



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .	392,542	441,334	441,334
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	2,017,700	1,840,957	1,822,253
	b	Investments—corporate stock (attach schedule) . . . . .	6,388,732	5,705,304	6,930,986
	c	Investments—corporate bonds (attach schedule) . . . . .	4,148,077	4,903,625	5,329,428
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	3,190,797	3,660,069	4,332,032
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	16,137,848	16,551,289	18,856,033	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable. . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule). . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	16,137,848	16,551,289	
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	16,137,848	16,551,289		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	16,137,848	16,551,289		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,137,848
2	Enter amount from Part I, line 27a . . . . .	2	413,441
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	16,551,289
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	16,551,289

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	335,754
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	31,786

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,140,842	18,476,485	0 06175
2014	1,111,260	18,000,644	0 06173
2013	993,690	17,081,957	0 05817
2012	883,152	15,907,733	0 05552
2011	802,522	14,806,694	0 05420
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 291369
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 058274
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			<b>4</b> 18,388,152
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,071,551
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 6,990
<b>7</b> Add lines 5 and 6			<b>7</b> 1,078,541
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 1,133,883

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	6,990
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	6,990
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	6,990
<b>6</b>	Credits/Payments		
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	13,694
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	13,694
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	6,704
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> 6,704 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	No
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>NORTHERN TRUST CO</b> Telephone no <b>(805) 882-1423</b>			

Located at **PO BOX 22107 SANTA BARBARA CA** ZIP+4 **93121**

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> <b>1b</b>			<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? <input type="checkbox"/> <b>1c</b>			<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶ 20____, 20____, 20____, 20____</b>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) <input type="checkbox"/> <b>2b</b>			<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶ 20____, 20____, 20____, 20____</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>3b</b>			<b>No</b>
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>4a</b>			<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? <b>4b</b>			<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<b>5a</b> During the year did the foundation pay or incur any amount to <b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> Organizations relying on a current notice regarding disaster assistance check here. <span style="float:right">▶ <input type="checkbox"/></span> <b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<b>5b</b>	<b>No</b>
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If "Yes" to 6b, file Form 8870	<b>6b</b>	<b>No</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> <b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <span style="float:right"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span>	<b>7b</b>	<b>No</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
A CARNEROS PO BOX 22107 SANTA BARBARA, CA 93121	Trustee 2 00	0		
AJ CARNEROS PO BOX 22107 SANTA BARBARA, CA 93121	Trustee 2 00	0		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3** **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . . ►		

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
Total. Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	18,333,900
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	334,275
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	18,668,175
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	18,668,175
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	280,023
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	18,388,152
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	919,408

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	919,408
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5.	<b>2a</b>	6,990
<b>b</b>	Income tax for 2016 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	6,990
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	912,418
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	912,418
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	912,418

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	1,133,883
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	1,133,883
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	6,990
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,126,893

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7				912,418
<b>2</b> Undistributed income, if any, as of the end of 2016				
<b>a</b> Enter amount for 2015 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2016				
<b>a</b> From 2011. . . . . 78,547				
<b>b</b> From 2012. . . . . 109,381				
<b>c</b> From 2013. . . . . 172,154				
<b>d</b> From 2014. . . . . 219,527				
<b>e</b> From 2015. . . . . 233,630				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	813,239			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>1,133,883</u>				
<b>a</b> Applied to 2015, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2016 distributable amount. . . . .				912,418
<b>e</b> Remaining amount distributed out of corpus	221,465			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,034,704			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions). . . . .	78,547			
<b>9</b> <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	956,157			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012. . . . . 109,381				
<b>b</b> Excess from 2013. . . . . 172,154				
<b>c</b> Excess from 2014. . . . . 219,527				
<b>d</b> Excess from 2015. . . . . 233,630				
<b>e</b> Excess from 2016. . . . . 221,465				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

William-Corbert Foundation  
1485 East Valley Road  
Montecito, CA 93108  
(805) 565-7861

**b** The form in which applications should be submitted and information and materials they should include

Currently, there is no application for a grant. A Grant Request Letter is required, preferably on the non-profits letterhead with a list of names of the governing board. The Grant Request Letter shall be no more than three pages and shall be submitted with the following information: 1 Name of organization requesting a grant 2 The phone number, name and title of the individual requesting the grant on behalf of the organization 3 Program name and/or purpose of grant 4 A brief explanation of the need for the project and how the grant will be used 5 Requested dollar amount, total cost of the project, and other sources of funding 6 A need by date or payment schedule 7 The expected duration of the project 8 A brief explanation of how this requested grant fulfills the Foundations Mission Statement above 9 The impact on the community served and how the impact will be measured. (For example, if a grant request of a \$10,000 enables your organization to offer 10 under privileged youths ten weeks of

**c** Any submission deadlines

March 15th or September 15th

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

The Williams-Corbett Foundation may, at its discretion, approve a grant with certain conditions. (Such as for a matching grant, a challenge grant, or a grant awarded for a specified purposes)

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				1,133,883
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
----------	--

[illegible]

## Part XVII

- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule |                          |                                 |
|---|--------------------------|---------------------------------|
| (a) Name of organization                    | (b) Type of organization | (c) Description of relationship |
|   |                          |                                 |
|   |                          |                                 |
|   |                          |                                 |
|   |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below  
(see instr )? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  YUSUF A BALOGUN CPA	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN  P00224210
Firm's name ▶ Walpole & Co LLP				Firm's EIN ▶
Firm's address ▶ 70 Santa Felicia Drive  Goleta, CA 93117				Phone no (805) 569-9864

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
10,000 00 02364WBD6 - AMERICA MOVIL SAB DE CV 3 125% DUE 07-16-2022 REG	P	2012-07-09	2016-08-18
15,000 00 02364WBD6 - AMERICA MOVIL SAB DE CV 3 125% DUE 07-16-2022 REG	P	2012-07-10	2016-08-18
35,000 00 035242AJ5 - ANHEUSER-BUSCH INBEV FIN INC 2 65% DUE 02-01-2021/01-25-2016 REG	P	2016-01-13	2016-05-27
25,000 00 05565QCD8 - BP CAP MKTS P L C 2 75% DUE 05-10-2023 REG	P	2013-05-07	2016-08-23
25,000 00 097023BE4 - BOEING CO 95% DUE 05-15-2018 REG	P	2013-04-30	2016-08-19
100,000 00 3137EAAG4 - FEDERAL HOME LN MTG CORP PREASSIGN 000075 5% DUE 07-18-2016 REG	P	2006-10-02	2016-07-18
35,000 00 341081FM4 - FLORIDA PWR & LT CO 3 125% DUE 12-01-2025/11-19-2015 REG	P	2015-11-16	2016-02-18
25,000 00 369550AV0 - GENERAL DYNAMICS CORP 1 0% DUE 11-15-2017 REG	P	2012-11-01	2016-08-09
25,000 00 38144LAB6 - GOLDMAN SACHS GROUP INC 6 25% DUE 09-01-2017 BEO	P	2013-04-19	2016-06-15
40,000 00 459200HG9 - INTERNATIONAL BUSINESS MACHS CORP 1 875%DUE 08-01-2022 REG	P	2012-07-25	2016-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,402		9,949	453
15,603		15,131	472
35,655		34,890	765
25,543		24,750	793
24,988		24,725	263
100,000		104,002	-4,002
36,020		34,943	1,077
25,044		24,844	200
26,531		29,402	-2,871
39,928		39,359	569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			453
			472
			765
			793
			263
			-4,002
			1,077
			200
			-2,871
			569

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
25,000 00 563469TX3 - MANITOBA PROV CDA 2 1% DUE 09-06-2022 BEO	P	2012-08-29	2016-05-25
30,000 00 713448CK2 - PEPSICO INC 2 25% DUE 01-07-2019/07-30-2013 REG	P	2014-05-27	2016-02-24
25,000 00 865622AY0 - SUMITOMO MITSUI BK CORP 1 5% DUE 01-18-2018 BEO	P	2013-01-10	2016-08-19
50,000 00 912828C40 - UNITED STATES TREAS NTS DTD 03/31/2014 375% DUE 03-31-2016 REG	P	2014-05-27	2016-03-31
25,000 00 912828C81 - UNITED STATES TREAS NTS 375% DUE 04-30-2016	P	2014-05-27	2016-05-02
25,000 00 912828J27 - UNITED STATES TREAS DTD 02/15/2015 2 0% DUE 02-15-2025 REG	P	2015-05-04	2016-09-07
25,000 00 912828J27 - UNITED STATES TREAS DTD 02/15/2015 2 0% DUE 02-15-2025 REG	P	2015-05-07	2016-09-07
30,000 00 912828K66 - UNITED STATES TREAS NTS DTD 04/30/2015 5% DUE 04-30-2017 REG	P	2015-05-04	2016-06-01
20,000 00 912828K66 - UNITED STATES TREAS NTS DTD 04/30/2015 5% DUE 04-30-2017 REG	P	2015-05-04	2016-07-14
50,000 00 912828M56 - UNITED STATES TREAS NTS DTD 11/15/2015 2 25% DUE 11-15-2025 REG	P	2016-01-05	2016-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,158		24,993	165
30,680		30,686	-6
24,988		24,928	60
50,000		50,051	-51
25,000		25,010	-10
26,021		24,699	1,322
26,021		24,582	1,439
29,941		29,937	4
19,993		19,958	35
52,301		50,092	2,209

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			165
			-6
			60
			-51
			-10
			1,322
			1,439
			4
			35
			2,209

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
25,000 00 912828R36 - UNITED STATES TREAS NTS 1 625% DUE 05-15-2026	P	2016-05-12	2016-10-05
25,000 00 912828RF9 - UNITED STATES TREAS NTS TNOTE 1 0% DUE 08-31-2016 REG	P	2011-09-20	2016-05-31
25,000 00 912828RF9 - UNITED STATES TREAS NTS TNOTE 1 0% DUE 08-31-2016 REG	P	2011-09-20	2016-05-31
50,000 00 912828UG3 - UNITED STATES TREAS NTS 375% DUE 01-15-2016 REG	P	2014-05-27	2016-01-04
50,000 00 912828UM0 - UNITED STATES TREAS NTS 375% DUE 02-15-2016 REG	P	2014-05-27	2016-01-19
50,000 00 912828VC1 - UNITED STATES TREAS NTS 0 25% DUE 05-15-2016	P	2015-06-17	2016-05-16
50,000 00 912828VW7 - UNITED STATES TREAS NTS 875% DUE 09-15-2016	P	2016-05-12	2016-06-01
25,000 00 912828WA4 - UNITED STATES TREAS NTS DTD 10/15/2013 625% DUE 10-15-2016 REG	P	2013-10-17	2016-06-02
25,000 00 912828WE6 - UNITED STATES TREAS NTS DTD 11/15/2013 2 75% DUE 11-15-2023 REG	P	2013-12-05	2016-10-05
50,000 00 912828WX4 - UNITED STATES TREAS NTS 5% DUE 07-31-2016 REG	P	2014-08-19	2016-04-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,802		24,711	91
25,036		25,193	-157
25,036		25,190	-154
50,006		50,096	-90
50,000		50,078	-78
50,000		49,957	43
50,064		50,088	-24
25,014		25,012	2
27,094		24,770	2,324
50,031		50,070	-39

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			91
			-157
			-154
			-90
			-78
			43
			-24
			2
			2,324
			-39



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
40,000 00 92857WBE9 - VODAFONE GROUP PLC NEW 1 5% DUE 02-19-2018 REG	P	2013-02-11	2016-05-10
25,000 00 931142DF7 - WAL-MART STORES INC 1 125% DUE 04-11-2018 REG	P	2013-04-04	2016-02-18
5,000 00 94974BFJ4 - WELLS FARGO & CO TRANCHE # SR 00129 3 45% DUE 02-13-2023	P	2013-02-07	2016-06-15
10,000 00 94974BFJ4 - WELLS FARGO & CO TRANCHE # SR 00129 3 45% DUE 02-13-2023	P	2013-02-07	2016-06-15
10,000 00 94974BFJ4 - WELLS FARGO & CO TRANCHE # SR 00129 3 45% DUE 02-13-2023	P	2013-02-06	2016-06-15
13 AAPL - APPLE INC COM STK	P	2009-05-27	2016-02-05
9 AAPL - APPLE INC COM STK	P	2009-05-27	2016-06-07
3 AAPL - APPLE INC COM STK	P	2009-05-27	2016-10-07
35 AAPL - APPLE INC COM STK	P	2009-05-27	2016-11-10
138 AAPL - APPLE INC COM STK	P	2006-09-28	2016-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,000		39,816	184
25,032		24,977	55
5,130		5,017	113
10,259		10,037	222
10,259		9,994	265
1,220		248	972
897		172	725
342		57	285
3,793		668	3,125
14,953		1,520	13,433

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			184
			55
			113
			222
			265
			972
			725
			285
			3,125
			13,433

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
35 ADS - ALLIANCE DATA SYS CORP COM	P	2016-07-13	2016-11-10
50 AGN - ALLERGAN PLC COM STK	P	2016-07-13	2016-11-10
1 ALL - ALLSTATE CORP COM	P	2016-06-28	2016-10-07
125 ALL - ALLSTATE CORP COM	P	2016-11-04	2016-11-10
2 AMGN - AMGEN INC COM	P	2012-03-02	2016-02-05
18 AMGN - AMGEN INC COM	P	2012-03-02	2016-05-03
63 AMGN - AMGEN INC COM	P	2012-03-05	2016-05-03
111 AMGN - AMGEN INC COM	P	2012-03-06	2016-05-03
5 AMT - AMERICAN TOWER CORP	P	2013-09-23	2016-02-05
4 AMT - AMERICAN TOWER CORP	P	2013-09-23	2016-06-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,303		7,358	-55
10,711		12,211	-1,500
69		67	2
8,625		8,430	195
289		135	154
2,821		1,218	1,603
9,875		4,253	5,622
17,399		7,399	10,000
443		369	74
429		295	134

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-55
			-1,500
			2
			195
			154
			1,603
			5,622
			10,000
			74
			134

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
2 AMT - AMERICAN TOWER CORP	P	2013-09-23	2016-10-07
15 AMT - AMERICAN TOWER CORP	P	2013-06-25	2016-11-10
59 AMT - AMERICAN TOWER CORP	P	2013-09-23	2016-11-10
19 ATVI - ACTIVISION BLIZZARD INC COM STK	P	2015-01-16	2016-02-05
12 ATVI - ACTIVISION BLIZZARD INC COM STK	P	2015-01-16	2016-06-07
379 ATVI - ACTIVISION BLIZZARD INC COM STK	P	2015-01-16	2016-07-13
186 ATVI - ACTIVISION BLIZZARD INC COM STK	P	2015-01-16	2016-11-10
153 AXP - AMERICAN EXPRESS CO	P	2015-12-11	2016-06-28
10 AXP - AMERICAN EXPRESS CO	P	2010-04-26	2016-06-07
369 AXP - AMERICAN EXPRESS CO	P	2010-04-26	2016-06-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
217		148	69
1,586		1,086	500
6,239		4,358	1,881
579		380	199
459		240	219
16,172		7,576	8,596
7,267		3,718	3,549
8,814		10,605	-1,791
662		475	187
21,258		17,516	3,742

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			69
			500
			1,881
			199
			219
			8,596
			3,549
			-1,791
			187
			3,742

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
270 AXP - AMERICAN EXPRESS CO	P	2010-05-07	2016-06-28
12 BAX - BAXTER INTL INC COM	P	2014-10-03	2016-02-05
8 BAX - BAXTER INTL INC COM	P	2014-10-03	2016-06-07
66 BAX - BAXTER INTL INC COM	P	2015-03-18	2016-11-10
93 BAX - BAXTER INTL INC COM	P	2014-10-03	2016-11-10
133 BAX - BAXTER INTL INC COM	P	2013-12-11	2016-11-16
164 BAX - BAXTER INTL INC COM	P	2015-03-18	2016-11-16
3 BCR - C R BARD	P	2013-03-15	2016-02-05
2 BCR - C R BARD	P	2013-03-15	2016-06-07
13 BCR - C R BARD	P	2013-03-19	2016-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
15,555		11,221	4,334
448		482	-34
351		321	30
3,146		2,480	666
4,433		3,733	700
6,146		4,943	1,203
7,578		6,163	1,415
547		305	242
444		203	241
2,859		1,320	1,539

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4,334
			-34
			30
			666
			700
			1,203
			1,415
			242
			241
			1,539

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
24 BCR - C R BARD	P	2013-03-15	2016-11-10
2 BIIB - BIOGEN INC COMMON STOCK	P	2015-08-20	2016-02-05
1 BIIB - BIOGEN INC COMMON STOCK	P	2015-08-20	2016-06-07
1 BIIB - BIOGEN INC COMMON STOCK	P	2015-08-20	2016-10-07
34 BIIB - BIOGEN INC COMMON STOCK	P	2015-08-20	2016-11-10
348 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2015-10-15	2016-06-03
514 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2015-07-17	2016-06-03
18 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2014-10-03	2016-02-05
95 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2014-10-03	2016-06-03
230 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2015-03-18	2016-06-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,277		2,441	2,836
523		625	-102
257		313	-56
304		313	-9
10,997		10,625	372
16,162		11,229	4,933
23,872		16,740	7,132
683		586	97
4,412		3,095	1,317
10,682		7,015	3,667

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,836
			-102
			-56
			-9
			372
			4,933
			7,132
			97
			1,317
			3,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
332 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2013-12-12	2016-06-03
431 BXLT - #REORG/BAXALTA INC COM CASH AND STOCK MERGER SHIRE PLC 2823566 06-03-16	P	2013-12-11	2016-06-03
22 C - CITIGROUP INC COM NEW COM NEW	P	2013-10-23	2016-02-05
15 C - CITIGROUP INC COM NEW COM NEW	P	2015-06-05	2016-06-07
317 C - CITIGROUP INC COM NEW COM NEW	P	2013-10-23	2016-06-28
172 C - CITIGROUP INC COM NEW COM NEW	P	2015-06-04	2016-06-28
115 C - CITIGROUP INC COM NEW COM NEW	P	2015-06-05	2016-06-28
160 C - CITIGROUP INC COM NEW COM NEW	P	2013-10-24	2016-06-28
4 C - CITIGROUP INC COM NEW COM NEW	P	2013-10-24	2016-10-07
179 C - CITIGROUP INC COM NEW COM NEW	P	2013-10-24	2016-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,419		9,926	5,493
20,017		12,999	7,018
872		1,104	-232
686		842	-156
12,523		15,910	-3,387
6,795		9,527	-2,732
4,543		6,455	-1,912
6,321		8,020	-1,699
197		200	-3
9,610		8,972	638

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,493
			7,018
			-232
			-156
			-3,387
			-2,732
			-1,912
			-1,699
			-3
			638

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 CELG - CELGENE CORP COM	P	2016-02-25	2016-06-07
75 CELG - CELGENE CORP COM	P	2016-09-16	2016-11-10
3 COST - COSTCO WHOLESALE CORP NEW COM	P	2008-01-17	2016-06-07
31 COST - COSTCO WHOLESALE CORP NEW COM	P	2008-01-17	2016-11-10
10 CRM - SALESFORCE COM INC COM STK	P	2015-01-09	2016-02-05
7 CRM - SALESFORCE COM INC COM STK	P	2015-01-09	2016-06-07
2 CRM - SALESFORCE COM INC COM STK	P	2015-01-09	2016-10-07
126 CRM - SALESFORCE COM INC COM STK	P	2015-01-09	2016-11-10
5 CVS - CVS HEALTH CORP COM	P	2014-07-21	2016-02-05
3 CVS - CVS HEALTH CORP COM	P	2012-04-27	2016-02-05

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
319		306	13
8,981		8,087	894
460		195	265
4,569		2,013	2,556
582		584	-2
580		409	171
142		117	25
9,344		7,362	1,982
464		384	80
278		135	143

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			13
			894
			265
			2,556
			-2
			171
			25
			1,982
			80
			143

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
7 CVS - CVS HEALTH CORP COM	P	2012-04-27	2016-06-07
3 CVS - CVS HEALTH CORP COM	P	2012-04-27	2016-10-07
121 CVS - CVS HEALTH CORP COM	P	2015-10-27	2016-11-10
32 CVS - CVS HEALTH CORP COM	P	2012-04-27	2016-11-10
4 CVX - CHEVRON CORP COM	P	2008-11-13	2016-02-05
3 CVX - CHEVRON CORP COM	P	2008-11-13	2016-06-07
58 CVX - CHEVRON CORP COM	P	2008-11-13	2016-11-10
102 DHR - DANAHER CORP COM	P	2008-10-20	2016-01-27
131 DHR - DANAHER CORP COM	P	2008-10-20	2016-01-27
203 DHR - DANAHER CORP COM	P	2003-12-15	2016-01-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
678		315	363
259		135	124
9,361		12,634	-3,273
2,476		1,441	1,035
330		279	51
307		209	98
6,262		4,042	2,220
8,608		2,885	5,723
11,056		3,706	7,350
17,133		4,521	12,612

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			363
			124
			-3,273
			1,035
			51
			98
			2,220
			5,723
			7,350
			12,612



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
5 DHR - DANAHER CORP COM	P	2003-12-15	2016-06-07
190 DHR - DANAHER CORP COM	P	2003-06-03	2016-09-16
332 DHR - DANAHER CORP COM	P	2003-12-15	2016-09-16
7 DIS - WALT DISNEY CO	P	2006-09-28	2016-02-05
5 DIS - WALT DISNEY CO	P	2006-09-28	2016-06-07
2 DIS - WALT DISNEY CO	P	2006-09-28	2016-10-07
96 DIS - WALT DISNEY CO	P	2006-09-28	2016-11-10
11 DKS - DICKS SPORTING GOODS INC OC-COM OC-COM	P	2014-06-17	2016-02-05
9 DKS - DICKS SPORTING GOODS INC OC-COM OC-COM	P	2015-06-04	2016-06-07
3 DKS - DICKS SPORTING GOODS INC OC-COM OC-COM	P	2015-06-04	2016-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
498		111	387
14,535		2,455	12,080
25,398		5,578	19,820
655		214	441
494		153	341
185		61	124
9,172		2,942	6,230
422		489	-67
382		487	-105
173		162	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			387
			12,080
			19,820
			441
			341
			124
			6,230
			-67
			-105
			11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
174 DKS - DICKS SPORTING GOODS INC OC-COM OC-COM	P	2015-06-04	2016-11-10
4 DUK - DUKE ENERGY CORP NEW COM NEW COM NEW	P	2015-12-23	2016-06-07
45 DUK - DUKE ENERGY CORP NEW COM NEW COM NEW	P	2015-12-23	2016-11-10
24 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2014-07-21	2016-02-05
7 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2010-05-03	2016-02-05
579 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2015-02-11	2016-02-17
537 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2010-05-03	2016-02-17
329 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2010-05-03	2016-02-18
9 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2015-06-04	2016-06-07
553 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2015-06-04	2016-06-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,332		9,407	925
321		288	33
3,390		3,235	155
587		677	-90
171		136	35
14,414		15,759	-1,345
13,368		10,413	2,955
8,226		6,380	1,846
252		244	8
15,274		14,966	308

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			925
			33
			155
			-90
			35
			-1,345
			2,955
			1,846
			8
			308

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
742 EMC - #REORG/EMC CASH & STOCK MERGER DELL INC 2C1RA92 09-07-2016	P	2010-05-03	2016-06-15
6 EOG - EOG RESOURCES INC COM	P	2015-08-20	2016-02-05
4 EOG - EOG RESOURCES INC COM	P	2015-08-20	2016-06-07
73 EOG - EOG RESOURCES INC COM	P	2015-08-20	2016-11-10
4 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2013-11-18	2016-06-07
7 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2014-07-21	2016-06-07
12 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2013-11-19	2016-11-10
49 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2013-11-18	2016-11-10
77 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2012-06-20	2016-11-10
18 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2012-06-20	2016-11-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,494		14,388	6,106
401		465	-64
331		310	21
6,793		5,662	1,131
305		260	45
534		460	74
904		780	124
3,692		3,183	509
5,802		4,148	1,654
1,375		970	405

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,106
			-64
			21
			1,131
			45
			74
			124
			509
			1,654
			405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
44 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2012-11-16	2016-11-16
51 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2012-06-21	2016-11-16
67 ESRX - EXPRESS SCRIPTS HLDG CO COM	P	2012-01-25	2016-11-16
11 ETN - EATON CORP PLC COM USD0 50	P	2014-07-21	2016-02-05
ETN - EATON CORP PLC COM USD0 50	P	2014-07-21	2016-05-24
ETN - EATON CORP PLC COM USD0 50	P	2014-07-21	2016-06-28
ETN - EATON CORP PLC COM USD0 50	P	2012-12-03	2016-09-16
50,000 00 FHLB1 - FEDERAL HOME LN BKS 375% DUE 06-24-2016REG	P	2014-05-27	2016-06-24
50,000 00 FHLB1 - FEDERAL HOME LN BKS 5% DUE 09-28-2016 REG	P	2014-08-19	2016-09-28
50,000 00 FHLB1 - FEDERAL HOME LN BKS 1 125% DUE 04-25-2018 REG	P	2015-05-04	2016-01-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,362		2,281	1,081
3,897		2,739	1,158
5,119		3,480	1,639
599		822	-223
15,635		16,426	-791
22,643		21,364	1,279
23,613		17,160	6,453
50,000		49,957	43
50,000		49,910	90
50,293		50,194	99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,081
			1,158
			1,639
			-223
			-791
			1,279
			6,453
			43
			90
			99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
40,000 00 FHLMC TRANCHE # TR 0013720 5-26-2022	P	2016-04-28	2016-08-26
50,000 00 FNMAB - FNMA 2 625% DUE 09-06-2024	P	2015-08-18	2016-09-22
50,000 00 FNMAB - FNMA TRANCHE 533 625% DUE 08-26-2016 REG	P	2014-03-25	2016-07-13
21 FOXA - TWENTY-FIRST CENTURY FOX INC CL A	P	2014-01-15	2016-02-05
14 FOXA - TWENTY-FIRST CENTURY FOX INC CL A	P	2015-04-14	2016-06-07
7 FOXA - TWENTY-FIRST CENTURY FOX INC CL A	P	2015-04-14	2016-10-07
307 FOXA - TWENTY-FIRST CENTURY FOX INC CL A	P	2015-04-14	2016-11-10
35 FOXA - TWENTY-FIRST CENTURY FOX INC CL A	P	2014-01-15	2016-11-10
2 FOXA - TWENTY-FIRST CENTURY FOX INC CL A	P	2014-01-15	2016-11-10
39 FTV - FORTIVE CORP COM MON STOCK	P	2003-12-15	2016-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40,000		40,000	
53,423		50,621	2,802
50,015		49,968	47
525		688	-163
412		477	-65
170		238	-68
8,369		10,457	-2,088
954		1,146	-192
55		66	-11
2,118		427	1,691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,802
			47
			-163
			-65
			-68
			-2,088
			-192
			-11
			1,691

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
25 GE - GENERAL ELECTRIC CO	P	2012-12-12	2016-02-05
18 GE - GENERAL ELECTRIC CO	P	2012-12-12	2016-06-07
8 GE - GENERAL ELECTRIC CO	P	2012-12-12	2016-10-07
192 GE - GENERAL ELECTRIC CO	P	2012-12-12	2016-11-10
252 GE - GENERAL ELECTRIC CO	P	2010-05-05	2016-11-10
5 GILD - GILEAD SCIENCES INC	P	2013-05-06	2016-02-05
92 GILD - GILEAD SCIENCES INC	P	2013-05-06	2016-11-10
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-01-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-02-22
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-03-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
710		546	164
544		393	151
233		175	58
5,874		4,194	1,680
7,709		4,611	3,098
424		274	150
7,140		5,041	2,099
115		115	
229		229	
155		155	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			164
			151
			58
			1,680
			3,098
			150
			2,099

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-04-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-05-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-06-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-07-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-08-22
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-09-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-10-20
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-11-21
GNMA POOL #5228 3 5% 11-20-2026	P	2012-06-07	2016-12-20
1 GOOG - ALPHABET INC CAP STK USD0 001 CL C	P	2014-10-22	2016-02-05

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
218		218	
127		127	
189		189	
191		191	
160		160	
134		134	
153		153	
196		196	
158		158	
682		534	148

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 GOOG - ALPHABET INC CAP STK USD0 001 CL C	P	2014-10-22	2016-06-07
1 GOOG - ALPHABET INC CAP STK USD0 001 CL C	P	2014-10-22	2016-10-07
20 GOOG - ALPHABET INC CAP STK USD0 001 CL C	P	2014-10-22	2016-11-10
1 GOOGL - ALPHABET INC CAPITAL STOCK USD0 001 CL A	P	2012-01-13	2016-06-07
1 GOOGL - ALPHABET INC CAPITAL STOCK USD0 001 CL A	P	2012-01-13	2016-10-07
9 GOOGL - ALPHABET INC CAPITAL STOCK USD0 001 CL A	P	2012-01-13	2016-11-10
4,900 00 GUNR - MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	P	2012-01-24	2016-05-04
6,100 00 GUNR - MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD	P	2013-04-22	2016-05-04
11 HAL - HALLIBURTON CO COM	P	2015-08-20	2016-02-05
7 HAL - HALLIBURTON CO COM	P	2015-08-20	2016-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
720		534	186
775		534	241
15,374		10,671	4,703
734		313	421
801		313	488
7,065		2,816	4,249
124,226		172,676	-48,450
154,648		201,178	-46,530
343		429	-86
318		273	45

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			186
			241
			4,703
			421
			488
			4,249
			-48,450
			-46,530
			-86
			45



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
969 HAL - HALLIBURTON CO COM	P	2015-08-20	2016-06-28
8 HD - HOME DEPOT INC COM	P	2014-07-21	2016-02-05
6 HD - HOME DEPOT INC COM	P	2014-07-21	2016-06-07
1 HD - HOME DEPOT INC COM	P	2014-07-21	2016-10-07
1 HD - HOME DEPOT INC COM	P	2012-01-23	2016-10-07
108 HD - HOME DEPOT INC COM	P	2012-01-23	2016-11-10
110 HD - HOME DEPOT INC COM	P	2012-01-23	2016-11-16
2 ICE - INTERCONTINENTAL EXCHANGE INC COM	P	2012-06-07	2016-02-05
2 ICE - INTERCONTINENTAL EXCHANGE INC COM	P	2012-06-07	2016-06-07
1 ICE - INTERCONTINENTAL EXCHANGE INC COM	P	2012-06-07	2016-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,465		37,753	3,712
928		638	290
781		478	303
129		80	49
129		45	84
13,996		4,806	9,190
13,734		4,895	8,839
471		256	215
531		256	275
268		128	140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,712
			290
			303
			49
			84
			9,190
			8,839
			215
			275
			140

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
57 ICE - INTERCONTINENTAL EXCHANGE INC COM	P	2012-06-06	2016-11-10
165 ICE - INTERCONTINENTAL EXCHANGE INC COM	P	2012-06-07	2016-11-10
21 JPM - JPMORGAN CHASE & CO COM	P	2014-07-17	2016-02-05
13 JPM - JPMORGAN CHASE & CO COM	P	2014-07-17	2016-06-07
5 JPM - JPMORGAN CHASE & CO COM	P	2014-07-17	2016-10-07
265 JPM - JPMORGAN CHASE & CO COM	P	2014-07-17	2016-11-10
3 KO - COCA COLA CO COM	P	2016-06-28	2016-10-07
194 KO - COCA COLA CO COM	P	2016-06-28	2016-11-10
3 KSS - KOHLS CORP COM	P	2015-06-19	2016-10-07
192 KSS - KOHLS CORP COM	P	2015-06-19	2016-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,264		1,456	1,808
9,448		4,232	5,216
1,207		1,227	-20
849		760	89
340		292	48
20,225		15,489	4,736
125		132	-7
7,940		8,534	-594
139		192	-53
9,813		12,256	-2,443

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,808
			5,216
			-20
			89
			48
			4,736
			-7
			-594
			-53
			-2,443

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
14 MAS - MASCO CORP COM	P	2016-01-27	2016-06-07
245 MAS - MASCO CORP COM	P	2016-09-16	2016-11-10
5 MCD - MC DONALDS CORP COM	P	2012-08-01	2016-02-05
4 MCD - MC DONALDS CORP COM	P	2012-08-01	2016-06-07
132 MCD - MC DONALDS CORP COM	P	2012-08-01	2016-07-13
11 MCD - MC DONALDS CORP COM	P	2012-08-01	2016-11-10
48 MCD - MC DONALDS CORP COM	P	2011-09-22	2016-11-10
17 MDLZ - MONDELEZ INTL INC COM	P	2014-10-30	2016-02-05
MDLZ - MONDELEZ INTL INC COM	P	2014-10-30	2016-06-07
MDLZ - MONDELEZ INTL INC COM	P	2014-10-30	2016-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
441		362	79
7,710		8,127	-417
576		448	128
492		358	134
16,156		11,827	4,329
1,258		986	272
5,491		4,120	1,371
639		595	44
500		383	117
214		172	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			79
			-417
			128
			134
			4,329
			272
			1,371
			44
			117
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
MDLZ - MONDELEZ INTL INC COM	P	2014-10-30	2016-11-10
17 MET - METLIFE INC COM STK USD0 01	P	2013-09-23	2016-02-05
10 MET - METLIFE INC COM STK USD0 01	P	2015-06-05	2016-06-07
207 MET - METLIFE INC COM STK USD0 01	P	2015-06-04	2016-06-28
140 MET - METLIFE INC COM STK USD0 01	P	2015-06-05	2016-06-28
101 MET - METLIFE INC COM STK USD0 01	P	2013-09-23	2016-06-28
92 MET - METLIFE INC COM STK USD0 01	P	2013-02-26	2016-06-28
370 MET - METLIFE INC COM STK USD0 01	P	2013-02-25	2016-06-28
552 MET - METLIFE INC COM STK USD0 01	P	2013-02-22	2016-06-28
13 MRK - MERCK & CO INC NEW COM	P	2013-03-14	2016-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,207		7,554	1,653
656		806	-150
446		548	-102
7,698		11,163	-3,465
5,207		7,678	-2,471
3,756		4,791	-1,035
3,422		3,169	253
13,761		13,077	684
20,529		19,685	844
641		577	64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,653
			-150
			-102
			-3,465
			-2,471
			-1,035
			253
			684
			844
			64

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
52 MRK - MERCK & CO INC NEW COM	P	2013-01-16	2016-02-25
155 MRK - MERCK & CO INC NEW COM	P	2013-03-14	2016-02-25
153 MRK - MERCK & CO INC NEW COM	P	2013-01-14	2016-02-25
181 MRK - MERCK & CO INC NEW COM	P	2013-01-10	2016-02-25
220 MRK - MERCK & CO INC NEW COM	P	2013-01-15	2016-02-25
425 MRK - MERCK & CO INC NEW COM	P	2013-01-11	2016-02-25
35,000 00 MTN1 - BANK OF NY MELLON CORP 2 45% DUE 11-27-2020/10-27-2020	P	2015-11-19	2016-08-10
14,000 00 MTN1 - U S BANCORP MEDIUM TERM SUB NTS 2 95% DUE 07-15-2022	P	2012-10-17	2016-07-19
11,000 00 MTN1 - U S BANCORP MEDIUM TERM SUB NTS 2 95% DUE 07-15-2022	P	2012-10-17	2016-07-19
34,013 61 NHFIX - MFB NORTHERN HIGH YIELD FIXED INCOME FUND	P	2012-05-07	2016-06-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,628		2,233	395
7,834		6,875	959
7,733		6,627	1,106
9,148		7,768	1,380
11,119		9,469	1,650
21,479		18,351	3,128
36,094		34,977	1,117
14,596		14,440	156
11,468		11,245	223
221,088		250,000	-28,912

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			395
			959
			1,106
			1,380
			1,650
			3,128
			1,117
			156
			223
			-28,912

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
35,217 16 NHFIX - MFB NORTHERN HIGH YIELD FIXED INCOME FUND	P	2012-01-24	2016-06-01
10 NKE - NIKE INC CL B	P	2009-12-08	2016-06-07
197 NKE - NIKE INC CL B	P	2009-12-08	2016-11-10
4 NSC - NORFOLK SOUTHN CORP COM	P	2011-08-10	2016-02-05
3 NSC - NORFOLK SOUTHN CORP COM	P	2011-08-10	2016-06-07
59 NSC - NORFOLK SOUTHN CORP COM	P	2011-08-10	2016-11-10
14 NUE - NUCOR CORP COM	P	2014-10-30	2016-02-05
10 NUE - NUCOR CORP COM	P	2014-10-30	2016-06-07
231 NUE - NUCOR CORP COM	P	2014-10-30	2016-06-22
80 NUE - NUCOR CORP COM	P	2014-12-18	2016-06-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
228,912		252,155	-23,243
541		160	381
10,037		3,143	6,894
280		270	10
252		203	49
5,912		3,983	1,929
557		744	-187
503		532	-29
11,466		12,278	-812
3,971		3,965	6

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-23,243
			381
			6,894
			10
			49
			1,929
			-187
			-29
			-812
			6

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
3 NUE - NUCOR CORP COM	P	2014-12-18	2016-10-07
16 NUE - NUCOR CORP COM	P	2012-02-06	2016-11-10
123 NUE - NUCOR CORP COM	P	2014-12-18	2016-11-10
229 NUE - NUCOR CORP COM	P	2012-02-06	2016-11-16
7 NXPI - NXP SEMICONDUCTORS N V COM STK	P	2015-07-22	2016-06-07
2 NXPI - NXP SEMICONDUCTORS N V COM STK	P	2015-07-22	2016-10-07
72 NXPI - NXP SEMICONDUCTORS N V COM STK	P	2015-07-22	2016-11-10
13 ORCL - ORACLE CORP COM	P	2010-10-04	2016-02-05
9 ORCL - ORACLE CORP COM	P	2010-10-04	2016-06-07
176 ORCL - ORACLE CORP COM	P	2010-10-04	2016-11-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
142		149	-7
955		722	233
7,339		6,097	1,242
13,673		10,337	3,336
634		619	15
205		177	28
6,953		6,366	587
462		354	108
355		245	110
7,031		4,793	2,238

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			233
			1,242
			3,336
			15
			28
			587
			108
			110
			2,238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
19 PFE - PFIZER INC COM	P	2012-11-16	2016-02-05
491 PFE - PFIZER INC COM	P	2012-11-16	2016-05-03
8 PFE - PFIZER INC COM	P	2012-11-16	2016-10-07
264 PFE - PFIZER INC COM	P	2012-11-16	2016-11-10
6 PG - PROCTER & GAMBLE COM NPV	P	2014-10-30	2016-02-05
4 PG - PROCTER & GAMBLE COM NPV	P	2014-10-30	2016-06-07
79 PG - PROCTER & GAMBLE COM NPV	P	2014-10-30	2016-11-10
11 QCOM - QUALCOMM INC COM	P	2011-07-15	2016-06-07
2 QCOM - QUALCOMM INC COM	P	2011-07-15	2016-10-07
130 QCOM - QUALCOMM INC COM	P	2011-07-15	2016-11-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
548		452	96
16,546		11,670	4,876
268		190	78
8,834		6,275	2,559
485		520	-35
330		347	-17
6,536		6,843	-307
604		604	
136		110	26
8,629		7,133	1,496

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			96
			4,876
			78
			2,559
			-35
			-17
			-307
			26
			1,496



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
15 RAX - #REORG/RACKSPACE HOSTING CASH MERGER 11-03-2016	P	2015-12-18	2016-06-07
550 RAX - #REORG/RACKSPACE HOSTING CASH MERGER 11-03-2016	P	2015-12-21	2016-11-03
679 RAX - #REORG/RACKSPACE HOSTING CASH MERGER 11-03-2016	P	2015-12-18	2016-11-03
4 RHT - RED HAT INC COM	P	2016-02-18	2016-06-07
103 RHT - RED HAT INC COM	P	2016-09-16	2016-11-10
10 SBUX - STARBUCKS CORP COM	P	2014-06-13	2016-02-05
2 SBUX - STARBUCKS CORP COM	P	2014-06-13	2016-06-07
6 SBUX - STARBUCKS CORP COM	P	2014-06-12	2016-06-07
3 SBUX - STARBUCKS CORP COM	P	2014-06-12	2016-10-07
33 SBUX - STARBUCKS CORP COM	P	2012-08-09	2016-11-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
370		373	-3
17,600		13,470	4,130
21,728		16,862	4,866
313		267	46
7,980		7,594	386
543		372	171
112		74	38
335		222	113
160		111	49
1,784		746	1,038

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			4,130
			4,866
			46
			386
			171
			38
			113
			49
			1,038

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
125 SBUX - STARBUCKS CORP COM	P	2014-06-12	2016-11-10
9 SCHW - SCHWAB CHARLES CORP COM NEW	P	2016-02-04	2016-06-07
165 SCHW - SCHWAB CHARLES CORP COM NEW	P	2016-02-04	2016-11-10
1 SHPG - SHIRE PLC ADR	P	2016-06-03	2016-06-07
0 99 SHPG - SHIRE PLC ADR	P	2016-06-03	2016-07-13
1 SHPG - SHIRE PLC ADR	P	2016-06-03	2016-10-07
50 SHPG - SHIRE PLC ADR	P	2016-06-03	2016-11-10
8 SLB - SCHLUMBERGER LTD COM COM	P	2014-09-30	2016-02-05
6 SLB - SCHLUMBERGER LTD COM COM	P	2014-09-30	2016-06-07
2 SLB - SCHLUMBERGER LTD COM COM	P	2014-09-30	2016-10-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
6,756		4,627	2,129
265		224	41
5,806		4,109	1,697
192		192	
167		190	-23
194		192	2
9,522		9,596	-74
548		820	-272
480		615	-135
163		205	-42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,129
			41
			1,697
			-23
			2
			-74
			-272
			-135
			-42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
107 SLB - SCHLUMBERGER LTD COM COM	P	2014-09-30	2016-11-10
22 TWTR - TWITTER INC COM	P	2015-07-30	2016-10-07
181 TWTR - TWITTER INC COM	P	2015-07-30	2016-11-10
1 VFC - V F CORP COM	P	2014-07-21	2016-02-05
5 VFC - V F CORP COM	P	2011-06-24	2016-02-05
4 VFC - V F CORP COM	P	2011-06-24	2016-06-07
170 VFC - V F CORP COM	P	2011-06-24	2016-06-28
392 VFC - V F CORP COM	P	2011-04-26	2016-06-28
176 VZ - VERIZON COMMUNICATIONS COM	P	2011-09-28	2016-02-04
372 VZ - VERIZON COMMUNICATIONS COM	P	2011-09-29	2016-02-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,556		10,971	-2,415
436		691	-255
3,345		5,683	-2,338
59		61	-2
294		131	163
249		105	144
9,930		4,449	5,481
22,897		10,240	12,657
8,826		6,534	2,292
18,654		13,783	4,871

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,415
			-255
			-2,338
			-2
			163
			144
			5,481
			12,657
			2,292
			4,871

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
8 WFC - WELLS FARGO & CO NEW COM STK	P	2014-07-21	2016-02-05
12 WFC - WELLS FARGO & CO NEW COM STK	P	2012-03-12	2016-02-05
13 WFC - WELLS FARGO & CO NEW COM STK	P	2012-03-12	2016-06-07
7 WFC - WELLS FARGO & CO NEW COM STK	P	2012-03-12	2016-10-07
19 WFC - WELLS FARGO & CO NEW COM STK	P	2012-03-12	2016-11-10
54 WFC - WELLS FARGO & CO NEW COM STK	P	2009-05-27	2016-11-10
80 WFC - WELLS FARGO & CO NEW COM STK	P	2003-12-15	2016-11-10
200 WFC - WELLS FARGO & CO NEW COM STK	P	2010-12-03	2016-11-10
68 XOM - EXXON MOBIL CORP COM	P	2016-06-28	2016-11-10
7 XOM - EXXON MOBIL CORP COM	P	1999-06-21	2016-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
381		409	-28
571		377	194
657		409	248
318		220	98
975		597	378
2,770		1,358	1,412
4,104		2,270	1,834
10,260		5,752	4,508
5,966		6,145	-179
559		273	286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28
			194
			248
			98
			378
			1,412
			1,834
			4,508
			-179
			286

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
6 XOM - EXXON MOBIL CORP COM	P	2014-11-07	2016-06-07
2 XOM - EXXON MOBIL CORP COM	P	2014-11-07	2016-10-07
73 XOM - EXXON MOBIL CORP COM	P	2014-11-06	2016-11-10
8 XOM - EXXON MOBIL CORP COM	P	2014-11-07	2016-11-10
7 ZBH - ZIMMER BIOMET HLDGS INC COM	P	2014-07-30	2016-02-05
4 ZBH - ZIMMER BIOMET HLDGS INC COM	P	2015-03-18	2016-06-07
2 ZBH - ZIMMER BIOMET HLDGS INC COM	P	2015-03-18	2016-10-07
84 ZBH - ZIMMER BIOMET HLDGS INC COM	P	2015-03-18	2016-11-10
CAPITAL GAIN DISTRIBUTIONS	P	2001-01-01	2016-01-01
PRIVATE EQUITY CORE FUND III ST	P	2016-01-01	2016-01-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
540		580	-40
174		193	-19
6,405		6,997	-592
702		774	-72
664		713	-49
472		468	4
262		234	28
8,422		9,837	-1,415
15,180			15,180
106			106

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-40
			-19
			-592
			-72
			-49
			4
			28
			-1,415
			15,180
			106

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
MAKENA CAPITAL	P	2016-01-01	2016-01-01
MAKENA CAPITAL	P	2001-01-01	2016-01-01
PRIVATE EQUITY CORE FUND I LT	P	2001-01-01	2016-01-01
PRIVATE EQUITY CORE FUND III LT	P	2001-01-01	2016-01-01
PRIVATE EQUITY CORE FUND I LT	P	2001-01-01	2016-01-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**


(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,857			2,857
70,532			70,532
6,123			6,123
24,174			24,174
45,081			45,081

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,857
			70,532
			6,123
			24,174
			45,081

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ADVENTURES IN CARING 1528 CHAPALA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	COMPASSION IN ACTION	25,000
BOXTALES THEATRE COMPANY 21 EAST CANON PERDIDO STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO MAKE CRITICAL TECHNICAL IMPROVEMENTS TO PRODUCTIONS	11,385
CHILDREN'S RESOURCE NETWORK PO BOX 454 PISMO BEACH, CA 93448	UNRELATED	N/A	FOR GENERAL OPERATING SUPPORT	25,000
CORNERSTONE HOUSE OF SANTA BARBARA 1451 CAMINO TRILLADO CARPINTERIA, CA 93013	UNRELATED	N/A	TO SUPPORT THE HAPPY ADVENTURE SUMMER CAMP	5,000
MOXI THE WOLF MUSEUM OF EXPLORATION 125 STATE STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	FABRICATION, INSTALLATION, TESTING OF EXHIBITS \$25,000 IN JUNE 2015 & \$25,000 IN 2016	25,000
<b>Total . . . . .</b> ► <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW HOUSE 2434 BATH STREET SANTA BARBARA, CA 93105	UNRELATED	N/A	NEWHOUSE II AND III	20,000
REINS OF HOPE PO BOX 1156 OJAI, CA 93024	UNRELATED	N/A	TO SUPPORT EQUINE-ASSISTED MENTAL HEALTH SERVICES	10,000
RESCUE MISSION ALLIANCE 315 NORTH A STREET OXNARD, CA 93030	UNRELATED	N/A	FOR OUTREACH AND RECOVERY / NORTHERN SANTA BARBARA COUNTY	15,000
THE CIELO FOUNDATION 1812 LA CORONILLA DRIVE SANTA BARBARA, CA 93109	UNRELATED	N/A	TO HELP SUPPORT THE APPRENTICE PROGRAM FOR 2016-17 YEAR	10,000
TRANSITION HOUSE 425 EAST COTA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT INFANT CARE CENTER (ICC)	15,000
<b>Total . . . . .</b> 				1,133,883
<b>3a</b>				




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TURNER FOUNDATION 520 WEST CANON PERDIDO STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	FOR LEARNING CENTER TWO LOCATIONS	20,000
ALEXANDER HOUSE FOUNDATION PO BOX 23642 SANTA BARBARA, CA 93121	UNRELATED	N/A	TO PROVIDE FINANCIAL ASSISTANCE FOR LOW-INCOME SENIORS	20,000
BOYS GIRLS CLUBS OF SB 632 EAST CANON PERDIDO STREET SANTA BARBARA, CA 93103	UNRELATED	N/A	TO SUPPORT A CAPITAL PROJECT TO REPAIR ROOFS OF LOMPOC AND CARPINTERIA UNITS	30,000
FAMILY SERVICE AGENCY 123 WEST GUTIERREZ STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT BIG BROTHER BIG SISTER INTERGENERATIONAL MENTORING INITIATIVE	10,000
FRIENDSHIP CENTER ADULT DAY SERVICE 89 EUCALYPTUS LN MONTECITO, CA 93108	UNRELATED	N/A	TO PARTIALLY SUPPORT THE H E A R T PROGRAM PROGRAM FOR FY 2015-16 (TO BE PAID IN 2016)	25,000
<b>Total . . . . . ►</b> <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GIRLS ROCK SANTA BARBARA 1 NORTH CALLE CESAR CHAVEZ SANTA BARBARA, CA 93103	UNRELATED	N/A	TO PROVIDE SUMMER PROGRAM SCHOLARSHIPS TO 20 UNDESERVED GIRLS (TO BE PAID IN 2016)	18,000
GIRLS INC OF CARPINTERIA 5315 FOOTHILL ROAD CARPINTERIA, CA 93013	UNRELATED	N/A	TO SUPPORT STAFFING AND SCHOLARSHIPS FOR EUREKA <sup>1</sup> PROGRAM	10,000
NEW BEGINNINGS COUNSELING CENTER 324 EAST CARRILLO STREET C SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT SUPPORTIVE SERVICES FOR VETERAN FAMILIES PROGRAM	15,000
SANTA BARBARA BICYCLE COALITION 506 EAST HALEY STREET SANTA BARBARA, CA 93103	UNRELATED	N/A	TO EXPAND YOUTH AND EDUCATION PROGRAMS TO SANTA BARBARA NORTH COUNTY	25,000
SANTA BARBARA DANCE INSTITUTE 1330 STATE STREET SUITE 207 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT EXPANSION OF PROGRAMS IN COLLABORATION WITH SOLVANG SCHOOL DISTRICT IN 2016-17	10,000
<b>Total . . . . .</b> <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SANTA BARBARA EDUCATION FOUNDATION 1330 STATE STREET SUITE 201 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT WHAT IS LOVE TEEN DATING VIOLENCE PREVENTION PROGRAM	25,000
SANTA BARBARA POLICE ACTIVITIES LEA 1235 CHAPALA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO HELP SUPPORT CAMPERSHIP ALLIANCE PROGRAM	10,000
SANTA BARBARA RESCUE MISSION 535 EAST YANONALI STREET SANTA BARBARA, CA 93103	UNRELATED	N/A	TO SUPPORT MISSION OF HOPE CAMPAIGN (PAID OVER 4 YEARS)	25,000
SBCC FOUNDATION 721 CLIFF DRIVE SANTA BARBARA, CA 93109	UNRELATED	N/A	FOR SBCC PROMISE FREE OF CHARGE EDUCATION FOR LOCAL HIGH SCHOOL GRADS	12,500
SOLVANG LUTHERAN HOME INC 636 ATTERDAG ROAD SOLVANG, CA 93463	UNRELATED	N/A	TO HELP SUPPORT EXPANSION PROJECT (TO BE PAID IN 2016)	25,000
<b>Total . . . . .</b> ► <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TEDDY BEAR CANCER FOUNDATION 3892 STATE STREET 220 SANTA BARBARA, CA 93105	UNRELATED	N/A	TO HELP PROVIDE FINANCIAL ASSISTANCE TO FAMILIES	20,000
THE ACADEMY FOR SUCCESS 7266 ALAMEDA AVE GOLETA, CA 93117	UNRELATED	N/A	TO PROVIDE ACADEMIC SUPPORT AND SOCIAL SERVICES	10,000
CANCER FOUNDATION OF SANTA BARBARA 601 WEST JUNIPERO STREET SANTA BARBARA, CA 93105	UNRELATED	N/A	GRANT REQUEST TO SUPPORT CONSTRUCTION OF NEW COMPREHENSIVE CANCER CENTER (2ND PAYMENT OF 5 YEAR GRANT)	50,000
MENTAL WELLNESS CENTER 617 GARDEN STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	GRANT REQUEST TO SUPPORT GENERAL OPERATING FUNDS (1ST PAYMENT OF 2 YEAR GRANT)	30,000
GOLETA VALLEY HISTORICAL SOCIETY 304 NORTH LOS CARNEROS ROAD GOLETA, CA 93117	UNRELATED	N/A	FOR THE PRINTING OF BOOKS, 2 LECTURES, GOLETA THE GOODLAND	8,000
<b>Total . . . . . ▶</b> <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALZHEIMERS ASSOCIATION 1528 CHAPALA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT CHAPTERS EARLY-STAGE ENGAGEMENT SERIES	10,000
ARTS WITHOUT LIMITS 816 CHELHAM WAY MONTECITO, CA 93108	UNRELATED	N/A	TO SUPPORT ART CAREER DAY CONFERENCE	15,000
CALM 1236 CHAPALA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO TRAIN STAFF MEMBERS IN EVIDENCE-BASED PRACTICES CPP & ECMHC	20,000
CENTER FOR URBAN AGRICULTURE AT FAI 598 NORTH FAIRVIEW AVENUE GOLETA, CA 93117	UNRELATED	N/A	TO SUPPORT EDUCATION AT FAIRVIEW GARDENS	10,000
COTTAGE REHABILITATION HOSPITAL 2415 DE LA VINA STREET SANTA BARBARA, CA 93105	UNRELATED	N/A	TO SUPPORT OUTPATIENT CHARITY CARE	10,000
<b>Total</b> . . . . . 				1,133,883
<b>3a</b>				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DOS PUEBLOS ENGINEERING ACADEMY 7266 ALAMEDA AVENUE GOLETA, CA 93117	UNRELATED	N/A	TO SUPPORT TEACHER TRAINING AND MENTORING INITIATIVE	25,000
GIRL SCOUTS OF CALIFORNIA CENTRAL C 1500 PALMA DRIVE 110 VENTURA, CA 93003	UNRELATED	N/A	TO SUPPORT GIRL SCOUT LEADERSHIP EXPERIENCE PROGRAM	20,000
GOLETA EDUCATION FOUNDATION PO BOX 1177 GOLETA, CA 93116	UNRELATED	N/A	TO SUPPORT GUSD CORE ACADEMIC READING SUPPORT PROGRAM	20,000
HABITAT FOR HUMANITY 6860 CORTONA DRIVE A GOLETA, CA 93117	UNRELATED	N/A	TO SUPPORT CONSTRUCTIONS COSTS OF THE SAWYER AVENUE AFFORDABLE HOMES IN CARPINTERIA	25,000
HOSPICE OF SANTA BARBARA 2050 ALAMEDA PADRE SERRA SUITE 100 SANTA BARBARA, CA 93103	UNRELATED	N/A	TO SUPPORT PATIENT CARE SERVICES PROGRAM	20,000
<b>Total . . . . .</b> <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JODI HOUSE 625 CHAPALA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	FOR SUPPPORTIVE LIVING AND VOCATIONAL SUPPORTIVE SERVICES	25,000
JUST COMMUNITIES 1528 CHAPALA STREET 308 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT PARENT INVOLVEMENT THROUGH DIALOGUE AND ACTION PROGRAM	15,000
LANDLORD LIAISON PARTNERSHIP 425 EAST COTA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT LANDLORD LIAISON PROGRAM	25,000
LAWRENCE HALL OF SCIENCE 1 CENTENNIAL DRIVE BERKELEY, CA 94720	UNRELATED	N/A	TO SUPPORT NEXTGEN EDUCATION	20,000
OPERA SANTA BARBARA 1330 STATE STREET 209 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT THE CHILDRENS OPERA CHORUS	15,000
<b>Total . . . . . ►</b> <b>3a</b>				1,133,883

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ORGANIC SOUP KITCHEN 315 MEIGS ROAD A369 SANTA BARBARA, CA 93109	UNRELATED	N/A	FOR NEW VAN FOR OSK SOUP DELIVERY PROGRAM	25,000
PATHPOINT 315 WEST HALEY STREET SUITE 202 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT BEHAVIORAL HEALTH PROPERTIES PROGRAM	20,000
PROVIDENCE SBCS 630 EAST CANON PERDIDO STREET SANTA BARBARA, CA 93103	UNRELATED	N/A	TO PURCHASE EDUCATIONAL INSTRUCTION AND TOOLS FOR MUSIC PROGRAM	10,000
SAN MARCOS AAPLE FOUNDATION 4750 HOLLISTER AVENUE SANTA BARBARA, CA 93110	UNRELATED	N/A	TO SUPPORT 10 HIGH- ACHIEVING, LOW-INCOME STUDENTS	10,000
SANTA BARBARA CHAMBER ORCHESTRA 1330 STATE STREET 206 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT CLASSICAL CONNECTIONS AND FREE CONCERT SEATS FOR FAMILIES	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,133,883



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SANTA BARBARA CHORAL SOCIETY 1330 STATE STREET SUITE 202 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO PURCHASE RISERS AND SHELL	20,000
SANTA BARBARA EDUCATION FOUNDATION 1330 STATE STREET 201 SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT SUMMER SCHOOL SCHOLARSHIPS FOR 2016	14,500
SANTA BARBARA MARITIME MUSEUM 113 HARBOR WAY 190 SANTA BARBARA, CA 93109	UNRELATED	N/A	TO SUPPORT YOUTH EDUCATION PROGRAMS	15,000
SANTA BARBARA NEIGHBORHOOD CLINICS 628 WEST MICHELTORENA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT CARE FOR EVERY FAMILY PROGRAM	20,000
SANTA BARBARA SCHOOL OF SQUASH 1530 CHAPALA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO PROVIDE CORE OPERATING SUPPORT	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,133,883

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SANTA YNEZ VALLEY HISTORICAL MUSEUM 3596 SAGUNTO STREET SANTA YNEZ, CA 93460	UNRELATED	N/A	TO SUPPORT UPGRADING THE PARKS-JANEWAY CARRIAGE HOUSE	20,000
SARAH HOUSE 2612 MODOC ROAD SANTA BARBARA, CA 93105	UNRELATED	N/A	TO PROVIDE 48 DAYS OF CARE	12,000
SOLVANG LUTHERAN HOME 636 ATTERDAG ROAD SOLVANG, CA 93463	UNRELATED	N/A	TO HELP PURCHASE WHIRLPOOL TUB	13,000
ST VINCENTS 4200 CALLE REAL GOLETA, CA 93110	UNRELATED	N/A	TO UPGRADE CAMPUS SECURITY SYSTEM	21,500
THE ARTS FUND 205 SANTA BARBARA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT TEEN ARTS MENTORSHIP PROGRAM	15,000
<b>Total . . . . . ▶</b> <b>3a</b>				1,133,883

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE MARJORIE LUKE THEATRE 721 EAST COTA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT PROFESSIONAL STAFF	5,000
UNITED CEREBRAL PALSY WORK INC 423 WEST VICTORIA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	FOR EXPANDED EMPLOYMENT OPPORTUNITIES PROGRAM	25,000
WILLIAM SANSUM DIABETES CENTER 2219 BATH STREET SANTA BARBARA, CA 93105	UNRELATED	N/A	FOR CAPITAL IMPROVEMENTS TO ADMINISTRATIVE FLOOR	12,998
WOMENS ECONOMIC VENTURE 333 SOUTH SALINAS STREET SANTA BARBARA, CA 93103	UNRELATED	N/A	TO SUPPORT SCHOLARSHIPS FOR SPRING 2016 SET PROGRAM	10,000
YOUTH INTERACTIVE 209 ANACAPA STREET SANTA BARBARA, CA 93101	UNRELATED	N/A	TO SUPPORT RESURRECTED BY YOUTH	10,000
<b>Total . . . . .</b> ► <b>3a</b>				1,133,883

**TY 2016 Accounting Fees Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING/TAX PREP FEES	7,795	7,795	0	0

**TY 2016 Investments Corporate Bonds Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS AND MUTUAL FUNDS	4,903,625	5,329,428

**TY 2016 Investments Corporate Stock Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMON STOCKS AND EQUITY MUTUAL FUNDS	5,705,304	6,930,986

**TY 2016 Investments Government Obligations Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0**US Government Securities - End  
of Year Book Value:**

1,840,957

**US Government Securities - End  
of Year Fair Market Value:**

1,822,253

**State & Local Government  
Securities - End of Year Book  
Value:****State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2016 Investments - Other Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MAKENA ENDOWMENT FUND	AT COST	1,500,000	2,003,896
OTHER SECURITIES	AT COST	2,160,069	2,328,136



**TY 2016 Other Expenses Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AGENCY FEES	66,166	66,166		
INVESTMENT EXPENSES	27,906	27,906		
K-1 EXPENSES	40,804	40,804		

**TY 2016 Other Income Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	51,817		

**TY 2016 Taxes Schedule****Name:** WILLIAMS-CORBETT FOUNDATION**EIN:** 77-6150330**Software ID:** 16000303**Software Version:** 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	12,080	12,080		
FOREIGN TAXES PAID	5,376	5,376		

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	<b>Schedule of Contributors</b>  ▶ <b>Attach to Form 990, 990-EZ, or 990-PF</b> ▶ <b>Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u></b>	OMB No 1545-0047  <b>2016</b>
	<b>Name of the organization</b> WILLIAMS-CORBETT FOUNDATION	<b>Employer identification number</b> 77-6150330

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> WILLIAMS-CORBETT FOUNDATION	<b>Employer identification number</b> 77-6150330
--	---

<b>Part I</b> <b>Contributors</b> (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CORBETT CHARITABLE LEAD TRUST PO BOX 5655  SANTA BARBARA, CA 93150	\$ 848,314	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions )</small>

Employer identification number

77-6150330

Part II	Noncash Property
---------	------------------

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

<b>Name of organization</b> WILLIAMS-CORBETT FOUNDATION	<b>Employer identification number</b> 77-6150330
--	---

<b>Part III</b>	<b>Exclusively</b> religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <b>exclusively</b> religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	