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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

ERION FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

PO BOX 732

City or town, state or province, country, and ZIP or foreign postal code

LOVELAND, CO 80539

G Check all that apply

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 10,692,194.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

84-1358074

B Telephone number (see instructions)

(970) 669-9525

C If exemption application is
pending, check here.

D 1 Foreign organizations, check here.

2 Foreign organizations meeting the
85% test, check here and attach
computationE If private foundation status was terminated
under section 507(b)(1)(A), check here.F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here.**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

110,966.

2 Check ☐ if the foundation is not required to
attach Sch. B.

3 Interest on savings and temporary cash investments.

141.

141.

4 Dividends and interest from securities

142,305.

142,305.

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

67,697.

b Gross sales price for all
assets on line 6a 1,578,599.

7 Capital gain net income (from Part IV, line 2)

67,697.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule) ATCH. 1

455,725.

446,464.

12 Total. Add lines 1 through 11

776,834.

656,607.

13 Compensation of officers, directors, trustees, etc.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

844.

844.

b Accounting fees (attach schedule)

10,225.

3,950.

4,300.

c Other professional fees (attach schedule) [2]

56,617.

39,317.

17,300.

17 Interest. ATCH. 3

18,896.

18,896.

18 Taxes (attach schedule) (see instructions) [4]

2,022.

2,022.

19 Depreciation (attach schedule) and depletion

1,112.

20 Occupancy

43,950.

23,389.

21 Travel, conferences, and meetings

1,654.

1,654.

22 Printing and publications

23 Other expenses (attach schedule) ATCH. 5

1,413.

1,413.

24 Total operating and administrative expenses.

Add lines 13 through 23

136,733.

45,289.

67,796.

25 Contributions, gifts, grants paid

260,173.

260,173.

26 Total expenses and disbursements. Add lines 24 and 25

396,906.

45,289.

327,969.

27 Subtract line 26 from line 12.

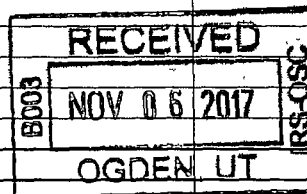
a Excess of revenue over expenses and disbursements

379,928.

b Net investment income (if negative, enter -0-)

611,318.

c Adjusted net income (if negative, enter -0-)



17

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	17,187.	353,918.	353,918.
	2	Savings and temporary cash investments	103,507.	51,569.	51,569.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule) [6]	61,748.	53,988.	53,667.
	b	Investments - corporate stock (attach schedule) ATCH 7	4,512,697.	4,189,523.	4,684,096.
	c	Investments - corporate bonds (attach schedule) ATCH 8	1,589,762.	1,521,315.	1,499,032.
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule) ATCH 9	2,510,248.	2,501,560.	2,290,773.
14		Land, buildings, and equipment basis ▶	13,967.		
		Less: accumulated depreciation (attach schedule) ▶	9,828.	2,110.	4,139.
15		Other assets (describe ▶ ATCH 10)	2,025,425.	2,033,549.	1,755,000.
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	10,822,684.	10,709,561.	10,692,194.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)	1,042,830.	542,830.	
	22	Other liabilities (describe ▶ ATCH 12)	15,431.	20,670.	
	23	Total liabilities (add lines 17 through 22)	1,058,261.	563,500.	
		Foundations that follow SFAS 117, check here . ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds	9,764,423.	10,146,061.		
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	9,764,423.	10,146,061.		
31	Total liabilities and net assets/fund balances (see instructions)	10,822,684.	10,709,561.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,764,423.
2	Enter amount from Part I, line 27a	2	379,928.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,144,351.
5	Decreases not included in line 2 (itemize) ▶ ATCH 13	5	-1,710.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,146,061.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	67,697.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,264,874.	9,524,935.	0.132796
2014	816,299.	10,100,108.	0.080821
2013	363,552.	9,591,501.	0.037904
2012	1,214,156.	9,192,278.	0.132084
2011	389,752.	9,230,467.	0.042225

2 Total of line 1, column (d)	2	0.425830
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years.	3	0.085166
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	8,658,893.
5 Multiply line 4 by line 3.	5	737,443.
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	6,113.
7 Add lines 5 and 6.	7	743,556.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	327,969.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948- see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	12,226.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	12,226.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	12,226.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	16,588.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	16,588.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,362.
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ☐ 4,362. Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CO,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.ERIONFOUNDATION.ORG</u>	13	X
14 The books are in care of <u>THE ORGANIZATION</u> Telephone no <u>970-669-9525</u> Located at <u>P.O. BOX 732 LOVELAND, CO</u> ZIP+4 <u>80539</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country <u></u>	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	7,082,035.
b	Average of monthly cash balances	1b	138,016.
c	Fair market value of all other assets (see instructions).	1c	1,570,703.
d	Total (add lines 1a, b, and c)	1d	8,790,754.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,790,754.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	131,861.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,658,893.
6	Minimum investment return. Enter 5% of line 5	6	432,945.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	432,945.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	12,226.
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	12,226.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	420,719.
4	Recoveries of amounts treated as qualifying distributions	4	39,208.
5	Add lines 3 and 4.	5	459,927.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	459,927.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	327,969.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	327,969.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	327,969.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				459,927.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20 14 , 20 13 , 20 12				
3 Excess distributions carryover, if any, to 2016				
a From 2011				
b From 2012 246,367.				
c From 2013				
d From 2014 322,632.				
e From 2015 764,201.				
f Total of lines 3a through e	1,333,200.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 327,969.				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount.				327,969.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2016 . (If an amount appears in column (d), the same amount must be shown in column (a))	131,958.			131,958.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,201,242.			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	1,201,242.			
10 Analysis of line 9				
a Excess from 2012 114,409.				
b Excess from 2013				
c Excess from 2014 322,632.				
d Excess from 2015 764,201.				
e Excess from 2016				

Form 990-PF (2016)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 15

b The form in which applications should be submitted and information and materials they should include

ATCH 16

c Any submission deadlines:

ATCH 17

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 18				
Total			▶ 3a	
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .			14	141.		
4 Dividends and interest from securities			14	142,305.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	67,697.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b ATCH 19 _____		-26,634.		443,151.		39,208.
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)		-26,634.		653,294.		39,208.
13 Total. Add line 12, columns (b), (d), and (e)				13		665,868.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|--------------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

▶ DOUG ERION

Date _____

► PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

ADAM R SMITH , CPA

Preparer's signature

repairer's signature
Adam Smith

Date _____

Date 10-27-17

Check ☐	self-employed
--------------------------------	---------------

PTIN

P00958966

Firm's name ► BKD, LLP

Firm's address ► 1801 CALIFORNIA ST., STE. 2900
DENVER, CO

80202

Firm's EIN ▶ 44-0160260

Phone no 303-861-4545

Form **990-PF** (2016)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2016

Name of the organization

ERION FOUNDATION

Employer identification number

84-1358074

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization **ERION FOUNDATION**

Employer identification number

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HARTFORD LIFE & ANNUITY INS CO P.O. BOX 14293 LEXINGTON, KY 40512	\$ 110,966.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

Part II **Noncash Property** (See instructions). Use duplicate copies of Part II if additional space is needed.

[illegible]

Name of organization ERION FOUNDATION

Employer identification number

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GAIN (LOSS) FROM PARTNERSHIPS	416,012.	445,959.
RENT RECEIPTS FROM PROGRAM RELATED INVESTMENT BUILDING	39,208.	
MISCELLANEOUS INCOME	505.	505.
TOTALS	<u>455,725.</u>	<u>446,464.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT FEES	39,317.	39,317.	
PROPERTY MANAGEMENT	12,000.		12,000.
OTHER PROFESSIONAL FEES	500.		500.
OFFICE SUPPORT	4,800.		4,800.
TOTALS	<u>56,617.</u>	<u>39,317.</u>	<u>17,300.</u>

ATTACHMENT 3FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
LINE OF CREDIT	18,896.	18,896.
TOTALS	<u>18,896.</u>	<u>18,896.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	2,022.	2,022.
TOTALS	<u>2,022.</u>	<u>2,022.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
COMPUTER/IT	30.	30.
DUES/FEES/MEMBERSHIP	127.	127.
MARKETING	561.	561.
MISCELLANEOUS	40.	40.
POSTAGE	39.	39.
SUPPLIES	616.	616.
TOTALS	<u>1,413.</u>	<u>1,413.</u>

ERION FOUNDATION

84-1358074

FORM 990 PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

Line 10a - U.S. and State Government Obligations

Description	Beginning Book Value	Ending Book Value	Ending FMV
BNY Mellon S-T US Govt Securities Fund	61,748	53,988	53,667

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
Ingersoll-Rand PLC	-	2,266	2,251
Accenture	12,561	4,592	9,605
Bunge Limited	6,122	-	-
Lyondellbasell Industries	6,815	-	-
AT&T Inc	1,029	14,378	15,736
Abbott Laboratories	20,361	13,256	15,748
Abbvie Inc	-	2,569	2,505
Activision Blizzard Inc	-	6,019	6,861
Affiliated Managers Group Inc	5,803	5,803	4,504
Agilent Technologies	5,764	3,516	4,556
Air Products & Chemicals Inc	1,381	1,268	1,438
Allison Transmission Holdings Inc	2,535	-	-
Allstate Corp	1,361	-	-
Alphabet Inc	19,133	27,717	36,874
Altria Group Inc	7,742	6,595	12,172
Amazon.Com Inc	2,431	8,742	9,748
American Express Company	1,524	7,062	8,890
Ameriprise Financial Inc	-	5,550	6,656
Amgen Inc	8,161	8,161	10,673
Analog Devices Inc	1,766	-	-
Anthem Inc	5,193	-	-
Apple Inc	10,750	6,056	24,322
Applied Materials Inc	-	5,985	6,454
Bank Of America Corporation	8,823	10,020	18,343
Baxter International Inc	-	6,454	6,651
Bed Bath & Beyond Inc	6,212	-	-
Berkshire Hathaway Inc	10,860	2,895	4,075
Biogen Idec Inc	2,325	-	-
Blue Buffalo Pet Products Inc	-	1,632	1,683
The Boeing Company	8,450	9,470	10,119
Brunswick Corp	-	1,318	1,636
Burlington Stores Inc	-	1,755	1,695
CBS Corp	-	6,170	7,634

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
CIGNA Corp	2,917	2,917	4,002
CVS Caremark Corporation	6,974	6,974	9,075
Cameron International Corporation	2,423	-	-
Campbell Soup Co	-	1,640	1,814
Carnival Corp	1,548	6,043	6,247
Celanese Corp	-	5,227	6,299
Centurytel Inc	6,345	6,345	4,756
Charles Riv Laboratories Intl Inc	1,337	1,337	1,524
Chevron Corporation	-	4,306	4,943
Church & Dwight Inc	-	1,349	1,326
Cisco Systems Inc	-	4,528	5,137
Citigroup Inc	11,972	-	-
Citrix Sys Inc	6,134	6,134	7,145
Coca-Cola Co	1,481	-	-
Comcast Corp CI A	5,445	-	-
Conagra Inc	-	4,792	5,537
Crown Holdings Inc	2,575	1,535	1,577
D R Horton Inc	-	1,091	1,093
D S T Sys Inc Del	1,508	-	-
Darden Restaurants Inc	5,315	6,577	7,272
Delta Airlines	3,310	6,908	7,379
Dentsply International Inc	4,258	-	-
The Walt Disney Company	6,262	6,262	12,298
Dollar General Corp	2,026	-	-
Dow Chemical Co	2,425	2,425	3,433
Electronic Arts Inc	7,121	-	-
Express Scripts Holdings Inc	5,187	8,105	6,879
Exxon Mobil Corp	15,112	15,112	20,760
Facebook Inc	1,273	10,684	11,045
Fidelity National Information Services Inc	1,827	-	-
Fiserv Inc	-	2,310	2,444
Firstenergy Corp	6,283	6,283	6,194
Franklin Res Inc	5,997	-	-

ATTACHMENT 7

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FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
General Dynamics Corporation	7,460	-	-
General Electric Company	2,149	15,042	17,380
Gilead Sciences, Inc	14,232	14,232	21,555
Great Plains Energy Inc	-	5,500	4,923
H C A Holdings Inc	5,895	-	-
H D Supply Holdings Inc	-	3,208	4,251
H P Inc	-	6,444	6,381
Hologic Inc	5,766	4,032	4,413
Home Depot Inc	8,427	8,427	13,274
Honeywell Intl Inc	10,081	8,624	20,505
Host Hotels & Resorts Inc	7,056	-	-
Illinois Tools Wks Inc	1,899	1,899	2,449
Intel Corp	2,459	-	-
Intuit	6,453	3,736	9,054
JP Morgan Chase & Co	12,709	12,709	20,364
Jetblue Airways Corp	4,899	-	-
Johnson & Johnson	10,913	10,913	18,088
Kimberly Clark Corp	-	2,297	2,168
Kroger Co	1,581	774	1,380
Estee Lauder Companies Class A	6,916	6,916	6,119
Lennox Intl Inc	-	1,450	1,838
Lowes Companies Inc	6,306	2,769	4,267
Marathon Petroleum Corp	4,253	-	-
Marsh & McLenna Cos Inc	-	6,962	7,435
McKesson Corporation	17,988	17,988	11,376
Merck & Co Inc	11,692	12,746	12,951
Mettler-Toledo Intl Inc	4,086	-	-
Microsoft Corporation	17,611	15,569	31,319
Mondelez International	-	8,158	8,866
Moodys Corp	786	786	1,791
Motorola Solutions Inc	-	5,841	6,631
The Mosaic Company	6,172	-	-
N C R Corp New	5,446	-	-

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
Newfield Expl Co	6,343	1,216	1,215
Nextera Energy Inc	-	-	-
Nordstrom Inc	-	1,446	1,438
Nucor Corp	-	1,102	1,786
Occidental Petroleum Corp	-	1,391	1,425
Old Dominion Fght Line	2,149	-	-
Omnicom Group Inc	-	802	851
Oracle Corp	10,491	12,035	17,879
Owens Corning Inc	5,660	5,660	6,187
Owens III Inc	-	1,163	1,045
Paccar Inc	2,598	-	-
Pepsico Inc	17,063	15,492	30,552
Pfizer Inc	8,227	8,227	14,291
Phillips 66	-	1,548	1,728
Ppl Corp	-	3,748	3,405
T Rowe Price Group Inc	4,908	3,602	4,516
The Procter & Gamble Company	9,864	2,815	3,363
Prudential Financial Inc	7,031	7,031	9,365
Qualcomm Inc	10,061	10,061	16,170
Quintiles Transnational Holdings Inc	-	1,271	1,521
Red Hat Inc	-	3,701	3,485
Reliance Steel & Aluminum	1,764	5,579	7,954
Rockwell Automation Inc	2,315	-	-
S & P Global Inc	-	14,342	14,088
Schlumberger Ltd	22,867	13,716	18,469
Servicemaster Global Holdings, Inc	2,678	-	-
Sirius Xm Holdings Inc	-	1,730	1,914
Smucker J M Co	-	6,336	5,250
Southwest Aircls Co	5,361	-	-
Spirit Aerosystems Holding Inc	5,867	5,867	6,419
Stryker Corp	8,335	7,051	20,008
Target Corp	8,119	-	-
Tesoro Corporation	4,480	-	-

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
Texas Instruments Inc	12,201	12,173	26,561
Thermo Fisher Scientific Inc	-	7,821	7,055
3M Co	5,137	5,137	5,714
Time Warner Inc	7,040	7,040	9,653
The Travelers Companies Inc	5,972	5,972	8,325
Twenty-First Century Fox Inc	7,980	-	-
Union Pac Corp	9,082	-	-
Unitedhealth Group Inc	-	9,000	10,083
Valero Energy Corp New	6,077	6,077	8,198
Verisign Inc	1,881	4,558	4,564
Verizon Communications Inc	13,927	13,927	15,480
Visa Inc Class A Shrs	13,191	-	-
Visteon Corp/New	3,208	7,110	7,150
Vmware Inc	-	5,602	5,511
Waddell & Reed Financial Inc Class A	4,593	-	-
Wal-Mart Stores Inc	10,376	10,376	10,368
Walgreens Boots Alliance Inc	-	6,406	6,621
Wells Fargo & Company	5,058	5,058	9,920
Wex Inc	1,722	-	-
World Fuel Services Corp	-	5,116	5,050
Wyndham Worldwide Corporation	4,984	-	-
Zoetis Inc	1,512	-	-
BNY Mellon Income Stock Fund	70,443	100,443	139,098
BNY Mellon Focused Equity Opportunities Fund	401,984	431,984	423,373
Dreyfus U.S. Equity Fund	137,416	145,346	148,297
The BNY Mellon Mid Cap Multi Strategy Fund	341,807	371,807	486,216
Dreyfus Opportunistic Small Cap Fund	84,988	84,988	91,760
Dreyfus Select Managers Small Cap Growth Fund	78,237	88,410	93,362
Dreyfus Select Managers Small Cap Value Fund	79,346	88,659	95,979
BNY Mellon International Equity Income Fund	151,882	51,381	49,168
BNY Mellon International Fund	242,370	176,254	188,046
Dreyfus International Small Cap Fund	154,917	92,769	92,553
Dreyfus/Newton International Equity Fund	69,188	69,188	71,108

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
Dreyfus International Stock Fund	63,033	63,033	77,044
BNY Mellon Emerging Markets Fund	178,127	148,644	135,951
Dreyfus Diversified Emerging Markets Fund	29,860	25,730	24,120
Dreyfus Global Real Estate Securities Fund	75,474	63,862	66,277
Equity Residential	-	6,873	6,436
General Growth Properties	6,403	6,403	5,995
Post Properties Inc	1,753	-	-
Public Storage Inc Reit	5,696	5,696	7,376
Simon Property Group Inc Reit	1,209	1,209	1,421
Taubman Centers Inc	5,922	-	-
Dodge & Cox Income Fund #147	216,660	202,043	198,725
Third Avenue Focused Credit Fund Ins #450	5,399	5,399	2,333
Vanguard Short Term Bond Index ADM #5132	474,215	372,991	368,769
American Tower Corporation	7,928	6,558	16,486
Anheuser Busch	11,922	9,642	14,972
Blackrock Inc Com	9,409	8,394	18,646
Celgene Corp Com	5,376	4,218	17,247
CVS Health Corporation	15,849	14,740	14,677
Danaher Corp	8,534	12,768	23,274
Discover Financial Services	-	5,601	7,930
Discovery Communications Inc	20,171	20,171	15,267
Ebay Inc	18,878	21,185	24,286
Ecolab Inc	8,103	6,526	17,231
First Rep Bk San Francisco Cal Com	-	8,714	9,490
Fortive Corp Com	-	6,092	10,082
Halliburton Co	11,204	9,700	10,818
Intercontinental Exchange Inc.com	-	7,446	9,027
Lauder Estee COS Inc	8,090	6,697	15,680
McDonalds Corp	19,462	16,032	19,840
McGraw Hill Financial Inc	9,448	-	-
Monsanto Co	5,207	5,207	8,312
Nike	7,193	5,482	15,249
Paypal Hldgs Inc	11,153	8,437	9,394

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock

	Beginning Book Value	Ending Book Value	Ending FMV
Roper Technologies Inc	12,159	11,483	18,491
Schwab Charles Corp	10,056	9,241	23,406
State Street Corp	10,190	10,190	18,653
Thermo Fisher Corp	5,069	3,980	16,509
TJX COS Inc	17,392	14,656	17,205
United Parcel Services	12,581	12,208	18,801
Visa Inc	10,405	8,957	18,101
Williams COS Inc	5,064	-	-
Causeway International Value Fund	77,467	78,738	66,285
DFA Large Cap International Portfolio	155,105	148,111	127,810
DFA US Small Cap Portfolio	69,850	58,055	66,058
JP Morgan Undiscovered Managers Behavioral Value Fund	37,477	38,906	45,233
Lazard International Strategic Equity Portfolio Inst	151,265	124,614	101,896
Maingate MLP fund CL	24,326	51,280	54,150
Oppenheimer Developing Markets Fund	100,309	81,945	73,379
Pimco Commodity Real Return Strategy	36,761	36,982	24,435
Totals	4,512,697	4,189,523	4,684,096

FORM 990 PF, PART II - CORPORATE BONDS

ATTACHMENT 8

Line 10c - Corporate Bonds

	Beginning Book Value	Ending Book Value	Ending FMV
BNY Bond Funds	1,191,357	1,036,595	1,022,435
Dreyfus Bond Funds	206,476	293,036	288,466
Columbia High Yield Bond Fund	-	48,081	50,012
Metropolitan West Total Return Bond	139,064	143,603	138,119
Ishares Iboxx High Yield Corp Bond Fund	52,866	-	-
Totals	1,589,762	1,521,315	1,499,032

FORM 990 PF, PART II - CORPORATE BONDS

ATTACHMENT 9

Line 13 - Investments - Other

	Beginning Book Value	Ending Book Value	Ending FMV
Dreyfus Global Real Return Fund	139,628.11	74,839.07	71,893
Advantage Dynamic Total Return fund	95,438.12	111,033.65	124,634
BNY Mellon Absolute Insight Multi-Strategy Fund	-	77,983.95	77,733
Dreyfus BNY Mellon Funds Inc	133,067.65	80,491.94	82,964
ASG Managed Futures Strategy Fund	95,694.55	113,820.49	112,780
ASG Global Alternatives Fund - Y	275,438.54	70,837.53	67,524
Van Eck Cm Commodity Index Fund	-	68,079.31	64,971
Ishares Core S&P Mid-Cap	59,563.78	59,563.78	105,983
Aetos Capital, LLC	300,000.00	300,000.00	397,754
Investment in COBB	1,375,698.00	1,500,005.94	1,097,162
CCOB Investments LLC	28,898.00	36,747.00	84,525
NP Development, Inc.	6,821.00	8,157.00	2,850
Totals	2,510,248	2,501,560	2,290,773

ATTACHMENT 10FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PROGRAM RELATED INVESTMENT	2,025,425.	2,033,549.	1,755,000.
TOTALS	<u>2,025,425.</u>	<u>2,033,549.</u>	<u>1,755,000.</u>

ATTACHMENT 11FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER: BNY MELLON

ORIGINAL AMOUNT: 2,000,000.

INTEREST RATE: 2.0000 %

DATE OF NOTE: 09/22/2011

REPAYMENT TERMS: MONTHLY INTEREST PAYMENTS

SECURITY PROVIDED: SECURITIES & FINANCIAL ASSETS

PURPOSE OF LOAN: LINE OF CREDIT

BEGINNING BALANCE DUE 1,042,830.

ENDING BALANCE DUE 542,830.TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE 1,042,830.TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE 542,830.

ATTACHMENT 12FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
PROPERTY TAXES PAYABLE	14,331.	19,570.
DEPOSIT	1,100.	1,100.
TOTALS	<u>15,431.</u>	<u>20,670.</u>

ATTACHMENT 13FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

INCOME ON RETURN, NOT ON BOOKS

-1,710.

TOTAL

-1,710.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DOUGLAS J. ERION P.O. BOX 239 LOVELAND, CO 80239	PRESIDENT 1.00	0.	0.	0.
JAN PIERCE ATNIP P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.
ELI SCOTT P.O. BOX 239 LOVELAND, CO 80239	TREASURER 1.00	0.	0.	0.
ROGER E. CLARK P.O. BOX 239 LOVELAND, CO 80239	VICE PRESIDENT 1.00	0.	0.	0.
JUSTIN ERION P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.
TRAVIS ERION P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SUMMER ERION SCOTT P.O. BOX 239 LOVELAND, CO 80239	ADMINISTRATOR 1.00	0.	0.	0.
CHRISTINE ERION KLEIN P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SUMMER SCOTT
PO BOX 732
LOVELAND, CO 80539

ATTACHMENT 16990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

AN EXEMPT TAX DETERMINATION LETTER IS REQUIRED. RECIPIENT MUST BE A
501(C)(3) ORGANIZATION, STATE OR MUNICIPALITY. PREFERENCE IS GIVEN TO
NEEDS OF LOVELAND, LARIMER COUNTY, OR COLORADO.

ATTACHMENT 17990PF, PART XV - SUBMISSION DEADLINES

APPLICATIONS RECEIVED DURING CALENDER QUARTER WILL BE CONSIDERED
DURING FIRST MONTH OF FOLLOWING QUARTER.

ERION FOUNDATION

84-1358074

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

Recipient Name & Address	Relationship to Substantial Contributor & Foundation	Status of Recipient	Purpose of Grant or Contribution	
			Fund to match volunteer hours contributed by Foundation Family Member	Amount
Alliance Bible Church 144 WESTMINSTER STREET, PROVIDENCE, RI 02903	PC			1,705 00
Artworks in Loveland P O BOX 239, LOVELAND, CO 80539	PC		Major Projects	75,000 00
Artspace Projects 250 THIRD AVENUE N, SUITE 400, MINNEAPOLIS, MN 55401	PC		Major Projects	50,000 00
Community Kitchen PO BOX 3033 LOVELAND CO 80539	PC		Operating fund to feed homeless	6,000 00
Disable Resource Services 118 East 29th Street, Suite C Loveland, CO 80538	PC		Basic Needs	2,000 00
Door County Land Trust P O Box 65 Sturgeon, WI 54235	PC		Family Matching	1,648 00
Habitat for Humanity - Pinellas Cty FL 13355 49th Street North Clearwater, FL 33762	PC		Family Matching	1,648 00
House of Neighborly Service 565 NORTH CLEVELAND LOVELAND CO 80537	PC		Legacy Funding	24,000 00
House of Neighborly Service - New Building 565 NORTH CLEVELAND LOVELAND CO 80537	PC		Major Projects	50,000 00
Loveland Rotary Foundation 200 E 77th St Suite 418 Loveland, CO 80537	PC		Education	4,172 00
Meals on Wheels 437 NORTH GARFIELD LOVELAND CO 80537	PC		Feed homebound	6,000 00
Project Self-Sufficiency 375 WEST 37TH STREET, #150 LOVELAND CO 80538	PC		Fund to match volunteer hours contributed by Foundation Family Member	5,000 00
Thompson R-2J Lisa Project 800 SOUTH TAFT LOVELAND CO 80537	PC		Education Lisa Program Leader in Me Program	33,000 00
Total Grants				260,173 00

ATTACHMENT 18

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 19

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
GAIN (LOSS) FROM PARTNERSHIP PROGRAM RELATED RENTAL INCOME OTHER INCOME	531390	-26,634.	18	442,646.	39,208.
				505.	
TOTALS		-26,634.		443,151.	39,208.



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ERION FOUNDATION-IMA

A schedule of your current year sales is shown below. The following items are provided to you to assist in the preparation of your tax returns. Typically, collectibles are taxed at 28% rates, which is shown in the column titled "Portion Subject to 28% Rates (included in Net G/L)". For your convenience, if applicable, we have included the portion subject to Section 988 translation gains and losses in the column titled "Portion subject to Ordinary Rates including Section 988 (included in Net G/L)".

Please note that if you have sold an asset for which the cost basis information is unknown it is shown separately in the section entitled Transactions with Unknown Cost Basis. Please review these carefully when preparing your return. We suggest that you consult your tax advisor.

Certain sales will be indicated with the following symbols, where applicable, see below for an explanation.

- † - Can not take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure
- * - Tax Cost has been adjusted for current year's return of capital
- 0 - Section 988 translation gains or losses

DESCRIPTION

DESCRIPTION	CUSIP				Portion Subject to			Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Units	Proceeds	Cost or other basis	Not Gain/Loss	Accrued market discount	Wash sale loss disallowed	
ABBOTT LABORATORIES								
			002824100					
09/26/2016	12/22/2015	20 00	\$833.02	\$885.36	\$-52.34	\$0.00	\$0.00	\$0.00
ADVANSIX INC								
			00773T101					
11/03/2016	12/22/2015	0.12	\$1.91	\$1.65	\$0.26	\$0.00	\$0.00	\$0.00
AGILENT TECHNOLOGIES INC								
			00846U101					
03/21/2016	09/21/2015	40 00	\$1,588.47	\$1,427.12	\$161.35	\$0.00	\$0.00	\$0.00
03/21/2016	12/22/2015	20 00	\$794.23	\$820.96	\$-26.73	\$0.00	\$0.00	\$0.00
ALLIANCE DATA SYS CORP								
			018581108					
05/26/2016	02/22/2016	5 00	\$1,057.96	\$1,058.30	\$-0.34	\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

ERION FOUNDATION-IMA

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Short Term Transactions

DESCRIPTION	CUSIP					Portion Subject to				
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ALLISON TRANSMISSION HOLDING 01973R101										
07/25/2016	10/26/2015	50.00	\$1,477.91	\$1,431.73 *	\$46.18	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	10/26/2015	20.00	\$555.00	\$570.53 *	\$-15.53	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	12/22/2015	20.00	\$555.00	\$508.46 *	\$46.54	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ALLSTATE CORP COM 020002101										
01/26/2016	07/22/2015	20.00	\$1,164.24	\$1,361.39	\$-197.15	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ALTRIA GROUP INC 02209S103										
06/28/2016	12/22/2015	20.00	\$1,347.33	\$1,147.76	\$199.57	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
AMERISOURCEBERGEN CORP 03073E105										
06/28/2016	03/21/2016	30.00	\$2,250.04	\$2,617.17	\$-367.13	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ANALOG DEVICES INC 032654105										
01/26/2016	11/24/2015	20.00	\$1,027.21	\$1,204.72	\$-177.51	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
01/26/2016	12/22/2015	10.00	\$513.60	\$560.78	\$-47.18	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
ANTHEM INC 036752103										
01/26/2016	12/22/2015	6.00	\$830.49	\$834.11	\$-3.62	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
APPLE COMPUTER INC COM 037833100										
06/28/2016	12/22/2015	33.00	\$3,053.17	\$3,540.51	\$-487.34	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON CORP BOND-M 05569M368										
11/29/2016	07/13/2016	139.72	\$1,782.88	\$1,823.40	\$-40.52	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON S/T US GVT SECS FD CL M 05569M780										
11/29/2016	11/30/2015	FRAC SHARE	\$0.06	\$0.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/29/2016	12/14/2015	FRAC SHARE	\$0.06	\$0.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



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ERION FOUNDATION-IMA

DESCRIPTION

disin

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to	Portion Subject to
								Ordinary Rates including Section 988 (included in Net G/L)	28% Rates (included in Net G/L)
BNY MELLON S/T US GVT SECS FD CL M									
11/29/2016	12/22/2015	311.74	\$3,656.71	\$3,672.30	\$-15.59	\$0.00	\$0.00	\$0.00	\$0.00
11/29/2016	07/13/2016	2,558.45	\$30,010.64	\$30,292.06	\$-281.42	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON INTERMEDIATE BOND FD CL M									
11/29/2016	07/13/2016	1,059.20	\$13,261.16	\$13,515.37	\$-254.21	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON BOND FD CL M									
08/24/2016	07/13/2016	1,382.16	\$18,175.34	\$18,175.34	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON ABS INSIGHT M/S-Y									
09/27/2016	07/13/2016	438.70	\$5,444.25	\$5,461.80	\$-17.55	\$0.00	\$0.00	\$0.00	\$0.00
BWX TECHNOLOGIES INC									
11/22/2016	07/25/2016	30.00	\$1,198.97	\$1,077.55	\$121.42	\$0.00	\$0.00	\$0.00	\$0.00
BED BATH & BEYOND INC									
04/28/2016	12/22/2015	10.00	\$485.23	\$511.58	\$-26.35	\$0.00	\$0.00	\$0.00	\$0.00
BERKSHIRE HATHAWAY INC DEL CL B									
03/21/2016	12/22/2015	11.00	\$1,563.42	\$1,453.41	\$110.01	\$0.00	\$0.00	\$0.00	\$0.00
BIOGEN IDEC INC									
06/28/2016	12/22/2015	1.00	\$226.97	\$301.11	\$-74.14	\$0.00	\$0.00	\$0.00	\$0.00
CELGENE CORP									
02/22/2016	05/21/2015	8.00	\$929.98	\$1,047.17	\$-117.19	\$0.00	\$0.00	\$0.00	\$0.00
02/22/2016	12/22/2015	1.00	\$103.33	\$110.62	\$-7.29	\$0.00	\$0.00	\$0.00	\$0.00
CHESAPEAKE ENERGY CORP									
08/26/2016	06/28/2016	420.00	\$2,656.86	\$1,799.20	\$857.66	\$0.00	\$0.00	\$0.00	\$0.00



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ERION FOUNDATION-IMA

Short Term Transactions

DESCRIPTION	CUSIP					Wash sale loss disallowed	Accrued market discount	Portion Subject to Ordinary Rates Including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis				
CITIGROUP INC				172967424					
10/26/2016	10/26/2015	110.00	\$5,476.14	\$5,846.70	\$-370.56	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	12/22/2015	50.00	\$2,489.16	\$2,597.15	\$-107.99	\$0.00	\$0.00	\$0.00	\$0.00
11/22/2016	02/22/2016	20.00	\$1,112.13	\$790.80	\$321.33	\$0.00	\$0.00	\$0.00	\$0.00
COCA-COLA ENTERPRISES INC				19122T109					
05/26/2016	12/11/2015	30.00	\$1,522.94	\$1,480.59	\$42.35	\$0.00	\$0.00	\$0.00	\$0.00
COMCAST CORP NEW CL A				20030N101					
05/26/2016	12/22/2015	10.00	\$622.53	\$571.48	\$51.05	\$0.00	\$0.00	\$0.00	\$0.00
CROWN HLDGS INC *PP*				228368106					
05/26/2016	11/24/2015	20.00	\$1,033.78	\$1,040.83	\$-7.05	\$0.00	\$0.00	\$0.00	\$0.00
DST SYS INC DEL				233326107					
02/22/2016	12/22/2015	2.00	\$211.52	\$229.36	\$-17.84	\$0.00	\$0.00	\$0.00	\$0.00
DENTSPLY SIRONA INC				24906P109					
06/28/2016	12/22/2015	10.00	\$600.29	\$602.88	\$-2.59	\$0.00	\$0.00	\$0.00	\$0.00
DEVON ENERGY CORPORATION NEW				25179M103					
05/26/2016	03/21/2016	60.00	\$2,167.86	\$1,667.77	\$500.09	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS SELECT MGR L/S EQ-Y				26168X809					
08/24/2016	09/02/2015	4,260.59	\$51,510.56	\$52,575.71	\$-1,065.15	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS GLOBAL REAL ES SEC-Y				261986277					
08/24/2016	09/02/2015	1,337.58	\$12,934.44	\$11,382.84	\$1,551.60	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS INTL SMALL CAP-Y				26201F777					
07/13/2016	09/02/2015	3,687.37	\$47,087.72	\$47,493.33	\$-405.61	\$0.00	\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

ERION FOUNDATION-IMA

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Short Term Transactions

DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
DREYFUS INTL SMALL CAP-Y										
				26201F777						
	07/13/2016	12/22/2015	66.00	\$842.82	\$869.88	\$-27.06	\$0.00	\$0.00	\$0.00	\$0.00
	07/13/2016	12/23/2015	80.73	\$1,030.92	\$1,071.29	\$-40.37	\$0.00	\$0.00	\$0.00	\$0.00
	08/24/2016	09/02/2015	700.02	\$9,429.30	\$9,016.28	\$413.02	\$0.00	\$0.00	\$0.00	\$0.00
DUKE ENERGY CORP NEW COM										
				26441C204						
	09/26/2016	02/22/2016	80.00	\$6,564.49	\$6,004.80	\$559.69	\$0.00	\$0.00	\$0.00	\$0.00
EBAY INC										
				278642103						
	10/26/2016	10/26/2015	30.00	\$866.40	\$843.36	\$23.04	\$0.00	\$0.00	\$0.00	\$0.00
ELECTRONIC ARTS										
				285512109						
	06/28/2016	12/22/2015	20.00	\$1,441.45	\$1,375.36	\$66.09	\$0.00	\$0.00	\$0.00	\$0.00
FIDELITY NATL INFORMATION SVCS										
				31620M106						
	02/22/2016	12/11/2015	20.00	\$1,196.61	\$1,229.39	\$-32.78	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/2016	12/22/2015	10.00	\$598.30	\$598.08	\$0.22	\$0.00	\$0.00	\$0.00	\$0.00
FRANKLIN RES INC COM										
				354613101						
	03/21/2016	12/22/2015	40.00	\$1,557.84	\$1,409.52	\$148.32	\$0.00	\$0.00	\$0.00	\$0.00
GENERAL DYNAMICS CORPORATION COM										
				369550108						
	05/26/2016	12/22/2015	6.00	\$856.83	\$836.93	\$19.90	\$0.00	\$0.00	\$0.00	\$0.00
GENERAL MILLS INC COM										
				370334104						
	07/25/2016	02/22/2016	70.00	\$5,010.95	\$4,100.16	\$910.79	\$0.00	\$0.00	\$0.00	\$0.00
HESS CORP										
				42809H107						
	10/26/2016	04/28/2016	30.00	\$1,472.17	\$1,836.90	\$-364.73	\$0.00	\$0.00	\$0.00	\$0.00



BNY MELLON
WEALTH MANAGEMENT

ERJON FOUNDATION-IMA

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Short Term Transactions

DESCRIPTION		CUSIP				Portion Subject to Ordinary Rates including Section 986 (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed		
HOLLYFRONTIER CORP									
10/26/2016	01/26/2016	30.00	\$757.07	\$1,032.68	\$-275.61	\$0.00	\$0.00	\$0.00	\$0.00
HOLOGIC INC									
10/26/2016	10/26/2015	10.00	\$366.21	\$376.76	\$-10.55	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	12/22/2015	40.00	\$1,464.83	\$1,545.12	\$-80.29	\$0.00	\$0.00	\$0.00	\$0.00
HOST MARRIOTT CORP NEW									
01/26/2016	03/24/2015	150.00	\$2,068.58	\$3,180.24	\$-1,111.66	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	12/22/2015	40.00	\$714.10	\$616.72	\$97.38	\$0.00	\$0.00	\$0.00	\$0.00
INTEL CORPORATION									
09/26/2016	12/22/2015	10.00	\$366.94	\$346.63	\$20.31	\$0.00	\$0.00	\$0.00	\$0.00
JETBLUE AWYS CORP									
07/25/2016	11/24/2015	110.00	\$1,901.42	\$2,787.02	\$-885.60	\$0.00	\$0.00	\$0.00	\$0.00
07/25/2016	12/11/2015	30.00	\$518.57	\$716.69	\$-198.12	\$0.00	\$0.00	\$0.00	\$0.00
07/25/2016	12/22/2015	60.00	\$1,037.14	\$1,394.88	\$-357.74	\$0.00	\$0.00	\$0.00	\$0.00
KINDER MORGAN HOLDCO LLC									
07/25/2016	05/26/2016	120.00	\$2,503.37	\$2,153.36	\$350.01	\$0.00	\$0.00	\$0.00	\$0.00
KROGER COMPANY COMMON									
06/28/2016	12/22/2015	10.00	\$360.16	\$419.28	\$-59.12	\$0.00	\$0.00	\$0.00	\$0.00
LAMB WESTON HOLDING INC									
11/22/2016	04/28/2016	46.00	\$1,463.43	\$1,452.81	\$10.62	\$0.00	\$0.00	\$0.00	\$0.00
11/29/2016	04/28/2016	0.67	\$21.95	\$21.06	\$0.89	\$0.00	\$0.00	\$0.00	\$0.00



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DESCRIPTION	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
CUSIP										
LINEAR TECHNOLOGY CORP COM										
				535678106						
	08/26/2016	07/25/2016	30.00	\$1,749.95	\$1,453.93	\$296.02	\$0.00	\$0.00	\$0.00	\$0.00
LOWES COMPANIES INC COM										
				548661107						
	01/26/2016	12/22/2015	10.00	\$698.75	\$751.18	\$-52.43	\$0.00	\$0.00	\$0.00	\$0.00
MARATHON PETROLEUM CORP										
				56585A102						
	06/28/2016	12/22/2015	10.00	\$343.01	\$524.28	\$-181.27	\$0.00	\$0.00	\$0.00	\$0.00
MARRIOTT INTERNATIONAL INC CL A										
				571903202						
	11/22/2016	02/22/2016	80.00	\$6,195.86	\$5,345.92	\$849.94	\$0.00	\$0.00	\$0.00	\$0.00
METTLER-TOLEDO INTL INC										
				592688105						
	04/28/2016	12/22/2015	2.00	\$723.38	\$669.38	\$54.00	\$0.00	\$0.00	\$0.00	\$0.00
MOSAIC CO/THE										
				61945C103						
	01/26/2016	06/23/2015	130.00	\$2,957.09	\$5,888.21	\$-2,931.12	\$0.00	\$0.00	\$0.00	\$0.00
	01/26/2016	12/22/2015	10.00	\$227.47	\$283.48	\$-56.01	\$0.00	\$0.00	\$0.00	\$0.00
NCR CORP										
				62886E108						
	03/21/2016	09/21/2015	20.00	\$566.23	\$540.08	\$26.15	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/2016	09/22/2015	30.00	\$849.35	\$800.64	\$48.71	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/2016	10/26/2015	90.00	\$2,548.04	\$2,352.34	\$195.70	\$0.00	\$0.00	\$0.00	\$0.00
	03/21/2016	12/22/2015	70.00	\$1,981.81	\$1,753.22	\$228.59	\$0.00	\$0.00	\$0.00	\$0.00
ASG GLOBAL ALTERNATIVES FUND-Y										
				63872T885						
	04/19/2016	09/02/2015	11.4/0.73	\$111,954.32	\$122,622.09	\$-10,667.77	\$0.00	\$0.00	\$0.00	\$0.00
	07/13/2016	09/02/2015	7,106.56	\$68,649.41	\$75,969.17	\$-7,319.76	\$0.00	\$0.00	\$0.00	\$0.00



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DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
CUSIP										
65339F101										
NEXTERA ENERGY INC	02/22/2016	12/22/2015	9.00	\$1,035.54	\$922.48	\$113.06	\$0.00	\$0.00	\$0.00	\$0.00
65473P105										
NISOURCE INC	11/22/2016	08/26/2016	40.00	\$873.94	\$980.54	\$-106.60	\$0.00	\$0.00	\$0.00	\$0.00
	11/22/2016	09/26/2016	70.00	\$1,528.40	\$1,762.12	\$-232.72	\$0.00	\$0.00	\$0.00	\$0.00
67020Y100										
NUANCE COMMUNICATIONS INC	12/13/2016	02/22/2016	60.00	\$932.80	\$1,153.12	\$-220.32	\$0.00	\$0.00	\$0.00	\$0.00
	12/13/2016	03/21/2016	160.00	\$2,486.92	\$3,091.79	\$-604.87	\$0.00	\$0.00	\$0.00	\$0.00
679580100										
OLD DOMINION FIGHT LINES INC	01/26/2016	05/21/2015	30.00	\$1,603.01	\$2,149.36	\$-546.35	\$0.00	\$0.00	\$0.00	\$0.00
693718108										
PACCAR INC	01/26/2016	10/26/2015	30.00	\$1,412.93	\$1,651.41	\$-238.48	\$0.00	\$0.00	\$0.00	\$0.00
	01/26/2016	12/22/2015	20.00	\$941.96	\$946.36	\$-4.40	\$0.00	\$0.00	\$0.00	\$0.00
737464107										
POST PETROLEUM INC COM	05/26/2016	12/22/2015	10.00	\$600.84	\$586.70	\$13.94	\$0.00	\$0.00	\$0.00	\$0.00
74144T108										
PRICE T ROWE GROUP INC	11/22/2016	12/22/2015	10.00	\$733.93	\$705.78	\$28.15	\$0.00	\$0.00	\$0.00	\$0.00
742718109										
PROCTER & GAMBLE CO COM	01/26/2016	05/21/2015	30.00	\$2,361.00	\$2,411.36	\$-50.36	\$0.00	\$0.00	\$0.00	\$0.00
	01/26/2016	09/21/2015	10.00	\$787.00	\$706.34	\$80.66	\$0.00	\$0.00	\$0.00	\$0.00
	01/26/2016	12/22/2015	20.00	\$1,574.00	\$1,591.56	\$-17.56	\$0.00	\$0.00	\$0.00	\$0.00



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ROCKWELL INTL CORP NEW									
	03/21/2016	12/22/2015	3.00	\$341.39	\$305.15	\$36.24	\$0.00	\$0.00	\$0.00
SCHLUMBERGER LIMITED COM									
	01/26/2016	06/23/2015	50.00	\$3,276.85	\$4,388.29	\$-1,111.44	\$0.00	\$0.00	\$0.00
	02/22/2016	06/23/2015	20.00	\$1,480.50	\$1,755.32	\$-274.82	\$0.00	\$0.00	\$0.00
	07/25/2016	12/22/2015	10.00	\$801.85	\$695.58	\$106.27	\$0.00	\$0.00	\$0.00
SEMPRA ENERGY									
	05/26/2016	03/21/2016	18.00	\$1,882.75	\$1,851.45	\$31.30	\$0.00	\$0.00	\$0.00
SERVICEMASTER GLOBAL HOLDING									
	01/26/2016	12/22/2015	70.00	\$2,791.78	\$2,678.06	\$113.72	\$0.00	\$0.00	\$0.00
SOUTHWEST AIRLINES CO									
	11/22/2016	12/22/2015	20.00	\$941.25	\$866.76	\$74.49	\$0.00	\$0.00	\$0.00
TARGET CORP									
	12/13/2016	12/22/2015	10.00	\$776.84	\$727.28	\$49.56	\$0.00	\$0.00	\$0.00
TAUBMAN CTRS INC COM									
	01/26/2016	12/22/2015	10.00	\$715.54	\$755.88	\$-40.34	\$0.00	\$0.00	\$0.00
TESORO PETROLEUM CORPORATION COMMON									
	01/26/2016	12/22/2015	9.00	\$776.92	\$933.73	\$-156.81	\$0.00	\$0.00	\$0.00
	06/28/2016	04/28/2016	10.00	\$724.25	\$865.75	\$-141.50	\$0.00	\$0.00	\$0.00
TWENTY FIRST CENTURY FOX INC									
	10/26/2016	12/22/2015	20.00	\$519.34	\$545.46	\$-26.12	\$0.00	\$0.00	\$0.00



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DESCRIPTION

DESCRIPTION	CUSIP					Wash sale loss disallowed	Accrued market discount	Portion Subject to Ordinary Rates including Section 988 (included in Net C/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis				
UNION PACIFIC CORP				907818108					
02/22/2016	12/22/2015	10.00	\$810.78	\$772.28	\$38.50	\$0.00	\$0.00	\$0.00	\$0.00
VERSUM MATERIALS INC				92532W103					
10/26/2016	11/24/2015	4.00	\$89.14	\$91.37	\$-2.23	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	12/22/2015	1.00	\$22.28	\$21.56	\$0.72	\$0.00	\$0.00	\$0.00	\$0.00
VISA INC				92826C839					
07/25/2016	08/28/2015	50.00	\$3,941.40	\$3,610.90	\$330.50	\$0.00	\$0.00	\$0.00	\$0.00
07/25/2016	12/22/2015	40.00	\$3,153.12	\$3,080.32	\$72.80	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	08/28/2015	90.00	\$7,240.22	\$6,499.61	\$740.61	\$0.00	\$0.00	\$0.00	\$0.00
WADDELL & REED FINL INC CL A				930059100					
01/26/2016	12/22/2015	10.00	\$259.11	\$287.28	\$-28.17	\$0.00	\$0.00	\$0.00	\$0.00
WEX INC				98208T104					
03/21/2016	12/11/2015	20.00	\$1,629.59	\$1,722.07	\$-92.48	\$0.00	\$0.00	\$0.00	\$0.00
WYNDHAM WORLDWIDE CORP				98310W108					
07/25/2016	12/22/2015	10.00	\$769.64	\$719.18	\$50.36	\$0.00	\$0.00	\$0.00	\$0.00
ZOETIS INC				98978V103					
03/21/2016	06/23/2015	30.00	\$1,246.07	\$1,512.20	\$-266.13	\$0.00	\$0.00	\$0.00	\$0.00
ACCENTURE PLC IRELAND SHS CL A				G1151C101					
04/28/2016	12/22/2015	6.00	\$689.97	\$620.83	\$69.04	\$0.00	\$0.00	\$0.00	\$0.00
BUNGE LIMITED				G16982105					
02/22/2016	05/21/2015	10.00	\$502.61	\$918.80	\$-416.19	\$0.00	\$0.00	\$0.00	\$0.00
02/22/2016	05/21/2015	30.00	\$1,504.69	\$2,756.39	\$-1,251.70	\$0.00	\$0.00	\$0.00	\$0.00



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DESCRIPTION

CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
BUNGE LIMITED									
G16962105									
02/22/2016	12/22/2015	10.00	\$502.61	\$665.98	\$-163.37	\$0.00	\$0.00	\$0.00	\$0.00
LYONDELLBASELL INDU-CL A-W/I									
N53745100									
08/26/2016	08/28/2015	40.00	\$3,164.82	\$3,377.51	\$-212.69	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	12/22/2015	20.00	\$1,582.41	\$1,749.16	\$-166.75	\$0.00	\$0.00	\$0.00	\$0.00
MYLAN NV									
N59465109									
06/28/2016	04/28/2016	30.00	\$1,269.09	\$1,290.27	\$-21.18	\$0.00	\$0.00	\$0.00	\$0.00
10/26/2016	04/28/2016	70.00	\$2,678.71	\$3,010.63	\$-331.92	\$0.00	\$0.00	\$0.00	\$0.00
ROYAL CARIBBEAN CRUISES LTD									
V77801103									
09/26/2016	05/26/2016	40.00	\$2,847.52	\$3,000.26	\$-152.74	\$0.00	\$0.00	\$0.00	\$0.00
<i>Total Short Term Gain/Loss</i>					<i>\$-25,437.83</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>	<i>\$0.00</i>

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DESCRIPTION

CUSIP

Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
ABBOTT LABORATORIES									
002824100									
09/26/2016	07/18/2013	50.00	\$2,082.56	\$1,797.65	\$284.91	\$0.00	\$0.00	\$0.00	\$0.00
09/26/2016	09/27/2013	10.00	\$416.51	\$333.06	\$83.45	\$0.00	\$0.00	\$0.00	\$0.00
09/26/2016	10/14/2013	30.00	\$1,249.53	\$1,004.94	\$244.59	\$0.00	\$0.00	\$0.00	\$0.00



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ABBOTT LABORATORIES									
			002824100						
09/26/2016	09/21/2015	20.00	\$833.02	\$866.08	\$-33.06	\$0.00	\$0.00	\$0.00	\$0.00
09/28/2016	09/22/2015	50.00	\$2,082.56	\$2,131.76	\$-49.20	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS GLOBAL REAL RET -Y									
			007565120						
07/13/2016	10/17/2014	1,314.92	\$20,000.00	\$19,513.47	\$486.53	\$0.00	\$0.00	\$0.00	\$0.00
09/27/2016	10/17/2014	2,993.78	\$44,966.58	\$44,427.68	\$538.90	\$0.00	\$0.00	\$0.00	\$0.00
09/27/2016	09/02/2015	58.56	\$879.51	\$847.89	\$31.62	\$0.00	\$0.00	\$0.00	\$0.00
ADVANSIX INC									
			007731101						
11/03/2016	07/18/2013	0.80	\$12.70	\$8.80	\$3.90	\$0.00	\$0.00	\$0.00	\$0.00
ANTHEM INC									
			036752103						
01/26/2016	12/12/2013	19.00	\$2,629.87	\$1,690.04	\$939.83	\$0.00	\$0.00	\$0.00	\$0.00
11/22/2016	12/12/2013	30.00	\$4,206.10	\$2,668.48	\$1,537.62	\$0.00	\$0.00	\$0.00	\$0.00
APPLE COMPUTER INC COM									
			037833100						
06/28/2016	02/19/2010	27.00	\$2,498.05	\$778.64	\$1,719.41	\$0.00	\$0.00	\$0.00	\$0.00
08/26/2016	02/19/2010	13.00	\$1,386.93	\$374.90	\$1,012.03	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON CORP BOND-M									
			05569M368						
11/29/2016	05/09/2012	271.36	\$3,482.52	\$3,416.38	\$46.14	\$0.00	\$0.00	\$0.00	\$0.00
11/29/2016	09/02/2015	1,486.58	\$18,968.74	\$18,730.88	\$237.86	\$0.00	\$0.00	\$0.00	\$0.00



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DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
BNY MELLON CORP BOND-M										
				05569M368						
12/28/2016	05/09/2012	1,222.07		\$15,495.82	\$15,385.84	\$109.98	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON INTL EQUITY INCOME FUND										
				05569M384						
07/13/2016	08/30/2012	2,102.81		\$25,422.94	\$25,852.85	\$-1,429.91	\$0.00	\$0.00	\$0.00	\$0.00
07/13/2016	03/04/2014	5,093.19		\$61,576.71	\$73,647.56	\$-12,070.85	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON S/T US GVT SECS FD CL M										
				05569M780						
11/29/2016	08/31/2015	5.73		\$67.18	\$67.81	\$-0.63	\$0.00	\$0.00	\$0.00	\$0.00
11/29/2016	09/30/2015	FRAC SHARE		\$0.06	\$0.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
11/29/2016	10/30/2015	FRAC SHARE		\$0.06	\$0.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
12/28/2016	12/22/2015	341.22		\$3,988.84	\$4,019.55	\$-30.71	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON INTERMEDIATE BOND FD CL M										
				05569M814						
11/29/2016	03/04/2014	1,808.27		\$22,639.53	\$23,073.51	\$-433.98	\$0.00	\$0.00	\$0.00	\$0.00
12/28/2016	03/04/2014	854.61		\$10,648.43	\$10,904.81	\$-256.38	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON BOND FD CL M										
				05569M830						
08/24/2016	03/04/2014	6,222.41		\$81,824.66	\$80,269.07	\$1,555.59	\$0.00	\$0.00	\$0.00	\$0.00
12/28/2016	03/04/2014	1,917.61		\$24,046.78	\$24,737.12	\$-690.34	\$0.00	\$0.00	\$0.00	\$0.00
12/28/2016	03/07/2014	1,622.96		\$20,351.93	\$20,871.28	\$-519.35	\$0.00	\$0.00	\$0.00	\$0.00



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Date sold or disposed	Date acquired	MKTS	FD	CL	M	SHS					
BNY MELLON EMERGING MKTS FD CL M SHS 05569M855											
08/24/2016	03/04/2014			2,476.06	\$22,111.23	\$23,200.70	\$-1,089.47	\$0.00	\$0.00	\$0.00	\$0.00
09/27/2016	03/04/2014			670.55	\$6,169.08	\$6,283.07	\$-113.99	\$0.00	\$0.00	\$0.00	\$0.00
BNY MELLON INTL FD CL M SHSS 05569M871											
08/24/2016	03/04/2014			3,486.13	\$39,602.40	\$44,308.68	\$-4,706.28	\$0.00	\$0.00	\$0.00	\$0.00
08/24/2016	05/13/2014			35.00	\$397.60	\$447.65	\$-50.05	\$0.00	\$0.00	\$0.00	\$0.00
09/27/2016	12/17/2013			129.02	\$1,472.11	\$1,535.33	\$-63.22	\$0.00	\$0.00	\$0.00	\$0.00
09/27/2016	03/04/2014			1,024.69	\$11,691.75	\$13,023.86	\$-1,332.11	\$0.00	\$0.00	\$0.00	\$0.00
09/27/2016	09/02/2015			598.14	\$6,836.14	\$6,800.19	\$36.95	\$0.00	\$0.00	\$0.00	\$0.00
BED BATH & BEYOND INC 075896100											
04/28/2016	02/20/2014			50.00	\$2,426.13	\$3,257.19	\$-831.06	\$0.00	\$0.00	\$0.00	\$0.00
04/28/2016	05/28/2014			40.00	\$1,940.90	\$2,442.87	\$-501.97	\$0.00	\$0.00	\$0.00	\$0.00
BERKSHIRE HATHAWAY INC DEL CL B 084670702											
03/21/2016	07/18/2013			55.00	\$7,817.09	\$6,511.33	\$1,305.76	\$0.00	\$0.00	\$0.00	\$0.00
BIAGEN IDEC INC 09062X103											
06/28/2016	07/24/2014			6.00	\$1,361.79	\$2,024.36	\$-662.57	\$0.00	\$0.00	\$0.00	\$0.00
CAMERON INTL CORP 13342B105											
02/22/2016	02/18/2015			50.00	\$3,355.66	\$2,422.72	\$932.94	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	DATE SOLD OR DISPOSED	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY FLAT INCL. SECTION 988 (INCL. IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCL. IN NET G/L)
CITIGROUP INC										
				172967424						
	10/26/2016	12/12/2013	20.00	\$995.66	\$1,020.70	\$-25.04	\$0.00	\$0.00	\$0.00	\$0.00
	11/22/2016	08/27/2013	10.00	\$556.06	\$488.16	\$67.90	\$0.00	\$0.00	\$0.00	\$0.00
	11/22/2016	12/12/2013	10.00	\$556.06	\$510.35	\$45.71	\$0.00	\$0.00	\$0.00	\$0.00
	11/22/2016	09/21/2015	10.00	\$556.06	\$507.10	\$48.96	\$0.00	\$0.00	\$0.00	\$0.00
	11/22/2016	09/22/2015	20.00	\$1,112.13	\$1,001.72	\$110.41	\$0.00	\$0.00	\$0.00	\$0.00
COMCAST CORP NEW CL A										
				20030N101						
	05/26/2016	07/18/2013	110.00	\$6,847.86	\$4,873.87	\$1,973.99	\$0.00	\$0.00	\$0.00	\$0.00
DST SYS INC DEL										
				233326107						
	02/22/2016	01/15/2014	14.00	\$1,480.63	\$1,278.89	\$201.74	\$0.00	\$0.00	\$0.00	\$0.00
DENTSPLY SIRONA INC										
				24906P109						
	06/28/2016	03/24/2015	20.00	\$1,200.57	\$1,037.65	\$162.92	\$0.00	\$0.00	\$0.00	\$0.00
	06/28/2016	06/23/2015	20.00	\$1,200.57	\$1,060.98	\$139.59	\$0.00	\$0.00	\$0.00	\$0.00
	07/25/2016	03/24/2015	30.00	\$1,871.78	\$1,556.47	\$315.31	\$0.00	\$0.00	\$0.00	\$0.00
DOLLAR GENERAL CORP										
				256677105						
	02/22/2016	01/12/2015	20.00	\$1,445.31	\$1,350.37	\$94.94	\$0.00	\$0.00	\$0.00	\$0.00
	04/28/2016	01/12/2015	10.00	\$813.65	\$675.18	\$138.47	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCRUED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
DREYFUS FLOATING RATE INC-Y									
	09/27/2016	1,013.39	\$12,211.33	\$12,768.70	\$-557.37	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS PREM LT TRM HI YLD-R									
	09/27/2016	322.29	\$1,985.30	\$2,098.10	\$-112.80	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS GLOBAL REAL ES SEC-Y									
	08/24/2016	12/26/2014	25.14	\$243.07	\$13.32	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS INTL SMALL CAP-Y									
	09/27/2016	287.08	\$3,921.46	\$3,697.54	\$223.92	\$0.00	\$0.00	\$0.00	\$0.00
DREYFUS DIVERSIFIED EM MK-Y									
	08/24/2016	03/04/2014	149.49	\$2,909.04	\$2,962.85	\$0.00	\$0.00	\$0.00	\$0.00
	09/27/2016	03/04/2014	58.88	\$1,179.41	\$1,167.04	\$0.00	\$0.00	\$0.00	\$0.00
ELECTRONIC ARTS									
	08/26/2016	02/18/2015	100.00	\$8,060.90	\$5,745.52	\$0.00	\$0.00	\$0.00	\$0.00
FRANKLIN RES INC COM									
	03/21/2016	07/18/2013	94.00	\$3,660.91	\$4,587.38	\$0.00	\$0.00	\$0.00	\$0.00
GENERAL DYNAMICS CORPORATION COM									
	05/26/2016	01/12/2015	48.00	\$6,854.63	\$6,623.45	\$0.00	\$0.00	\$0.00	\$0.00
HCA HOLDINGS INC									
	10/26/2016	06/23/2015	70.00	\$5,535.75	\$5,894.84	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	DATE	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCURED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
HOLOGIC INC									
	10/26/2016	6.00	\$219.72	\$250.57	\$-30.85	\$0.00	\$0.00	\$0.00	\$0.00
	10/28/2016	14.00	\$512.69	\$579.45	\$-66.76	\$0.00	\$0.00	\$0.00	\$0.00
HOST MARRIOTT CORP NEW									
	01/28/2016	90.00	\$1,241.15	\$1,986.48	\$-745.33	\$0.00	\$0.00	\$0.00	\$0.00
	08/26/2016	60.00	\$1,071.15	\$1,272.10	\$-200.95	\$0.00	\$0.00	\$0.00	\$0.00
INTEL CORPORATION									
	09/26/2016	80.00	\$2,935.49	\$1,680.63	\$1,054.86	\$0.00	\$0.00	\$0.00	\$0.00
	09/26/2016	10.00	\$366.94	\$232.18	\$134.76	\$0.00	\$0.00	\$0.00	\$0.00
INTUIT INC COM									
	01/26/2016	30.00	\$2,766.90	\$1,534.41	\$1,232.49	\$0.00	\$0.00	\$0.00	\$0.00
KROGER COMPANY COMMON									
	06/28/2016	20.00	\$720.31	\$387.08	\$333.23	\$0.00	\$0.00	\$0.00	\$0.00
LOWES COMPANIES INC COM									
	01/28/2016	10.00	\$698.75	\$464.22	\$234.53	\$0.00	\$0.00	\$0.00	\$0.00
	04/28/2016	50.00	\$3,798.25	\$2,321.11	\$1,477.14	\$0.00	\$0.00	\$0.00	\$0.00
MARATHON PETROLEUM CORP									
	06/28/2016	50.00	\$1,715.03	\$1,748.45	\$-33.42	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis	Net Gain/Loss	Accrued market discount	Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
MARATHON PETROLEUM CORP											
	56585A102	06/28/2016	09/27/2013	20.00	\$686.01	\$654.41	\$31.60	\$0.00	\$0.00	\$0.00	\$0.00
		06/28/2016	10/14/2013	40.00	\$1,372.02	\$1,325.36	\$46.66	\$0.00	\$0.00	\$0.00	\$0.00
METTLER-TOLEDO INTL INC											
	592688105	04/28/2016	07/18/2013	16.00	\$5,787.00	\$3,416.45	\$2,370.55	\$0.00	\$0.00	\$0.00	\$0.00
ASG GLOBAL ALTERNATIVES FUND-Y											
	63872T885	09/27/2016	09/02/2015	562.18	\$5,515.03	\$6,009.75	\$-494.72	\$0.00	\$0.00	\$0.00	\$0.00
NEXTERA ENERGY INC											
	65339F101	02/22/2016	12/12/2013	65.00	\$7,478.89	\$5,420.11	\$2,058.78	\$0.00	\$0.00	\$0.00	\$0.00
POST PPTYS INC COM											
	737464107	06/26/2016	03/24/2015	20.00	\$1,201.29	\$1,186.54	\$34.75	\$0.00	\$0.00	\$0.00	\$0.00
PRICE T ROWE GROUP INC											
	74144T108	11/22/2016	01/20/2012	10.00	\$733.93	\$600.63	\$133.30	\$0.00	\$0.00	\$0.00	\$0.00
PROCTER & GAMBLE CO COM											
	742718109	01/26/2016	10/14/2013	30.00	\$2,361.00	\$2,339.94	\$21.06	\$0.00	\$0.00	\$0.00	\$0.00
ROCKWELL INTL CORP NEW											
	773903109	03/21/2016	07/18/2013	16.00	\$1,820.74	\$1,479.16	\$341.58	\$0.00	\$0.00	\$0.00	\$0.00
		03/21/2016	01/12/2015	5.00	\$568.98	\$531.01	\$37.97	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	DATE	DATE ACQUIRED	UNITS	PROCEEDS	COST OR OTHER BASIS	NET GAIN/LOSS	ACCURED MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	PORTION SUBJECT TO ORDINARY RATES INCLUDING SECTION 988 (INCLUDED IN NET G/L)	PORTION SUBJECT TO 28% RATES (INCLUDED IN NET G/L)
SCHLUMBERGER LIMITED COM										
	07/25/2016	02/15/2013	30.00	\$2,405.54	\$2,405.11	\$0.43	\$0.00	\$0.00	\$0.00	\$0.00
SOUTHWEST AIRLINES CO										
	11/22/2016	05/28/2014	170.00	\$8,000.60	\$4,494.14	\$3,506.46	\$0.00	\$0.00	\$0.00	\$0.00
TARGET CORP										
	09/26/2016	05/21/2015	10.00	\$673.44	\$796.42	\$-122.98	\$0.00	\$0.00	\$0.00	\$0.00
	09/26/2016	07/22/2015	70.00	\$4,714.05	\$5,798.44	\$-1,084.39	\$0.00	\$0.00	\$0.00	\$0.00
	12/13/2016	05/21/2015	10.00	\$776.64	\$796.41	\$-19.77	\$0.00	\$0.00	\$0.00	\$0.00
TAUBMAN CTRS INC COM										
	01/26/2016	09/17/2014	10.00	\$715.54	\$740.02	\$-24.48	\$0.00	\$0.00	\$0.00	\$0.00
	01/26/2016	09/18/2014	20.00	\$1,431.08	\$1,476.17	\$-45.09	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/2016	09/16/2014	20.00	\$1,380.75	\$1,473.57	\$-92.82	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/2016	09/18/2014	6.00	\$418.37	\$442.85	\$-24.48	\$0.00	\$0.00	\$0.00	\$0.00
	02/22/2016	09/18/2014	14.00	\$966.52	\$1,033.31	\$-66.79	\$0.00	\$0.00	\$0.00	\$0.00
TESORO PETROLEUM CORPORATION COMMON										
	01/26/2016	10/17/2014	1.00	\$86.32	\$60.10	\$26.22	\$0.00	\$0.00	\$0.00	\$0.00
	09/26/2016	10/17/2014	58.00	\$4,747.30	\$3,486.08	\$1,261.22	\$0.00	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION	CUSIP					Wash sale loss disallowed	Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)	Portion Subject to 28% Rates (included in Net G/L)
	Date sold or disposed	Date acquired	Units	Proceeds	Cost or other basis			
TWENTY FIRST CENTURY FOX INC								
04/28/2016	01/12/2015	160.00	\$4,936.31	\$5,664.45	\$-728.14	\$0.00	\$0.00	\$0.00
10/26/2016	01/12/2015	50.00	\$1,298.35	\$1,770.14	\$-471.79	\$0.00	\$0.00	\$0.00
UNION PACIFIC CORP								
02/22/2016	01/12/2015	73.00	\$5,918.66	\$8,310.14	\$-2,391.48	\$0.00	\$0.00	\$0.00
VERISIGN INC								
03/21/2016	02/18/2015	20.00	\$1,772.52	\$1,254.31	\$518.21	\$0.00	\$0.00	\$0.00
WADDELL & REED FINL INC CL A								
01/26/2016	05/28/2014	70.00	\$1,813.74	\$4,305.90	\$-2,492.16	\$0.00	\$0.00	\$0.00
WYNDHAM WORLDWIDE CORP								
07/25/2016	07/18/2013	70.00	\$5,386.79	\$4,264.95	\$1,121.84	\$0.00	\$0.00	\$0.00
ACCENTURE PLC IRELAND SHS CL A								
04/28/2016	04/17/2013	20.00	\$2,299.89	\$1,537.72	\$762.17	\$0.00	\$0.00	\$0.00
04/28/2016	07/18/2013	40.00	\$4,599.77	\$3,024.32	\$1,575.45	\$0.00	\$0.00	\$0.00
04/28/2016	10/14/2013	2.00	\$229.99	\$144.30	\$85.69	\$0.00	\$0.00	\$0.00
05/26/2016	10/14/2013	18.00	\$2,125.59	\$1,298.66	\$826.93	\$0.00	\$0.00	\$0.00
BUNGE LIMITED								
02/22/2016	01/12/2015	20.00	\$1,005.21	\$1,781.13	\$-775.92	\$0.00	\$0.00	\$0.00



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Long Term Transactions

DESCRIPTION		CUSIP		Units		Proceeds		Cost or other basis		Net Gain/Loss		Accrued market discount		Wash sale loss disallowed		Portion Subject to Ordinary Rates including Section 988 (included in Net G/L)		Portion Subject to 28% Rates (included in Net G/L)	
LYONDELLBASELL INDU-CL A-W/I		N53745100																	
Date sold or disposed																			
	10/26/2016		08/28/2015		20.00		\$1,601.14		\$1,688.76		\$-87.62		\$0.00		\$0.00		\$0.00		\$0.00
Total Long Term Gain/Loss						\$644,993.52		\$645,462.27		\$-468.75		\$0.00		\$0.00		\$0.00		\$0.00	

ACCT 668N 612336032
FROM 01/01/2016
TQ 12/31/2016

FIRST WESTERN TRUST BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ERION FOUNDATION

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RUN 03/07/2017
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TRUST YEAR ENDING 12/31/2016

TAX PREPARER 1
TRUST ADMINISTRATOR S O
INVESTMENT OFFICER B T

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC	8949
4.0000 ABBOTT LABORATORIES COM - 002824100 - MINOR = 31001									
SOLD		08/24/2016	172 61						
ACQ	4 0000	04/13/2009	172 61	86 12	86 49	0 00	LT	Y	F
FOUND UPDATE 01/04/17									
6 0000 ADVANSIX INC COM - 00773T101 - MINOR = 402									
SOLD		10/10/2016	92 21						
ACQ	5600	02/17/2010	8 61	2 91	5 70	0 00	LT	Y	F
	2 4000	03/08/2010	36 88	13 19	23 69	0 00	LT	Y	F
	3 0400	04/16/2010	46 72	18 44	28 28	0.00	LT	Y	F
1600 ADVANSIX INC COM - 00773T101 - MINOR = 402									
SOLD		10/28/2016	2 65						
ACQ	1600	04/16/2010	2 65	0 97	1.68	0 00	LT	Y	F
32.0000 AMERICAN TOWER CORPORATION - 03027X100 - MINOR = 475									
SOLD		08/24/2016	3663 60						
ACQ	32 0000	03/08/2010	3663 60	1369.92	2293 68	0 00	LT	Y	F
FOUND UPDATE 10/03/16									
33 0000 ANHEUSER BUSCH INBEV SPONSORED ADR - 03524A108 - MINOR = 455									
SOLD		08/24/2016	4155 26						
ACQ	8 0000	04/27/2012	1007 34	581 77	425 57	0 00	LT		F
	25 0000	05/31/2012	3147 92	1697 60	1450 32	0 00	LT		F
12.0000 AUTOMATIC DATA PROCESSING INC COM - 053015103 - MINOR = 491									
SOLD		08/24/2016	1076 13						
ACQ	12 0000	03/13/2012	1076 13	575 68	500 45	0 00	LT		F
100 0000 AUTOMATIC DATA PROCESSING INC COM - 053015103 - MINOR = 491									
SOLD		11/16/2016	9315 59						
ACQ	97 0000	03/13/2012	9036 12	4653 43	4382 69	0 00	LT		F
	3 0000	10/09/2014	279 47	221.74	57 73	0 00	LT		F
5 0000 BLACKROCK INC COM - 09247X101 - MINOR = 469									
SOLD		08/24/2016	1846 41						
ACQ	5 0000	04/16/2010	1846 41	1015 34	831 C7	0 00	LT	Y	F
14 0000 CVS HEALTH CORPORATION - 126650100 - MINOR = 461									
SOLD		08/24/2016	1359 58						
ACQ	14 0000	10/15/2014	1359 58	1109 42	250 16	0 00	LT		F
480 0000 DFA US SMALL CAP PORTFOLIO INSTL #5031 - 233203843 - MINOR = 496									
SOLD		08/24/2016	14918 40						
ACQ	480 0000	10/02/2013	14918 40	14068 80	849 60	0 00	LT		F
500 7510 DFA LARGE CAP INTERNATIONAL PORTFOLIO INSTL #5028 - 233203868 - MINOR = 572									
SOLD		08/24/2016	9969 95						
ACQ	264 2690	10/02/2013	5261 59	5705 57	-443 98	0 00	LT		F
	236 4820	10/04/2013	4708 36	5086 73	-378 37	0 00	LT		F
1511 7160 DODGE & COX INCOME FUND #147 - 256210105 - MINOR = 495									
SOLD		02/11/2016	19984 89						
ACQ	1511 7160	10/03/2012	19984 89	20937 27	-952 38	0 00	LT		F
97 0000 EBAY INC COM - 278642103 - MINOR = 487									
SOLD		08/24/2016	3026 82						
ACQ	97 0000	03/27/2014	3026 82	2103 12	923 70	0 00	LT		F
37 0000 ECOLAB INC COM - 278865100 - MINOR = 3101									
SOLD		08/24/2016	4499 47						
ACQ	14 0000	02/17/2010	1702 50	593 74	1108 76	0 00	LT	Y	F
	23 0000	03/08/2010	2796 97	983 25	1813 72	0 00	LT	Y	F
5000 FORTIVE CORP COM - 34959J108 - MINOR = 417									
SOLD		07/20/2016	25 40						
ACQ	5000	02/17/2010	25 40	8 91	16 49	0 00	LT	Y	F
42 0000 HALLIBURTON CO COM - 406216101 - MINOR = 466									
SOLD		08/24/2016	1859 92						
ACQ	42 0000	11/12/2010	1859 92	1503 99	355 93	0 00	LT	Y	F
29.0000 HONEYWELL INTERNATIONAL INC COM - 438516106 - MINOR = 416									
SOLD		07/12/2016	3458 47						
ACQ	29 0000	02/17/2010	3458 47	1137 09	2321 38	0 00	LT	Y	F
FOUND UPDATE 10/03/16									
7 0000 HONEYWELL INTERNATIONAL INC COM - 438516106 - MINOR = 416									
SOLD		08/24/2016	817 44						
ACQ	7 0000	02/17/2010	817 44	274 47	542 97	0 00	LT	Y	F
FOUND UPDATE 10/03/16									
4 0000 INTERCONTINENTAL EXCHANGE, INC COM - 45866F104 - MINOR = 471									
SOLD		08/24/2016	1108 21						
ACQ	4 0000	02/11/2016	1108 21	930 76	177 45	0 00	ST		C
25 0000 INTUIT CCM - 461202103 - MINOR = 483									
SOLD		08/24/2016	2743 44						
ACQ	25 0000	09/06/2011	2743 44	1182 35	1561 09	0 00	LT		F
575 0000 ISHARES IBOX \$ HIGH YIELD CORPORATE BOND ETF - 464288513 - MINOR = 540									
SOLD		04/06/2016	46723 48						
ACQ	575 0000	09/05/2012	46723 48	52865 50	-6142 02	0 00	LT		F
47 0000 LAUDER ESTEE COS INC CL A - 518439104 - MINOR = 460									
SOLD		08/24/2016	4249 64						
ACQ	42.0000	02/17/2010	3797 55	1237 98	2559.57	0 00	LT	Y	F
	5 0000	03/08/2010	452 09	155 35	296 74	0 00	LT	Y	F
1839 5880 LAZARD INTERNATIONAL STRATEGIC EQUITY PORTFOLIO INST #1223 - 52106N590 - MINOR = 572									
SOLD		08/24/2016	24908 01						
ACQ	1839 5880	06/05/2014	24908 01	28256.07	-3348 06	0 00	LT		F
39 0000 MCDONALDS CORP COM - 580135101 - MINOR = 31001									
SOLD		08/24/2016	4474 57						
ACQ	39 0000	08/22/2011	4474 57	3429 35	1045 22	0 00	LT		F

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FROM 01/01/2016
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FIRST WESTERN TRUST BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
ERION FOUNDATION

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TRUST YEAR ENDING 12/31/2016

TAX PREPARER 1
TRUST ADMINISTRATOR S O
INVESTMENT OFFICER B T

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM	NC
71 0000 MICROSOFT CORP COM - 594918104 - MINOR = 31001								
SOLD		08/24/2016	4107 97					
ACQ	71 0000	03/08/2010	4107 97	2041 61	2066 36	0 00	LT	Y F
102.0000 NIKE INC CL B - 654106103 - MINOR = 440								
SOLD		02/17/2016	5914 78					
ACQ	42 0000	02/17/2010	2435 50	678 51	1756 99	0 00	LT	Y F
	60 0000	03/08/2010	3479 28	1032 75	2446 53	0 00	LT	Y F
542 6590 OPPENHEIMER DEVELOPING MARKETS FUND CLASS I #799 - 683974604 - MINOR = 572								
SOLD		08/24/2016	17891 47					
ACQ	1 1740	03/04/2011	38 71	40 96	-2 25	0 00	LT	Y F
	541 4850	06/21/2011	17852 76	18845 04	-992 28	0 00	LT	Y F
81 0000 PAYPAL HLDGS INC COM - 70450Y103 - MINOR = 491								
SOLD		08/24/2016	3036 62					
ACQ	81 0000	03/27/2014	3036 62	2715 86	320 76	0 00	LT	F
31 0000 PEPSICO INC COM - 713448108								
SOLD		08/24/2016	3348 23					
ACQ	31 0000	04/14/2009	3348 23	1571 28	1776 95	0 00	LT	Y F
9.0000 PAPER TECHNOLOGIES, INC - 776696106 - MINOR = 416								
SOLD		08/24/2016	1587 92					
ACQ	9.0000	01/10/2011	1587 92	675 91	912 01	0 00	LT	F
20 0000 S&P GLOBAL INC COM - 78409V104 - MINOR = 471								
SOLD		08/24/2016	2417 54					
ACQ	20 0000	07/08/2015	2417 54	2010 12	407 42	0 00	LT	F
20 0000 SCHLUMBERGER LTD COM - 806857108 - MINOR = 5020								
SOLD		08/24/2016	1624 76					
ACQ	20 0000	09/17/2009	1624 76	1227 25	397 51	0 00	LT	Y F
43 0000 SCHWAB CHARLES CORP NEW COM - 808513105 - MINOR = 31001								
SOLD		08/24/2016	1300 29					
ACQ	43 0000	05/04/2010	1300 29	814 92	485 37	0 00	LT	Y F
32.0000 STRYKER CORP COM - 363667101 - MINOR = 479								
SOLD		08/24/2016	3601 20					
ACQ	32 0000	12/31/2008	3601 20	1283 60	2317 60	0 00	LT	Y F
50.0000 TJX COS INC NEW COM - 872540109 - MINOR = 31000								
SOLD		08/24/2016	3923 41					
ACQ	50 0000	05/27/2014	3923 41	2735 98	1187 43	0 00	LT	F
89 0000 TEXAS INSTRUMENTS INC COM - 882508104 - MINOR = 31001								
SOLD		08/24/2016	6210 28					
ACQ	89 0000	07/21/2009	6210 28	2018 47	4191 81	0 00	LT	Y F
24.0000 TEXAS INSTRUMENTS INC COM - 882508104 - MINOR = 31001								
SOLD		11/14/2016	1681 79					
ACQ	24 0000	07/21/2009	1681 79	544 31	1137 48	0 00	LT	Y F
24 0000 THERMO FISHER CORP COM - 883556102 - MINOR = 477								
SOLD		07/12/2016	3695 79					
ACQ	24.0000	12/31/2008	3695 79	916 49	2879 30	0 00	LT	Y F
8 0000 THERMO FISHER CORP COM - 883556102 - MINOR = 477								
SOLD		08/24/2016	1208 61					
ACQ	8 0000	12/31/2008	1208 61	272 16	936 45	0 00	LT	Y F
5 0000 UNITED PARCEL SERVICES CL B - 911312106 - MINOR = 411								
SOLD		08/24/2016	547 73					
ACQ	5 0000	02/22/2011	547 73	372 63	175 10	0 00	LT	F
3125 0000 VANGUARD SHORT TERM BOND INDEX ADM #5132 - 921937702 - MINOR = 592								
SOLD		04/19/2016	33000 00					
ACQ	3125 0000	04/13/2015	33000 00	32968 75	31 25	0 00	LT	F
2358 4910 VANGUARD SHORT TERM BOND INDEX ADM #5132 - 921937702 - MINOR = 592								
SOLD		08/24/2016	25000 00					
ACQ	2358 4910	04/13/2015	25000 00	24882 08	117 92	0 00	LT	F
4721 4350 VANGUARD SHORT TERM BOND INDEX ADM #5132 - 921937702 - MINOR = 592								
SOLD		09/27/2016	50000 00					
ACQ	4721 4350	04/13/2015	50000 00	49811 14	188 86	0 00	LT	F
58 0000 VISA INC COM CL A - 92826C839 - MINOR = 491								
SOLD		08/24/2016	4658 74					
ACQ	58 0000	01/09/2012	4658 74	1448 31	3210 43	0 00	LT	F
433 0000 WILLIAMS COS INC COM - 969457100 - MINOR = 464								
SOLD		02/11/2016	5559 17					
ACQ	433 0000	12/31/2008	5559 17	5064 05	495 12	0 00	LT	Y F
24 0000 ACCENTURE PLC CLASS A ORDINARY SHARES - G1151C101 - MINOR = 488								
SOLD		08/24/2016	2747 22					
ACQ	24 0000	06/03/2011	2747 22	1343 89	1403 33	0 00	LT	F
TOTALS			347515 67	307022 90				

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0 00	0 00	32179 09	0 00	0 00	0 00*
COVERED FROM ABOVE	177 45	0 00	8136 23	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIV/DIST	0 00	0 00	2492 22			0 00
	177 45	0 00	42807 54	0 00	0 00	0 00

ACCT 668N 612336032
FROM 01/01/2016
TO 12/31/2016

FIRST WESTERN TRUST BANK
STATEMENT OF CAPITAL GAINS AND LOSSES
EPION FOUNDATION

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RUN 03/07/2017
02:33 22 PM

TRUST YEAR ENDING 12/31/2016

TAX PREPARER 1
TRUST ADMINISTRATOR S O
INVESTMENT OFFICER B T

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	MARKET DISCOUNT	TERM NC
STATE							
NONCOVERED FROM ABOVE	0 00	0 00	32179 09	0 00	0 00		0 00*
COVERED FROM ABOVE	177 45	0 00	8136 23	0 00	0 00		
COMMON TRUST FUND	0 00	0 00	0 00				0.00
CAPITAL GAIN DIV/DIST	0 00	0 00	2492 22				0 00
	177 45	0 00	42807 54	0 00	0 00		0 00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0 00	0 00
COLORADO	N/A	N/A

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,108.		FIRST WESTERN SHORT TERM-SEE ATTACHMENT 931.				VAR 177.	VAR
346,408.		FIRST WESTERN LONG TERM-SEE ATTACHMENT 306,093.				VAR 40,315.	VAR
2,492.		FIRST WESTERN-CAPITAL GAIN DISTRIBUTIONS				VAR 2,492.	VAR
532,977.		BNY SHORT TERM - SEE ATTACHED 558,415.				VAR -25,438.	VAR
644,994.		BNY LONG TERM - SEE ATTACHED 645,463.				VAR -469.	VAR
50,620.		BNY - CAPITAL GAIN DISTRIBUTIONS				VAR 50,620.	VAR
TOTAL GAIN (LOSS)						<u>67,697.</u>	