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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation Rocks Family Foundation Number and street (or P O box number if mail is not delivered to street address) co DeLorme Management LLC PO Box 75004		A Employer identification number 84-1282202			
		B Telephone number (see instructions) (719) 963-4928			
City or town, state or province, country, and ZIP or foreign postal code Colorado Springs CO 80970-5004		C If exemption application is pending, check here ► <input type="checkbox"/>			
Foreign country name Foreign province/state/county Foreign postal code		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>			
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 975,390	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	(Part I, column (d) must be on cash basis)			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		40,909			
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		18,119	18,119	18,119	
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,130			
b Gross sales price for all assets on line 6a 2,565					
7 Capital gain net income (from Part IV, line 2)			1,130		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11 MAY 18 2017		60,158	19,249	18,119	
13 Compensation of officers, directors, trustees, etc.					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		62		62	
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions)		788	788	580	
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses.					
Add lines 13 through 23		850	788	580	62
25 Contributions, gifts, grants paid		45,600			45,600
26 Total expenses and disbursements. Add lines 24 and 25		46,450	788	580	45,662
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		13,708			
b Net investment income (if negative, enter -0-)			18,461		
c Adjusted net income (if negative, enter -0-)				17,539	

Operating and Administrative Expenses

SCANNED MAY 2017

Revenue

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	6,554	15,105	15,105
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	736,237	741,394	959,850
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ► Federal Tax Deposit)	435	435	435
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	743,226	756,934	975,390
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	743,226	756,934	
	30	Total net assets or fund balances (see instructions)	743,226	756,934	
	31	Total liabilities and net assets/fund balances (see instructions)	743,226	756,934	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	743,226
2	Enter amount from Part I, line 27a	2	13,708
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	756,934
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	756,934

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FIDELITY EXT MKT IN 36.483 SHARES			P	4/19/2007	9/28/2016
b					
c					
d					
e Capital Gains Distributions					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 2,000		1,435	565		
b					
c					
d					
e			565		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			565		
b					
c					
d					
e			565		
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,130	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	565	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part Yes No

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	43,374	883,709	0.049082
2014	35,043	894,615	0.039171
2013	32,562	754,163	0.043176
2012	29,626	621,230	0.047689
2011	15,370	601,453	0.025555

- 2 Total of line 1, column (d) 2 0.204673
- 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0.040935
- 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 4 896,764
- 5 Multiply line 4 by line 3 5 36,709
- 6 Enter 1% of net investment income (1% of Part I, line 27b) 6 185
- 7 Add lines 5 and 6 7 36,894
- 8 Enter qualifying distributions from Part XII, line 4 8 45,662

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)

1b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b

1c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

6a 2016 estimated tax payments and 2015 overpayment credited to 2016

6b Exempt foreign organizations—tax withheld at source

6c Tax paid with application for extension of time to file (Form 8868)

6d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2017 estimated tax ► Refunded ►

1	185
2	0
3	185
4	
5	185
6a	671
6b	
6c	
6d	
7	671
8	
9	0
10	486
11	486

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c Did the foundation file Form 1120-POL for this year?

1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation ► \$ _____ (2) On foundation managers. ► \$ _____

1e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CO
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

1a	Yes	No
1b		X
1c	X	
2	X	
3	X	
4a	X	
4b	N/A	
5	X	
6	X	
7	X	
8b	X	
9		X
10	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Joseph D. DeLorme Located at ► 5184 Castlewood Canyon Court Colorado Springs CO	Telephone no ► (719) 963-4928	ZIP+4 ► 80924	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			□
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly).		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1b	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," list the years ► 20_11_, 20_12_, 20_13_, 20_14_			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20_11_, 20_12_, 20_13_, 20_14_			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		5b
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		6b X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John G. Rocks PO Box 60399 Colorado Springs, CO 80960-0399	President 1 00	0		
Lindsay Rocks 909 Koss Street Erie, CO 80156	Vice President 1 00	0		
Gibraltar Corporation PO Box 75004 Colorado Springs, CO 80970-5004	Manager 5.00	0		
Joseph D. DeLorme PO Box 75004 Colorado Springs, CO 80970-5004	Secretary/Treasurer 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000				►

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		►

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	891,355
b	Average of monthly cash balances	1b	19,065
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	910,420
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	910,420
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)	4	13,656
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	896,764
6	Minimum investment return. Enter 5% of line 5	6	44,838

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1	44,838
2a	Tax on investment income for 2016 from Part VI, line 5	2a	185
b	Income tax for 2016. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	185
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	44,653
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	44,653
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	44,653

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	45,662
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	45,662
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	185
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,477

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				44,653
2 Undistributed income, if any, as of the end of 2016			43,621	
a Enter amount for 2015 only		107,637		
b Total for prior years 2012, 2013, 2014	220			
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015	220			
f Total of lines 3a through e	220			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 45,662			43,621	
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount			2,041	
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	220			220
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		107,637		
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		107,637		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount—see instructions				0
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				42,392
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XV | Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Schedule 1				45,600
Total			► 3a	45,600
b Approved for future payment				
Total			► 3b	0

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)	0		18,119	0	
13 Total. Add line 12, columns (b), (d), and (e)	13		18,119	0	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2016

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Rocks Family Foundation

Organization type (check one)

Employer identification number

84-1282202

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year.

► \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization Rocks Family Foundation	Employer identification number 84-1282202
---	--

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Barbara M Rocks 7501 E Thompson Peak Pkwy 68 Scottsdale AZ 85255 Foreign State or Province Foreign Country	\$ 30,909	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	Mike Rocks PO Box 466 Palmer Lake CO 80133 Foreign State or Province Foreign Country	\$ 10,000	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization Rocks Family Foundation	Employer identification number 84-1282202
---	--

Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	-----	\$ -----	-----

**Rocks Family Foundation
2016**

84-1282202

**Schedule 1
Part XV, Grants and Contributions Paid during the Year**

Name and Address	Status	Purpose	Amount
Childrens Literacy Center 2928 Straus Ln # 100 Colorado Springs, CO 80907	Tax Exempt Org	Charity	\$ 2,000 00
Grayhawk Classic Foundation 7501 E Thompson Peak Parkway Scottsdale, Az 85255	Tax Exempt Org	Charity	\$ 1,000 00
Community Partnership For Childhood Development 2330 Robinson Street Colorado Springs, CO 80904	Tax Exempt Org	Charity	\$ 4,000 00
Craig Hospital Foundation 3425 South Clarkson Street Englewood, CO 80113	Tax Exempt Org	Charity	\$ 8,000 00
MacularVision Research Foundation 100 Front Street, Suite 300 W Conshohocken, PA 19428	Tax Exempt Org	Charity	\$ 500 00
Mayo Foundation PO Box 450 Albert Lea, MN 56007-9849	Tax Exempt Org	Charity	\$ 1,000 00
Pikes Peak Community College Foundation 5675 S Academy Boulevard Colorado Springs, CO 80906-5422	Tax Exempt Org	Charity	\$ 8,000 00
Pikes Peak Therapeutic Riding Center 13620 Halleluiah Trail Elbert, CO 80106	Tax Exempt Org	Charity	\$ 3,000 00
Salvation Army 501 S Weber Colorado Springs, Co 80903	Tax Exempt Org	Charity	\$ 2,500 00
TESSA PO Box 2662 Colorado Springs, Co 80901	Tax Exempt Org	Charity	\$ 1,000 00
The See the Future Fund 5636 N Union Blvd Colorado Springs, Co 80918	Tax Exempt Org	Charity	\$ 10,000 00
Youth for Christ 2120 Academy Cir # G, Colorado Springs, CO 80909	Tax Exempt Org	Charity	\$ 100 00
Springs Rescue Mission 5 W Las Vegas St, Colorado Springs, CO 80903 Colorado Springs, CO 80903	Tax Exempt Org	Charity	\$ 2,000 00
Arizona Friends of Talking Books 1030 North 32nd Street Phoenix, AZ 85008	Tax Exempt Org	Charity	\$ 2,500 00
Total			\$ 45,600.00

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Part I, Line 16b (990-PF) - Accounting Fees

		62	0	0	62
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Accounting Fees	62			62

Part I, Line 18 (990-PF) - Taxes

		788	788	580	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Income Tax	580	580	580	
2	Foreign Tax on Investments	208	208		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		736,237	741,394	856,812	959,850
	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	See Schedule 2	736,237	741,394	856,812	959,850

Schedule 2

Part II, Investments Corporate Stock

	Stock	2015 Shares	Cost	2015 FMV	2016 Shares	Cost	2016 FMV
3M COMPANY		400	\$ 51,962	\$ 60,476		\$ 51,962	\$ 71,428
ABBVIE INC COM.		100	\$ 2,559	\$ 5,846		\$ 2,559	\$ 6,262
ABBOTT LABORATORIES		100	\$ 2,359	\$ 4,510		\$ 2,359	\$ 3,841
AFFILIATED MANAGERS GROUP		100	\$ 10,831	\$ 16,310		\$ 10,831	\$ 14,530
APACHE CORP		70	\$ 9,175	\$ 3,206		\$ 9,175	\$ 4,443
ARTISAN INTERNAT'L INVESTOR CLASS		470,053	\$ 10,546	\$ 13,400		\$ 10,694	\$ 12,038
BERKSHIRE HATHAWAY INC DEL CL B NEW		100	\$ 8,343	\$ 13,389		\$ 8,343	\$ 16,298
CHEVRON CORP NEW		100	\$ 8,612	\$ 9,205		\$ 8,612	\$ 11,770
DISNEY WALT CO		100	\$ 3,707	\$ 10,586		\$ 3,707	\$ 10,422
EXXON MOBIL		956	\$ 74,925	\$ 75,839		\$ 74,925	\$ 86,289
FIDELITY SMALL CAP GROWTH		4,467,36	\$ 76,467	\$ 83,868		\$ 77,667	\$ 91,983
GOLDMAN SACHS GROUP INC		100	\$ 16,176	\$ 18,247		\$ 16,176	\$ 23,945
IBM		80	\$ 15,092	\$ 11,060		\$ 15,092	\$ 13,279
ISHARES S&P LATIN 40 INDEX		200,00	\$ 6,404	\$ 4,360		\$ 6,404	\$ 5,516
ISHARES TR MSCI EMERGING MKTS INDEX FD		100	\$ 4,648	\$ 3,265		\$ 4,648	\$ 3,501
ISHARES TR S&P MIDCAP 400 INDEX FD		100	\$ 8,861	\$ 13,757		\$ 8,861	\$ 16,534
ISHARES TR S&P MIDCAP 400 GROWTH INDEX FUND		200	\$ 19,825	\$ 31,812		\$ 19,825	\$ 36,440
ISHARES TRUST S&P SMALLCAP 600 INDEX FD		100	\$ 6,720	\$ 10,898		\$ 6,720	\$ 13,752
J P MORGAN		100	\$ 4,001	\$ 6,660		\$ 4,001	\$ 8,629
JOHNSON & JOHNSON		600	\$ 53,685	\$ 62,232		\$ 53,685	\$ 69,126
SPARTAN 500 INDEX FUND ADVANTAGE CLASS		1,926,16	\$ 97,701	\$ 135,913		\$ 98,911	\$ 150,895
SPARTAN EXT MKT INDEX FID ADVANTAGE CLASS		3,375,74	\$ 132,926	\$ 165,058		\$ 135,026	\$ 187,488
SPARTAN INTL INDEX FID ADVANTAGE CLASS		2,116,82	\$ 91,235	\$ 74,379		\$ 91,733	\$ 74,766
TARGET CORP		100	\$ 5,924	\$ 7,317		\$ 5,924	\$ 7,223
UNION PACIFIC CORP		160	\$ 9,774	\$ 12,608		\$ 9,774	\$ 16,589
VANGUARD EMERGING MKTS VIPERS		80	\$ 3,780	\$ 2,611		\$ 3,780	\$ 2,862
TOTAL Investments				\$ 736,237	\$ 856,812	\$ 741,394	\$ 959,850

Part II, Line 15 (990-PF) - Other Assets

		435	435	435	435
	Asset Description	Book Value Beg of Year	Book Value End of Year	FMV End of Year	
1	Federal Tax Deposit	435	435	435	435

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount		2,000		0		0		0		0	
		Long Term CG Distributions	Short Term CG Distributions	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gain or Loss	Gain or Loss
Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	9/28/2016	9/28/2016	2,000							
1 FIDELITY EXT MKT IN 36 483		P	4/19/2007										

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	John G Rocks		PO Box 60399 909 Koss Street	Colorado Springs	CO	80960-0399		President	1.00	0	0	
2	Lindsay Rocks		X	Erie	CO	80156		Vice President	1.00	0	0	
3	Gibraltar Corporation		PO Box 75004	Colorado Springs	CO	80970-5004		Manager	5.00	0	0	
4	Joseph D Delorme		PO Box 75004	Colorado Springs	CO	80970-5004		Secretary/Treasurer	1.00	0	0	

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	236
2 First quarter estimated tax payment	2	0
3 Second quarter estimated tax payment	3	145
4 Third quarter estimated tax payment	4	145
5 Fourth quarter estimated tax payment	5	145
6 Other payments	6	0
7 Total	7	671

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1 Distributable amounts for 2015 that remained undistributed at the beginning of the 2016 tax year	1	43,621
2	2	0
3	3	0
4	4	0
5	5	0
6	6	0
7	7	0
8	8	0
9	9	0
10 Total	10	43,621

Part XIII, Line 2b, Column B (990-PF) - Undistributed Income for Prior Years

1 Undistributed income for the 3rd year 2014	1	43,498
2 Undistributed income for the 4th year 2013	2	34,389
3 Undistributed income for the 5th year 2012	3	29,750
4 Total	4	107,637

Dividends Summary (1099DIV (Worksheet))**Ordinary Dividends**

From 1099-DIV inputs
 From K-1 inputs
 From Form(s) 8621
 From Form(s) 8814
 Subtotal

	Total	Filer	Spouse
From 1099-DIV inputs	18,119	18,119	0
From K-1 inputs	0	0	0
From Form(s) 8621	0	0	0
From Form(s) 8814	0	0	0
Subtotal	18,119	18,119	0

Adjustments

Nominee distribution (code N)
 Other adjustments (code J)
 Total adjustments

Total ordinary dividends

	Total	Filer	Spouse
Nominee distribution (code N)	0	0	0
Other adjustments (code J)	0	0	0
Total adjustments	0	0	0

Qualified Dividends

From 1099-DIV inputs
 From K-1 inputs
 From Form(s) 8814
 Subtotal

	Total	Filer	Spouse
From 1099-DIV inputs	16,648	16,648	0
From K-1 inputs	0	0	0
From Form(s) 8814	0	0	0
Subtotal	16,648	16,648	0

Adjustments

Nominee distribution (code N)
 Other adjustments (code J)
 Total adjustments

Total qualified dividends

	Total	Filer	Spouse
Nominee distribution (code N)	0	0	0
Other adjustments (code J)	0	0	0
Total adjustments	0	0	0

Capital Gains

From 1099-DIV inputs

Adjustments

Nominee distribution (code N)
 Other adjustments (code J)
 Total adjustments

Total capital gains

	Total	Filer	Spouse
From 1099-DIV inputs	7,602	7,602	0
Nominee distribution (code N)	0	0	0
Other adjustments (code J)	0	0	0
Total adjustments	0	0	0

	Total	Filer	Spouse
Foreign tax paid	208	208	0

Other Dividend Information