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EXTENDED TO NOVEMBER 15, 2017
Return of Private Foundation

Form 990-PF

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

2882 SAND HILL ROAD, SUITE 150

A Employer identification number

77-0527966

City or town, state or province, country, and ZIP or foreign postal code

MENLO PARK, CA 94025

G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input checked="" type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

► \$ 5,818,928. (Part I, column (d) must be on cash basis.)

J Accounting method Cash Accrual

Other (specify)

C If exemption application is pending, check here ►

D 1. Foreign organizations, check here ►

2. Foreign organizations meeting the 85% test, check here and attach computation ►

E If private foundation status was terminated under section 507(b)(1)(A), check here ►

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

Part I **Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1 Contributions, gifts, grants, etc., received	3,229,425.		N/A	
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	9.	9.		STATEMENT 2
	4 Dividends and interest from securities	46,692.	45,917.		STATEMENT 3
Revenue	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-380,162.			
	b Gross sales price for all assets on line 6a	5,774,512.			
	7 Capital gain net income (from Part IV, line 2)		2,902,293.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-2,746.	-2,746.		STATEMENT 4
	12 Total. Add lines 1 through 11	2,893,218.	2,945,473.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 5	36,545.	0.	0.
	c Other professional fees				
	17 Interest	STMT 6	165.	165.	0.
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 7	35,459.	0.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		72,169.	165.	0.
	25 Contributions, gifts, grants paid		5,304,210.		5,304,210.
	26 Total expenses and disbursements. Add lines 24 and 25		5,376,379.	165.	5,304,210.
	27 Subtract line 26 from line 12		-2,483,161.		
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)				
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		2,327,411.	2,459,858.	2,459,858.
	3 Accounts receivable ► 1,256.		30,709.	1,256.	1,256.
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
Assets	10a Investments - U S and state government obligations				
	b Investments - corporate stock	STMT 8	5,872,726.	3,357,814.	3,357,814.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		8,230,846.	5,818,928.	5,818,928.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds		0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds		8,230,846.	5,818,928.	
	30 Total net assets or fund balances		8,230,846.	5,818,928.	
	31 Total liabilities and net assets/fund balances		8,230,846.	5,818,928.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,230,846.
2 Enter amount from Part I, line 27a	2	-2,483,161.
3 Other increases not included in line 2 (itemize) ► UNREALIZED GAIN/LOSS	3	93,530.
4 Add lines 1, 2, and 3	4	5,841,215.
5 Decreases not included in line 2 (itemize) ► CHANGE IN BOOK LIABILITIES	5	22,287.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,818,928.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VARIOUS SALES - SEE STATEMENT ATTACHED			P	VARIOUS	VARIOUS
b VARIOUS SALES - SEE STATEMENT ATTACHED			P	VARIOUS	VARIOUS
c THE BLACKSTONE GROUP - K-1			P	VARIOUS	VARIOUS
d THE BLACKSTONE GROUP - K-1			P	VARIOUS	VARIOUS
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
a 4,371,215.		1,337,870.		3,033,345.	
b 1,403,297.		1,535,057.		-131,760.	
c				721.	
d				-13.	
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a				3,033,345.	
b				-131,760.	
c				721.	
d				-13.	
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,902,293.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	3,807,300.	8,373,128.	.454705
2014	3,720,533.	6,002,730.	.619807
2013	2,358,506.	3,071,207.	.767941
2012	1,746,515.	3,050,474.	.572539
2011	1,997,358.	3,231,886.	.618016

2 Total of line 1, column (d)

2 3.033008

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .606602

4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5

4 5,630,208.

5 Multiply line 4 by line 3

5 3,415,295.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 29,453.

7 Add lines 5 and 6

7 3,444,748.

8 Enter qualifying distributions from Part XII, line 4

8 5,339,210.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input checked="" type="checkbox"/> Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b). </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Add lines 1 and 2 </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Credits/Payments </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> 2016 estimated tax payments and 2015 overpayment credited to 2016 </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Exempt foreign organizations - tax withheld at source </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Tax paid with application for extension of time to file (Form 8868) </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Backup withholding erroneously withheld </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Total credits and payments Add lines 6a through 6d </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <input type="checkbox"/> Enter the amount of line 10 to be Credited to 2017 estimated tax ► 21,256. Refunded ► 21,256. </div>	2	0.
3		29,453.		
4		0.		
5		29,453.		
6a	30,709.			
6b				
6c	20,000.			
6d				
7		50,709.		
8				
9				
10		21,256.		
11		0.		

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1c	Did the foundation file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.	
1e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4b	If "Yes," has it filed a tax return on Form 990-T for this year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
8b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

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Part VII-A Statements Regarding Activities (continued)

11	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
12	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
13	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No	
Website address ► N/A					
14	The books are in care of ► JOHN FISHER Located at ► 2882 SAND HILL ROAD, SUITE 150, MENLO PARK, CA	Telephone no ► (650) 233-9000 ZIP+4 ► 94025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.					
1a	During the year did the foundation (either directly or indirectly)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	►		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c			<input checked="" type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?				
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b			<input checked="" type="checkbox"/>

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

Yes No

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

►

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes," file Form 8870.

Yes No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JENNIFER CALDWELL 2882 SAND HILL ROAD, STE 150 MENLO PARK, CA 94025	PRESIDENT/VICE PRESIDENT 1.00	0.	0.	0.
JOHN FISHER 2882 SAND HILL ROAD, STE 150 MENLO PARK, CA 94025	CEO/SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	IMPACT PARTNERS - INVESTMENT IN EDUCATIONAL FILM PRODUCTION	35,000.
2		
3		
	All other program-related investments See instructions	
3		
Total. Add lines 1 through 3	►	35,000.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a Average monthly fair market value of securities	1a	4,306,435.
b Average of monthly cash balances	1b	1,409,512.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	5,715,947.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,715,947.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	85,739.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,630,208.
6 Minimum investment return. Enter 5% of line 5	6	281,510.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1 Minimum investment return from Part X, line 6	1	281,510.
2a Tax on investment income for 2016 from Part VI, line 5	2a	29,453.
b Income tax for 2016 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	29,453.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	252,057.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	252,057.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	252,057.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	5,304,210.
b Program-related investments - total from Part IX-B	1b	35,000.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,339,210.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	29,453.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,309,757.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2016)

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Form 990-PF (2016)

77-0527966

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				252,057.
2 Undistributed income, if any, as of the end of 2016			0.	
a Enter amount for 2015 only			0.	
b Total for prior years				
3 Excess distributions carryover, if any, to 2016				
a From 2011	1,869,898.			
b From 2012	1,643,523.			
c From 2013	2,292,756.			
d From 2014	3,581,526.			
e From 2015	3,391,979.			
f Total of lines 3a through e	12,779,682.			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 5,339,210.			0.	
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				252,057.
e Remaining amount distributed out of corpus	5,087,153.			0.
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			
6 Enter the net total of each column as indicated below:	17,866,835.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed	0.			
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	3,481,482.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	14,385,353.			
10 Analysis of line 9				
a Excess from 2012	31,939.			
b Excess from 2013	2,292,756.			
c Excess from 2014	3,581,526.			
d Excess from 2015	3,391,979.			
e Excess from 2016	5,087,153.			

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Form 990-PF (2016)

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT	PUBLIC CHARITIES			5,304,210.
Total			► 3a	5,304,210.
b Approved for future payment				
NONE				
Total			► 3b	0.

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Form 990-PF (2016)

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2016.05000 THE CALDWELL-FISHER CHARITA 33071X-1

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	9.
4 Dividends and interest from securities				14	46,692.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income				14	-2,746.
8 Gain or (loss) from sales of assets other than inventory				18	-380,162.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)			0.		0.
13 Total. Add line 12, columns (b), (d), and (e) (See worksheet in line 13 instructions to verify calculations)				13	-336,207.

Relationship of Activities to the Accomplishment of Exempt Purposes

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- Attach to Form 990, Form 990-EZ, or Form 990-PF.
- Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No. 1545-0047

2016**Name of the organization**THE CALDWELL-FISHER CHARITABLE
FOUNDATION**Employer identification number**

77-0527966

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation**Check if your organization is covered by the General Rule or a Special Rule.****Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year

► \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization
THE CALDWELL-FISHER CHARITABLE FOUNDATION

Employer identification number
77-0527966

Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN FISHER & JENNIFER CALDWELL 2882 SAND HILL ROAD, STE 150 MENLO PARK, CA 94025	\$ 3,229,425.	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <small>(Complete Part II for noncash contributions.)</small>

Name of organization

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

Employer identification number

77-0527966

Part II: Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	70,000 SHARES CISCO SYS INC.	\$ 2,151,800.	12/14/16
1	25,000 SHARES YAHOO INC.	\$ 1,077,625.	09/13/16
	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
	(b) Description of noncash property given	\$	
	(b) Description of noncash property given	\$	
	(b) Description of noncash property given	\$	
	(b) Description of noncash property given	\$	

Name of organization

Employer identification number

THE CALDWELL-FISHER CHARITABLE
FOUNDATION

77-0527966

Part III *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once.) ► \$*
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4 <hr/> <hr/> <hr/>		
	Relationship of transferor to transferee		
	<hr/> <hr/> <hr/>		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4 <hr/> <hr/> <hr/>		
	Relationship of transferor to transferee		
	<hr/> <hr/> <hr/>		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4 <hr/> <hr/> <hr/>		
	Relationship of transferor to transferee		
	<hr/> <hr/> <hr/>		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4 <hr/> <hr/> <hr/>		
	Relationship of transferor to transferee		
	<hr/> <hr/> <hr/>		

Caldwell-Fisher Charitable Foundation**Contributions - FYE 12/31/2016**

Name and Address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
KQED 2601 Mariposa St., SF, CA 94110	Public Charity	Education	1/5/2016	\$5,000
Great Star Theater 375 Castro St., San Leandro, CA 94577	Public Charity	Arts	1/11/2016	\$5,000
The Jane Goodall Institute 1595 Spring Hill Rd., Ste 550, Vienna, VA 22182	Public Charity	Environment	1/11/2016	\$2,000
Walnut Hill School for the Arts 12 Highland St., Natick, MA 01760-9835	Public Charity	Arts	1/26/2016	\$15,000
The Imagine Bus Project 1012 Torney Ave, SF, CA 94129	Public Charity	Educ/Arts	1/26/2016	\$2,500
Chilmark Volunteer Firemen's Assn PO Box 580, Chilmark, MA 02535	Public Charity	Welfare	1/26/2016	\$1,000
Center for Reproductive Rights 199 Water St., NY, NY 10038	Public Charity	Welfare	2/2/2016	\$1,000
Monterey Bay Aquarium David Packard Award 886 Cannery Row, Monterey, CA 93940	Public Charity	Environment	2/3/2016	\$25,000
Common Sense Media 650 Townsend, STE 435, SF, CA 94103	Public Charity	Education	2/25/2016	\$50,000
Compass Family Services 49 Powell St., SF, CA 94102	Public Charity	Health/Welfare	2/25/2016	\$2,500
Katherine Delmar Burke School 7070 California St., SF, CA 94121	Public Charity	Education	2/25/2016	\$20,000
MVYouth PO Box 65, Chilmark, MA 02535	Public Charity	Educ/Health/Wel	2/25/2016	\$1,595
Oakland Family Services 114 Orchard Lake Rd., Pontiac, MI 48341	Public Charity	Health/Welfare	2/25/2016	\$100,000
Planned Parenthood (PPFA)	Public Charity	Health/Welfare	2/25/2016	\$1,000

Name and Address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
123 William St., 10th Flr, New York, NY 10038				
Tipping Point Community 220 Montgomery St., Ste 850, SF, CA 94104	Public Charity	Education	3/4/2016	\$25,000
Cape Eleuthera Foundation, Inc (Eco Club) PO Box 842484, Boston, MA 02284-2484	Public Charity	Edu/Env	3/8/2016	\$1,000
California Academy of Sciences 55 Music Concourse, Golden Gate Park, SF, CA 94118	Public Charity	Educ/Env	2/25/2016	\$40,000
Martha's Vineyard Community Services 111 Edgartown Rd., Vineyard Haven, MA 02568	Public Charity	Health/Welfare	3/10/2016	\$1,000
VGC Foundation Inc. PO Box 1815, Edgartown, MA 02539	Public Charity	Env/Health/Wel	3/16/2016	\$500
Sarah Lawrence College One Mead Way, Bronxville, NY 10708	Public Charity	Education	3/23/2016	\$20,000
San Francisco SPCA 201 Alabama St., SF, CA 94103	Public Charity	Welfare	3/23/2016	\$1,000
UCSF Foundation University of CA, Box 45339, SF, CA 94145-0339	Public Charity	Health	4/14/2016	\$2,500
The Imagine Bus Project 1012 Torney Ave., SF, CA 94129	Public Charity	Educ/Arts	4/19/2016	\$5,000
Lick-Wilmerding High School 755 Ocean Ave , SF, CA 94122	Public Charity	Education	4/19/2016	\$60,000
Project Avary 385 Bel Marin Keys, Blvd., Ste G, Novato, CA 94949	Public Charity	Education	4/19/2016	\$10,000
SF Botanical Garden Society 320 Judah St., Ste 2, SF, CA 94122	Public Charity	Environment	4/26/2016	\$1,000
Sarah Lawrence College One Mead Way, Bronxville, NY 10708	Public Charity	Education	5/5/2016	\$200,000
California Academy of Sciences 55 Music Concourse, Golden Gate Park, SF, CA 94118	Public Charity	Educ/Env	5/5/2016	\$25,000

Name and Address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Harvard College Fund 124 Mt. Auburn St., 4th Fl, Cambridge, MA 02138	Public Charity	Education	5/17/2016	\$10,000
Golden Gate National Parks Conservancy Building 201, Fort Mason, SF, CA 94123	Public Charity	Environment	5/24/2016	\$5,000
Exploratorium Pier 17, Suite 100, SF, CA 94111	Public Charity	Education/Env	5/24/2016	\$10,000
Exploratorium Pier 17, Suite 100, SF, CA 94111	Public Charity	Education/Env	5/24/2016	\$84,615
Katherine Delmar Burke School 7070 California St., SF, CA 94121	Public Charity	Education	5/31/2016	\$30,000
The Jordan Schnitzer Museum 1430 Johnson Lane, Eugene OR 94703	Public Charity	Education	6/1/2016	\$6,000
Katherine Delmar Burke School 7070 California St., SF, CA 94121	Public Charity	Education	6/2/2016	\$500,000
The MAVEN Project PO Box 156781, SF, CA 94115	Public Charity	Health/Welfare	6/3/2016	\$2,500
Pt Reyes National Seashore Assn 1 Bear Valley Rd., Bldg 70, Pt. Reyes Station, CA 94956	Public Charity	Environment	6/3/2016	\$2,500
Boca Raton Reg'l Hospital Foundation 745 Meadows Rd., Boca Raton, FL 33486	Public Charity	Health	6/14/2016	\$200,000
California Academy of Sciences 55 Music Concourse Dr., SF, CA 94118	Public Charity	Educa/Env	6/14/2016	\$625,000
Common Sense Media 650 Townsend, Suite 435, SF, CA 94103	Public Charity	Education	6/14/2016	\$450,000
Exploratorium Pier 17, Suite 100, SF, CA 94111	Public Charity	Educ/Env	6/14/2016	\$300,000
George Jackson Academy 104 St. Mark's Place, NY, NY 10009	Public Charity	Education	6/14/2016	\$20,000
Harvard University 124 Mt. Auburn St., 4th Fl, Cambridge, MA 02138	Public Charity	Education	6/14/2016	\$1,000,000

Name and Address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Harvard Business School Teele Hall 209, Boston, MA 02163	Public Charity	Education	6/14/2016	\$200,000
SFMOMA 151 Third St., SF, CA 94103	Public Charity	Arts	6/14/2016	\$200,000
Ten Strands 330 Ignacio, Ste 201, Novato, CA 94949	Public Charity	Education/Env	6/15/2016	\$50,000
Walnut Hill School for the Arts 12 Highland St., Natick, MA 01760-9835	Public Charity	The Arts	6/15/2016	\$50,000
Environmental Working Group 1436 U St., NW, Suite 100, Washington, DC 20009	Public Charity	Environment	6/28/2016	\$25,000
Education Outside 135 Van Ness, Ste 408, SF, CA 94102	Public Charity	Health/Welfare	7/11/2016	\$2,500
MVYouth PO Box 65, Chilmark, MA 02535	Public Charity	Educ/Health/ Welfare	7/11/2016	\$25,000
SF Ballet 455 Franklin St., SF, CA 94102	Public Charity	The Arts	7/11/2016	\$12,000
California Academy of Sciences 55 Music Concourse Dr , SF, CA 94118	Public Charity	Educ/Env	8/3/2016	\$2,500
Alzheimer's Association 31915 Rancho California Rd, Temecula, CA 92591	Public Charity	Health	9/13/2016	\$1,000
FORE-SITE Foundation Fort Mason Center, 2 Marina Blvd., Bldg C, SF, CA 94123	Public Charity	Health	9/13/2016	\$10,000
Little Kids Rock 271 Grove Ave., Bldg E-2, Verona, NJ 07044	Public Charity	Edu/Welfare	9/13/2016	\$5,000
Ronald McDonald House @ Stanford c/o Greenstein, 2882 Sand Hill Rd., Menlo Park, CA 94025	Public Charity	Welfare	9/13/2016	\$1,000
Boys & Girls Clubs of San Francisco 380 Fulton St., SF, CA 94102	Public Charity	Health/Welfare	10/25/2016	\$2,500
Marin Agricultural Land Trust	Public Charity	Environment	10/25/2016	\$5,000

Name and Address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
PO Box 809, Point Reyes Station, CA 94956				
PRWM Disaster Fund PO Box 308, Point Reyes Station, CA 94956	Public Charity	Environment	10/25/2016	\$1,000
Education Outside 135 Van Ness, Ste 408, SF, CA 94102	Public Charity	Health/Welfare	10/31/2016	\$5,000
Windermere Island Foundation 63 Kercheval Ave., Ste 301, Gross Point Farms, MI 48230	Public Charity	Ed/Env/H & W	11/2/2016	\$10,000
Walnut Hill School for the Arts 12 Highland St., Natick, MA 01760-9835	Public Charity	Arts	11/11/2016	\$15,000
BizWorld.org 1801 Harbor Bay Parkway, Suite 175, Alameda, CA 94502	Public Charity	Education	11/21/2016	\$5,000
Oxfam America 226 Causeway St , 5th Fl, Boston, MA 02114	Public Charity	Health/Welfare	12/1/2016	\$1,000
Rainforest Connection 77 Van Ness Ave., Ste 101-1717, SF, CA 94102	Public Charity	Environment	12/1/2016	\$10,000
Great Star Theater 375 Castro St., San Leandro, CA 94577	Public Charity	The Arts	12/6/2016	\$5,000
Conservation International 2011 Crystal Drive, Suite 500, Arlington, VA 22202	Public Charity	Environment	12/6/2016	\$50,000
Dawn Redwoods Charitable Trust Siole 2. 1 Blackfield Dr., Tiburon, CA 94920	Public Charity	Health/Welfare	12/6/2016	\$10,000
Gladstone Institutes 1650 Owens St., SF, CA 94158	Public Charity	Education	12/6/2016	\$10,000
Martha's Vineyard Hospital PO Box 1477, Oak Bluffs, MA 02557	Public Charity	Health/Welfare	12/6/2016	\$5,000
Planned Parenthood PO Box 97166, Washington DC 20090	Public Charity	Health/Welfare	12/6/2016	\$500
Beaumont Foundation 3711 West 13 Mile Rd., Royal Oak, MI 48073	Public Charity	Health/Welfare	12/6/2016	\$100,000

Name and Address	Foundation Status of Recipient	Purpose of grant or contribution	Date	Amount
Sarah Lawrence College 1 Mead Way, Bronxville, NY 10708	Public Charity	Health/Welfare	12/7/2016	\$500,000
Stanford University 475 Via Ortega, Suite 004, MC 4026, Stanford, CA 94305	Public Charity	Education	12/7/2016	\$50,000
KQED 2601 Mariposa St., SF, CA 94110	Public Charity	Education	12/7/2016	\$50,000
EWG 1436 U St., NW, Suite 100, Washington DC 20009	Public Charity	Environment	12/14/2016	\$1,000
KQED 2601 Mariposa St., SF, CA 94110	Public Charity	Education	12/14/2016	\$5,000
Native Plant Conservation Campaign PMB 151, 1459 18 St., SF, CA 94107	Public Charity	Environment	12/19/2016	\$10,000
2016 Total				\$5,304,210

THE CALDWELL-FISHER CHARITABLE FOUNDATION
REALIZED GAIN/LOSS REPORT
YEAR-ENDED DECEMBER 31, 2016

Stock	Purchase Date	Sale Date	Sale Proceeds	to column (b) on 990PF			to column (a) on 990PF		
				Cost Basis	Capital Gain/Loss	Cap Gain Book	Cost Basis	Cap Gain Book	Cap Gain Book
Long-Term									
605 shs Abbott Laboratories	10/01/14	06/01/16	23,896	24,911	(1,015)		24,911		(1,015)
435 shs Abbvie Inc Com	10/01/14	05/31/16	27,290	24,922	2,368		24,922		2,368
5900 shs Alpine Total Dynamic Dividend	10/01/14	06/01/16	44,184	50,282	(6,098)		50,282		(6,098)
180 shs Amgen Inc	10/01/14	06/13/16	27,393	24,945	2,448		24,945		2,448
8115 shs Blackstone Group LP	10/01/14	06/13/16	21,184	24,900	(3,716)		24,900		(3,716)
495 shs Bristol Myers Squibb Co	10/01/14	05/31/16	35,343	24,930	10,413		24,930		10,413
335 shs Cardinal Health Inc	10/01/14	05/31/16	26,373	24,986	1,387		24,986		1,387
1010 shs Chevron Corp	10/01/14	05/31/16	21,114	24,821	(3,707)		24,821		(3,707)
10000 shs Cisco Sys Inc	12/29/99	05/31/16	287,837	242,100	45,737		530,000		(242,163)
70000 shs Cisco Sys Inc	02/07/96	12/14/16	2,149,101	-	2,149,101		2,151,800		(2,699)
755 shs Conagra Foods Inc	10/01/14	05/31/16	34,344	24,987	9,357		24,987		9,357
5100 shs Gabelli Hlthcare & Wellness	10/01/14	05/31/16	53,255	50,302	2,953		50,302		2,953
4830 shs Gabelli Hlthcare & Wellness	11/12/14	05/31/16	50,436	50,536	(100)		50,536		(100)
545 shs Glaxosmithkline Plc Ads	10/01/14	05/31/16	23,031	25,080	(2,049)		25,080		(2,049)
29 shs Halcyard Health Inc	10/01/14	05/31/16	893	1,032	(139)		1,032		(139)
730 shs Intel Corp	10/01/14	06/01/16	23,049	24,984	(1,935)		24,984		(1,935)
415 shs JPMorgan Chase & Co	10/01/14	06/01/16	27,260	24,897	2,363		24,897		2,363
445 shs Kraft Heinz Co	10/01/14	05/31/16	36,849	24,955	11,894		24,955		11,894
8700 shs Liberty All Star Equity FD SBI	10/01/14	06/01/16	43,815	50,294	(6,479)		50,294		(6,479)
8400 shs Liberty All Star Equity FD SBI	11/12/14	06/01/16	42,304	50,130	(7,826)		50,130		(7,826)
400 shs Mectronic PLC Shs	01/27/15	06/01/16	32,177	30,780	1,397		30,780		1,397
475 shs Metlife Incorporated	10/01/14	06/01/16	21,458	24,890	(3,432)		24,890		(3,432)
7000 shs Mindbody, Inc Cl A	07/02/15	07/21/16	122,146	91,200	30,946		91,200		30,946
20,375 shs PJT Partners Inc Com Cl A	07/02/15	05/31/16	525	78	447		78		447
290 shs Prudential Financial Inc	10/01/14	05/31/16	22,838	24,965	(2,127)		24,965		(2,127)
2900 shs Royce Global Value Tr Inc Com	10/01/14	05/31/16	22,215	25,045	(2,830)		25,045		(2,830)
2850 shs Royce Global Value Tr Inc Com	10/01/14	05/31/16	21,832	24,603	(2,771)		24,603		(2,771)
5935 shs Royce Global Value Tr Inc Com	11/12/14	05/31/16	45,463	50,163	(4,700)		50,163		(4,700)
394 shs Targa Res Ptnrs LP Rep Uts	10/01/14	02/17/16	4,214	25,004	(20,790)		25,004		(20,790)
428 shs United Online Inc Com New	06/06/03	06/01/16	4,681	24,180	(19,499)		12,278		(7,597)
25000 shs Yahoo Inc	10/07/03	09/13/16	1,073,203	489,248	583,955		1,077,625		(4,422)

PTP Adjustment
 428 shs United Online Inc Com New
 10000 shs Cisco Sys Inc

1,512	(24,180)	1,512
	(242,100)	24,180
		242,100
Short-Term		
785 shs Abbott Laboratories	06/24/15	31,006
380 shs Abbvie Inc Com	06/24/15	23,839
180 shs Abbvie Inc Com	06/24/15	11,298
820 shs Abbvie Inc Com	11/20/15	51,468
480 shs American Express Co	06/24/15	31,290
690 shs American Express Co	11/20/15	44,980
20 shs Amgen Inc	06/24/15	3,044
305 shs Apple Inc	06/24/15	29,753
420 shs Apple Inc	11/20/15	40,972
2230 shs Bank of America Corp	06/24/15	30,501
2830 shs Bank of America Corp	11/20/15	38,708
945 shs Blackstone Group LP	06/24/15	24,563
1610 shs Blackstone Group LP	11/20/15	41,848
445 shs Cardinal Health Inc	06/24/15	35,033
570 shs Cardinal Health Inc	11/20/15	44,874
890 shs Conagra Foods Inc	06/24/15	40,485
1220 shs Conagra Foods Inc	11/20/15	55,496
2004 shs Corenrgy Infrastructure Tr Inc	06/24/15	52,476
470 shs Eli Lilly & Co	05/31/16	35,202
858 shs JPMorgan Chase & Co	06/01/16	38,426
705 shs JPMorgan Chase & Co	06/13/16	44,985
740 shs JPMorgan Chase & Co	11/20/15	47,219
415 shs Kimberly Clark Corp	11/20/15	52,708
845 shs Linear Technology Corporation	06/24/15	39,753
370 shs Medtronic Plc Shs	06/01/16	29,764
145 shs Medtronic Plc Shs	06/24/15	12,459
655 shs Medtronic Plc Shs	11/20/15	56,280
665 shs Merck & Co Inc New Com	06/13/16	37,446
925 shs Merck & Co Inc New Com	11/20/15	52,086
525 shs Metlife Incorporated	06/01/16	23,717
145 shs Metlife Incorporated	06/13/16	6,210
		8,382
		(2,172)
		8,382
		(2,172)
		3,227
		(183)
		39,034
		(8,028)
		26,527
		(2,688)
		12,565
		(1,267)
		1,370
		50,098
		(7,883)
		39,173
		(7,883)
		49,869
		(4,889)
		3,227
		(183)
		39,228
		(9,475)
		50,423
		(9,451)
		38,955
		(8,454)
		50,293
		(11,585)
		38,850
		(14,287)
		49,927
		(8,079)
		39,348
		(4,315)
		49,875
		(5,001)
		1,482
		39,003
		1,482
		50,471
		5,025
		2,424
		50,052
		2,424
		38,836
		(3,634)
		40,407
		(1,981)
		48,695
		(3,710)
		50,087
		(2,868)
		2,105
		50,603
		2,105
		821
		38,932
		821
		1,737
		28,027
		1,476
		10,983
		6,040
		50,240
		6,040
		39,210
		(1,764)
		50,416
		1,670
		50,416
		1,670
		30,349
		(6,632)
		8,382
		(2,172)
		39,034
		(8,028)
		26,527
		(2,688)
		12,565
		(1,267)
		1,370
		50,098
		(7,883)
		39,173
		(7,883)
		49,869
		(4,889)
		3,227
		(183)
		39,228
		(9,475)
		50,423
		(9,451)
		38,955
		(8,454)
		50,293
		(11,585)
		38,850
		(14,287)
		49,927
		(8,079)
		39,348
		(4,315)
		49,875
		(5,001)
		1,482
		39,003
		1,482
		50,471
		5,025
		2,424
		50,052
		2,424
		38,836
		(3,634)
		40,407
		(1,981)
		48,695
		(3,710)
		50,087
		(2,868)
		2,105
		50,603
		2,105
		821
		38,932
		821
		1,737
		28,027
		1,476
		10,983
		6,040
		50,240
		6,040
		39,210
		(1,764)
		50,416
		1,670
		50,416
		1,670
		30,349
		(6,632)
		8,382
		(2,172)

980 shs Metlife Incorporated	11/20/15	06/13/16	41,971	50,002	(8,031)	50,002	(8,031)
10310 shs Orexigen Therapeutics Inc	06/24/15	06/01/16	4,153	49,845	(45,692)	49,845	(45,692)
23,625 shs PJT Partners Inc Com Cl A	06/24/15	05/31/16	609	90	519	90	519
410 shs Prudential Financial Inc	06/24/15	05/31/16	32,289	37,206	(4,917)	37,206	(4,917)
20 shs Prudential Financial Inc	06/24/15	06/13/16	1,470	1,815	(345)	1,815	(345)
575 shs Prudential Financial Inc	11/20/15	06/13/16	42,275	49,897	(7,622)	49,897	(7,622)
1175 shs Southwest Airlines	05/31/16	06/13/16	49,676	50,185	(509)	50,185	(509)
525 shs St Jude Medical Inc	06/24/15	04/28/16	41,583	39,153	2,430	39,153	2,430
810 shs St Jude Medical Inc	11/20/15	04/28/16	64,157	50,565	13,592	50,565	13,592
.28 shs Targa Resources CP	02/17/16	02/17/16	8	5	3	5	3
244 shs Targa Resources CP	02/17/16	05/31/16	10,424	4,209	6,215	4,209	6,215
PTP Adjustment			6,793	6,793	-	6,793	-
TOTAL LONG-TERM		4,371,215	1,337,870	3,033,345	4,620,325	(249,110)	
TOTAL SHORT-TERM		1,403,297	1,535,057	(131,760)	-	1,535,057	(131,760)
TOTAL		5,774,512	2,872,927	2,901,585	6,155,382	(380,870)	

Caldwell-Fisher Charitable Foundation**EIN: 77-0527966****Corporate Stock Values****Tax Year 2016**

Stocks	Description	Beginning	End	End
		of Year	of Year	of Year
		Book Value	Book Value	FMV
10,000	SHS Cisco Sys Inc	530,000	-	-
4,500	SHS Baidu Inc Spon Adr Rptng	516,510	516,510	739,845
10,000	SHS Baidu Inc Spon Adr Rptng	1,944,300	1,944,300	1,644,100
428	SHS United Online Inc	12,278	-	-
70,000	SHS Cisco Sys Inc	-	-	-
25,000	SHS Yahoo	-	-	-
	All Other Stocks/PTPs/Exchange-Traded Funds	3,010,144	934,673	973,869
	Total Stock and Bonds	6,013,232	3,395,483	3,357,814

THE CALDWELL-FISHER CHARITABLE FOUNDATION
2016 TAX YEAR

FEIN: 77-0527966

CALCULATION FOR STATUS AS A CONDUIT PRIVATE FOUNDATION
INTERNAL REVENUE CODE SECTION 170(b)(1)(F)(ii)
INTERNAL REVENUE CODE SECTION 4942(h)(2)

A. 2016 QUALIFYING DISTRIBUTIONS REQUIREMENTS TO BE TREATED AS A CONDUIT FOUNDATION:

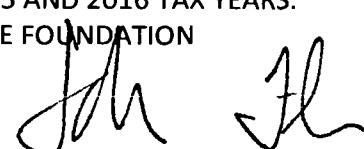
2015 UNDISTRIBUTED INCOME (Part XIII, Line 2a, Page 9, 2016 Form 990-PF)	\$0
2016 DISTRIBUTABLE AMOUNT (Part XIII, Line 1, Page 9, 2016 Form 990-PF)	\$252,057
2016 TOTAL CONTRIBUTIONS (Part I, Line 1(a), Page 1, 2016 Form 990-PF)	\$3,229,425
TOTAL AMOUNT TO BE DISTRIBUTED BY 3/15/2017 TO BE DEEMED A CONDUIT FOUNDATION	<u>\$3,481,482</u>

B. 2016 QUALIFYING DISTRIBUTIONS MADE

TOTAL QUALIFYING DISTRIBUTIONS FOR 2016 (Part XII, Line 4, Page 9, 2016 Form 990-PF)	\$5,339,210
TOTAL AMOUNT DISTRIBUTED BY 3/15/2017	<u>\$5,339,210</u>

THEREFORE, THE FOUNDATION MEETS THE REQUIREMENTS OF IRC §170(b)(1)(A)(vii), 170(b)(1)(F)(ii) AND 4942(h)(2) IN THAT QUALIFYING DISTRIBUTIONS OUT OF CORPUS WERE MADE WITHIN 2 MONTHS AND 15 DAYS FOLLOWING THE CLOSE OF THE 2016 TAX YEAR AND IN THAT THERE WAS NO REMAINING UNDISTRIBUTABLE INCOME FOR 2015 AND 2016 TAX YEARS. THUS, THE FOUNDATION IS A CONDUIT PRIVATE FOUNDATION AND THE FOUNDATION QUALIFIES AS A 50% CHARITY.

DATED: 11/10/17



JOHN FISHER
CEO
THE CALDWELL-FISHER
CHARITABLE FOUNDATION

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD	
VARIOUS SALES - SEE STATEMENT ATTACHED		PURCHASED		VARIOUS		VARIOUS	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS			
4,371,215.	4,620,325.	0.	0.				-249,110.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD	
VARIOUS SALES - SEE STATEMENT ATTACHED		PURCHASED		VARIOUS		VARIOUS	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS			
1,403,297.	1,535,057.	0.	0.				-131,760.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD	
THE BLACKSTONE GROUP - K-1		PURCHASED		VARIOUS		VARIOUS	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS			
0.	0.	0.	0.				721.

(A) DESCRIPTION OF PROPERTY			(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
	PURCHASED	VARIOUS				VARIOUS	VARIOUS	
THE BLACKSTONE GROUP - K-1								
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS							
0.	0.		0.	0.				-13.
CAPITAL GAINS DIVIDENDS FROM PART IV								0.
TOTAL TO FORM 990-PF, PART I, LINE 6A								-380,162.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME FROM K-1 - TARGA RESOURCES PARTNERS	9.	9.	
TOTAL TO PART I, LINE 3	9.	9.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SMITH BARNEY - DIVIDENDS	46,692.	0.	46,692.	45,917.	
TO PART I, LINE 4	46,692.	0.	46,692.	45,917.	

THE CALDWELL-FISHER CHARITABLE FOUNDATION

77-0527966

FORM 990-PF

OTHER INCOME

STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM K-1 - PTP DISPOSALS	1,355.	1,355.	
OTHER INCOME FROM K-1 - TARGA RESOURCE PARTNERS	-4,101.	-4,101.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-2,746.	-2,746.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	36,545.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	36,545.	0.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	165.	165.		0.
TO FORM 990-PF, PG 1, LN 18	165.	165.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	299.	0.		0.
STATE TAX	160.	0.		0.
PROGRAM RELATED EXPENSE	35,000.	0.		0.
TO FORM 990-PF, PG 1, LN 23	35,459.	0.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHED STATEMENT	3,395,483.	3,357,814.
UNREALIZED GAIN/LOSS	-37,669.	0.
PUBLICLY TRADED PARTNERSHIP ADJUSTMENTS	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,357,814.	3,357,814.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 9

NAME OF MANAGER

JENNIFER CALDWELL
JOHN FISHER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JOHN FISHER
2882 SAND HILL ROAD, STE 150
MENLO PARK, CA 94025

TELEPHONE NUMBER

650-233-9000

FORM AND CONTENT OF APPLICATIONS

APPLICATION SHOULD BE IN WRITING AND PURPOSE OF THE FUNDING SHOULD BE
STATED CLEARLY IN THE MATERIALS.

ANY SUBMISSION DEADLINES

NO DEADLINE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE