/2016	9	PEPSICO INC	96 69	94 75	870 21	852 79	17 42
07/07/2015	01/26/2016	3	PEPSICO INC	96 69	95 33	290 07	286 00	4 07
07/15/2015	01/26/2016	3	PEPSICO INC	96 69	96 86	290 07	290 58	(0 51)
03/25/2015	01/26/2016	100	PFIZER INC	30 53	34 52	3,052 88	3,451 94	(399 06)
10/05/2015	01/26/2016	26	PPL CORPORATION	33 89	33 42	881 14	868 80	12 34
10/08/2015	01/26/2016	12	PPL CORPORATION	33 89	32 88	406 68	394 53	12 15
10/13/2015	01/26/2016	18	PPL CORPORATION	33 89	33 42	610 02	601 51	8 51
10/16/2015	01/26/2016	11	PPL CORPORATION	33 89	34 45	372 79	378 93	(6 14)
07/07/2015	01/26/2016	1	PRICELINE GROUP INC/THE	1,096 45	1139 80	1,096 45	1,139 80	(43 35)
10/02/2015	01/26/2016	2	PUBLIC STORAGE	252 19	213 09	504 38	426 18	78 20
10/14/2015	01/26/2016	2	PUBLIC STORAGE	252 19	217 30	504 38	434 60	69 78
07/13/2015	01/26/2016	7	ROCKWELL COLLINS INC	82 51	92 93	577 57	650 53	(72 96)
04/17/2015	01/26/2016	26	ROSS STORES INC	54 09	50 53	1,406 47	1,313 83	92 64
10/06/2015	01/26/2016	20	ROSS STORES INC	54 09	48 24	1,081 90	964 80	117 10
06/25/2015	01/26/2016	20	SEALED AIR CORP	40 03	51 91	800 60	1,038 25	(237 65)
06/30/2015	01/26/2016	8	SEALED AIR CORP	40 03	51 77	320 24	414 16	(93 92)
07/16/2015	01/26/2016	6	SEALED AIR CORP	40 03	53 01	240 18	318 08	(77 90)

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BARBARA A ALLEN CHARITABLE FOUNDATION (Account 888-92100)

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
03/25/2015	01/26/2016	8	SERVICENOW INC	77 77	77 18	622 16	617 45	4 71
12/24/2015	01/26/2016	35	SYNCHRONY FINANCIAL	28 59	30 58	1,000 65	1,070 38	(69 73)
01/06/2016	01/26/2016	13	SYNCHRONY FINANCIAL	28 59	29 82	371 67	387 65	(15 98)
03/25/2015	01/26/2016	10	UNION PACIFIC CORP	70 27	111 71	702 70	1,117 11	(414 41)
03/25/2015	01/26/2016	12	UNITED TECHNOLOGIES CORP	85 79	118 59	1,029 48	1,423 06	(393 58)
05/08/2015	01/26/2016	2	UNITED TECHNOLOGIES CORP	85 79	118 58	171 58	237 16	(65 58)
03/25/2015	01/26/2016	9	UNITEDHEALTH GROUP INC	113 30	117 83	1,019 70	1,060 43	(40 73)
08/14/2015	01/26/2016	2	UNITEDHEALTH GROUP INC	113 30	120 77	226 60	241 54	(14 94)
08/26/2015	01/26/2016	12	UNITEDHEALTH GROUP INC	113 30	111 79	1,359 60	1,341 48	18 12
10/08/2015	01/26/2016	6	UNITEDHEALTH GROUP INC	113 30	115 68	679 80	694 08	(14 28)
03/25/2015	01/26/2016	61	US BANCORP	38 97	43 66	2,377 17	2,663 03	(285 86)
03/25/2015	01/26/2016	22	VALERO ENERGY CORP	66 76	63 68	1,468 72	1,400 97	67 75
12/17/2015	01/26/2016	32	VERIZON COMMUNICATIONS INC	47 56	46 31	1,522 00	1,481 90	40 10
03/25/2015	01/26/2016	49	VISA INC - CLASS A SHRS	71 89	66 13	3,522 78	3,240 18	282 60
03/25/2015	01/26/2016	84	WELLS FARGO & COMPANY	48 27	54 83	4,054 68	4,606 06	(551 38)
09/03/2015	01/26/2016	7	WELLS FARGO & COMPANY	48 27	52 76	337 89	369 34	(31 45)
09/14/2015	01/26/2016	10	WELLS FARGO & COMPANY	48 27	52 67	482 70	526 75	(44 05)
03/25/2015	01/26/2016	120	XEROX CORP	9 26	12 96	1,111 20	1,555 50	(444 30)
11/18/2015	01/26/2016	27	XEROX CORP	9 26	10 37	250 02	279 90	(29 88)
12/21/2015	01/26/2016	79	XEROX CORP	9 26	10 26	731 54	810 85	(79 31)
01/26/2016	01/27/2016	20	XILINX INC	48 35	47 99	967 00	959 89	7 11
SUBTOTAL FOR SHORT-TERM						246,855 81	266,593 38	(19,737 57)

LONG-TERM

05/01/2012	01/26/2016	58	APPLE INC	100 39	128 94	5,822 62	7,478 52	(1,655 90)
02/13/2013	01/26/2016	8	MONSTER BEVERAGE CORP	140 18	139 62	1,121 44	1,116 96	4 48
08/03/2011	01/26/2016	7	SHERWIN-WILLIAMS CO/THE	247 21	285 59	1,730 47	1,999 13	(268 66)
08/03/2011	01/26/2016	43	STARBUCKS CORP	58 65	46 55	2,521 95	2,001 65	520 30
08/03/2011	01/26/2016	27	WALT DISNEY CO/THE	95 64	105 39	2,582 28	2,845 53	(263 25)
12/29/2014	01/26/2016	482 575	AB BOND INFLATION STRATEGY CLASS 1	10 20	10 44	4,922 26	5,038 08	(115 82)
06/07/2013	01/26/2016	1,049 412	AB GLOBAL BOND FUND- ADV	8 19	8 50	8,594 68	8,920 00	(325 32)
07/02/2013	01/26/2016	1 384	AB GLOBAL BOND FUND- ADV	8 19	8 35	11 33	11 56	(0 23)
08/02/2013	01/26/2016	2 670	AB GLOBAL BOND FUND- ADV	8 19	8 33	21 87	22 24	(0 37)

BERNSTEIN

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/04/2013	01/26/2016	2 849	AB GLOBAL BOND FUND- ADV	8 19	8 19	23 33	23 33	0 00
10/02/2013	01/26/2016	2 319	AB GLOBAL BOND FUND- ADV	8 19	8 25	18 99	19 13	(0 14)
10/22/2013	01/26/2016	228 640	AB GLOBAL BOND FUND- ADV	8 19	8 31	1,872 56	1,900 00	(27 44)
11/04/2013	01/26/2016	2 900	AB GLOBAL BOND FUND- ADV	8 19	8 32	23 75	24 13	(0 38)
12/03/2013	01/26/2016	3 281	AB GLOBAL BOND FUND- ADV	8 19	8 29	26 87	27 20	(0 33)
01/03/2014	01/26/2016	3 281	AB GLOBAL BOND FUND- ADV	8 19	8 23	26 87	27 00	(0 13)
02/04/2014	01/26/2016	3 739	AB GLOBAL BOND FUND- ADV	8 19	8 33	30 62	31 15	(0 53)
02/06/2014	01/26/2016	219 952	AB GLOBAL BOND FUND- ADV	8 19	8 32	1,801 41	1,830 00	(28 59)
03/04/2014	01/26/2016	3 616	AB GLOBAL BOND FUND- ADV	8 19	8 38	29 62	30 30	(0 68)
04/02/2014	01/26/2016	3 847	AB GLOBAL BOND FUND- ADV	8 19	8 38	31 51	32 24	(0 73)
05/02/2014	01/26/2016	3 887	AB GLOBAL BOND FUND- ADV	8 19	8 42	31 83	32 73	(0 90)
06/03/2014	01/26/2016	4 038	AB GLOBAL BOND FUND- ADV	8 19	8 49	33 07	34 28	(1 21)
07/02/2014	01/26/2016	3 631	AB GLOBAL BOND FUND- ADV	8 19	8 49	29 74	30 83	(1 09)
08/04/2014	01/26/2016	4 717	AB GLOBAL BOND FUND- ADV	8 19	8 48	38 63	40 00	(1 37)
09/03/2014	01/26/2016	3 808	AB GLOBAL BOND FUND- ADV	8 19	8 56	31 19	32 60	(1 41)
10/02/2014	01/26/2016	3 125	AB GLOBAL BOND FUND- ADV	8 19	8 51	25 59	26 59	(1 00)
10/06/2014	01/26/2016	165 885	AB GLOBAL BOND FUND- ADV	8 19	8 53	1,358 60	1,415 00	(56 40)
11/04/2014	01/26/2016	3 624	AB GLOBAL BOND FUND- ADV	8 19	8 54	29 68	30 95	(1 27)
12/02/2014	01/26/2016	3 423	AB GLOBAL BOND FUND- ADV	8 19	8 59	28 03	29 40	(1 37)
12/10/2014	01/26/2016	38 527	AB GLOBAL BOND FUND- ADV	8 19	8 39	315 54	323 24	(7 70)
12/29/2014	01/26/2016	384 067	AB GLOBAL BOND FUND- ADV	8 19	8 41	3,145 51	3,230 00	(84 49)
01/05/2015	01/26/2016	3 587	AB GLOBAL BOND FUND- ADV	8 19	8 42	29 38	30 20	(0 82)
06/07/2013	01/26/2016	48 606	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	9 22	470 02	447 96	22 06
07/02/2013	01/26/2016	2 117	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 47	20 47	22 17	(1 70)
08/02/2013	01/26/2016	3 512	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 59	33 96	37 19	(3 23)
09/04/2013	01/26/2016	4 029	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 53	38 96	42 43	(3 47)
10/02/2013	01/26/2016	3 205	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 59	30 99	33 94	(2 95)
10/22/2013	01/26/2016	145 131	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 68	1,403 42	1,550 00	(146 58)
11/04/2013	01/26/2016	3 484	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 70	33 69	37 28	(3 59)
12/03/2013	01/26/2016	3 599	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 72	34 80	38 58	(3 78)

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12/11/2013	01/26/2016	4 824	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 66	46 65	51 43	(4 78)
01/03/2014	01/26/2016	3 548	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 68	34 31	37 89	(3 58)
02/04/2014	01/26/2016	3 832	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 66	37 06	40 85	(3 79)
02/06/2014	01/26/2016	171 831	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 65	1,661 61	1,830 00	(168 39)
03/04/2014	01/26/2016	3 551	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 72	34 34	38 07	(3 73)
04/02/2014	01/26/2016	3 859	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 72	37 32	41 37	(4 05)
05/02/2014	01/26/2016	3 925	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 72	37 95	42 08	(4 13)
06/03/2014	01/26/2016	4 175	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 73	40 37	44 80	(4 43)
07/02/2014	01/26/2016	3 798	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 73	36 73	40 75	(4 02)
08/04/2014	01/26/2016	4 203	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 61	40 64	44 59	(3 95)
09/03/2014	01/26/2016	4 317	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 68	41 75	46 11	(4 36)
10/02/2014	01/26/2016	3 890	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 48	37 62	40 77	(3 15)
10/06/2014	01/26/2016	174 739	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 53	1,689 72	1,840 00	(150 28)
11/04/2014	01/26/2016	5 290	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 53	51 15	55 70	(4 55)
12/02/2014	01/26/2016	4 632	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 47	44 79	48 50	(3 71)
12/10/2014	01/26/2016	19 187	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 29	185 54	197 43	(11 89)
12/29/2014	01/26/2016	339 844	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 24	3,286 29	3,480 00	(193 71)
01/05/2015	01/26/2016	5 343	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS	9 67	10 24	51 67	54 71	(3 04)
06/07/2013	01/26/2016	2,103 870	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 34	20,660 00	21,754 02	(1,094 02)
06/19/2013	01/26/2016	21 133	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 07	207 53	212 81	(5 28)
08/29/2013	01/26/2016	1 666	ALLIANCEBERNSTEIN GLBL RE-II	9 82	9 54	16 36	15 89	0 47
09/16/2013	01/26/2016	9 001	ALLIANCEBERNSTEIN GLBL RE-II	9 82	9 92	88 39	89 29	(0 90)
10/22/2013	01/26/2016	351 377	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 53	3,450 52	3,700 00	(249 48)
12/20/2013	01/26/2016	114 986	ALLIANCEBERNSTEIN GLBL RE-II	9 82	9 42	1,129 16	1,083 17	45 99
02/06/2014	01/26/2016	663 212	ALLIANCEBERNSTEIN GLBL RE-II	9 82	9 65	6,512 74	6,400 00	112 74
03/14/2014	01/26/2016	34 902	ALLIANCEBERNSTEIN GLBL RE-II	9 82	9 85	342 74	343 78	(1 04)

Capital Gains Report

BARBARA A. ALLEN CHARITABLE FOUNDATION (Account 888-92100)

January 1, 2016 - December 31, 2016

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/13/2014	01/26/2016	15 894	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 53	156 08	167 36	(11 28)
09/12/2014	01/26/2016	15 430	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 71	151 52	165 25	(13 73)
10/06/2014	01/26/2016	238 424	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 15	2,341 33	2,420 00	(78 67)
12/23/2014	01/26/2016	85 882	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 62	843 36	912 07	(68 71)
12/29/2014	01/26/2016	462 500	ALLIANCEBERNSTEIN GLBL RE-II	9 82	10 80	4,541 75	4,995 00	(453 25)
06/07/2013	01/26/2016	123 898	BERNSTEIN EMERGING MARKETS	20 24	27 03	2,507 70	3,349 00	(841 30)
10/22/2013	01/26/2016	53 880	BERNSTEIN EMERGING MARKETS	20 24	28 77	1,090 53	1,550 00	(459 47)
12/10/2013	01/26/2016	5 497	BERNSTEIN EMERGING MARKETS	20 24	27 34	111 26	150 28	(39 02)
02/06/2014	01/26/2016	155 508	BERNSTEIN EMERGING MARKETS	20 24	25 86	3,147 48	4,021 23	(873 75)
12/09/2014	01/26/2016	23 858	BERNSTEIN EMERGING MARKETS	20 24	27 24	482 89	649 88	(166 99)
12/29/2014	01/26/2016	191 325	BERNSTEIN EMERGING MARKETS	20 24	26 97	3,872 42	5,160 00	(1,287 58)
08/07/2012	01/26/2016	1,978 893	BERNSTEIN INTERMEDIATE	13 09	14 15	25,903 71	28,001 35	(2,097 64)
08/20/2012	01/26/2016	12 449	BERNSTEIN INTERMEDIATE	13 09	14 07	162 96	175 16	(12 20)
09/20/2012	01/26/2016	16 978	BERNSTEIN INTERMEDIATE	13 09	14 18	222 24	240 75	(18 51)
10/19/2012	01/26/2016	15 996	BERNSTEIN INTERMEDIATE	13 09	14 25	209 39	227 95	(18 56)
11/20/2012	01/26/2016	14 538	BERNSTEIN INTERMEDIATE	13 09	14 25	190 30	207 16	(16 86)
12/12/2012	01/26/2016	64 243	BERNSTEIN INTERMEDIATE	13 09	14 09	840 94	905 19	(64 25)
12/20/2012	01/26/2016	14 053	BERNSTEIN INTERMEDIATE	13 09	14 06	183 95	197 58	(13 63)
12/31/2012	01/26/2016	5 208	BERNSTEIN INTERMEDIATE	13 09	14 09	68 17	73 38	(5 21)
01/18/2013	01/26/2016	9 932	BERNSTEIN INTERMEDIATE	13 09	14 05	130 01	139 55	(9 54)
02/20/2013	01/26/2016	14 755	BERNSTEIN INTERMEDIATE	13 09	13 94	193 14	205 68	(12 54)
03/20/2013	01/26/2016	13 699	BERNSTEIN INTERMEDIATE	13 09	13 97	179 32	191 37	(12 05)
04/19/2013	01/26/2016	15 290	BERNSTEIN INTERMEDIATE	13 09	14 09	200 15	215 43	(15 28)
05/20/2013	01/26/2016	13 790	BERNSTEIN INTERMEDIATE	13 09	13 98	180 51	192 79	(12 28)
06/20/2013	01/26/2016	11 675	BERNSTEIN INTERMEDIATE	13 09	13 54	152 83	158 08	(5 25)
07/08/2013	01/26/2016	0 142	BERNSTEIN INTERMEDIATE	13 09	13 38	1 86	1 90	(0 04)
07/19/2013	01/26/2016	6 519	BERNSTEIN INTERMEDIATE	13 09	13 54	85 33	88 27	(2 94)
08/20/2013	01/26/2016	7 125	BERNSTEIN INTERMEDIATE	13 09	13 32	93 27	94 90	(1 63)
09/20/2013	01/26/2016	7 732	BERNSTEIN INTERMEDIATE	13 09	13 42	101 21	103 77	(2 56)
10/18/2013	01/26/2016	6 073	BERNSTEIN INTERMEDIATE	13 09	13 53	79 50	82 17	(2 67)
10/22/2013	01/26/2016	541 532	BERNSTEIN INTERMEDIATE	13 09	13 58	7,088 65	7,354 00	(265 35)
11/20/2013	01/26/2016	8 027	BERNSTEIN INTERMEDIATE	13 09	13 47	105 07	108 13	(3 06)
12/10/2013	01/26/2016	7 908	BERNSTEIN INTERMEDIATE	13 09	13 44	103 52	106 28	(2 76)

Capital Gains Report

BARBARA A ALLEN CHARITABLE FOUNDATION (Account 888-92100)

January 1, 2016 - December 31, 2016

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
12/20/2013	01/26/2016	8 440	BERNSTEIN INTERMEDIATE	13 09	13 41	110 48	113 18	(2 70)
12/31/2013	01/26/2016	2 579	BERNSTEIN INTERMEDIATE	13 09	13 35	33 76	34 43	(0 67)
01/17/2014	01/26/2016	5 038	BERNSTEIN INTERMEDIATE	13 09	13 47	65 95	67 86	(1 91)
02/06/2014	01/26/2016	571 006	BERNSTEIN INTERMEDIATE	13 09	13 52	7,474 47	7,720 00	(245 53)
02/20/2014	01/26/2016	8 765	BERNSTEIN INTERMEDIATE	13 09	13 51	114 73	118 41	(3 68)
03/20/2014	01/26/2016	9 115	BERNSTEIN INTERMEDIATE	13 09	13 51	119 32	123 14	(3 82)
04/17/2014	01/26/2016	10 212	BERNSTEIN INTERMEDIATE	13 09	13 59	133 68	138 78	(5 10)
05/20/2014	01/26/2016	9 439	BERNSTEIN INTERMEDIATE	13 09	13 75	123 56	129 79	(6 23)
06/20/2014	01/26/2016	9 964	BERNSTEIN INTERMEDIATE	13 09	13 72	130 43	136 70	(6 27)
07/18/2014	01/26/2016	8 661	BERNSTEIN INTERMEDIATE	13 09	13 76	113 37	119 17	(5 80)
08/20/2014	01/26/2016	8 748	BERNSTEIN INTERMEDIATE	13 09	13 78	114 51	120 55	(6 04)
09/19/2014	01/26/2016	8 540	BERNSTEIN INTERMEDIATE	13 09	13 70	111 79	117 00	(5 21)
10/06/2014	01/26/2016	451 707	BERNSTEIN INTERMEDIATE	13 09	13 77	5,912 84	6,220 00	(307 16)
10/20/2014	01/26/2016	7 826	BERNSTEIN INTERMEDIATE	13 09	13 88	102 44	108 62	(6 18)
11/20/2014	01/26/2016	9 933	BERNSTEIN INTERMEDIATE	13 09	13 79	130 02	136 97	(6 95)
12/09/2014	01/26/2016	108 440	BERNSTEIN INTERMEDIATE	13 09	13 51	1,419 48	1,465 01	(45 53)
12/19/2014	01/26/2016	9 346	BERNSTEIN INTERMEDIATE	13 09	13 51	122 34	126 27	(3 93)
12/29/2014	01/26/2016	962 819	BERNSTEIN INTERMEDIATE	13 09	13 51	12,603 29	13,007 68	(404 39)
12/31/2014	01/26/2016	3 463	BERNSTEIN INTERMEDIATE	13 09	13 53	45 33	46 86	(1 53)
01/20/2015	01/26/2016	6 244	BERNSTEIN INTERMEDIATE	13 09	13 71	81 73	85 60	(3 87)
06/07/2013	01/26/2016	2,152 046	BERNSTEIN INTERNATIONAL	14 16	14 80	30,472 97	31,850 28	(1,377 31)
10/22/2013	01/26/2016	138 387	BERNSTEIN INTERNATIONAL	14 16	16 62	1,959 56	2,300 00	(340 44)
12/10/2013	01/26/2016	45 619	BERNSTEIN INTERNATIONAL	14 16	15 87	645 97	723 97	(78 00)
02/06/2014	01/26/2016	609 834	BERNSTEIN INTERNATIONAL	14 16	15 66	8,635 25	9,550 00	(914 75)
10/06/2014	01/26/2016	483 439	BERNSTEIN INTERNATIONAL	14 16	15 70	6,845 49	7,590 00	(744 51)
12/09/2014	01/26/2016	80 471	BERNSTEIN INTERNATIONAL	14 16	15 33	1,139 47	1,233 62	(94 15)
12/29/2014	01/26/2016	815 876	BERNSTEIN INTERNATIONAL	14 16	15 18	11,552 80	12,385 00	(832 20)
SUBTOTAL FOR LONG-TERM						224,217 86	240,797 33	(16,579 47)
TOTAL						\$471,073 67	\$507,390 71	(\$36,317 04)

Capital Gains Report

BARBARA A. ALLEN CHARITABLE FOUNDATION (Account. 888-92100) January 1, 2016 - December 31, 2016

** ACCOUNT TOTALS **	
CURRENT PERIOD - G/L	(\$36,317 04)
SHORT-TERM	(\$19,737 57)
LONG-TERM	(\$16,579 47)



2016 Informational Tax Reporting Statement

BARBARA A ALLEN CHTBL FDTN

Account No 668-001696 Customer Service CALL ADVISOR

Recipient ID No **5014 Payer's Fed ID Number 04-3523567

Note. This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
COPELAND RISK MNGD DIVIDEND GRWTH I, CDIVX, 21724W605										
Sale	1,964.883	02/08/16	10/26/16	23,465.35	23,515.00			-49.65		
DODGE & COX INCOME, DODIX, 256210105										
Sale	366.032	04/04/16	05/05/16	4,985.00	4,937.87			47.13		
Sale	732.064	06/02/16	11/16/16	9,985.00	9,999.33			-14.33		
Subtotals				14,970.00	14,937.20					
DOUBLELINE TOTAL RETURN BOND FD CL I, DBLTX, 258620103										
Sale	2,148.080	02/08/16	10/26/16	23,377.59	23,515.00			-137.41		
FIRST EAGLE GLOBAL CLASS I, SGIIX, 32008F606										
Sale	41.446	02/08/16	10/26/16	2,335.00	2,038.79			296.21		
HOTCHKIS AND WILEY HIGH YIELD FUND CL I, HWHIX, 44134R735										
Sale	658.230	04/04/16	11/16/16	7,752.77	7,290.51			462.26		
Sale	614.035	06/02/16	11/16/16	7,232.23	7,015.00			217.23		
Subtotals				14,985.00	14,305.51					
MORGAN STANLEY GLBL FRANCHISE CLASS I, MSFAX, 61744J283										
Sale	710.227	03/02/16	05/05/16	14,985.00	14,348.61			636.39		
Sale	561.010	06/02/16	06/20/16	11,985.00	12,040.35			-55.35		
Subtotals				26,970.00	26,388.96					
TEMPLETON GLOBAL BOND ADVISOR CLASS, TGBAX, 880208400										
Sale	438.005	02/08/16	10/26/16	5,079.00	4,719.91			359.09		

*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.



2016 Informational Tax Reporting Statement

BARBARA A ALLEN CHTBL FDTN Account No 668-001696 Customer Service CALL ADVISOR
Recipient ID No **4445014 Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

2016 Proceeds from Broker and Barter Exchange Transactions

Short-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
TOTALS				111,181.94	109,420.37	0.00	0.00		0.00	
								2,018.31		
								-256.74		

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2016 Informational Tax Reporting Statement

BARBARA A ALLEN CHTBL FDTN

Account No 668-001696 Customer Service CALL ADVISOR

Recipient ID No **5014 Payer's Fed ID Number 04-3523567

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2016 Proceeds from Broker and Barter Exchange Transactions

Long-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ALPHABET INC CAP STKCL A, GOOGL, 02079K305										
Sale	2 000	05/01/13	05/31/16	1 495 44	820 82			674 62		
ALPHABET INC CAP STKCL C, GOOG 02079K107										
Sale	6 000	05/01/13	05/31/16	4 410 95	2,457 69			1,953 26		
ALTRIA GROUP INC MO 02209S103										
Sale	65 000	04/23/12	05/31/16	4,117 81	2,058 83			2,058 98		
Sale	1 000	08/03/12	05/31/16	63 35	35 92			27 43		
Subtotals				4,181 16	2,094 75					
COMCAST CORP NEW CL A CMCSA, 20030N101										
Sale	60 000	08/03/12	05/31/16	3 768 91	2,080 82			1,688 09		
DANAHER CORP, DHR 235851102										
Sale	5 000	04/23/12	05/31/16	490 36	263 09			227 27		
Sale	95 000	05/01/13	05/31/16	9,316 76	5,736 14			3,580 62		
Subtotals				9,807.12	5,999 23					
FACEBOOK INC COM USD0 000006 CL A, FB, 30303M102										
Sale	50 000	04/09/14	05/31/16	5,930 94	2,998 12			2,932 82		
Sale	25 000	05/05/14	05/31/16	2,965 47	1,524 58			1,440 89		
Sale	25 000	05/29/14	05/31/16	2,965 47	1,596 32			1,369 15		
Sale	25 000	10/15/14	05/31/16	2,965 47	1,809 20			1,156 27		
Subtotals				14,827 35	7,928.22					

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2016 Informational Tax Reporting Statement

BARBARA A ALLEN CHTBL FDTN

Account No 668-001696 Customer Service CALL ADVISOR

Recipient ID No **5014 Payer's Fed ID Number 04-3523567

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2016 Proceeds from Broker and Barter Exchange Transactions

Long-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

1a Description of property Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
HOME DEPOT INC COM ISIN #US4370761029 SE HD, 437076102										
Sale	15 000	09/17/12	05/31/16	1 982 89	884 06			1,098 83		
Sale	80 000	05/01/13	05/31/16	10,575 44	5,844 36			4,731 08		
Sale	20 000	01/24/14	05/31/16	2,643 86	1,590 83			1,053 03		
Sale	50 000	05/29/14	05/31/16	6,609 65	3,990 15			2,619 50		
Subtotals				21,811 84	12,309 40					
INTUITIVE SURGICAL INC COM NEW ISRG, 46120E602										
Sale	7 000	05/29/14	05/31/16	4,437 75	2,596 65			1,841 10		
JOHNSON & JOHNSON, JNJ, 478160104										
Sale	15 000	07/18/12	05/31/16	1,685 51	1,040 22			645 29		
Sale	65 000	08/03/12	05/31/16	7 303 89	4,497 37			2,806 52		
Subtotals				8,989 40	5,537 59					
MICROSOFT CORP, MSFT 594918104										
Sale	50 000	08/03/12	05/31/16	2,616 44	1,491 60			1,124 84		
Sale	30 000	11/26/12	05/31/16	1,569 86	818 26			751 60		
Subtotals				4,186 30	2,309 86					
MONSTER BEVERAGE CORP NEW COM, MNST, 61174X109										
Sale	24 000	05/07/14	05/31/16	3,585 96	1,589 43			1,996 53		
PRICELINE GROUP INC THE, PCLN, 741503403										
Sale	5 000	05/01/13	05/31/16	6,306 05	3,484 77			2,821 28		

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2016 Informational Tax Reporting Statement

BARBARA A ALLEN CHTBL FDTN

Account No 668-001696 Customer Service CALL ADVISOR

Recipient ID No **5014 Payer's Fed ID Number 04-3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

2016 Proceeds from Broker and Barter Exchange Transactions

Long-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
STARBUCKS CORP, SBUX, 855244109										
Sale	18 000	05/01/13	05/31/16	982 06	542 58			439 48		
TOTALS				88,790 29	49,751 81	0.00	0.00	39,038 48	0 00	
Long-Term Realized Gain								39,038 48		
Long-Term Realized Loss								0 00		

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments unit investment trusts, or securities of foreign issuers

Total 199972

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