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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation <b>ARTHUR L FUNK &amp; JOAN A FUNK CHARITABLE FOUNDATION</b>		A Employer identification number <b>74-2587362</b>
Number and street (or P O box number if mail is not delivered to street address) <b>7056 E MAIN STREET</b>	Room/suite	B Telephone number (see instructions) <b>(602) 300-0834</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Scottsdale, AZ 85251</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/>	D 1. Foreign organizations, check here <input type="checkbox"/>
	Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change <input type="checkbox"/>	2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation	<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
	<input type="checkbox"/> Other taxable private foundation	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 750,022</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
(Part I, column (d) must be on cash basis.)		

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	12	12		
4 Dividends and interest from securities	17,897	17,897		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	57,062			
b Gross sales price for all assets on line 6a <b>206,985</b>				
7 Capital gain net income (from Part IV, line 2)		57,062		
8 Net short-term capital gain			3,774	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	74,971	74,971	3,774	
13 Compensation of officers, directors, trustees, etc.	9,000			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) <b>STM107</b>	1,382	1,382		
b Accounting fees (attach schedule) <b>STM108</b>	3,500	2,500		1,000
c Other professional fees (attach schedule) <b>STM109</b>	7,403	7,403		
17 Interest				
18 Taxes (attach schedule) (see instructions) <b>STM110</b>	486	85		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) <b>STM103</b>	849	849		
24 Total operating and administrative expenses	22,620	12,219		1,000
Add lines 13 through 23	37,983			37,983
25 Contributions, gifts, grants paid	60,603	12,219		38,983
26 Total expenses and disbursements. Add lines 24 and 25				
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	14,368			
b Net investment income (if negative, enter -0-)		62,752		
c Adjusted net income (if negative, enter -0-)			3,774	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing . . . . .	10,925	3,642	3,642
	2 Savings and temporary cash investments . . . . .	25,897	93,735	93,735
	3 Accounts receivable ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	4 Pledges receivable ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) . . . . . STM137	530,364	484,177	632,541
	c Investments - corporate bonds (attach schedule) . . . . . STM138	19,958	19,958	20,104
	11 Investments - land, buildings, and equipment basis ▶ . . . . .			
Less: accumulated depreciation (attach schedule) ▶ . . . . .				
12 Investments - mortgage loans . . . . .				
13 Investments - other (attach schedule) . . . . .				
14 Land, buildings, and equipment: basis ▶ . . . . .				
Less: accumulated depreciation (attach schedule) ▶ . . . . .				
15 Other assets (describe ▶ . . . . .)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	587,144	601,512	750,022	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .			
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ . . . . .)			
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> . . . . . <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted . . . . .	587,144	601,512	
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> . . . . . <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds . . . . .			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see instructions) . . . . .	587,144	601,512	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	587,144	601,512	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	587,144
2 Enter amount from Part I, line 27a . . . . .	2	14,368
3 Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4 Add lines 1, 2, and 3 . . . . .	4	601,512
5 Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	601,512

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>			
b			
c			
d			
e			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 206,985		149,923	57,062
b			
c			
d			
e			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			57,062
b			
c			
d			
e			
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2 57,062
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3 3,774

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	38,074	770,480	0.049416
2014	45,500	823,944	0.055222
2013	43,000	822,192	0.052299
2012	44,000	794,346	0.055391
2011	24,000	824,931	0.029093
2 Total of line 1, column (d)			2 0.241422
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.048284
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 753,657
5 Multiply line 4 by line 3			5 36,390
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 628
7 Add lines 5 and 6			7 37,018
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 38,983

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	628
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	628
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	628
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9		628
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10		
11 Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <b>AZ</b>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	X

**Part VII-A Statements Regarding Activities** (continued)

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	No
12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14. The books are in care of ▶ JAMES D TROW Telephone no ▶ 602-300-0834 Located at ▶ 15830 N 60TH STREET, Scottsdale, AZ ZIP+4 ▶ 85254			
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16. At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No
			X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a. During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b. If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c. Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a. At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c. If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b. If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)	3b	
4a. Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b. Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES D TROW 15830 N 60TH ST, Scottsdale, AZ 85254	CHIEF FINANCIAL 2.00	3,000	0	0
SYDNEY J KINSELLA 910 CORNISH DRIVE, CA 92107	PRES SECY & DIR 2.00	6,000	0	0
BRADLEY J KINSELLA 910 CORNISH DRIVE, CA 92107	VP & DIRECTOR 0.00	0	0	0
KODY KINSELLA 910 CORNISH DRIVE, CA 92107	VP & DIR 0.00	0	0	0

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services . . . . .		(5)

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1 NONE - THE FOUNDATION IS ENGAGED ONLY IN MAKING GRANTS TO OTHER EXEMPT ORGANIZATIONS.</b>	0
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
Total. Add lines 1 through 3 . . . . .	



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	688,925
<b>b</b>	Average of monthly cash balances	<b>1b</b>	76,209
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	765,134
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	765,134
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	11,477
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	753,657
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	37,683

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	37,683
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5	<b>2a</b>	628
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	628
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	37,055
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	37,055
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	37,055

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	38,983
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	38,983
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	628
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	38,355

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				37,055
<b>2</b> Undistributed income, if any, as of the end of 2016:				
<b>a</b> Enter amount for 2015 only . . . . .				
<b>b</b> Total for prior years. . . . .				
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011 . . . . .				
<b>b</b> From 2012 . . . . .	4,494			
<b>c</b> From 2013 . . . . .	2,274			
<b>d</b> From 2014 . . . . .	4,956			
<b>e</b> From 2015 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	11,724			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 38,983				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2016 distributable amount . . . . .				37,055
<b>e</b> Remaining amount distributed out of corpus . . . . .	1,928			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	13,652			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2016 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	13,652			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2012 . . . . .	4,494			
<b>b</b> Excess from 2013 . . . . .	2,274			
<b>c</b> Excess from 2014 . . . . .	4,956			
<b>d</b> Excess from 2015 . . . . .				
<b>e</b> Excess from 2016 . . . . .	1,928			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
FRIENDS OF VISTA HILL 8910 CLAREMONT MESA BLVD San Diego, CA 92123	NONE	PC	GENERAL SUPPORT	5,000
RADY CHILDREN'S HOSPITAL 8910 CLAIRMONT MESA BLVD San Diego, CA 92123	NONE	PC	GENERAL SUPPORT	10,000
SCRIPPS HEALTH FOUNDATION 3020 CHILDREN'S WAY San Diego, CA 92123	NONE	PC	GENERAL SUPPORT	19,083
UC SAN DIEGO FOUNDATION PO BOX 2669 La Jolla, CA 92038	NONE	PC	PREBYS CARDIOVASCULAR	1,500
UNIVERSITY OF ARIZONA FOUND 9500 GILMAN DRIVE La Jolla, CA 92093	NONE	PC	BIRCH ACQUARIUM	2,400
<b>Total</b>			<b>3a</b>	<b>37,983</b>
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3b</b>	





## Federal Supporting Statements

2016 PG01

Name(s) as shown on return

FEIN

ARTHUR L FUNK &amp; JOAN A FUNK CHARITABLE FOUNDATION

74-2587362

Form 990PF - Part II - Line 10(b)  
Investments: Corporate Stock Schedule

Statement #137

Category	BOY	Book Value	EOY FMV
AMERICAN STATES WATER CO 600SH	9,839	9,839	27,336
AQUA AMERICA INC 300 SH	6,084	6,084	9,012
AT&T INC 400 SH	13,908	13,908	17,012
BECTON DICKINSON CO 100 SH	7,736	7,736	16,555
CHINA MOBIL LTD SPN ADR 200SH	7,284	7,284	10,486
COCA COLA COM 400 SH	11,995	11,995	16,584
COLGATE PALMOLIVE 500 SH	13,327	13,327	32,720
COLGATE PALMOLIVE 100 SH	2,666		
DU PONT EI DE NEMOURS 600 SH	29,231	29,231	44,040
ESSEX PPTY TR INC COM 100 SH	10,356	10,356	23,250
EXXON MOBIL CORP COM 200 SH	16,256	16,256	18,052
GENERAL ELECTRIC 400 SH	10,541	10,541	12,640
GOLD CORP INC 300 SH	11,769	11,769	4,080
INGREDIAN INC 100 SH	8,239	8,239	12,496
INTERNATIONAL PAPER CO 800 SH	27,574	27,574	42,448
JOHNSON & JOHNSON COM 300 SH	16,051	16,051	34,563
NATL FUEL GAS CO NJ \$1 200 SH	8,804	8,804	11,328
NEWMONT MINING CORP 200 SH	11,317	11,317	6,814
NEXTERA ENERGY INC 200SH	11,450	11,450	23,892
REALTY INCM CRP MD PV\$1 400 SH	16,521	16,521	22,992
TRAVELERS COS INC 100 SH	10,760	10,760	12,242
UNITED HEALTH GRP INC 100SH	7,450	7,450	16,004
CONSUMER DISCRETIONARY 200SH		16,003	16,280
FIDELITY MSCI INFORM 300 SH		10,953	11,073
HEALTH CARE SELECT SPDR 300 SH	21,555	21,555	20,682
ISHARES TIPS 500 SH	55,815	55,815	56,585
POWERSHARES WATER 200 SH	4,514	4,514	4,918
POWERSHARES NASDAQ INT 300 SH		26,104	24,792
SECTOR SPDR INDUSTRIAL 300 SH		17,493	18,666
SELECT SECTOR SPDR TR 300 SH		14,160	14,508
BB&T CORP 700 SH	16,998	16,998	16,625
PNC FINANCIAL SRV GRP 700 SH	16,730	16,730	16,534
PRUDENTIAL FINCL INC 700 SH	17,360	17,360	17,332
CANADIAN NATL RAILWAY 400 SH	8,020		
CONSOLIDATED EDISON INC 300 SH	14,653		
ISHARES 1-3YR TREAS BOND	92,762		
PIEDMONT NATURAL GAS CO 400 SH	6,463		
SOUTHERN COMPANY 200 SH	6,336		
<b>Totals</b>	<b>530,364</b>	<b>484,177</b>	<b>632,541</b>

**Federal Supporting Statements****2016 PG01**

Name(s) as shown on return

FEIN

ARTHUR L FUNK &amp; JOAN A FUNK CHARITABLE FOUNDATION

74-2587362

**Form 990PF - Part II - Line 10(c)**  
**Investments: Corporate Bond Schedule**

Statement #138

<u>Category</u>	<u>BOY</u>	<u>Book Value</u>	<u>EOY FMV</u>
STARBUCKS CORP 2% 12/18 10,000	9,970	9,970	10,100
WELLPOINT INC 1.875%1/18 10000	9,988	9,988	10,004
<b>Totals</b>	<b>19,958</b>	<b>19,958</b>	<b>20,104</b>



# Federal Supporting Statements

2016

PG01

Your Social Security Number

74-2587362

Name(s) as shown on return

ARTHUR L FUNK & JOAN A FUNK CHARITABLE FOUNDATION

Statement #103~

Form 990PF - Part I - Line 23 - Other Expenses Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
BANK SERVICE CHARGES	549	549	0	0
BROKERAGE ACCOUNT FEE	300	300	0	0
<b>Totals</b>	<u>849</u>	<u>849</u>	<u>0</u>	<u>0</u>

PG01

Statement #107~

Form 990PF - Part I - Line 16(a) - Legal Fees Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
HOLLAND & KNIGHT	1,382	1,382	0	0
<b>Totals</b>	<u>1,382</u>	<u>1,382</u>	<u>0</u>	<u>0</u>

# Federal Supporting Statements

2016 PG01

Your Social Security Number

74-2587362

Name(s) as shown on return

ARTHUR L FUNK & JOAN A FUNK CHARITABLE FOUNDATION

## Form 990PF - Part I - Line 16(b) - Accounting Fees Schedule

Statement #108~

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
JAMES D TROW CPA	3,500	2,500	0	1,000
Totals	3,500	2,500	0	1,000

## Form 990PF - Part I - Line 16(c) - Other Professional Fees Schedule

PG01

Statement #109~

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
INVESTMENT ADVICE FEES	7,403	7,403	0	0
Totals	7,403	7,403	0	0

# Federal Supporting Statements

2016 PG01

Your Social Security Number

74-2587362

Statement #110~

Name(s) as shown on return  
ARTHUR L FUNK & JOAN A FUNK CHARITABLE FOUNDATION

Form 990PF - Part I - Line 18 - Taxes Schedule

Description	Revenue and expenses	Net investment	Adjusted net income	Charitable purpose
TAX ON INVESTMENT INCOME	401	0	0	0
FOREIGN TAX PAID ON DIVIDENDS	85	85	0	0
Totals	486	85	0	0

ARTHUR L FUNK AND JOAN A FUNK CHARITABLE FOUNDATION  
A SCHEDULE ATTACHED TO AND MADE A PART OF FORM 990PF  
DECEMBER 31, 1916

PART X: MINIMUM INVESTMENT RETURN

DESCRIPTION	2015	2016	FAIR VALUE BY MONTH												AVE
	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC		
Line 1a - Average monthly fair market value of securities:															
C Schwab															
Equities	439,679	434,641	443,023	466,083	476,231	448,347	463,072	-						243,929	
Fixed Income	20,067	20,138	20,146	20,248	20,265	20,220	20,281	-						10,874	
Other	236,204	234,991	236,293	242,330	240,678	241,811	247,782	-						129,238	
Merrill Lynch														-	
Equities								486,652	415,540	431,983	419,696	411,458	414,546	198,452	
Fixed Income								75,683	75,011	74,597	74,504	71,135	70,595	33,963	
Other	-	-	-	-	-	-	-	179,653	178,200	99,133	158,129	159,479	167,504	72,469	
Total securities	695,950	689,770	699,462	728,661	737,174	710,378	731,135	741,988	668,751	605,713	652,329	642,072	652,645	688,925	

Line 1b - Average of monthly cash balances:

Wells Fargo Bank A/C Checking	10,925	9,657	7,288	2,469	1,702	2,694	1,777	1,009	4,392	3,572	2,669	-	-	3,704
Wells Fargo Bank A/C Savings	1,545	1,546	1,846	1,996	1,996	156	306	306	156	306	456	-	-	817
Chas Schwab cash & money funds	24,352	23,668	24,221	27,052	26,423	50,973	61,165	137	-	-	-	-	-	18,307
Bank of America A/C Checking												2,375	3,642	463
Merrill Lynch cash & money funds								60,634	120,458	179,213	112,123	121,776	93,735	52,918
Total cash	36,822	34,871	33,355	31,517	30,121	53,823	63,248	62,086	125,006	183,091	115,248	124,151	97,377	76,209