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EXTENDED TO NOVEMBER 15, 2017
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

| | | |
|---|--|--|
| Name of foundation JAMES M. COLLINS FOUNDATION | | A Employer identification number 75-6040743 |
| Number and street (or P O box number if mail is not delivered to street address) 8115 PRESTON ROAD | Room/suite 680 | B Telephone number (214) 691-2032 |
| City or town, state or province, country, and ZIP or foreign postal code DALLAS, TX 75225 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | | D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 23,592,276. | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 102,889. | 102,889. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 2,745. | 2,745. | | STATEMENT 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -191,142. | | | |
| | b Gross sales price for all assets on line 6a | 3,176,537. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | -40. | -40. | | STATEMENT 3 | |
| 12 Total Add lines 1 through 11 | -85,548. | 105,594. | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes | 152. | 152. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | 22,421. | 0. | | 22,421. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses | 14,966. | 14,966. | | 0. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 37,539. | 15,118. | | 22,421. |
| | 25 Contributions, gifts, grants paid | 836,927. | | | 836,927. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 874,466. | 15,118. | | 859,348. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -960,014. | | | | |
| b Net investment income (if negative, enter -0-) | | 90,476. | | | |
| c Adjusted net income (if negative, enter -0-) | | | N/A | | |

| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year | End of year | |
|--|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 2. | | |
| | 2 Savings and temporary cash investments | 2,873,411. | 2,844,438. | 2,844,438. |
| | 3 Accounts receivable ▶ 1,352,730. | | | |
| | Less: allowance for doubtful accounts ▶ | 1,150,980. | 1,352,730. | 1,352,730. |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock | | | |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation ▶ | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other ▶ STMT 8 | 2,247,387. | 8,592,510. | 19,395,108. | |
| 14 Land, buildings, and equipment: basis ▶ | | | | |
| Less accumulated depreciation ▶ | | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 6,271,780. | 12,789,678. | 23,592,276. | |
| Liabilities | 17 Accounts payable and accrued expenses | | 7,480,075. | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 7,480,075. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> | | | |
| | and complete lines 24 through 26 and lines 30 and 31 | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> | | | |
| | and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 1,660,573. | 1,660,573. | |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | 4,611,207. | 3,649,030. | | |
| 30 Total net assets or fund balances | 6,271,780. | 5,309,603. | | |
| 31 Total liabilities and net assets/fund balances | 6,271,780. | 12,789,678. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 6,271,780. |
| 2 Enter amount from Part I, line 27a | 2 | -960,014. |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 5,311,766. |
| 5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 5 | 2,163. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 5,309,603. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|--|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 3,176,537. | 3,367,679. | -191,142. | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | -191,142. | |
| 2 | Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | -191,142. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2015 | 837,912. | 16,562,838. | .050590 |
| 2014 | 837,500. | 16,535,145. | .050650 |
| 2013 | 803,678. | 17,483,619. | .045967 |
| 2012 | 816,655. | 17,191,186. | .047504 |
| 2011 | 915,770. | 18,241,674. | .050202 |

| | | | |
|---|--|---|-------------|
| 2 | Total of line 1, column (d) | 2 | .244913 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .048983 |
| 4 | Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | 4 | 16,505,835. |
| 5 | Multiply line 4 by line 3 | 5 | 808,505. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 905. |
| 7 | Add lines 5 and 6 | 7 | 809,410. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 859,348. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|---|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 905. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 905. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 905. |
| 6 Credits/Payments: | | | |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | | 0. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | 28. |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 9 | 9 | | 933. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | | |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax Refunded | 11 | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) TX | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|--------------------------|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u> | X | |
| 14 The books are in care of ► <u>BOBBY CHALMERS</u> Telephone no. ► <u>(214) 691-2032</u> Located at ► <u>8115 PRESTON ROAD, SUITE 680, DALLAS, TX</u> ZIP+4 ► <u>75225</u> | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year | | <input type="checkbox"/> |
| | 15 | N/A |
| 16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | | X |
| | 16 | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here | | |
| | N/A | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | | |
| | N/A | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) | | |
| | N/A | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870. 6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| DOROTHY DANN COLLINS TORBERT 8115 PRESTON ROAD, SUITE 680 DALLAS, TX 75225 | PRESIDENT 0.00 | 0. | 0. | 0. |
| MICHAEL COLLINS 8115 PRESTON ROAD, SUITE 680 DALLAS, TX 75225 | VICE PRES 0.00 | 0. | 0. | 0. |
| DOROTHY COLLINS WEAVER 13643 DEERING BAY CORAL GABLES, FL 33158 | SECRETARY 0.00 | 0. | 0. | 0. |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Table with 2 columns: Description of activity, Expenses. Row 1 contains 'N/A'.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Table with 2 columns: Description of investment, Amount. Row 1 contains 'N/A'. Row 3 contains 'All other program-related investments. See instructions.'

Total. Add lines 1 through 3 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 11,948,974. |
| b | Average of monthly cash balances | 1b | 3,457,239. |
| c | Fair market value of all other assets | 1c | 1,350,980. |
| d | Total (add lines 1a, b, and c) | 1d | 16,757,193. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 16,757,193. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 251,358. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 16,505,835. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 825,292. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|---|-----------|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 825,292. |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 905. |
| b | Income tax for 2016. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 905. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 824,387. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 824,387. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 824,387. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 859,348. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 859,348. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 905. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 858,443. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 824,387. |
| 2 Undistributed income, if any, as of the end of 2016 | | | | |
| a Enter amount for 2015 only | | | 801,454. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2016: | | | | |
| a From 2011 | | | | |
| b From 2012 | | | | |
| c From 2013 | | | | |
| d From 2014 | | | | |
| e From 2015 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 859,348. | | | | |
| a Applied to 2015, but not more than line 2a | | | 801,454. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2016 distributable amount | | | | 57,894. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017 | | | | 766,493. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2017 Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2012 | | | | |
| b Excess from 2013 | | | | |
| c Excess from 2014 | | | | |
| d Excess from 2015 | | | | |
| e Excess from 2016 | | | | |

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|-----------------|
| Name and address (home or business) | | | | |
| <p><i>a Paid during the year</i></p> <p>ABERG CENTER FOR LITERACY 5100 ROSS AVENUE DALLAS, TX 75206</p> | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,600. |
| <p>ALICE PENDLETON LIBRARY 309 MAIN ROAD, PO BOX 77 ISLESBORO, ME 04848</p> | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 250. |
| <p>ALZHEIMER'S DISEASE FUND P.O. BOX 1897 MERRIFIELD, VA 22116</p> | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| <p>AMERICAN CANCER SOCIETY P.O. BOX 22478 OKLAHOMA CITY, OK 73123</p> | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| <p>AMERICAN DIABETES FOUNDATION P.O. BOX 15829 ARLINGTON, VA 22215</p> | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATION AND SCIENTIFIC ORGANIZATIONS. | 500. |
| Total | SEE CONTINUATION SHEET(S) | | | 836,927. |
| <i>b Approved for future payment</i> | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| Total | | | | 0. |

JAMES M. COLLINS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP | P | | |
| b COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP | P | | |
| c COMMONFUND CAPITAL VENTURE PARTNERS VI, LP | P | | |
| d COMMONFUND CAPITAL VENTURE PARTNERS VI, LP | P | | |
| e ENDOWMENT VENTURE PARTNERS V, LP | P | | |
| f ENDOWMENT VENTURE PARTNERS V, LP | P | | |
| g COLLINS ALTERNATIVE SOLUTIONGS | P | | |
| h COLLINS LONG/SHORT CREDIT FUND | P | | |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | 50. | -50. |
| b | 43,649. | | 43,649. |
| c | 548. | | 548. |
| d | 50,286. | | 50,286. |
| e | 74. | | 74. |
| f | | 9,296. | -9,296. |
| g | 3,076,807. | 3,358,333. | -281,526. |
| h | 5,173. | | 5,173. |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | -50. |
| b | | | 43,649. |
| c | | | 548. |
| d | | | 50,286. |
| e | | | 74. |
| f | | | -9,296. |
| g | | | -281,526. |
| h | | | 5,173. |
| i | | | |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

| | | |
|---|---|-----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } | 2 | -191,142. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|---|-----------------|
| AMERICAN LUNG ASSOCIATION 1349 EMPIRE CENTRAL DR. #280 DALLAS, TX 75247 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| AMERICAN RED CROSS 4800 HARRY HINES BLVD. DALLAS, TX 75235 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| ARTHRITIS FOUNDATION 1349 EMPIRE CENTRAL DR. #340 DALLAS, TX 75247 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| ASPEN INSTIUTE 1000 NORTH THIRD STREET ASPEN, CO 81611 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 7,150. |
| ATLANTA CHILDREN'S SHELTER 607 PEACHTREE ST. NE ATLANTA, GA 30308 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 100. |
| ATLANTA INTERNATIONAL SCHOOL 2890 N. FULTON DR. NE ATLANTA, GA 30305 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,984. |
| AT&T PERFORMING ARTS 2403 FLORA STREET DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| AUSTIN STREET CENTER 2929 HICKORY ST. DALLAS, TX 75226 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| BAYLOR SCOTT & WHITE HEALTHCARE FOUNDATION 3600 GASTON AVENUE, BARNETT TOWER, SUITE 100 DALLAS, TX 75246 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 54,500. |
| BAYLOR SCHOOL OF NURSING 1311 S. 5TH ST. WACO, TX 76798 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 25,000. |
| Total from continuation sheets | | | | 832,577. |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|----------------|
| BEACON PROJECT (BOARDMAN COTTAGE) 131 MAIN RD. ISLEBORO, ME 04848 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| BIG THOUGHT 1409 S LAMAR ST. #1015 DALLAS, TX 75215 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,500. |
| CALLIER CENTER 1966 INWOOD ROAD DALLAS, TX 75207 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 600. |
| CELEBRATION OF READING 4503 W. LOVERS LN. DALLAS, TX 75209 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| CENTER FOR BRAIN HEALTH 2200 W. MOCKINGBIRD LN. DALLAS, TX 75235 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,125. |
| CHILDREN'S HEALTH CARE OF ATLANTA 1600 TULLIE CIRCLE NE ATLANTA, GA 30329 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| CHILDREN'S MEDICAL CENTER 1935 MEDICAL DISTRICT DR. DALLAS, TX 75235 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,500. |
| CHRIST CHURCH 1750 E AIRPORT FWY. IRVING, TX 75062 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 3,500. |
| COLORADO COLLEGE 14 E. CACHE LA POUDE ST. COLORADO SPRINGS, CO 80903 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,874. |
| CONTEMPORARY ARTS MUSEUM OF HOUSTON 5216 MONTROSE BLVD HOUSTON, TX 77006 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 19,500. |
| Total from continuation sheets | | | | 19,500. |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|---|--------|
| CRYSTAL CHARITY BALL - CALLIER CENTER 30 HIGHLAND PARK VILLAGE DALLAS, TX 75205 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| DALLAS ARBORETUM 8525 GARLAND RD. DALLAS, TX 75218 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,827. |
| DALLAS CASA 2757 SWILL AVE. DALLAS, TX 75204 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 500. |
| DALLAS CHALLENGE 7777 FOREST LN. DALLAS, TX 75230 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| DALLAS CHILDREN'S ADVOCACY CENTER 5351 SAMUELL BLVD DALLAS, TX 75228 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| DALLAS HERITAGE VILLAGE - MRS. REGINA ROGERS 1515 S HARWOOD ST DALLAS, TX 75215 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| DALLAS HISTORICAL SOCEITY 3939 GRAND AVE. DALLAS, TX 75210 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,500. |
| DALLAS MUSEUM OF ART 1717 N. HARWOOD ST. DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,500. |
| DALLAS PUBLIC LIBRARY - FRIENDS 1515 YOUNG ST. DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,850. |
| SHAKESPEARE DALLAS 1250 MAJESTY DRIVE DALLAS, TX 75247 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|---|---------|
| DALLAS SYMPHONY 2301 FLORA STREET DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 3,000. |
| DALLAS WOMAN'S CLUB 7000 PARK LANE DALLAS, TX 75225 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 250. |
| DOCTORS WITHOUT BORDERS P.O. BOX 5030 HAGERSTOWN, MD 21741 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| EDUCATIONAL OPPORTUNITIES 5725 IMPERIAL LAKES BLVD. MULBERRY, FL 33860 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 6,000. |
| FAMILY GATEWAY 711 SOUTH ST. PAUL STREET DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| FAMILY PLACE P.O. BOX 7999 DALLAS, TX 75209 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| FRIENDS OF FLORENCE 4545 W. ST. NW WASHINGTON, DC 20007 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 95,540. |
| FROST SCHOOL OF MUSIC 1314 MILLER DRIVE CORAL GABLES, FL 33146 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| GEORGE W. BUSH PRES. CENTER 2943 SMU BOULEVARD DALLAS, TX 75205 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,500. |
| GOODWILL INDUSTRIES OF DALLAS N. WESTMORELAND RD. DALLAS, TX 75212 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 25,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|-------------|
| GUITARS OVER GUNS 169 E FLAGLER STREET, STE 1134 MIAMI, FL 33131 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| HABITAT FOR HUMANITY 2800 N. HAMPTON ROAD DALLAS, TX 75212 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 650. |
| HANDEL & HAYDN SOCIETY 9 HARCOURT STREET BOSTON, MA 02116 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 10,000. |
| HARVARD UNIVERSITY 1350 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| HIGHLAND PARK PRESBYTERIAN CHURCH 3821 UNIVERSITY BLVD DALLAS, TX 75205 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 3,000. |
| HOCKADAY SCHOOL 11600 WELCH RD. DALLAS, TX 75229 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 100. |
| ICA MIAMI 4040 NE 2ND AVE. MIAMI, FL 33137 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 24,985. |
| INSTITUTE OF THE AMERICAS 10111 N. TORREY PINES RD. LA JOLLA, CA 92037 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 10,000. |
| ISLESBORO COMMUNITY FUND P.O BOX 166 ISLESBORO, ME 04848 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 11,000. |
| ISLESBORO HISTORICAL SOCIETY 388 MAIN RD. ISLESBORO, ME 04848 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 100. |
| Total from continuation sheets | | | | 100. |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|---------------|
| ISLESBORO ISLAND TRUST 376 W BAY RD ISLESBORO, ME 04848 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| JAZZ ASPEN SNOWMASS 110 E. HALLAM ST. #104 ASPEN, CO 81611 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 3,000. |
| JUNIOR LEAGUE OF DALLAS 8003 INWOOD RD. DALLAS, TX 75209 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| JUNIOR LEAGUE OF MIAMI 713 BILTMORE WAY CORAL GABLES, FL 33134 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 900. |
| KERA 3000 HARRY HINES BLVD DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| LEUKEMIA & LYMPHOMA SOCIETY 8111 LYNDON B JOHNSON FWY #425 DALLAS, TX 75251 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| LIFEFLIGHT OF MAINE 13 MAIN STREET CAMDEN, ME 04843 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| LUGAR CENTER 1455 PENNSYLVANIA AVENUE, NW STE 1125 WASHINGTON, DC 20004 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 20,000. |
| MARCH OF DIMES 1275 MAMARONECK AVENUE WHITE PLAINS, NY 10605 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| METHODIST HOSPITAL OF HOUSTON 1441 N. BECKLEY AVE. DALLAS, TX 75203 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| Total from continuation sheets | | | | 5,000. |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|---|----------|
| MUSEUM OF FINE ARTS HOUSTON 1001 BISSONNET ST HOUSTON, TX 77005 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,500. |
| OUR FRIENDS PLACE 2501 OAK LAWN AVE. #500 DALLAS, TX 75219 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| PARKINSON VOICE PROJECT 646 N. COIT RD. #2250 RICHARDSON, TX 75080 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 40,000. |
| PARKLAND HOSPITAL 5200 HARRY HINES BLVD. DALLAS, TX 75235 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| PBS 3000 HARRY HINES BLVD DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 10,000. |
| PHILLIPS ACADEMY 180 MAIN STREET ANDOVER, MA 01810 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| PLANNED PARENTHOOD 1106 LAVACA, SUITE 103 AUSTIN, TX 78701 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| RETINA FOUNDATION OF THE SOUTHWEST 9600 N. CENTRAL EXPY. #200 DALLAS, TX 75231 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| SALVATION ARMY 8787 N. STEMMONS FWY., SUITE 800 DALLAS, TX 75247 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 10,500. |
| SANTA FE INSTITUTE 1399 HYDE PARK RD. SANTA FE, NM 87501 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 101,500. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|----------|
| SCOTTISH RITE HOSPITAL OF TEXAS 2222 WELBORN ST. DALLAS, TX 75219 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,500. |
| SENIOR SOURCE (ELDER FINANCIAL SAFETY CENTER) 3910 HARRY HINES BOULEVARD DALLAS, TX 75219 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| SMITHSONIAN MUSEUM 600 MARYLAND AVE. WASHINGTON, DC 20002 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| SMU P.O. BOX 750100 DALLAS, TX 75275 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,000. |
| SOUPS ON 400 S HOUSTON ST DALLAS, TX 75202 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| ST. PHILLIP'S SCHOOL 1600 PENNSYLVANIA AVE. DALLAS, TX 75215 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| THE PARK SCHOOL 171 GODDARD AVE. BROOKLINE, MA 02445 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 30,000. |
| UNIVERSITY OF MIAMI 1320 S DIXIE HWY CORAL GABLES, FL 33146 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 153,692. |
| UNITED WAY - TOCQUEVILLE SOCIETY 1800 N. LAMAR DALLAS, TX 75202 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 32,500. |
| UT SOUTHWESTERN MED 5323 HARRY HINES BLVD. DALLAS, TX 75390 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 2,850. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|---|---------|
| VNA MEALS ON WHEELS 1440 W. MOCKINGBIRD LN. DALLAS, TX 75247 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,250. |
| VOLUNTEER NOW 2800 LIVE OAK ST. DALLAS, TX 75204 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,500. |
| WELLESLEY COLLEGE 106 CENTRAL ST. WELLESLEY, MA 02481 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 10,000. |
| WELLESLEY DURANT SOCIETY 106 CENTRAL ST. WELLESLEY, MA 02481 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 5,000. |
| WEST DALLAS COMMUNITY SCHOOL 2300 CANADA DR. DALLAS, TX 75212 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 500. |
| WORLD AFFAIRS COUNCIL 325 NORTH ST. PAUL STREET #4200 DALLAS, TX 75201 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 250. |
| YOUNG WOMEN'S PREPARATORY NETWORK 2804 SWISS AVENUE DALLAS, TX 75204 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,000. |
| YWCA 1020 19TH STREET NW, STE 750 WASHINGTON, DC 20036 | NONE | PUBLIC CHARITIES | TO FURTHER THE INTENDED PURPOSE OF RELIGIOUS, EDUCATIONAL AND SCIENTIFIC ORGANIZATIONS. | 1,500. |
| | | | | |
| | | | | |
| Total from continuation sheets | | | | |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|--|-----------------------------|---------------------------------|-------------------------------|
| COLLINS ALTERNATIVE SOLUTIONS COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP | 101,438. | 101,438. | |
| COMMONFUND CAPITAL VENTURE PARTNER VI, LP | 943. | 943. | |
| ENDOWMENT VENTURE PARTNERS V LP | 325. | 325. | |
| INTERNAL REVENUE SERVICE | 177. | 177. | |
| JPMORGAN | 1. | 1. | |
| | 5. | 5. | |
| TOTAL TO PART I, LINE 3 | 102,889. | 102,889. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|--|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP | 1,697. | 0. | 1,697. | 1,697. | |
| COMMONFUND CAPITAL VENTURE PARTNER VI, LP | 929. | 0. | 929. | 929. | |
| ENDOWMENT VENTURE PARTNERS V LP | 119. | 0. | 119. | 119. | |
| TO PART I, LINE 4 | 2,745. | 0. | 2,745. | 2,745. | |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|--|-----------------------------|-----------------------------------|-------------------------------|
| ENDOWMENT VENTURE PARTNERS V, LP PARTNERSHIP INCOME-ENDOWMENT | 210. | 210. | |
| VENTURE PARTNERS V, LP PARTNERSHIP INCOME-COMMONFUND | -39. | -39. | |
| CAPITAL PRIVATE EQUITY PARTNERS V, LP | -281. | -281. | |

| | | |
|---|-------|-------|
| COMMONFUND CAPITAL VENTURE PARTNERS VI, LP | 196. | 196. |
| COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP | 31. | 31. |
| PARTNERSHIP INCOME-COMMONFUND CAPITAL VENTURE PARTNERS VI, LP | -206. | -206. |
| ROYALTY INCOME - COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V, LP | 49. | 49. |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | -40. | -40. |

FORM 990-PF TAXES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAX WITHHELD ON INCOME FROM PASSTHROUGHS | 21. | 21. | | 0. |
| FOREIGN TAX ACCRUED ON INCOME FROM PASSTHROUGHS | 131. | 131. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 152. | 152. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES | 4,500. | 4,500. | | 0. |
| BANK CHARGES | 210. | 210. | | 0. |
| PASS THROUGH EXPENSES | 1,358. | 1,358. | | 0. |
| PASS THROUGH EXPENSES | 3,500. | 3,500. | | 0. |
| PASS THROUGH EXPENSES | 5,398. | 5,398. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 14,966. | 14,966. | | 0. |

FOOTNOTES STATEMENT 6

THE TAXPAYER HAS A FORM 5471 FILING REQUIREMENT FOR 2016. HOWEVER, THE FINANCIAL INFORMATION NEEDED TO COMPLETE THE FORM WAS NOT AVAILABLE TO THE TAXPAYER. THE TAXPAYER HAS COMPLETED THE FORM WITH THE KNOWLEDGE THEY DO HAVE AND MAY FILE A CORRECTED FORM AT A LATER DATE, IF NECESSARY.

| FORM 990-PF . OTHER DECREASES IN NET ASSETS OR FUND BALANCES | STATEMENT | 7 |
|--|-----------|--------|
| DESCRIPTION | AMOUNT | |
| NON DEDUCTIBLE FEDERAL TAXES & PENALTIES | | 2,163. |
| TOTAL TO FORM 990-PF, PART III, LINE 5 | | 2,163. |

| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 8 |
|---|-------------------|-------------|-------------------|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
| COLLINS CAPITAL DIVERSIFIED FUND OFFSHORE I, LTD. | COST | -2,544,139. | 8,280,075. |
| ENDOWMENT VENTURE PARTNERS V LP | COST | 138,176. | 128,750. |
| COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS V LP | COST | 71,450. | 114,815. |
| COMMONFUND CAPITAL VENTURE PARTNERS VI LP | COST | 264,885. | 230,381. |
| COLLINS ALTERNATIVE SOLUTIONS | COST | 3,182,063. | 3,161,012. |
| COLLINS CAPITAL DIVERSIFIED FUND I, LP | COST | 7,480,075. | 7,480,075. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 8,592,510. | 19,395,108. |

| FORM 990-PF | INTEREST AND PENALTIES | STATEMENT | 9 |
|-----------------------------------|------------------------|-----------|------|
| TAX DUE FROM FORM 990-PF, PART VI | | | 905. |
| UNDERPAYMENT PENALTY | | | 28. |
| LATE PAYMENT INTEREST | | | 18. |
| LATE PAYMENT PENALTY | | | 27. |
| TOTAL AMOUNT DUE | | | 978. |

FORM 990-PF . LATE PAYMENT PENALTY STATEMENT 10

| DESCRIPTION | DATE | AMOUNT | BALANCE | MONTHS | PENALTY |
|----------------------------|----------|--------|---------|--------|---------|
| TAX DUE | 05/15/17 | 905. | 905. | 6 | 27. |
| DATE FILED | 11/15/17 | | 905. | | |
| TOTAL LATE PAYMENT PENALTY | | | | | 27. |

FORM 990-PF LATE PAYMENT INTEREST STATEMENT 11

| DESCRIPTION | DATE | AMOUNT | BALANCE | RATE | DAYS | INTEREST |
|-----------------------------|----------|--------|---------|-------|------|----------|
| TAX DUE | 05/15/17 | 905. | 905. | .0400 | 184 | 18. |
| DATE FILED | 11/15/17 | | 923. | | | |
| TOTAL LATE PAYMENT INTEREST | | | | | | 18. |

FORM 990-PF PART XV - LINE 1B LIST OF FOUNDATION MANAGERS STATEMENT 12

NAME OF MANAGER
 DOROTHY DANN COLLINS TORBERT
 MICHAEL COLLINS
 DOROTHY COLLINS WEAVER

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTEDJAMES M. COLLINS FOUNDATION
8115 PRESTON ROAD SUITE 680
DALLAS, TX 75225TELEPHONE NUMBER

214-691-2032

FORM AND CONTENT OF APPLICATIONS

THERE IS NO SPECIAL FORM FOR REQUESTS OF CONTRIBUTIONS OR GIFTS FOR PUBLIC CHARITABLE PURPOSES, WHICH INCLUDES CHARITABLE, SCIENTIFIC, LITERARY, RELIGIOUS AND EDUCATIONAL PUBLIC PURPOSES. A SIMPLE LETTER SETTING FORTH PUBLIC PURPOSE IS SUFFICIENT. THERE IS NO RESTRICTION ON GEOGRAPHICAL AREAS. THERE ARE DOLLAR LIMITATIONS DEPENDING ON AVAILABILITY OF FUNDS AND THE CHOICE OF THE TRUSTEES OF THE PROJECT THAT MOST BENEFITS THE PUBLIC CHARITABLE PURPOSE.

ANY SUBMISSION DEADLINES

REQUESTS ARE RECEIVED ANYTIME. NOTICE OF APPROVAL, REJECTION, AND REQUEST FOR ADDITIONAL INFORMATION IS MADE WITHIN A REASONABLE TIME.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THERE IS NO RESTRICTION ON GEOGRAPHICAL AREAS. CONTRIBUTIONS, GIFTS, AND AWARDS ARE MADE EXCLUSIVELY IN AID OF PUBLIC CHARITABLE PURPOSES. THIS INCLUDES SUCH CHARITABLE, SCIENTIFIC, LITERARY, RELIGIOUS, AND EDUCATIONAL PUBLIC PURPOSES AS THE TRUSTEES SELECT FROM TIME TO TIME AND NOT FOR ANY OTHER PURPOSES. NO SCHOLARSHIPS OR LOANS OR GRANTS FOR FURTHER STUDIES AND RESEARCH ARE MADE TO INDIVIDUALS. THE FOUNDATION MAKES CONTRIBUTIONS TO SOME PRE-SELECTED ORGANIZATIONS. AWARD PROGRAMS WOULD BE PRE-SELECTED DEPENDING ON THE PUBLIC NEED.