Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

A Employer identification number
75-2734032

Number and street (or P O box number if mail is not delivered to street address) Room/suite
325 N ST PAUL STREET

B Telephone number (see instructions)
(214) 220-2128

City or town, state or province, country, and ZIP or foreign postal code
DALLAS, TX 75201

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 59,353,571

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	31,300			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	831,467	831,467		
	4	Dividends and interest from securities	621,213	621,213		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	286,180			
	b	Gross sales price for all assets on line 6a 11,905,912				
	7	Capital gain net income (from Part IV, line 2)		286,180		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	720,306	475,397		
	12	**Total.** Add lines 1 through 11	2,490,466	2,214,257		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	609,332	319,051		290,281
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits	48,271	25,262		23,009
	16a	Legal fees (attach schedule)	488	488		
	b	Accounting fees (attach schedule)	25,000	12,500		12,500
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	67,102	19,316		10,352
	19	Depreciation (attach schedule) and depletion	51,801	51,801		
	20	Occupancy	47,528	23,764		23,764
	21	Travel, conferences, and meetings	121,243	336		120,907
	22	Printing and publications	1,565	88		1,477
	23	Other expenses (attach schedule)	513,820	217,450		35,364
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,486,150	670,056		517,654
	25	Contributions, gifts, grants paid	2,401,868			2,551,868
	26	**Total expenses and disbursements.** Add lines 24 and 25	3,888,018	670,056		3,069,522
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-1,397,552			
	b	**Net investment income** (if negative, enter -0-)		1,544,201		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	277,256	436,510	436,510
	2 Savings and temporary cash investments	496,658	1,820,369	1,820,369
	3 Accounts receivable ▶ 156,429 Less allowance for doubtful accounts ▶	128,158	156,429	156,429
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	48,644	72,056	72,056
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	28,583,109	25,532,642	25,532,642
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ 279,402 Less accumulated depreciation (attach schedule) ▶	279,402	279,402	279,402
	12 Investments—mortgage loans	6,256,210	4,389,853	4,389,853
	13 Investments—other (attach schedule)	23,304,809	26,627,846	26,627,846
	14 Land, buildings, and equipment basis ▶ 122,016 Less accumulated depreciation (attach schedule) ▶ 83,552	47,402	38,464	38,464
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	59,421,648	59,353,571	59,353,571
Liabilities	17 Accounts payable and accrued expenses	153,578	15,040	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	60,867		
	23 **Total liabilities**(add lines 17 through 22)	214,445	15,040	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	59,207,203	59,338,531	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	59,207,203	59,338,531	
	31 **Total liabilities and net assets/fund balances** (see instructions)	59,421,648	59,353,571	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	59,207,203
2	Enter amount from Part I, line 27a	2	-1,397,552
3	Other increases not included in line 2 (itemize) ▶	3	1,528,880
4	Add lines 1, 2, and 3	4	59,338,531
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	59,338,531

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	286,180
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-8,536

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	3,180,483	68,089,950	0 04671
2014	2,817,981	63,287,615	0 04453
2013	2,912,987	61,461,439	0 04740
2012	2,881,245	58,725,473	0 04906
2011	2,633,733	58,207,876	0 04525

2	**Total** of line 1, column (d)	2	0 232942
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046588
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	62,925,510
5	Multiply line 4 by line 3	5	2,931,574
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	15,442
7	Add lines 5 and 6	7	2,947,016
8	Enter qualifying distributions from Part XII, line 4	8	3,069,522

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	15,442
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	15,442
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	15,442
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	106,214		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	106,214
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	90,772
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 90,772	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____ **(2)** On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW HATTONSUMNERS ORG

14 The books are in care of ▶HUGH C AKIN Telephone no ▶(214) 220-2128

Located at ▶325 NORTH ST PAUL SUITE 3920 DALLAS TX ZIP+4 ▶752013821

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☑ Yes ☐ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☑ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WENDY WERNER 325 N ST PAUL STREET STE 3920 DALLAS, TX 75201	EXEC ASSISTANT 40 00	70,100	10,515	
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	26,928,308
b	Average of monthly cash balances.	1b	2,367,317
c	Fair market value of all other assets (see instructions).	1c	34,588,141
d	**Total** (add lines 1a, b, and c).	1d	63,883,766
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	63,883,766
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	958,256
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	62,925,510
6	**Minimum investment return.** Enter 5% of line 5.	6	3,146,276

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	3,146,276
2a	Tax on investment income for 2016 from Part VI, line 5. 2a 15,442		
b	Income tax for 2016 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	15,442
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	3,130,834
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	3,130,834
6	Deduction from distributable amount (see instructions).	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	3,130,834

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,069,522
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,069,522
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	15,442
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	3,054,080

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				3,130,834
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			2,767,892	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 3,069,522				
a Applied to 2015, but not more than line 2a			2,767,892	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				301,630
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016				
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				2,829,204
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

HUGH C AKIN
325 N ST PAUL STREET SUITE 3920
DALLAS, TX 752013821
(214) 220-2128
HUGH@HATTONSUMNERS ORG

b The form in which applications should be submitted and information and materials they should include

LETTER, RESUME OF ORGANIZATION OR PERSON AND OTHER SUPPORTING MATERIAL

c Any submission deadlines

VARIOUS

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE LIMITED TO THE STUDY AND TEACHING OF THE SCIENCE OF SELF-GOVERNMENT

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				2,551,868
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	831,467	
4 Dividends and interest from securities			14	621,213	
5 Net rental income or (loss) from real estate					
a Debt-financed property	531120	-16,097			
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	239,181	46,999
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a OIL & GAS ROYALTIES			15	475,397	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-16,097		2,167,258	46,999

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 2,198,160

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-10-05	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Kimberly D Crawford				P00446484
Firm's name ▶ Sutton Frost Cary LLP				Firm's EIN ▶
Firm's address ▶ 600 Six Flags Dr Suite 600 Arlington, TX 76011				Phone no (817) 649-8083

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BROADVIEW OPPORTUNITY FUND	P	2010-01-01	2016-10-31
LAZARD INTL EQUTY	P	2010-01-01	2016-10-31
LEGG MASON CLEARRIDGE	P	2010-01-01	2016-10-31
NUANCE CONCENTRATED VALUE	P	2016-01-01	2016-10-31
VANGUARD INSTITUTIONAL INDEX	P	2010-01-01	2016-01-01
WILLIAMS BLAIR EMERGING MARKETS	P	2010-01-01	2016-10-31
HERITAGE BUILDING	P	2010-01-01	2016-01-15
GRAHAM ASSET SALE	P	2010-01-01	2016-06-30
LINX FUND ı	P	2010-01-01	2016-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
425,000		486,222	-61,222
380,000		386,775	-6,775
3,685,409		4,132,761	-447,352
450,000		458,536	-8,536
3,515,000		2,813,473	701,527
320,000		283,017	36,983
692,600		612,840	79,760
413,791		468,995	-55,204
2,024,112		1,977,113	46,999

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-61,222
			-6,775
			-447,352
			-8,536
			701,527
			36,983
			79,760
			-55,204
			46,999

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID G DRUMM 901 MAIN STREET STE 500 DALLAS, TX 752023767	Chairman 2 00	29,909		
CHARLES L MOORE 5901-J WYOMING BLVD NE 154 ALBUQUERQUE, NM 87109	VICE CHAIRMAN 2 00	25,122		
JERRY D REIS 6012 W CAMPUS CIRCLE DR 210 IRVING, TX 75063	Treasurer 2 00	19,545		
LON R WILLIAMS 2501 N HARWOOD SUITE 1900 DALLAS, TX 75201	Secretary 2 00	24,911		
W DALE CRANE PO BOX 92817 SOUTHLAKE, TX 76092	Director 2 00	25,000		
SCOTT M HIGGINBOTHAM 125 BELLAIRE AVENUE DUMAS, TX 79029	Director 2 00	24,752		
WILLIAM W MEADOWS 421 W 3RD STREET SUITE 800 FORT WORTH, TX 76102	Director 2 00	21,374		
HUGH C AKIN 325 N ST PAUL ST STE 3920 DALLAS, TX 75201	Executive Dir 40 00	170,500	24,675	
MIKE MCELROY 325 N ST PAUL ST STE 3920 DALLAS, TX 75201	Controller 40 00	83,750	12,563	
BETH VAN DUYNE 325 N ST PAUL ST STE 3920 DALLAS, TX 75201	Director 2 00	19,663		
BRANT C MARTIN 325 N ST PAUL ST STE 3920 DALLAS, TX 75201	Director 2 00	18,648		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BAYLOR UNIVERSITY 1311 S 5TH ST WACO, TX 76706	NONE	PC	IENGAGE SUMMER CIVICS	45,000
THE BILL OF RIGHTS INSTITUTE 200 NORTH GLEBE ROAD ARLINGTON, VA 22203	NONE	PC	CONSTITUTION SEMINAR N M	25,000
FOUNDATION FOR TEACHING ECONOMICS 260 RUSSELL BLVD DAVIS, CA 95616	NONE	PC	ECONOMICS EDUCATION PROGRAM	75,000
FUND FOR AMERICAN STUDIES 1706 NEW HAMPSHIRE AVE WASHINGTON, DC 20009	NONE	PC	UNDERGRAD SCHOLARSHIPS TO WASHINGTON D C	48,475
GLASSHOUSE POLICY 1408 E 13TH STREET AUSTIN, TX 78702	NONE	PC	Online Public Policy Development	50,000
Total . . . . ▶ **3a**				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOWARD PAYNE UNIVERSITY 1000 FISK STREET BROWNWOOD, TX 76801	NONE	PC	Scholarship Program Development	10,000
HUSTON-TILLOTSON COLLEGE 900 CHICON STREET AUSTIN, TX 78702	NONE	PC	SUMNERS SCHOLARSHIPS	72,000
IGNITE TEXAS PO BOX 300182 AUSTIN, TX 78703	NONE	PC	CIVIC/POLITICAL ENGAGEMENT BY YOUNG WOMEN	25,000
INSTITUTE FOR POLICY INNOVATION 1660 S INTERSTATE 35-E LEWISVILLE, TX 75067	NONE	PC	HWS DISTINGUISHED LECTURES (#1 OF 2)	75,000
LAW FOCUSED EDUCSTATE BAR OF TEXAS 14651 DALLAS PKWY DALLAS, TX 75254	NONE	PC	"BEING AN AMERICAN" CURRICULUM	27,728
Total . . . . ▶ **3a**				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEW MEXICO FIRST 505 MARQUETTE AVE ALBUQUERQUE, NM 87102	NONE	PC	IMPLEMENT PUBLIC EDUCATION AFTER TOWN HALL MEETING	70,000
NEW MEXICO STATE UNIVERSITY FOUNDATION 1780 E UNIVERSITY AVE LAS CRUCES, NM 88003	NONE	PC	DOMENICI PUBLIC POLICY CONFERENCE	25,000
PHILANTHROPY ROUNDTABLE 1730 M STREET NM WASHINGTON, DC 20036	NONE	PC	GENERAL SUPPORT AND ALLIANCE FOR CHARITABLE REFORM	8,000
SCHREINER UNIVERSITY 2100 SAN ANTONIO HWY KERRVILLE, TX 78028	NONE	PC	WHITEHURST AWARDS	4,500
SMU UNDERGRADUATE SCHOOL PO BOX 750100 DALLAS, TX 75275	NONE	PC	JOHN G TOWER SUPPLEMENT TO ENDOWMENT	20,000
Total . . . . ▶ **3a**				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUTHWESTERN UNIVERSITY 1001 E UNIVERSITY AVE GEORGETOWN, TX 78626	NONE	PC	SUMNERS SCHOLARSHIP PROGRAM	80,000
ST EDWARDS UNIVERSITY 3001 S CONGRESS AVE AUSTIN, TX 78704	NONE	PC	SUMNERS SCHOLARSHIP PROGRAM	80,000
TEXAS CHRISTIAN UNIVERSITY 2800 S UNIVERSITY DR FORT WORTH, TX 76129	NONE	PC	DISTINGUISHED SCHOLARS (FORMERLY PARTIAL TUITION)	70,000
TEXAS TRIBUNE 823 CONGRESS AVE AUSTIN, TX 78701	NONE	PC	FESTIVAL, CONVERSATION SERIES & SYMPOSIUMS ON PUBLIC POLICY	100,000
TEXAS WESLEYAN UNIVERSITY 1201 WESLEYAN ST FORT WORTH, TX 75105	NONE	PC	SCHOLARSHIP PROGRAM DEVELOPMENT	6,000
Total . . . . ▶ 3a				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF DALLAS 1845 E NORTHGATE DR IRVING, TX 75062	NONE	PC	SUMNERS SCHOLARSHIP PROGRAM	100,000
UNIVERSITY OF NORTH TEXAS FOUNDATION 1155 UNION CIRCLE DENTON, TX 76203	NONE	PC	PUBLIC ADM FELLOWSHIPS/LIVING ALLOWANCE	153,500
UNIVERSITY OF TEXAS AT AUSTIN BOX 8058 AUSTIN, TX 78712	NONE	PC	ANNETTE STRAUS INSTITUTE-NEW POLITICS FORUM	175,000
UNIVERSITY OF SOUTHWEST 6610 N LOVINGTON HWY HOBBS, NM 88242	NONE	PC	HWS RESPONSIBLE CITIZENSHIP SERIES	18,000
WOODROW WILSON HS COMMUNITY FOUNDATION 100 S GLASSGOW DALLAS, TX 75214	NONE	PC	TEXAS LEADERSHIP PROGRAM	15,000
Total . . . . ▶ 3a				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YMCA ALBUQUERQUE CENTRAL NM 4701 MONTANO RD NM ALBUQUERQUE, NM 87120	NONE	PC	YOUTH AND GOVERNMENT	75,000
TEXAS STATE ALLIANCE OF OF YMCAS 3208 RED RIVER ST AUSTIN, TX 78705	NONE	PC	YOUTH AND GOVERNMENT	155,000
YMCA OKLAHOMA CITY 100 W MAIN ST OKLAHOMA CITY, OK 73102	NONE	PC	YOUTH AND GOVERNMENT	81,175
AUSTIN COLLEGE 900 N GRAND AVE SHERMAN, TX 75090	NONE	PC	CRIMINAL LAW SYMPOSIUM	750
AUSTIN COLLEGE 900 N GRAND AVE SHERMAN, TX 75090	NONE	PC	PUBLIC ADMINISTRATION SYMPOSIUM	750
Total . . . . ▶ **3a**				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE BILL OF RIGHTS INSTITUTE 200 NORTH GLEBE ROAD ARLINGTON, VA 22203	NONE	PC	"TEXAS CITIZEN BEE" PROGRAM MAINTANANCE	1,440
FUND FOR AMERICAN STUDIES 1706 NEW HAMPSHIRE AVE WASHINGTON, DC 20009	NONE	PC	SUMNERS SCHOLARSHIPS	4,000
LAW FOCUSED EDUCSTATE BAR OF TEXAS 14651 DALLAS PKWY DALLAS, TX 75254	NONE	PC	TEACHER TRAINING INSTITUTE ON THE FOUNDING DOCUMENTS	300,000
LAW FOCUSED EDUCSTATE BAR OF TEXAS 14651 DALLAS PKWY DALLAS, TX 75254	NONE	PC	TEXAS CITIZEN BEE COMPETITION	40,000
SCHREINER UNIVERSITY 2100 SAN ANTONIO HWY KERRVILLE, TX 78028	NONE	PC	SCHOLARSHIP PROGRAM DEVELOPMENT	8,000
Total . . . . ▶ **3a**				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF TEXAS AT AUSTIN BOX 8058 AUSTIN, TX 78712	NONE	PC	LBJ SCHOOL LEADERSHIP CONFERENCE 2006-2018	180,000
NATIONAL CENTER FOR POLICY ANALYSIS 14180 DALLAS PARKWAY 350 DALLAS, TX 75254	NONE	PC	HATTON W SUMNERS LECTURES	75,000
TEXAS CHRISTIAN UNIVERSITY 2800 S UNIVERSITY DR FORT WORTH, TX 76129	NONE	PC	iENGAGE SUMMER CIVICS INSTITUTE	25,000
WORLD AFFAIRS COUNCIL 325 NORTH ST PAUL STREET 4200 DALLAS, TX 75201	NONE	PC	CIVIC PARTICIPATION LECTURE SERIES	7,200
INSTITUTE FOR POLICY INNOVATION 1660 S INTERSTATE 35-E LEWISVILLE, TX 75067	NONE	PC	HWS DISTINGUISHED LECTURES 2 NEW IN 2017	150,000
Total . . . . ▶ **3a**				2,551,868

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LIBERTAS ACADEMY 33617 E FOWLER AVE TAMPA, FL 33617	NONE	PC	SPRING CIVICS INSTITUTE INSTRUCTION AND CURRICULUM	15,000
TEXAS STATE UNIVERSITY 601 UNIVERSITY SAN MARCOS, TX 78666	NONE	PC	DISCOURSE IN DEMOCRACY PROJECT	5,350
FOUNDATION FOR RESPONSIBLE TELEVISI 2904 FLOYD STREET DALLAS, TX 75204	NONE	PC	MCCUISTION PROGRAMS	50,000
Total . . . . ▶ **3a**				2,551,868

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TY 2016 Accounting Fees Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	25,000	12,500	0	12,500

TY 2016 Investments Corporate Stock Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD VINIX US BANK	8,809,861	8,809,861
BROADVIEW OPPORTUNITY	3,743,999	3,743,999
NUANCE CONCENTRATE VALUE	3,901,939	3,901,939
BLAIRE BESIX-US BANK	2,369,941	2,369,941
LAZARD INTERNATIONAL STRATEGY	2,987,987	2,987,987
JMGRX-JANIS ENTER FUND	3,718,915	3,718,915

TY 2016 Investments - Land Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	279,402		279,402	279,402

TY 2016 Investments - Other Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERRA AGRI FUND II LP	FMV	1,467,359	1,467,359
WHITEBOX MULTI STRATEGY FUND	FMV	3,435,509	3,435,509
K-18 ML WELSH CARSON	FMV	178,800	178,800
ML-K-18-WP TRUST IV	FMV	84,447	84,447
KAYNE ANDERSON RE PTNR	FMV	499,161	499,161
LINX FUND I LP	FMV	4,439,839	4,439,839
CAPITAL ROY PARTNERS II	FMV	1,879,908	1,879,908
TIDELAND ROYALTY TRUST "B"	FMV	44,955	44,955
ORRI GULF OF MEXICO	FMV	451,983	451,983
ORRI OFFSHORE #2	FMV	281,163	281,163
ORRI OKLAHOMA #1	FMV	188,039	188,039
ORRI OKLAHOMA #2	FMV	132,856	132,856
ORRI KANSAS	FMV	67,822	67,822
ORRI LOUISIANA	FMV	3,545	3,545
ORRI TEXAS	FMV	6,456	6,456
ORRI OKLAHOMA #3	FMV	43,884	43,884
ORRI NEW MEXICO #1	FMV	55,337	55,337
ORRI TEXAS #2	FMV	27,778	27,778
ORRI TEXAS #3	FMV	50,659	50,659
ORRI TEXAS #4	FMV	92,064	92,064
ORRI LOUISIANA #2	FMV	352	352
ORRI LOUISIANA # 3	FMV	19,830	19,830
ORRI TEXAS #5	FMV	48,942	48,942
ORRI NEW MEXICO #2	FMV	4,416	4,416
ORRI TEXAS # 6	FMV	20,793	20,793
ORRI LOUISIANA #5	FMV	60,240	60,240
ORRI KANSAS #2	FMV	128,578	128,578
ORRI WYOMING #1	FMV	202,245	202,245
MADISON 1600 VICEROY	FMV	314,571	314,571
BACELINE NO DEBT RE FD	FMV	477,167	477,167

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BACELINE NOP DEBT III	FMV	499,784	499,784
BRIARGROVE PLACE OFFICE BUILDING	FMV	1,563,609	1,563,609
TALON 2525 MCKINNON	FMV	991,279	991,279
HERITAGE OFFICE BUILDING (PAR CAPITAL)	FMV	732,070	732,070
PINNACLE IV	FMV	2,239,988	2,239,988
AMERRA AGRI FUND III	FMV	1,125,382	1,125,382
HACKBERRY CREEK	FMV	1,754,308	1,754,308
MADISON, LLC	FMV	3,012,728	3,012,728

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TY 2016 Land, Etc. Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Machinery and Equipment	122,016	83,552	38,464	38,464

TY 2016 Legal Fees Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	488	488	0	0

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TY 2016 Other Expenses Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INFORMATION TECHNOLOGY	14,157			14,157
INSURANCE	24,052	24,052		
INVESTMENT EXPENSES	154,291	150,734		3,557
NEWSLETTER PROGRAM	6,123			6,123
OIL & GAS MAINTENANCE	22,000	22,000		
PARKING	6,550	3,275		3,275
POSTAGE	1,201	470		731
Rental Expenses	261,006			
REPAIRS & MAINTENANCE	1,496	1,472		24
ROYALTY OIL & GAS EXPENSE	8,455	8,455		

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUPPLIES	4,473	1,984		2,489
TELEPHONE	10,016	5,008		5,008

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TY 2016 Other Income Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OIL & GAS ROYALTIES	475,397	475,397	
Rental Income - Noninvestment Property	244,909		

TY 2016 Taxes Schedule

Name: HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC

EIN: 75-2734032

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	37,434			
PAYROLL TAX	21,893	11,541		10,352
PROPERTY TAX	7,775	7,775		

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs gov/form990*

OMB No 1545-0047

2016

Name of the organization	Employer identification number
HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC	75-2734032

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ
- ☐ 501(c)( ) (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF
- ☑ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC	75-2734032

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	C GREGORY RODGERS 1370 BENT TRAIL SOUTHLAKE, TX76092	$ 10,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC	75-2734032

Part II **Noncash Property**

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
HATTON W SUMNERS FOUNDATION FOR THE STUDY & TEACHING OF SELF-GOVERNMENT INC	75-2734032

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee