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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending

Name of foundation JOAN AND HERB KELLEHER CHARITABLE FOUNDATION		A Employer identification number 74-2833381
Number and street (or P.O. box number if mail is not delivered to street address) P. O. BOX 12170		B Telephone number (see instructions) (210) 229-1975
City or town, state or province, country, and ZIP or foreign postal code San Antonio TX 78212		C If exemption application is pending, check here. ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation. ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 17,936,798.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (attach schedule)	975,433.			
	2 Check ☐ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	288,324.	288,324.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		1,183,858.		
	8 Net short-term capital gain				
	9 Income modifications				
ADMINISTRATIVE AND OPERATING EXPENSES	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11.	1,263,757.	1,472,182.		
	13 Compensation of officers, directors, trustees, etc.	75,000.	18,750.		56,250.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits.				
	16a Legal fees (attach schedule). L-16a Stmt.	3,885.	1,943.		1,942.
	b Accounting fees (attach sch). L-16b Stmt.	25,000.	12,500.		12,500.
	c Other professional fees (attach sch) L-16c Stmt.	121,634.	121,634.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	45,347.	6,142.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses (attach schedule) See Line 23 Stmt	120.	120.			
24 Total operating and administrative expenses. Add lines 13 through 23	270,986.	161,089.		70,692.	
25 Contributions, gifts, grants paid	839,300.			839,300.	
26 Total expenses and disbursements. Add lines 24 and 25	1,110,286.	161,089.		909,992.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	153,471.				
b Net Investment Income (if negative, enter -0-).		1,311,093.			
c Adjusted net Income (if negative, enter -0-).					

Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		124,229.	5,493.	5,493.
	2	Savings and temporary cash investments		1,540,660.	2,196,574.	2,196,574.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule) L-10a. Stmt	1,083,010.	1,113,974.	1,105,338.	
	b	Investments — corporate stock (attach schedule) L-10b. Stmt	9,343,011.	9,634,725.	12,730,499.	
	c	Investments — corporate bonds (attach schedule) L-10c. Stmt	1,863,722.	1,876,068.	1,889,545.	
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe L-15. Stmt)	7,085.	9,349.	9,349.		
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	13,961,717.	14,836,183.	17,936,798.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable	1,005,000.	560,000.		
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)	1,005,000.	560,000.			
FUND ASSETS	Foundations that follow SFAS 117, check here ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	12,956,717.	14,276,183.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	12,956,717.	14,276,183.			
31	Total liabilities and net assets/fund balances (see instructions)	13,961,717.	14,836,183.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,956,717.
2	Enter amount from Part I, line 27a	2	153,471.
3	Other increases not included in line 2 (itemize) See Other Increases Stmt	3	1,695,207.
4	Add lines 1, 2, and 3	4	14,805,395.
5	Decreases not included in line 2 (itemize) See Other Decreases Stmt	5	529,212.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	14,276,183.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SEE STATEMENT 9		P	Various	Various
b SEE STATEMENT 9A		D	Various	Various
c LONG TERM GAIN DISTRIBUTIONS		P	Various	Various
d LITIGATION PROCEEDS		P	Various	Various
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,737,885.		1,652,815.	85,070.
b 1,470,709.		389,108.	1,081,601.
c 17,048.		0.	17,048.
d 139.		0.	139.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a 0.	0.	0.	85,070.
b 0.	0.	0.	1,081,601.
c 0.	0.	0.	17,048.
d 0.	0.	0.	139.
e			

2 Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	1,183,858.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	849,109.	15,891,388.	0.053432
2014	770,413.	14,624,987.	0.052678
2013	737,831.	13,916,098.	0.053020
2012	677,854.	13,076,613.	0.051837
2011	662,676.	12,888,942.	0.051414

2 Total of line 1, column (d)	2	0.262381
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052476
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5.	4	16,674,572.
5 Multiply line 4 by line 3	5	875,015.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,111.
7 Add lines 5 and 6.	7	888,126.
8 Enter qualifying distributions from Part XII, line 4	8	909,992.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 13,111.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2.		3 13,111.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 13,111.
6 Credits/Payments:		
a 2016 estimated tax pmts and 2015 overpayment credited to 2016	6 a 24,800.	
b Exempt foreign organizations — tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7 24,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 11,689.	
11 Enter the amount of line 10 to be Credited to 2017 estimated tax 11,689. Refunded	11	

Part VII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
TEXAS		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Form 990-PF (2016)

Part VII Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11	Yes	No
				X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address NONE				
14	The books are in care of JOHN J. RIZZO, JR., CPA PC Telephone no (210) 229-1975			
	Located at 4040 BROADWAY ST., SUITE 450 SAN ANTONIO, TX ZIP + 4 78209			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
				X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country				

Part VIII Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1 a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	X No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	X No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	X No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X	Yes	No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	X No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	X No
b	If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		X
	Organizations relying on a current notice regarding disaster assistance check here			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?		Yes	X No
	If 'Yes,' list the years 20 __ , 20 __ , 20 __ , 20 __			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	 20 __ , 20 __ , 20 __ , 20 __			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	X No
b	If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016).	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

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Form 990-PF (2016)

Part III Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part IV Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HERBERT D. KELLEHER 2702 LOVE FIELD DR. DALLAS TX 75235	TRUSTEE-NV 5.00	0.	0.	0.
JOAN N. KELLEHER 2702 LOVE FIELD DR. DALLAS TX 75235	TRUSTEE-NV 5.00	0.	0.	0.
J. MICHAEL KELLEHER 300 PASEO ENCINAL SAN ANTONIO TX 78212	TRUSTEE 5.00	18,750.	0.	0.
See information about Officers, Directors, Trustees, Etc.		56,250.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ None

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ALAMO ADVISORS LP 4114 POND HILL RD SAN ANTONIO TX 78231	INVESTMENT ADVISOR	58,356.
Total number of others receiving over \$50,000 for professional services		None

Part VIII Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	

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Form 990-PF (2016)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	15,399,125.
b Average of monthly cash balances	1 b	1,529,374.
c Fair market value of all other assets (see instructions)	1 c	0.
d Total (add lines 1a, b, and c)	1 d	16,928,499.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	16,928,499.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	253,927.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,674,572.
6 Minimum investment return. Enter 5% of line 5	6	833,729.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	833,729.
2 a Tax on investment income for 2016 from Part VI, line 5	2 a	13,111.
b Income tax for 2016. (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	13,111.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	820,618.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	820,618.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	820,618.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	909,992.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	909,992.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	13,111.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	896,881.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				820,618.
2 Undistributed Income, if any, as of the end of 2016:				
a Enter amount for 2015 only			328,067.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2016:				
a From 2011	0.			
b From 2012	0.			
c From 2013	0.			
d From 2014	0.			
e From 2015	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: \$ 909,992.				
a Applied to 2015, but not more than line 2a			328,067.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				581,925.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				238,693.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012	0.			
b Excess from 2013	0.			
c Excess from 2014	0.			
d Excess from 2015	0.			
e Excess from 2016	0.			

Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE 10 TX 1		PC	SEE SCHEDULE 10	839,300.
Total				3 a 839,300.
b Approved for future payment SEE SCHEDULE 10 TX 1		PC	SEE SCHEDULE 10	560,000.
Total				3 b 560,000.

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies . .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	288,324.	
5	Net rental income or (loss) from real estate.					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory . . .			18	1,183,858.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				1,472,182.	
13	Total. Add line 12, columns (b), (d), and (e)					1,472,182.

(See worksheet in line 13 instructions to verify calculations)

Part XIV-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
(1)	Cash	1 a (1)	X
(2)	Other assets	1 a (2)	X
b	Other transactions.		
(1)	Sales of assets to a noncharitable exempt organization.	1 b (1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1 b (2)	X
(3)	Rental of facilities, equipment, or other assets	1 b (3)	X
(4)	Reimbursement arrangements	1 b (4)	X
(5)	Loans or loan guarantees	1 b (5)	X
(6)	Performance of services or membership or fundraising solicitations.	1 b (6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1 c	X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.	Signature of officer or trustee <u><i>North Apathe</i></u> Date <u>5/9/17</u>		Title <u>CO-TRUSTEE</u>	May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No
	Print/Type preparer's name <u>JOHN J. RIZZO JR.</u>	Preparer's signature <u><i>John Rizzo</i></u> Date <u>5/5/17</u>	Check ☐ if self-employed	PTIN <u>P00111298</u>
Paid Preparer Use Only	Firm's name ▶ <u>JOHN J. RIZZO, JR., CPA P.C.</u>		Firm's EIN ▶ <u>74-2856308</u>	
	Firm's address ▶ <u>4040 BROADWAY ST. STE450</u> <u>SAN ANTONIO TX 78209</u>		Phone no <u>(210) 229-1975</u>	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2016

Name of the organization

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

Employer identification number

74-2833381

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization

Employer identification number

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HERBERT D. AND JOAN N. KELLEHER PO BOX 12170 SAN ANTONIO TX 78212	\$ 975,433	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	10000 SHARES COMMON STOCK - DELTA AIR LINES INC.	\$ 481,200.	11/15/16
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	747 SH SPDR S&P500 TRUST (SPY) \$165,239 259 SH ISHARES RUSSELL MID (IWR) \$46,404	\$ 211,643.	12/06/16
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	521 SH SPDR S&P500 TRUST (SPY) \$117,863	\$ 117,863.	12/20/16
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1830.78 SH DODGE & COX INT'L STOCK (DODFX)	\$ 69,606.	12/22/16
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1316.79 SH MFS INTL VALUE (MINIX) \$48,116 1175.14 SH PARNASSUS CORE EQUITY (PRILX) \$47,006	\$ 95,122.	12/27/16
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAXES	6,142.	6,142.		
FEDERAL EXCISE TAX	39,205.			
Total	45,347.	6,142.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
BANK FEES	102.	102.		
MISC EXPENSE	18.	18.		
Total	120.	120.		

Form 990-PF, Page 2, Part III, Line 3

Other Increases Stmt

DISPURSEMENT ON MULTI-YEAR GRANTS DURING YEAR	505,000.
CAPITAL GAIN ON INVESTMENTS-SEE PART IV	1,183,858.
RETURN ON PRINCIPAL (INVESTMENT ACCOUNTS)	6,349.
Total	1,695,207.

Form 990-PF, Page 2, Part III, Line 5

Other Decreases Stmt

MULTI-YEAR GRANTS AWARDED DURING YEAR	60,000.
FMV>TAX BASIS ON CURRENT YEAR CONTRIBUTIONS	469,212.
Total	529,212.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . ☒ Business . ☐ JULIA K. STACY 311 PRIMROSE PLACE SAN ANTONIO TX 78209	TRUSTEE			
	5.00	18,750.	0.	0.
Person . . ☒ Business . ☐ RUTH K. AGATHER 300 W. FRENCH PL. SAN ANTONIO TX 78212	TRUSTEE			
	5.00	18,750.	0.	0.

Form 990-PF, Page 6, Part VIII, Line 1

Continued

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person. ☒ Business ☐ DAVID N. KELLEHER 315 PASEO ENCINAL SAN ANTONIO TX 78212	TRUSTEE 5.00	18,750.	0.	0.

Total

56,250. 0. 0.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RPSA LAW FIRM	LEGAL FEES	3,885.	1,943.		1,942.
Total		3,885.	1,943.		1,942.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
JOHN J. RIZZO, JR. CPA PC	ACCOUNTING AND TAX SERVICES	18,000.	9,000.		9,000.
MISC PROVIDERS	ACCOUNTING AND TAX SERVICES	7,000.	3,500.		3,500.
Total		25,000.	12,500.		12,500.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LAZARD ASSET MANAGEMENT	INVESTMENT MANAGEMENT FEES	6,961.	6,961.		
WASATCH ADVISORS	INVESTMENT MANAGEMENT FEES	12,385.	12,385.		
GABELLI ASSET MANAGEMENT	INVESTMENT MANAGEMENT FEES	11,396.	11,396.		
MCDONNELL	INVESTMENT MANAGEMENT FEES	9,848.	9,848.		
METROPOLITAN WEST	INVESTMENT MANAGEMENT FEES	8,544.	8,544.		

Form 990-PF, Page 1, Part I

Continued

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SANDS CAPITAL	INVESTMENT MANAGEMENT FEES	12,307.	12,307.		
ALAMO ADVISORS LP	INVESTMENT ADVISORY FEES	58,356.	58,356.		
VARIOUS	ADR FEES	1,435.	1,435.		
MCDONNELL	MISC FEES	402.	402.		
Total		<u>121,634.</u>	<u>121,634.</u>		

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
MC DONNELL BOND ACCT-SEE SCHEDULE 1			1,113,974.	1,105,338.
Total			<u>1,113,974.</u>	<u>1,105,338.</u>

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
DIVERSIFIED MUTUAL FUND ACCOUNT-SEE SCHEDULE 2	4,675,396.	5,683,599.
GABELLI EQUITY ACCOUNT - SEE SCHEDULE 3	561,418.	1,277,857.
WASATCH EQUITY ACCOUNT - SEE SCHEDULE 4	966,943.	1,493,649.
LAZARD EQUITY ACCOUNT - SEE SCHEDULE 5	810,665.	936,722.
METWEST EQUITY ACCOUNT - SEE SCHEDULE 6	1,529,720.	1,797,744.
SANDS EQUITY CAPITAL ACCOUNT - SEE SCHEDULE 7	1,090,583.	1,540,928.
Total	<u>9,634,725.</u>	<u>12,730,499.</u>

Form 990-PF, Page 2, Part II, Line 10c
L-10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
MC DONNELL BOND MGMT ACCOUNT (DOMESTIC)-SEE SCHEDULE 8	1,876,068.	1,889,545.
Total	<u>1,876,068.</u>	<u>1,889,545.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
INVESTMENT ACCOUNTS RECEIVABLE	7,085.	9,349.	9,349.
Total	<u>7,085.</u>	<u>9,349.</u>	<u>9,349.</u>

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 1

GOVERNMENT BONDS

12/31/2016

<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
UNITED STATES TREASURY NOTE	232,000	237,258	236,050
UNITED STATES TREASURY NOTE	165,000	170,331	171,093
UNITED STATES TREASURY NOTE	125,000	126,874	127,582
UNITED STATES TREASURY NOTE	118,000	117,043	115,995
UNITED STATES TREASURY NOTE	100,000	99,764	100,723
UNITED STATES TREASURY NOTE	90,000	92,499	89,933
UNITED STATES TREASURY NOTE	70,000	70,952	68,856
UNITED STATES TREASURY NOTE	58,000	59,398	60,501
UNITED STATES TREASURY NOTE	50,000	49,216	46,728
UNITED STATES TREASURY NOTE	40,000	40,531	39,047
UNITED STATES TREASURY NOTE	26,000	32,439	31,005
UNITED STATES TREASURY NOTE	18,000	17,671	17,825
TOTAL US OBLIGATIONS		<u>1,113,974</u>	<u>1,105,338</u>

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE

2

MUTUAL FUND ACCOUNT

YEAR END 12/31/16

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
DODFX	**DODGE & COX FUNDS	1,830.78	58,644.97	69,752.73
DAL	DELTA AIR LINES INC DEL	6,000	71,753.88	295,140.00
IWR	ISHARES RUSSELL MID CAP ETF	259	13,763.26	46,324.74
JAHYX	**JANUS INVESTMENT FUND	98,918.54	890,735.78	835,861.63
LZEMX	**LAZARD EMERGING MARKETS	21,089.32	413,237.20	336,585.52
MINIX	**MFS SER TR X	1,316.79	32,570.83	48,115.36
PRILX	**PARNASSUS INCOME TR	1,178.46	41,166.49	46,372.56
PTLDX	**PIMCO LOW DURATION FUND	95,831.07	998,117.57	943,936.03
PFORX	**PIMCO FOREIGN BOND FUND	47,849.35	503,558.86	500,504.14
TRREX	**T ROWE PRICE REAL ESTATE	21,670.95	397,607.97	617,621.96
LUV	SOUTHWEST AIRLINES CO	4,000	40	199,360.00
SPY	SPDR S&P 500 ETF TRUST	1,268	240,616.91	283,436.04
VFIAX	**VANGUARD INDEX TR	5,188.12	785,030.38	1,071,709.11
WAIGX	**WASATCH FDS INC	14,625.03	228,551.46	388,879.46
Total			4,675,396	5,683,599

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 3

GABELLI EQUITY ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
AN	AUTONATION INC DEL	300	3,456.15	14,595.00
T	AT&T INC	756	13,065.17	32,152.68
ASMA	ASCENT CAPITAL GROUP INC	210	5,191.83	3,414.60
AMCX	AMC NETWORKS INC	400	11,721.48	20,936.00
ASIX	ADVANSIX INC	20	58.66	442.8
AXP	AMERICAN EXPRESS COMPANY	300	10,040.97	22,224.00
BBGI	BEASLEY BROADCAST GROUP II	2,400	11,386.54	14,760.00
BK	BANK NEW YORK MELLON COR	1,000	24,456.52	47,380.00
CHE	CHEMED CORPORATION	200	3,437.83	32,082.00
CPB	CAMPBELL SOUP CO	500	12,109.00	30,235.00
KO	COCA COLA COMPANY	700	15,241.91	29,022.00
CR	CRANE CO	500	13,039.00	36,060.00
DISH	DISH NETWORK CORP	300	3,835.50	17,379.00
DPS	DR PEPPER SNAPPLE GROUP INC	240	5,441.08	21,760.80
DANOY	***DANONE	1,000	10,702.00	12,699.00
DBD	DIEBOLD NIXDORF INCORPORATED	400	14,262.26	10,060.00
SATS	ECHOSTAR CORPORATION	100	2,716.15	5,139.00
EPC	EDGEWELL PERS CARE CO	200	4,067.52	14,598.00
ENR	ENERGIZER HOLDINGS INC	200	1,406.68	8,922.00
FLS	FLOWERVE CORP	400	1,960.44	19,220.00
FLO	FLOWERS FOODS INC	450	1,131.68	8,986.50
FDML	FEDERAL MOGUL HOLDINGS	1,366	15,506.72	14,083.46
GIS	GENERAL MILLS INC	700	17,224.80	43,239.00
GPC	GENUINE PARTS CO	500	16,018.50	47,770.00
GGG	GRACO INC	200	10,318.86	16,618.00
TV	***GRUPO TELEVISA SA DE CV	1,000	7,369.14	20,890.00
GFF	GRIFFON CORP	1,000	9,316.80	26,200.00
HON	HONEYWELL INTL INC	500	11,022.24	57,925.00
INAP	INTERNAP CORPORATION	2,000	13,835.45	3,080.00
HRS	HARRIS CORP-DEL	20	1,584.40	2,049.40
IFF	INTL FLAVORS & FRAGRANCES	300	14,697.90	35,349.00
IPG	INTERPUBLIC GROUP OF COS INC	700	13,724.34	16,387.00
LENB	LENNAR CORP	300	5,536.23	10,350.00
LBRDA	LIBERTY BROADBAND CORPORATION	26	58.01	1,883.96
LBRDK	LIBERTY BROADBAND CORPORATION	70	841.56	5,184.90
BATRK	LIBERTY MEDIA CORPORATION	35	212.04	720.66
LMCK	LIBERTY MEDIA CORPORATION	52	49.59	1,629.16
LSXMK	LIBERTY MEDIA CORPORATION	208	266.99	7,055.36
LSXMA	LIBERTY MEDIA CORPORATION	104	137.95	3,590.08
BATRA	LIBERTY MEDIA CORPORATION	10	11.07	204.9

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 3

GABELLI EQUITY ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
LMCA	LIBERTY MEDIA CORPORATION	26	25.7	815.11
LM	LEGG MASON INC	600	14,322.30	17,946.00
MDLZ	MONDELEZ INTERNATIONAL IN	500	9,686.45	22,165.00
MSG	MADISON SQUARE GARDEN CO	133	4,977.42	22,810.83
MSGN	MSG NETWORKS INC	400	1,941.67	8,600.00
MSEX	MIDDLESEX WATER CO	300	5,182.94	12,882.00
MYE	MYERS INDUSTRIES INC	600	6,008.76	8,580.00
NFG	NATIONAL FUEL GAS CO	500	20,700.61	28,320.00
NTRS	NORTHERN TRUST CORP	400	14,128.96	35,620.00
OKE	ONEOK INC	200	1,732.78	11,482.00
PDCO	PATTERSON COMPANIES INC	600	13,412.28	24,618.00
RHP	RYMAN HOSPITALITY PPTYS IN	565	13,526.38	35,600.65
HSIC	HENRY SCHEIN INC	200	5,150.30	30,342.00
SXT	SENSIENT TECHNOLOGIES CORI	200	4,409.44	15,716.00
SNI	SCRIPPS NETWORKS INTERACTI	400	13,828.25	28,548.00
SSP	SCRIPPS E W CO OHIO	1,200	20,626.80	23,196.00
SNE	***SONY CORPORATION	1,000	16,930.00	28,030.00
TWX	TIME WARNER INC	266	7,941.45	25,676.98
TXN	TEXAS INSTRUMENTS INCORPO	600	12,975.00	43,782.00
TG	TREDEGAR CORPORATION	300	4,460.55	7,200.00
VEC	VECTRUS INC	11	89.23	262.35
WM	WASTE MANAGEMENT INC DEL	600	20,768.58	42,546.00
WFC	WELLS FARGO & CO	800	27,339.08	44,088.00
WTS	WATTS WATER TECHNOLOGIES	200	3,694.80	13,040.00
XYL	XYLEM INC	600	11,097.29	29,712.00
			561,418	1,277,857

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 4

WASATCH EQUITY ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
ANSS	ANSYS INC	112	5,014	10,359
ALGT	ALLEGiant TRAVEL CO	243	13,401	40,435
BCPC	BALCHEM CORP	538	21,745	45,149
CTRE	CARETRUST REIT INC	1,855.58	19,613	28,427
CAVM	CAVIUM INC	639	37,067	39,899
CSOD	CORNERSTONE ONDEMAND INC	1,430	50,524	60,503
CYBR	***CYBERARK SOFTWARE LTD	287	13,906	13,059
CMPR	***CIMPRESS N V	654	21,727	59,913
CEB	CEB INC	324	12,874	19,634
CACC	CREDIT ACCEPTANCE CORP-MICH	227	29,801	49,375
CPRT	COPART INC	943	24,004	52,252
EGBN	EAGLE BANCORP INC MD	407	17,350	24,807
ENSG	ENSIGN GROUP INC COM USD0.001	1,704	15,934	37,846
EPAM	EPAM SYSTEMS INC	358	12,714	23,023
ENV	ENVESTNET INC	610	24,038	21,503
FTNT	FORTINET INC	734	21,141	22,108
FRGI	FIESTA RESTAURANT GROUP INC	779	24,036	23,253
FIVE	FIVE BELOW INC	459	16,677	18,342
GLOB	***GLOBANT S A	250	5,420	8,338
HF	HFF INC	790	29,077	23,898
IBP	INSTALLED BUILDING PRODUCTS	567	6,668	23,417
ICLR	***ICON PLC	624	15,843	46,925
HQY	HEALTH EQUITY INC	608	15,721	24,636
INDB	INDEPENDENT BANK CORP-MASS	369	16,745	25,996
KNX	KNIGHT TRANSPORTATION INC	823	14,186	27,200
LOCK	LIFELOCK INC	945	15,302	22,604
LXFT	***LUXOFT HOLDING INC	359	20,783	20,176
MFA	MFA FINANCIAL INC	1,521	13,141	11,605
MPWR	MONOLITHIC POWER SYS INC	353	17,952	28,921
MD	MEDNAX INC	667	29,308	44,462
MMYT	***MAKEMYTRIP LIMITED	647	12,808	14,363
MNRO	MONRO MUFFLER BRAKE INC	313	13,239	17,904
NUS	NU SKIN ENTERPRISES INC	348	18,333	16,627
POOL	POOL CORPORATION	269	7,761	28,067
PFPT	PROOFPOINT INC	68	4,744	4,804
PRAA	PRA GROUP INC	693	18,185	27,096
ODFL	OLD DOMINION FREIGHT LINES IN	557	10,962	47,785
OLLI	OLLIE S BARGAIN OUTLET	659	15,930	18,749
SEIC	SEI INVESTMENTS CO	406	8,955	20,040

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 4

WASATCH EQUITY ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
ANSS	ANSYS INC	112	5,014	10,359
SGMO	SANGAMO BIOSCIENCES INC	1,850	12,898	5,643
SGEN	SEATTLE GENETICS INC	841	31,393	44,380
SSB	SOUTH STATE CORPORATION	265	19,232	23,161
SAVE	SPIRIT AIRLINES INC	795	27,251	45,999
SSTK	SHUTTERSTOCK INC	203	10,632	9,647
TYL	TYLER TECHNOLOGIES INC	267	10,223	38,120
TREX	TREX COMPANY INC	486	19,261	31,298
TCBI	TEXAS CAPITAL BANCSHARES INC	448	25,351	35,123
ULTI	ULTIMATE SOFTWARE GROUP INC	149	10,280	27,170
W	WAYFAIR INC	560	19,871	19,628
WCN	*** WASTE CONNECTIONS INC	591	17,485	46,447
WBS	WEBSTER FINANCIAL CORP	549	19,927	29,800
ZOES	ZOES KITCHEN INC	453	13,252	10,867
ZEN	ZENDESK INC	934	21,341	19,801
ZUMZ	ZUMIEZ INC	598	15,919	13,066
TOTAL ACCOUNT			966,943	1,493,649

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 5

LAZARD EQUITY ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
ASBFY	***ASSOCIATED BRITISH FOOD	255	6,305	8,649
ASAZY	***ASSA ABLOY AB	2,415	7,892	22,476
ACN	***ACCENTURE PLC IRELAND	95	11,173	11,127
ALIOY	***ACTELION LTD ALLSCHWIL	240	6,858	13,017
BUD	***ANHEUSER-BUSCH INBEV SA	310	16,563	32,686
AON	***AON PLC	195	19,073	21,748
ASHTY	***ASHTREAD GROUP PLC	125	7,844	9,762
BTI	***BRITISH AMERICAN TOBACC	279	21,784	31,435
BHP	***BHP BILLITON LTD	655	31,599	23,436
BNC DY	***BANCA MEDIOLANUM SPA	630	10,275	9,085
CNI	***CANADIAN NATIONAL RAIL	235	14,914	15,839
CGEMY	***CAP GEMINI SA	712	11,452	12,039
CMPGY	***COMPASS GROUP PLC	1,120	19,169	20,773
CFRUY	***COMPAGNIE FINANCIERE	1,340	12,559	8,894
CABGY	***CARLSBERG AS	970	18,262	16,773
CTTAY	***CONTINENTAL AG	310	13,237	12,013
DWAHY	***DAIWA HOUSE INDUSTRY CO	1,050	19,964	28,772
FANUY	***FANUC CORPORATION	733	11,611	12,453
FSNUY	***FRESENIUS SE & CO	922	11,826	18,055
GEAGY	***GEA GROUP AG	205	8,566	8,266
IFJPY	***INFORMA PLC	875	11,308	14,704
JAPAY	***JAPAN TOBACCO	760	12,263	12,524
KMTUY	***KOMATSU LTD	540	15,474	12,257
KDDIY	***KDDI CORPORATION	1,082	10,095	13,727
KBCSY	***KBC GROUP NV	230	6,884	7,136
MKTAY	***MAKITA CORP	335	18,482	22,490
NVS	***NOVARTIS AG	513	29,655	37,367
PUK	***PRUDENTIAL PLC SPONS AD	875	23,421	34,816
RDSA	***ROYAL DUTCH SHELL PLC	407.596	23,726	22,165
RDEIY	***RED ELECTRICA CORPORAC	1,464	12,111	13,839
RYKKY	***RYOHIN KEIKAKU CO LTD	485	14,857	19,053
RELX	***RELX PLC	960	16,565	17,251
RYAAY	***RYANAIR HLDGS PLC	175	7,339	14,571
KKPNY	***ROYAL KPN NV	2,645	8,479	7,850
SAP	***SAP SE	280	24,074	24,200
SHPG	***SHIRE PLC	155	29,903	26,409
STO	***STATOIL ASA	720	11,641	13,133

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 5

LAZARD EQUITY ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
SWDBY	***SWEDBANK AB	675	13,437	16,369
SVNDY	***SEVEN & I HOLDINGS CO LTI	800	12,766	15,272
SFTBY	***SOFTBANK GROUP	580	21,083	19,307
SAXPY	***SAMPO OYJ	1,120	17,298	25,156
SMFG	***SUMITOMO MITSUI FINL GR	3,180	21,315	24,295
SIG	***SIGNET JEWELERS LIMITED	120	9,948	11,311
SU	***SUNCOR ENERGY INC	495	15,049	16,182
SNE	***SONY CORPORATION	475	12,507	13,314
TELNY	***TELENOR ASA	890	19,072	13,338
TEVA	***TEVA PHARMACEUTICAL	390	20,391	14,138
UL	***UNILEVER PLC	485	17,454	19,740
VCISY	***VINCI S A	1,185	18,306	20,217
VLEEY	***VALEO-SPONSORED ADR	875	12,859	25,200
WOSYY	***WOLSELEY PLC	3,706	14,213	22,722
WPYGY	***WORLDPAY GROUP PLC	1,230	14,449	12,306
WTKWY	***WOLTERS KLUWER NV	470	13,318	17,063
TOTAL ACCOUNT			810,665	936,722

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 6

MET WEST ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
AAP	ADVANCE AUTO PARTS INC	178	29,182	30,103
AER	***AERCAP HOLDINGS NV	1,200	49,882	49,932
ABT	ABBOTT LABORATORIES	787	22,340	30,229
ACN	***ACCENTURE PLC IRELAND	436	47,543	51,069
BUD	***ANHEUSER-BUSCH INBEV	394	42,100	41,543
GOOG	ALPHABET INC	67	51,152	51,712
ALL	ALLSTATE CORP	747	46,638	55,368
AAPL	APPLE INC	302	2,923	34,978
BBT	BB&T CORP	1,059	39,791	49,794
CI	CIGNA CORPORATION	292	19,392	38,950
CMCSA	COMCAST CORP	677	45,404	46,747
CXO	CONCHO RESOURCES INC	123	15,683	16,310
CIT	CIT GROUP INC	948	42,915	40,461
CCI	CROWN CASTLE INTERNATIO	557	46,020	48,331
CSCO	CISCO SYSTEMS INC	1,394	39,636	42,127
DIS	WALT DISNEY CO	342	16,412	35,643
EOG	EOG RES INC	585	47,113	59,144
FTI	FMC TECHNOLOGIES INC	786	38,844	27,927
GILD	GILEAD SCIENCES INC	546	55,155	39,099
HON	HONEYWELL INTL INC	428	34,986	49,584
LMT	LOCKHEED MARTIN CORP	192	36,863	47,988
LLY	ELI LILLY & CO	330	22,348	24,272
MRK	MERCK & CO INC	727	43,057	42,798
MSI	MOTOROLA SOLUTIONS INC	671	42,794	55,619
MDLZ	MONDELEZ INTERNATIONAL	998	42,618	44,241
MSFT	MICROSOFT CORP	912	40,870	56,672
NEE	NEXTERA ENERGY INC	407	23,535	48,620
NSRGY	***NESTLE SA-SPONSORED A	544	40,362	39,100
NKE	NIKE INC	830	42,739	42,189
ORCL	ORACLE CORPORATION	958	15,872	36,835
PEP	PEPSICO INC	393	26,781	41,120
RDSA	***ROYAL DUTCH SHELL PLC	697.223	34,537	37,915
ST	***SENSATA TECHNOLOGIES	894	36,295	34,821
SYF	SYNCHRONY FINANCIAL	1,172	38,138	42,508
SLB	***SCHLUMBERGER LTD	435	30,227	36,518
TJX	TJX COMPANIES INC NEW	647	43,928	48,609
UPS	UNITED PARCEL SVC INC	254	16,056	29,119

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 6

MET WEST ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
USB	US BANCORP DEL	1,159	48,268	59,538
UBS	***UBS GROUP AG	1,623	26,374	25,432
VZ	VERIZON COMMUNICATIONS	601	27,825	32,081
VMC	VULCAN MATERIALS CO	424	52,313	53,064
WU	WESTERN UNION CO	2,049	35,896	44,504
WEC	WEC ENERGY GROUP INC	599	28,913	35,131
TOTAL ACCOUNT			1,529,720	1,797,744

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 7

SANDS ACCOUNT

12/31/2016

<u>Symbol</u>	<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
ALXN	ALEXION PHARMACEUTICALS IN	450	40,594	55,058
AMZN	AMAZON.COM INC	131	74,798	98,233
ATHN	ATHENAHEALTH INC	215	19,814	22,612
BABA	***ALIBABA GROUP HOLDING L1	855	65,579	75,078
GOOGL	ALPHABET INC	101	30,782	80,037
GOOG	ALPHABET INC	45	15,732	34,732
ADBE	ADOBE SYSTEMS INC	685	49,301	70,521
BMRN	BIOMARIN PHARMACEUTICAL IN	495	27,128	41,006
BIIB	BIOGEN INC	120	21,500	34,030
BIDU	***BAIDU INC	330	36,369	54,255
CMG	CHIPOTLE MEXICAN GRILL INC	95	32,037	35,845
EW	EDWARDS LIFESCIENCES CORP	400	29,842	37,480
FB	FACEBOOK INC	890	22,533	102,395
ILMN	ILLUMINA INC	300	53,852	38,412
INCY	INCYTE CORPORATION	295	31,826	29,580
LC	LENDINGCLUB CORPORATION	2,325	42,541	12,206
MBLY	***MOBILEYE N V	675	38,805	25,731
MNST	MONSTER BEVERAGE CORPORA	860	37,114	38,132
NFLX	NETFLIX COM INC	525	64,021	64,995
PCLN	PRICELINE GROUP INC (THE)	68	55,782	99,692
PANW	PALO ALTO NETWORKS INC	310	48,093	38,766
REGN	REGENERON PHARMACEUTICAL	110	24,374	40,380
CRM	SALESFORCE.COM INC	1,320	20,824	90,367
NOW	SERVICENOW INC	310	26,426	23,045
SPLK	SPLUNK INC	805	41,754	41,176
SLB	***SCHLUMBERGER LTD	420	28,565	35,259
SCHW	CHARLES SCHWAB CORP NEW	1,340	32,232	52,890
UAA	UNDER ARMOUR INC	425	18,420	12,346
UA	UNDER ARMOUR INC	428	17,946	10,773
V	VISA INC	1,870	41,998	145,897
TOTAL ACCOUNT			1,090,583	1,540,928

**JOAN & HERB KELLEHER
CHARITABLE FOUNDATION**
SCHEDULE 8
CORPORATE BONDS
12/31/2016

<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
***ACTAVIS FDG SCS	10,000	10,000	10,229
***BANK MONTREAL QUE	20,000	20,134	20,379
***BP CAPITAL MARKETS PLC	30,000	30,065	29,869
***TORONTO DOMINION BK	15,000	14,964	14,652
ACE INA HLDGS INC	30,000	30,205	30,171
AETNA INC	25,000	25,005	24,648
AMAZON COM INC	25,000	26,160	26,339
AMERICAN EXPRESS CR CORP	25,000	24,833	25,044
AMERICAN INTL GROUP	20,000	20,392	20,706
AMGEN INC	28,000	28,125	28,283
ANHEUSER BUSCH INBEV WORLDWIDE	20,000	21,569	22,309
ANHEUSER-BUSCH INBEV FIN INC	13,000	12,980	13,395
APPLE INC	25,000	24,761	24,440
AT&T INC	23,000	23,080	22,840
AT&T INC	20,000	19,272	20,727
BANK OF AMERICA CORP	25,000	24,571	25,473
BAPTIST HEALTH SOUTH FLA TAX	10,000	10,453	11,001
BB&T CORP	20,000	20,018	20,146
BURLINGTON NORTHN SANTA FE LLC	23,000	22,746	23,482
CAPITAL ONE FINL CORP	25,000	25,028	25,272
CATERPILLAR FINL SVCS CORP	18,000	17,995	18,037
CIGNA CORP	20,000	20,698	21,197
CISCO SYS INC	20,000	20,000	20,028
CITIGROUP INC	25,000	25,189	25,112
CME GROUP INC	20,000	20,154	20,634
COMCAST CORP NEW	25,000	27,101	27,718
COSTCO WHOLESALE CORP NEW	30,000	30,053	29,991
CSX CORP	20,000	20,954	20,900
CVS CAREMARK CORP	25,000	25,113	25,354
ENERGY NORTHWEST WASH ELEC	25,000	25,125	25,475
ENTERPRISE PRODUCTS OPER	20,000	20,544	20,998
FEDERAL HOME LOAN MTG CORP	70,000	70,076	70,247
FEDERAL HOME LOAN MTG CORP	50,000	51,988	53,162
FEDERAL NATIONAL MTG ASSN	85,000	85,004	85,483
FEDERAL NATIONAL MTG ASSN	75,000	77,019	76,355
FEDERAL NATIONAL MTG ASSN	50,000	50,189	50,848
FEDERAL NATIONAL MTG ASSN	12,000	11,969	11,949
FEDL HOME LOAN MTG CORP#C09068	30,000	20,850	20,618

JOAN & HERB KELLEHER
CHARITABLE FOUNDATION

SCHEDULE 8

CORPORATE BONDS

12/31/2016

<u>Description</u>	<u>Quantity</u>	<u>Cost Basis</u>	<u>Market Value</u>
FEDL HOME LOAN MTG CORP#C57076	145,000	8	469
FEDL HOME LOAN MTG CORP#G08595	30,000	17,460	17,260
FEDL HOME LOAN MTG CORP#G08596	30,000	18,278	18,100
FORD CR AUTO OWNER TR	35,000	34,992	34,730
GENERAL ELEC CAP CORP	25,000	25,937	26,619
GILEAD SCIENCES INC	25,000	27,128	25,653
GOLDMAN SACHS GROUP INC	20,000	21,934	22,232
INTEL CORP	20,000	20,214	20,948
JPMORGAN CHASE & CO	30,000	30,019	30,661
MAGELLAN MIDSTREAM HLDGS L P	20,000	20,903	21,558
MARRIOTT INTL INC NEW	18,000	18,082	18,317
MARSH & MCLENNAN COS INC	25,000	24,960	25,747
MCKESSON CORP	30,000	28,810	29,379
MECKLENBURG CNTY N C LTD	15,000	15,000	15,086
MERCEDES-BENZ AUTO RECEIVABLES	30,000	29,996	30,007
METLIFE INC	25,000	25,197	25,866
MICROSOFT CORP	20,000	20,028	19,712
MORGAN STANLEY	30,000	29,964	29,820
NATIONAL RURAL UTILS COOP FIN	25,000	25,136	25,490
NEW YORK ST DORM AUTH REVS	30,000	30,000	29,836
NEW YORK ST ENVIRONMENTAL	10,000	10,013	10,090
OAKLAND UNIV MICH REV GEN REV	10,000	10,000	10,103
ORACLE CORP	30,000	30,253	28,861
ORACLE CORP	25,000	25,006	25,082
PACCAR FINANCIAL CORP	25,000	24,941	25,148
PENNSYLVANIA ST TPK COMMN SPL	20,000	20,020	20,689
PORT AUTH N Y & N J FOR	10,000	10,766	10,938
PRUDENTIAL FINL INC	30,000	30,123	30,556
PUGET ENERGY INC NEW SR NT	15,000	17,028	16,999
SEMPRA ENERGY	30,000	30,107	29,929
SIMON PPTY GROUP L P	10,000	10,943	10,514
SIMON PROPERTY GROUP L P	20,000	19,934	20,355
TIME WARNER INC	20,000	21,366	21,669
TOYOTA MOTOR CREDIT CORP	30,000	30,183	30,452
U S BANCORP	20,000	21,612	21,102
VERIZON COMMUNICATIONS INC	20,000	20,277	20,877
WELLS FARGO & CO NEW	25,000	25,064	25,183
TOTAL ACCOUNT		1,876,068	1,889,545

74-2833381

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
1400 0	Cablevision Systems Corp-CI ACablevision Ny Group ComCusip 12886c109	Purchase	12/07/09	06/14/16	48,583	21,390	27,194
0.4	Liberty Media CorporationSeries A Liberty Braves ComSymbol Betra	Purchase	07/25/02	04/20/16	7	0	7
0.8	Liberty Media CorporationSeries C Liberty Braves CommonSymbol Batrk	Purchase	07/25/02	04/20/16	14	1	14
800 0	Pep Boys Manny Moe & JackCom Contra CusipCusip 713992782	Purchase	12/04/12	02/03/16	14,800	7,391	7,409
85.0	Actelion Ltd AllschwilUn-sponsored AdrSymbol Alloy	Purchase	12/16/15	08/09/16	3,550	2,902	648
15.0	Actelion Ltd AllschwilUn-sponsored AdrSymbol Alloy	Purchase	01/27/16	08/09/16	627	500	126
75.0	Actelion Ltd AllschwilUn-sponsored AdrSymbol Alloy	Purchase	01/27/16	08/11/16	3,121	2,501	620
205 0	Bg Group Plc Adr FinalInstallmentCusip 055434203	Purchase	01/23/15	01/08/16	2,814	2,749	65
220 0	Bg Group Plc Adr FinalInstallmentCusip 055434203	Purchase	01/23/15	01/11/16	2,956	2,951	5
0.5	Cap Gemini SaAdr 1 2 (France)Symbol Cgemny	Purchase	06/17/16	11/01/16	8	9	(1)
80.0	Check Point SoftwareTechnologies LtdSymbol Chkpt	Purchase	12/11/15	08/02/16	6,012	6,736	(724)
100 0	Check Point SoftwareTechnologies LtdSymbol Chkpt	Purchase	10/01/15	09/22/16	7,616	7,955	(339)
20 0	Check Point SoftwareTechnologies LtdSymbol Chkpt	Purchase	12/11/15	09/22/16	1,523	1,684	(161)
10.0	Check Point SoftwareTechnologies LtdSymbol Chkpt	Purchase	01/25/16	09/22/16	762	758	3
100 0	Credit Suisse GroupSponsored AdrSymbol Cs	Purchase	10/26/15	06/27/16	1,059	2,444	(1,385)
300 0	Credit Suisse GroupSponsored AdrSymbol Cs	Purchase	10/27/15	06/27/16	3,176	7,353	(4,177)
10 0	Credit Suisse GroupSponsored AdrSymbol Cs	Purchase	10/28/15	06/27/16	106	247	(141)
300 0	Encana CorpSymbol Eca	Purchase	05/05/15	02/08/16	1,256	4,226	(2,971)
290 0	Encana CorpSymbol Eca	Purchase	05/06/15	02/08/16	1,214	3,991	(2,777)
200 0	Encana CorpSymbol Eca	Purchase	06/12/15	02/08/16	837	2,424	(1,587)
235 0	Encana CorpSymbol Eca	Purchase	06/15/15	02/08/16	984	2,806	(1,823)
100 0	Encana CorpSymbol Eca	Purchase	11/04/15	02/08/16	419	792	(373)
85.0	Encana CorpSymbol Eca	Purchase	11/05/15	02/08/16	356	680	(324)
380 0	Eni S P A Sponsored AdrRepresenting 5 OrdSymbol E	Purchase	06/10/16	11/15/16	10,127	11,994	(1,867)
100 0	Eni S P A Sponsored AdrRepresenting 5 OrdSymbol E	Purchase	06/16/16	11/15/16	2,665	3,017	(352)
125 0	Eni S P A Sponsored AdrRepresenting 5 OrdSymbol E	Purchase	07/01/16	11/15/16	3,331	4,133	(802)
0.3	Fanuc CorporationUn-sponsored AdrSymbol Fanuy	Purchase	03/08/16	11/07/16	6	5	1
40 0	Gea Group AgSponsored AdrSymbol Geagy	Purchase	06/17/16	08/09/16	2,151	1,871	280
218.0	Informa PlcSponsored AdrSymbol Ifipy	Purchase	11/07/16	11/07/16	1,072	-	1,072
185 0	Japan TobaccoUn-sponsored American DepositorySymbol Japay	Purchase	12/16/15	11/11/16	3,264	3,420	(156)
230 0	Kddi CorporationUn-sponsored AdrSymbol Kddiy	Purchase	09/23/16	11/17/16	2,948	3,616	(668)
535.0	Lloyds Banking Group PlcSponsored AdrSymbol Lyg	Purchase	11/03/15	07/01/16	1,561	2,506	(945)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a)	(b)	(c)	(d)	(e)	(g)	(h)
PROPERTY	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	GROSS SALE PRICE	BASIS	GAIN OR LOSS
100.0 Mediolanum Spa Un-sponsored Adr/Cusip 585021104	Purchase	12/16/15	01/22/16	1,370	1,621	(250)
35.0 Mediolanum Spa Un-sponsored Adr/Cusip 585021104	Purchase	12/17/15	01/22/16	480	574	(95)
100.0 Vivendi Sa Un-sponsored Adr/Symbol Vivhy	Purchase	07/13/15	02/04/16	2,021	2,666	(645)
110.0 Vivendi Sa Un-sponsored Adr/Symbol Vivhy	Purchase	07/13/15	02/05/16	2,190	2,932	(743)
205.0 Vivendi Sa Un-sponsored Adr/Symbol Vivhy	Purchase	05/19/16	11/22/16	3,935	3,922	13
15.0 Actelion Ltd Alischwil Un-sponsored Adr/Symbol Alloy	Purchase	12/22/14	08/11/16	624	444	180
115.0 Actelion Ltd Alischwil Un-sponsored Adr/Symbol Alloy	Purchase	12/19/14	10/24/16	4,189	3,386	803
50.0 Actelion Ltd Alischwil Un-sponsored Adr/Symbol Alloy	Purchase	12/22/14	10/24/16	1,821	1,481	340
55.0 Anheuser-Busch Inbev Sa S-sponsored Adr/Symbol Bud	Purchase	09/15/14	06/16/16	6,875	6,287	588
75.0 Ashtead Group Plc Adr/Symbol Ashty	Purchase	07/08/14	01/28/16	3,855	4,732	(877)
40.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	07/01/13	04/28/16	4,569	4,320	248
25.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	06/21/12	05/19/16	2,473	1,718	755
30.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	07/19/12	05/19/16	2,967	2,220	747
20.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	07/01/13	05/19/16	1,978	2,160	(182)
45.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	12/22/11	05/27/16	4,281	2,801	1,480
30.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	06/11/12	05/27/16	2,854	1,909	945
15.0 Bayer Aktiengesellschaft Adr/Symbol Bayr	Purchase	06/21/12	05/27/16	1,427	1,031	397
90.0 Bg Group Plc Adr Final Installment Cusip 055434203	Purchase	08/26/10	01/08/16	1,235	1,476	(240)
205.0 Bg Group Plc Adr Final Installment Cusip 055434203	Purchase	09/08/11	01/08/16	2,814	4,312	(1,498)
120.0 Bnp Paribas Sponsored Adr Repstg 1/2 Sh/Symbol Bnpqy	Purchase	07/19/12	01/20/16	2,795	2,223	572
75.0 Bnp Paribas Sponsored Adr Repstg 1/2 Sh/Symbol Bnpqy	Purchase	02/15/13	01/20/16	1,747	2,376	(629)
115.0 Bnp Paribas Sponsored Adr Repstg 1/2 Sh/Symbol Bnpqy	Purchase	04/04/13	01/20/16	2,679	3,040	(361)
95.0 Bnp Paribas Sponsored Adr Repstg 1/2 Sh/Symbol Bnpqy	Purchase	07/01/14	01/20/16	2,213	3,351	(1,138)
100.0 Bnp Paribas Sponsored Adr Repstg 1/2 Sh/Symbol Bnpqy	Purchase	12/24/14	01/20/16	2,329	3,046	(717)
240.0 Compagnie Financiere Richemont Un-sponsored Adr/Symbol Cfruy	Purchase	06/18/13	03/31/16	1,576	1,576	-
320.0 Compagnie Financiere Richemont Un-sponsored Adr/Symbol Cfruy	Purchase	06/27/14	03/31/16	2,101	2,767	(666)
95.0 Daiwa House Industry Co Ltd Adr/Symbol Dwahy	Purchase	04/22/13	12/27/16	2,611	1,991	620
15.0 Japan Tobacco Un-sponsored American Depository Symbol Japay	Purchase	10/28/14	11/11/16	265	248	16
200.0 Japan Tobacco Un-sponsored American Depository Symbol Japay	Purchase	10/28/14	11/14/16	3,426	3,313	113
135.0 Japan Tobacco Un-sponsored American Depository Symbol Japay	Purchase	10/28/14	11/15/16	2,298	2,236	62
100.0 Kbc Group NV Un-sponsored Adr/Symbol Kbcy	Purchase	03/16/15	11/16/16	2,966	3,075	(109)
5.0 Kbc Group NV Un-sponsored Adr/Symbol Kbcy	Purchase	03/17/15	11/16/16	148	151	(3)
265.0 Kddi Corporation Un-sponsored Adr/Symbol Kddiy	Purchase	01/14/14	11/17/16	3,397	2,658	739

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a)		(b)	(c)	(d)	(e)	(f)	(g)	(h)
PROPERTY		HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	GROSS SALE PRICE	BASIS	GAIN OR LOSS	
5 0	Kddi Corporation/Un-sponsored Adr/Symbol Kddiy	Purchase	02/24/14	11/17/16	64	48	16	
20 0	Kddi Corporation/Un-sponsored Adr/Symbol Kddiy	Purchase	11/07/13	11/18/16	250	187	63	
250 0	Kddi Corporation/Un-sponsored Adr/Symbol Kddiy	Purchase	02/24/14	11/18/16	3,125	2,422	703	
990 0	Lloyds Banking Group Plc/Sponsored Adr/Symbol Lyg	Purchase	04/30/13	07/01/16	2,889	3,462	(573)	
775 0	Lloyds Banking Group Plc/Sponsored Adr/Symbol Lyg	Purchase	05/02/14	07/01/16	2,262	4,231	(1,969)	
2350 0	Lloyds Banking Group Plc/Sponsored Adr/Symbol Lyg	Purchase	12/07/12	07/05/16	6,382	7,121	(739)	
200 0	Lloyds Banking Group Plc/Sponsored Adr/Symbol Lyg	Purchase	04/29/13	07/05/16	543	685	(141)	
620 0	Lloyds Banking Group Plc/Sponsored Adr/Symbol Lyg	Purchase	04/30/13	07/05/16	1,684	2,168	(485)	
125 0	Mediolanum Spa/Un-sponsored Adr/Cusip 585021104	Purchase	07/17/13	01/22/16	1,713	1,617	96	
205 0	Mediolanum Spa/Un-sponsored Adr/Cusip 585021104	Purchase	07/24/13	01/22/16	2,809	2,914	(105)	
160 0	Mediolanum Spa/Un-sponsored Adr/Cusip 585021104	Purchase	01/06/15	01/22/16	2,193	1,995	198	
40 0	Novo Nordisk A/S-Adr/Repstg 1/2 Cl B Sh/Symbol Nvo	Purchase	08/07/13	09/22/16	1,824	1,378	446	
105 0	Novo Nordisk A/S-Adr/Repstg 1/2 Cl B Sh/Symbol Nvo	Purchase	11/06/13	09/22/16	4,788	3,553	1,234	
220 0	Novo Nordisk A/S-Adr/Repstg 1/2 Cl B Sh/Symbol Nvo	Purchase	11/06/13	09/28/16	9,574	7,445	2,129	
41 1	Rexam Plc/AdsCusip 761655604	Purchase	06/30/11	04/08/16	1,795	1,415	380	
88 9	Rexam Plc/AdsCusip 761655604	Purchase	06/27/13	04/08/16	3,881	3,596	285	
41 1	Rexam Plc/AdsCusip 761655604	Purchase	03/01/11	06/14/16	1,822	1,373	449	
42 9	Rexam Plc/AdsCusip 761655604	Purchase	06/30/11	06/14/16	1,901	1,476	425	
64 0	Rexam Plc/AdsCusip 761655604	Purchase	08/17/11	06/14/16	2,836	2,103	733	
72 0	Rexam Plc/AdsCusip 761655604	Purchase	10/10/11	06/14/16	3,191	1,974	1,217	
20 0	Softbank Group/American Depositary Receipts/Symbol Sftby	Purchase	06/03/14	07/20/16	507	744	(236)	
80 0	Softbank Group/American Depositary Receipts/Symbol Sftby	Purchase	09/22/14	07/20/16	2,030	3,203	(1,174)	
90 0	Softbank Group/American Depositary Receipts/Symbol Sftby	Purchase	06/03/14	07/21/16	2,264	3,346	(1,081)	
1730 0	Sumitomo Mitsui Tr Holdings/Inc Sponsored American/Symbol Sutmny	Purchase	10/11/13	04/08/16	4,757	8,911	(4,154)	
100 0	Sumitomo Mitsui Tr Holdings/Inc Sponsored American/Symbol Sutmny	Purchase	10/15/13	04/08/16	275	530	(255)	
110 0	Swedbank Ab/Sponsored Adr/Symbol Swdby	Purchase	05/23/14	02/10/16	2,070	2,924	(854)	
20 0	Swedbank Ab/Sponsored Adr/Symbol Swdby	Purchase	07/18/14	02/10/16	376	518	(142)	
95 0	Swedbank Ab/Sponsored Adr/Symbol Swdby	Purchase	10/29/14	02/10/16	1,788	2,519	(731)	
200 0	Vivendi Sa/Un-sponsored Adr/Symbol Vivhy	Purchase	07/13/15	11/22/16	3,839	5,332	(1,493)	
120 0	Vivendi Sa/Un-sponsored Adr/Symbol Vivhy	Purchase	07/31/15	11/22/16	2,303	3,156	(852)	
10000 0	Citigroup Inc/Baa1/Bbb+Original Cost 10,144/Premium Amortized 32 90	Purchase	04/30/15	04/05/16	10,144	10,111	33	
4000 0	United States Treasury Note2 Year Fm/Aaa/N/AOriginal Cost 4,001 83/Premium Amo	Purchase	11/10/15	01/19/16	3,995	4,002	(7)	
16000 0	United States Treasury Note2 Year Fm/Aaa/N/AOriginal Cost 16,007 33/Premium Am	Purchase	11/10/15	06/30/16	16,013	16,005	8	

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
25000.0	American Honda Fin Corp/NrOriginal Cost 25,210 75Premium Amortized 210 75	Purchase	09/08/14	10/13/16	25,000	25,000	-
20000.0	American Int Group Inc/Medium Term NotesBaa1/Bbb+Original Cost 22,583 20Prem	Purchase	08/21/13	05/24/16	21,345	20,991	354
8000.0	Bhp Billiton Fin Use LtdA3/AOriginal Cost 8,144Premium Amortized 63 11	Purchase	03/27/12	10/21/16	8,511	8,081	430
8000.0	Bhp Billiton Fin Use LtdA3/AOriginal Cost 8,258 64Premium Amortized 113 21	Purchase	04/13/12	10/21/16	8,511	8,145	366
5000.0	Bhp Billiton Fin Use LtdA3/AOriginal Cost 5,474 10Premium Amortized 197 13	Purchase	11/06/12	10/21/16	5,320	5,277	43
7000.0	Brown Forman Corp/NrOriginal Cost 7,290 15Premium Amortized 290 15	Purchase	03/13/12	01/15/16	7,000	7,000	-
8000.0	Brown Forman Corp/NrOriginal Cost 8,400 24Premium Amortized 400 24	Purchase	05/03/13	01/15/16	8,000	8,000	-
20000.0	Citigroup IncBaa1/Bbb+Cusip 172967Hm6	Purchase	04/04/14	04/05/16	20,288	20,014	274
16000.0	Deere John Cap Corp/NrOriginal Cost 16,384 80Premium Amortized 372 12	Purchase	04/19/12	07/19/16	16,029	16,013	16
4000.0	Deere John Cap Corp/NrOriginal Cost 4,084 28Premium Amortized 80 65	Purchase	07/10/13	07/19/16	4,007	4,004	4
15000.0	Deere John Cap CorpA2/AOriginal Cost 15,010 35Premium Amortized 7 07	Purchase	10/30/14	12/16/16	15,003	15,003	(0)
2000.0	Erp Oper Ltd PartnershipSr NtBaa1/A-Original Cost 2,277 72Premium Amortized 22	Purchase	03/15/12	07/14/16	2,081	2,051	30
6000.0	Erp Oper Ltd PartnershipSr NtBaa1/A-Original Cost 6,967 27Premium Amortized 76	Purchase	04/10/12	07/14/16	6,243	6,197	45
8000.0	Erp Operating LPContra Cusip/NrCusip 26899am8	Purchase	04/10/12	02/01/16	8,500	9,290	(790)
50000.0	Federal Home Loan Mtg CorpOriginal Cost 50,403 25Premium Amortized 302 80	Purchase	10/18/12	11/03/16	50,129	50,100	29
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 482Purchase Factor 0 01f	Purchase	11/28/14	01/15/16	464	482	(18)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 172Purchase Factor 0 00f	Purchase	11/28/14	02/16/16	166	172	(7)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 347Purchase Factor 0 011	Purchase	11/28/14	03/15/16	334	347	(13)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 729Purchase Factor 0 02f	Purchase	11/28/14	04/15/16	702	730	(28)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 499Purchase Factor 0 01f	Purchase	11/28/14	05/16/16	481	500	(19)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 567Purchase Factor 0 01f	Purchase	11/28/14	06/15/16	546	568	(22)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 484Purchase Factor 0 01f	Purchase	11/28/14	07/15/16	466	484	(18)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 443Purchase Factor 0 014	Purchase	11/28/14	08/15/16	426	443	(17)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 880Purchase Factor 0 02f	Purchase	11/28/14	09/15/16	847	880	(33)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 598Purchase Factor 0 01f	Purchase	11/28/14	10/17/16	576	598	(23)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 685Purchase Factor 0 021	Purchase	11/28/14	11/15/16	660	686	(26)
0.0	Fedi Home Loan Mtg CorpKc09088N/A/N/AOriginal Cost 634Purchase Factor 0 02f	Purchase	11/28/14	12/15/16	611	635	(24)
0.0	Fedi Home Loan Mtg CorpKc57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	01/15/16	2	0	2
0.0	Fedi Home Loan Mtg CorpKc57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	02/16/16	2	0	2
0.0	Fedi Home Loan Mtg CorpKc57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	03/15/16	2	0	2
0.0	Fedi Home Loan Mtg CorpKc57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	04/15/16	2	0	2
0.0	Fedi Home Loan Mtg CorpKc57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	05/16/16	2	0	2
0.0	Fedi Home Loan Mtg CorpKc57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	06/15/16	2	0	2

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
0.0 Fedl Home Loan Mtg Corp#57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	07/15/16	2	0	2
0.0 Fedl Home Loan Mtg Corp#57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	08/15/16	2	0	2
0.0 Fedl Home Loan Mtg Corp#57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	09/15/16	2	0	2
0.0 Fedl Home Loan Mtg Corp#57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	10/17/16	2	0	2
0.0 Fedl Home Loan Mtg Corp#57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	11/15/16	2	0	2
0.0 Fedl Home Loan Mtg Corp#57076Original Cost 0Distributions 2Purchase Factor 0	Purchase	08/28/01	12/15/16	2	0	2
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 339Purchase Factor 0 01C	Purchase	11/28/14	01/15/16	318	339	(21)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 279Purchase Factor 0 00E	Purchase	11/28/14	02/16/16	262	279	(17)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 342Purchase Factor 0 01C	Purchase	11/28/14	03/15/16	321	343	(21)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 512Purchase Factor 0 01E	Purchase	11/28/14	04/15/16	606	646	(40)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 521Purchase Factor 0 01E	Purchase	11/28/14	05/16/16	481	512	(32)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 628Purchase Factor 0 01E	Purchase	11/28/14	06/15/16	489	521	(32)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 567Purchase Factor 0 017	Purchase	11/28/14	07/15/16	589	628	(39)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 788Purchase Factor 0 02C	Purchase	11/28/14	08/15/16	532	568	(35)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 672Purchase Factor 0 02C	Purchase	11/28/14	09/15/16	740	789	(49)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 565Purchase Factor 0 017	Purchase	11/28/14	10/17/16	631	672	(42)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 563Purchase Factor 0 017	Purchase	11/28/14	11/15/16	530	565	(35)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 299Purchase Factor 0 00E	Purchase	12/03/14	12/15/16	528	563	(35)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 281Purchase Factor 0 00E	Purchase	12/03/14	01/15/16	276	300	(24)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 459Purchase Factor 0 01C	Purchase	12/03/14	02/16/16	259	281	(23)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 411Purchase Factor 0 01C	Purchase	12/03/14	03/15/16	423	460	(37)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 1024Purchase Factor 0 01C	Purchase	12/03/14	04/15/16	378	411	(33)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 551Purchase Factor 0 01E	Purchase	12/03/14	05/16/16	942	1,025	(83)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 444Purchase Factor 0 01C	Purchase	12/03/14	06/15/16	598	651	(53)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 725Purchase Factor 0 02C	Purchase	12/03/14	07/15/16	409	445	(36)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 745Purchase Factor 0 02C	Purchase	12/03/14	08/15/16	667	725	(59)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 476Purchase Factor 0 01C	Purchase	12/03/14	09/15/16	685	745	(60)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 501Purchase Factor 0 01E	Purchase	12/03/14	10/17/16	438	477	(39)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 529Purchase Factor 0 01E	Purchase	12/03/14	11/15/16	461	501	(41)
0.0 Fedl Home Loan Mtg Corp#08595N/A/AOriginal Cost 529Purchase Factor 0 01E	Purchase	12/03/14	12/15/16	487	530	(43)
15000.0 Florida Hurricane CatastropheFund Fin Corp Rev Bonds SeriesW/NrCusp 34074g	Purchase	04/10/13	07/01/16	15,000	15,000	-
0.0 Frma Gld Pass Thru Pool#60058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	01/25/16	5	0	5
0.0 Frma Gld Pass Thru Pool#60058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	02/25/16	5	0	5

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a)	(b)	(c)	(d)	(e)	(g)	(h)
PROPERTY	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	GROSS SALE PRICE	BASIS	GAIN OR LOSS
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	03/28/16	5	0	5
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	04/25/16	5	0	5
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	05/25/16	5	0	5
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	06/27/16	5	0	5
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	07/25/16	6	0	5
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	08/25/16	6	0	5
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	09/26/16	6	0	6
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	10/25/16	6	0	6
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	11/25/16	6	0	6
0 0 Firma Gld Pass Thru Pool#600058Original Cost 0Distributions 5Purchase Factor 0	Purchase	08/28/01	12/27/16	1,689	23	1,666
##### Firma Gld Pass Thru Pool#600058Original Cost 1957Distributions 143,318Purchase	Purchase	01/15/15	08/04/16	30,004	29,996	8
30000 0 Hyundai Auto ReceivablesSer 2015-A CI A3N/A/AaaOriginal Cost 29995Distribution	Purchase	04/22/13	04/18/16	12,087	11,991	96
12000 0 Morgan StanleyA3/Bbb+ Cusip 6174467u7	Purchase	04/23/13	04/18/16	8,058	8,059	(0)
8000 0 Morgan StanleyA3/Bbb+Original Cost 8,062 88Premium Amortized 4 33	Purchase	02/27/13	06/30/16	12,007	12,007	1
12000 0 Royal Bk CdaWr/NrOriginal Cost 12,573 06Premium Amortized 566 38	Purchase	02/27/13	07/20/16	4,000	4,000	-
4000 0 Royal Bk CdaWr/NrOriginal Cost 4,191 02Premium Amortized 191 02	Purchase	11/05/13	07/20/16	4,000	4,000	-
4000 0 Royal Bk CdaWr/NrOriginal Cost 4,141 84Premium Amortized 141 84	Purchase	04/11/12	05/16/16	15,000	15,000	-
15000 0 Sierra Pac Pwr Co Gen& Ref-M 6Wr/NrOriginal Cost 17,526 30Premium Amortized	Purchase	11/05/14	11/03/16	31,294	29,857	1,437
29000 0 United States Treasury NoteAaa/NrOriginal Cost 30,068 36Premium Amortized 21	Purchase	01/24/14	03/03/16	37,065	37,047	18
37000 0 United States Treasury NoteWr/NrOriginal Cost 37,164 89Premium Amortized 117	Purchase	01/24/14	05/05/16	23,060	23,023	37
23000 0 United States Treasury NoteWr/NrOriginal Cost 23,102 50Premium Amortized 79 1	Purchase	04/23/14	05/05/16	29,076	29,022	54
29000 0 United States Treasury NoteWr/NrOriginal Cost 29,088 46Premium Amortized 66 7	Purchase	04/23/14	09/08/16	25,033	25,009	24
25000 0 United States Treasury NoteWr/NrOriginal Cost 25,076 26Premium Amortized 67 4	Purchase	04/23/14	10/18/16	16,017	16,004	13
16000 0 United States Treasury NoteWr/NrOriginal Cost 16,048 80Premium Amortized 45 2	Purchase	05/03/12	09/07/16	12,043	11,997	46
12000 0 Us BancorpSr UnsecuredA1/A+Original Cost 11,977 56Discount Accreted 19 28	Purchase	02/28/13	09/07/16	8,029	8,029	(1)
8000 0 Us BancorpSr UnsecuredA1/A+Original Cost 8,204 96Premium Amortized 175 58	Purchase	06/03/14	07/01/16	15,000	15,000	-
15000 0 Virginia Port Auth ComwthPor Rev Ref Bds 2012Wr/NrOriginal Cost 15,278 25Prem	Purchase	10/13/15	05/31/16	3,443	3,091	353
38.0 Crown Castle InternationalCorpSymbol Cci	Purchase	01/19/16	05/31/16	1,812	1,628	184
20 0 Crown Castle InternationalCorpSymbol Cci	Purchase	02/11/16	09/28/16	5,882	5,672	209
64 0 Walt Disney CoSymbol Dis	Purchase	01/30/13	08/10/16	3,313	2,463	849
74 0 Abbott LaboratoriesSymbol Abt	Purchase	07/02/13	08/10/16	4,476	3,493	984
100.0 Abbott LaboratoriesSymbol Abt	Purchase	02/21/13	10/01/16	2	1	1
0.1 Advansix IncCommon StockSymbol Asix	Purchase	02/21/13	10/05/16	104	65	39
7 0 Advansix IncCommon StockSymbol Asix	Purchase					

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a)	(b)	(c)	(d)	(e)	(g)	(h)
PROPERTY	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	GROSS SALE PRICE	BASIS	GAIN OR LOSS
10.0 Advanix IncCommon StockSymbol Aax	Purchase	04/15/14	10/05/16	149	120	29
192.0 B&T CorpSymbol Bbt	Purchase	12/31/14	08/11/16	7,146	7,537	(391)
109.0 B&T CorpSymbol Bbt	Purchase	12/31/14	08/30/16	4,173	4,279	(106)
57.0 B&T CorpSymbol Bbt	Purchase	02/26/15	08/30/16	2,182	2,184	(2)
103.0 Boeing CoSymbol Ba	Purchase	05/12/06	08/05/16	13,579	9,037	4,543
50.0 Boeing CoSymbol Ba	Purchase	11/10/11	08/05/16	6,592	3,239	3,353
120.0 Boeing CoSymbol Ba	Purchase	01/16/13	08/05/16	15,821	8,915	6,906
0.8 California ResourcesCorporationCusp 13057q107	Purchase	12/12/12	03/24/16	1	1	(0)
9.5 California ResourcesCorporationCusp 13057q107	Purchase	06/21/12	03/29/16	10	13	(4)
3.9 California ResourcesCorporationCusp 13057q107	Purchase	09/10/12	03/29/16	4	6	(2)
8.5 California ResourcesCorporationCusp 13057q107	Purchase	12/12/12	03/29/16	9	11	(2)
7.0 Charles Schwab Corp NewSymbol Schw	Purchase	07/20/11	10/05/16	227	107	120
80.0 Charles Schwab Corp NewSymbol Schw	Purchase	07/21/11	10/05/16	2,594	1,253	1,341
110.0 Charles Schwab Corp NewSymbol Schw	Purchase	07/22/11	10/05/16	3,567	1,705	1,862
130.0 Charles Schwab Corp NewSymbol Schw	Purchase	07/25/11	10/05/16	4,216	1,995	2,221
40.0 Charles Schwab Corp NewSymbol Schw	Purchase	07/26/11	10/05/16	1,297	613	684
400.0 Charles Schwab Corp NewSymbol Schw	Purchase	08/29/12	10/05/16	12,971	5,323	7,648
200.0 Charles Schwab Corp NewSymbol Schw	Purchase	07/02/13	10/05/16	6,486	4,279	2,207
0.0 Coty IncCom Cl ASymbol Coty	Purchase	08/29/14	10/10/16	0	0	-
18.4 Coty IncCom Cl ASymbol Coty	Purchase	08/29/14	11/11/16	344	390	(46)
102.6 Coty IncCom Cl ASymbol Coty	Purchase	08/29/14	11/11/16	1,918	2,176	(258)
155.0 Diageo Plc-Sponsored AdrRepstg 4 Ord ShsSymbol Deo	Purchase	05/12/06	02/17/16	16,223	10,543	5,680
39.0 Eog Res IncSymbol Eog	Purchase	08/12/14	08/17/16	3,515	4,179	(664)
163.0 Express Scripts HoldingCompanySymbol Esrx	Purchase	04/25/14	11/10/16	12,285	11,603	681
120.0 Express Scripts HoldingCompanySymbol Esrx	Purchase	08/09/13	11/14/16	9,210	7,925	1,286
170.0 Express Scripts HoldingCompanySymbol Esrx	Purchase	09/25/13	11/14/16	13,048	10,453	2,595
2.0 Express Scripts HoldingCompanySymbol Esrx	Purchase	04/25/14	11/14/16	154	142	11
91.0 Goldman Sachs Group IncSymbol Gs	Purchase	06/20/13	04/13/16	14,526	14,328	198
30.0 Goldman Sachs Group IncSymbol Gs	Purchase	07/02/13	04/13/16	4,789	4,541	248
50.0 Goldman Sachs Group IncSymbol Gs	Purchase	08/06/13	04/13/16	7,981	8,239	(258)
63.0 Goldman Sachs Group IncSymbol Gs	Purchase	12/30/13	04/13/16	10,056	11,059	(1,003)
44.0 Goldman Sachs Group IncSymbol Gs	Purchase	06/09/14	04/13/16	7,023	7,319	(295)
38.0 Lockheed Martin CorpSymbol Lmt	Purchase	07/13/15	07/22/16	9,733	7,530	2,202

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
48.0	Marriott InternationalClass ASymbol. Mar	Purchase	11/06/14	06/15/16	3,169	3,597	(428)
50.0	Marriott InternationalClass ASymbol Mar	Purchase	02/24/15	06/15/16	3,301	4,125	(823)
388.0	Marriott InternationalClass ASymbol Mar	Purchase	11/06/14	06/16/16	25,337	29,076	(3,738)
102.0	Occidental Pete CorpSymbol Oxy	Purchase	06/21/12	11/09/16	6,787	8,126	(1,339)
42.0	Occidental Pete CorpSymbol Oxy	Purchase	09/10/12	11/09/16	2,795	3,511	(717)
100.0	Occidental Pete CorpSymbol Oxy	Purchase	12/12/12	11/09/16	6,654	7,384	(730)
101.0	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	10/25/16	8,869	8,362	507
78.0	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/21/16	6,443	6,458	(15)
72.0	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/22/16	5,971	5,961	10
74.7	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/23/16	6,181	6,185	(5)
7.3	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/23/16	603	603	(0)
82.0	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/25/16	6,826	6,789	37
26.3	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/28/16	2,179	2,177	3
36.7	Procter & Gamble CoSymbol Pg	Purchase	08/29/14	11/28/16	3,043	3,039	4
100.0	Qualcomm IncSymbol Qcom	Purchase	05/21/13	02/19/16	4,924	6,588	(1,664)
25.0	Qualcomm IncSymbol Qcom	Purchase	07/02/13	02/19/16	1,231	1,527	(296)
112.0	Qualcomm IncSymbol Qcom	Purchase	06/04/14	02/19/16	5,515	8,943	(3,428)
109.0	Qualcomm IncSymbol Qcom	Purchase	04/18/11	05/04/16	5,518	5,718	(200)
35.0	Qualcomm IncSymbol Qcom	Purchase	07/02/13	05/04/16	1,772	2,138	(366)
519.0	Suntrust Banks IncSymbol Sti	Purchase	03/27/12	04/18/16	20,058	12,435	7,623
242.0	Suntrust Banks IncSymbol Sti	Purchase	03/27/12	04/19/16	9,455	5,798	3,657
200.0	Suntrust Banks IncSymbol Sti	Purchase	05/09/12	04/19/16	7,814	4,631	3,183
574.0	Texas Instruments IncorporatedSymbol Txn	Purchase	05/12/06	06/09/16	35,579	19,108	16,470
90.0	Texas Instruments IncorporatedSymbol Txn	Purchase	07/02/13	06/09/16	5,579	3,169	2,410
20.0	United Parcel Svc IncCl BSymbol Ups	Purchase	08/29/12	03/24/16	2,094	1,490	604
40.0	United Parcel Svc IncCl BSymbol Ups	Purchase	07/02/13	03/24/16	4,187	3,476	712
49.0	United Parcel Svc IncCl BSymbol Ups	Purchase	08/29/12	12/27/16	5,708	3,650	2,058
93.0	Verizon CommunicationsSymbol Vz	Purchase	12/09/14	03/24/16	4,908	4,291	617
1.0	Walt Disney CoSymbol Dis	Purchase	10/22/12	09/28/16	92	52	40
60.0	Walt Disney CoSymbol Dis	Purchase	07/02/13	09/28/16	5,514	3,809	1,705
5.0	Baidu IncSponsored Adr Repstg Ord ShsSymbol Baidu	Purchase	10/02/15	01/28/16	786	722	64
0.0	Under Armour IncClass C Common Stock \$0.0003Symbol Ua	Purchase	12/02/15	06/29/16	1	1	(0)
15.0	Adobe Systems IncSymbol Adbe	Purchase	12/22/14	01/20/16	1,268	1,119	149

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
25.0	Alibaba Group Holding LtdSponsored AdrSymbol Baba	Purchase	12/17/14	02/18/16	1,671	2,706	(1,035)
155.0	Alibaba Group Holding LtdSponsored AdrSymbol Baba	Purchase	12/22/14	02/18/16	10,358	16,946	(6,588)
4.0	Alphabet IncClass A Common StockSymbol Googl	Purchase	07/02/13	01/15/16	2,825	1,772	1,053
10.0	Athenahealth IncSymbol Athn	Purchase	02/03/14	01/20/16	1,367	1,409	(42)
110.0	Baidu IncSponsored Adr Repstg Ord ShsSymbol Bidu	Purchase	08/06/13	01/28/16	17,292	14,811	2,480
45.0	Cerner CorpSymbol: Cern	Purchase	03/13/12	11/18/16	2,235	1,713	522
160.0	Cerner CorpSymbol Cern	Purchase	06/29/12	11/18/16	7,946	6,486	1,460
260.0	Cerner CorpSymbol Cern	Purchase	10/05/12	11/18/16	12,912	10,217	2,694
85.0	Cerner CorpSymbol Cern	Purchase	07/02/13	11/18/16	4,221	4,147	74
52.0	Facebook IncCIASymbol Fb	Purchase	05/17/12	12/27/16	6,127	1,976	4,151
233.0	Facebook IncCIASymbol Fb	Purchase	12/11/12	12/27/16	27,454	6,516	20,939
230.0	Fmc Technologies IncCusip 30249u101	Purchase	07/16/08	02/17/16	5,962	7,650	(1,688)
130.0	Fmc Technologies IncCusip 30249u101	Purchase	11/03/08	02/17/16	3,370	2,331	1,039
48.0	Fmc Technologies IncCusip 30249u101	Purchase	11/03/08	02/17/16	1,244	860	385
42.0	Fmc Technologies IncCusip 30249u101	Purchase	11/04/08	02/17/16	1,089	821	267
80.0	Fmc Technologies IncCusip 30249u101	Purchase	07/02/13	02/17/16	2,074	4,529	(2,455)
125.0	Fmc Technologies IncCusip 30249u101	Purchase	12/18/13	02/17/16	3,240	6,611	(3,370)
100.0	Fmc Technologies IncCusip 30249u101	Purchase	02/05/15	02/17/16	2,592	3,981	(1,389)
28.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	09/05/13	06/24/16	5,313	6,250	(937)
52.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	10/18/13	06/24/16	9,866	12,819	(2,953)
5.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	11/22/13	06/24/16	949	1,096	(148)
20.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	02/11/14	06/24/16	3,795	4,650	(856)
45.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	11/22/13	08/03/16	8,650	9,866	(1,216)
40.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	02/11/14	08/03/16	7,689	8,135	(447)
40.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	03/28/14	09/22/16	7,698	7,714	(17)
50.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	04/09/14	09/22/16	9,622	8,709	913
55.0	LinkedIn CorporationCom CI ACusip 53578a108	Purchase	05/09/14	09/22/16	10,584	8,205	2,379
40.0	Monsanto CoSymbol Mon	Purchase	03/05/14	04/21/16	3,744	4,503	(759)
30.0	Monsanto CoSymbol Mon	Purchase	09/20/13	10/18/16	3,079	3,199	(119)
55.0	Monsanto CoSymbol Mon	Purchase	03/05/14	10/18/16	5,646	6,192	(546)
285.0	Monsanto CoSymbol Mon	Purchase	08/05/13	11/16/16	28,639	27,820	819
25.0	Monsanto CoSymbol Mon	Purchase	09/20/13	11/16/16	2,512	2,666	(153)
5.0	Regeneron Pharmaceuticals IncSymbol Regn	Purchase	04/09/14	04/21/16	2,021	1,469	552

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
5.0	Regeneron Pharmaceuticals IncSymbol Regn	Purchase	05/09/14	04/21/16	2,021	1,408	613
5.0	Regeneron Pharmaceuticals IncSymbol Regn	Purchase	05/10/13	05/03/16	1,868	1,365	503
20.0	Regeneron Pharmaceuticals IncSymbol Regn	Purchase	05/09/14	05/03/16	7,473	5,633	1,840
15.0	Salesforce Com IncSymbol Cmm	Purchase	12/22/14	01/20/16	996	898	98
70.0	Salesforce Com IncSymbol Cmm	Purchase	07/02/13	10/18/16	5,144	2,675	2,468
105.0	Salesforce Com IncSymbol Cmm	Purchase	12/22/14	10/18/16	7,716	6,286	1,430
20.0	Visa IncC/A Common StockSymbol V	Purchase	07/02/13	01/20/16	1,386	926	460
18.0	Envestnet IncSymbol Env	Purchase	07/22/15	01/20/16	404	796	(392)
20.0	Envestnet IncSymbol Env	Purchase	07/22/15	01/20/16	456	884	(428)
6.0	Envestnet IncSymbol Env	Purchase	07/22/15	01/21/16	135	265	(130)
4.0	Envestnet IncSymbol Env	Purchase	07/22/15	01/21/16	90	177	(86)
22.0	Envestnet IncSymbol Env	Purchase	07/27/15	01/21/16	498	970	(473)
1.0	Envestnet IncSymbol Env	Purchase	07/27/15	01/22/16	23	44	(21)
3.0	Envestnet IncSymbol Env	Purchase	07/27/15	01/22/16	69	132	(63)
10.0	Envestnet IncSymbol Env	Purchase	07/27/15	01/22/16	230	441	(211)
5.0	Examworks Group IncCusip 30066a105	Purchase	08/21/15	07/28/16	175	176	(1)
18.0	Examworks Group IncCusip 30066a105	Purchase	08/21/15	07/28/16	631	634	(4)
30.0	Examworks Group IncCusip 30066a105	Purchase	08/21/15	07/28/16	1,052	1,058	(7)
36.0	Examworks Group IncCusip 30066a105	Purchase	08/24/15	07/28/16	1,262	1,242	20
4.0	Examworks Group IncCusip 30066a105	Purchase	11/12/15	07/28/16	140	97	43
21.0	Examworks Group IncCusip 30066a105	Purchase	11/12/15	07/28/16	736	509	227
35.0	Examworks Group IncCusip 30066a105	Purchase	11/13/15	07/28/16	1,227	851	376
21.0	Examworks Group IncCusip 30066a105	Purchase	01/07/16	07/28/16	736	551	185
34.0	Examworks Group IncCusip 30066a105	Purchase	01/07/16	07/28/16	1,192	893	299
1.0	Examworks Group IncCusip 30066a105	Purchase	01/14/16	07/28/16	35	25	10
26.0	Examworks Group IncCusip 30066a105	Purchase	01/14/16	07/28/16	911	664	248
8.0	Examworks Group IncCusip 30066a105	Purchase	01/15/16	07/28/16	280	204	76
17.0	Examworks Group IncCusip 30066a105	Purchase	01/15/16	07/28/16	596	433	163
13.0	Examworks Group IncCusip 30066a105	Purchase	01/19/16	07/28/16	456	337	119
19.0	Examworks Group IncCusip 30066a105	Purchase	01/19/16	07/28/16	666	492	173
7.0	Examworks Group IncCusip 30066a105	Purchase	01/20/16	07/28/16	245	178	67
11.0	Examworks Group IncCusip 30066a105	Purchase	01/20/16	07/28/16	386	281	105
5.0	Examworks Group IncCusip 30066a105	Purchase	01/21/16	07/28/16	175	135	40

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
9.0	Examworks Group IncCusip 30086a105	Purchase	01/21/16	07/28/16	315	245	70
11.0	Examworks Group IncCusip 30086a105	Purchase	01/21/16	07/28/16	386	300	85
2.0	Examworks Group IncCusip: 30086a105	Purchase	01/22/16	07/28/16	70	57	14
15.0	Examworks Group IncCusip 30086a105	Purchase	01/22/16	07/28/16	526	432	94
6.0	Examworks Group IncCusip 30086a105	Purchase	01/25/16	07/28/16	210	174	36
19.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	11/30/15	05/10/16	547	596	(48)
2.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	11/24/15	05/10/16	56	76	(19)
7.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	11/30/15	05/10/16	197	268	(71)
13.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	11/30/15	05/10/16	366	500	(133)
37.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	11/24/15	05/10/16	1,065	1,401	(336)
11.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	12/04/15	05/10/16	317	405	(88)
1.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	01/15/16	05/10/16	29	37	(8)
5.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	01/19/16	05/10/16	144	188	(44)
10.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	01/19/16	05/10/16	288	373	(85)
1.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	01/21/16	05/10/16	29	38	(9)
2.0	Fiesta Restaurant Group IncSymbol Frgi	Purchase	01/21/16	05/10/16	58	74	(17)
33.0	Fleetmatics Group PlcComCusip G35569105	Purchase	01/15/16	11/10/16	1,980	1,435	545
39.0	Fleetmatics Group PlcComCusip G35569105	Purchase	01/15/16	11/10/16	2,340	1,696	644
74.0	Fleetmatics Group PlcComCusip G35569105	Purchase	01/15/16	11/10/16	4,440	3,232	1,208
22.0	Fleetmatics Group PlcComCusip G35569105	Purchase	01/20/16	11/10/16	1,320	953	367
23.0	Fleetmatics Group PlcComCusip G35569105	Purchase	01/20/16	11/10/16	1,380	995	385
1.0	Globant S ASymbol Glob	Purchase	10/16/15	08/10/16	42	34	8
2.0	Globant S ASymbol Glob	Purchase	10/12/15	08/10/16	85	64	21
5.0	Globant S ASymbol Glob	Purchase	10/12/15	08/10/16	212	161	51
3.0	Globant S ASymbol Glob	Purchase	10/16/15	08/10/16	127	102	25
6.0	Globant S ASymbol Glob	Purchase	10/05/15	08/11/16	241	189	52
1.0	Globant S ASymbol Glob	Purchase	10/05/15	08/11/16	40	31	9
9.0	Globant S ASymbol Glob	Purchase	10/06/15	08/11/16	362	285	78
2.0	Globant S ASymbol Glob	Purchase	10/12/15	08/11/16	80	64	17
3.0	Globant S ASymbol Glob	Purchase	10/13/15	08/11/16	121	95	25
1.0	Globant S ASymbol Glob	Purchase	10/14/15	08/11/16	40	31	9
3.0	Globant S ASymbol Glob	Purchase	10/14/15	08/11/16	121	94	26
6.0	Globant S ASymbol Glob	Purchase	10/05/15	08/11/16	247	186	61

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
6 0	Globant S ASymbol Glob	Purchase	10/07/15	08/11/16	247	184	63
7 0	Globant S ASymbol Glob	Purchase	10/07/15	08/11/16	289	216	73
5 0	Globant S ASymbol Glob	Purchase	10/08/15	08/11/16	206	155	52
14 0	Globant S ASymbol Glob	Purchase	10/08/15	08/11/16	577	433	145
9 0	Infoblox IncCusip 45872h104	Purchase	05/11/15	04/08/16	136	222	(87)
19 0	Infoblox IncCusip 45872h104	Purchase	05/11/15	04/08/16	287	470	(183)
19 0	Infoblox IncCusip 45872h104	Purchase	05/11/15	04/08/16	286	470	(184)
12 0	Infoblox IncCusip 45872h104	Purchase	05/08/15	04/08/16	180	289	(109)
11 0	Infoblox IncCusip 45872h104	Purchase	05/11/15	04/08/16	165	272	(107)
2 0	Infoblox IncCusip 45872h104	Purchase	05/11/15	04/08/16	30	49	(19)
6 0	Infoblox IncCusip 45872h104	Purchase	05/12/15	04/08/16	90	146	(56)
21 0	Infoblox IncCusip 45872h104	Purchase	05/08/15	04/08/16	316	506	(190)
33 0	Infoblox IncCusip 45872h104	Purchase	05/08/15	04/08/16	496	795	(299)
4 0	Infoblox IncCusip 45872h104	Purchase	05/08/15	04/11/16	58	96	(38)
1 0	Installed Building ProductsIncSymbol lbp	Purchase	11/03/15	01/06/16	23	23	0
1 0	Installed Building ProductsIncSymbol lbp	Purchase	11/03/15	01/06/16	23	23	0
3 0	Installed Building ProductsIncSymbol lbp	Purchase	11/03/15	01/06/16	69	69	0
3 0	Installed Building ProductsIncSymbol lbp	Purchase	11/03/15	01/07/16	66	69	(3)
9 0	Installed Building ProductsIncSymbol lbp	Purchase	11/03/15	01/07/16	199	207	(8)
2 0	Installed Building ProductsIncSymbol lbp	Purchase	11/04/15	01/07/16	44	46	(1)
2 0	Installed Building ProductsIncSymbol lbp	Purchase	11/06/15	01/07/16	44	46	(1)
13 0	Installed Building ProductsIncSymbol lbp	Purchase	11/04/15	01/07/16	284	296	(12)
4 0	Installed Building ProductsIncSymbol lbp	Purchase	11/04/15	01/07/16	89	91	(2)
8 0	Installed Building ProductsIncSymbol lbp	Purchase	11/05/15	01/07/16	178	182	(4)
7 0	Installed Building ProductsIncSymbol lbp	Purchase	11/06/15	01/07/16	156	159	(4)
10 0	Installed Building ProductsIncSymbol lbp	Purchase	11/05/15	01/07/16	222	227	(5)
4 0	Installed Building ProductsIncSymbol lbp	Purchase	11/05/15	01/07/16	89	91	(2)
8 0	Installed Building ProductsIncSymbol lbp	Purchase	11/09/15	01/07/16	178	180	(3)
1 0	Installed Building ProductsIncSymbol lbp	Purchase	11/09/15	01/08/16	21	23	(2)
1 0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	06/07/16	11/10/16	49	65	(16)
3 0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	05/27/16	11/10/16	147	193	(46)
5 0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	05/27/16	11/10/16	245	322	(76)
11 0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	05/31/16	11/10/16	539	703	(164)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
11.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	06/02/16	11/10/16	539	703	(164)
8.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	06/06/16	11/10/16	392	515	(123)
4.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	06/07/16	11/10/16	196	260	(64)
15.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/25/15	04/20/16	376	518	(142)
10.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/16/15	04/20/16	251	319	(68)
2.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/20/15	04/20/16	50	64	(14)
5.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/25/15	04/21/16	123	173	(50)
3.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/23/15	04/21/16	74	99	(26)
12.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/24/15	04/21/16	296	414	(118)
1.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/25/15	04/21/16	25	35	(10)
1.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/27/15	04/21/16	25	34	(9)
9.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/18/15	04/22/16	220	296	(76)
11.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/17/15	04/22/16	270	357	(88)
15.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/18/15	04/22/16	368	494	(126)
13.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/19/15	04/22/16	319	423	(104)
3.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/17/15	04/25/16	72	97	(26)
12.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/20/15	04/25/16	287	385	(97)
9.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/13/15	04/26/16	218	284	(66)
9.0	Marcus & Millichap IncComSymbol Mm	Purchase	11/16/15	04/26/16	218	287	(69)
21.0	Power Integrations IncSymbol Pow	Purchase	01/27/15	01/20/16	920	1,136	(216)
6.0	Power Integrations IncSymbol Pow	Purchase	01/28/15	01/20/16	263	327	(64)
19.0	Qualys IncComSymbol Qlys	Purchase	04/10/15	02/09/16	333	333	-
10.0	Qualys IncComSymbol Qlys	Purchase	04/13/15	02/09/16	176	176	-
33.0	Qualys IncComSymbol Qlys	Purchase	04/10/15	02/09/16	575	575	-
16.0	Qualys IncComSymbol Qlys	Purchase	04/10/15	02/09/16	283	283	-
12.0	Qualys IncComSymbol Qlys	Purchase	04/10/15	02/09/16	212	212	-
7.0	Qualys IncComSymbol Qlys	Purchase	04/13/15	02/09/16	124	124	-
15.0	Qualys IncComSymbol Qlys	Purchase	05/05/15	02/09/16	265	265	-
66.0	Qualys IncComSymbol Qlys	Purchase	05/05/15	02/09/16	1,147	2,005	(858)
24.0	Qualys IncComSymbol Qlys	Purchase	01/25/16	02/09/16	417	705	(288)
19.0	Qualys IncComSymbol Qlys	Purchase	01/20/16	02/09/16	339	1,215	(876)
2.0	Qualys IncComSymbol Qlys	Purchase	01/20/16	02/09/16	36	127	(92)
8.0	Qualys IncComSymbol Qlys	Purchase	01/20/16	02/09/16	143	513	(370)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
33.0 Qualys IncComSymbol Qllys	Purchase	01/20/16	02/09/16	590	2,101	(1,512)
16.0 Qualys IncComSymbol Qllys	Purchase	01/20/16	02/09/16	286	1,008	(722)
12.0 Qualys IncComSymbol Qllys	Purchase	01/20/16	02/09/16	214	761	(547)
5.0 Qualys IncComSymbol Qllys	Purchase	01/20/16	02/09/16	89	317	(228)
2.0 Qualys IncComSymbol Qllys	Purchase	01/21/16	02/09/16	36	128	(92)
15.0 Qualys IncComSymbol Qllys	Purchase	01/21/16	02/09/16	268	771	(503)
13.0 Qualys IncComSymbol Qllys	Purchase	01/21/16	02/09/16	232	672	(440)
14.0 Qualys IncComSymbol Qllys	Purchase	01/22/16	02/09/16	250	736	(486)
2.0 Qualys IncComSymbol Qllys	Purchase	01/25/16	02/09/16	36	105	(69)
7.0 Shutterstock IncComSymbol Satk	Purchase	03/17/15	01/20/16	201	463	(261)
33.0 Shutterstock IncComSymbol Satk	Purchase	03/18/15	01/20/16	949	2,180	(1,231)
7.0 Shutterstock IncComSymbol Satk	Purchase	03/19/15	01/20/16	201	470	(268)
4.0 Shutterstock IncComSymbol Satk	Purchase	03/20/15	01/20/16	115	275	(160)
13.0 Shutterstock IncComSymbol Satk	Purchase	03/23/15	01/20/16	374	914	(540)
5.0 Shutterstock IncComSymbol Satk	Purchase	03/18/15	02/09/16	134	330	(196)
18.0 Shutterstock IncComSymbol Satk	Purchase	03/13/15	02/09/16	467	1,169	(703)
29.0 Shutterstock IncComSymbol Satk	Purchase	03/16/15	02/09/16	752	1,855	(1,103)
13.0 Shutterstock IncComSymbol Satk	Purchase	03/17/15	02/09/16	337	858	(521)
2.0 Shutterstock IncComSymbol Satk	Purchase	03/18/15	02/09/16	52	132	(80)
4.0 Shutterstock IncComSymbol Satk	Purchase	03/13/15	02/09/16	106	251	(145)
4.0 Shutterstock IncComSymbol Satk	Purchase	03/13/15	02/09/16	106	253	(147)
8.0 Shutterstock IncComSymbol Satk	Purchase	03/13/15	02/09/16	212	507	(294)
14.0 Shutterstock IncComSymbol Satk	Purchase	03/13/15	02/09/16	372	871	(499)
1.0 Shutterstock IncComSymbol Satk	Purchase	03/16/15	02/09/16	27	64	(37)
34.0 Shutterstock IncComSymbol Satk	Purchase	06/17/15	02/09/16	903	2,018	(1,115)
13.0 Sprint Airlines IncSymbol Save	Purchase	05/20/15	04/20/16	664	818	(154)
12.0 Sprint Airlines IncSymbol Save	Purchase	05/20/15	04/20/16	612	749	(137)
6.0 Sprint Airlines IncSymbol Save	Purchase	05/20/15	04/20/16	306	375	(68)
10.0 Sprint Airlines IncSymbol Save	Purchase	05/20/15	04/20/16	510	629	(119)
14.0 United Natural Foods IncSymbol Unfi	Purchase	09/28/15	01/20/16	491	709	(219)
19.0 United Natural Foods IncSymbol Unfi	Purchase	09/28/15	01/20/16	670	963	(292)
20.0 United Natural Foods IncSymbol Unfi	Purchase	09/29/15	01/20/16	705	1,012	(306)
12.0 United Natural Foods IncSymbol Unfi	Purchase	09/29/15	01/28/16	408	607	(199)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
18.0	United Natural Foods IncSymbol Unfi	Purchase	09/29/15	01/28/16	611	910	(299)
21.0	United Natural Foods IncSymbol Unfi	Purchase	09/28/15	01/29/16	728	1,061	(333)
5.0	United Natural Foods IncSymbol Unfi	Purchase	09/29/15	01/29/16	173	253	(80)
27.0	United Natural Foods IncSymbol. Unfi	Purchase	09/28/15	01/29/16	938	1,364	(426)
7.0	United Natural Foods IncSymbol Unfi	Purchase	09/28/15	02/02/16	241	354	(113)
8.0	United Natural Foods IncSymbol Unfi	Purchase	09/28/15	02/02/16	277	404	(127)
29.0	United Natural Foods IncSymbol Unfi	Purchase	09/25/15	02/02/16	997	1,461	(463)
4.0	United Natural Foods IncSymbol Unfi	Purchase	09/28/15	02/02/16	138	202	(64)
26.0	United Natural Foods IncSymbol Unfi	Purchase	09/25/15	02/03/16	886	1,310	(424)
6.0	Waste Connections IncComSymbol Wcn	Purchase	10/05/15	07/15/16	439	301	138
8.0	Waste Connections IncComSymbol Wcn	Purchase	10/06/15	07/15/16	585	402	183
10.0	Waste Connections IncComSymbol Wcn	Purchase	10/05/15	07/15/16	731	501	230
35.0	Wisdomtree Investments IncSymbol Wetr	Purchase	11/02/15	08/29/16	351	691	(340)
165.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/15/15	08/29/16	1,661	3,009	(1,348)
18.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/16/15	08/29/16	181	335	(154)
24.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/16/15	08/29/16	242	447	(205)
75.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/16/15	08/29/16	755	1,391	(636)
75.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/16/15	08/29/16	755	1,376	(621)
19.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/17/15	08/29/16	191	360	(169)
127.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/17/15	08/29/16	1,279	2,402	(1,123)
55.0	Wisdomtree Investments IncSymbol Wetr	Purchase	10/23/15	08/29/16	554	1,031	(477)
18.0	Wisdomtree Investments IncSymbol Wetr	Purchase	11/02/15	08/29/16	181	355	(174)
211.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/15/15	08/30/16	2,125	3,848	(1,723)
22.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/15/15	08/30/16	221	393	(172)
56.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/15/15	08/30/16	563	1,013	(450)
4.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/15/15	08/30/16	40	73	(33)
11.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/25/15	08/30/16	111	192	(82)
92.0	Wisdomtree Investments IncSymbol Wetr	Purchase	09/25/15	08/30/16	925	1,604	(680)
20.0	Wisdomtree Investments IncSymbol Wetr	Purchase	10/05/15	08/30/16	201	324	(123)
29.0	Wisdomtree Investments IncSymbol Wetr	Purchase	10/05/15	08/30/16	291	470	(179)
96.0	Wisdomtree Investments IncSymbol Wetr	Purchase	10/05/15	08/30/16	965	1,555	(590)
7.0	Zoes Kitchen IncComSymbol Zoes	Purchase	08/24/15	05/27/16	252	254	(2)
5.0	Zoes Kitchen IncComSymbol Zoes	Purchase	08/24/15	05/27/16	180	181	(1)

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
12.0	Zoes Kitchen IncComSymbol Zoes	Purchase	08/24/15	05/27/16	434	435	(0)
13.0	Zoes Kitchen IncComSymbol Zoes	Purchase	08/24/15	05/27/16	468	471	(3)
15.0	Zoes Kitchen IncComSymbol Zoes	Purchase	08/24/15	05/27/16	540	542	(3)
7.0	Zoes Kitchen IncComSymbol Zoes	Purchase	08/24/15	05/27/16	252	254	(2)
4.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/11/15	05/27/16	144	140	4
4.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	05/31/16	146	134	12
10.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	05/31/16	366	336	31
10.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	05/31/16	366	334	32
19.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/11/15	05/31/16	696	656	40
4.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	06/20/16	154	134	21
10.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	06/20/16	390	334	56
14.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	06/20/16	543	462	81
4.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	06/20/16	155	134	22
3.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/09/15	06/20/16	116	96	20
6.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/09/15	06/20/16	232	192	40
7.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	06/20/16	271	226	45
2.0	Zoes Kitchen IncComSymbol Zoes	Purchase	09/10/15	06/20/16	77	66	11
3.0	Ansys IncSymbol Anss	Purchase	05/22/12	02/17/16	259	187	72
8.0	Ansys IncSymbol Anss	Purchase	04/21/11	02/17/16	689	425	265
22.0	Ansys IncSymbol Anss	Purchase	05/22/12	02/17/16	1,896	1,373	522
27.0	Ansys IncSymbol Anss	Purchase	07/24/12	02/17/16	2,327	1,538	788
20.0	Ansys IncSymbol Anss	Purchase	04/21/11	02/18/16	1,711	1,062	649
10.0	Ansys IncSymbol Anss	Purchase	01/21/11	02/18/16	860	531	330
17.0	Ansys IncSymbol Anss	Purchase	04/21/11	02/18/16	1,462	902	560
25.0	Ansys IncSymbol Anss	Purchase	01/21/11	02/18/16	2,137	1,326	811
10.0	Ansys IncSymbol Anss	Purchase	07/29/11	02/18/16	855	508	347
40.0	Ansys IncSymbol Anss	Purchase	08/04/11	02/18/16	3,420	2,088	1,331
28.0	Ansys IncSymbol Anss	Purchase	07/29/11	02/19/16	2,408	1,421	987
7.0	Ansys IncSymbol Anss	Purchase	07/29/11	08/22/16	683	355	327
29.0	Ansys IncSymbol Anss	Purchase	08/04/11	08/22/16	2,828	1,366	1,462
14.0	Blue Nile IncSymbol Nile	Purchase	09/22/11	04/20/16	369	472	(102)
4.0	Blue Nile IncSymbol Nile	Purchase	06/18/13	04/21/16	105	157	(53)
1.0	Blue Nile IncSymbol Nile	Purchase	06/18/13	04/21/16	26	39	(13)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
11.0 Blue Nile IncSymbol Nile	Purchase	06/18/13	04/21/16	288	430	(142)
6.0 Blue Nile IncSymbol Nile	Purchase	06/18/13	04/21/16	157	236	(79)
7.0 Blue Nile IncSymbol Nile	Purchase	06/17/13	04/22/16	185	272	(87)
4.0 Blue Nile IncSymbol Nile	Purchase	06/18/13	04/22/16	106	157	(51)
1.0 Blue Nile IncSymbol Nile	Purchase	06/19/13	04/22/16	26	39	(13)
15.0 Blue Nile IncSymbol Nile	Purchase	06/19/13	04/22/16	396	585	(190)
16.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	04/25/16	420	539	(119)
2.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/07/16	81	67	14
9.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/07/16	367	300	66
3.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/07/16	122	101	21
1.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/09/16	40	33	7
2.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/09/16	80	67	14
1.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/11/16	40	33	7
2.0 Blue Nile IncSymbol Nile	Purchase	09/22/11	11/17/16	81	67	14
3.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/18/16	121	100	22
4.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/21/16	162	133	29
1.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/22/16	40	33	7
3.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/23/16	121	100	21
13.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/23/16	522	431	91
4.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/25/16	161	133	29
10.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/25/16	403	332	71
2.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/28/16	81	66	14
5.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/28/16	201	166	35
5.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/28/16	201	166	36
2.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/29/16	81	66	15
3.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/29/16	121	100	22
4.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/30/16	161	133	29
8.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/30/16	322	265	57
1.0 Blue Nile IncSymbol Nile	Purchase	08/23/11	11/30/16	40	32	8
9.0 Blue Nile IncSymbol Nile	Purchase	03/06/12	11/30/16	362	299	63
2.0 Blue Nile IncSymbol Nile	Purchase	08/22/11	12/01/16	81	63	18
4.0 Blue Nile IncSymbol Nile	Purchase	08/23/11	12/01/16	161	128	34
18.0 Blue Nile IncSymbol Nile	Purchase	08/22/11	12/02/16	725	567	158

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
8 0	Blue Nile IncSymbol Nile	Purchase	08/22/11	12/05/16	323	252	71
5.0	Blue Nile IncSymbol Nile	Purchase	08/22/11	12/06/16	202	157	45
4 0	Blue Nile IncSymbol Nile	Purchase	08/22/11	12/07/16	162	126	36
7 0	Blue Nile IncSymbol Nile	Purchase	08/22/11	12/07/16	283	220	63
2.0	Ceb IncComSymbol Ceb	Purchase	10/30/14	01/20/16	110	144	(34)
4 0	Ceb IncComSymbol Ceb	Purchase	12/10/14	01/20/16	219	286	(67)
8 0	Ceb IncComSymbol Ceb	Purchase	04/17/14	01/20/16	442	560	(118)
3 0	Ceb IncComSymbol Ceb	Purchase	10/29/14	01/20/16	166	210	(44)
5 0	Ceb IncComSymbol Ceb	Purchase	10/29/14	01/20/16	276	354	(78)
10 0	Ceb IncComSymbol Ceb	Purchase	10/29/14	01/20/16	552	705	(152)
2 0	Ceb IncComSymbol Ceb	Purchase	12/10/14	01/20/16	110	143	(33)
17.0	Ceb IncComSymbol Ceb	Purchase	04/17/14	01/21/16	938	1,190	(253)
8 0	Ceb IncComSymbol Ceb	Purchase	04/17/14	01/21/16	442	560	(119)
10.0	Ceb IncComSymbol Ceb	Purchase	10/29/14	01/21/16	552	698	(146)
44 0	Dnt-Quip IncSymbol Dnt	Purchase	09/12/08	01/20/16	2,289	2,095	193
15 0	Dnt-Quip IncSymbol Dnt	Purchase	10/03/08	01/20/16	780	586	194
80 0	Dnt-Quip IncSymbol Dnt	Purchase	08/12/11	01/20/16	4,161	4,624	(463)
15.0	Epam Systems IncSymbol Epam	Purchase	01/28/15	11/09/16	913	714	199
6 0	Epam Systems IncSymbol Epam	Purchase	10/23/14	11/10/16	370	269	101
3 0	Epam Systems IncSymbol Epam	Purchase	01/27/15	11/10/16	185	140	45
21.0	Epam Systems IncSymbol Epam	Purchase	01/28/15	11/10/16	1,295	1,000	296
26 0	Epam Systems IncSymbol Epam	Purchase	10/23/14	11/10/16	1,576	1,166	410
4 0	Epam Systems IncSymbol Epam	Purchase	10/24/14	11/10/16	242	177	65
6 0	Examworks Group IncCusip 30066a105	Purchase	03/25/13	07/28/16	210	262	(51)
1 0	Examworks Group IncCusip 30066a105	Purchase	10/03/14	07/28/16	35	34	1
4.0	Examworks Group IncCusip 30066a105	Purchase	10/03/14	07/28/16	140	138	2
6 0	Examworks Group IncCusip 30066a105	Purchase	10/03/14	07/28/16	210	207	3
11.0	Examworks Group IncCusip 30066a105	Purchase	10/03/14	07/28/16	386	381	4
1 0	Examworks Group IncCusip 30066a105	Purchase	10/06/14	07/28/16	35	35	0
5 0	Examworks Group IncCusip 30066a105	Purchase	10/06/14	07/28/16	175	174	2
18.0	Examworks Group IncCusip 30066a105	Purchase	10/06/14	07/28/16	631	628	3
8 0	Examworks Group IncCusip 30066a105	Purchase	10/07/14	07/28/16	280	283	(3)
24.0	Examworks Group IncCusip 30066a105	Purchase	10/07/14	07/28/16	841	846	(5)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
13.0	Examworks Group IncCusip 30066a105	Purchase	10/08/14	07/28/16	456	468	(12)
29.0	Examworks Group IncCusip 30066a105	Purchase	10/08/14	07/28/16	1,016	1,065	(49)
7.0	Examworks Group IncCusip 30066a105	Purchase	10/09/14	07/28/16	245	258	(12)
25.0	Examworks Group IncCusip 30066a105	Purchase	10/09/14	07/28/16	876	923	(46)
2.0	Examworks Group IncCusip. 30066a105	Purchase	10/10/14	07/28/16	70	73	(3)
15.0	Examworks Group IncCusip 30066a105	Purchase	10/10/14	07/28/16	526	546	(20)
5.0	Examworks Group IncCusip 30066a105	Purchase	10/13/14	07/28/16	175	184	(8)
5.0	Examworks Group IncCusip 30066a105	Purchase	10/13/14	07/28/16	175	185	(10)
10.0	Examworks Group IncCusip 30066a105	Purchase	10/14/14	07/28/16	351	376	(25)
14.0	Examworks Group IncCusip 30066a105	Purchase	10/14/14	07/28/16	491	525	(34)
16.0	Examworks Group IncCusip 30066a105	Purchase	10/14/14	07/28/16	561	601	(40)
30.0	Examworks Group IncCusip 30066a105	Purchase	10/15/14	07/28/16	1,052	1,106	(54)
3.0	Examworks Group IncCusip 30066a105	Purchase	10/16/14	07/28/16	105	112	(7)
4.0	Examworks Group IncCusip 30066a105	Purchase	10/16/14	07/28/16	140	149	(9)
14.0	Examworks Group IncCusip 30066a105	Purchase	10/16/14	07/28/16	491	527	(36)
61.0	Examworks Group IncCusip 30066a105	Purchase	10/17/14	07/28/16	2,138	2,238	(100)
2.0	Examworks Group IncCusip 30066a105	Purchase	01/27/15	07/28/16	70	82	(12)
60.0	Examworks Group IncCusip 30066a105	Purchase	01/27/15	07/28/16	2,103	2,470	(367)
10.0	Examworks Group IncCusip 30066a105	Purchase	03/18/15	07/28/16	351	393	(43)
15.0	Examworks Group IncCusip 30066a105	Purchase	03/19/15	07/28/16	526	588	(63)
26.0	Examworks Group IncCusip 30066a105	Purchase	03/19/15	07/28/16	911	1,041	(129)
19.0	Examworks Group IncCusip 30066a105	Purchase	03/20/15	07/28/16	666	788	(122)
1.0	Examworks Group IncCusip 30066a105	Purchase	03/24/15	07/28/16	35	44	(9)
33.0	Examworks Group IncCusip 30066a105	Purchase	03/24/15	07/28/16	1,157	1,439	(283)
13.0	Examworks Group IncCusip 30066a105	Purchase	04/29/15	07/28/16	456	573	(118)
6.0	Examworks Group IncCusip 30066a105	Purchase	04/30/15	07/28/16	210	258	(48)
3.0	Edservice Holdings IncSymbol Exis	Purchase	05/03/12	01/20/16	129	76	53
10.0	Edservice Holdings IncSymbol Exis	Purchase	05/03/12	01/20/16	430	254	176
13.0	Edservice Holdings IncSymbol Exis	Purchase	05/03/12	01/20/16	560	330	230
6.0	Edservice Holdings IncSymbol Exis	Purchase	05/03/12	01/20/16	258	150	108
7.0	Edservice Holdings IncSymbol Exis	Purchase	05/03/12	01/20/16	301	178	123
30.0	Edservice Holdings IncSymbol Exis	Purchase	05/23/12	01/20/16	1,290	692	598
88.0	Edservice Holdings IncSymbol Exis	Purchase	05/23/12	01/20/16	3,784	2,046	1,738

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
11.0 Globant S ASymbol Glob	Purchase	07/09/15	08/11/16	454	311	142
4.0 Infoblox IncCusip 45672h104	Purchase	02/27/14	04/08/16	60	96	(36)
6.0 Infoblox IncCusip 45672h104	Purchase	02/27/14	04/11/16	85	144	(59)
18.0 Infoblox IncCusip 45672h104	Purchase	02/27/14	04/11/16	255	431	(175)
25.0 Infoblox IncCusip 45672h104	Purchase	02/27/14	04/11/16	363	598	(235)
57.0 Infoblox IncCusip 45672h104	Purchase	02/27/14	04/11/16	812	1,364	(552)
4.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/11/16	58	95	(38)
21.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/11/16	304	501	(197)
8.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/11/16	116	191	(75)
20.0 Infoblox IncCusip 45672h104	Purchase	02/27/14	04/11/16	289	479	(189)
2.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/12/16	28	48	(19)
57.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/12/16	795	1,359	(564)
37.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/12/16	523	882	(359)
23.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/12/16	325	548	(223)
6.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/12/16	84	143	(59)
51.0 Infoblox IncCusip 45672h104	Purchase	02/26/14	04/12/16	718	1,216	(498)
10.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/12/16	141	235	(94)
38.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/12/16	535	887	(352)
9.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/13/16	126	210	(84)
7.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/13/16	99	163	(64)
12.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/13/16	169	280	(111)
48.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/13/16	677	1,109	(432)
43.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/13/16	606	999	(393)
19.0 Infoblox IncCusip 45672h104	Purchase	03/13/14	04/13/16	269	439	(170)
8.0 Infoblox IncCusip 45672h104	Purchase	04/01/14	04/13/16	113	164	(50)
63.0 Infoblox IncCusip 45672h104	Purchase	04/01/14	04/13/16	892	1,288	(396)
57.0 Infoblox IncCusip 45672h104	Purchase	04/04/14	04/13/16	807	1,098	(291)
9.0 Infoblox IncCusip 45672h104	Purchase	04/07/14	04/13/16	127	172	(45)
39.0 Infoblox IncCusip 45672h104	Purchase	04/07/14	04/13/16	552	740	(188)
18.0 Infoblox IncCusip 45672h104	Purchase	10/14/14	04/13/16	255	252	3
38.0 Infoblox IncCusip 45672h104	Purchase	10/14/14	04/13/16	538	527	11
135.0 Infoblox IncCusip 45672h104	Purchase	10/14/14	04/13/16	1,911	1,884	27
27.0 Infoblox IncCusip 45672h104	Purchase	10/15/14	04/13/16	382	384	(1)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
57.0	Infoblox IncCusip 45672h104	Purchase	10/15/14	04/13/16	807	799	8
94.0	Infoblox IncCusip. 45672h104	Purchase	10/15/14	04/13/16	1,331	1,322	9
2.0	Installed Building ProductsIncSymbol lbp	Purchase	06/13/14	01/08/16	42	26	16
1.0	Installed Building ProductsIncSymbol lbp	Purchase	06/13/14	01/08/16	21	13	9
5.0	Installed Building ProductsIncSymbol lbp	Purchase	06/13/14	01/08/16	107	64	43
7.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	01/11/16	147	89	58
10.0	Installed Building ProductsIncSymbol lbp	Purchase	06/13/14	01/11/16	211	128	83
11.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	01/12/16	231	140	90
25.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	01/20/16	462	319	143
28.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	01/20/16	513	350	163
15.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	01/20/16	275	191	84
1.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	07/25/16	37	13	25
52.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	07/26/16	1,926	650	1,276
18.0	Installed Building ProductsIncSymbol lbp	Purchase	06/12/14	07/27/16	663	225	438
2.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	10/07/15	11/09/16	97	134	(37)
6.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	10/07/15	11/09/16	291	403	(111)
1.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	10/05/15	11/10/16	49	67	(18)
8.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	10/06/15	11/10/16	391	530	(139)
2.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	10/07/15	11/10/16	98	134	(36)
1.0	Luxoft Holding IncOrd ShsSymbol Lxft	Purchase	10/07/15	11/10/16	49	67	(18)
2.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/21/16	88	134	(45)
12.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/21/16	524	802	(278)
3.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/22/16	128	200	(73)
2.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/25/16	82	134	(52)
12.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/25/16	493	802	(309)
1.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/26/16	41	67	(26)
26.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/26/16	1,081	1,737	(656)
6.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/27/16	245	401	(156)
5.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	01/27/15	04/27/16	206	300	(94)
7.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	04/08/15	04/27/16	289	468	(179)
4.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	01/27/15	05/18/16	126	240	(114)
6.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	01/27/15	05/18/16	193	360	(167)
10.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	01/27/15	05/18/16	326	600	(274)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

(a)	(b)	(c)	(d)	(e)	(g)	(h)
PROPERTY	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	GROSS SALE PRICE	BASIS	GAIN OR LOSS
2.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/20/15	05/18/16	64	116	(51)
6.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/20/15	05/18/16	193	352	(159)
15.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/27/15	05/18/16	483	900	(418)
3.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/20/15	05/19/16	95	174	(79)
10.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/15	05/19/16	312	570	(258)
13.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/15	05/19/16	406	741	(335)
20.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/15	05/19/16	624	1,141	(516)
1.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/20/15	05/19/16	31	58	(27)
1.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/15	05/20/16	30	57	(27)
3.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/22/14	05/20/16	91	146	(54)
5.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/23/14	05/20/16	152	243	(91)
2.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/15	05/20/16	61	114	(53)
5.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/15	05/20/16	152	285	(133)
18.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/16/14	05/20/16	546	828	(282)
1.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/17/14	05/20/16	30	47	(17)
6.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/17/14	05/20/16	182	282	(100)
6.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/17/14	05/20/16	182	281	(99)
3.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/21/14	05/20/16	91	143	(52)
9.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/23/14	05/20/16	273	437	(164)
1.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/23/14	05/20/16	30	49	(18)
12.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/16/14	05/23/16	378	552	(174)
17.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/16/14	05/23/16	536	782	(246)
25.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/16/14	05/23/16	780	1,150	(370)
9.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/14	05/24/16	284	403	(119)
3.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	04/16/14	05/24/16	95	138	(43)
6.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/16/13	07/26/16	180	198	(18)
24.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/16/13	07/26/16	720	791	(71)
15.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	01/16/14	07/26/16	450	671	(221)
6.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/14/13	07/27/16	178	195	(17)
32.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/15/13	07/27/16	951	1,044	(93)
6.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/16/13	07/27/16	178	196	(18)
8.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/16/13	07/27/16	238	257	(20)
2.0 Mattress Firm Holding CorpCusip 57722w106	Purchase	10/16/13	07/27/16	59	66	(6)

74-2833381

12/31/2016

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
15.0	Mattress Firm Holding CorpCusip' 57722w106	Purchase	10/17/13	07/27/16	446	478	(32)
5.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/18/13	07/27/16	149	160	(11)
3.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/21/13	07/27/16	89	97	(8)
19.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/21/13	07/27/16	565	613	(48)
12.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/22/13	07/27/16	357	394	(38)
17.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/22/13	07/27/16	505	556	(50)
6.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/17/13	07/28/16	182	191	(9)
8.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/17/13	07/28/16	243	255	(12)
23.0	Mattress Firm Holding CorpCusip 57722w106	Purchase	10/17/13	07/28/16	697	734	(36)
15.0	Nu Skin Enterprises IncSymbol Nus	Purchase	01/16/14	01/20/16	453	1,406	(952)
3.0	Nu Skin Enterprises IncSymbol Nus	Purchase	01/30/14	01/20/16	91	295	(204)
20.0	Nu Skin Enterprises IncSymbol Nus	Purchase	01/30/14	01/20/16	604	1,720	(1,115)
23.0	Nu Skin Enterprises IncSymbol Nus	Purchase	03/26/14	01/20/16	695	1,972	(1,277)
2.0	Power Integrations IncSymbol Powi	Purchase	05/07/14	01/20/16	88	99	(11)
3.0	Power Integrations IncSymbol Powi	Purchase	05/07/14	01/20/16	131	148	(16)
8.0	Power Integrations IncSymbol Powi	Purchase	05/07/14	01/20/16	350	397	(46)
19.0	Power Integrations IncSymbol Powi	Purchase	05/07/14	01/20/16	832	922	(90)
5.0	Power Integrations IncSymbol Powi	Purchase	05/07/14	01/20/16	219	243	(24)
7.0	Power Integrations IncSymbol Powi	Purchase	05/08/14	01/20/16	307	352	(46)
1.0	Power Integrations IncSymbol Powi	Purchase	05/07/10	01/21/16	44	35	9
31.0	Power Integrations IncSymbol Powi	Purchase	05/07/14	01/21/16	1,363	1,504	(141)
20.0	Power Integrations IncSymbol Powi	Purchase	06/15/07	01/21/16	878	536	342
35.0	Power Integrations IncSymbol Powi	Purchase	05/07/10	01/21/16	1,536	1,232	304
25.0	Power Integrations IncSymbol Powi	Purchase	05/07/10	01/21/16	1,097	873	225
14.0	Power Integrations IncSymbol Powi	Purchase	05/07/10	01/21/16	614	496	119
89.0	Power Integrations IncSymbol Powi	Purchase	06/15/07	01/22/16	3,918	2,384	1,534
3.0	Power Integrations IncSymbol Powi	Purchase	06/15/07	01/25/16	133	78	54
22.0	Power Integrations IncSymbol Powi	Purchase	06/15/07	01/25/16	972	589	383
20.0	Sei Investments CoSymbol Seic	Purchase	08/21/12	02/04/16	736	446	290
8.0	Sei Investments CoSymbol Seic	Purchase	08/21/12	02/04/16	300	178	121
48.0	Sei Investments CoSymbol Seic	Purchase	11/06/12	02/04/16	1,797	1,067	731
6.0	Sei Investments CoSymbol Seic	Purchase	08/22/12	02/04/16	221	133	88
21.0	Sei Investments CoSymbol Seic	Purchase	08/22/12	02/04/16	773	465	308

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381

12/31/2016

SCHEDULE 9 - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
42 0	Sei Investments CoSymbol Seic	Purchase	11/06/12	02/04/16	1,546	933	613
123 0	Sei Investments CoSymbol Seic	Purchase	08/22/12	02/04/16	4,555	2,722	1,833
7 0	Sei Investments CoSymbol Seic	Purchase	08/22/12	02/04/16	259	155	104
1 0	Sprint Airlines IncSymbol Save	Purchase	06/19/14	04/20/16	51	63	(12)
4 0	Sprint Airlines IncSymbol Save	Purchase	06/19/14	04/20/16	205	253	(48)
1 0	Sprint Airlines IncSymbol Save	Purchase	06/19/14	04/20/16	51	63	(12)
11 0	Sprint Airlines IncSymbol Save	Purchase	06/19/14	04/20/16	562	693	(131)
2 0	Sprint Airlines IncSymbol Save	Purchase	06/19/14	04/20/16	102	127	(24)
9 0	Waste Connections IncComSymbol Wcn	Purchase	04/29/15	07/15/16	658	431	226
8 0	Waste Connections IncComSymbol Wcn	Purchase	04/29/15	07/15/16	585	383	202
1 0	Waste Connections IncComSymbol Wcn	Purchase	01/27/15	07/15/16	73	44	29
45 0	Waste Connections IncComSymbol Wcn	Purchase	04/29/15	07/15/16	3,291	2,152	1,139
5 0	Waste Connections IncComSymbol Wcn	Purchase	04/30/15	07/15/16	366	239	127
10 0	Waste Connections IncComSymbol Wcn	Purchase	01/29/13	07/15/16	732	358	375
12 0	Waste Connections IncComSymbol Wcn	Purchase	01/29/13	07/15/16	879	429	450
7 0	Waste Connections IncComSymbol Wcn	Purchase	01/27/15	07/15/16	513	311	202
46 0	Waste Connections IncComSymbol Wcn	Purchase	01/27/15	07/15/16	3,369	2,046	1,323
4 0	Zoes Kitchen IncComSymbol Zoes	Purchase	01/21/15	06/20/16	155	124	31
21 0	Zoes Kitchen IncComSymbol Zoes	Purchase	01/21/15	06/21/16	797	652	146
					1,737,885	1,652,815	85,070

JOAN AND HERB KELLEHER CHARITABLE FOUNDATION

74-2833381 12/31/2016

SCHEDULE 9A - FORM 990PF, PART IV, CAPITAL GAINS AND LOSSES

	(a) PROPERTY	(b) HOW ACQUIRED	(c) DATE ACQUIRED	(d) DATE SOLD	(e) GROSS SALE PRICE	(g) BASIS	(h) GAIN OR LOSS
44 6	Boeing CoSymbol Ba	Donation	06/05/15	04/28/16	6,118	6,267	(149)
48.7	Boeing CoSymbol: Ba	Donation	09/04/15	04/28/16	6,684	6,307	377
42.0	Boeing CoSymbol: Ba	Donation	12/04/15	04/28/16	5,766	6,226	(460)
739.8	Boeing CoSymbol Ba	Donation	03/16/16	04/28/16	101,582	35,948	65,634
1400.0	Boeing CoSymbol Ba	Donation	03/16/16	07/27/16	190,494	57,213	133,280
1400.0	Boeing CoSymbol Ba	Donation	03/16/16	10/28/16	200,240	57,213	143,027
1500 0	Boeing CoSymbol Ba	Donation	03/16/16	11/15/16	221,506	61,300	160,206
1222 0	Boeing CoSymbol Ba	Donation	03/16/16	11/23/16	182,893	49,939	132,954
2000.0	Southwest Airlines CoSymbol Luv	Donation	12/22/15	04/28/16	90,318	20	90,298
2000 0	Southwest Airlines CoSymbol Luv	Donation	12/22/15	11/15/16	92,340	20	92,320
2000 0	Southwest Airlines CoSymbol Luv	Donation	12/22/15	11/23/16	93,918	20	93,898
37 1	Boeing CoSymbol Ba	Donation	03/04/11	04/28/16	5,087	2,630	2,457
35 3	Boeing CoSymbol Ba	Donation	06/03/11	04/28/16	4,849	2,646	2,204
41 4	Boeing CoSymbol Ba	Donation	09/02/11	04/28/16	5,691	2,660	3,030
37 5	Boeing CoSymbol Ba	Donation	12/02/11	04/28/16	5,143	2,678	2,465
37 7	Boeing CoSymbol Ba	Donation	03/02/12	04/28/16	5,174	2,822	2,352
41 9	Boeing CoSymbol Ba	Donation	06/01/12	04/28/16	5,760	2,838	2,922
39.2	Boeing CoSymbol Ba	Donation	09/07/12	04/28/16	5,382	2,857	2,525
38 8	Boeing CoSymbol Ba	Donation	12/07/12	04/28/16	5,323	2,874	2,449
39 3	Boeing CoSymbol Ba	Donation	03/08/13	04/28/16	5,393	3,187	2,206
31 4	Boeing CoSymbol Ba	Donation	06/07/13	04/28/16	4,313	3,206	1,107
30 2	Boeing CoSymbol Ba	Donation	09/06/13	04/28/16	4,144	3,221	923
23.9	Boeing CoSymbol Ba	Donation	12/06/13	04/28/16	3,284	3,236	49
37 9	Boeing CoSymbol Ba	Donation	03/07/14	04/28/16	5,207	4,888	320
35 6	Boeing CoSymbol Ba	Donation	06/06/14	04/28/16	4,886	4,915	(30)
39 7	Boeing CoSymbol Ba	Donation	09/05/14	04/28/16	5,446	4,941	505
37 5	Boeing CoSymbol Ba	Donation	12/05/14	04/28/16	5,156	4,970	186
40 6	Boeing CoSymbol Ba	Donation	03/06/15	04/28/16	5,574	6,230	(656)
2000 0	Delta Air Lines Inc DelComSymbol Dai	Donation	12/20/12	11/15/16	95,462	23,918	71,544
2000.0	Delta Air Lines Inc DelComSymbol Dai	Donation	12/20/12	11/23/16	97,578	23,918	73,660
					1,470,709	389,108	1,081,601

JOAN & HERB KELLEHER CHARITABLE FOUNDATION

12/31/2016

SCHEDULE 10

PART XV, SUPPLEMENTARY INFORMATION

<u>Name and Address of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ADMIRAL NIMITZ FOUNDATION 328 E. Main Street, Fredericksburg, TX 78624	Repair & preservation of the historic Nimitz Hotel	10,000
ALAMO AREA COUNCIL BOYSCOUTS OF AMERICA 2226 N W Military Highway, San Antonio, TX 78213	Learning for Life Program	2,500
AMERICAN MUSEUM OF FLY FISHING P.O. Box 42, Manchester, VT 05254	GENERAL FUNDING	2,500
BALLERCAMPS 999 E. Basse Rd., Suite 180, #413, San Antonio, TX 78209	Summer basketball camps	1,000
BATTLE OF FLOWERS ASSOCIATION P O BOX 6432, SAN ANTONIO, TX 78209	GENERAL FUNDING	5,000
	GENERAL FUNDING	5,000
BRISCOE WESTERN ART MUSEUM 210 W. Market St., San Antonio, TX 78205	Education Programs	5,000
CHILD ADVOCATES OF SAN ANTONIO 406 San Pedro, San Antonio, TX 78212	GENERAL FUNDING	2,500
CIBOLO NATURE CENTER P O BOX 9, BOERNE, TX 78006	Herff Farm honey bee demonstration area	15,000
DEVILS RIVER CONSERVANCY 401 CONGRESS AVE., SUITE 1600, AUSTIN, TX 78701	GENERAL FUNDING	10,000
GEORGE W. BUSH FOUNDATION 2943 SMU Blvd., Dallas, TX 75205	GENERAL FUNDING	50,000
FRANKLIN INSTITUTE 222 NORTH 20TH ST., PHILADELPHIA, PA 19103	GENERAL FUNDING (9 OF 10)	25,000
GRAND COMPANIONS P O. Box 1156, Fort Davis, TX 79734	Regional partner rescue program	2,500
GRAND TETON NATIONAL PARK P.O. BOX 249, MOOSE, WY 83012	Campaign for Jenny Lake 2 of 5	20,000
	Campaign for Jenny Lake 3 of 5	20,000
	Centennial birthday of national parks	10,000
GUIDE DOGS OF TEXAS 1503 Allena Dr., San Antonio, TX 78213	OPERATIONS & ADMIN SUPPORT	2,500
HARTE RESEARCH INSTITUTE FOUNDATION 3114 Suffolk Drive, Houston, TX 77027	Director's endowment	15,000
HOBBY CENTER FOR PUBLIC POLICY University of Houston, 402 Agnew Arnold Hall, Houston, TX 77204-300	GENERAL FUNDING 3 OF 5	50,000
MCNAY ART MUSEUM P O Box 6069, San Antonio, TX 78209-0069	French Moderns exhibit	5,000
MORGANS WONDERLAND 5223 David Edwards Dr., San Antonio, TX 78233	GENERAL FUNDING	5,000
NATURE CONSERVANCY OF TEXAS 200 East Grayson St., Suite 202, San Antonio, TX 78215	Bracken Bat Cave	50,000
SECURING AMERICA'S FUTURE ENERGY 1111 19TH STREET, NW SUITE 406, WASHINGTON, DC 20036	GENERAL FUNDING	50,000

JOAN & HERB KELLEHER CHARITABLE FOUNDATION

12/31/2016

SCHEDULE 10

PART XV, SUPPLEMENTARY INFORMATION

<u>Name and Address of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
SAINT MARY'S HALL 9401 Starcrest Drive, San Antonio, TX 78217	Windham Fund (3 of 5) ANNUAL FUND	30,000 20,000
SAN ANTONIO ACADEMY FOUNDATION 117 East French Place, San Antonio, TX 78212-5899	CAPITAL CAMPAIGN (4 OF 5)	50,000
SAN ANTONIO BOTANICAL CENTER P.O BOX 6569, SAN ANTONIO, TX 78209	FAMILY ADVENTURE GARDEN 2 OF 5	20,000
SAN ANTONIO LIVESTOCK EXPOSITION INC P.O BOX 200230, SAN ANTONIO, TX 78220-0230	CALF SCRAMBLE	1,300
SAN ANTONIO ZOOLOGICAL SOCIETY 3903 N. St. Mary's St., San Antonio, TX 78212-3199	Medical equipment	5,000
SNIPSA P O BOX 90325, SAN ANTONIO, TX 78209	GENERAL FUNDING	5,000
SOUTHWEST SCHOOL OF ART & CRAFT 300 Augusta, San Antonio, TX 78205-1296	Young artist programs	5,000
SPECIAL OLYMPICS 7715 CHEVY CHASE DR #120, AUSTIN, TX 78752	SAN ANTONIO AREA PROGRAMS	5,000
TEXAS A&M FOUNDATION 401 GEORGE BUSH DR , COLLEGE STATION, TX 77840-2811	FOUNDATION FOR EXCELLENCE AWARDS PROGRAM	10,000
TEXAS A&M UNIVERSITY KINGSVILLE MSC 218, Kingsville, TX 78363-8202	SOUTH TEXAS NATIVES	15,000
TEXAS AGRICULTURAL LAND TRUST P O BOX 6152, San Antonio, TX 78209	Succession & sustainability plan 1 of 3	10,000
TEXAS LUTHERAN UNIVERSITY 1000 W. COURT ST , SEGUIN, TX 78155	AVIATION PROGRAM SCHOLARSHIP	4,500
TEXAS PARKS & WILDLIFE FOUNDATION 1901 N AKARD ST , DALLAS, TX 75201	operating expenses	5,000
TEXAS STATE AQUARIUM 2710 NORTH SHORELINE, CORPUS CHRISTI, TX 78402-1097	Tentacles exhibit	5,000
TEXAS STATE HISTORIC MUSEUM 1800 CONGRESS AVE , AUSTIN, TX 78701	GENERAL FUNDING 3 of 3	200,000
UNIVERSITY OT TEXAS SCHOOL OF LAW 727 e Dean Keeton, Austin, TX 78705	GENERAL FUNDING	5,000
VANDERBILT UNIVERSITY VU STATION B #357735, 2301 VANDERBILT PLACE NASHVILLE, TN 37235-7735	UNDERGRADUATE SCHOLARSHIP FUND (4 of 5)	5,000
WINSTON SCHOOL 8565 Ewing Halsell Dr , San Antonio, TX 78229	Let's soar capital campaign 1 of 3	10,000
WITTE MUSEUM 3801 BROADWAY, SAN ANTONIO, TX 78209-6396	CAMPAIGN FOR THE WITTE 2 OF 5	50,000
WINGS FOR WOMEN & FAMILIES (formerly YWCA) 4144 North Central Expressway, Ste 580, Dallas, TX 75204	GENERAL FUNDING 3 OF 5	15,000
	Total Line 3 (a)	<u><u>839,300</u></u>

JOAN & HERB KELLEHER CHARITABLE FOUNDATION

12/31/2016

SCHEDULE 10

PART XV, SUPPLEMENTARY INFORMATION

<u>Name and Address of Recipient</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Line 3 (b), Grants Approved for Future Payment		
FRANKLIN INSTITUTE 222 NORTH 20TH ST , PHILADELPHIA, PA 19103	GENERAL FUNDING (over 1 year)	25,000
GRAND TETON NATIONAL PARK P.O. Box 249, Moose, WY 83012	Campaign for Jenny Lake OVER 3 YEARS	40,000
HOBBY CENTER FOR PUBLIC POLICY University of Houston, 402 Agnew Arnold Hall, Houston, TX 77204-300	GENERAL FUNDING OVER 2 YEARS	100,000
SAINT MARY'S HALL 9401 Starcrest Drive, San Antonio, TX 78217	Windham Fund (over 1 year)	60,000
SAN ANTONIO ACADEMY FOUNDATION 117 East French Place, San Antonio, TX 78212-5899	SCIENCE LABS (over 1 year)	50,000
SAN ANTONIO BOTANICAL CENTER P O. BOX 6569, SAN ANTONIO, TX 78209	FAMILY ADVENTURE GARDEN OVER 3 YEARS	60,000
TEXAS AGRICULTURAL LAND TRUST P O. BOX 6152, San Antonio, TX 78209	Succession & sustainability plan OVER 2 YEARS	20,000
VANDERBILT UNIVERSITY VU STATION B #357735, 2301 VANDERBILT PLACE NASHVILLE, TN 37235-7735	UNDERGRADUATE SCHOLARSHIP FUND (over 1 year)	5,000
WITTE MUSEUM 3801 BROADWAY, SAN ANTONIO, TX 78209-6396	CAMPAIGN FOR THE WITTE OVER 3 YEARS	150,000
WINGS FOR WOMEN & FAMILIES (formerly YWCA) 4144 North Central Expressway, Ste 580, Dallas, TX 75204	General Funding over 2 years	30,000
WINSTON SCHOOL 8565 Ewing Halsell Dr , San Antonio, TX 78229	Let's soar capital campaign over 2 years	20,000
	Total Line 3 (b)	<u>560,000</u>