



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation <b>THE WEST ENDOWMENT</b>		A Employer identification number <b>76-0481204</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 491</b>	Room/suite	B Telephone number <b>7139619699</b>
City or town, state or province, country, and ZIP or foreign postal code <b>HOUSTON, TX 77001</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>11,725,359.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		493,822.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		5,200.	5,200.		STATEMENT 1
4 Dividends and interest from securities		133,873.	133,873.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		24,410.			
b Gross sales price for all assets on line 6a		862,791.			
7 Capital gain net income (from Part IV, line 2)			24,410.		
8 Net short-term capital gain				N/A	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less cost of goods sold					
c Gross profit or (loss)					
11 Other income		66,848.	68,763.	0.	STATEMENT 3
12 Total. Add lines 1 through 11		724,153.	232,246.	0.	
13 Compensation for officers, directors, trustees, etc.		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		4,887.	2,443.	0.	2,444.
c Other professional fees STMT 5		30,859.	30,859.	0.	0.
17 Interest					
18 Taxes STMT 6		9,286.	7,374.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		663.	374.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		45,695.	41,050.	0.	2,444.
25 Contributions, gifts, grants paid		576,000.			576,000.
26 Total expenses and disbursements. Add lines 24 and 25		621,695.	41,050.	0.	578,444.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		102,458.			
b Net investment income (if negative, enter -0-)			191,196.		
c Adjusted net income (if negative, enter -0-)				0.	

623501 11 23-16 LHA For Paperwork Reduction Act Notice, see instructions.

1

Form 990-PF (2016)

10470504 147931 5823-086

2016.03030 THE WEST ENDOWMENT

5823-011

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		6,528,711.	5,739,357.	5,739,357.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		1,821.	159.	159.
	10a	Investments - U.S. and state government obligations STMT 10		999,456.	998,761.	1,000,000.
	b	Investments - corporate stock STMT 11		2,680,582.	2,988,906.	3,665,161.
	c	Investments - corporate bonds STMT 12		566,206.	961,571.	973,392.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 13		0.	1,470.	1,470.	
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ STATEMENT 14)		1,589.	1,589.	345,820.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		10,778,365.	10,691,813.	11,725,359.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	<b>Total liabilities</b> (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>				
		<b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>				
		<b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds		7,183,133.	7,512,355.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		3,595,232.	3,179,458.	
30	<b>Total net assets or fund balances</b>		10,778,365.	10,691,813.		
31	<b>Total liabilities and net assets/fund balances</b>		10,778,365.	10,691,813.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,778,365.
2	Enter amount from Part I, line 27a	2	102,458.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,880,823.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	189,010.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,691,813.

Form 990-PF (2016)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> SEE ATTACHED STATEMENT			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 862,791.		838,381.	24,410.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			24,410.

  

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	24,410.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	4,104.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	620,807.	11,613,374.	.053456
2014	648,682.	12,539,351.	.051732
2013	668,322.	13,126,995.	.050912
2012	677,889.	13,447,559.	.050410
2011	671,661.	13,862,285.	.048452

<b>2</b> Total of line 1, column (d)	<b>2</b>	.254962
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.050992
<b>4</b> Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	<b>4</b>	11,196,407.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	570,927.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,912.
<b>7</b> Add lines 5 and 6	<b>7</b>	572,839.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	578,444.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,912.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,912.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	1,912.
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	2,071.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,071.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	159.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 159. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

STMT 15

Form 990-PF (2016)

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► THE WEST ENDOWMENT Telephone no. ► 713-961-9699 Located at ► 1330 POST OAK BLVD, SUITE 2850, HOUSTON, TX ZIP+4 ► 77056		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Form 990-PF (2016)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2016)





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,678,808.
b	Average of monthly cash balances	1b	6,339,933.
c	Fair market value of all other assets	1c	348,170.
d	<b>Total</b> (add lines 1a, b, and c)	1d	11,366,911.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,366,911.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	170,504.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,196,407.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	559,820.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	559,820.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,912.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,912.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	557,908.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	557,908.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	557,908.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	578,444.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	578,444.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,912.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	576,532.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2016)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				557,908.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			575,602.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 578,444.				
a Applied to 2015, but not more than line 2a			575,602.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				2,842.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				555,066.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				



**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEE ATTACHMENT				576,000.
<b>Total</b>			<b>▶ 3a</b>	576,000.
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			<b>▶ 3b</b>	0.

Form 990-PF (2016)

**Part XVI-A**      **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	5,200.	
4 Dividends and interest from securities				14	133,873.	
5 Net rental income or (loss) from real estate:						
a	Debt-financed property			16		
b	Not debt-financed property					
6 Net rental income or (loss) from personal property						
7 Other investment income				15	66,848.	
8 Gain or (loss) from sales of assets other than inventory				18	24,410.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a						
b						
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)			0.		230,331.	0.
13 Total Add line 12, columns (b), (d), and (e)						
(See worksheet in line 13 instructions to verify calculations )						

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |  | Yes   | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
|   | a Transfers from the reporting foundation to a noncharitable exempt organization of  |       |    |
|   | (1) Cash   | 1a(1) | X  |
|   | (2) Other assets   | 1a(2) | X  |
|   | b Other transactions:  |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|   | (4) Reimbursement arrangements   | 1b(4) | X  |
|   | (5) Loans or loan guarantees   | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
|   | c Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
|   | d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	Signature of officer or trustee <i>William West Lloyd</i>		Date <i>05/08/2017</i>	Title <b>PRESIDENT</b>			
Paid Preparer Use Only	Print/Type preparer's name <b>TERRI T. SIEGEL</b>		Preparer's signature <i>Terri T. Siegel</i>		Date <b>05/04/17</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00269688</b>
	Firm's name <b>▶ RSM US LLP</b>					Firm's EIN <b>▶ 42-0714325</b>	
	Firm's address <b>▶ 1330 POST OAK BLVD, SUITE 2400 HOUSTON, TX 77056</b>					Phone no. <b>713.625.3500</b>	

Form **990-PF** (2016)

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016**

Name of the organization

THE WEST ENDOWMENT

Employer identification number

76-0481204

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization	Employer identification number
THE WEST ENDOWMENT	76-0481204

**Part I Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALTRON CORPORATION P.O. BOX 491 HOUSTON, TX 77001	\$ 493,822.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)



Name of organization <b>THE WEST ENDOWMENT</b>	Employer identification number <b>76-0481204</b>
---	---

**Part II Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	<u>SEE ATTACHMENT</u> _____ _____ _____	\$ <u>493,822.</u>	<u>12/07/16</u>
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization	Employer identification number
THE WEST ENDOWMENT	76-0481204

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TOTAL SHORT TERM - SEE STATEMENT D-1		VARIOUS	12/31/16
b	CAPITAL GAIN DISTRIBUTION		VARIOUS	12/31/16
c	TOTAL LONG TERM - SEE STATEMENT D-1		VARIOUS	12/31/16
d	MAGELLAN MIDSTREAM PARTNERS		VARIOUS	12/31/16
e	ALLIANCE BERNSTEIN HOLDING LP		VARIOUS	12/31/16
f	MAGELLAN MIDSTREAM PARTNERS		VARIOUS	12/31/16
g	ALLIANCE BERNSTEIN HOLDING LP		VARIOUS	12/31/16
h	NGL ENERGY PARTNERS		VARIOUS	12/31/16
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 186,126.		182,013.	4,113.
b 1,706.			1,706.
c 669,548.		656,368.	13,180.
d -1.			-1.
e -8.			-8.
f 44.			44.
g 59.			59.
h 5,317.			5,317.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 4,113.
b			1,706.
c			13,180.
d			** -1.
e			** -8.
f			44.
g			59.
h			5,317.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	24,410.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	4,104.

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FIDELITY INVESTMENT	32.	32.	0.
JP MORGAN CHASE	1,453.	1,453.	0.
NORTHERN TRUST BANK	560.	560.	0.
RBC DAIN RAUSCHER	27.	27.	0.
TD AMERITRADE INC	57.	57.	0.
TREASURY DIRECT	3,071.	3,071.	0.
TOTAL TO PART I, LINE 3	5,200.	5,200.	0.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
VARIOUS 1099S	133,873.	0.	133,873.	133,873.	0.
TO PART I, LINE 4	133,873.	0.	133,873.	133,873.	0.

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OIL & GAS ROYALTIES	68,718.	68,718.	0.
PARTNERSHIP ORDINARY LOSS - UBTI	-14,236.	0.	0.
PSHIP K-1 SEC 1231 LOSS NON-UBIT	-373.	-373.	0.
PSHIP K-1 PORTFOLIO INCOME	418.	418.	0.
OTHER GAINS & LOSSES UBTI	12,321.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	66,848.	68,763.	0.

---



---

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
-------------	-----------------	-----------	---

---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,887.	2,443.	0.	2,444.
TO FORM 990-PF, PG 1, LN 16B	4,887.	2,443.	0.	2,444.

---



---



---



---

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
-------------	-------------------------	-----------	---

---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OIL & GAS CONSULTANT	5,252.	5,252.	0.	0.
AD VALOREM CONSULTANT	240.	240.	0.	0.
INVESTMENT ADVISOR	25,367.	25,367.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	30,859.	30,859.	0.	0.

---



---



---



---

FORM 990-PF	TAXES	STATEMENT	6
-------------	-------	-----------	---

---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PRODUCTION TAXES -				
ROYALTIES	3,178.	3,178.	0.	0.
AD VALOREM TAXES	3,625.	3,625.	0.	0.
FOREIGN TAXES	571.	571.	0.	0.
EXCISE TAX	1,912.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	9,286.	7,374.	0.	0.

---



---

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER DEDUCTIONS - ROYALTIES	25.	25.	0.	0.	
ADMINISTRATIVE EXPENSE	210.	210.	0.	0.	
PARTNERSHIP INVESTMENT EXP	139.	139.	0.	0.	
PARTNERSHIP NON-DED EXP	289.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 23	663.	374.	0.	0.	

FOOTNOTES	STATEMENT	8
-----------	-----------	---

## SUPPLEMENT TO PART VII-B, QUESTION 1A (3)

THE TAXPAYER RECEIVES ADMINISTRATIVE SERVICES AND THE USE OF FACILITIES FREE OF CHARGE FROM DOMINION CORPORATION, WHICH IS OWNED 100% BY CERTAIN FOUNDATION MANAGERS. SUCH SERVICES ARE IN THE FORM OF OFFICE SPACE, SUPPLIES AND OFFICE PERSONNEL TO PERFORM ADMINISTRATIVE AND ACCOUNTING DUTIES. THE USE OF THE SERVICE AND FACILITIES IS NOT SELF DEALING ACTIVITY SINCE THE TAXPAYER IS USING THESE ITEMS EXCLUSIVELY FOR TAX EXEMPT PURPOSES AND THEY ARE BEING FURNISHED WITHOUT CHARGE.

(IRC SECTION 4941 AND REGULATION 53.4941(D)-3)

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
-------------	--	-----------	---

DESCRIPTION	AMOUNT
UNREALIZED APPRECIATION ON CONTRIBUTIONS TO FOUNDATION	189,010.
TOTAL TO FORM 990-PF, PART III, LINE 5	189,010.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	10
-------------	--	-----------	----

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY BILL	X		998,761.	1,000,000.
TOTAL U.S. GOVERNMENT OBLIGATIONS			998,761.	1,000,000.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			998,761.	1,000,000.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CANADIAN OIL SANDS	0.	0.
EXXONMOBIL CORPORATION	187,482.	361,040.
ANNALY CAP MGMT	333,842.	199,400.
AT&T, INC	183,879.	267,939.
JOHNSON & JOHNSON	32,270.	92,168.
ONE GAS INC COM	2,822.	27,183.
ONEOK INC	19,444.	97,597.
PHILLIPS 66 COM	11,072.	33,441.
SUNCOR ENERGY COM NPV NEW	124,454.	141,875.
TD AMERITRADE ACCT (SEE ATTCH)	2,093,641.	2,444,518.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,988,906.	3,665,161.

---



---

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
-------------	-----------------	--------------

---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
TD AMERITRADE ACCT	763,076.	760,364.
FIDELITY NOTES	198,495.	213,028.
TOTAL TO FORM 990-PF, PART II, LINE 10C	961,571.	973,392.

---



---

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
-------------	-------------------	--------------

---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCOUNTS RECEIVABLE	COST	1,470.	1,470.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,470.	1,470.

---



---

FORM 990-PF	OTHER ASSETS	STATEMENT 14
-------------	--------------	--------------

---

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
OIL AND GAS ROYALTY PROPERTIES	1,589.	1,589.	345,820.
TO FORM 990-PF, PART II, LINE 15	1,589.	1,589.	345,820.



FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 15
-------------	---	--------------

NAME OF CONTRIBUTOR	ADDRESS
ALTRON CORPORATION	P.O. BOX 491 HOUSTON, TX 77001

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 16
-------------	---	--------------

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
W.R. LLOYD, JR. P.O. BOX 491 HOUSTON, TX 77001	CHAIRMAN EMERITUS/DIRECTOR 1.50	0.	0.	0.
MARGENE WEST LLOYD P.O. BOX 491 HOUSTON, TX 77001	VP/ASST SECRETARY/DIRECTOR 2.00	0.	0.	0.
BARBARA KEYES P.O. BOX 491 HOUSTON, TX 77001	TREASURER 2.50	0.	0.	0.
WILLIAM WEST LLOYD P.O. BOX 491 HOUSTON, TX 77001	PRESIDENT/DIRECTOR 2.00	0.	0.	0.
RONALD S. WEBSTER P.O. BOX 491 HOUSTON, TX 77001	SECRETARY/ASST. TREAS/DIRE 0.58	0.	0.	0.
ROGER A. SOAPE P.O. BOX 491 HOUSTON, TX 77001	VP/DIRECTOR 0.35	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 17

NAME OF MANAGERW.R. LLOYD, JR.  
MARGENE WEST LLOYD

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 18

---

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

---

COORDINATOR OF GRANTS  
P.O. BOX 491  
HOUSTON, TX 77001

---

FORM AND CONTENT OF APPLICATIONS

---

LETTER FORM SPECIFYING PURPOSE OF APPLICATION AND AMOUNT OF CONTRIBUTION REQUESTED.

---

ANY SUBMISSION DEADLINES

---

NONE

---

RESTRICTIONS AND LIMITATIONS ON AWARDS

---

THE WEST ENDOWMENT WAS ORGANIZED FOR THE PURPOSE OF MAKING CHARITABLE CONTRIBUTIONS TO RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY, AND/OR EDUCATIONAL ORGANIZATIONS WITHIN THE MEANING OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986. THE WEST ENDOWMENT DOES NOT MAKE GRANTS TO INDIVIDUALS. IN CONSIDERING REQUESTS FOR GRANTS, THE ENDOWMENT PLACES PRIORITY ON ORGANIZATIONS AND PROGRAMS SERVING HARRIS COUNTY AND THE STATE OF TEXAS.

---

# ATTACHMENT

**ALTRON CORPORATION**  
**CONTRIBUTION of STOCKS (5) and CORPORATE NOTES (2)**  
**to THE WEST FOUNDATION (a private foundation)**  
**Effective Date of Gift: DECEMBER 7, 2016**

Date	Open*	High*	Low*	Close*	AVG Hi/Lo	# of Shares	Stock Value (Avg Hi/Lo x # of Shares)	Cost Basis		
12/7/2016	39.49	40.49	39.44	40.45	39.965	1,300	51,954.50	40,709.66	T	AT&T
12/7/2016	85.08	87.10	84.94	87.01	86.020	387	33,289.74	11,071.50	PSX	Phillips 66
12/7/2016	56.11	57.09	55.21	57.09	56.150	1,700	95,455.00	19,444.00	OKE	ONEOK Inc
12/7/2016	60.96	62.15	60.88	61.95	61.515	425	26,143.88	2,822.44	OGS	One Gas Inc
12/7/2016	111.89	111.89	109.32	111.10	110.605	800	88,484.00	32,270.05	JNJ	Johnson & Johnson
							295,327.12	106,317.65		
							Notes Value (Basis)			
12/7/2016	Anheuser Busch Cos Inc 4.5% 4/1/18 Note					Basis >	98,752.00	98,752.00	035229CS0	
12/7/2016	Goldman Sachs Group 5.25% 7/27/21 Notes					Basis >	99,742.50	99,742.50	38141GGQ1	
							198,494.50	198,494.50		
TOTAL CONTRIBUTION >>							\$ 493,822			
							(rounded)			

\* Downloaded from Yahoo Finance Historical Prices

**TDAMERITRADE ACCOUNT**  
**DECEMBER 31, 2016**

THE WEST ENDOWMENT  
 PO BOX 491  
 HOUSTON, TX 77001-0491

Account  
 922-048013

	Book Value	Market Value
<b>STOCKS</b>	\$ 2,093,641.32	\$ 2,444,517.80
<b>FIXED INCOME</b>	\$ 763,076.48	\$ 760,364.12

**STOCKS & EXCHANGE TRADED FUNDS**

Investment Description	SYMBOL	Quantity	Closing Price	Book Value	Market Value
SPDR BARCLAYS HI YLD BND ETF	JNK	4,000	36 4500	140,724 15	145,800 00
ALLIANCEBERNSTEIN HOLDING LP	AB	2,000	23 45	44,365.79	46,900 00
AMAZON COM INC COM	AMZN	65	749 87	17,067 59	48,741.55
AMERICAN ELEC PWR INC COM	AEP	750	62 96	44,830 48	47,220 00
APPLE INC COM	AAPL	400	115 82	24,408 96	46,328.00
AT&T INC COM	T	850	42.53	32,442.59	36,150 50
B&G FOODS INC COM	BGS	1,000	43 80	30,014 62	43,800.00
BLACKROCK INC COM	BLK	100	380.54	25,167 94	38,054 00
BLACKSTONE MORTGAGE TRUST INC COM	BXMT	1,200	30 07	36,238 95	36,084 00
BOEING CO COM	BA	350	155.68	51,952 37	54,488 00
CELGENE CORP COM	CELG	400	115 75	45,458 78	46,300 00
CHIPOTLE MEXICAN GRILL COM	CMG	100	377 32	50,109 29	37,732 00
CITIGROUP INC	C PRP	1,500	27.53	41,880.04	41,295 00
PFD PERP/CALL SER AA DIV 8 125					
CLOROX COMPANY	CLX	350	120.02	38,545 57	42,007 00
CONSTELLATION BRANDS INC COM	STZ	275	153 31	30,919 61	42,160.25
DISCOVER FINL SVCS	DFS PRB	1,750	25 68	45,551 64	44,940 00
PFD SERIES B 6 5% PERPETUAL					
EDWARDS LIFE SCIENCES COM	EW	275	93 70	25,271 13	25,767 50
FEDEX CORPORATION	FDX	225	186 20	37,843 90	41,895 00
GILEAD SCIENCES INC COM	GILD	450	71.61	33,836 45	32,224.50
GOLDMAN SACHS GROUP INC COM	GS	200	239 45	32,337 33	47,890.00
GOLDMAN SACHS GRP INC PFD SERIES B	GS PRB	1,500	25.43	37,956 42	38,145 00
GREAT PLAINS ENERGY INC COM	GXP	1,325	27.35	36,101 53	36,238 75
GREENHILL & CO LLC COM	GHL	2,000	27 70	44,588 39	55,400.00
HALLIBURTON CO COM	HAL	900	54 09	41,902 75	48,681.00
HELMERICH & PAYNE INC COM	HP	500	77 40	31,970.25	38,700 00
HOSPITALITY PROPERTIES TRUST	HPT	1,250	31 74	36,241 12	39,675.00
IDEXX LABORATORIES INC COM	IDXX	400	117.27	17,662.24	46,908 00
INTUITIVE SURGICAL INC COM	ISRG	75	634 17	29,467 71	47,562 75
JACK IN THE BOX INC COM	JACK	300	111.64	33,519.99	33,492.00
MAGELLAN MIDSTREAM PARTNERS COM	MMP	600	75.63	22,582.99	45,378 00
MANHATTAN ASSOCIATES INC	MANH	625	53 03	30,153 74	33,143.75
MASTERCARD INC COM	MA	350	103 25	18,582 37	36,137 50
MORGAN STANLEY COM	MS	1,100	42 25	29,894 15	46,475.00
NETFLIX COM INC	NFLX	350	123 80	43,175.88	43,330.00
NGL ENERGY PARTNERS LP COM	NGL	2,000	21 00	28,721.78	42,000 00
NIKE INC CL B	NKE	800	50.83	23,481.09	40,664 00
PALO ALTO NETWORKS COM	PANW	300	125.05	19,853 04	37,515 00
PAYCOM SOFTWARE INC	PAYC	1,000	45.49	27,208 59	45,490 00
PHILLIPS 66 COM	PSX	525	86.41	40,906 97	45,365 25
PUBLIC STORAGE	PSA PRY	1,500	26.15	42,699 37	39,225.00
PFD SERIES Y 6 375% DIV					

Investment Description	SYMBOL	Quantity	Closing Price	Book Value	Market Value
SALESFORCE COM INC COM	CRM	500	68.46	21,305.22	34,230.00
SCHWAB CHARLES CORP PREFERRED STOCK	SCHW PRB	1,500	25.27	39,073.91	37,905.00
SIMON PROPERTY GROUP	SPG	250	177.67	48,996.52	44,417.50
SOUTHERN CO COM	SO	750	49.19	32,526.42	36,892.50
STARBUCKS CORP COM	SBUX	750	55.52	27,119.02	41,640.00
TELSTRA CORPORATION LIMITED ADR	TLSYY	2,500	18.26	55,456.46	45,650.00
TERRA NITROGEN CO LP COM UNITS	TNH	700	102.71	71,979.49	71,897.00
TRACTOR SUPPLY CO COM	TSCO	500	75.81	35,397.32	37,905.00
ULTA SALON COSM & FRAG INC COM	ULTA	300	254.94	77,515.72	76,482.00
UNDER ARMOUR INC COM CLASS A	UAA	1,750	29.05	51,672.38	50,837.50
UNION PAC CORP COM	UNP	400	103.68	31,117.70	41,472.00
VERIZON COMMUNICATIONS COM	VZ	700	53.38	35,781.11	37,366.00
WELLTOWER INC	HCN	500	66.93	30,500.75	33,465.00
WYNN RESORTS LTD COM	WYNN	500	86.51	43,824.77	43,255.00
ZIMMER BIOMET HOLDINGS INC COM	ZBH	250	103.20	25,736.98	25,800.00
<b>Total STOCKS</b>				<b>\$2,093,641.32</b>	<b>\$2,444,517.80</b>

#### FIXED INCOME

Investment Description	CUSIP	Quantity	Closing Price	Book Value	Market Value
ALLEGHENY LUDLUM CORP SENIOR DEBENTURE 6.95% 12/15/2025	016900AC6	30	\$90.00	27,923.40	\$27,000.00
ATWOOD OCEANICS INC SENIOR NOTE CALLABLE M/W 6.5% 02/01/2020	050095AM0	40	\$91.50	38,143.10	\$36,600.00
BALL CORP SENIOR NOTE CALLABLE M/W 6.5% 02/01/2020	058498AS5	25	\$98.00	24,867.00	\$24,500.00
BRINKER INTERNATIONAL INC SENIOR NOTE M/W 3.875% 05/15/2023	109641AG5	20	\$94.625	19,142.40	\$18,925.00
CAPITAL ONE FINCL CORP SENIOR SUBORDINATED NOTE CLBL 4.2% 10/29/2025	14040HBJ3	25	\$100.333	25,124.75	\$25,083.25
CHEMOURS CO LLC SENIOR NOTE CALLABLE M/W 6.625% 05/15/2023	163851AB4	20	\$99.00	20,358.60	\$19,800.00
CONTINENTAL RESOURCES INC SENIOR NOTE CALLABLE M/W 5% 09/15/2022	212015AH4	25	\$100.939	24,590.25	\$25,234.75
DELL INC NOTE M/W 5.875% 06/15/2019	24702RAJ0	25	\$105.50	25,543.04	\$26,375.00
EMC CORP MASS SENIOR NOTE CALLABLE M/W 3.375% 06/01/2023	268648AN2	20	\$92.624	18,585.80	\$18,524.80
FORD MOTOR CREDIT COMPANY SENIOR MEDIUM TERM NOTE CLBL 4.1% 08/20/2025	34540TJV5	25	\$99.818	25,027.82	\$24,954.50
FRONTIER COMMUNICATIONS CORP SENIOR NOTE CALLABLE M/W 6.25% 09/15/2021	35906AAP3	20	\$94.75	19,044.80	\$18,950.00
GOODYEAR TIRE & RUBBER CO SENIOR NOTE CALLABLE M/W 7% 05/15/2022	382550BC4	20	\$105.50	21,252.65	\$21,100.00
GTE NORTH INC SENIOR DEBENTURE 6.73% 02/15/2028	362337AK3	25	\$81.65	21,272.00	\$20,412.50
HECLA MINING CO SENIOR NOTE CALLABLE M/W 6.875% 05/01/2021	422704AD8	25	\$102.25	25,664.25	\$25,562.50
HERTZ CORP SENIOR NOTE CALLABLE M/W 5.875% 10/15/2020	428040CP2	25	\$97.75	25,849.09	\$24,437.50
HILTON WORLDWIDE FINANCE LLC SENIOR NOTE CALLABLE M/W 5.625% 10/15/2021	432891AD1	20	\$103.30	20,871.50	\$20,660.00
ICAHN ENTERPRISES/FIN SENIOR NOTE CALLABLE M/W 5.875% 02/01/2022	451102BF3	35	\$99.25	35,227.35	\$34,737.50
MARATHON OIL CORP SENIOR NOTE CALLABLE M/W 3.85% 06/01/2025	565849AL0	25	\$96.973	24,318.50	\$24,243.25
MURPHY OIL CORP SENIOR NOTE CALLABLE M/W 4% 06/01/2022	626717AD4	35	\$96.15	33,380.30	\$33,652.50

Investment Description	SYMBOL	Quantity	Closing Price	Book Value	Market Value
PARKER DRILLING CO SENIOR NOTE CALLABLE M/W 7 5% 08/01/2020	701081AY7	45	\$90 00	43,256 40	\$40,500 00
PDC ENERGY INC SENIOR NOTE CALLABLE 7 75% 10/15/2022	69327RAC5	20	\$106 50	21,182 00	\$21,300 00
ROYAL CARIBBEAN CRUISES SENIOR NOTE CALLABLE 5 25% 11/15/2022	780153AU6	25	\$106 875	26,343 28	\$26,718.75
SPRINT CAPITAL CORP SENIOR NOTE M/W 6 9% 05/01/2019	852060AG7	25	\$105 875	25,477 28	\$26,468 75
SUBURBAN PROPANE PARTNERS L P SENIOR NOTE CALLABLE 7.375% 08/01/2021	864486AG0	30	\$103.50	31,954 35	\$31,050 00
THE ADT CORPORATION SENIOR NOTE M/W 3.5% 07/15/2022	00101JAF3	25	\$95 25	22,228 00	\$23,812 50
TOLL BROS FINANCE CORP SENIOR NOTE CALLABLE M/W 4 875% 11/15/2025	88947EAR1	20	\$98 25	19,948 40	\$19,650 00
TRANSOCEAN INC SR NOTE M/W CREDIT SENSITIVE 2 5% 10/15/2017	893830BD0	25	\$101 00	22,611 25	\$25,250 00
UNIT CORP SR SUBORDINATED NOTE CLBL M/W 6 625%	909218AB5	45	\$97.00	43,015 35	\$43,650.00
WINDSTREAM CORPORATION SENIOR NOTE CALLABLE M/W 7 5% 06/01/2022	97381WAX2	30	\$98 00	29,062 50	\$29,400 00
Accrued Interest Purchased				1,811 07	1,811 07
<b>TOTAL FIXED INCOME</b>				<b>\$763,076.48</b>	<b>\$760,364.12</b>

**THE WEST ENDOWMENT**  
**Capital Gains - A/C 4010, 4020**  
January through December 2016

05/03/2017

Date	Name	Memo	Proceeds	Basis	Amount
<b>4010 Capital G&amp;L Short-Term</b>					
01/11/2016	TD Ameritrade Inc	SOLD 60 SH ST Cummins Inc (CMI) TD 1-11-16 \$5,117 51-5945 01 basis = (827 50) STCL	5,117 51	5,945 01	-827 50
01/12/2016	TD Ameritrade Inc	SOLD 500 SH ST Michael Kors (KORS) TD 1-12-16 \$18,459 31-21803 54 basis = (3344 23) STCL	18,459 31	21,803 54	-3,344 23
01/12/2016	TD Ameritrade Inc	SOLD 480 SH ST Williams Prt (WPZ) TD 1-12-16 \$8,984 72-15307 39 adj basis = (6322 67) ST loss ]	8,984 72	15,307 39	-6,322 67
01/12/2016	TD Ameritrade Inc	- Sale of 480 SH ST Williams Prt 1-12-16 - adjust STCL for reclass to ord gain per K-1		1,419 00	-1,419 00
05/18/2016	TD Ameritrade Inc	SOLD 150 SH ST L Brands Inc (LB) TD 5-18-16 \$9,573 39 - 12582 67 basis = (3,009 28) STCL	9,573 39	12,582 67	-3,009 28
06/27/2016	TD Ameritrade Inc	SOLD 625 SH ST Lazard Ltd (LAZ) TD 6-27-16 \$17,102 38 - 26,125 99 adj basis = (9 023 61) STCL	17 102 38	26,125 99	-9,023 61
09/19/2016	TD Ameritrade Inc	SOLD 1500 SH ST (fr 3-7-16 Lot) NGL Engy TD 9-19-16 \$26,576 93-9371 56 adj basis=17205 37 Gain	26,576 93	9,371 56	17,205 37
09/19/2016	TD Ameritrade Inc	- Sale of 1500 SH NGL on 9-19-16 (fr 3-7-16 lot) - reclass part gain to ordinary per K-1		2,652 00	-2,652 00
11/03/2016	TD Ameritrade Inc	SOLD 1000 SH ST Intel Corp (INTC) TD 11-3-16 \$33,989 27 -31 018 99 basis = 2,970 28 STCG	33,989 27	31,018 99	2,970 28
11/03/2016	TD Ameritrade Inc	SOLD 150 SH ST Netflix (NFLX) TD 11-3-16 \$18,361 63 -15 000 29 basis (5/16 Sh) = 3,361 34 STCG	18,361 63	15,000 29	3,361 34
11/03/2016	TD Ameritrade Inc	SOLD 250 SH ST ONEOK Inc (OKE) TD 11-3-16 \$11,651 25 - 6,962 49 basis = 4 688 76 STCG	11,651 25	6,962 49	4,688 76
12/16/2016	TD Ameritrade Inc	SOLD 1000 SH ST SPDR Barclays HY ETF (JNK) TD 12-16-16 \$36,309 22 -33824 34 basis=2,484 88 STCG	36,309 22	33,824 34	2,484 88
			<b>186,125 61</b>	<b>182,013 27</b>	<b>4,112 34</b>
12/31/2016	Magellan Midstream Partners (MMP)	Magellan Midstream Prtn K-1 2016 ST Cap loss	-1 00		-1 00
12/31/2016	AllianceBernstein Holding LP (AB)	AllianceBernstein Holding LP K-1 2016 STCL	-8 00		-8 00
<b>Total 4010 Capital G&amp;L Short-Term</b>			<b>186,116 61</b>	<b>182,013 27</b>	<b>4,103 34</b>

**4020 Capital G&L Long-Term**

**4020 Capital G&L Long-Term - Stocks & Notes**

01/11/2016	TD Ameritrade Inc	SOLD 240 SH LT Cummins Inc (CMI) TD 1-11-16 \$20,470 03-33072 10 basis = (12602 07) LTCL	20,470 03	33,072 10	-12,602 07
01/12/2016	TD Ameritrade Inc	SOLD 500 SH LT Michael Kors (KORS) TD 1-12-16 \$18,459 32-29503 75 basis = (11044 43) LTCL	18,459 32	29,503 75	-11,044 43
01/12/2016	TD Ameritrade Inc	SOLD 520 SH LT Williams Prt (WPZ) TD 1-12-16 \$9,733 44-14164 23 adj basis=(4430 79) LT loss ]	9,733 44	14,164 23	-4,430 79
01/12/2016	TD Ameritrade Inc	- Sale of 520 SH LT Williams Prt 1-12-16 - adjust LTCL for reclass to ord gain per K-1		8,250 00	-8,250 00
05/10/2016	TD Ameritrade Inc	SOLD 350 SH LT B&G Foods (BGS) TD 5-10-16 \$15,116 72 - 10705 01 basis = 4,411 71 LTCCG	15,116 72	10,705 01	4,411 71
05/10/2016	TD Ameritrade Inc	SOLD 25 SH LT Intuitive Surgical (ISRG) TD 5-10-16 \$15,584 78 - 10,826 26 basis = 4,758 52 LTCCG	15 584 78	10,826 26	4,758 52
05/10/2016	TD Ameritrade Inc	SOLD 500 SH LT UnderAmr CL C (UA C) TD 5-10-16 \$18,344 65 - 6,772 63 basis = 11,572 02 LTCCG	18,344 65	6,772 63	11,572 02
05/18/2016	TD Ameritrade Inc	SOLD 350 SH LT L Brands Inc (LB) TD 5-18-16 \$22,337 92 - 30512 39 basis = (8,174 47) LTCL	22 337 92	30,512 39	-8 174 47
06/27/2016	TD Ameritrade Inc	SOLD 625 SH LT Lazard Ltd (LAZ) TD 6-27-16 \$17 102 38 - 30,442 30 adj basis = (13,339 92) LTCL	17 102 38	30,442 30	-13,339 92
09/14/2016	TD Ameritrade Inc	SOLD 2500 SH LT Textainer Grp (TNH) TD 9-14-16 \$19,564 83 -65,218 68 adj basis =(45,653 85) LTCL	19 564 83	65,218 68	-45,653 85
09/19/2016	TD Ameritrade Inc	SOLD \$25K H J Heinz 7/15/28 Note (TDI 9-19-16) \$29,770 25 principal - 25,893 77 = 3,876 48 LTCCG	29 770 25	25,893 77	3,876 48
09/19/2016	TD Ameritrade Inc	SOLD 1750 SH LT Allstate 5 625% Pfd (ALL-A) TD 9-19-16 \$47,286 23 -42,342 35 basis =4 943 88 LTCCG	47,286 23	42,342 35	4,943 88
09/19/2016	TD Ameritrade Inc	SOLD 1500 SH LT Cullen Fr 5 375% Pfd (CFR-A) TD 9-19-16 \$40,226 63-35,382 31 basis =4,844 32 LTCCG	40,226 63	35,382 31	4,844 32
09/19/2016	TD Ameritrade Inc	SOLD 200 SH LT IDEX Labs (IDXX) TD 9-19-16 \$22,101 53 - 9,227 99 basis = 12,873 54 LTCCG	22,101 53	9,227 99	12,873 54
09/19/2016	TD Ameritrade Inc	SOLD 150 SH LT Paycom Software (PAYC) TD 9-19-16 \$7,162 85 - 4,081 29 basis = 3,081 56 LTCCG	7 162 85	4,081 29	3,081 56
09/19/2016	TD Ameritrade Inc	SOLD 800 SH LT Tyson Foods (TSN) TD 9-19-16 \$59,438 26 - 31,471 03 basis = 27,967 23 LTCCG	59,438 26	31,471 03	27,967 23
09/19/2016	TD Ameritrade Inc	SOLD 1750 SH LT US Bancorp 5 15% Pfd (USB-O) TD 9-19-16 \$46,622 07-39,487 73 basis =7,134 34 LTCCG	46,622 07	39,487 73	7,134 34
09/19/2016	TD Ameritrade Inc	SOLD 250 SH LT Wynn Resorts (WYNN) TD 9-19-16 \$26,134 19 - 31,338 86 basis = (5,204 67) LTCL	26,134 19	31,338 86	-5,204 67
11/03/2016	TD Ameritrade Inc	SOLD 500 SH LT Altria Corp (MO) TD 11-3-16 \$32,229 81 -17,780 20 basis = 14,449 61 LTCCG	32,229 81	17,780 20	14,449 61
11/03/2016	TD Ameritrade Inc	SOLD 1000 SH LT ONEOK Inc (OKE) TD 11-3-16 \$46,604 99 - 40,166 09 basis = 6,438 90 LTCCG	46,604 99	40,166 09	6,438 90
11/30/2016	TD Ameritrade Inc	CALL \$25K Avon Prod Note @ 105 489 [\$26,372 25 - 24,529 25 = 1843 00]	26,372 25	24,529 25	1,843 00
11/30/2016	TD Ameritrade Inc	CALL \$25K Avon Note @ 105 489 [\$26,372 25-24,529 25=1843 00 gain]-reclass mkt disc to ord		232 44	-232 44
12/05/2016	TD Ameritrade Inc	Prncpal Paydown \$39K Precision Drig Note \$15,681 52 - 15,681 52 basis reduction=-0- Gain	15,681 52	15,681 52	0 00
12/08/2016	TD Ameritrade Inc	SOLD \$39K Precision Drig Note 12/8/16 @ 100 531 \$23,442 30-21,983 46 adj basis=1 458 84 Gain	23,442 30	21,983 46	1 458 84
12/08/2016	TD Ameritrade Inc	SOLD \$39K Precision Drig Note 12/8/16 [\$23 442 30-21983 46 basis=1458 84 Gain]-Reclass mkt disc		1,458 84	-1,458 84
12/16/2016	TD Ameritrade Inc	SOLD 500 SH LT Davita Inc (DVA) TD 12-16-16 \$32,179 31 -36,741 69 basis = (4,562 38) LTCL	32,179 31	36,741 69	-4,562 38
12/16/2016	TD Ameritrade Inc	SOLD 50 SH LT Goldman Sachs Grp (GS) TD 12-16-16 \$12,000 52 -8,281 83 basis = 3,718 69 LTCCG	12,000 52	8 281 83	3,718 69
12/16/2016	TD Ameritrade Inc	SOLD 150 SH LT Morgan Stanley (MS) TD 12-16-16 \$6,430 12 -4,120 48 basis = 2,309 64 LTCCG	6,430 12	4 120 48	2,309 64
12/16/2016	TD Ameritrade Inc	SOLD 100 SH LT Helmench & Payne (HP) TD 12-16-16 \$7 852 84 -6,691 60 basis = 1,161 24 LTCCG	7,852 84	6,691 60	1,161 24
12/16/2016	TD Ameritrade Inc	SOLD 250 SH LT Southern Co (SO) TD 12-16-16 \$12,174 27 -10,982 32 basis = 1,191 95 LTCCG	12,174 27	10,982 32	1,191 95
12/16/2016	TD Ameritrade Inc	SOLD 10 SH LT Amazon Com (AMZN) TD 12-16-16 \$7,556 47 -2679 05 basis = 4,877 42 LTCCG	7,556 47	2 679 05	4,877 42
12/16/2016	TD Ameritrade Inc	SOLD 100 SH LT Apple Inc (AAPL) TD 12-16-16 \$11 567 77 -6346 71 basis = 5 221 06 LTCCG	11,567 77	6,346 71	5,221 06
			<b>669,548 25</b>	<b>656,368 16</b>	<b>13,180 09</b>
12/31/2016	Magellan Midstream Partners (MMP)	Magellan Midstream Prtn K-1 2016 LT Cap gain	44 00		44 00
12/31/2016	AllianceBernstein Holding LP (AB)	AllianceBernstein Holding LP K-1 2016 LTCCG	59 00		59 00
12/31/2016	NGL Energy Partners LP (NGL)	NGL Energy Prtns K-1 2016 LTCCG	5,317 00		5,317 00
<b>Total 4020 Capital G&amp;L Long-Term - Stocks &amp; Notes</b>			<b>674,968 25</b>	<b>656,368 16</b>	<b>18,600 09</b>

**SUBTOTAL**

**861,084 86 838,381 43 22,703 43**

**4020 01 CG Distributions**

12/31/2016	Fidelity Investments	Reclass Annaly Divds to CG Distrib per 2016 1099	1,210 78		1,210 78
12/31/2016	TD Ameritrade Inc	TD Amer 1099-2016 YE Adj Entry-Reclass Divd to CG Distrib (Welltower REIT)	438 00		438 00
12/31/2016	TD Ameritrade Inc	TD Amer 1099-2016 YE Adj Entry-Reclass Divd to CG Distrib (Simon Prop Grp)	3 75		3 75
12/31/2016	TD Ameritrade Inc	TD Amer 1099-2016 YE Adj Entry-Reclass Divd to CG Distrib (Blackstone Mtg Tr)	53 78		53 78
<b>Total 4020 01 CG Distributions</b>			<b>1,706 31</b>		<b>1,706 31</b>

**TOTAL - CAPITAL GAINS**

**862,791 17 838,381 43 24,409 74**



**THE WEST ENDOWMENT**  
**5900 Contributions**  
January through December 2016

Date	Num	Name	City	State	Memo	Foundation Status of Receipt	Purpose	Amount
<b>5900 CONTRIBUTIONS PAID</b>								
05/17/2016	1203	The American Red Cross	Houston	TX	Contribution to The American Red Cross-Greater Houston Chapter	PC	General	25,000 00
05/17/2016	1204	Child Advocates Incorporated	Houston	TX	Contribution to Child Advocates Incorporated	PC	General	6 000 00
05/17/2016	1205	Juvenile Diabetes Research Foundation Int	Houston	TX	Contribution to Juvenile Diabetes Research Foundation International	PC	Medical	50,000 00
05/17/2016	1206	Salvation Army	Houston	TX	Contribution to Salvation Army National Corp	PC	General	50 000 00
05/17/2016	1207	Urban Harvest Inc	Houston	TX	Contribution to Urban Harvest Inc	PC	General	10,000 00
05/17/2016	1208	Homemade Hope for Homeless Children	Houston	TX	Contribution to Homemade Hope Celebrating Life With Homeless Children	PC	General	30,000 00
05/17/2016	1209	Texas R I C E	Pierce	TX	Contribution to Texas Rice Industry Coalition for the Environment	PC	General	50,000 00
12/12/2016	1216	Crossroads School Inc	Houston	TX	Contribution to Crossroads School Inc	PC	Educational	25,000 00
12/12/2016	1217	Cypress Woodlands Junior Forum	Spring	TX	Contribution to Cypress Woodlands Junior Forum	PC	General	25,000 00
12/12/2016	1218	Houston Methodist Hospital Foundation	Houston	TX	Contribution to Houston Methodist Hospital Foundation	Group	Medical	100,000 00
12/12/2016	1219	Leukemia & Lymphoma Society Inc	Houston	TX	Contribution to The Leukemia & Lymphoma Society	PC	Medical	25,000 00
12/12/2016	1220	Lighthouse for the Blind of Houston	Houston	TX	Contribution to Lighthouse for the Blind of Houston	PC	General	50,000 00
12/12/2016	1221	Retina Research Foundation	Houston	TX	Contribution to Retina Research Foundation	PC	Medical	25,000 00
12/12/2016	1222	Ronald McDonald House of Houston Inc	Houston	TX	Contribution to Ronald McDonald House of Houston Inc	PC	General	25 000 00
12/12/2016	1223	Institute for Rehabilitation & Rsch Fnd	Houston	TX	Contribution to The Institute for Rehabilitation & Research Foundation	PC	Medical	50,000 00
12/12/2016	1224	Katy Prairie Conservancy	Houston	TX	Contribution to Katy Prairie Conservancy	PC	General	10,000 00
12/12/2016	1225	Westside Homeless Partnership	Houston	TX	Contribution to Westside Homeless Partnership	PC	General	20,000 00
<b>TOTAL</b>								<b><u>576,000 00</u></b>