ENVELOPE POSTMARK DATE OCT 27 2017

Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending , 20

Name of foundation: THE ALSAM FOUNDATION	A Employer identification number: 74-2364289
Number and street (or P O box number if mail is not delivered to street address): THE NORTHERN TRUST COMPANY, AS TRUSTEE / Room/suite:	B Telephone number (see instructions): 801-266-4950
City or town, state or province, country, and ZIP or foreign postal code: P.O. BOX 804298, CHICAGO, IL 60680	C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 285,707,229.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)	5,000.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6,776,451.	6,375,481.		STMT 1
	5a Gross rents	234,849.	234,849.	NONE	
	b Net rental income or (loss) 230,610.				
	6a Net gain or (loss) from sale of assets not on line 10	7,038,348.			
	b Gross sales price for all assets on line 6a 117,508,649.				
	7 Capital gain net income (from Part IV, line 2)		7,038,348.		
	8 Net short-term capital gain				
	9 Income modifications			4,122.	
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,243,958.	1,341,168.		STMT 2
	12 **Total.** Add lines 1 through 11	15,298,606.	14,989,846.	4,122.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	936,230.	373,178.		563,052.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits	13,234.	NONE	NONE	13,234.
	16a Legal fees (attach schedule) STMT 3	390.	NONE	NONE	390.
	b Accounting fees (attach schedule) STMT 4	3,750.	1,875.	NONE	1,875.
	c Other professional fees (attach schedule) STMT 5	276,933.	276,933.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 6	371,414.	34,024.		
	19 Depreciation (attach schedule) and depletion	4,239.	4,239.		
	20 Occupancy	2,311.			2,311.
	21 Travel, conferences, and meetings	8,893.	NONE	NONE	8,893.
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 7	9,101.	101.		9,000.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,626,495.	690,350.	NONE	598,755.
	25 Contributions, gifts, grants paid	22,525,058.			22,525,058.
	26 Total expenses and disbursements Add lines 24 and 25	24,151,553.	690,350.	NONE	23,123,813.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-8,852,947.			
	b **Net investment income** (if negative, enter -0-)		14,299,496.		
	c **Adjusted net income** (if negative, enter -0-)			4,122.	

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JSA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	39,910.	26,247.	26,247.
	2	Savings and temporary cash investments	12,308,783.	5,645,859.	5,645,859.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STMT 8	129,613,995.	60,420,119.	65,568,557.
	c	Investments - corporate bonds (attach schedule) STMT 9	120,660,076.	212,858,551.	207,525,322.
	11	Investments - land, buildings, and equipment basis ▶ NONE			STMT 10
		Less accumulated depreciation (attach schedule) ▶ NONE	1,037,655.	NONE	
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) STMT 11	29,595,543.	5,997,033.	6,988,738.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ STMT 12)	-163,283.	-47,494.	-47,494.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	293,092,679.	284,900,315.	285,707,229.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	293,092,679.	284,900,315.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	293,092,679.	284,900,315.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	293,092,679.	284,900,315.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	293,092,679.
2	Enter amount from Part I, line 27a	2	-8,852,947.
3	Other increases not included in line 2 (itemize) ▶ TAX COST ADJUSTMENT	3	660,583.
4	Add lines 1, 2, and 3	4	284,900,315.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	284,900,315.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	117,508,649.		110,470,301.	7,038,348.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				7,038,348.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 7,038,348.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	13,812,369.	275,852,128.	0.050072
2014	4,369,006.	119,425,933.	0.036583
2013	3,884,057.	114,685,939.	0.033867
2012	11,764,943.	109,529,444.	0.107414
2011	16,496,205.	121,386,915.	0.135898

2	**Total** of line 1, column (d)	2	0.363834
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.072767
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	288,070,702.
5	Multiply line 4 by line 3	5	20,962,041.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	142,995.
7	Add lines 5 and 6	7	21,105,036.
8	Enter qualifying distributions from Part XII, line 4	8	23,123,813.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	142,995.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	142,995.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	142,995.
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016 — 6a: 355,861.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	355,861.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	212,866.
11	Enter the amount of line 10 to be **Credited to 2017 estimated tax** ▶ 212,866. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ____ (2) On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ UT IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ THE ALSAM FOUNDATION Telephone no ▶ (801)266-4950

Located at ▶ 6190 S. MOFFAT FARM LANE, SALT LAKE CITY, UT ZIP+4 ▶ 84121

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [X] No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [X] No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [X] No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) [ ] Yes [X] No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [X] No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? [ ] Yes [ ] No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [X] No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [X] No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		936,230.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	292,457,565.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	292,457,565.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) ... 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	292,457,565.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,386,863.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	288,070,702.
6	**Minimum investment return.** Enter 5% of line 5	6	14,403,535.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	14,403,535.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	142,995.		
b	Income tax for 2016 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	142,995.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	14,260,540.
4	Recoveries of amounts treated as qualifying distributions			4	4,122.
5	Add lines 3 and 4			5	14,264,662.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	14,264,662.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	23,123,813.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	23,123,813.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	142,995.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	22,980,818.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				14,264,662.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			NONE	
b Total for prior years 20 14 ,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2016				
a From 2011 . . . 10,545,921.				
b From 2012 . . . 6,377,541.				
c From 2013 . . . NONE				
d From 2014 . . . NONE				
e From 2015 . . . 200,337.				
f **Total** of lines 3a through e	17,123,799.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 23,123,813.				
a Applied to 2015, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2016 distributable amount				14,264,662.
e Remaining amount distributed out of corpus	8,859,151.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	25,982,950.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2015. Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	10,545,921.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	15,437,029.			
10 Analysis of line 9				
a Excess from 2012 . . . 6,377,541.				
b Excess from 2013 . . . NONE				
c Excess from 2014 . . . NONE				
d Excess from 2015 . . . 200,337.				
e Excess from 2016 . . . 8,859,151.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines·

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 27				22,525,058.
Total . . . . . . ▶ **3a**				22,525,058.
b *Approved for future payment*				
SEE STATEMENT 31				8,970,065.
Total . . . . . . ▶ **3b**				8,970,065.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	6,776,451.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	230,610.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	7,038,348.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b PARTNERSHIP INCOME			14	1,341,168.	
c DEFERRED INCOME			14	-97,210.	
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				15,289,367.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 15,289,367.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] | Date: 10/16/2017 | Title: OFFICER

NORTHERN TRUST COMPANY

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** ***www.irs.gov/form990***

OMB No 1545-0047

2016

Name of the organization	**Employer identification number**
THE ALSAM FOUNDATION	74-2364289

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE ALSAM FOUNDATION	74-2364289

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JACOBSEN CONSTRUCTION 3131 W. 2210 S WEST VALLEY CITY, UT 84119	$ 5,000.	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE ALSAM FOUNDATION	74-2364289

DESCRIPTION OF PROPERTY
17642 E 17TH STREET TUSTIN, CA. 92680

[ ] Yes [ ] No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY: 4 - COMMERCIAL

RENTAL INCOME		234,849.	
OTHER INCOME:			
TOTAL GROSS INCOME			234,849.
OTHER EXPENSES:			
DEPRECIATION (SHOWN BELOW)	4,239.		
LESS: Beneficiary's Portion			
AMORTIZATION			
LESS: Beneficiary's Portion			
DEPLETION			
LESS: Beneficiary's Portion			
TOTAL EXPENSES			4,239.
TOTAL RENT OR ROYALTY INCOME (LOSS)			230,610.
Less Amount to			
Rent or Royalty			
Depreciation			
Depletion			
Investment Interest Expense			
Other Expenses			
Net Income (Loss) to Others			
Net Rent or Royalty Income (Loss)			230,610.
Deductible Rental Loss (if Applicable)			

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
SEE STATEMENT									
Totals									4,239.

JSA
6E7000 1 000

THE ALSAM FOUNDATION **2016** 74-2364289

Description of Property

17642 E 17TH STREET TUSTIN, CA 92680

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
TUSTIN CA LAND	08/31/1992	982,320.	100.000			982,320.									
Less: Retired Assets															
Subtotals		982,320.				982,320.									
Listed Property															
TUSTIN CA RENTAL P	08/31/1992	213,649.	100.000			213,649.	158,314.	162,553.	SL	MM	31.500		31.5		4,239.
Less. Retired Assets															
Subtotals		213,649.				213,649.	158,314.	162,553.							4,239.
TOTALS		1,195,969.				1,195,969.	158,314.	162,553.							4,239.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

JSA
6X9024 1 000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST		6,776,451.	6,375,481.
	TOTAL	6,776,451.	6,375,481.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP INCOME	1,341,168.	1,341,168.
DEFERRED INCOME	-97,210.	
TOTALS	1,243,958.	1,341,168.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES		390.			390.
	TOTALS	390.	NONE	NONE	390.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION	3,750.	1,875.		1,875.
TOTALS	3,750.	1,875.	NONE	1,875.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT ADVISORY FEES	276,933.	276,933.
TOTALS	276,933.	276,933.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXCISE TAXES	337,390.	
FOREIGN TAXES	34,024.	34,024.
TOTALS	371,414.	34,024.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
IL ATTORNEY FEE	15.		15.
PAYROLL PROCESSING	1,842.		1,842.
NONPROFIT ASSOC MEMBERSHIP	2,025.		2,025.
INSURANCE	2,905.	101.	2,804.
OFFICE SUPPLIES	2,314.		2,314.
TOTALS	9,101.	101.	9,000.

THE ALSAM FOUNDATION 74-2364289

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED SCHEDULE		129,613,995.	60,420,119.	65,568,557.
	TOTALS	129,613,995.	60,420,119.	65,568,557.

THE ALSAM FOUNDATION 74-2364289

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED SCHEDULE		120,660,076.	212,858,551.	207,525,322.
	TOTALS	120,660,076.	212,858,551.	207,525,322.

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
TUSTIN CA RENTAL P	M31	213,649.			213,649.	158,314.	4,239.		162,553.
TUSTIN CA LAND			982,320.		982,320.				
TOTALS		213,649.			1,195,969.	158,314.			162,553.

THE ALSAM FOUNDATION 74-2364289

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
JOHNSTON INTL. EQUITY FUND LP	C	4,691,654.		
OAK HILL CAPITAL PARTNERS LP	C	1,382,863.	1,306,561.	1,748,545.
SEE ATTACHED SCHEDULE-HEDGE FU	C	13,641,353.	4,690,472.	5,240,193.
BRANDYWINE GLOBAL OPP	C	7,872,836.		
FIR TREE INT'L VALUE FUND II	C	2,006,837.		
TOTALS		29,595,543.	5,997,033.	6,988,738.

THE ALSAM FOUNDATION 74-2364289

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
NET UNSETTLED TRADES	-163,283.	-280,717.	-280,717.
CASH IN TRANSIT		233,223.	233,223.
TOTALS	-163,283.	-47,494.	-47,494.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
THE NORTHERN TRUST COMPANY
ADDRESS:
P.O. BOX 803878
CHICAGO, IL 60680
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 414,642.

OFFICER NAME:
DON L. SKAGGS
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
TREASURER/GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 20,000.

OFFICER NAME:
MARK S. SKAGGS
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 20,000.

OFFICER NAME:
CLAUDIA SKAGGS LUTTRELL
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
SECRETARY/GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 20,000.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
SUSIE S. BALUKOFF
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 20,000.

OFFICER NAME:
RONNY L. CUTSHALL
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
PRESIDENT/GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 401,588.

OFFICER NAME:
ARNOLD LAGUARDIA
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 20,000.

OFFICER NAME:
MONSIGNOR J. TERRENCE FITZGERALD
ADDRESS:
6190 S MOFFAT FARM LANE
SALT LAKE CITY, UT 84121
TITLE:
V.P./GRANTS COMMITTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .... 20,000.

TOTAL COMPENSATION: 936,230.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SCRIPPS HEALTH FOUNDATION
ADDRESS:
10666 N.TORREY PINES RD.
LA JOLLA, CA 92037
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FELLOWSHIP TRAINING POSITION MELANOMA SURGERY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 184,000.

RECIPIENT NAME:
THE SCRIPPS RESEARCH INSTITUTE
ADDRESS:
LA JOLLA, CA

RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RESEARCH RE: OPIOID USE FOR BACK PAIN
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 289,070.

RECIPIENT NAME:
ENGLISH LANGUAGE CENTER
OF CACHE VALLEY, INC.
ADDRESS:
1544 NORTH 200 WEST
LOGAN, UT 84341
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
AN ENDOWMENT FOR GENERAL OPERATIONS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....2,000,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNIVERSITY OF UTAH
ADDRESS:
20 SOUTH 2000 EAST
SALT LAKE CITY, UT 84112
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TRANSFER & START UP PACKAGE FOR NEW DEA
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....1,500,000.

RECIPIENT NAME:
UNIVERSITY OF COLORADO
FOUNDATION
ADDRESS:
12850 E. MONTVIEW BLVD
AURORA, CO 80045
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SKAGGS SCHOLARS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....1,000,000.

RECIPIENT NAME:
THE ENGLISH LANGUAGE
CENTER OF CACHE VALLEY, INC
ADDRESS:
1544 NORTH 200 WEST
LOGAN, UT 84341
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATIONS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 70,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
IDAHO STATE UNIVERSITY
FOUNDATION
ADDRESS:
921 SOUTH 8TH AVE.
POCATELLO, ID 83209
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
BIOSKILLS LEARNING CENTER
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 150,000.

RECIPIENT NAME:
MATER DEI CATHOLIC HIGH
SCHOOL
ADDRESS:
1615 MATER DEI DRIVE
CHULA VISTA, CA 91913
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
JUAN DIEGO ENG. LANG. SCH ADULT LANG
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 745,955.

RECIPIENT NAME:
THE SCRIPPS RESEARCH
INSTITUTE
ADDRESS:
LA JOLLA, CA

RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENDOWMENT FOR TUITION & RESEARCH
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....2,000,000.

RECIPIENT NAME:
ROMAN CATHOLIC BISHOP
OF SALT LAKE CITY
ADDRESS:
27 C STREET
SALT LAKE CITY, UT 84103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHROMEBOOKS & TRAINING ON USE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 250,368.

RECIPIENT NAME:
JUAN DIEGO CATHOLIC
HIGH SCHOOL
ADDRESS:
300 EAST 11800 SOUTH
DRAPER, UT 84020
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ACADEMY OF SCIENCES
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 245,000.

RECIPIENT NAME:
UTAH'S HOGLE ZOO
ADDRESS:
2600 SUNNYSIDE AVENUE
SALT LAKE CITY, UT 84108
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PURCHASE PORTABLE ULTRASOUND SYSTEM&TRAINING
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 29,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UTAH FOOD BANK
SERVICES
ADDRESS:
SALT LAKE CITY, UT

RELATIONSHIP:
NONE
PURPOSE OF GRANT:
NEW WEBSITE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 98,500.

RECIPIENT NAME:
BLESSED SACRAMENT SCHOOL
ADDRESS:
1745 EAST 9800 SOUTH
SANDY, UT 84092
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TECHNOLOGY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 90,271.

RECIPIENT NAME:
UNIVERSITY OF MONTANA
FOUNDATION
ADDRESS:
340 SKAGGS BUILDING
MISSOULA, MT 59812
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
AN ENDOWMENT FOR SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....1,250,000.

RECIPIENT NAME:
SAINT FRANCIS
XAVIER SCHOOL
ADDRESS:
P.O. BOX 18631
KEARNS, UT 84118
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENGLISH LANGUAGE ARTS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 42,751.

RECIPIENT NAME:
IDAHO STATE UNIVERSITY
FOUNDATION
ADDRESS:
921 SOUTH 8TH AVE.
POCATELLO, ID 83209
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENDOWMENT FOR SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....1,500,000.

RECIPIENT NAME:
MATER DEI CATHOLIC HIGH
SCHOOL
ADDRESS:
CHULA VISTA, CA

RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ACADEMY OF SCIENCE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 489,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MADELEINE CHOIR
SCHOOL
ADDRESS:
205 FIRST AVENUE
SALT LAKE CITY, UT 84103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RENOVATION OF THE JACQUELYN M. ERBIN
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 500,000.

RECIPIENT NAME:
CATHOLIC COMMUNITY
SERVICES OF UTAH
ADDRESS:
SALT LAKE CITY, UT

RELATIONSHIP:
NONE
PURPOSE OF GRANT:
REMODEL & REPAIR FACILITIES
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 450,000.

RECIPIENT NAME:
J.E. COSGRIFF MEMORIAL CATHOLIC
SCHOOL
ADDRESS:
2335 REDONDO ST.
SALT LAKE CITY, UT 84108
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TO REPLACE A BOILER
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 80,527.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
AMERICAN HEART ASSOCIATION
ADDRESS:
816 SOUTH FIGAROA ST.
LOS ANGELES, CA 90017
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CPR TRAINING FOR STUDENTS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
SAINT JOSEPH ELEMENTARY
SCHOOL
ADDRESS:
2980 QUINCEY AVENUE
OGDEN, UT 84403
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
REPLACE WINDOWS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 67,000.

RECIPIENT NAME:
KEARNS-SAINT ANN SCHOOL
ADDRESS:
430 EAST 2100 SOUTH
SALT LAKE CITY, UT 84115
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TECHNOLOGY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 61,544.

RECIPIENT NAME:
SAINT MARGUERITE CATHOLIC SCHOOL
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MARKETING & DEVELOPMENT SPECIALIST
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 122,000.

RECIPIENT NAME:
SAINT ANDREW SCHOOL
ADDRESS:
11835 SOUTH 3600 WEST
RIVERTON, UT 84065
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TECHNOLOGY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 265,800.

RECIPIENT NAME:
GUADALUPE CENTER EDUCATIONAL
PROGRAMS, INC
ADDRESS:
340 GOSHEN ST.
SALT LAKE CITY, UT 84104
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EARLY LEARNING CENTER PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CAHRITY
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
UNIVERSITY OF ARIZONA FOUNDATION
ADDRESS:
1111 N. CHERRY AVENUE
TUCSON, AZ 85721
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
NEIGHBORHOOD HOUSE ASSOCIATION
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FURNITURE & EQUIPMENT FOR PRESCHOOL CLASSROOM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 40,000.

RECIPIENT NAME:
SKAGGS CATHOLIC CENTER, LLC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
DESIGN & CONSTRUCTION OF BUILDING EXTENSION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 618,272.

RECIPIENT NAME:
CATHEDRAL OF THE MADELEINE
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ANNUAL BISHOP DINNER
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNIVERSITY OF COLORADO
FOUNDATION
ADDRESS:
12850 E. MONTVIEW BLVD
AURORA, CO 80045
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
THERAPEUTIC INNOVATION RESEARCH PROJECT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....3,000,000.

RECIPIENT NAME:
MATER DEI CATHOLIC HIGH SCHOOL
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FIAT SCHOOLS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 150,000.

RECIPIENT NAME:
AEROSPACE HERITAGE FOUNDATION
OF UTAH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
INTERACTIVE VIDEOS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 125,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
BILLINGS CATHOLIC SCHOOLS FDN
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CONSTRUCTION OF A SCHOOL BUILDING
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ....2,000,000.

RECIPIENT NAME:
DELCO HIGH SCHOOL
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
NEW BASEBALL FIELD & UPGRADE GIRLS FIELD
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
HOLY CROSS MINISTRIES
OF UTAH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
AFTER SCHOOL & SUMMER PROGRAMS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
SKAGGS CATHOLIC CENTER, LLC
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENDOWMENT FOR THE ACADEMY OF SCIENCE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID ....1,500,000.

RECIPIENT NAME:
UNIVERSITY OF MONTANA
FOUNDATION
ADDRESS:
340 SKAGGS BUILDING
MISSOULA, MT 59812
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
AN ENDOWMENT FOR SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
UNIVERSITY OF ARIZONA
FOUNDATION
ADDRESS:
1111 N. CHERRY AVENUE
TUCSON, AZ 85721
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENDOWMENT FOR SCHOLARSHIPS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID ....1,250,000.

TOTAL GRANTS PAID: 22,525,058.

FORM 990PF, PART XV, LINE 3b - CONTRIBUTIONS, GIFTS, GRANTS APPROVED

RECIPIENT NAME:
SCRIPPS HEALTH FOUNDATION
ADDRESS:
10666 N. TORREY PINES RD.
LA JOLLA,
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FELLOWSHIP TRAINING POSITION IN MELANOMA SURGE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 600,000.

RECIPIENT NAME:
FRIENDS OF ZOO BOISE
ADDRESS:
355 JULIA DAVIS DRIVE
BOISE,
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CONSTRUCT E. O. WILSON EXHIBIT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 1,500,000.

RECIPIENT NAME:
UNIVERSITY OF MONTANA
ADDRESS:
340 SKAGGS BUILDING
MISSOULA,
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIPS AMERICAN INDIANS, NATIVE ALASKANS &
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 50,000.

FORM 990PF, PART XV, LINE 3b - CONTRIBUTIONS, GIFTS, GRANTS APPROVED

RECIPIENT NAME:
UNIVERSITY OF ARIZONA FOUNDATION
ADDRESS:
1111 N. CHERRY AVENUE
TUCSON,
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RENOVATE & EXPAND SKAGGS PHARMACEUTICAL SCIENCES CE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 2,000,000.

RECIPIENT NAME:
SCRIPPS HEALTH FOUNDATION
ADDRESS:
10666 N. TORREY PINE

RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MELANOMA EDUCATION, PREVENTION AND TREATMENT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 60,000.

RECIPIENT NAME:
FIRST BAPTIST CHURCH OF SALT LAKE CITY
UTAH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
UPGRADE PKING LOT & ENTRANCE TO CHURCH BUILDIN
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 250,000.

FORM 990PF, PART XV, LINE 3b - CONTRIBUTIONS, GIFTS, GRANTS APPROVED

RECIPIENT NAME:
DIOCESE OF BOISE
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CONSTRUCT ALL SAINTS CATHOLIC SCHOOL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 1,500,000.

RECIPIENT NAME:
JUAN DIEGO CATHOLIC HIGH SCHOOL
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ENDOWMENT FOR ACADEMY OF SCIENCES
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 2,435,065.

RECIPIENT NAME:
DUCKS UNLIMITED
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
IMPROVEMENT IN THE MARKET LAKE PROJECT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 50,000.

RECIPIENT NAME:
UNIVERSITY OF CALIFORNIA AT SAN DIEGO
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MARINE NATURAL PRODUCTS LIBRARY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 150,000.

FORM 990PF, PART XV, LINE 3b - CONTRIBUTIONS, GIFTS, GRANTS APPROVED

RECIPIENT NAME:
UTAH WILDLIFE & CONSERVATION FOUNDATION
RELATIONSHIP: NONE
PURPOSE OF GRANT: WETLAND DISCOVERY CLASSROOM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 300,000.

RECIPIENT NAME:
SCRIPPS HEALTH FOUNDATION
ADDRESS:
10666 N TORREY PINES
LA JOLLA,
RELATIONSHIP:NONE
PURPOSE OF GRANT: ANNUAL MELANOMA CONFERENCE
FOUNDATION STATUS OF RECIPIENT:PUBLIC CHARITY
AMOUNT APPROVED FOR FUTURE PAYMENT: .... 75,000.

TOTAL GRANTS APPROVED FOR FUTURE PAYMENT: 8,970,065.

RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET INCOME
17642 E 17TH STREET	234,849.	4,239.		230,610.
TOTALS	234,849.	4,239.		230,610.

Account Number	Market Price	MARKET VALUE	COST	UNREALIZED G/L - MARKET	UNREALIZED G/L TOTAL	ACCRUED INCOME	Share/Par Value	Income Rate	Country name	Asset super category name	N-INV-SUB-CATG	T-DTL-DESC	Asset identifier
2266604	98 01	107,811 00	94,086 47	13,724 53	13,724 53		1,100 00	0	Netherlands	Equities	Common Stock	NXP SEMICONDUCTORS N V COM STK	CN6596X109
2266604	170 38	140,563 50	154,651 00	(14,087 50)	(14,087 50)	-	825 00	0	United Kingdom	Equities	Common Stock	SHIRE PLC ADR	C82481R106
2275692	58 55	47,601 15	36,934 18	10,666 97	10,666 97	-	813 00	0	United States	Equities	Common Stock	#REORG/CABELAS INC COM CASH MERGER 09-26-2017	C126804301
2275692	28 05	135,537 60	64,020 30	71,517 30	71,517 30	918 08	4,832 00	0 19	United States	Equities	Common Stock	1ST INDL RLTY TR INC COM	C32054K103
2266604	38 41	78,970 96	79,649 44	(678 48)	(678 48)	-	2,056 00	0	United States	Equities	Common Stock	ABBOTT LAB COM	C002824100
2266604	36 11	102,913 50	56,972 36	45,941 14	45,941 14	-	2,850 00	0	United States	Equities	Common Stock	ACTIVISION BLIZZARD INC COM STK	C00507V109
2275692	44 87	176,069 88	121,067 04	55,002 84	55,002 84	-	3,924 00	0	United States	Equities	Common Stock	ALEXANDER & BALDWIN INC NEW COM	C014491104
2266604	210 01	162,757 75	173,456 83	(10,699 08)	(10,699 08)	-	775 00	0	United States	Equities	Common Stock	ALLERGAN PLC COM STK	CG0177J108
2266604	228 50	125 675 00	114,939 21	10,735 79	10 735 79	-	550 00	0	United States	Equities	Common Stock	ALLIANCE DATA SYS CORP COM	C018581108
2266604	74 12	145,201 08	134,542 41	10,658 67	10,658 67	646 47	1,959 00	0 33	United States	Equities	Common Stock	ALLSTATE CORP COM	C020002101
2266604	771 82	239,264 20	125,992 99	113,271 21	113,271 21	-	310 00	0	United States	Equities	Common Stock	ALPHABET INC CAP STK USD0 001 CL C	C02079K107
2266604	792 45	114,905 25	41,431 97	73,473 28	73,473 28	-	145 00	0	United States	Equities	Common Stock	ALPHABET INC CAPITAL STOCK USD0 001 CL A	C02079K305
2266604	105 68	121,532 00	83,764 58	37,767 42	37,767 42	667 00	1,150 00	0 58	United States	Equities	Common Stock	AMERICAN TOWER CORP	C03027X100
2266604	115 82	306,923 00	48,152 78	258,770 22	258,770 22	-	2,650 00	0	United States	Equities	Common Stock	APPLE INC COM STK	C037833100
2275692	41 80	98,439 00	96,487 63	1,951 37	1,951 37	-	2,355 00	0	United States	Equities	Common Stock	ARMSTRONG WORLD INDS INC NEW COM STK	C04247X102
2266604	44 34	71,520 42	59,811 80	11,708 62	11,708 62	209 69	1,613 00	0 13	United States	Equities	Common Stock	BAXTER INTL INC COM	C071813109
2266604	283 58	148,879 50	153,752 55	(4,873 05)	(4,873 05)	-	525 00	0	United States	Equities	Common Stock	BIOGEN INC COMMON STOCK	C09062X103
2266604	224 66	123,563 00	55,797 04	67,765 96	67,765 96	-	550 00	0	United States	Equities	Common Stock	C R BARD	C067383109
2266604	115 75	137,163 75	122,217 14	14,946 61	14,946 61		1,185 00	0	United States	Equities	Common Stock	CELGENE CORP COM	C151020104
2266604	117 70	102,987 50	67,611 25	35,376 25	35,376 25	-	875 00	0	United States	Equities	Common Stock	CHEVRON CORP COM	C166764100
2266604	59 43	163,432 50	137,248 56	26,183 94	26,183 94	-	2,750 00	0	United States	Equities	Common Stock	CITIGROUP INC COM NEW COM NEW	C172967424
2266604	41 46	123,343 50	132,229 23	(8,885 73)	(8,885 73)	-	2,975 00	0	United States	Equities	Common Stock	COCA COLA CO COM	C191216100
2266604	160 11	76,052 25	28,409 75	47,642 50	47,642 50		475 00	0	United States	Equities	Common Stock	COSTCO WHOLESALE CORP NEW COM	C22160K105
2266604	78 91	185,438 50	138,130 02	47,308 48	47,308 48	-	2,350 00	0	United States	Equities	Common Stock	CVS HEALTH CORP COM	C126650100
2275692	55 39	76,105 86	79,713 89	(3,608 03)	(3,608 03)	-	1,374 00	0	United States	Equities	Common Stock	DECKERS OUTDOOR CORP COM	C243537107
2266604	53 10	143,370 00	126,020 42	17,349 58	17,349 58	-	2,700 00	0	United States	Equities	Common Stock	DICKS SPORTING GOODS INC OC-COM OC-COM	C253393102
2275692	60 05	55,966 60	52,787 16	3,179 44	3,179 44	-	932 00	0	United States	Equities	Common Stock	DRIL-QUIP INC COM	C262037104
2275692	107 15	114,221 90	131,770 30	(17,548 40)	(17,548 40)		1,066 00	0	United States	Equities	Common Stock	DST SYS INC COM	C233326107
2266604	77 62	52,393 50	48,525 94	3,867 56	3,867 56	-	675 00	0	United States	Equities	Common Stock	DUKE ENERGY CORP NEW COM NEW COM NEW	C26441C204
2275692	41 88	75,551 52	47,916 95	27,634 57	27,634 57	-	1,804 00	0	United States	Equities	Common Stock	EATON VANCE CORP COM NON VTG	C278265103
2275692	44 61	124,461 90	114,036 33	10,425 57	10,425 57	-	2,790 00	0	United States	Equities	Common Stock	ENERGIZER HLDGS INC NEW COM	C29272W109
2266604	101 10	108,682 50	80,926 64	27,755 86	27,755 86	-	1,075 00	0	United States	Equities	Common Stock	EOG RESOURCES INC COM	C26875P101
2266604	68 79	137,167 26	110,343 23	26,824 03	26,824 03	-	1,994 00	0	United States	Equities	Common Stock	EXPRESS SCRIPTS HLDG CO COM	C30219G108
2266604	90 26	128,620 50	127,740 49	880 01	880 01	-	1,425 00	0	United States	Equities	Common Stock	EXXON MOBIL CORP COM	C30231G102
2266604	90 26	76,721 00	66,750 50	9,970 50	9,970 50	-	850 00	0	United States	Equities	Common Stock	EXXON MOBIL CORP COM	C30231G102
2266604	53 63	30,837 25	15,593 53	15,243 72	15,243 72	-	575 00	0	United States	Equities	Common Stock	FORTIVE CORP COM MON STOCK	C34959J108
2275692	61 58	168,051 82	139,037 18	29,014 64	29,014 64	1,091 60	2,729 00	0 4	United States	Equities	Common Stock	GATX CORP COM	C361448103
2266604	31 60	215,670 00	213,226 37	2,443 63	2,443 63	1,638 00	6,825 00	0 24	United States	Equities	Common Stock	GENERAL ELECTRIC CO	C369604103
2266604	71 61	98,463 75	88,250 89	10,212 86	10,212 86		1,375 00	0	United States	Equities	Common Stock	GILEAD SCIENCES INC	C375558103
2266604	232 25	66,191 25	64,131 78	2,059 47	2,059 47	-	285 00	0	United States	Equities	Common Stock	GRAINGER W W INC COM	C384802104
2266604	134 08	179,801 28	76,383 36	103,417 92	103,417 92	-	1,341 00	0	United States	Equities	Common Stock	HOME DEPOT INC COM	C437076102
2266604	56 42	190,417 50	131,872 87	58,544 63	58,544 63	-	3,375 00	0	United States	Equities	Common Stock	INTERCONTINENTAL EXCHANGE INC COM	C45866F104
2266604	86 29	351,631 75	172,417 54	179,214 21	179,214 21	-	4,075 00	0	United States	Equities	Common Stock	JPMORGAN CHASE & CO COM	C46625H100
2275692	48 93	121,639 98	92,013 58	29,626 40	29,626 40	447 48	2,486 00	0 18	United States	Equities	Common Stock	KAMAN CORP COM	C483548103
2266604	49 38	143,202 00	147,518 33	(4,316 33)	(4,316 33)	-	2,900 00	0	United States	Equities	Common Stock	KOHLS CORP COM	C500255104
2275692	85 30	169,491 10	132,315 35	37,175 75	37,175 75		1,987 00	0	United States	Equities	Common Stock	LANDSTAR SYS INC COM	C515098101
2266604	31 62	119,365 50	103,638 83	15,726 67	15,726 67	-	3,775 00	0	United States	Equities	Common Stock	MASCO CORP COM	C574599106
2275692	35 39	83,768 13	56,542 72	27,225 41	27,225 41	-	2,367 00	0	United States	Equities	Common Stock	MATSON INC COM	C57686G105
2275692	10 70	77,072 10	67,666 41	9,405 69	9,405 69	-	7,203 00	0	United States	Equities	Common Stock	MBIA INC COM	C55262C100
2266604	121 72	106,505 00	69,352 50	37,152 50	37,152 50		875 00	0	United States	Equities	Common Stock	MC DONALDS CORP COM	C580135101
2266604	44 33	148,505 50	110,052 48	38,453 02	38,453 02	636 50	3,350 00	0 19	United States	Equities	Common Stock	MONDELEZ INTL INC COM	C609207105
2275692	423 84	217,006 08	117,791 44	99,214 64	99,214 64	819 20	512 00	1 6	United States	Equities	Common Stock	NEWMARKET CORP COM	C651587107
2266604	50 83	163,774 26	116,382 83	47,391 43	47,391 43	579 96	3,222 00	0 18	United States	Equities	Common Stock	NIKE INC CL B	C654106103
2266604	108 07	94,561 25	56,394 88	38,166 37	38,166 37	-	875 00	0	United States	Equities	Common Stock	NORFOLK SOUTHN CORP COM	C655844108
2266604	59 52	85,946 88	64,354 02	21,592 86	21,592 86	545 11	1,444 00	0 3775	United States	Equities	Common Stock	NUCOR CORP COM	C670346105
2275692	25 61	137,781 80	133,378 82	4,402 98	4,402 98	-	5,380 00	0	United States	Equities	Common Stock	OLIN CORP COM	C680665205
2266604	38 45	101,892 50	75,206 73	26,685 77	26,685 77	-	2,650 00	0	United States	Equities	Common Stock	ORACLE CORP COM	C68389X105
2275692	87 73	216,254 45	126,990 89	89,263 56	89,263 56	-	2,465 00	0	United States	Equities	Common Stock	ORBITAL ATK INC COM	C68557N103
2275692	51 84	163,658 88	140,703 29	22,955 59	22,955 59	-	3,157 00	0	United States	Equities	Common Stock	PENSKE AUTOMOTIVE GROUP INC COM STK	C70959W103

2266604	32 48	129,920 00	96,633 61	33,286 39	33,286 39	-	4,000 00	0	United States	Equities	Common Stock	PFIZER INC COM		C717081103
2275692	83 50	123,997 50	102,864 61	21,132 89	21,132 89	-	1,485 00	0	United States	Equities	Common Stock	PRICESMART INC COM STK		C741511109
2266604	84 08	98,794 00	83,772 12	15,021 88	15,021 88	-	1,175 00	0	United States	Equities	Common Stock	PROCTER & GAMBLE COM NPV		C742718109
2266604	65 20	130,400 00	94,039 01	36,360 99	36,360 99	-	2,000 00	0	United States	Equities	Common Stock	QUALCOMM INC COM		C747525103
2266604	69 70	104,550 00	103,256 75	1,293 25	1,293 25	-	1,500 00	0	United States	Equities	Common Stock	RED HAT INC COM		C756577102
2266604	68 46	128,362 50	107,870 57	20,491 93	20,491 93	-	1,875 00	0	United States	Equities	Common Stock	SALESFORCE COM INC COM STK		C79466L302
2266604	83 95	138,517 50	152,340 33	(13,822 83)	(13,822 83)	825 00	1,650 00	0 5	United States	Equities	Common Stock	SCHLUMBERGER LTD COM COM		C806857108
2266604	39 47	98,675 00	62,264 51	36,410 49	36,410 49	-	2,500 00	0	United States	Equities	Common Stock	SCHWAB CHARLES CORP COM NEW		C808513105
2275692	28 40	155,035 60	70,076 63	84,958 97	84,958 97	-	5,459 00	0	United States	Equities	Common Stock	SERVICE CORP INTL COM		C817565104
2266604	55 52	134,636 00	28,324 00	106,312 00	106,312 00	-	2,425 00	0	United States	Equities	Common Stock	STARBUCKS CORP COM		C855244109
2275692	52 70	54,544 50	50,994 13	3,550 37	3,550 37	-	1,035 00	0	United States	Equities	Common Stock	STURM RUGER & CO INC COM		C864159108
2275692	16 88	87,877 28	103,322 74	(15,445 46)	(15,445 46)	-	5,206 00	0	United States	Equities	Common Stock	SUPERIOR ENERGY SVCS INC COM		C868157108
2275692	25 43	41,908 64	42,656 01	(747 37)	(747 37)	-	1,648 00	0	United States	Equities	Common Stock	TEJON RANCH CO COM		C879080109
2275692	68 28	187,223 76	114,478 24	72,745 52	72,745 52	-	2,742 00	0	United States	Equities	Common Stock	TEMPUR SEALY INTL INC	COM	C88023U101
2275692	14 84	23,105 88	28,897 30	(5,791 42)	(5,791 42)	-	1,557 00	0	United States	Equities	Common Stock	TENET HEALTHCARE CORP COM NEW		C88033G407
2275692	24 00	50,976 00	29,800 57	21,175 43	21,175 43	233 64	2,124 00	0 11	United States	Equities	Common Stock	TREDEGAR CORP INC		C894650100
2266604	28 04	147,911 00	164,341 01	(16,430 01)	(16,430 01)	-	5,275 00	0	United States	Equities	Common Stock	TWENTY FIRST CENTURY FOX INC CL A		C90130A101
2266604	16 30	45,232 50	85,074 91	(39,842 41)	(39,842 41)	-	2,775 00	0	United States	Equities	Common Stock	TWITTER INC COM		C90184L102
2275692	28 88	130,537 60	120,065 84	10,471 76	10,471 76	-	4,520 00	0	United States	Equities	Common Stock	USG CORP COM NEW		C903293405
2275692	36 90	99,851 40	68,659 84	31,191 56	31,191 56	-	2,706 00	0	United States	Equities	Common Stock	VISTA OUTDOOR INC COM		C928377100
2266604	104 22	153,724 50	49,942 75	103,781 75	103,781 75	1,150 50	1,475 00	0 78	United States	Equities	Common Stock	WALT DISNEY CO		C254687106
2266604	55 11	297,594 00	186,290 27	111,303 73	111,303 73	-	5,400 00	0	United States	Equities	Common Stock	WELLS FARGO & CO NEW COM STK		C949746101
2275692	836 05	109,522 55	67,770 41	41,752 14	41,752 14	-	131 00	0	United States	Equities	Common Stock	WHITE MOUNTAINS INSURANCE GROUP COM	STOCK	CG9618E107
2275692	45 91	49,536 89	43,768 45	5,768 44	5,768 44	64 74	1,079 00	0 06	United States	Equities	Common Stock	WORLD FUEL SERVICE COM STK USD0 01		C981475106
2266604	103 20	134,160 00	139,085 22	(4,925 22)	(4,925 22)	312 00	1,300 00	0 24	United States	Equities	Common Stock	ZIMMER BIOMET HLDGS INC COM		C98956P102
2211805	12 56	5,444,945 39	6,063,877 73	(618,932 34)	(618,932 34)	-	433,514 76	0	Emerging Market	Equities	Funds - Common !	MFO ABERDEEN FDS EMERGING MKTS FD INSTL CL		C003021714
2266604	31 96	564,803 83	659,601 76	(94,797 93)	(94,797 93)	-	17,672 21	0	Emerging Market	Equities	Funds - Common !	MFO OPPENHEIMER DEVELOPING MKTS FDS CL I		C683974604
2266604	10 56	703,791 05	644,475 30	59,315 75	59,315 75	-	66,646 88	0	International Reg	Equities	Funds - Common !	MFB NORTHN INTL EQTY INDEX FD		C665130209
2210956	36 54	5,733,914 90	5,602,100 60	131,814 30	131,814 30	-	156,921 59	0	International Reg	Equities	Funds - Common !	MFO MFS SER TR X INTL VALUE FD CL I		C55273E822
2213582	178 86	6,617,820 00	6,225,102 00	392,718 00	392,718 00	-	37,000 00	0	United States	Equities	Funds - Equities E	MFC ISHARES TR RUSSELL MID-CAP ETF		C464287499
2213582	223 53	35,865,388 50	33,209,267 11	2,656,121 39	2,656,121 39	213,226 81	160,450 00	1 32893	United States	Equities	Funds - Equities E	SPDR S&P 500 ETF TRUST		C78462F103
TOTAL EQUITIES		65,568,556.61	60,420,119.33	5,148,437.28	5,148,437.28	224,011.78								
2227314	99 38	645,988 20	649,492 19	(3,503 99)	(3,503 99)	1,656 42	650,000 00	0 75	United States	Fixed Income	Government Bond	UNITED STATES OF AMER TREAS NOTES 75% DUE 08 31-201E		C9128282C3
2227314	99 77	648,476 40	649,923 83	(1,447 43)	(1,447 43)	1,656 42	650,000 00	0 75	United States	Fixed Income	Government Bond	UNITED STATES TREAS NTS DTD 02/28/2013 75% DUE 02-28-2018 REC		C912828UR9
2227314	100 01	750,069 00	749,912 11	156 89	156 89	1,274 17	750,000 00	0 5	United States	Fixed Income	Government Bond	UNITED STATES TREAS NTS DTD 02/28/2015 5% DUE 02-28-2017 REC		C912828J35
2266605	101 50	152,244 15	149,742 79	2,501 36	2,501 36	486 87	150,000 00	2 5	United States	Fixed Income	Government Bond	UNITED STATES TREAS NTS DTD 05/15/2014 2 5% DUE 05-15-2024 REC		C912828WJ5
2227314	99 92	649,492 35	649,974 61	(482 26)	(482 26)	1,380 35	650,000 00	0 625	United States	Fixed Income	Government Bonc	UNITED STATES TREAS NTS DTD 08/31/2012 625% DUE 08-31 2017 REC		C912828TM2
2227314	100 01	1,250,097 50	1,248,085 35	2,012 15	2,012 15	961 53	1,250,000 00	0 875	United States	Fixed Income	Government Bond	UNITED STATES TREAS NTS DTD 11/30/2015 875% DUE 11-30-2017 REC		C912828M72
2227314	99 28	347,484 20	346,875 03	609 17	609 17	449 58	350,000 00	0 75	United States	Fixed Income	Government Bond	UNITED STATES TREAS NTS WI TREASURY NOTE 75% DUE 10-31-2018 REC		C912828T83
2227314	99 68	348,878 95	348,553 23	325 72	325 72	307 69	350,000 00	1	United States	Fixed Income	Government Bond	UNITED STATES TREAS NTS WI TREASURY NOTE1% DUE 11-30-2018 REC		C912828U40
2266605	102 45	204,906 20	203,062 50	1,843 70	1,843 70	3,588 31	200,000 00	4 75	United States	Fixed Income	Government Bond	US TREAS NTS T-NT 4 75 DUE 08-15 2017 BEC		C912828HA1
2227314	100 08	1,250,976 25	1,249,099 20	1,877 05	1,877 05	6,284 53	1,250,000 00	1	United States	Fixed Income	Government Bond	US TREASURY N/B 1 0% 12-31-2017		C912828N55
2227314	100 02	1,020,159 12	1,021,400 79	(1,241 67)	(1,241 67)	1,912 50	1,020,000 00	0 875	United States	Fixed Income	Government Bond	UTD STATES TREAS 875% DUE 10-15 2017		C912828F54
2262066	10 52	3,037,163 03	3,113,188 15	(76,025 12)	(76,025 12)	6,779 19	288,703 71	0	United States	Fixed Income	Funds - Governme	MFO CRA QUALIFIED INVEST FD-INST		C20368N202
2227314	100 01	250,027 50	251,120 00	(1,092 50)	(1,092 50)	1,180 55	250,000 00	1 25	Germany	Fixed Income	Government Agen	KREDITANSTALT FUR 1 25% DUE 02-15-2017		C500769EY6
2227314	98 47	118,165 56	119,962 80	(1,797 24)	(1,797 24)	540 00	120,000 00	1	Germany	Fixed Income	Government Agen	KREDITANSTALT FUR WIEDERAUFBAU KFW GLOBAL NT 1% DUE 07-15-201		C500769HA5
2227314	100 01	250,035 00	250,625 00	(590 00)	(590 00)	828 12	250,000 00	1 125	Multi-National Ag	Fixed Income	Government Agen	AFRICAN DEV BK GLOBAL MEDIUM TERM SR BK NTS BOOK ENTRY 1 125% DU		C00828EAP4
2227314	99 50	179,096 76	179,694 00	(597 24)	(597 24)	284 37	180,000 00	0 875	Multi-National Ag	Fixed Income	Government Agen	ASIAN DEV BK GLOBAL NT 875% DUE 04-26-2018 REC		C045167DM2
2227314	100 00	280,009 80	280,490 35	(480 55)	(480 55)	886 66	280,000 00	1	Multi-National Ag	Fixed Income	Government Agen	NORDIC INVT BK MEDIUM TERM NTS BOOK ENTR1 DUE 03-07-2017		C65562QAS4
2227314	99 58	119,495 52	119,770 80	(275 28)	(275 28)	463 33	120,000 00	1	Netherlands	Fixed Income	Government Agen	N V BK NEDERLANDSE GEMEENTEN MEDIUM TERMTRANCHE # TR 30 1% DUI		C62944BBF0
2227314	99 08	198,168 00	199,874 00	(1,706 00)	(1,706 00)	816 66	200,000 00	0 875	Netherlands	Fixed Income	Government Agen	NEDERLANDSE 875% DUE 07-13-2018		C63983TBC8
2227314	100 05	300,135 00	300,234 00	(99 00)	(99 00)	188 84	300,000 00	1 13306	Sweden	Fixed Income	Government Agen	AB SVENSK EXPORTKREDIT - SWEDISH EXPT CRTRANCHE # TR 00260 ADJ RT		C00254ELV2
2227314	100 08	350,290 50	349,448 06	842 44	842 44	117 79	350,000 00	0 75728	United States	Fixed Income	Government Agen	FEDERAL FARM CR BKS CONS SYSTEMWIDE FLTG RT DUE 04-16-2018		C3133EEZC7
2227314	99 64	747,292 50	747,427 50	(135 00)	(135 00)	439 63	750,000 00	0 7561	United States	Fixed Income	Government Agen	FNMA DTD 2/28/2012 FLTG RATE 02-28-2019		C3135G0HZ9
2215149	11 96	6,372,131 20	7,150,000 00	(777,868 80)	(777,868 80)	-	532,786 89	0	International Reg	Fixed Income	Funds - Governme	MFO TEMPLETON INCOME TR GLOBAL BD FD ADVISOR CL		C880208400
2215149	10 62	33,475,231 27	34,278,416 79	(803,185 52)	(803,185 52)	108,652 04	3,152,093 34	0	United States	Fixed Income	Funds - Governme	MFO DOUBLELINE TOTAL RETURN BOND FUND-I		C258620103
2227314	99 94	299,829 00	300,195 00	(366 00)	(366 00)	83 33	300,000 00	1	Canada	Fixed Income	Municipal/Provinc	ALTA PROV CDA GLOBAL MEDIUM TERM NTS TRANCHE # TR 00001 1 DUE C		C01306GAA1
2266605	99 94	149,915 85	149,604 00	311 85	311 85	20 41	150,000 00	2 45	Canada	Fixed Income	Municipal/Provinc	ONTARIO PROV CDA BD 2 45% DUE 06-29-2022REC		C68323ABK9

2227314	100 00	500,000 00	500,000 00	-		262 15	500,000 00	0 7	United States	Fixed Income	Municipal/Provinc	CALIFORNIA ST VAR RT 62% 05-01-2040 BEO	C13063BHR6
2227314	100 00	500,000 00	500,000 00	-	-	298 49	500,000 00	0 8	United States	Fixed Income	Municipal/Provinc	MASSACHUSETTS ST DEV FIN AGY REV VAR RT 1 22% 03-01-2039 BEO TAXAB	C57583RR64
2227314	100 42	140,583 52	140,000 00	583 52	583 52	297 17	140,000 00	1 66122	Australia	Fixed Income	Corporate Bonds	AUSTRALIA & NEW ZEALAND BKG GROUP LTD SRNT DUE 11-16-2018 BEC	C05252ACH2
2227314	100 01	250,020 00	250,177 50	(157 50)	(157 50)	843 75	250,000 00	1 125	Australia	Fixed Income	Corporate Bonds	COMWLTH BK 1 125% DUE 03-13 2017	C20271RAE0
2227314	101 30	81,039 60	80,000 00	1,039 60	1,039 60	76 44	80,000 00	2 02344	Australia	Fixed Income	Corporate Bonds	COMWLTH BK FLTG RT 2 38% DUE 03-15-2019	C2027A0HS1
2227314	100 85	252,113 25	250,000 00	2,113 25	2,113 25	1,054 06	250,000 00	1 87389	Australia	Fixed Income	Corporate Bonds	NATL AUSTRALIA BK FLTG RT 1 6571% DUE 07-12-2021	C6325C0DF5
2227314	100 62	150,928 50	150,834 00	94 50	94 50	518 56	150,000 00	2 00733	Australia	Fixed Income	Corporate Bonds	PVTPL MACQUARIE BK LTD FLTG DUE 07-29-2020	C55608PAR5
2227314	100 25	120,296 16	119,985 60	310 56	310 56	1,300 33	120,000 00	2 35	Australia	Fixed Income	Corporate Bonds	PVTPL TRANCHE # TR 00018 2 35% DUE 01-15-2019 BEC	C55608PAT1
2227314	100 16	120,196 92	119,989 20	207 72	207 72	246 99	120,000 00	1 95	Australia	Fixed Income	Corporate Bonds	WESTPAC BKG CORP 1 95% DUE 11-23-2018	C961214CQ4
2227314	100 58	70,407 40	70,377 30	30 10	30 10	125 87	70,000 00	1 65983	Australia	Fixed Income	Corporate Bonds	WESTPAC BKG CORP FLTG RT 2 05444% DUE 11-23-2018	C961214CR2
2227314	100 29	130,373 62	130,000 00	373 62	373 62	260 75	130,000 00	1 76122	Australia	Fixed Income	Corporate Bonds	WESTPAC BKG CORP FLTG RT 2 16639% DUE 08-19-2021	C961214DB6
2227314	100 14	30,043 05	29,918 70	124 35	124 35	237 50	30,000 00	1 9	Belgium	Fixed Income	Corporate Bonds	ANHEUSER-BUSCH 1 9% DUE 02-01-2019	C035242AG1
2227314	101 76	122,110 56	120,000 00	2,110 56	2,110 56	436 34	120,000 00	2 14594	Belgium	Fixed Income	Corporate Bonds	ANHEUSER-BUSCH INBEV FIN INC GTD NT FLTGRATE DUE 02-01-2021 REG	C035242AK2
2227314	99 53	149,289 00	149,994 00	(705 00)	(705 00)	686 25	150,000 00	1 35	Canada	Fixed Income	Corporate Bonds	BANK MONTREAL MEDIUM TERM SR NTS BOOK EN1 35% DUE 08-28-2018	C06367TJW1
2227314	100 26	100,260 60	100,000 00	260 60	260 60	163 11	100,000 00	1 72706	Canada	Fixed Income	Corporate Bonds	BANK OF MONTREAL FRN BNDS 08-27-2021	C06367TJY7
2227314	100 06	170,095 71	170,000 00	95 71	95 71	180 04	170,000 00	1 46639	Canada	Fixed Income	Corporate Bonds	CDN IMPERIAL BK COMM TORONTO BRH CDN IMPERIAL BK OF COMM DUE	C13607RAC4
2227314	99 83	39,933 88	39,985 20	(51 32)	(51 32)	38 22	40,000 00	2 15	Canada	Fixed Income	Corporate Bonds	EMERA US FIN LP 2 15% DUE 06-15 2019	C29103DAA4
2227314	99 45	119,337 24	119,934 00	(596 76)	(596 76)	411 66	120,000 00	1 625	Canada	Fixed Income	Corporate Bonds	ROYAL BK CDA 1 625% DUE 04-15-2019	C78012KNL7
2227314	100 10	204,211 96	204,938 40	(726 44)	(726 44)	994 50	204,000 00	1 625	Canada	Fixed Income	Corporate Bonds	TORONTO DOMINION BK SR MEDIUM TERM BK 1 625% DUE 03-13-2018	C89114QAZ1
2227314	99 85	199,691 20	199,376 00	315 20	315 20	553 09	200,000 00	1 14433	Germany	Fixed Income	Corporate Bonds	BAYER US FIN LLC FLTG RT 1 58211% DUE 10-06-2017	C07274EAC7
2227314	99 05	39,620 44	39,982 40	(361 96)	(361 96)	133 33	40,000 00	1 5	Germany	Fixed Income	Corporate Bonds	BMW US CAP LLC 1 5% DUE 04-11-2019	C05565EAD7
2227314	99 98	114,971 83	115,000 00	(28 17)	(28 17)	74 58	115,000 00	1 37344	Germany	Fixed Income	Corporate Bonds	BMW US CAP LLC NT FLTG RATE 144A DUE 09-13-2019 BEC	C05565EAN5
2227314	99 18	69,429 22	69,939 80	(510 58)	(510 58)	435 55	70,000 00	1 4	Israel	Fixed Income	Corporate Bonds	TEVA 1 4% DUE 07-20-2018	C88167AAA9
2227314	98 25	39,301 12	39,996 40	(695 28)	(695 28)	302 22	40,000 00	1 7	Israel	Fixed Income	Corporate Bonds	TEVA 1 7% DUE 07-19-2019	C88167AAB7
2227314	100 01	200,024 80	199,274 00	750 80	750 80	45 47	200,000 00	1 63706	Japan	Fixed Income	Corporate Bonds	MIZUHO BANK LTD DUE 03-26-2018 BEO	C60688XAR1
2227314	100 14	135,187 38	134,719 20	468 18	468 18	362 64	135,000 00	1 19389	Japan	Fixed Income	Corporate Bonds	TOYOTA MTR CR CORP MEDIUM TERM NTS BOOK FLTG RT DUE 01-12-2018	C89236TCB9
2227314	99 53	129,388 22	129,910 30	(522 08)	(522 08)	146 25	130,000 00	1 125	Netherlands	Fixed Income	Corporate Bonds	N V BK NEDERLANDSE 1 125% DUE 05-25-2018	C62944BBJ2
2227314	99 10	128,827 40	129,711 40	(884 00)	(884 00)	253 22	130,000 00	1 375	Netherlands	Fixed Income	Corporate Bonds	SHELL INTL FIN B V 1 375% DUE 05-10-2019	C822582BR2
2227314	100 03	135,039 69	135,000 00	39 69	39 69	97 72	135,000 00	1 30306	Netherlands	Fixed Income	Corporate Bonds	SHELL INTL FIN B V GTD NT FLTG BNDS 09-12-2019	C822582BV3
2227314	100 08	200,151 80	200,064 00	87 80	87 80	515 41	200,000 00	1 40567	New Zealand	Fixed Income	Corporate Bonds	ANZ NEW ZEALAND INT'L LTD 144A FLT RT DUE 04-27-2017 REC	C00182EAT6
2266605	101 14	202,277 20	210,156 00	(7,878 80)	(7,878 80)	2,326 38	200,000 00	3 125	Norway	Fixed Income	Corporate Bonds	STATOIL ASA 3 125 DUE 08-17 2017	C85771PAB8
2227314	100 18	120,214 44	120,000 00	214 44	214 44	262 50	120,000 00	1 75	United Kingdom	Fixed Income	Corporate Bonds	ASTRAZENECA PLC 1 75% DUE 11-16-2018	C046353AH1
2266605	100 14	150,210 60	153,232 50	(3,021 90)	(3,021 90)	836 87	150,000 00	1 95	United Kingdom	Fixed Income	Corporate Bonds	ASTRAZENECA PLC 1 95% DUE 09-18-2019	C046353AF5
2227314	100 38	125,475 13	124,657 50	817 63	817 63	22 59	125,000 00	1 62706	United Kingdom	Fixed Income	Corporate Bonds	BP CAP MKTS P L C FLTG RT DUE 09-26-2018	C05565QCH9
2227314	104 22	375,192 72	383,700 00	(8,507 28)	(8,507 28)	9,876 99	360,000 00	5 95	United Kingdom	Fixed Income	Corporate Bonds	BRIT 5 95% DUE 01-15-2018	C11102AAA9
2227314	100 05	120,054 36	120,197 67	(143 31)	(143 31)	98 66	120,000 00	1 85	United Kingdom	Fixed Income	Corporate Bonds	PVTPL B A T INTL FIN PLC 1 85% DUE 06-15-2018 BEC	C05530QAM2
2227314	100 58	30,175 20	29,947 50	227 70	227 70	222 91	30,000 00	2 5	United Kingdom	Fixed Income	Corporate Bonds	SANTANDER UK PLC 2 5% DUE 03-14-2019	C80283LAK9
2227314	100 00	125,002 13	124,797 50	204 63	204 63	96 74	125,000 00	1 4665	United Kingdom	Fixed Income	Corporate Bonds	SANTANDER UK PLC FLTG RT 1 4665% DUE 03-13-2017	C80283LAC7
2227314	100 50	45,223 07	47,494 35	(2,271 28)	(2,271 28)	968 99	45,000 00	5 7	United States	Fixed Income	Corporate Bonds	ACE INA HLDG INC 5 7 DUE 02 15-2017	C00440EAJ6
2227314	100 58	186,069 49	186,496 65	(427 16)	(427 16)	1,316 32	185,000 00	2 35	United States	Fixed Income	Corporate Bonds	ACTAVIS FDG SCS FIXED 2 35% DUE 03-12 2018	C00507UAM3
2227314	99 91	79,925 84	79,936 00	(10 16)	(10 16)	90 66	80,000 00	1 7	United States	Fixed Income	Corporate Bonds	AETNA INC NEW 1 7% DUE 06-07-2018	C00817YAS7
2227314	100 34	120,413 76	120,000 00	413 76	413 76	128 06	120,000 00	1 60083	United States	Fixed Income	Corporate Bonds	AETNA INC NEW FLTG RT 1 96722% DUE 12-08-2017	C00817YAR9
2227314	100 64	125,799 63	125,140 00	659 63	659 63	317 19	125,000 00	1 66094	United States	Fixed Income	Corporate Bonds	AMERICAN EXPRESS CR CORP FLTG RT DUE 11-05-2018	C0258M0EA3
2227314	100 96	40,383 80	40,000 00	383 80	383 80	75 45	40,000 00	1 74122	United States	Fixed Income	Corporate Bonds	AMERICAN HONDA FIN CORP MED TERM NTS BOOK ENTRY FLTG RT 02 22-2C	C02665WBB6
2227314	100 51	105,530 88	105,000 00	530 88	530 88	104 70	105,000 00	1 56083	United States	Fixed Income	Corporate Bonds	AMERICAN HONDA FIN CORP MED TERM NTS FLTG RT DUE 09-09-2021	C02665WBJ9
2227314	98 22	58,933 50	59,940 00	(1,006 50)	(1,006 50)	337 99	60,000 00	1 2	United States	Fixed Income	Corporate Bonds	AMERN HONDA FIN CORP MED TERM NTS BOOK ENTRY TRANCHE # TR 29 1	C02665WBE0
2227314	100 11	125,131 38	124,698 75	432 63	432 63	180 03	125,000 00	1 29622	United States	Fixed Income	Corporate Bonds	AMGEN INC FLTG RT 1 43011% DUE 05-22 2017	C031162BS8
2227314	107 48	214,962 20	220,258 00	(5,295 80)	(5,295 80)	4,750 00	200,000 00	5 7	United States	Fixed Income	Corporate Bonds	AMGEN INC SR NT 5 7 DUE 02-01-2019 BEO	C031162AZ3
2227314	100 07	20,014 74	19,991 40	23 34	23 34	92 44	20,000 00	1 3	United States	Fixed Income	Corporate Bonds	APPLE INC 1 3% DUE 02-23 2018	C037833BN9
2227314	101 38	20,276 10	20,000 00	276 10	276 10	37 69	20,000 00	1 73983	United States	Fixed Income	Corporate Bonds	APPLE INC NT FLTG DUE 02-22-2019 REG	C037833BR0
2227314	100 25	200,491 40	200,615 20	(123 80)	(123 80)	1,413 33	200,000 00	2 4	United States	Fixed Income	Corporate Bonds	AT&T INC 2 4% DUE 03-15-2017	C00206RCV2
2227314	100 71	125,889 88	125,306 25	583 63	583 63	218 05	125,000 00	1 84706	United States	Fixed Income	Corporate Bonds	AT&T INC GLOBAL NT FLTG RATE DUE 11-27-2018 REC	C00206RCB6
2227314	99 09	29,726 16	29,979 00	(252 84)	(252 84)	94 79	30,000 00	1 625	United States	Fixed Income	Corporate Bonds	AUTOZONE INC 1 625% DUE 04-21-2019	C053332AU6
2227314	103 58	124,301 88	125,492 40	(1,190 52)	(1,190 52)	575 00	120,000 00	5 75	United States	Fixed Income	Corporate Bonds	BANK AMER CORP 5 75% DUE 12-01-2017	C060505DP6
2227314	100 14	96,131 52	95,875 20	256 32	256 32	96 12	96,000 00	1 38639	United States	Fixed Income	Corporate Bonds	BANK NY INC MEDIUM TERM SR NTS BOO FLTG RT 03-06-2018	C06406HCK3
2227314	100 23	125,287 63	125,317 58	(29 95)	(29 95)	1,180 55	125,000 00	2	United States	Fixed Income	Corporate Bonds	BANK OF AMER CORP MTN 2 DUE 01-11-2018	C06051GET2
2227314	100 67	125,841 38	125,868 75	(27 37)	(27 37)	71 74	125,000 00	2 06622	United States	Fixed Income	Corporate Bonds	BANK OF AMERICA CORP FRNS DUE 03-22-2018	C06051GEW5
2227314	100 01	100,005 30	99,377 00	628 30	628 30	50 00	100,000 00	2	United States	Fixed Income	Corporate Bonds	BAXALTA INC 2% DUE 06-22-2018	C07177MAG8
2227314	100 43	125,534 75	124,566 25	968 50	968 50	327 43	125,000 00	1 54594	United States	Fixed Income	Corporate Bonds	BB&T CORP SR MEDIUM TERM NTS BOOK ENTRY FLTG RT 02-01 2019	C05531FAR4
2227314	100 20	175,342 48	183,270 50	(7,928 02)	(7,928 02)	4,289 68	175,000 00	5 55	United States	Fixed Income	Corporate Bonds	BEAR STEARNS COS 5 55% DUE 01-22-2017	C073902PN2
2227314	100 10	70,068 53	69,972 70	95 83	95 83	321 41	70,000 00	1 45	United States	Fixed Income	Corporate Bonds	BERKSHIRE HATHAWAY 1 45% DUE 03-07-2018	C084664CE9

2227314	100 28	50,138 95	50,000 00	138 95	138 95	76 09	50,000 00	1 16567	United States	Fixed Income	Corporate Bonds	BERKSHIRE HATHAWAY FIN CORP FRN 08 15-2019	C084664CL3
2227314	100 22	125,271 75	125,172 00	99 75	99 75	108 33	125,000 00	1 95	United States	Fixed Income	Corporate Bonds	CARDINAL HLTH INC 1 95% DUE 06-15-2018	C14149YBC1
2227314	100 40	120,481 68	120,277 20	204 48	204 48	99 99	120,000 00	1 875	United States	Fixed Income	Corporate Bonds	CARNIVAL CORP GTD SR NT 1 875 12-15-2017	C143658AY8
2266605	100 18	150,262 95	153,364 50	(3,101 55)	(3,101 55)	203 12	150,000 00	1 625	United States	Fixed Income	Corporate Bonds	CATERPILLAR FINL SVCS CORP MEDIUM TERM NTS 1 625 DUE 06-01 2017	C14912L5E7
2227314	99 96	29,988 75	29,993 10	(4 35)	(4 35)	159 99	30,000 00	1 5	United States	Fixed Income	Corporate Bonds	CATERPILLAR FINL SVCS CORP MEDIUM TERM NTS BOOK ENTRY 1 5% DUE 0	C14912L6P1
2227314	99 95	79,956 16	80,000 00	(43 84)	(43 84)	155 30	80,000 00	1 344	United States	Fixed Income	Corporate Bonds	CHEVRON CORP NEW 1 344% DUE 11-09 2017	C166764BC3
2227314	100 38	40,152 16	40,000 00	152 16	152 16	89 50	40,000 00	1 79	United States	Fixed Income	Corporate Bonds	CHEVRON CORP NEW 1 79% DUE 11-16-2018	C166764BA7
2227314	100 23	120,277 20	120,000 00	277 20	277 20	220 26	120,000 00	1 24678	United States	Fixed Income	Corporate Bonds	CHEVRON CORP NEW FLTG RT 1 67139% DUE 11-09 2017	C166764AX8
2227314	100 17	30,051 45	30,000 00	51 45	51 45	143 49	30,000 00	1 4	United States	Fixed Income	Corporate Bonds	CISCO SYS INC 1 4% DUE 02-28 2018	C17275RBA9
2227314	99 94	149,916 15	149,817 00	99 15	99 15	1,095 00	150,000 00	1 8	United States	Fixed Income	Corporate Bonds	CITIGROUP INC 1 8% DUE 02-05-2018	C172967JH5
2227314	100 33	125,413 50	125,290 00	123 50	123 50	1,127 25	125,000 00	2 15	United States	Fixed Income	Corporate Bonds	CITIGROUP INC 2 15% DUE 07-30 2018	C172967JW2
2227314	100 06	125,077 63	124,797 50	280 13	280 13	103 68	125,000 00	1 49306	United States	Fixed Income	Corporate Bonds	CITIGROUP INC SR GLOBAL NT FLTG RT 03-10-2017	C172967HL8
2266605	100 34	200,680 20	197,584 00	3,096 20	3,096 20	980 83	200,000 00	1 65	United States	Fixed Income	Corporate Bonds	COCA COLA CO 1 65% DUE 03 14-2018	C191216AY6
2227314	100 38	120,461 16	120,667 20	(206 04)	(206 04)	1,019 66	120,000 00	1 9	United States	Fixed Income	Corporate Bonds	CVS HEALTH CORP BNDS 1 9% DUE 07-20-2018	C126650CH1
2227314	100 13	20,026 70	20,035 00	(8 30)	(8 30)	97 16	20,000 00	1 65	United States	Fixed Income	Corporate Bonds	DANAHER CORP 1 65% DUE 09-15-2018	C235851AN2
2266605	101 08	202,152 60	204,156 00	(2,003 40)	(2,003 40)	925 00	200,000 00	2 25	United States	Fixed Income	Corporate Bonds	DEERE JOHN CAP CORP 2 25 DUE 04-17 2019	C24422ERR2
2227314	99 88	59,926 62	59,835 60	91 02	91 02	371 25	60,000 00	1 35	United States	Fixed Income	Corporate Bonds	DEERE JOHN CAP CORP MEDIUM TERM NTS-BOOKENTRY 1 35% 01 16 2018	C24422EST7
2227314	102 08	127,600 75	124,968 75	2,632 00	2,632 00	362 50	125,000 00	3 48	United States	Fixed Income	Corporate Bonds	DIAMOND 1 FIN CORP 3 48% DUE 06-01 2019	C25272KAA1
2227314	101 24	20,248 14	20,019 80	228 34	228 34	296 20	20,000 00	2 962	United States	Fixed Income	Corporate Bonds	DOMINION ENERGY INC STEP-UP DUE 07-01-2019	C25746UBU2
2227314	98 34	44,252 01	44,943 75	(691 74)	(691 74)	161 24	45,000 00	1 5	United States	Fixed Income	Corporate Bonds	DTE ENERGY CO 1 5% DUE 10-01 2019	C233331AX5
2227314	100 88	30,263 37	29,969 10	294 27	294 27	233 33	30,000 00	2 5	United States	Fixed Income	Corporate Bonds	EBAY INC 2 5% DUE 03-09-2018	C278642AP8
2227314	100 12	60,072 12	60,000 00	72 12	72 12	287 80	60,000 00	1 439	United States	Fixed Income	Corporate Bonds	EXXON MOBIL CORP 1 439% DUE 03-01 2018	C30231GAU6
2227314	100 64	201,282 40	200,556 00	726 40	726 40	424 95	200,000 00	1 82122	United States	Fixed Income	Corporate Bonds	FIFTH THIRD BANK VAR RT DUE 08-20-2018	C31677QBE8
2227314	99 08	198,164 80	200,334 00	(2,169 20)	(2,169 20)	651 21	200,000 00	2 021	United States	Fixed Income	Corporate Bonds	FORD MTR CR CO LLC 2 021% DUE 05-03-2019	C345397XY4
2227314	100 02	200,044 00	199,130 00	914 00	914 00	700 88	200,000 00	1 66	United States	Fixed Income	Corporate Bonds	FORD MTR CR CO LLC NT FLTG RATE VAR RT DUE 01-17-2017	C345397WM1
2227314	101 06	131,379 69	128,120 20	3,259 49	3,259 49	1,858 27	130,000 00	3 1	United States	Fixed Income	Corporate Bonds	GEN MTRS FINL CO 3 1% DUE 01-15-2019	C37045XBB1
2266605	101 35	152,021 55	152,536 50	(514 95)	(514 95)	2,221 66	150,000 00	3 1	United States	Fixed Income	Corporate Bonds	GENERAL ELEC CAP CORP MEDIUM TERM NTS 3 1 DUE 01-09-2023	C36962G6S8
2227314	100 99	121,184 04	120,000 00	1,184 04	1,184 04	412 83	120,000 00	2 33678	United States	Fixed Income	Corporate Bonds	GENERAL MTRS FINL CO INC NT FLTG DUE 05-09-2019 REC	C37045XBH8
2227314	104 17	62,504 52	65,314 37	(2,809 85)	(2,809 85)	1,616 41	60,000 00	5 95	United States	Fixed Income	Corporate Bonds	GOLDMAN SACHS GROUP INC 5 95 DUE 01-18-2018	C38141GFG4
2227314	100 95	176,663 55	176,043 00	620 55	620 55	458 23	175,000 00	2 00567	United States	Fixed Income	Corporate Bonds	GOLDMAN SACHS GROUP INC MEDIUM TERM NTS TRANCHE # TR 00590 VAR	C38141EB73
2227314	102 18	127,728 25	126,088 75	1,639 50	1,639 50	20 33	125,000 00	2 92872	United States	Fixed Income	Corporate Bonds	HEWLETT PACKARD ENTERPRISE CO NT FLTG DUE 10-05-2018 REC	C42824CAV1
2227314	100 00	165,005 61	165,000 00	5 61	5 61	656 44	165,000 00	1 25636	United States	Fixed Income	Corporate Bonds	JOHN DEERE CAPITAL CORP TRANCHE # TR 00418 VAR RT DUE 10-09 2019	C24422ETK5
2266605	100 11	150,165 90	152,295 00	(2,129 10)	(2,129 10)	1,170 00	150,000 00	1 8	United States	Fixed Income	Corporate Bonds	JPMORGAN CHASE & 1 8% DUE 01-25-2018	C46625HJG6
2227314	100 32	70,224 77	69,626 90	597 87	597 87	192 16	70,000 00	1 52039	United States	Fixed Income	Corporate Bonds	JPMORGAN CHASE & CO NT FLTG RATE DUE 01-28-2019 REC	C46625HJS0
2227314	100 53	40,210 44	39,554 00	656 44	656 44	441 66	40,000 00	2 65	United States	Fixed Income	Corporate Bonds	KINDER MORGAN 2 65% DUE 02-01 2019	C494550BR6
2227314	100 40	15,059 75	14,974 35	85 40	85 40	29 29	15,000 00	1 85	United States	Fixed Income	Corporate Bonds	LOCKHEED MARTIN 1 85% DUE 11-23-2018	C539830BJ7
2227314	100 77	90,694 08	90,442 80	251 28	251 28	70 14	90,000 00	1 55872	United States	Fixed Income	Corporate Bonds	LOWES COS INC NT FLTG RATE DUE 09-14-2018 REC	C548661DF1
2227314	100 02	100,024 10	99,864 00	160 10	160 10	398 36	100,000 00	1 292	United States	Fixed Income	Corporate Bonds	MCKESSON CORP NEW 1 292 DUE 03-10-2017	C581557BB0
2227314	100 37	150,559 80	149,892 00	667 80	667 80	227 50	150,000 00	1 95	United States	Fixed Income	Corporate Bonds	MET LIFE GLOBAL 1 95% DUE 12-03-2018	C59217GBQ1
2266605	102 65	102,652 50	101,908 00	744 50	744 50	810 00	100,000 00	3 6	United States	Fixed Income	Corporate Bonds	METLIFE INC 3 6% DUE 04-10-2024	C59156RBH0
2266605	102 50	153,752 55	154,162 50	(409 95)	(409 95)	2,633 33	150,000 00	4	United States	Fixed Income	Corporate Bonds	MORGAN STANLEY 4% DUE 07-23 2025	C6174468C6
2227314	100 69	70,480 69	69,953 10	527 59	527 59	714 58	70,000 00	2 45	United States	Fixed Income	Corporate Bonds	MORGAN STANLEY SR NT 2 45% DUE 02-01-2019	C61746BDX1
2227314	100 62	125,780 00	124,725 00	1,055 00	1,055 00	414 90	125,000 00	1 73178	United States	Fixed Income	Corporate Bonds	MORGAN STANLEY VAR RT BNDS DUE 01-24-2019 REC	C61746BDN3
2227314	99 65	84,701 57	84,508 70	192 87	192 87	544 82	85,000 00	1 625	United States	Fixed Income	Corporate Bonds	MUFG AMERS HLDGS 1 625% DUE 02-09-2018	C553794AA6
2227314	100 62	150,922 65	150,307 65	615 00	615 00	508 62	150,000 00	1 565	United States	Fixed Income	Corporate Bonds	NBCUNIVERSAL ENTERPRISE INC GTD NT FLTG RATE 144A 3C7 DUE 04-15-201	C63946CAB4
2227314	100 33	35,115 92	34,997 90	118 02	118 02	59 79	35,000 00	2 05	United States	Fixed Income	Corporate Bonds	NEWELL BRANDS INC 2 05% DUE 12-01-2017	C651229AN6
2227314	100 50	60,298 20	59,788 80	509 40	509 40	272 33	60,000 00	2 15	United States	Fixed Income	Corporate Bonds	NEWELL BRANDS INC 2 15% DUE 10-15-2018	C651229AR7
2227314	101 10	30,329 19	29,993 10	336 09	336 09	199 33	30,000 00	2 6	United States	Fixed Income	Corporate Bonds	NEWELL BRANDS INC 2 6% DUE 03-29-2019	C651229AT3
2227314	99 69	338,932 74	341,195 60	(2,262 86)	(2,262 86)	1,868 86	340,000 00	1 649	United States	Fixed Income	Corporate Bonds	NEXTERA ENERGY CAP 1 649% DUE 09-01-2018	C65339KAJ9
2227314	99 84	99,840 60	100,000 00	(159 40)	(159 40)	77 92	100,000 00	1 4765	United States	Fixed Income	Corporate Bonds	NISSAN MTR ACCEP FLTG RT 1 83667% DUE 09-13-2019	C654740AQ2
2227314	98 94	19,787 56	19,928 60	(141 04)	(141 04)	96 25	20,000 00	1 375	United States	Fixed Income	Corporate Bonds	PHILIP MORRIS INTL 1 375% DUE 02-25-2019	C718172BR9
2227314	100 35	125,436 00	125,438 75	(2 75)	(2 75)	275 09	125,000 00	1 68567	United States	Fixed Income	Corporate Bonds	PRUDENTIAL FINL INC MEDIUM TERM NTS VAR RT DUE 08-15-2018	C74432QBX2
2227314	101 00	202,005 40	205,870 00	(3,864 60)	(3,864 60)	511 11	200,000 00	4	United States	Fixed Income	Corporate Bonds	PVTPL HYUNDAI CAP AMER 4 DUE 06-08 2017 BEO	C44923QAB0
2227314	99 46	49,729 40	49,944 00	(214 60)	(214 60)	83 33	50,000 00	2 5	United States	Fixed Income	Corporate Bonds	PVTPL MYLAN N V SR NT 144A 2 5% DUE 06-07-2019 BEO	C62854AAE4
2227314	100 85	25,211 50	25,599 00	(387 50)	(387 50)	72 91	25,000 00	3 5	United States	Fixed Income	Corporate Bonds	RYDER SYS INC MEDIUM TERM NTS # TR 002083 5 DUE 06-01-2017	C78355HJR1
2227314	100 11	100,105 20	100,947 00	(841 80)	(841 80)	833 33	100,000 00	2 5	United States	Fixed Income	Corporate Bonds	RYDER SYS INC MTN 2 5 03-01-2017	C78355HJS9
2227314	98 73	266,576 94	269,781 30	(3,204 36)	(3,204 36)	1,396 49	270,000 00	1 9	United States	Fixed Income	Corporate Bonds	SHIRE ACQUISITIONS 1 9% DUE 09-23-2019	C82481LAA7
2227314	99 95	199,898 40	200,274 00	(375 60)	(375 60)	982 22	200,000 00	1 3	United States	Fixed Income	Corporate Bonds	SOUTHERN CO 1 3% DUE 08-15-2017	C842587CK1
2227314	99 63	109,591 02	109,921 90	(330 88)	(330 88)	1,027 73	110,000 00	1 55	United States	Fixed Income	Corporate Bonds	SOUTHERN CO 1 55% DUE 07-01-2018	C842587CN5
2227314	100 26	20,052 86	19,988 40	64 46	64 46	30 83	20,000 00	1 85	United States	Fixed Income	Corporate Bonds	SOUTHERN PWR CO 1 85% DUE 12-01-2017	C843646AN0
2227314	100 86	100,856 90	100,341 00	515 90	515 90	299 56	100,000 00	2 451	United States	Fixed Income	Corporate Bonds	STANLEY BLACK & 2 451% DUE 11-17-2018	C854502AB7

2227314	100 05	30,014 70	29,965 20	49 50	49 50	188 33	30,000 00	2	United States	Fixed Income	Corporate Bonds	STRYKER CORP 2% DUE 03-08-2019	C863667AK7
2227314	100 51	30,151 74	29,992 20	159 54	159 54	359 66	30,000 00	2 6	United States	Fixed Income	Corporate Bonds	SYNCHRONY FINL 2 6% DUE 01 15-2019	C87165BAJ2
2266605	103 87	207,748 00	201,438 00	6,310 00	6,310 00	3,500 00	200,000 00	3 5	United States	Fixed Income	Corporate Bonds	TARGET CORP 3 5 DUE 07-01-2024 REG	C87612EBD7
2227314	100 46	20,091 80	19,999 40	92 40	92 40	19 11	20,000 00	2 15	United States	Fixed Income	Corporate Bonds	THERMO FISHER 2 15% DUE 12-14-2018	C883556BL5
2266605	104 01	208,025 60	200,094 00	7,931 60	7,931 60	2,002 22	200,000 00	3 4	United States	Fixed Income	Corporate Bonds	TOYOTA MTR CR CORP 3 4 09-15-2021	C89233P5F9
2227314	100 49	130,639 60	130,000 00	639 60	639 60	357 95	130,000 00	1 32167	United States	Fixed Income	Corporate Bonds	TOYOTA MTR CR CORP FLTG RT 1 79389% DUE 10 18-2019	C89236TDJ1
2227314	100 33	250,812 50	250,000 00	812 50	812 50	631 76	250,000 00	1 46733	United States	Fixed Income	Corporate Bonds	U S BK NATL ASSN CINCINNATI OHIO MEDIUM FLTG 01-29-2018	C90331HMV2
2227314	100 21	300,617 40	301,590 00	(972 60)	(972 60)	2,266 66	300,000 00	2	United States	Fixed Income	Corporate Bonds	VENTAS RLTY LTD PARTNERSHIP/CAP CRP 2 2-15-18	C92276MBA2
2227314	102 23	127,790 25	128,533 75	(743 50)	(743 50)	169 29	125,000 00	2 70872	United States	Fixed Income	Corporate Bonds	VERIZON COMMUNICATIONS INC NT FLTG DUE 09-14-2018 REG	C92343VBM5
2266605	101 90	203,809 00	195,910 00	7,899 00	7,899 00	511 11	200,000 00	5 75	United States	Fixed Income	Corporate Bonds	WACHOVIA CORP NEW WACHOVIA CORP 5 75% DUE 06-15-2017	C929903DT6
2227314	100 10	670,669 33	673,239 36	(2,570 03)	(2,570 03)	1,009 65	670,000 00	1 75	United States	Fixed Income	Corporate Bonds	WALGREENS BOOTS 1 75% DUE 05-30-2018	C931427AM0
2227314	100 55	140,770 56	142,517 20	(1,746 64)	(1,746 64)	1,387 94	140,000 00	2 15	United States	Fixed Income	Corporate Bonds	WELLS FARGO & CO 2 15 DUE 01-15-2019	C94974BFQ8
2227314	99 90	89,906 85	89,916 30	(9 45)	(9 45)	111 37	90,000 00	1 65	United States	Fixed Income	Corporate Bonds	WI PUB SVC CORP 1 65% DUE 12-04-2018	C976843BK7
2215149	10 53	63,299,283 73	65,187,600 00	(1,888,316 27)	(1,888,316 27)	101,694 15	6,011,327 99	0	United States	Fixed Income	Funds - Corporate	MFO METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I	C592905509
2227314	100 20	198,499 72	198,107 27	392 45	392 45	56 57	198,107 27	2 05611	United States	Fixed Income	Government Mort	FNMA CONN AVE SECS SER 2016-C06 CL 1M-1 144A 04 25-2029	C30711XDP6
2227314	100 10	198,922 20	198,724 47	197 73	197 73	56 74	198,724 47	2 05611	United States	Fixed Income	Government Mort	FNMA CONN AVE SECS SER 2016-C07 144A CL 2M-1 05-25 2029	C30711XDZ4
2227314	100 20	476,652 23	476,707 59	(55 36)	(55 36)	364 02	475,704 15	1 10194	United States	Fixed Income	Government Mort	NCUA GTD NTS TR 2011-R1 CL 1A FLTG DUE 01-08-2020 BEC	C62888YAA0
2227314	99 95	528,131 50	529,195 37	(1,063 87)	(1,063 87)	59 43	528,369 79	0 57854	United States	Fixed Income	Gov't-issued Comr	FHLMC MULTICLASS TRANCHE 00738 05-25 2022	C3137BKW30
2227314	100 09	375,337 88	375,468 75	(130 87)	(130 87)	199 02	375,000 00	1 12389	Canada	Fixed Income	Asset Backed Secu	PVTPL GOLDEN CREDIT CARD TRUST SER 2015-3A CL A FRN 07-15-2019	C380881CS9
2227314	100 38	250,955 50	250,625 00	330 50	330 50	1,256 11	250,000 00	2 38	Cayman Islands	Fixed Income	Asset Backed Secu	PVTPL LCM XVI L P / LCM XVI LLC SR NT CLA FLTG 144A VAR RT DUE 07 15-20	C50181GAC4
2227314	100 03	134,444 21	134,398 28	45 93	45 93	69 88	134,402 41	1 17	United States	Fixed Income	Asset Backed Secu	ALLY AUTO 1 17% DUE 10-15-2018	C02007XAB2
2227314	100 02	300,051 90	299,542 97	508 93	508 93	147 88	300,000 00	1 04389	United States	Fixed Income	Asset Backed Secu	BARCLAYS DRYROCK ISSUANCE TR SER 2014-2 FLTG R1 CL A 03-16-2020 BEC	C06742LAC7
2227314	100 04	100,037 20	99,997 07	40 13	40 13	33 00	100,000 00	1 32	United States	Fixed Income	Asset Backed Secu	CAP AUTO 1 32% DUE 01-22-2019	C13974MAB2
2227314	99 98	21,602 78	21,563 32	39 46	39 46	7 87	21,607 21	0 82	United States	Fixed Income	Asset Backed Secu	CARMAX AUTO OWNER 82% DUE 06-15-2018	C143127AH1
2227314	99 80	399,206 00	399,978 32	(772 32)	(772 32)	215 11	400,000 00	1 21	United States	Fixed Income	Asset Backed Secu	CARMAX AUTO OWNER 1 21% DUE 11-15-2019	C14312QAB2
2227314	100 04	277,796 44	278,338 42	(541 98)	(541 98)	170 32	277,698 41	1 38	United States	Fixed Income	Asset Backed Secu	CARMAX AUTO OWNER TR 2015-1 A3 1 38% 11-15-2019	C14313WAC6
2227314	100 05	96,888 50	96,836 32	52 18	52 18	62 83	96,837 85	1 46	United States	Fixed Income	Asset Backed Secu	CHRYSLER CAP AUTO 1 46% DUE 12 17-2018	C17121FAB0
2227314	100 03	37,746 60	37,734 17	12 43	12 43	23 14	37,735 62	1 38	United States	Fixed Income	Asset Backed Secu	DR AUTO 1 38% DUE 08-15-2018	C26208AAB8
2227314	100 08	40,033 32	39,997 50	35 82	35 82	29 68	40,000 00	1 67	United States	Fixed Income	Asset Backed Secu	DR AUTO 1 67% DUE 07-15-2019	C26208AAD4
2227314	99 99	89,163 96	89,172 21	(8 25)	(8 25)	42 80	89,174 57	1 08	United States	Fixed Income	Asset Backed Secu	FORD CR AUTO OWNER 1 08% DUE 03-15-2019	C34532EAB1
2227314	100 02	250,053 25	248,779 30	1,273 95	1,273 95	99 08	250,000 00	1 189	United States	Fixed Income	Asset Backed Secu	GE DEALER FLOORPLAN MASTER NT SER 2014 2 CL A FLTG RT 10-20-2019 RE	C36159LCN4
2227314	100 06	310,014 73	309,821 70	193 03	193 03	123 07	309,833 79	1 3	United States	Fixed Income	Asset Backed Secu	GM FINL AUTOMOBILE 1 3% DUE 07-20-2018	C36250UAB0
2227314	99 99	76,082 41	76,012 81	69 60	69 60	25 27	76,093 06	0 92	United States	Fixed Income	Asset Backed Secu	HONDA AUTO 92% DUE 11-20-2017	C43814MAB3
2227314	100 06	173,432 81	173,321 76	111 05	111 05	96 05	173,326 91	1 33	United States	Fixed Income	Asset Backed Secu	HYUNDAI AUTO LEASE 1 33% DUE 07-16-2018	C44891MAB7
2227314	100 00	133,283 83	133,169 68	114 15	114 15	58 05	133,289 43	0 98	United States	Fixed Income	Asset Backed Secu	JOHN DEERE OWNER 98% DUE 06-15-2018	C47787WAB5
2227314	99 89	199,770 00	199,987 80	(217 80)	(217 80)	96 88	200,000 00	1 09	United States	Fixed Income	Asset Backed Secu	JOHN DEERE OWNER 1 09% DUE 02-15-2019	C47788NAB4
2227314	100 00	500,000 00	499,287 11	712 89	712 89	241 75	500,000 00	1 02389	United States	Fixed Income	Asset Backed Secu	MERCEDES BENZ FLTG RT 1 31389% DUE 04-15-2019	C58768UAC7
2227314	99 98	13,085 48	13,095 60	(10 12)	(10 12)	6 39	13,087 93	1 1	United States	Fixed Income	Asset Backed Secu	MERCEDES-BENZ AUTO 1 1% DUE 08-15-2017	C58768LAD5
2227314	99 88	299,634 00	299,991 03	(357 03)	(357 03)	153 33	300,000 00	1 15	United States	Fixed Income	Asset Backed Secu	MERCEDES-BENZ AUTO 1 15% DUE 01 15 2019	C58768MAB7
2227314	99 97	120,462 57	120,085 23	377 34	377 34	43 91	120,499 44	0 82	United States	Fixed Income	Asset Backed Secu	MERCEDES-BENZ AUTO RECEIVABLES TRUST SER 15-1 CLS A2A 0 82% 6-15-2C	C58772PAB4
2227314	99 90	199,804 40	199,984 82	(180 42)	(180 42)	93 33	200,000 00	1 05	United States	Fixed Income	Asset Backed Secu	NISSAN AUTO 1 05% DUE 04-15-2019	C65478VAB3
2227314	99 96	343,023 51	343,171 76	(148 25)	(148 25)	209 71	343,171 76	1 1	United States	Fixed Income	Asset Backed Secu	PVTPL ASCENTIUM EQUIP RECEIVABLES 2016 2TR NT CL A-1 144A 1 1 DUE 11	C04364UAA3
2227314	99 95	249,874 00	244,787 50	5,086 50	5,086 50	1,004 36	250,000 00	1 98122	United States	Fixed Income	Asset Backed Secu	PVTPL BABSON CLO LTD 2013-IA CL A FLTG RT DUE 04-20-2025 BEC	C05617WAA1
2227314	99 99	154,307 94	154,416 27	(108 33)	(108 33)	74 61	154,319 82	1 02389	United States	Fixed Income	Asset Backed Secu	PVTPL HYUNDAI AUTO LEASE SECURITIZATION TR 201 NT CL A-2-B 144A 12 1	C44928UAC4
2227314	100 25	295,340 80	295,858 07	(517 27)	(517 27)	249 95	294,615 16	2 03622	United States	Fixed Income	Asset Backed Secu	PVTPL INVITATION HOMES TRUST SER 2015-SFR3 CL A DUE 08-17-2032 BEC	C46186YAA2
2227314	99 79	99,792 30	99,993 41	(201 11)	(201 11)	55 55	100,000 00	1 25	United States	Fixed Income	Asset Backed Secu	PVTPL KUBOTA CR OWNER TR 2016-1 NT CL A-2 144A 1 25% DUE 04-15-201	C50116WAB1
2227314	100 05	368,751 28	368,559 63	191 65	191 65	63 27	368,559 63	1 23611	United States	Fixed Income	Asset Backed Secu	PVTPL NAVIENT STUDENT LN TR 2016-6 STUDENT LN SER 16-6A CL A1 03-25-	C63940LAA2
2227314	99 94	71,726 15	71,629 74	96 41	96 41	26 15	71,772 73	0 82	United States	Fixed Income	Asset Backed Secu	PVTPL VOLVO FINL EQUIP LLC SER 2014-1A CL A3 82 04-16-2018	C92887FAC5
2227314	100 07	60,042 96	59,994 35	48 61	48 61	55 46	60,000 00	2 08	United States	Fixed Income	Asset Backed Secu	SANTANDER DR AUTO 2 08% DUE 02-16-2021	C80285CAG6
2227314	100 96	121,152 36	122,306 25	(1,153 89)	(1,153 89)	158 40	120,000 00	2 97	United States	Fixed Income	Asset Backed Secu	SANTANDER DR AUTO 2 97% DUE 03-15-2021	C80284MAF7
2227314	100 08	300,253 20	301,347 66	(1,094 46)	(1,094 46)	213 33	300,000 00	1 6	United States	Fixed Income	Asset Backed Secu	SYNCHRONY CR CARD 1 6% DUE 04-15-2021	C87165LAK7
2227314	99 95	230,613 81	230,729 87	(116 06)	(116 06)	97 41	230,729 87	0 95	United States	Fixed Income	Asset Backed Secu	WESTLAKE AUTOMOBILE RECEIVABLES SER 16-3A CL A1 0 95% 144A 10-16-2(	C96042LAA9
2227314	100 19	200,385 80	199,062 50	1,323 30	1,323 30	111 81	200,000 00	1 18389	United States	Fixed Income	Asset Backed Secu	WORLD FINL NETWORK CR CARD MASTER NT TR 2015-A AST BACKED NT CL A	C981464ET3
2227314	100 23	97,410 40	97,190 65	219 75	219 75	28 42	97,190 65	2 10611	United States	Fixed Income	Non-Government	CMO FANNIE MAE CONN AVE SECS SER 2016-C05 CL 2M-1 DUE 01-25-20	C30711XDG6
2227314	101 03	96,060 26	95,909 75	150 51	150 51	39 03	95,077 82	2 95611	United States	Fixed Income	Non-Government	CMO FANNIE MAE CONN AVE SECS SER 2016-C03 CL 2M1 VAR RT 10-25-2028	C30711XCS1
2227314	100 52	21,032 61	20,930 57	102 04	102 04	6 84	20,923 02	2 35611	United States	Fixed Income	Non-Government	CMO FANNIE MAE FLTG RT SER 2014-C01 M1 DUE 01-25-2024	C30711XAC8
2227314	100 04	96,545 57	96,631 56	(85 99)	(85 99)	23 53	96,510 92	1 75611	United States	Fixed Income	Non-Government	CMO FEDERAL HOME LN MTG CORP SR 2014-DN1CL M1 VAR RT 02-25-2024 I	C3137G0AK5
2208069	10 03	64,821,418 11	66,598,511 57	(1,777,093 46)	(1,777,093 46)	165,285 82	6,462,753 55	0	United States	Fixed Income	Funds - Other Fixe	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	C693390700
TOTAL FIXED INCOME		**207,525,322.54**	**212,858,551.19**	**(5,333,228.65)**	**(5,333,228.65)**	**502,697.19**							

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2210956	-		-	-		-	1 00	0	United States	Venture Capital anc	Partnerships	JOHNSTON INTERNATIONAL EQUITY FUND II, LP	C9951GZ993
2211808	317,781 00	317,781 00	129,291 00	188,490 00	188,490 00	-	307,830 94	0	United States	Venture Capital and	Partnerships	OAK HILL CAPITAL PARTNERS III (AIV I), L P	C000616417
2211808	1,430,764 00	1,430,764 00	1,177,270 00	253,494 00	253,494 00	-	1,711,476 65	0	United States	Venture Capital anc	Partnerships	OAK HILL CAPITAL PARTNERS III, LP	C000604835
2211808	619,263 00	619,263 00	1 00	619,262 00	619,262 00	-	1 00	0	United States	Venture Capital and	Partnerships	STRATEGIC PARTNERS OFFSHORE FUND IV, LP	C000603787
2266605	-	-	200,656 00	(200,656 00)	(200,656 00)	-	200,000 00	0	United States	Other Assets	Miscellaneous	ESC CB LEHMAN BROS SUB NTS D07/19/07 6 500 J17 ESCROW	C524ESCR36
2266605	0 06	11,500 00	132,526 12	(121,026 12)	(121,026 12)	-	200,000 00	0	United States	Other Assets	Miscellaneous	ESC MNLEHMAN BRTHRS HLDGRR 5 750% D051606M051713GL ESCROW	C525ESC1O7
2211806	-	-	-	-	-	-	1 00	0	United States	Hedge Fund	Hedge Equity	FIR TREE INTERNATIONAL VALUE FUND (NON-US) II, LP	C991HMS991
2211806	96 31	1,861 67	1,835 66	26 01	26 01	-	19 33	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STR CAP CL S SER 13 FD	C4555173HN
2211806	185 88	973 99	449 20	524 79	524 79	-	5 24	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STR CAP CL S SER 14 FD	C408992330
2211806	114 37	4,789 82	2,449 94	2,339 88	2,339 88	-	41 88	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STR CAP LTD CL S SER 70	C382992L94
2211806	118 73	3,716 16	2,539 17	1,176 99	1,176 99	-	31 30	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAP LTD CL S SER 18 FD	C477992614
2211806	100 05	35,335 14	20,868 56	14,466 58	14,466 58	-	353 19	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD - CLASS S SERIES 80	C456991FR4
2211806	208 63	8,251 39	3,208 18	5,043 21	5,043 21	-	39 55	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CL S SER 20 FD	C477992812
2211806	190 21	405 15	127 15	278 00	278 00	-	2 13	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CL S SER 28 FUND	C777990516
2211806	114 80	4,575 81	2,526 72	2,049 09	2,049 09		39 86	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CL S SER 32 FUND	C477992358
2211806	111 88	60,427 61	34,350 54	26,077 07	26,077 07	-	540 11	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CL S SER 60	C382992WS0
2211806	16 30	478 13	1,751 05	(1,272 92)	(1,272 92)	-	29 34	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CL S SER 65	C45699Q129
2211806	169 51	407,129 54	213,938 68	193,190 86	193,190 86	-	2,401 76	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CLASS A SER 1	C382992A28
2211806	99 80	1,452 06	768 28	683 78	683 78	-	14 55	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CLASS S SER 101	C5K6999X69
2211806	108 00	4,533 96	2,547 78	1,986 18	1,986 18	-	41 98	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CLASS S SER 90	C382992K87
2211806	100 38	2,318 84	1,222 21	1,096 63	1,096 63	-	23 10	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPITAL LTD CLASS S SER 95	C1U7999C14
2211806	16 82	228 44	796 20	(567 76)	(567 76)	-	13 58	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPTIAL LTD CL S SER 75 FUND	C456991DP0
2211806	97 50	2,942 41	1,781 58	1,160 83	1,160 83		30 18	0	International Reg	Hedge Fund	Hedge Event Drive	CF KING STREET CAPTIAL LTD CL S SER 85 FUND	C382992DD4
2227314	100 18	170,309 23	170,000 00	309 23	309 23	170 95	170,000 00	1 44806	Canada	Cash and Cash Equi	Bank Deposits	BANK NOVA SCOTIA HOUSTON TEX INSTL CTF DEP 09-07 2016 VAR RT DUE 0	C06417GPD4
2227314	100 14	200,282 00	200,000 00	282 00	282 00	575 67	200,000 00	1 31167	Canada	Cash and Cash Equi	Bank Deposits	CANADIAN IMPERIAL BK COMM N Y BRH INSTL CTF DEP 10-13 2016 VAR RT 1	C13606A4S4
2227314	100 12	300,372 60	300,000 00	372 60	372 60	292 07	300,000 00	1 40194	Japan	Cash and Cash Equi	Bank Deposits	MIZUHO BK LTD NEW YORK BRH INSTL CTF DEPDTD 09-08 2016 VAR RT DUE	C60689DH89
2227314	100 13	280,364 28	280,000 00	364 28	364 28	265 22	280,000 00	1 42083	Netherlands	Cash and Cash Equi	Bank Deposits	COOPERATIEVE CENTRALE RAIFFEISEN-BOERENLDTD 09-08-2016 VAR RT DUE	C21684BR89
2227314	100 10	330,337 46	330,000 00	337 46	337 46	1,739 10	330,000 00	1 53	United States	Cash and Cash Equi	Bank Deposits	BANK TOKYO MITSUBISHI UFJ LTD N Y BRH INDTD 08-30-2016 1 53% C/D 08	C06538M7L7
2227314	100 11	150,162 16	150,000 00	162 16	162 16	684 00	150,000 00	1 52	United States	Cash and Cash Equi	Bank Deposits	BNP PARIBAS N Y BRH INSTL CTF DEP INSTL DTD 09-15-2016 1 52% 09-13-2	C05582WDW1
2227314	100 12	160,185 72	160,000 00	185 72	185 72	666 00	160,000 00	1 35	United States	Cash and Cash Equi	Bank Deposits	NORDEA BK FINLAND PLC N Y CTF DEP 09-12-2016 1 35% 09-08-2017	C65558LSW3
2227314	100 07	330,243 22	330,000 00	243 22	243 22	1,008 33	330,000 00	1 25	United States	Cash and Cash Equi	Bank Deposits	SUMITOMO MITSUI BKG CORP N Y BRH INSTL CDTD 10-05-2016 1 25% 04-05	C86563YFY4
2227314	99 51	149,257 50	148,675 00	582 50	582 50	-	150,000 00	0	United States	Cash and Cash Equi	Commercial Paper	TOYOTA MTR CR CORP DISC COML PAPER 3/A3 YRS 1&2 CPN 06-13-2017	C89233GTD8
2227314	99 99	599,940 00	599,797 50	142 50	142 50	-	600,000 00	0	United States	Cash and Cash Equi	Short Term Bills ar	UNITED STATES TREAS BILLS 01-12-2017 DTD07/14/2016	C912796KC2
2227314	99 98	499,908 00	499,816 83	91 17	91 17	-	500,000 00	0	United States	Cash and Cash Equi	Short Term Bills ar	UNITED STATES TREAS BILLS 01-19 2017 DTD07/21/2016	C912796KD0
2227314	99 85	898,647 30	897,838 75	808 55	808 55	-	900,000 00	0	United States	Cash and Cash Equi	Short Term Bills ar	UNITED STATES TREAS BILLS 04-13-2017 TREASURY BILL	C912796KS7
TOTAL OTHER ASSETS		**6,988,737.59**	**5,997,033 10**	**991,704 49**	**991,704.49**								
2227314	1 00	518,934 36	518,934 36	-	-	818 15	518,934 36	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2211806	1 00	86 56	86 56	-	-	-	86 56	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2211805	1 00	1,320 12	1,320 12	-	-	0 86	1,320 12	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2210956	1 00	-	-	-	-	7 38	-	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2205171	1 00	4,504,329 58	4,504,329 58	-	-	3,465 35	4,504,329 58	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2208069	1 00	(15,715 98)	(15,715 98)	-	-	-	(15,715 98)	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2266605	1 00	408,377 21	408,377 21		-	266 64	408,377 21	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2275692	1 00	60,904 64	60,904 64	-	-	50 16	60,904 64	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2203245	1 00	21,890 26	21,890 26	-	-	16 88	21,890 26	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2266604	1 00	105,936 91	105,936 91	-	-	60 21	105,936 91	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2262066	1 00	317 63	317 63	-	-	0 24	317 63	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2215149	1 00	-	-	-	-	251 69	-	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2211808	1 00	29,017 18	29,017 18	-		5 95	29,017 18	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2213582	1 00	10,460 98	10,460 98	-	-	195 51	10,460 98	0	GROUPING COUN	Cash and Cash Equi	Funds - Short Tern	COM SHORT TERM INVT FD	C000996AA6
2213586	1 00	(0 04)	(0 04)	-	-	-	-	0	GROUPING COUN	Cash and Cash Equi	Currency	United States dollar	
TOTAL CASH & CASH EQUI		**5,645,859.41**	**5,645,859 41**			**5,139.02**							
2215149	-	(108,652 04)	(108,652 04)	-	-		-	0	GROUPING COUN	Adjustments To Cas	Pending trade pur	United States dollar	

2262066		(6,779 19)	(6,779 19)	-	-	-	-	0 GROUPING COUN Adjustments To Cas Pending trade pur United States dollar
2208069	-	(165,285 82)	(165,285 82)	-	-	-	-	0 GROUPING COUN Adjustments To Cas Pending trade pur United States dollar
2211806	-	(1 20)	(1 20)	-	-	-	-	0 GROUPING COUN Adjustments To Cas Pending trade pur United States dollar
2211806	-	1 20	1 20	-	-		-	0 GROUPING COUN Adjustments To Cas Other Receivables United States dollar
TOTAL UNSETTLED TRADE		**(280,717.05)**	**(280,717.05)**	-				
		26,246 76	**26,246 76**					CASH HELD AT BANKS
		233,223 00	**233,223 00**					CASH IN TRANSIT - FIR TREE LP
GRAND TOTAL		285,707,228 86	284,900,315 74	806,913 12	806,913 12	731,847 99		

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