Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
OMEGA FOUNDATION INC

A Employer identification number
75-2716754

Number and street (or P O box number if mail is not delivered to street address)
300 THROCKMORTON NO 1450

Room/suite

B Telephone number (see instructions)
(817) 335-5739

City or town, state or province, country, and ZIP or foreign postal code
FORT WORTH, TX 76102

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 4,200,536

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	4,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	2	2		
	4	Dividends and interest from securities	153,057	153,057		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	42,748			
	b	Gross sales price for all assets on line 6a 953,486				
	7	Capital gain net income (from Part IV, line 2)		42,748		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	199,807	195,807		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,875	0		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,618	0		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	21,365	21,365		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	30,858	21,365		0
	25	Contributions, gifts, grants paid	305,125			305,125
	26	**Total expenses and disbursements.** Add lines 24 and 25	335,983	21,365		305,125
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-136,176			
	b	**Net investment income** (if negative, enter -0-)		174,442		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
	Assets			
1	Cash—non-interest-bearing	9,568	22,362	22,362
2	Savings and temporary cash investments	10,464	3,739	3,739
3	Accounts receivable ▶ 1,000			
	Less allowance for doubtful accounts ▶	1,000	1,000	1,000
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	4,167,186	4,026,381	4,026,381
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	148,494	147,054	147,054
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,336,712	4,200,536	4,200,536
	Liabilities			
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons	2,084	2,084	
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	2,084	2,084	
	Net Assets or Fund Balances			
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	4,334,628	4,198,452	
30	**Total net assets or fund balances** (see instructions)	4,334,628	4,198,452	
31	**Total liabilities and net assets/fund balances** (see instructions)	4,336,712	4,200,536	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,334,628
2	Enter amount from Part I, line 27a	2	-136,176
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	4,198,452
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,198,452

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	42,748
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	357,998	3,654,050	0 097973
2014	143,238	2,660,885	0 053831
2013	82,500	2,616,126	0 031535
2012	102,904	1,484,973	0 069297
2011	37,500	1,427,495	0 026270

2	**Total** of line 1, column (d)	2	0 278906
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055781
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,872,072
5	Multiply line 4 by line 3	5	215,988
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,744
7	Add lines 5 and 6	7	217,732
8	Enter qualifying distributions from Part XII, line 4 , ...	8	305,125

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	1,744
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	1,744
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,744
6	Credits/Payments				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a			
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	3,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	3,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	52
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,204
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 1,204	**Refunded** ▶		11	0

Part VII-A **Statements Regarding Activities**

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?. *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		No
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JOSEPH E HARDGROVE Telephone no ▶(817) 335-5739

Located at ▶300 THROCKMORTON SUITE 1450 FORT WORTH TX ZIP+4 ▶76102

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?.	**6b**		**No**
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation (**If not paid, enter -0-**)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	3,757,268
b	Average of monthly cash balances		1b	25,701
c	Fair market value of all other assets (see instructions)		1c	148,069
d	**Total** (add lines 1a, b, and c)		1d	3,931,038
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	3,931,038
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	58,966
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	3,872,072
6	**Minimum investment return.** Enter 5% of line 5		6	193,604

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	193,604
2a	Tax on investment income for 2016 from Part VI, line 5	2a 1,744		
b	Income tax for 2016 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,744
3	Distributable amount before adjustments Subtract line 2c from line 1		3	191,860
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	191,860
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	191,860

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	305,125
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	305,125
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,744
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	303,381

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7					191,860
2 Undistributed income, if any, as of the end of 2016					
a Enter amount for 2015 only.				0	
b Total for prior years 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2016					
a From 2011.					
b From 2012.					
c From 2013.					
d From 2014.					
e From 2015.	130,662				
f **Total** of lines 3a through e.		130,662			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 305,125					
a Applied to 2015, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2016 distributable amount.					191,860
e Remaining amount distributed out of corpus		113,265			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		243,927			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a		243,927			
10 Analysis of line 9					
a Excess from 2012. . . .					
b Excess from 2013. . . .					
c Excess from 2014. . . .					
d Excess from 2015. . . .	130,662				
e Excess from 2016. . . .	113,265				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	**(b)** 2015	**(c)** 2014	**(d)** 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				305,125
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	2	
4 Dividends and interest from securities. . . .			14	153,057	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	42,748	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		195,807	0

13 Total. Add line 12, columns (b), (d), and (e). 13 195,807

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title
******	2017-11-14	******

May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
TERRI L CALVERLEY				P00282230

Firm's name ▶ GANDY CALVERLEY & CO PC — Firm's EIN ▶75-1995435

Firm's address ▶ 3132 W FIFTH STREET FORT WORTH, TX 76107 — Phone no (817) 336-7373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ALLIANZ GI INCM & GWTH A, 5201 04 SHS	P	2015-11-16	2016-02-02
ALLIANZ GI INCM & GWTH A, 40 167 SHS	P	2015-11-19	2016-02-02
ALLIANZ GI INCM & GWTH A, 41 541 SHS	P	2015-12-17	2016-02-02
ALLIANZ GI INCM & GWTH A, 911 577 SHS	P	2016-01-05	2016-02-02
ALLIANZ GI INCM & GWTH A, 52 878 SHS	P	2016-01-21	2016-02-02
ALLIANZ GI INCM & GWTH A, 515 075 SHS	P	2015-11-16	2016-02-11
ALLIANZ GI INCM & GWTH A, 3 978 SHS	P	2015-11-19	2016-02-11
ALLIANZ GI INCM & GWTH A, 4 114 SHS	P	2015-12-17	2016-02-11
ALLIANZ GI INCM & GWTH A, 4 466 SHS	P	2016-01-21	2016-02-11
AMERICAN FUNDS AMCAP FUND CLASS F-2, 227 009 SHS	P	2015-06-26	2016-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
54,361		59,654	-5,293
420		455	-35
434		459	-25
9,528		10,000	-472
553		542	11
5,187		5,908	-721
40		45	-5
41		45	-4
45		46	-1
6,317		6,571	-254

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,293
			-35
			-25
			-472
			11
			-721
			-5
			-4
			-1
			-254

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS AMCAP FUND CLASS F-1, 90 988 SHS	P	2013-01-01	2016-02-09
AMERICAN FUNDS AMCAP FUND CLASS F-1, 57 179 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 43 159 SHS	P	2014-03-21	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 176 523 SHS	P	2015-03-09	2016-02-09
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 121 163 SHS	P	2015-03-09	2016-10-13
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 4215 33 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 20 614 SHS	P	2013-03-15	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 20 578 SHS	P	2013-06-12	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 19 836 SHS	P	2013-09-12	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 18 748 SHS	P	2013-12-19	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,100		1,784	316
1,569		1,128	441
1,000		1,059	-59
4,000		4,400	-400
3,000		3,018	-18
97,633		88,787	8,846
477		434	43
477		433	44
459		418	41
434		395	39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			316
			441
			-59
			-400
			-18
			8,846
			43
			44
			41
			39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 5 63 SHS	P	2014-03-14	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 18 741 SHS	P	2014-03-14	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 397 772 SHS	P	2014-06-04	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 19 263 SHS	P	2014-06-12	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 17 4 SHS	P	2014-09-17	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 19 288 SHS	P	2014-12-23	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 280 658 SHS	P	2014-12-23	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 18 65 SHS	P	2015-03-13	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 19 982 SHS	P	2015-03-13	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 19 714 SHS	P	2015-06-17	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
130		119	11
434		395	39
9,213		8,378	835
446		406	40
403		366	37
447		406	41
6,500		5,911	589
432		393	39
463		421	42
457		415	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			39
			835
			40
			37
			41
			589
			39
			42
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 20 393 SHS	P	2015-09-16	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 183 013 SHS	P	2015-12-21	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 20 86 SHS	P	2015-12-21	2016-02-02
AMERICAN FUNDS AMERICAN BALANCED FUND CLASS F-1, 423 729 SHS	P	2016-01-05	2016-02-02
AMERICAN FUNDS CAPITAL INCOME BUILDER CLASS F-1, 2 078 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS CAPITAL INCOME BUILDER CLASS F-1, 8 292 SHS	P	2013-01-01	2016-09-08
AMERICAN FUNDS CAPITAL INCOME BUILDER CLASS F-1, 1 205 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS CAPITAL INCOME BUILDER CLASS F-1, 56 404 SHS	P	2013-01-01	2016-12-27
AMERICAN FUNDS CAPITAL INCOME BUILDER CLASS F-1, 4 925 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS CAPITAL INCOME BUILDER CLASS F-1, 180 343 SHS	P	2013-01-01	2016-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
472		430	42
4,239		3,855	384
483		439	44
9,814		8,925	889
119		123	-4
500		491	9
69		71	-2
3,250		2,731	519
282		288	-6
10,000		9,665	335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			42
			384
			44
			889
			-4
			9
			-2
			519
			-6
			335

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-2, 200 567 SHS	P	2015-05-12	2016-12-07
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-2, 44 974 SHS	P	2015-06-26	2016-12-05
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 22 361 SHS	P	2014-03-21	2016-07-26
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 50 761 SHS	P	2013-01-01	2016-02-09
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 13 366 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 2291 77 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 12 091 SHS	P	2013-03-15	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 29 717 SHS	P	2013-06-14	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 11 152 SHS	P	2013-09-20	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 16 296 SHS	P	2013-12-13	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,006		9,760	-754
1,988		2,170	-182
1,000		1,010	-10
2,000		1,784	216
600		543	57
94,014		85,563	8,451
496		451	45
1,219		1,109	110
457		416	41
669		608	61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-754
			-182
			-10
			216
			57
			8,451
			45
			110
			41
			61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 10 724 SHS	P	2014-03-14	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 210 526 SHS	P	2014-06-04	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 27 242 SHS	P	2014-06-13	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 9 873 SHS	P	2014-09-19	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 18 513 SHS	P	2014-12-12	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 10 734 SHS	P	2015-03-13	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 23 111 SHS	P	2015-06-17	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 9 844 SHS	P	2015-09-16	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 44 083 SHS	P	2015-12-22	2016-02-02
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 17 77 SHS	P	2015-12-22	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
440		400	40
8,636		7,860	776
1,118		1,017	101
405		369	36
759		691	68
440		401	39
948		863	85
404		368	36
1,808		1,646	162
729		663	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			40
			776
			101
			36
			68
			39
			85
			36
			162
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND CLASS F-1, 117 454 SHS	P	2016-01-05	2016-02-02
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 59 08 SHS	P	2013-01-01	2016-12-27
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 94 536 SHS	P	2016-02-02	2016-09-20
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 86 454 SHS	P	2013-01-01	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 582 SHS	P	2013-03-15	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 573 SHS	P	2013-06-12	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 508 SHS	P	2013-09-12	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 3 195 SHS	P	2013-12-18	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 917 SHS	P	2013-12-18	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 446 SHS	P	2014-03-14	2016-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,818		4,385	433
3,250		2,411	839
5,000		4,547	453
4,321		3,527	794
29		24	5
29		23	6
25		21	4
160		130	30
46		37	9
22		18	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			433
			839
			453
			794
			5
			6
			4
			30
			9
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 1 779 SHS	P	2014-03-14	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 434 SHS	P	2014-06-12	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 457 SHS	P	2014-09-17	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 1 22 SHS	P	2014-12-22	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 6 953 SHS	P	2014-12-22	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 1 496 SHS	P	2015-03-13	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 344 SHS	P	2015-03-13	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 337 SHS	P	2015-06-17	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 31 SHS	P	2015-09-16	2016-03-16
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 0 645 SHS	P	2015-12-22	2016-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
89		73	16
22		18	4
23		19	4
61		50	11
348		284	64
75		61	14
17		14	3
17		14	3
15		13	2
32		26	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			16
			4
			4
			11
			64
			14
			3
			3
			2
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS FUNDAMENTAL INVESTORS CLASS F-1, 3 577 SHS	P	2015-12-22	2016-03-16
AMERICAN FUNDS GLOBAL BALANCED FUND CLASS F-1, 7 138 SHS	P	2014-05-30	2016-12-07
AMERICAN FUNDS INFLATION LINKED BOND FUND CLASS F-2, 6552 42 SHS	P	2015-05-01	2016-10-20
AMERICAN FUNDS INTERNATIONAL GROWTH AND INCOME FUND CLASS F-1, 1248 73 SHS	P	2015-10-15	2016-07-15
AMERICAN FUNDS INTERNATIONAL GROWTH AND INCOME FUND CLASS F-1, 6 27 SHS	P	2015-12-21	2016-07-15
AMERICAN FUNDS INTERNATIONAL GROWTH AND INCOME FUND CLASS F-1, 4 748 SHS	P	2016-03-16	2016-07-15
AMERICAN FUNDS INTERNATIONAL GROWTH AND INCOME FUND CLASS F-1, 11 752 SHS	P	2016-06-23	2016-07-15
AMERICAN FUNDS INTERNATIONAL GROWTH AND INCOME FUND CLASS F-1, 878 713 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CLASS F-1, 385 762 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CLASS F-1, 283 046 SHS	P	2013-01-01	2016-11-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
179		146	33
210		225	-15
64,988		63,699	1,289
35,826		36,975	-1,149
180		186	-6
136		141	-5
337		348	-11
23,743		26,885	-3,142
12,371		12,317	54
10,000		9,047	953

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33
			-15
			1,289
			-1,149
			-6
			-5
			-11
			-3,142
			54
			953

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CLASS F-1, 264 77 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS MORTGAGE FUND CLASS F-2, 6316 81 SHS	P	2015-05-01	2016-10-20
AMERICAN FUNDS NEW PERSPECTIVE FUND CLASS F-2, 151 057 SHS	P	2015-06-26	2016-02-23
AMERICAN FUNDS NEW PERSPECTIVE FUND CLASS F-2, 274 725 SHS	P	2015-06-26	2016-11-25
AMERICAN FUNDS NEW PERSPECTIVE FUND CLASS F-1, 5 852 SHS	P	2015-03-09	2016-12-07
AMERICAN FUNDS NEW PERSPECTIVE FUND CLASS F-1, 70 798 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS NEW WORLD FUND CLASS F-1, 245 463 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS NEW WORLD FUND CLASS F-1, 7 763 SHS	P	2013-01-01	2016-12-07
AMERICAN FUNDS THE GROWTH FUND OF AMERICA CLASS F-2, 224 065 SHS	P	2015-06-26	2016-11-25
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 3 952 SHS	P	2013-07-16	2016-12-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,913		8,463	1,450
64,988		64,883	105
4,985		5,924	-939
9,988		10,775	-787
212		219	-7
2,565		2,114	451
11,451		13,042	-1,591
398		418	-20
9,988		10,233	-245
85		77	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,450
			105
			-939
			-787
			-7
			451
			-1,591
			-20
			-245
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-2, 418 251 SHS	P	2015-05-12	2016-12-07
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 3878 88 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 33 063 SHS	P	2013-03-13	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 33 107 SHS	P	2013-06-14	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 32 672 SHS	P	2013-09-13	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 31 466 SHS	P	2013-12-20	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 31 47 SHS	P	2014-03-14	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 462 963 SHS	P	2014-06-04	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 33 39 SHS	P	2014-06-13	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 33 152 SHS	P	2014-09-19	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,006		9,202	-196
76,378		72,007	4,371
651		614	37
652		615	37
643		607	36
620		584	36
620		584	36
9,116		8,594	522
657		620	37
653		615	38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-196
			4,371
			37
			37
			36
			36
			36
			522
			37
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 60 999 SHS	P	2014-12-22	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 34 993 SHS	P	2015-03-13	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 34 926 SHS	P	2015-06-17	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 37 131 SHS	P	2015-09-16	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 38 015 SHS	P	2015-12-21	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 87 745 SHS	P	2015-12-21	2016-02-02
AMERICAN FUNDS THE INCOME FUND OF AMERICA CLASS F-1, 500 25 SHS	P	2016-01-05	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 11 97 SHS	P	2014-03-21	2016-11-22
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 4 545 SHS	P	2014-03-21	2016-12-07
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 6 956 SHS	P	2014-03-21	2016-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,201		1,132	69
689		650	39
688		648	40
731		689	42
749		706	43
1,728		1,629	99
9,850		9,287	563
500		476	24
192		181	11
300		277	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			69
			39
			40
			42
			43
			99
			563
			24
			11
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 2548 22 SHS	P	2013-01-01	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 12 889 SHS	P	2013-03-22	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 12 56 SHS	P	2013-06-21	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 11 537 SHS	P	2013-09-20	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 52 439 SHS	P	2013-12-20	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 21 463 SHS	P	2013-12-20	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 11 697 SHS	P	2014-03-21	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 10 603 SHS	P	2014-06-20	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 10 622 SHS	P	2014-09-19	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 134 371 SHS	P	2014-12-19	2016-02-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
93,430		82,246	11,184
473		416	57
461		405	56
423		372	51
1,923		1,693	230
787		693	94
429		378	51
389		342	47
389		343	46
4,927		4,337	590

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,184
			57
			56
			51
			230
			94
			51
			47
			46
			590

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 19 281 SHS	P	2014-12-19	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 12 114 SHS	P	2015-03-20	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 12 229 SHS	P	2015-06-19	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 13 422 SHS	P	2015-09-18	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 121 756 SHS	P	2015-12-18	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 19 376 SHS	P	2015-12-18	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-1, 264 62 SHS	P	2016-01-05	2016-02-02
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-2, 6 404 SHS	P	2015-06-26	2016-04-05
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-2, 118 512 SHS	P	2015-06-26	2016-12-05
AMERICAN FUNDS WASHINGTON MUTUAL INVESTORS FUND CLASS F-2, 4 673 SHS	P	2015-06-26	2016-12-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
707		622	85
444		391	53
448		395	53
492		433	59
4,464		3,930	534
710		625	85
9,702		8,541	1,161
235		262	-27
4,988		4,857	131
186		192	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			85
			53
			53
			59
			534
			85
			1,161
			-27
			131
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FRANKLIN INCOME FUND CLASS A, 2678 57 SHS	P	2015-03-09	2016-09-13
FRANKLIN INCOME FUND CLASS A, 1333 33 SHS	P	2013-01-01	2016-12-05
HODGES SMALL CAP FUND, 491 642 SHS	P	2013-01-01	2016-11-25
HODGES SMALL CAP FUND, 54 171 SHS	P	2013-12-03	2016-07-13
MATTHEWS ASIA GROWTH FUND INVESTOR CLASS, 251 256 SHS	P	2013-01-01	2016-02-23
PIMCO EMERGING LOCAL BOND FUND CLASS D, 51 056 SHS	P	2013-01-01	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 175 SHS	P	2013-01-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 15 SHS	P	2013-02-28	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 191 SHS	P	2013-03-25	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 16 SHS	P	2013-04-30	2016-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,000		6,360	-360
3,000		2,975	25
10,000		6,064	3,936
1,000		1,007	-7
5,000		4,095	905
373		542	-169
1		2	-1
1		2	-1
1		2	-1
1		2	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-360
			25
			3,936
			-7
			905
			-169
			-1
			-1
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 205 SHS	P	2013-05-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 188 SHS	P	2013-06-28	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 184 SHS	P	2013-07-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 219 SHS	P	2013-08-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 161 SHS	P	2013-09-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 165 SHS	P	2013-10-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 207 SHS	P	2013-11-29	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 204 SHS	P	2013-12-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 196 SHS	P	2014-01-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 169 SHS	P	2014-02-28	2016-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	0
1		2	-1
1		2	-1
2		2	0
1		2	-1
1		2	-1
2		2	0
1		2	-1
1		2	-1
1		2	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-1
			-1
			0
			-1
			-1
			0
			-1
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 181 SHS	P	2014-03-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 196 SHS	P	2014-04-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 224 SHS	P	2014-05-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 2 SHS	P	2014-06-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 208 SHS	P	2014-07-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 21 SHS	P	2014-08-29	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 203 SHS	P	2014-09-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 216 SHS	P	2014-10-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 179 SHS	P	2014-11-28	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 27 SHS	P	2014-12-31	2016-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		2	-1
1		2	-1
2		2	0
1		2	-1
2		2	0
2		2	0
1		2	-1
2		2	0
1		2	-1
2		3	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-1
			0
			-1
			0
			0
			-1
			0
			-1
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 182 SHS	P	2015-01-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 16 SHS	P	2015-02-27	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 211 SHS	P	2015-03-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 207 SHS	P	2015-04-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 23 SHS	P	2015-05-29	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 221 SHS	P	2015-06-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 219 SHS	P	2015-07-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 201 SHS	P	2015-08-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 222 SHS	P	2015-09-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 199 SHS	P	2015-10-30	2016-07-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1		2	-1
1		2	-1
2		2	0
2		2	0
2		2	0
2		2	0
2		2	0
1		2	-1
2		2	0
1		2	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1
			-1
			0
			0
			0
			0
			0
			-1
			0
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 201 SHS	P	2015-11-30	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 253 SHS	P	2015-12-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 203 SHS	P	2016-01-29	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 218 SHS	P	2016-02-29	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 236 SHS	P	2016-03-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 251 SHS	P	2016-04-29	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 278 SHS	P	2016-05-31	2016-07-07
PIMCO EMERGING LOCAL BOND FUND CLASS D, 0 248 SHS	P	2016-06-30	2016-07-07
PIMCO UNCONSTRAINED BOND FUND CLASS D, 46 639 SHS	P	2013-01-01	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 008 SHS	P	2013-01-31	2016-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1		2	-1
2		3	-1
1		2	-1
2		2	0
2		3	-1
2		3	-1
2		3	-1
2		3	-1
489		521	-32
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-1
			-1
			-1
			0
			-1
			-1
			-1
			-1
			-32
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 023 SHS	P	2013-02-28	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 023 SHS	P	2013-03-28	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 026 SHS	P	2013-04-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 026 SHS	P	2013-05-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 01 SHS	P	2013-06-28	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 009 SHS	P	2013-07-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 014 SHS	P	2013-08-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 006 SHS	P	2013-09-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 001 SHS	P	2013-10-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 018 SHS	P	2013-11-29	2016-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 143 SHS	P	2013-12-11	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 038 SHS	P	2013-12-11	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 023 SHS	P	2013-12-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 022 SHS	P	2014-01-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 021 SHS	P	2014-02-28	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 028 SHS	P	2014-03-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 032 SHS	P	2014-04-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 043 SHS	P	2014-05-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 042 SHS	P	2014-06-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 057 SHS	P	2014-07-31	2016-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	0
			0
			0
			0
			0
			0
			0
			0
			0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 064 SHS	P	2014-08-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 059 SHS	P	2014-09-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 089 SHS	P	2014-10-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 082 SHS	P	2014-11-28	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 089 SHS	P	2014-12-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 091 SHS	P	2014-12-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 043 SHS	P	2015-01-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 022 SHS	P	2015-02-27	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 027 SHS	P	2015-03-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 08 SHS	P	2015-04-30	2016-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
			0
			0
			0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 101 SHS	P	2015-05-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 101 SHS	P	2015-06-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 171 SHS	P	2015-07-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 128 SHS	P	2015-08-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 113 SHS	P	2015-09-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 114 SHS	P	2015-10-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 11 SHS	P	2015-11-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 1 556 SHS	P	2015-12-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 109 SHS	P	2015-12-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 071 SHS	P	2016-01-29	2016-09-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	0
1		1	0
2		2	0
1		1	0
1		1	0
1		1	0
1		1	0
16		17	-1
1		1	0
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			-1
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 078 SHS	P	2016-02-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 09 SHS	P	2016-03-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 093 SHS	P	2016-04-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 091 SHS	P	2016-05-31	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 083 SHS	P	2016-06-30	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 078 SHS	P	2016-07-29	2016-09-08
PIMCO UNCONSTRAINED BOND FUND CLASS D, 0 086 SHS	P	2016-08-31	2016-09-08
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 7 136 SHS	P	2013-11-12	2016-12-07
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 1745 19 SHS	P	2013-01-01	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 18 738 SHS	P	2013-03-22	2016-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
1		1	0
141		147	-6
34,520		31,827	2,693
371		342	29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			-6
			2,693
			29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 23 377 SHS	P	2013-06-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 22 106 SHS	P	2013-09-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 21 388 SHS	P	2013-12-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 19 571 SHS	P	2014-03-25	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 20 27 SHS	P	2014-06-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 23 274 SHS	P	2014-09-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 23 406 SHS	P	2014-12-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 14 742 SHS	P	2015-03-25	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 17 76 SHS	P	2015-06-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 20 356 SHS	P	2015-09-24	2016-07-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
462		426	36
437		403	34
423		390	33
387		357	30
401		370	31
460		424	36
463		427	36
292		269	23
351		324	27
403		371	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			36
			34
			33
			30
			31
			36
			36
			23
			27
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 26 039 SHS	P	2015-12-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 18 036 SHS	P	2016-03-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 19 888 SHS	P	2016-06-24	2016-07-22
THORNBURG INVESTMENT INCOME BUILDER FUND CLASS A, 10 425 SHS	P	2013-11-21	2016-12-07
THORNBURG STRATEGIC INCOME FUND CLASS A, 90 09 SHS	P	2013-12-03	2016-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
515		475	40
357		329	28
393		363	30
206		214	-8
1,000		1,079	-79

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			40
			28
			30
			-8
			-79

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
M KEITH BRANYON 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	DIRECTOR 1 00	0	0	0
TAMMY BRYANT 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	SECRETARY 20 00	0	0	0
RICHARD DRUMMOND 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	DIRECTOR 1 00	0	0	0
JOHN DICKENS 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	DIRECTOR 2 00	0	0	0
JOSEPH E HARDGROVE 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	EXECUTIVE DIRECTOR 3 00	0	0	0
PHIL LUEBBEHUSEN 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	DIRECTOR 1 00	0	0	0
TOM HARDGROVE 300 THROCKMORTON SUITE 1450 FORT WORTH, TX 76102	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN CANCER SOCIETY PO BOX 22478 OKLAHOMA CITY, OK 73123	NONE		MEDICAL RESEARCH	100
AMERICAN DIABETES ASSOCIATION PO BOX 15829 ARLINGTON, VA 22215	NONE		MEDICAL RESEARCH	50
ASSISTANCE LEAGUE OF SADDLEBACK VALLEY 26111 ANTONIO PARKWAY SUITE 500 RANCHO SANTA MARGARITA, CA 92688	NONE		ASSISTANCE TO THE NEEDY	5,000
BEAUTIFUL FEET 1709 E HATTIE STREET FORT WORTH, TX 76104	NONE		ASSISTANCE TO THE NEEDY	10,000
CAMPUS CRUSADE FOR CHRIST 9401 LYNDON B JOHNSON FREEWAY DALLAS, TX 75243	NONE		RELIGIOUS	5,500
Total . . . . ▶ 3a				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHRIST CHAPEL 3701 BIRCHMAN AVE FORT WORTH, TX 76107	NONE		RELIGIOUS	3,000
COMMUNITY PARTNERS 1215 SKILES ST DALLAS, TX 75204	NONE		ASSISTANCE FOR THE NEEDY	10,000
COOKS CHILDRENS 801 SEVENTH AVE FORT WORTH, TX 76104	NONE		MEDICAL ASSISTANCE FOR THE NEEDY	5,000
DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215	NONE		MEDICAL ASSISTANCE FOR THE NEEDY	10,000
FORT WORTH SWAT SUPPORT GROUP 1000 CALVERT ST FORT WORTH, TX 76107	NONE		SUPPORT LAW ENFORCEMENT	5,000
Total . . . . ▶ **3a**				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GLOBAL VENTURES INC 19707 E ADMIRAL PL CATOOSA, OK 74015	NONE		RELIGIOUS	600
HAMILTON PIPE BAND 4401 JANE ST HOUSTON, TX 77401	NONE		SUPPORT MUSICAL ARTS	250
HAPPY HILL FARM 3846 N HIGHWAY 144 GRANBURY, TX 76048	NONE		SUPPORT RELIGIOUS EDUCATION	21,000
HOPE SPRINGS WATER PO BOX 1567 ATHENS, TX 75751	NONE		EDUCATIONAL AND ASSISTANCE FOR THE NEEDY	15,000
INST DE LUNION-CHRETIENNE DE ST CHAU 4345 DEL MAR TRAILS RD SAN DIEGO, CA 92130	NONE		RELIGIOUS EDUCATIONAL SUPPORT FOR GIRLS DESIRING TO SPREAD GOD'S WORD	10,000
Total . . . . ▶ **3a**				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INTERNATIONAL LEADERSHIP INSTITUTE PO BOX 1005 CARROLLTON, GA 30112	NONE		SUPPORT RELIGIOUS EVANGELISM	2,000
KESSELHAUT FAMILY FOUNDATION PO BOX 3783 DANA POINT, CA 92629	NONE		SUPPORT CHARITABLE FOUNDATION	5,000
KIWANIS CLUB OF ATHENS PO BOX 2202 ATHENS, TX 75751	NONE		ASSISTANCE TO NEEDY YOUTH	20,000
KLIFE 6101 MALVEY AVE FORT WORTH, TX 76116	NONE		RELIGIOUS	20,000
MEALS ON WHEELS 320 S FREEWAY FORT WORTH, TX 76104	NONE		ASSISTANCE FOR THE NEEDY	3,500
Total . . . . ▶ 3a				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MISSION HOSPITAL REGIONAL 27700 MEDICAL CENTER BLVD MISSION VIEJO, CA 92691	NONE		MEDICAL ASSISTANCE TO THE NEEDY	10,000
NATIONAL BRAIN TUMOR SOCIETY 55 CHAPEL STREET SUITE 200 NEWTON, MA 02458	NONE		MEDICAL RESEARCH	50
NEW BEGINNINGS PO BOX 75125 SEATTLE, WA 98175	NONE		ASSISTING VICTIMS OF DOMESTIC VIOLENCE	100
NOVAL - DFW 2107 SHERRY STREET ARLINGTON, TX 76010	NONE		RELIGIOUS	500
OFFICER'S SPOUSES CLUB OF CAMP PENDLETON PO BOX 5559 OCEANSIDE, CA 92052	NONE		SUPPORT MILITARY SPOUSES	5,000
Total . . . . ▶ **3a**				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PAN AMERICAN HEALTH PO BOX 888 KEENE, TX 76059	NONE		MEDICAL ASSISTANCE TO THE NEEDY	15,000
RIO GRANDE BIBLE INSTITUTE 4300 S US HWY 281 EDINBURGH, TX 78539	NONE		RELIGIOUS	1,000
ROARING BOBCAT BAND BOOSTER CLUB 616 CAL YOUNG RD HALLSVILLE, TX 75650	NONE		SUPPORT MUSICAL ARTS	250
SECRET SHEPPARD INC 180 MARSEILLE DR MAUMELLE, AR 72113	NONE		RELIGIOUS	25,000
SERVANTS TO CITY 6630 CAHOBA DRIVE FORT WORTH, TX 76135	NONE		RELIGIOUS	25,000
Total . . . . ▶ **3a**				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
SISTER CITIES 908 MONROE STREET FORT WORTH, TX 76102	NONE		SUPPORT GLOBAL EDUCATION	1,000
ST ANDREWS 3314 DRYDEN ROAD FORT WORTH, TX 76109	NONE		RELIGIOUS	1,000
ST JOHN ANGLICAN CHURCH 2401 COLLEGE AVE FORT WORTH, TX 76110	NONE		RELIGIOUS	1,050
TALITHA KOUM INSTITUTE PO BOX 2202 WACO, TX 76703	NONE		RELIGIOUS	5,000
TEXAS CHRISTIAN UNIVERSITY 2800 S UNIVERSITY DRIVE FORT WORTH, TX 76129	NONE		EDUCATIONAL	150
Total . . . . ▶ 3a				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEXAS BAR FOUNDATION 515 CONGRESS AVE AUSTIN, TX 78701	NONE		LEGAL ASSISTANCE FOR THE NEEDY	250
TEXAS SCOTTISH FESTIVAL PO BOX 511 CLIFTON, TX 76013	NONE		PROMOTE CULTURAL AWARENESS	275
UNIVERSITY OF CALIFORNIA REGENTS 1111 FRANKLIN ST 12TH FLOOR OAKLAND, CA 94607	NONE		EDUCATIONAL-SPORTS	5,000
UNIVERSITY CHRISTIAN CHURCH 2720 S UNIVERSITY DR FORT WORTH, TX 76109	NONE		RELIGIOUS	10,000
UNIVERSITY LITTLE LEAGUE 5900 OLD HEMPHILL RD FORT WORTH, TX 76134	NONE		EDUCATIONAL-CHILDRENS SPORTS	10,000
Total . . . . ▶ 3a				305,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF MARY HARDIN BAYLOR 900 COLLEGE ST BELTON, TX 76513	NONE		EDUCATIONAL	4,500
WEDGWOOD BAPTIST CHURCH FORT WORTH 5522 WHITMAN AVE FORT WORTH, TX 76133	NONE		RELIGIOUS	9,000
WORLD MISSIONARY BAPTIST CHURCH 1200 EAST BESSIE STREET FORT WORTH, TX 76104	NONE		RELIGIOUS	25,000
Total . . . . ▶ **3a**				305,125

TY 2016 Accounting Fees Schedule

Name: OMEGA FOUNDATION INC

EIN: 75-2716754

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	6,875	0		0

TY 2016 Investments Corporate Stock Schedule

Name: OMEGA FOUNDATION INC

EIN: 75-2716754

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB FUNDS	4,026,381	4,026,381

TY 2016 Loans from Officers Schedule

Name: OMEGA FOUNDATION INC

EIN: 75-2716754

Item No.	1
Lender's Name	JOSEPH E HARDGROVE
Lender's Title	PRESIDENT
Original Amount of Loan	750
Balance Due	
Date of Note	1997-08
Maturity Date	
Repayment Terms	DEMAND
Interest Rate	0.000000000000
Security Provided by Borrower	NONE
Purpose of Loan	OPERATING EXPENSES
Description of Lender Consideration	CASH
Consideration FMV	

Item No.	2
Lender's Name	ERNEST A STIBA
Lender's Title	DIRECTOR
Original Amount of Loan	779
Balance Due	
Date of Note	1997-08
Maturity Date	
Repayment Terms	DEMAND
Interest Rate	0.000000000000
Security Provided by Borrower	NONE
Purpose of Loan	OPERATING EXPENSES
Description of Lender Consideration	CASH
Consideration FMV	

TY 2016 Other Assets Schedule

Name: OMEGA FOUNDATION INC

EIN: 75-2716754

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SPLIT DOLLAR LIFE INSURANCE	147,054	147,054	147,054
PREPAID EXCISE TAX	1,440	0	0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491318029577

TY 2016 Other Expenses Schedule

Name: OMEGA FOUNDATION INC

EIN: 75-2716754

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	21,365	21,365		0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491318029577

TY 2016 Taxes Schedule

Name: OMEGA FOUNDATION INC

EIN: 75-2716754

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	2,618	0		0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491318029577

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990

OMB No 1545-0047

2016

Name of the organization	Employer identification number
OMEGA FOUNDATION INC	75-2716754

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
OMEGA FOUNDATION INC

Employer identification number
75-2716754

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM MARY TISDALE 32 JASON RD BOERNE, TX75006	$ 4,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
OMEGA FOUNDATION INC	75-2716754

Part II Noncash Property

(see instructions) Use duplicate copies of Part II if additional space is needed

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No.from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
OMEGA FOUNDATION INC

Employer identification number
75-2716754

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No.from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee