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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation
CLIFTON C & HENRYETTA C DOAK
CHARITABLE TRUST

A Employer identification number
74-6402510

Number and street (or P O box number if mail is not delivered to street address)
1525 WEST WT HARRIS BLVD

Room/suite

B Telephone number (see instructions)
(979) 776-3267

City or town, state or province, country, and ZIP or foreign postal code
CHARLOTTE, NC 28262

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 3,217,458

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	77,435	77,435		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	96,316			
	b	Gross sales price for all assets on line 6a 1,699,743				
	7	Capital gain net income (from Part IV, line 2)		96,316		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	12,069	2,069		
	12	**Total.** Add lines 1 through 11	185,820	175,820		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	42,683	32,012		10,671
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,567	0		5,567
	c	Other professional fees (attach schedule)	3,208	0		3,208
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,504	1,504		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	175	175		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	53,137	33,691		19,446
	25	Contributions, gifts, grants paid	145,000			145,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	198,137	33,691		164,446
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-12,317			
	b	**Net investment income** (if negative, enter -0-)		142,129		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	136,014	94,178	94,178
	3 Accounts receivable ▶ 1,346			
	Less allowance for doubtful accounts ▶	872	1,346	1,346
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	359,753	544,417	700,613
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,451,761	2,294,648	2,421,321
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,948,400	2,934,589	3,217,458
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	2,948,400	2,934,589	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	0	0	
	30 **Total net assets or fund balances** (see instructions)	2,948,400	2,934,589	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,948,400	2,934,589	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,948,400
2	Enter amount from Part I, line 27a	2	-12,317
3	Other increases not included in line 2 (itemize) ▶	3	3,378
4	Add lines 1, 2, and 3	4	2,939,461
5	Decreases not included in line 2 (itemize) ▶	5	4,872
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,934,589

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	96,316
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	194,738	3,405,791	0 057178
2014	175,425	3,564,811	0 049210
2013	160,975	3,524,728	0 045670
2012	165,345	3,410,526	0 048481
2011	140,968	3,454,276	0 040810

2	**Total** of line 1, column (d)	2	0 241349
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048270
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	3,181,053
5	Multiply line 4 by line 3	5	153,549
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,421
7	Add lines 5 and 6	7	154,970
8	Enter qualifying distributions from Part XII, line 4	8	164,446

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	1,421
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	1,421
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,421
6	Credits/Payments			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	**6a** 600		
b	Exempt foreign organizations—tax withheld at source	**6b**		
c	Tax paid with application for extension of time to file (Form 8868)	**6c**		
d	Backup withholding erroneously withheld	**6d**		
7	Total credits and payments Add lines 6a through 6d		7	600
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	821
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶WELLS FARGO BANK TRUST DEPARTMENT Telephone no ▶(979) 776-3267

Located at ▶3000 BRIARCREST DR STE 200 BRYAN TX ZIP+4 ▶77802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	Yes	
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WELLS FARGO BANK TEXAS 3000 BRIARCREST STE 200 BRYAN, TX 77802	TRUSTEE 5 00	42,683	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	0
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,079,823
b	Average of monthly cash balances.	1b	149,672
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	3,229,495
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,229,495
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	48,442
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,181,053
6	**Minimum investment return.** Enter 5% of line 5.	6	159,053

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	159,053
2a	Tax on investment income for 2016 from Part VI, line 5. — 2a: 1,421		
b	Income tax for 2016 (This does not include the tax from Part VI). — 2b:		
c	Add lines 2a and 2b.	2c	1,421
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	157,632
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	157,632
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	157,632

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	164,446
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	164,446
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,421
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	163,025

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				157,632
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			8,513	
b Total for prior years 20____ , 20____ , 20____		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 164,446				
a Applied to 2015, but not more than line 2a			8,513	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				155,933
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2016	0			0
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				1,699
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2012. . . .				
b Excess from 2013. . . .				
c Excess from 2014. . . .				
d Excess from 2015. . . .				
e Excess from 2016. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

WELLS FARGO BANK TRUST DEPARTMENT
3000 BRIARCREST STE 200
BRYAN, TX 77802
(979) 776-3267

b The form in which applications should be submitted and information and materials they should include

Organizations are to submit reqests on bank trust department's grant application available at https //www wellsfargo com/privatefoundationgrants/doak

c Any submission deadlines

July 31 of each year

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Restricted to charitable organizations in the Brazos County, TX area

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				145,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	77,435	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			15	2,069	
8 Gain or (loss) from sales of assets other than inventory			18	96,316	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a REDEPOSIT OF UNCLAIMED CONTRIBUTION			01	10,000	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		185,820	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 185,820

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2017-08-03	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
James D Ingram IV				P00163299
Firm's name ▶ Ingram Wallis & Company PC				Firm's EIN ▶74-2073801
Firm's address ▶ 2100 East Villa Maria Rd Ste 100 Bryan, TX 77802				Phone no (979) 776-2600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AFFILIATED MANAGERS GROUP	P	2015-08-28	2016-04-20
AMERIPRISE FINL	P	2015-07-29	2016-04-20
APPLE	P	2015-08-28	2016-04-20
ASG GLOBAL ALTERNATIVES	P	2013-07-19	2016-08-23
ASG GLOBAL ALTERNATIVES	P	2013-07-19	2016-10-25
BERKSHIRE HATHAWAY	P	2014-10-28	2016-04-20
BERKSHIRE HATHAWAY	P	2015-08-28	2016-04-20
BERKSHIRE HATHAWAY	P	2012-04-10	2016-10-05
BERKSHIRE HATHAWAY	P	2011-08-12	2016-10-05
BERKSHIRE HATHAWAY	P	2011-07-11	2016-10-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,949		3,184	-235
1,749		2,281	-532
3,517		3,702	-185
2,364		2,793	-429
6,778		7,951	-1,173
2,477		2,379	98
1,749		1,626	123
1,291		715	576
2,008		1,011	997
574		304	270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-235
			-532
			-185
			-429
			-1,173
			98
			123
			576
			997
			270

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BERKSHIRE HATHAWAY	P	2015-08-28	2016-10-05
BERKSHIRE HATHAWAY	P	2010-01-25	2016-10-05
BLACKROCK GL L/S CREDIT	P	2015-10-27	2016-08-23
BOEING	P	2015-08-28	2016-10-05
CELANESE CORP	P	2014-11-24	2016-04-20
CISCO SYSTEMS	P	2014-10-28	2016-04-20
CISCO SYSTEMS	P	2015-08-10	2016-04-20
CITIGROUP	P	2015-08-28	2016-04-20
CME GROUP	P	2014-10-28	2016-04-20
CME GROUP	P	2016-01-20	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,011		2,846	165
2,008		971	1,037
14,253		14,882	-629
3,324		3,291	33
2,017		1,772	245
624		527	97
1,786		1,803	-17
2,529		2,928	-399
1,668		1,470	198
278		255	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			165
			1,037
			-629
			33
			245
			97
			-17
			-399
			198
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CME GROUP	P	2012-06-07	2016-10-05
CME GROUP	P	2016-01-20	2016-10-05
COMCAST CORP	P	2014-10-28	2016-04-20
COMCAST CORP	P	2015-08-28	2016-04-20
CREDIT SUISSE COMM RT	P	2010-10-14	2016-10-25
CUMMINS	P	2014-10-28	2016-04-20
CUMMINS	P	2014-08-06	2016-10-25
CUMMINS	P	2014-02-07	2016-10-25
CUMMINS	P	2014-10-28	2016-10-25
CUMMINS	P	2016-01-20	2016-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,866		980	886
2,177		1,788	389
2,109		1,863	246
1,489		1,359	130
3,406		6,218	-2,812
1,997		2,419	-422
1,887		2,096	-209
1,761		1,881	-120
755		854	-99
2,322		1,559	763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			886
			389
			246
			130
			-2,812
			-422
			-209
			-120
			-99
			763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CUMMINS	P	2014-02-07	2016-11-02
CVS/CAREMARK	P	2015-08-28	2016-04-20
DREYFUS INTERNATIONAL BOND FD	P	2016-06-21	2016-08-23
DREYFUS INTERNATIONAL BOND FD	P	2014-04-23	2016-08-23
DREYFUS INTERNATIONAL BOND FD	P	2016-05-11	2016-08-23
DREYFUS INTERNATIONAL BOND FD	P	2014-05-14	2016-08-23
DREYFUS INTERNATIONAL BOND FD	P	2015-01-21	2016-08-23
DREYFUS INTERNATIONAL BOND FD	P	2014-04-23	2016-04-20
DRIEHAUS ACTIVE INCOME FUND	P	2013-10-09	2016-08-23
DRIEHAUS ACTIVE INCOME FUND	P	2011-08-23	2016-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,767		5,241	-474
3,606		3,680	-74
24,654		23,870	784
43,818		45,614	-1,796
20,426		19,589	837
3,924		4,109	-185
6,442		6,423	19
3,739		3,739	0
7,632		8,134	-502
5,774		6,033	-259

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-474
			-74
			784
			-1,796
			837
			-185
			19
			0
			-502
			-259

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
DRIEHAUS ACTIVE INCOME FUND	P	2011-08-23	2016-12-21
E-TRACS ALERIAN MLP INFRASTRUCTURE	P	2014-10-15	2016-08-23
E-TRACS ALERIAN MLP INFRASTRUCTURE	P	2016-01-20	2016-08-23
E-TRACS ALERIAN MLP INFRASTRUCTURE	P	2015-11-10	2016-08-23
E-TRACS ALERIAN MLP INFRASTRUCTURE	P	2015-07-22	2016-08-23
E-TRACS ALERIAN MLP INFRASTRUCTURE	P	2015-01-21	2016-08-23
EATON VANCE GLOBAL MACRO	P	2015-01-21	2016-01-20
EATON VANCE GLOBAL MACRO	P	2013-07-19	2016-10-25
EATON VANCE GLOBAL MACRO	P	2013-07-19	2016-12-21
EATON VANCE GLOBAL MACRO	P	2014-01-22	2016-12-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
58,646		60,549	-1,903
15,931		23,079	-7,148
8,776		5,730	3,046
6,037		6,120	-83
23,281		27,362	-4,081
3,745		5,192	-1,447
5,623		5,906	-283
6,701		6,903	-202
26,496		27,439	-943
16,979		17,291	-312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,903
			-7,148
			3,046
			-83
			-4,081
			-1,447
			-283
			-202
			-943
			-312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EATON VANCE GLOBAL MACRO	P	2015-01-21	2016-12-21
EATON VANCE GLOBAL MACRO	P	2016-08-25	2016-12-21
FID ADV EMER MKTS INC	P	2013-10-19	2016-08-23
FID ADV EMER MKTS INC	P	2013-10-09	2016-08-23
FID ADV EMER MKTS INC	P	2013-10-09	2016-10-25
GOLDMAN SACHS GROUP	P	2014-10-28	2016-06-10
GOLDMAN SACHS GROUP	P	2011-07-11	2016-06-10
GOLDMAN SACHS GROUP	P	2011-04-12	2016-06-10
GOLDMAN SACHS GROUP	P	2010-12-08	2016-06-10
GOLDMAN SACHS GROUP	P	2013-02-13	2016-06-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,885		11,108	-223
39,060		39,032	28
5,544		5,394	150
34,584		33,232	1,352
4,194		4,015	179
900		1,104	-204
1,200		1,062	138
1,800		1,924	-124
900		972	-72
900		925	-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-223
			28
			150
			1,352
			179
			-204
			138
			-124
			-72
			-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HSBC-ADR	P	2013-02-25	2016-03-01
HSBC-ADR	P	2013-07-05	2016-03-01
HSBC-ADR	P	2014-02-07	2016-03-01
HSBC-ADR	P	2014-10-28	2016-03-01
ISHARES S&P MIDCAP 400 VALUE	P	2015-07-22	2016-04-20
ISHARES S&P MIDCAP 400 VALUE	P	2015-07-22	2016-08-23
ISHARES S&P MIDCAP 400 VALUE	P	2014-04-23	2016-08-23
ISHARES TR SMALLCAP 600 INDEX FD	P	2009-05-28	2016-04-20
ISHARES TR SMALLCAP 600 INDEX FD	P	2009-05-28	2016-05-11
ISHARES TR SMALLCAP 600 INDEX FD	P	2016-08-23	2016-10-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,354		2,292	-938
2,321		3,834	-1,513
999		1,607	-608
1,386		2,177	-791
11,152		11,137	15
2,828		2,658	170
52,254		46,763	5,491
1,606		600	1,006
65,183		24,724	40,459
4,362		4,478	-116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-938
			-1,513
			-608
			-791
			15
			170
			5,491
			1,006
			40,459
			-116

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ISHARES TR SMALLCAP 600 INDEX FD	P	2016-08-23	2016-12-21
JOHNSON CONTROLS	P	2013-02-13	2016-04-26
JOHNSON CONTROLS	P	2013-01-22	2016-04-26
JOHNSON CONTROLS	P	2012-03-12	2016-04-26
JOHNSON CONTROLS	P	2014-10-28	2016-04-26
JP MORGAN CHASE & CO	P	2015-08-28	2016-04-20
JP MORGAN CHASE & CO	P	2015-08-28	2016-10-05
JP MORGAN CHASE & CO	P	2014-10-28	2016-10-05
JP MORGAN CHASE & CO	P	2016-03-01	2016-10-05
JP MORGAN HIGH YIELD FUND	P	2014-01-22	2016-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,762		14,925	1,837
1,730		1,299	431
2,152		1,592	560
1,772		1,336	436
1,814		1,901	-87
2,473		2,504	-31
1,407		1,348	59
2,010		1,772	238
871		769	102
23,793		26,018	-2,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,837
			431
			560
			436
			-87
			-31
			59
			238
			102
			-2,225

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JP MORGAN HIGH YIELD FUND	P	2014-01-22	2016-10-25
JP MORGAN HIGH YIELD FUND	P	2014-12-19	2016-10-25
LAS VEGAS SANDS CORP	P	2014-09-10	2016-11-02
MANULIFE FINANCIAL CORP	P	2015-12-17	2016-04-20
MCKESSON CORP	P	2015-08-28	2016-04-20
MICROSOFT	P	2015-01-21	2016-04-20
MONSANTO	P	2015-04-24	2016-04-20
MONSANTO	P	2015-04-24	2016-12-08
MONSANTO	P	2015-07-08	2016-12-08
NESTLE	P	2016-01-20	2016-04-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
40		44	-4
3,697		3,777	-80
1,848		2,014	-166
1,930		1,925	5
2,456		2,786	-330
2,870		2,344	526
1,762		2,250	-488
3,040		3,434	-394
9,224		9,339	-115
1,630		1,541	89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-80
			-166
			5
			-330
			526
			-488
			-394
			-115
			89

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
NESTLE	P	2006-10-27	2016-04-26
NESTLE	P	2014-10-28	2016-04-26
NEUBERGER BERMAN LONG SH	P	2016-08-25	2016-10-25
ORACLE CORP	P	2010-06-04	2016-03-16
ORACLE CORP	P	2014-10-28	2016-03-16
PIMCO FOREIGN BD FD	P	2011-08-23	2016-05-11
PIMCO FOREIGN BD FD	P	2013-10-09	2016-06-21
PIMCO FOREIGN BD FD	P	2011-08-23	2016-06-21
PIMCO FOREIGN BD FD	P	2013-07-19	2016-06-21
PNC FINANCIAL SERVICES GROUP	P	2015-05-27	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,151		2,855	3,296
1,260		1,240	20
2,175		2,184	-9
6,619		3,758	2,861
1,251		1,196	55
22,115		23,068	-953
17,506		18,000	-494
2,559		2,651	-92
4,033		4,166	-133
1,642		1,823	-181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,296
			20
			-9
			2,861
			55
			-953
			-494
			-92
			-133
			-181

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PRINCIPAL PREFERRED SEC INS	P	2015-07-22	2016-01-20
PRINCIPAL PREFERRED SEC INS	P	2015-07-22	2016-08-23
PRINCIPAL PREFERRED SEC INS	P	2016-05-11	2016-08-23
PRINCIPAL PREFERRED SEC INS	P	2014-04-23	2016-08-23
SCHLUMBERGER	P	2014-11-24	2016-04-20
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2011-05-31	2016-01-20
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2011-02-24	2016-01-20
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2014-06-19	2016-01-20
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2011-02-04	2016-01-20
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2011-02-24	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,523		2,583	-60
24,094		23,954	140
9,981		9,729	252
70,181		70,181	0
2,073		2,562	-489
282		328	-46
6,863		7,564	-701
1,689		2,124	-435
6,511		7,259	-748
1,951		1,784	167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-60
			140
			252
			0
			-489
			-46
			-701
			-435
			-748
			167

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2009-10-14	2016-04-20
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2010-03-19	2016-08-23
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2009-10-14	2016-08-23
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2011-11-04	2016-08-23
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2009-05-28	2016-10-05
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2010-06-23	2016-10-05
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2009-12-18	2016-10-05
SPDR DJ WILSHIRE INTERNATIONAL REAL	P	2011-11-04	2016-10-05
T ROWE PRICE INST FLOAT RATE	P	2014-01-22	2016-10-25
TARGET	P	2014-10-28	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,502		2,178	324
1,590		1,294	296
4,812		4,135	677
7,561		6,121	1,440
1,130		777	353
3,996		3,249	747
9,284		7,743	1,541
4,279		3,687	592
9,196		9,443	-247
2,008		1,456	552

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			324
			296
			677
			1,440
			353
			747
			1,541
			592
			-247
			552

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TARGET	P	2014-06-25	2016-11-15
TARGET	P	2014-10-28	2016-11-15
TJX COS	P	2014-10-28	2016-04-20
TJX COS	P	2011-06-30	2016-04-20
TJX COS	P	2016-01-20	2016-04-20
TOTAL FINA ELF	P	2014-10-28	2016-04-20
TOTAL FINA ELF	P	2014-12-18	2016-04-20
UNION PACIFIC CORP	P	2015-10-27	2016-04-20
UNION PACIFIC CORP	P	2014-10-28	2016-04-20
UNITEDHEALTH GROUP	P	2016-01-20	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
785		639	146
1,357		1,153	204
1,634		1,309	325
2,645		903	1,742
2,256		1,960	296
633		755	-122
1,120		1,216	-96
661		742	-81
1,736		2,436	-700
2,385		2,024	361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			146
			204
			325
			1,742
			296
			-122
			-96
			-81
			-700
			361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNITEDHEALTH GROUP	P	2014-10-28	2016-04-20
VANGUARD BD INDEX FD	P	2015-01-21	2016-01-20
VANGUARD BD INDEX FD	P	2013-02-13	2016-01-20
VANGUARD BD INDEX FD	P	2013-02-13	2016-04-20
VANGUARD BD INDEX FD	P	2013-10-09	2016-04-20
VANGUARD BD INDEX FD	P	2014-09-05	2016-04-20
VANGUARD BD INDEX FD	P	2012-04-05	2016-08-23
VANGUARD BD INDEX FD	P	2014-09-05	2016-08-23
VANGUARD BD INDEX FD	P	2014-09-05	2016-12-21
VANGUARD BD INDEX FD	P	2015-07-22	2016-12-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
132		91	41
22,648		22,784	-136
6,002		6,068	-66
10,379		10,436	-57
128,737		128,489	248
1,690		1,684	6
34,498		34,665	-167
4,444		4,411	33
10,789		10,908	-119
25,306		25,546	-240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			41
			-136
			-66
			-57
			248
			6
			-167
			33
			-119
			-240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD BD INDEX FD	P	2016-10-05	2016-12-21
VANGUARD BD INDEX FD	P	2015-06-10	2016-12-21
VANGUARD BD INDEX FD	P	2012-03-15	2016-04-20
VANGUARD BD INDEX FD	P	2014-01-22	2016-12-21
VANGUARD EMERGING MARKETS ETF	P	2013-07-17	2016-04-20
VANGUARD EMERGING MARKETS ETF	P	2011-08-19	2016-04-20
VANGUARD EUROPE PACIFIC ETF	P	2013-02-13	2016-05-11
VANGUARD EUROPE PACIFIC ETF	P	2015-01-21	2016-05-11
VANGUARD EUROPE PACIFIC ETF	P	2014-06-19	2016-05-11
VANGUARD EUROPE PACIFIC ETF	P	2014-10-15	2016-05-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,089		13,295	-206
69,254		69,809	-555
49,885		49,966	-81
20,387		20,471	-84
355		401	-46
7,381		8,498	-1,117
9,739		9,851	-112
9,811		10,364	-553
5,756		6,906	-1,150
8,037		8,234	-197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-206
			-555
			-81
			-84
			-46
			-1,117
			-112
			-553
			-1,150
			-197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD EUROPE PACIFIC ETF	P	2013-02-13	2016-10-25
VANGUARD EUROPE PACIFIC ETF	P	2016-08-23	2016-10-25
VANGUARD INFLAT PROT SECS	P	2016-10-27	2016-12-22
VANGUARD INFLAT PROT SECS	P	2016-04-20	2016-12-22
VANGUARD INTERMEDIATE TERM B	P	2015-07-22	2016-01-20
VANGUARD INTERMEDIATE TERM B	P	2016-08-23	2016-12-21
VANGUARD INTERMEDIATE TERM B	P	2016-10-05	2016-12-21
VANGUARD INTERMEDIATE TERM B	P	2016-05-11	2016-12-21
VANGUARD INTERMEDIATE TERM B	P	2016-04-20	2016-12-21
VANGUARD REIT VIPER	P	2009-05-28	2016-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,837		1,831	6
2,498		2,565	-67
1,814		1,864	-50
14,679		14,701	-22
10,289		10,244	45
97,475		103,521	-6,046
17,060		17,918	-858
30,476		31,305	-829
25,756		25,756	0
4,492		1,440	3,052

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			-67
			-50
			-22
			45
			-6,046
			-858
			-829
			0
			3,052

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD REIT VIPER	P	2009-05-28	2016-08-23
VANGUARD REIT VIPER	P	2009-05-28	2016-10-05
WALT DISNEY	P	2015-08-28	2016-02-05
WALT DISNEY	P	2014-10-28	2016-02-05
WALT DISNEY	P	2006-10-27	2016-04-20
WALT DISNEY	P	2014-10-28	2016-04-20
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47,803		14,188	33,615
42,331		13,471	28,860
4,031		4,404	-373
3,000		2,856	144
1,649		501	1,148
103		89	14
2,689			2,689

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33,615
			28,860
			-373
			144
			1,148
			14
			2,689

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS & GIRLS CLUB OF BRAZOS VALLEY 900 W WILLIAM JOEL PARKWAY BRYAN, TX 77803		CHARITY	FOR CHARITABLE PURPOSES	15,000
BRAZOS VALLEY FOOD BANK 1514 SHILOH AVENUE BRYAN, TX 77803		CHARITY	FOR CHARITABLE PURPOSES	14,000
BRAZOS VALLEY MUSEUM 3232 BRIARCREST DRIVE BRYAN, TX 77802		EDUCATION	FOR EDUCATIONAL PURPOSES	25,000
BRYANCOLLEGE STATION LIBRARY PO BOX 1000 BRYAN, TX 77805		EDUCATION	FOR EDUCATIONAL PURPOSES	25,000
EASTER SEALS EAST TEXAS INC 1318 MEMORIAL DRIVE BRYAN, TX 77802		CHARITY	FOR CHARITABLE PURPOSES	15,000
Total . . . . ▶ 3a				145,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HEALTH FOR ALL 214 N MAIN BRYAN, TX 77803		CHARITY	FOR CHARITABLE PURPOSES	11,000
HOSPICE BRAZOS VALLEY 2729A EAST 29TH STREET BRYAN, TX 77802		CHARITY	FOR CHARITABLE PURPOSES	11,000
TRINITY UNIVERSITY 715 STADIUM DR SAN ANTONIO, TX 78212		EDUCATION	FOR EDUCATIONAL PURPOSES	10,000
TWIN CITY MISSION PO BOX 3490 BRYAN, TX 77805		CHARITY	FOR CHARITABLE PURPOSES	5,000
UNITED WAY OF THE BRAZOS VALLEY 909 SOUTHWEST PARKWAY E COLLEGE STATION, TX 77840		CHARITY	FOR CHARITABLE PURPOSES	1,000
Total . . . . ▶ **3a**				145,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNITY PARTNERS dba PROJECT UNITY 4001 EAST 29TH STREET BRYAN, TX 77802		CHARITY	FOR CHARITABLE PURPOSES	1,500
UNIVERSITY OF NORTH TEXAS PO BOX 13737 DENTON, TX 762036737		EDUCATION	FOR EDUCATIONAL PURPOSES	10,000
VOICES FOR CHILDREN 115 NORTH MAIN STREET BRYAN, TX 77803		CHARITY	FOR CHARITABLE PURPOSES	1,500
Total . . . . ▶ 3a				145,000

TY 2016 Accounting Fees Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,567	0		5,567

TY 2016 All Other Program Related Investments Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Category	Amount
N/A	0

TY 2016 General Explanation Attachment

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

General Explanation Attachment

Identifier	Return Reference	Explanation	
1	COMPENSATION	FORM 990-PF, PART VIII, LINE 1 (C)	THE COMPENSATION REPORTED IN COLUMN (C) IS CALCULATED BASED ON PERIODIC MARKET VALUES AND/OR THE APPLICABLE FEE AGREEMENT IT IS NOT DETERMINED SOLELY ON AN HOURLY BASIS CORPORATE TRUSTEE SERVICES INCLUDE, BUT ARE NOT LIMITED TO, ADMINISTRATIVE SERVICES SUCH AS FIDUCIARY ACCOUNTING, CUSTODY OF ASSETS, COMPLYING WITH TAX FILING REQUIREMENTS, COMPLYING WITH DISTRIBUTION PROVISIONS, AND COMPLYING WITH FEDERAL AND STATE LAWS APPLICABLE TO PRIVATE FOUNDATIONS, PLUS ASSET MANAGEMENT SERVICES SUCH AS CREATING ASSET ALLOCATION STRATEGIES, INVESTMENT REPORTING AND REALLOCATING AND REBALANCING OF PORTFOLIOS AS NECESSARY

TY 2016 Investments Corporate Stock Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AFFILIATED MANAGERS GROUP	12,851	16,274
ALPHABET INC	17,819	24,698
AMERIPRISE FIN'L	17,409	16,974
APPLE INC	24,703	42,622
BAYER AG	9,239	9,594
BERKSHIRE HATHAWAY INC DEL CL B	6,833	11,572
BLACKROCK INC	10,305	11,416
BOEING CO	15,792	22,418
CELANESE CORP	15,457	19,842
CISCO SYSTEMS INC	18,055	23,904
CITIGROUP INC	21,121	23,594
CME GROUP INC	8,917	13,957
COGNIZANT TECH SOLUTIONS	20,199	19,891
COMCAST CORP CLASS A	14,844	23,270
CVS HEALTH CORPORATION	8,927	16,255
DIAGEO PLC - ADR	10,556	12,785
EATON CORP	8,963	10,869
ELI LILLY & CO	12,289	11,694
GILEAD SCIENCES INC	6,311	8,593
HAIN CELESTIAL GROUP	9,628	9,211
JP MORGAN CHASE & CO	14,204	23,557
LAS VEGAS SANDS CORP	13,067	12,498
MANULIFE FINANCIAL CORP	16,482	18,782
MCKESSON CORP	10,929	11,798
MERCK & CO	14,013	15,247
MICROSOFT CORP	25,835	34,861
PNC FINANCIAL SERVICES GROUP	18,764	21,872
ROCHE HOLDINGS LTD	18,897	16,947
SCHLUMBERGER LTD	17,915	20,316
SPIRIT AEROSYSTEMS	11,723	13,537

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SUNCOR ENERGY	19,204	21,902
TARGET CORP	7,951	10,979
TE CONNECTIVITY	10,790	11,154
THERMO FISHER SCIENTIFIC INC	7,888	13,687
TJX COS INC NEW	5,410	9,542
TOTAL S.A.	14,613	15,546
UNION PACIFIC CORP	16,200	24,157
UNITED HEALTH GROUP INC	13,446	27,847
UNITED PARCEL SERVICE-CL B	9,079	12,152
WALT DISNEY CO	7,789	14,799

TY 2016 Investments - Other Schedule

Name: CLIFTON C & HENRYETTA C DOAK
CHARITABLE TRUST

EIN: 74-6402510

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AQR MANAGED FUTURES STRATEGY FUND	AT COST	96,304	96,304
ASG GLOBAL ALTERNATIVES FUND CLASS Y	AT COST	61,896	56,175
BLACKROCK GLOBAL LONG/SHORT CREDIT FUND	AT COST	114,055	112,738
CREDIT SUISSE COMMODITY RETURN STRATEGY	AT COST	94,612	66,458
EATON VANCE GLOBAL MACRO ABSOLUTE	AT COST	97,375	96,733
FIDELITY ADVISORS EMERGING MARKETS	AT COST	156,390	161,115
ISHARES CORE S&P SMALLCAP ETF	AT COST	95,540	125,556
ISHARES S&P MIDCAP 400 GROWTH	AT COST	93,237	126,082
ISHARES S&P MIDCAP 400 VALUE	AT COST	68,530	124,881
JP MORGAN HIGH YIELD FUND	AT COST	137,168	136,572
NEUBERGER BERMAN LONG SHORT FUND	AT COST	79,207	79,859
SPDR DJ WILSHIRE INTERNATIONAL REAL ESTATE ETF	AT COST	35,523	45,136
T ROWE PRICE INSTITUTIONAL FLOAT	AT COST	56,448	56,282
T ROWE PRICE REAL ESTATE FUND	AT COST	88,709	92,883
THE MERGER FUND	AT COST	55,648	55,599
UND 1/5 OF 1/2 MI IN 322.5 ACRES	AT COST	1	7,668
VANGUARD FTSE DEVELOPED MARKTETS ETF	AT COST	155,889	156,062
VANGUARD FTSE EMERGING MARKETS ETF	AT COST	149,826	155,786
VANGUARD INFLATION PROTECTED SECURITIES FUND	AT COST	32,480	31,563
VANGUARD INTERMEDIATE TERM B	AT COST	358,534	360,108
VANGUARD REIT VIPER	AT COST	4,899	15,020
VANGUARD SHORT TERM BOND ETF	AT COST	262,377	262,741

TY 2016 Other Decreases Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Description	Amount
COST BASIS ADJUSTMENT	4,872

TY 2016 Other Expenses Schedule

Name: CLIFTON C & HENRYETTA C DOAK
CHARITABLE TRUST

EIN: 74-6402510

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEES	9	9		0
OIL & GAS FEES	166	166		0

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TY 2016 Other Income Schedule

Name: CLIFTON C & HENRYETTA C DOAK
CHARITABLE TRUST

EIN: 74-6402510

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NET ROYALTIES-APACHE CORP	2,069	2,069	2,069
REDEPOSIT OF UNCLAIMED CONTRIBUTION	10,000		10,000

TY 2016 Other Increases Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Description	Amount
2015 FORM 990PF REFUND	229
RETURN OF PRINCIPAL	3,149

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TY 2016 Other Professional Fees Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT ADMINISTRATION FEE	3,208	0		3,208

TY 2016 Taxes Schedule

Name: CLIFTON C & HENRYETTA C DOAK CHARITABLE TRUST

EIN: 74-6402510

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROPERTY TAXES - ROYALTIES	101	101		0
FOREIGN TAXES	1,403	1,403		0