

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

## or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2016

Open to Public  
Inspection

For calendar year 2016, or tax year beginning 01-01-2016, and ending 12-31-2016

Name of foundation THE SUSMAN FOUNDATION (FORMERLY SUSMAN FAMILY FOUNDATION)		A Employer identification number 76-0569093	
Number and street (or P O box number if mail is not delivered to street address) 2001 KIRBY DRIVE 603		B Telephone number (see instructions) (713) 521-7888	
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77019		C If exemption application is pending, check here ► <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 5,420,957		<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	
<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	553	553		
	4 Dividends and interest from securities	103,048	103,048		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	150,391			
	b Gross sales price for all assets on line 6a	7,745,928			
	7 Capital gain net income (from Part IV, line 2)		150,391		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	695	695		
	<b>12 Total.</b> Add lines 1 through 11	254,687	254,687		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	16,130	16,130		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,175	2,095		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	28,489	28,489		0
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23	48,794	46,714		0
	<b>25 Contributions, gifts, grants paid</b>	2,835,570			2,835,570
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	2,884,364	46,714		2,835,570
	<b>27 Subtract line 26 from line 12</b>				
	a <b>Excess of revenue over expenses and disbursements</b>	-2,629,677			
	b <b>Net investment income</b> (if negative, enter -0-)		207,973		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash—non-interest-bearing		1,015,887	172,428	172,428
	2 Savings and temporary cash investments		361,209		
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)		983,325	145,330	142,800
	b Investments—corporate stock (attach schedule)		3,666,265		
	c Investments—corporate bonds (attach schedule)		0	5,098,359	5,097,247
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)		2,033,028		
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ► _____)		0	8,482	8,482
	16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		8,059,714	5,424,599	5,420,957
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ► _____)		5,438	0	0
	23 <b>Total liabilities</b> (add lines 17 through 22)		5,438	0	
	<b>Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.</b>				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	<b>Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.</b>				
	27 Capital stock, trust principal, or current funds		0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund		0	0	
	29 Retained earnings, accumulated income, endowment, or other funds		8,054,276	5,424,599	
	30 <b>Total net assets or fund balances</b> (see instructions)		8,054,276	5,424,599	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)		8,059,714	5,424,599	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,054,276
2	Enter amount from Part I, line 27a	2	-2,629,677
3	Other increases not included in line 2 (itemize) ►	3	0
4	Add lines 1, 2, and 3	4	5,424,599
5	Decreases not included in line 2 (itemize) ►	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,424,599

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	150,391	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

 Yes  No**1 Enter the appropriate amount in each column for each year, see instructions before making any entries**

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	838,450	8,188,712	0 102391
2014	1,383,638	10,181,399	0 135899
2013	1,208,137	10,855,710	0 111290
2012	655,215	6,135,691	0 106787
2011	916,056	7,035,960	0 130196
<b>2 Total</b> of line 1, column (d)			2 0 586563
<b>3 Average</b> distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 117313
<b>4 Enter</b> the net value of noncharitable-use assets for 2016 from Part X, line 5			4 6,470,624
<b>5 Multiply</b> line 4 by line 3			5 759,088
<b>6 Enter</b> 1% of net investment income (1% of Part I, line 27b)			6 2,080
<b>7 Add</b> lines 5 and 6			7 761,168
<b>8 Enter</b> qualifying distributions from Part XIII, line 4			8 2,835,570

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	1	2,080
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 2b	1	2,080
c	All other domestic foundations enter 2% of line 2b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	2,080
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	5	2,080
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	10,562
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	10,562
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	8,482
11	Enter the amount of line 10 to be <b>Credited to 2017 estimated tax</b> ►	11	0
		Refunded ►	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
(1)	On the foundation ► \$ _____ 0	(2)	On foundation managers ► \$ _____ 0	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	Yes	No
	<i>If "Yes," attach a detailed description of the activities</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"> <li>By language in the governing instrument, or</li> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	►TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►ELLEN SUSMAN	Telephone no	►(713) 521-7888

Located at ►2001 KIRBY DRIVE SUITE 702 HOUSTON TX

ZIP+4 ►77019

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year.	► 15	<input type="checkbox"/>
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16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
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See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.			1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?			1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions).			2b
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).			3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?			4b

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)**

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No  
*If "Yes," attach the statement required by Regulations section 53.4945–5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions).

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
STEPHEN SUSMAN 2001 KIRBY DRIVE SUITE 603 HOUSTON, TX 77019	VICE PRESIDENT 0 00	0	0	0
HARRY SUSMAN 2001 KIRBY DRIVE SUITE 603 HOUSTON, TX 77019	VP/SECRETARY 0 00	0	0	0
STACY KUHN 2001 KIRBY DRIVE SUITE 603 HOUSTON, TX 77019	VP/TREASURER 0 00	0	0	0
ELLEN SUSMAN 2001 KIRBY DRIVE SUITE 603 HOUSTON, TX 77019	PRESIDENT 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

2 Compensation of five highest paid employees (other than those included on line 1 - see instructions). If none, enter "NONE".				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred (d) compensation	Expense account, (e) other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000				

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ► 0

## **Part IX-A      Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Describe the two largest program-related investments made by the foundation during the year, see instructions		Amount
<b>1</b>		
<b>2</b>		
<b>All other program-related investments</b> See instructions		
<b>3</b>		
<b>Total.</b> Add lines 1 through 3		►

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	5,387,311
b	Average of monthly cash balances. . . . .	1b	1,181,850
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	6,569,161
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	6,569,161
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	98,537
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,470,624
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	323,531

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign

**Part XI** organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6. . . . .	1	323,531
2a	Tax on investment income for 2016 from Part VI, line 5. . . . .	2a	2,080
b	Income tax for 2016 (This does not include the tax from Part VI). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	2,080
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	321,451
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	321,451
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	321,451

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	2,835,570
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,835,570
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	2,080
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	2,833,490
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

**Part XIII Undistributed Income (see instructions)**

1 Distributable amount for 2016 from Part XI, line 7

2 Undistributed income, if any, as of the end of 2016

a Enter amount for 2015 only. . . . .

b Total for prior years 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3 Excess distributions carryover, if any, to 2016

a From 2011. . . . . 572,228

b From 2012. . . . . 355,859

c From 2013. . . . . 673,406

d From 2014. . . . . 885,222

e From 2015. . . . . 439,274

f Total of lines 3a through e. . . . .

4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 2,835,570

a Applied to 2015, but not more than line 2a

b Applied to undistributed income of prior years (Election required—see instructions). . . . .

c Treated as distributions out of corpus (Election required—see instructions). . . . .

d Applied to 2016 distributable amount. . . . .

e Remaining amount distributed out of corpus

5 Excess distributions carryover applied to 2016  
(If an amount appears in column (d), the same amount must be shown in column (a) )

6 Enter the net total of each column as indicated below:

a Corpus Add lines 3f, 4c, and 4e Subtract line 5

b Prior years' undistributed income Subtract line 4b from line 2b . . . . .

c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .

d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .

e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .

f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .

7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .

8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .

9 **Excess distributions carryover to 2017.**  
Subtract lines 7 and 8 from line 6a . . . . .

10 Analysis of line 9

a Excess from 2012. . . . . 355,859

b Excess from 2013. . . . . 673,406

c Excess from 2014. . . . . 885,222

d Excess from 2015. . . . . 439,274

e Excess from 2016. . . . . 2,514,119

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				321,451
2 Undistributed income, if any, as of the end of 2016			0	
a Enter amount for 2015 only. . . . .			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011. . . . . 572,228				
b From 2012. . . . . 355,859				
c From 2013. . . . . 673,406				
d From 2014. . . . . 885,222				
e From 2015. . . . . 439,274				
f Total of lines 3a through e. . . . .	2,925,989			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 2,835,570			0	
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2016 distributable amount. . . . .				321,451
e Remaining amount distributed out of corpus	2,514,119			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,440,108			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	572,228			
9 <b>Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	4,867,880			
10 Analysis of line 9				
a Excess from 2012. . . . . 355,859				
b Excess from 2013. . . . . 673,406				
c Excess from 2014. . . . . 885,222				
d Excess from 2015. . . . . 439,274				
e Excess from 2016. . . . . 2,514,119				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			► 3a	2,835,570
<i>b Approved for future payment</i>				
<b>Total</b> . . . . .			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income	Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions)	
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments.	.. . . .				
<b>3</b> Interest on savings and temporary cash investments	.. . . . .			14	553
<b>4</b> Dividends and interest from securities.	.. . . .			14	103,048
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property.	.. . . .				
b Not debt-financed property.	.. . . .				
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income.	.. . . .			14	695
<b>8</b> Gain or (loss) from sales of assets other than inventory	.. . . . .			14	150,391
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue a	..				
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e).	..		0		254,687
<b>13</b> Total. Add line 12, columns (b), (d), and (e).	.. . . . .				254,687

(See worksheet in line 13 instructions to verify calculations )



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
GOLDMAN SACHS (58414) PUBLICLY TRADED SECURITIES	P		
GOLDMAN SACHS (58414) PUBLICLY TRADED SECURITIES	P		
GOLDMAN SACHS (58414) PUBLICLY TRADED SECURITIES	P		
HAWKINS INVESTMENT PARTNERSHIP K-1	P		
HAWKINS INVESTMENT PARTNERSHIP K-1	P		
NORTHERN TRUST PUBLICLY TRADED SECURITIES	P		
NORTHERN TRUST PUBLICLY TRADED SECURITIES	P		
JPM (54001) PUBLICLY TRADED SECURITIES	P		
JPM (54001) PUBLICLY TRADED SECURITIES	P		
JPM (55008) PUBLICLY TRADED SECURITIES	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
131,984		137,885	-5,901
322,683		316,628	6,055
101,392		39,374	62,018
		1,124	-1,124
		6,221	-6,221
1,130,674		1,278,696	-148,022
670,113		508,363	161,750
384,231		327,693	56,538
187,545		196,780	-9,235
358,632		398,638	-40,006

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5,901
			6,055
			62,018
			-1,124
			-6,221
			-148,022
			161,750
			56,538
			-9,235
			-40,006

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
JPM (55008) PUBLICLY TRADED SECURITIES	P		
JPM (57004) PUBLICLY TRADED SECURITIES	P		
JPM (57004) PUBLICLY TRADED SECURITIES	P		
JPM (42007) PUBLICLY TRADED SECURITIES	P		
JPM (42007) PUBLICLY TRADED SECURITIES	P		
CITI (50768) PUBLICLY TRADED SECURITIES	P		
CITI (50768) PUBLICLY TRADED SECURITIES	P		
CITI (159422) PUBLICLY TRADED SECURITIES	P		
GOLDMAN SACHS (58414) PUBLICLY TRADED SECURITIES	P		
CITI (60768) PUBLICLY TRADED SECURITIES	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
117,466		129,699	-12,233
351,445		375,288	-23,843
126,142		135,810	-9,668
502,402		563,755	-61,353
21,992		23,384	-1,392
16,418		17,221	-803
1,474,387		1,290,230	184,157
1,160,764		1,051,612	109,152
2,974		3,174	-200
		1,730	-1,730

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-12,233
			-23,843
			-9,668
			-61,353
			-1,392
			-803
			184,157
			109,152
			-200
			-1,730

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
GOLDMAN SACHS (43069) PUBLICLY TRADED SECURITIES	P		
GOLDMAN SACHS (43069) PUBLICLY TRADED SECURITIES	P		
HAWKINS INVESTMENT PARTNERSHIP K-1	P		
HAWKINS INVESTMENT PARTNERSHIP K-1	P		
CAPITAL GAINS DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
470,277		476,065	-5,788
214,358		291,961	-77,603
		14,524	-14,524
		9,682	-9,682
49			49

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5,788
			-77,603
			-14,524
			-9,682
			49

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

STEPHEN SUSMAN

ELLEN SUSMAN

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ANIMAL MEDICAL CENTER 510 EAST 62ND STREET NEW YORK, NY 10065	NONE	PC	GENERAL FUND IN HONOR OF JACK	500
ASPEN MUSIC FESTIVAL & SCHOOL 225 MUSIC SCHOOL ROAD ASPEN, CO 71611	NONE	PC	TO SUPPORT CLASSICAL MUSIC FESTIVALS	1,500
BARC ANIMAL SHELTER & ADOPTIONS 3200 CARR ST HOUSTON, TX 77026	NONE	PC	SPAY & NEUTER PROGRAM	2,500
BOY SCOUTS OF AMERICA 2225 N LOOP W FWY HOUSTON, TX 77008	NONE	PC	TO PROVIDE A PROGRAM FOR YOUNG PEOPLE THAT BUILDS CHARACTER AND TRAINS THEM IN THE RESPONSIBILITIES OF PARTICIPATING CITIZENSHIP	1,000
GIRL SCOUTS OF SAN JACINTO 3110 SOUTHWEST FREEWAY HOUSTON, TX 77098	NONE	PC	HONORING Y PING SUN	1,000
<b>Total . . . . .</b>			►	2,835,570
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
K9S4COPS 1210 WEST CLAY STREET SUITE 9 HOUSTON, TX 77019	NONE	PC	TO ADDRESS THE NEED FOR FUNDING THE PURCHASE OF K9S FOR LAW ENFORCEMENT AGENCIES	5,000
NEW YORK UNIVERSITY 70 WASHINGTON SQ SOUTH NEW YORK, NY 10012	NONE	PC	PLEDGE FOR CIVIL JURY PROJECT	500,000
SEVEN ACRES JEWISH SENIOR CARE SERVICES 6200 N BRAESWOOD BLVD HOUSTON, TX 77074	NONE	PC	IN HONOR OF RICHARD LEIBMAN	500
SUPREME COURT HISTORICAL SOCIETY 224 E CAPITAL ST NE WASHINGTON, DC 20003	NONE	PC	ANNUAL FUND COMMITMENT	5,000
THE FRESH AIR FUND 633 THIRD AVENUE 14TH FLOOR NEW YORK, NY 10017	NONE	PC	TO PROVIDE NEW YORK CITY CHILDREN WITH UNFORGETTABLE SUMMER EXPERIENCES THAT UNLOCK THEIR LIMITLESS POTENTIAL	1,000
<b>Total . . . . .</b>			►	2,835,570
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
UNITED WAY OF GREATER HOUSTON 50 WAUGH DRIVE HOUSTON, TX 77007	NONE	PC	ALEXIS DE TOCQUEVILLE SOCIETY	25,000
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER 7000 FANNIN ST HOUSTON, TX 77030	NONE	PC	TO SUPPORT RESEARCH AND HEALTHCARE PROGRAM DEVELOPMENT	25,000
UNIVERSITY OF TEXAS LAW SCHOOL FOUNDATION 727 E DEAN KEETON ST AUSTIN, TX 78705	NONE	PC	TO SUPPORT THE UNIVERSITY OF TEXAS SCHOOL OF LAW	2,000,000
WHITNEY MUSEUM OF AMERICAN ART 99 GANSEVOORT ST NEW YORK, NY 10014	NONE	PC	TO SUPPORT THE PRESENTATION AND PRESERVATION OF INTERNATIONAL, NATIONAL, AND REGIONAL ART	200,000
AJC HOUSTON 3355 W ALABAMA ST HOUSTON, TX 77098	NONE	PC	TO CONNECT OUR COMMUNITY WITH AJC'S GLOBAL ADVOCACY WORK TO ENHANCE THE WELL-BEING OF THE JEWISH PEOPLE AND ISRAEL	500
<b>Total . . . . .</b>			►	2,835,570
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
AMERICAN FRIENDS OF HERBREW UNIVERSITY 1 BATTERY PL NEW YORK, NY 10004	NONE	PC	TO CONNECT THE PASSIONS OF AMERICANS TO THE TALENT AT THE HEBREW UNIVERSITY OF JERUSALEM	1,500
AMERICAN HORSE ADOPTION 6 MEADOW CLIFF LANE HARDWICK, NJ 07825	NONE	PC	TO HELP RESCUE AND ADOPT HORSES	500
ANTI-DEFAMATION LEAGUE 4635 SOUTHWEST FWY HOUSTON, TX 77027	NONE	PC	TO HELP WITH STOPPING ANTI-SEMITISM AND DEFENDING THE JEWISH PEOPLE ALONG WITH FIGHTING THREATS TO OUR DEMOCRACY	12,350
ASPCA 424 E 92ND ST NEW YORK, NY 10128	NONE	PC	TO PROMOTE COMMITMENT TO AND RESPECT FOR ALL ANIMALS AND FREE THEM FROM SUFFERING, ABUSE AND EXPLOITATION	1,000
CENTRAL SYNAGOGUE 652 LEXINGTON AVE NEW YORK, NY 10022	NONE	PC	TO HELP SUPPORT ONE OF THE LARGEST JEWISH CONGREGATIONS IN NORTH AMERICA	500
<b>Total . . . . .</b>			►	2,835,570
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
CHILDRENS AID SOCIETY 711 THIRD AVE NEW YORK, NY 10017	NONE	PC	TO ENSURE THAT THERE ARE NO BOUNDARIES TO YOUNG PEOPLE'S ASPIRATIONS, NO LIMITS TO THEIR POTENTIAL	5,720
CORRIDOR RESCUE PO BOX 11936 SPRING, TX 77391	NONE	PC	TO HELP RESCUED ANIMALS	1,200
EAT (END ALLERGIES TOGETHER) PO BOX 786 FAIRFIELD, CT 06824	NONE	PC	TO FUND RESEARCH TO FIND A WAY TO END FOOD ALLERGIES	500
HOUSTON AREA WOMENS CENTER 1010 WAUGH DR HOUSTON, TX 77019	NONE	PC	TO HELP INDIVIDUALS AFFECTED BY DOMESTIC AND SEXUAL VIOLENCE TO MOVE THEIR LIVES FORWARD	1,000
HOUSTON PETSET 4265 SAN FELIPE ST SUITE 1100 HOUSTON, TX 77027	NONE	PC	TO PROVIDE GRANTS TO HOUSTON-AREA ANIMAL NON-PROFITS	500
<b>Total . . . . . ►</b>				2,835,570
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
KINKAID SCHOOL 201 KINKAID SCHOOL DR HOUSTON, TX 77024	NONE	PC	TO SUPPORT THE KINKAID SCHOOL	100
JAZZ AT LINCOLN CENTER FALL GALA 10 COLUMBUS CIR NEW YORK, NY 10023	NONE	PC	TO SUPPORT ANNUAL GALA	250
JEWISH FAMILY SERVICE 4131 S BRAESWOOD BLVD HOUSTON, TX 77025	NONE	PC	TO PROVIDE LIGHT, HOPE AND HELP TO INDIVIDUALS STRUGGLING WITH LIFE CHALLENGES	500
MUSEUM OF MODERN ART-NY 11 W 53RD ST NEW YORK, NY 10019	NONE	PC	TO SUPPORT THE PRESENTATION AND PRESERVATION OF MODERN ART	25,000
ROSEMARY PENCIL FOUNDATION 48 KENT STREET BROOKLINE, MA 02445	NONE	PC	TO PROVIDE EDUCATIONAL SCHOLARSHIPS TO CHILDREN IN RURAL MALAWI, ZIMBABWE AND ZAMBIA	100
<b>Total . . . . .</b>			►	2,835,570
<b>3a</b>				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
TEXAS CHILDRENS HOSPITAL 6621 FANNIN ST HOUSTON, TX 77030	NONE	PC	TO CREATE A HEALTHIER FUTURE FOR CHILDREN AND WOMEN THROUGHOUT OUR GLOBAL COMMUNITY BY LEADING IN PATIENT CARE, EDUCATION AND RESEARCH	100
WATERMILL CENTER FOR ATRIST INSTALLATION CAMPAIGN 39 WATERMILL TOWD ROAD WATER MILL, NY 11976	NONE	PC	TO HELP FUND A PLACE FOR YOUNG AND EMERGING ARTISTS TO WORK, LEARN, CREATE, AND GROW WITH EACH OTHER	15,000
WINDWALKERS 1030 COUNTY ROAD 102 CARBONDALE, CO 81623	NONE	PC	TO PROVIDE A VARIETY OF EQUINE ASSISTED THERAPIES TO AID IN THE DEVELOPMENT AND GROWTH OF THOSE WITH CHALLENGES	250
MEMORIAL HERMANN FOUNDATION 929 GESSNER DRIVE SUITE 2600 HOUSTON, TX 77024	NONE	PC	TO HELP CREATE A TRULY INTEGRATED HEALTH SYSTEM	1,000
<b>Total . . . . .</b>			►	2,835,570
<b>3a</b>				

## TY 2016 Accounting Fees Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFFESIONAL FEES	16,130	16,130		0

## TY 2016 Investments Corporate Bonds Schedule

**Name:** THE SUSMAN FOUNDATION

(FORMERLY SUSMAN FAMILY FOUNDATION)

**EIN:** 76-0569093

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CITI (760768)	5,098,359	5,097,247

## TY 2016 Investments Government Obligations Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

**US Government Securities - End  
of Year Book Value:** 0

**US Government Securities - End  
of Year Fair Market Value:** 0

**State & Local Government  
Securities - End of Year Book  
Value:** 145,330

**State & Local Government  
Securities - End of Year Fair  
Market Value:** 142,800

## TY 2016 Other Assets Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

### Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID FEDERAL TAXES		8,482	8,482

## TY 2016 Other Expenses Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

## Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	27,903	27,903		0
HAWKINS INVESTMENT PARTNERSHIP OTHER DEDUCTIONS	561	561		0
STATE ADMIN FEES	25	25		0

## TY 2016 Other Income Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

### Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GOLDMAN SACHS (58414)	111	111	111
NORTHERN TRUST	146	146	146
CITI (60768)	109	109	109
HAWKINS INVESTMENT PARTNERSHIP	18	18	18
HAWKINS INVESTMENT PARTNERSHIP	-3	-3	-3
HAWKINS INVESTMENT PARTNERSHIP	78	78	78
HAWKINS INVESTMENT PARTNERSHIP	236	236	236

## TY 2016 Other Liabilities Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

Description	Beginning of Year - Book Value	End of Year - Book Value
FEDERAL TAXES PAYABLE	5,438	0

# TY 2016 Substantial Contributors

## Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

Name	Address
STEPHEN SUSMAN	2001 KIRBY DRIVE SUITE 603 HOUSTON, TX 77019
ELLEN SUSMAN	2001 KIRBY DRIVE SUITE 603 HOUSTON, TX 77019

## TY 2016 Taxes Schedule

**Name:** THE SUSMAN FOUNDATION  
(FORMERLY SUSMAN FAMILY FOUNDATION)  
**EIN:** 76-0569093

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HAWKINS INVESTMENT PARTNERSHIP FOREIGN TAX	227	227		0
CITIBANK FOREIGN TAXES	1,868	1,868		0
EXCISE TAX	2,080	0		0