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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
ROSA MAY GRIFFIN FOUNDATION

A Employer identification number
75-6011866

Number and street (or P O box number if mail is not delivered to street address)
P O BOX 1790

Room/suite

B Telephone number (see instructions)
(903) 983-2051

City or town, state or province, country, and ZIP or foreign postal code
KILGORE, TX 75663

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 5,583,771

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,352	2,352	2,352	
	4 Dividends and interest from securities	83,993	82,378	83,993	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	27,169			
	b Gross sales price for all assets on line 6a 990,471				
	7 Capital gain net income (from Part IV, line 2)		27,169		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	174,975	174,975	174,975	
	12 **Total.** Add lines 1 through 11	288,489	286,874	261,320	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	24,000	24,000	24,000	
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	18,000	18,000	18,000	
	c Other professional fees (attach schedule)	48,928	48,928	48,928	
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,184	6,077	6,077	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	440	440	440	
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	49	49	49	
	24 **Total operating and administrative expenses.** Add lines 13 through 23	100,601	97,494	97,494	0
	25 Contributions, gifts, grants paid	348,961			348,961
	26 **Total expenses and disbursements.** Add lines 24 and 25	449,562	97,494	97,494	348,961
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-161,073			
	b **Net investment income** (if negative, enter -0-)		189,380		
	c **Adjusted net income**(if negative, enter -0-)			163,826	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	726,663	662,339	662,339
	3 Accounts receivable ▶ 25,248			
	Less allowance for doubtful accounts ▶	13,167	25,248	25,248
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	20,524	3,108	3,108
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	4,431,705	4,340,291	4,590,816
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	1	1	302,260
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,192,060	5,030,987	5,583,771
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	5,192,060	5,030,987	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	5,192,060	5,030,987	
	31 **Total liabilities and net assets/fund balances** (see instructions)	5,192,060	5,030,987	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,192,060
2	Enter amount from Part I, line 27a	2	-161,073
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,030,987
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,030,987

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	27,169
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-37,869

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	293,822	5,856,445	0 050171
2014	219,270	5,648,299	0 038821
2013	205,255	4,928,149	0 041650
2012	247,939	4,412,266	0 056193
2011	244,071	4,364,013	0 055928

2	**Total** of line 1, column (d)	2	0 242763
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048553
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	5,754,119
5	Multiply line 4 by line 3	5	279,380
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,894
7	Add lines 5 and 6	7	281,274
8	Enter qualifying distributions from Part XII, line 4	8	348,961

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,894
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,894
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,894
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 3,108		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	3,108
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,214
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 1,214 **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ DAN PHILLIPS Telephone no ▶ (903) 983-2051

Located at ▶ 1116 N KILGORE STREET KILGORE TX ZIP+4 ▶ 75662

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EBB MOBLEY P O BOX 1790 KILGORE, TX 75663	PRESIDENT 000 00	12,000	0	0
DAN PHILLIPS P O BOX 1790 KILGORE, TX 75663	CFO 000 00	12,000	0	0
HELENA T MOBLEY P O BOX 1790 KILGORE, TX 75663	ASSOC TRUST 000 00	0	0	0
FRANCYE W PHILLIPS P O BOX 1790 KILGORE, TX 75663	ASSOC TRUST 000 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,448,734
b	Average of monthly cash balances	1b	720,496
c	Fair market value of all other assets (see instructions)	1c	672,515
d	**Total** (add lines 1a, b, and c)	1d	5,841,745
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,841,745
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	87,626
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,754,119
6	**Minimum investment return.** Enter 5% of line 5	6	287,706

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	287,706
2a	Tax on investment income for 2016 from Part VI, line 5	2a	1,894		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,894
3	Distributable amount before adjustments Subtract line 2c from line 1			3	285,812
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	285,812
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	285,812

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	348,961
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	348,961
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,894
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	347,067

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				285,812
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			11,325	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 348,961				
a Applied to 2015, but not more than line 2a			11,325	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2016 distributable amount.				285,812
e Remaining amount distributed out of corpus	51,824			
5 Excess distributions carryover applied to 2016				
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	51,824			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	51,824			
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . . 51,824				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THE ROSA MAY GRIFFIN FOUNDATION
P O BOX 1790
KILGORE, TX 75663
(903) 983-2051

b The form in which applications should be submitted and information and materials they should include

LETTER EXPLAINING PURPOSE OR USE OF THE REQUESTING ORGANIZATION'S GRANT REQUEST, DOLLAR AMOUNT REQUESTED, AND COPY OF IRS EXEMPT STATUS LETTER

c Any submission deadlines

APPLICATIONS ARE ACCEPTED AT ANY TIME

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS MADE ONLY TO QUALIFYING SEC 501(C)(3) TAX-EXEMPT ORGANIZATIONS CHARTERED AND OPERATING IN THE STATE OF TEXAS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				348,961
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,352	
4 Dividends and interest from securities			14	83,993	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	189	
8 Gain or (loss) from sales of assets other than inventory			18	27,169	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a OIL, GAS REVENUES-NET			15	174,786	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				288,489	
13 **Total.** Add line 12, columns (b), (d), and (e)					288,489

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-04-28	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
LYNDA R NEWSOME		2017-04-28		P01233123

Firm's name ▶ BROWN BRONSTAD HABENICHT & ROSSON — Firm's EIN ▶75-2378622

Firm's address ▶ PO BOX 1775
KILGORE, TX 756631775 — Phone no (903) 983-2051

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PUBLICLY TRADED SECURITIES-S/T 02444	P		
PUBLICLY TRADED SECURITIES-L/T 02444	P		
PUBLICLY TRADED SECURITIES-S/T 02444	P		
PUBLICLY TRADED SECURITIES-S/T 04C13	P		
PUBLICLY TRADED SECURITIES-L/T 04C13	P		
PUBLICLY TRADED SECURITIES-S/T 04J94	P		
PUBLICLY TRADED SECURITIES-L/T 04J94	P		
PUBLICLY TRADED SECURITIES-S/T 02473	P		
PUBLICLY TRADED SECURITIES-L/T 02473	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
81,766		95,016	-13,250
206,148		217,284	-11,136
21			21
84,603		95,230	-10,627
236,639		196,802	39,837
249,798		263,811	-14,013
67,917		78,674	-10,757
27		27	
18,114		16,458	1,656

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-13,250
			-11,136
			21
			-10,627
			39,837
			-14,013
			-10,757
			1,656

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUSTIN PRESBYTERIAN THEOL SEMINARY 100 E 27TH ST AUSTIN, TX 78705		PC	NEW STUDENT HOUSING	5,000
CAMP GILMONT 6075 STATE HWY 155 N GILMER, TX 75644		PC	1/2 COST OF AUDIT	2,500
DORS P O BOX 1286 LONGVIEW, TX 75606		PC	PROGRAM, FOOD AND SUPPLIES	1,000
EAST TEXAS SYMPHONIC BAND P O BOX 251 LONGVIEW, TX 75606		PC	GRAND WINTER CELEBRATION	500
FIRST PRESBYTERIAN CHURCH-KILGORE 815 E MAIN STREET KILGORE, TX 75662		PC	BATHROOM REMODEL	15,966
FIRST PRESBYTERIAN CHURCH-LONGVIEW 301 N CENTER STREET LONGVIEW, TX 75601		PC	2016-2017 CHORAL SCHOLARSHIP PROGRAM	7,210
GREGG COUNTY HISTORICAL MUSEUM 214 N FREDONIA ST LONGVIEW, TX 75601		GOV	2016 DOLLY'S TEA EVENT	2,500
HP BYRON NELSON CHAMPIONSHIP 106 E 10TH ST SUITE 200 DALLAS, TX 752032296		PC	EDUCATION/MENTAL HEALTH FOR AT-RISK	1,000
KILGORE BOYS AND GIRLS CLUB 724 HARRIS STREET KILGORE, TX 75662		PC	OCTOBER 28TH TEA TABLE SPONSOR	540
KILGORE COLLEGE 1100 BROADWAY ST KILGORE, TX 756623204		PC	DR WILLIAM M HOLDA SCHOLARSHIP FUND	1,000
KILGORE COLLEGE 1100 BROADWAY ST KILGORE, TX 756623204		PC	AGRICULTURE DEPARTMENT	5,613
KILGORE COLLEGE 1100 BROADWAY ST KILGORE, TX 756623204		PC	MUSIC DEPARTMENT	40,000
KILGORE COLLEGE TX SHAKESPEARE FEST 1100 BROADWAY ST KILGORE, TX 756623204		PC	PROMOTION OF FINE ARTS	22,500
KILGORE COLLEGE TX SHAKESPEARE FEST 1100 BROADWAY ST KILGORE, TX 75662		PC	TEXAS SHAKESPEARE FESTIVAL	50,000
LETOURNEAU UNIVERSITY 2100 S MOBBERLY AVENUE LONGVIEW, TX 75602		PC	STUDENT CENTER	10,000
Total . . . . . ▶ 3a				348,961

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEWGATE MISSION 207 S MOBBERLY LONGVIEW, TX 75602		PC	OPERATIONS	500
TRINITY SCHOOL OF TEXAS 215 TEAGUE ST LONGVIEW, TX 75601		PC	ADVANTAGE FUND	12,500
WINDRIDGE THERAPEUTIC EQUESTRIAN CN P O BOX 5932 LONGVIEW, TX 756085932		PC	HORSE & RIDER 2016 EVENT	500
FIRST PRESBYTERIAN CHURCH-JACKSONVI 411 S BOLTON ST JACKSONVILLE, TX 75766		PC	MATCHING GRANT	16,500
SCHREINER UNIVERSITY 2100 MEMORIAL BLVD KERRVILLE, TX 78028		PC	CAMPUS MINISTRY AND WORSHIP CTR	25,000
PINEY WOODS GOLF YOUTH & DEVEL FDN 2695 ALPINE ST LONGVIEW, TX 756058405		PC	EDUCATIONAL ORGANIZATION	500
COMMUNITY HEALTHCORE FOUNDATION 107 WOODBINE PLACE LONGVIEW, TX 75601		PC	MENTAL HEALTH AND INTELLECTUAL DISAB	500
RAHAB'S RETREAT AND RANCH INC 3607 STONE ROAD KILGORE, TX 75662		PC	PLUMBING	5,000
JUNIOR SERVICE LEAGUE OF LONGVIEW 1109 N 4TH ST LONGVIEW, TX 75601		PC	SUSTAINER SCHOLARSHIP	5,000
EAST TEXAS BAPTIST UNIVERSITY ONE TIGER DRIVE MARSHALL, TX 75670		PC	GREAT COMMISSION CENTER FUND	10,000
KILGORE HISTORICAL PRESERVATION FDN 200 S KILGORE ST KILGORE, TX 75662		PC	MATCHING PORTION OF 3/18/16 GRANT	7,500
THEATRE LONGVIEW P O BOX 5165 LONGVIEW, TX 75608		PC	PERFORMING ARTS	1,000
PRESBYTERIAN CHILDRENS HOMES & SERV 606 W GARFIELD LONGVIEW, TX 75602		PC	CHRIST-CENTERED CARE AND SUPPORT	500
GREGG COUNTY HISTORICAL MUSEUM 214 N FREDONIA ST LONGVIEW, TX 75601		GOV	TOUR OF HOMES/RECEPTION	10,000
FIRST PRESBYTERIAN CHURCH-KILGORE 815 E MAIN STREET KILGORE, TX 75662		PC	ET PIPE ORGAN FESTIVAL	25,000
Total . . . . . ▶ 3a				348,961

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIRST PRESBYTERIAN CHURCH-LONGVIEW 301 N CENTER STREET LONGVIEW, TX 75601		PC	CHILDREN'S CENTER INTERCOM SYSTEM	5,439
LONGVIEW WORLD OF WONDERS 112 E TYLER STREET LONGVIEW, TX 75601		PC	FUND ENERGY CITY DISPLAY	25,000
CHRIST THE KING CATHOLIC CHURCH BROADWAY BLVD LAIRD AVE KILGORE, TX 75662		PC	PURCHASE 2 JOHANNUS ORGANS	10,000
CAMP GILMONT 6075 STATE HWY 155 N GILMER, TX 75644		PC	TABLE FOR VISIONING DINNER	500
LONGVIEW MUSEUM OF FINE ARTS 215 E TYLER STREET LONGVIEW, TX 75601		PC	MATCHING GRANT	17,500
WINDRIDGE THERAPEUTIC EQUESTRIAN CT P O BOX 5932 LONGVIEW, TX 756085932		PC	CONTINUAL ACCOMPLISHMENT	500
PARENTING RESOURCE CNTR OF EAST TX 501 PINE TREE RD STE U14 LONGVIEW, TX 75604		PC	MISSIONS	500
BAPTIST STUDENT MINISTRY 809 NOLEN STREET KILGORE, TX 75662		PC	NEW AND LARGER BUILDING FUND	500
FIRST PRESBYTERIAN CHURCH-KILGORE 815 E MAIN STREET KILGORE, TX 75662		PC	BATHROOM REMODEL	3,193
KILGORE BOYS AND GIRLS CLUB 724 HARRIS STREET KILGORE, TX 75662		PC	TEXAS TWO STEP FUNDRAISER	500
Total . . . . . ▶ 3a				348,961

TY 2016 Accounting Fees Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	18,000	18,000	18,000	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491118000157

TY 2016 Investments Corporate Stock Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4,679 SH-ALPS SECTOR DIVIDND DOGS		
638 SH-CONSUMER DISCRETIONARY		
27,638.675 SH-DELAWARE VALUE FUND		
12,875.728 SH-EATON VANCE RCHRD BRST		
4,383.666 SH-FIRST EAGLE GLOBAL CLAS		
616 SH-CONSUMER DISCRETIONARY SPDR		
3,678.078 SH-EATON VANCE RCHRD BRSTN		
1,453.237 SH-FIRST EAGLE GLOBAL CLAS		
137 SH-FIRST TR EXCHANGE TRADED		
94 SH-FIRST TR EXCHANGE TRADED		
310 SH-FIRST TR ISE CLOUD COMP		
240 SH-FIRST TR ISE CLOUD COMP		
14,129.125 SH-FPA CRESCENT FUND INST		
3,802.223 SH-GUGGENHEIM MACRO OPP FD		
755 SH-HEALTH CARE SELECT SPDR		
657 SH-HEALTH CARE SELECT SPDR		
68 SH-ISHARES IBOXX INV GRADE CORP		
149 SH-ISHARES IBOXX INV GRADE CORP		
275 SH-ISHARES INC CORE MSCI		
357 SH-ISHARES INC CORE MSCI		
251 SH-ISHARES MBS ETF		
211 SH-ISHARES MBS ETF		
195 SH-ISHARES MSCI CDA ETF		
214 SH-ISHARES MSCI CDA ETF		
145 SH-ISHARES MSCI PACIFIC EX-JAPAN		
242 SH-ISHARES MSCI PACIFIC EX-JAPAN		
87 SH-MSCI SWEDEN		
115 SH-MSCI SWEDEN		
252 SH-MSCI SWITZERLAND		
315 SH-MSCI SWITZERLAND		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
739 SH-ISHARES MSCI U K ETF SHS		
983 SH-ISHARES MSCI U K ETF SHS		
43 SH-NASDAQ BIOTECH		
35 SH-NASDAQ BIOTECH		
71 SH-ISHARES 3-7 YR TREAS BOND ETF		
112 SH-ISHARES 3-7 YR TREAS BOND ETF		
60 SH-ISHARES TIPS BOND ETF		
121 SH-ISHARES TIPS BOND ETF		
4,938.062 SH-JANUS BALANCED FUND CL		
275 SH-MARKET VECTORS J.P.		
228 SH-POWERSHARES GLOBAL		
316 SH-POWERSHARES GLOBAL		
75 SH-POWERSHARES PREFERRED		
271 SH-POWERSHARES PREFERRED		
4,276.588 SH-PUTNAM CAPITAL SPECTRUM		
8,426.301 SH-PUTNAM MULTI CAP VALUE		
1,079 SH-SECTOR SPDR FINANCIAL;		
1,048 SH-SECTOR SPDR FINANCIAL		
180 SH-SPDR BARCLAYS HIGH YLD BD FD		
30 SH-SPDR BARCLAYS HIGH YLD BD FD		
237 SH-VANGUARD CONSUMER STAPLES ETF		
211 SH-VANGUARD CONSUMER STAPLES ETF		
97 SH-VANGUARD MATERIALS ETF		
123 SH-VANGUARD MATERIALS ETF		
675 SH-VANGUARD INFORMATION TECH ETF		
550 SH-VANGUARD INFORMATION TECH ETF		
339 SH-VANGUARD ENERGY ETF FDS		
429 SH-VANGUARD ENERGY ETF FDS		
630 SH-VANGUARD INDUSTRIAL ETF		
637 SH-VANGUARD INDUSTRIAL ETF		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
257 SH-VANGUARD INTERMEDIATE TERM BD		
485 SH-VANGUARD INTERMEDIATE TERM BD		
216 SH-SHORT-TERM BOND		
256 SH-SHORT-TERM BOND		
1,120 SH-WISDOMTREE EUROPE HEDGED EQ		
330 SH-WISDOMTREE TRUST JAPAN HEDGED		
434 SH-WISDOMTREE TRUST JAPAN HEDGED		
96 SH-ACE LIMITED		
155 SH-ADOBE SYS DEL PV 0.001		
460 SH-ADOBE SYS DEL PV 0.001		
105 SH-ALLERGAN PLC		
43 SH-ALPHABET INC SHS CL A		
44 SH-AMAZON COM INC COM		
99 SH-AMERICAN TOWER REIT INC HLDG		
91 SH-AMERICAN TOWER REIT INC HLDG		
321 SH-APPLE INC		
79 SH-BIOGEN INC		
45 SH-BLACKROCK INC		
21 SH-BLACKROCK INC		
452 SH-CALPINE CORP		
1 SH-CALPINE CORP		
446 SH-CELGENE CORP		
493 SH-COMCAST CORP NEW CL A		
171 SH-CONSTELLATION BRANDS INC		
217 SH-COSTCO WHOLESALE CRP DEL		
252 SH-CVS HEALTH CORP		
186 SH-CVS HEALTH CORP		
266 SH-DISNEY (WALT) CO COM STK		
1,261 SH-E M C CORPORATION MASS		
1,122 SH-EBAY INC COM		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
276 SH-ELI LILLY & CO		
144 SH-GENERAL MOTORS CO		
268 SH-GILEAD SCIENCES INC COM		
237 SH-HALLIBURTON COMPANY		
257 SH-HALLIBU8RTON COMPANY		
191 SH-HCA HOLDINGS INC SHS		
336 SH-HCA HOLDINGS INC SHS		
169 SH-HESS CORP		
102 SH-HESS CORP		
178 SH-HOME DEPOT INC		
203 SH-INGERSOLL-RAND PLC		
234 SH-JPMORGAN CHASE & CO		
407 SH-LAUDER ESTEE COS INC A		
111 SH-LINKEDIN CORP CLASS A COMMON		
184 SH-MACQUARIE INFRASTRUCTURE CORP		
325 SH-MASTERCARD INC		
554 SH-NIKE INC CL B		
400 SH-NORDSTROM INC		
148 SH-OCCIDENTAL PETE CORP CAL		
82 SH-OCCIDENTAL PETE CORP CAL		
839 SH-PAYPAL HOLDINGS INC		
490 SH-QUALCOMM INC		
138 SH-RAYTHEON CO DELAWARE NEW		
101 SH-SBA COMMUNICATIONS CRP A		
382 SH-SKYWORKS SOLUTIONS INC		
331 SH-SYNOPSYS INC		
691 SH-TJX COS INC NEW		
144 SH-UNION PACIFIC CORP		
163 SH-VULCAN MATERIALS CO		
285 SH-WELLS FARGO & CO NEW DEL		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
142 SH-WELLS FARGO & CO NEW DEL		
345 SH-YAHOO INC		
333 SH-ZOETIS INC		
2,511 SH-ALPS SECTOR DIVIDND DOGS	91,727	105,638
1,442 SH-CONSUMER DISCRETIONARY SPDR	105,359	117,379
28,078.277 SH-DELAWARE VALUE FD INST	489,981	552,580
17,147.242 SH-EATON VANCE RCHRD BRST	232,728	256,351
6,112.18 SH-FIRST EAGLE GLOBAL CLASS	309,960	333,053
223 SH-FIRST TR EXCHANGE TRADED	14,533	17,802
528 SH-FIRST TR CLOUD COMPUTING	14,740	18,205
14,826.224 SH-FPA CRESCENT FUND INST	447,359	483,483
4,005.867 SH-GUGGENHEIM MACRO OPP FD	101,111	105,635
1,335 SH-HEALTH CARE SELECT SPDR	91,403	92,035
219 SH-ISHARES IBOXX	25,677	25,662
92 SH-ISHARES IBOXX HIGH YIELD	7,729	7,963
1,413 SH-ISHARES INC CORE MSCI	57,506	59,982
484 SH-ISHARES MBS ETF	52,786	51,469
330 SH-ISHARES MSCI CDA ETF	7,815	8,630
458 SH-ISHARES MSCI JAPAN ETF	19,816	22,378
679 SH-ISHARES MSCI PACIFIC	27,765	26,868
147 SH-ISHARES MSCI SWEDEN	4,566	4,223
457 SH-ISHARES MSCI SWITZERLAND	14,577	13,463
750 SH-ISHARES MSCI U K ETF	25,640	23,017
82 SH-ISHARES NASDAQ BIOTECH	25,559	21,761
231 SH-ISHARES 3-7 YR TREAS BD ETF	28,634	28,300
232 SH-ISHARES TIPS BOND ETF	26,097	26,255
5,109.197 SH-JANUS BALANCED FD CL I	148,892	149,648
714 SH-POWERSHARES EM SOVEREIGN BOND	20,300	20,178
346 SH-POWERSHARES PREF PORTFOLIO	5,063	4,924
4,436.415 SH-PUTNAM CAP SPECTRUM FD	169,414	146,757

Name of Stock	End of Year Book Value	End of Year Fair Market Value
8,426.114 SH-PUTNAM MULTI CAP VALUE	167,772	158,664
651 SH-REAL ESTATE SECTOR SPDR	21,474	20,018
945 SH-SECTOR SPDR ENERGY	56,993	71,177
1,478 SH-SPDR US FINANCIAL SECTOR	34,667	34,364
417 SH-VANECK VECTORS J.P.	7,399	7,339
418 SH-VANGUARD CONSUMER STAPLES ETF	51,534	56,008
197 SH-VANGUARD MATERIALS ETF	19,320	22,151
1,195 SH-VANGUARD INFORMATION TECH	121,342	145,193
978 SH-VANGUARD INDUSTRIAL ETF	98,594	116,636
829 SH-VANGUARD INTERM TERM BD	70,465	68,865
200 SH-VANGUARD SHORT TERM BOND	16,016	15,890
876 SH-WISDOMTREE EUROPE HEDGED EQ	50,592	50,282
504 SH-ADOBE SYS DEL	38,715	51,887
114 SH-ALLERGAN PLC	29,824	23,941
56 SH-ALPHABET INC SHS CL A	38,546	44,377
250 SH-AMERICAN INTERNATIONAL	16,441	16,327
190 SH-AMERICAN TOWER REIT INC	18,506	20,079
160 SH-ANHEUSER BUSCH INBEV ADR	16,685	16,870
397 SH-APPLE INC	42,675	45,981
376 SH-APPLIED MATERIAL INC	10,861	12,134
91 SH-BIOGEN INC	26,629	25,806
10 SH-BIOMARIN PHARMACEUTICALS	1,002	828
187 SH-BLACK HILLS CORP	11,794	11,471
47 SH-BLACKROCK INC	15,843	17,885
497 SH-BRISTOL-MYERS SQUIBB CO	33,598	29,045
414 SH-CELGENE CORP	48,334	47,921
744 SH-COMCAST CORP NEW CL A	45,335	51,373
306 SH-CVS HEALTH CORP	30,458	24,146
347 SH-DEVON ENERGY CORP	15,125	15,847
298 SH-DISNEY (WALT) CO COM STK	30,541	31,058

Name of Stock	End of Year Book Value	End of Year Fair Market Value
329 SH-ELI LILLY & CO	26,079	24,198
141 SH-FACEBOOK INC	13,893	16,222
85 SH-GENERAL DYNAMICS CORP	11,352	14,676
353 SH-GILEAD SCIENCES INC COM	36,346	25,278
94 SH-GOLDMAN SACHS GROUP INC	15,784	22,508
347 SH-HALLIBURTON COMPANY	16,455	18,769
178 SH-HOME DEPOT INC	14,045	23,866
89 SH-ILLUMINA INC	12,935	11,396
203 SH-INGERSOLL-RAND PLC	13,599	15,233
264 SH-JPMORGAN CHASE & CO	16,616	22,781
902 SH-KEYCORP NEW	16,484	16,480
313 SH-KRAFT (THE) HEINZ CO	26,583	27,331
891 SH-KROGER CO	31,684	30,748
222 SH-LAUDER ESTEE COS INC A	18,827	16,981
279 SH-MASTERCARD INC	25,368	28,807
321 SH-MEDTRONIC PLC	28,202	22,865
458 SH-MICROSOFT CORP	27,627	28,460
230 SH-OCCIDENTAL PETE CORP CAL	19,945	16,383
691 SH-PAYPAL HOLDINGS INC	23,437	27,274
138 SH-RAYTHEON CO DELAWARE NEW	16,221	19,596
148 SH-SBA COMMUNICATIONS CRP A	14,711	15,282
117 SH-SPLUNK INC	6,800	5,985
473 SH-STARBUCKS CORP	27,802	26,261
331 SH SYNOPSIS INC	16,291	19,483
584 SH-TJX COS INC NEW	41,839	43,876
429 SH-UNDER ARMOUR INC CL A	16,226	12,462
144 SH-UNION PACIFIC CORP	13,257	14,930
206 SH-UNITEDHEALTH GROUP INC	28,818	32,968
100 SH-VULCAN MATERIALS CO	9,248	12,515

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TY 2016 Other Assets Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
OIL, GAS PROPERTIES	1	1	302,260

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TY 2016 Other Expenses Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE EXPENSE	49	49	49	

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TY 2016 Other Income Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	189	189	189
OIL, GAS REVENUES-NET	174,786	174,786	174,786

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TY 2016 Other Professional Fees Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MERRILL LYNCH MANAGEMENT FEES	48,928	48,928	48,928	

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TY 2016 Taxes Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AD VALOREM TAXES	5,536	5,536	5,536	
PRIOR YEAR EXCISE TAX	3,107			
FOREIGN TAX PAID	541	541	541	