EXTENDED TO NOVEMBER 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation
CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

A Employer identification number
72-6020106

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P O BOX 52005

B Telephone number
504 525 6101

City or town, state or province, country, and ZIP or foreign postal code
NEW ORLEANS, LA 70152

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 7,126,444.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	477,128.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	120,960.	120,960.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	118,317.			
	b Gross sales price for all assets on line 6a 4,070,461.				
	7 Capital gain net income (from Part IV, line 2)		118,317.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	1,219.	1,219.		STATEMENT 2
	12 Total Add lines 1 through 11	717,624.	240,496.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	5,985.	5,985.		0.
	c Other professional fees STMT 4	83,462.	83,462.		0.
	17 Interest				
	18 Taxes STMT 5	12,282.	12,282.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings			JUN 0 6 2017	
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses** Add lines 13 through 23	101,729.	101,729.		0.
	25 Contributions, gifts, grants paid	355,500.			355,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	457,229.	101,729.		355,500.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	260,395.			
	b **Net investment income** (if negative, enter -0-)		138,767.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,414.	8,970.	8,970.
	2 Savings and temporary cash investments	750,207.	324,109.	324,109.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	8,120.	10,560.	10,560.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	4,480,999.	5,019,442.	5,821,810.
	c Investments - corporate bonds STMT 8	823,374.	963,428.	960,995.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,066,114.	6,326,509.	7,126,444.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	719,628.	719,628.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5,346,486.	5,606,881.	
	30 **Total net assets or fund balances**	6,066,114.	6,326,509.	
	31 **Total liabilities and net assets/fund balances**	6,066,114.	6,326,509.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,066,114.
2	Enter amount from Part I, line 27a	2	260,395.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	6,326,509.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,326,509.

Part IV | Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	4,070,461.		3,952,144.	118,317.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				118,317.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 118,317.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } **3** N/A

Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	284,000.	6,431,076.	.044161
2014	261,000.	6,161,501.	.042360
2013	253,000.	5,569,140.	.045429
2012	229,000.	4,735,094.	.048362
2011	220,000.	4,579,301.	.048042

2	Total of line 1, column (d)	2	.228354
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045671
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	6,654,251.
5	Multiply line 4 by line 3	5	303,906.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,388.
7	Add lines 5 and 6	7	305,294.
8	Enter qualifying distributions from Part XII, line 4	8	355,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	1,388.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,388.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0-		5	1,388.
6	Credits/Payments.			
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a 10,560.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	10,560.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	9,172.
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ 9,172. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ LA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JAMES CAHN Telephone no. ▶ 504-525-2028

Located at ▶ 901 TCHOUPITOULAS ST, NEW ORLEANS, LA ZIP+4 ▶ 70130

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ADELE CAHN 901 TCHOUPITOULAS STREET NEW ORLEANS, LA 70130	DIRECTOR 0.00	0.	0.	0.
JAMES L. CAHN 901 TCHOUPITOULAS STREET NEW ORLEANS, LA 70130	DIRECTOR 0.00	0.	0.	0.
RICHARD M. CAHN 901 TCHOUPITOULAS STREET NEW ORLEANS, LA 70130	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,135,695.
b	Average of monthly cash balances	1b	619,890.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,755,585.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,755,585.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	101,334.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,654,251.
6	**Minimum investment return** Enter 5% of line 5	6	332,713.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	332,713.
2a	Tax on investment income for 2016 from Part VI, line 5 2a 1,388.		
b	Income tax for 2016. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	1,388.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	331,325.
4	*Recoveries of amounts treated as qualifying distributions*	4	0.
5	Add lines 3 and 4	5	331,325.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	331,325.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, *contributions, gifts, etc. - total from Part I, column (d), line 26*	1a	355,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	355,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,388.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	354,112.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				331,325.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			148,268.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 355,500.				
a Applied to 2015, but not more than line 2a			148,268.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				207,232.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				124,093.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AEIF			2016 FUND DRIVE	3,000.
ANTI DEFAMATION LEAGUE			2016 FUND DRIVE	5,000.
AVODAH			2016 FUND DRIVE	3,000.
CAMERA			2016 FUND DRIVE	2,000.
CASA NEW ORLEANS			2016 FUND DRIVE	3,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	355,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	120,960.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,219.	
8 Gain or (loss) from sales of assets other than inventory			18	118,317.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		240,496.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 240,496.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/25/17 Title: DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CLAUDIA H. LEVY, CPA	Claudia H. Levy CPA	05/22/17		P00244065

Firm's name ▶ CARRIGEE MOORE LEVY & FLYNN LLP — Firm's EIN ▶ 72-1404739

Firm's address ▶ 3300 WEST ESPLANADE AVE, SUITE 213 METAIRIE, LA 70002-7406 — Phone no. (504)833-3106

Form 990-PF (2016)

623622 11-23-16

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NB 541-21122		VARIOUS	VARIOUS
b	NB 541-21122		VARIOUS	VARIOUS
c	NB 541-21122 WASH SALE DISALLOWED		VARIOUS	VARIOUS
d	NB 541-27337		VARIOUS	VARIOUS
e	NB 541-27337		VARIOUS	VARIOUS
f	NB 541-18483		VARIOUS	VARIOUS
g	NB 541-18483		VARIOUS	VARIOUS
h	NB 541-28365 CAPITAL GAIN DISTRIBUTIONS		VARIOUS	VARIOUS
i	NB 541-28365		VARIOUS	VARIOUS
j	NB 541-28365		VARIOUS	VARIOUS
k	NB 541-29413		VARIOUS	VARIOUS
l	NB 541-29413		VARIOUS	VARIOUS
m	NB 541-32228		VARIOUS	VARIOUS
n	NB 541-32228 WASH SALE DISALLOWED		VARIOUS	VARIOUS
o	NB 541-32228		VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	406,642.		442,472.	<35,830.>
b	457,438.		440,853.	16,585.
c	1,985.			1,985.
d	401,782.		306,862.	94,920.
e	211,740.		207,767.	3,973.
f	268,972.		263,146.	5,826.
g	65,337.		66,512.	<1,175.>
h	1,370.			1,370.
i	316,592.		307,556.	9,036.
j	29,924.		33,937.	<4,013.>
k	247,188.		260,000.	<12,812.>
l	3,174.		3,075.	99.
m	50,776.		62,578.	<11,802.>
n	49.			49.
o	47,391.		48,830.	<1,439.>

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<35,830.>
b				16,585.
c				1,985.
d				94,920.
e				3,973.
f				5,826.
g				<1,175.>
h				1,370.
i				9,036.
j				<4,013.>
k				<12,812.>
l				99.
m				<11,802.>
n				49.
o				<1,439.>

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

623591
04-01-16

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NB 541-32228 WASH SALE DISALLOWED		VARIOUS	VARIOUS
b	CHARLES SCHWAB 9926-0566		VARIOUS	VARIOUS
c	CHARLES SCHWAB 9926-0566 WASH SALE DISALLOWED		VARIOUS	VARIOUS
d	CHARLES SCHWAB 9926-0566		VARIOUS	VARIOUS
e	CHARLES SCHWAB 9926-0566 WASH SALE DISALLOWED		VARIOUS	VARIOUS
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	227.			227.
b	901,436.		802,731.	98,705.
c	3,165.			3,165.
d	650,456.		705,825.	<55,369.>
e	4,817.			4,817.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				227.
b				98,705.
c				3,165.
d				<55,369.>
e				4,817.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	118,317.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

623591
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHABAD-LUBAVITCH OF LOUISIANA			2016 FUND DRIVE	2,000.
COMMUNITY DAY SCHOOL			2016 FUND DRIVE	5,000.
GOLDRING-WOLDENBERG INST. OF SOUTHERN JEWISH LIFE			2016 FUND DRIVE	3,000.
HILLEL FOUNDATION OF NEW ORLEANS			2016 FUND DRIVE	7,000.
JACOBS CAMP			2016 FUND DRIVE	3,000.
JAZZFEST FOUNDATION			2016 FUND DRIVE	1,000.
JEWISH CHILDREN'S REGIONAL SERVICE			2016 FUND DRIVE	27,500.
JEWISH ENDOWMENT FOUNDATION			2016 FUND DRIVE	10,000.
JEWISH FAMILY SERVICE			2016 FUND DRIVE	25,000.
JEWISH FEDERATION OF GREATER NEW ORLEANS			2016 FUND DRIVE	160,000.
Total from continuation sheets				339,500.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH INSTITUTE FOR NATIONAL SECURITY			2016 FUND DRIVE	1,000.
KIDSMART			2016 FUND DRIVE	3,000.
LA ENDOWMENT FOR THE HUMANITIES			2016 FUND DRIVE	1,000.
LIGHTHOUSE FOR THE BLIND			2016 FUND DRIVE	3,000.
LOUISIANA SPCA			2016 FUND DRIVE	5,000.
MAKE MUSIC NOLA			2016 FUND DRIVE	2,000.
NATIONAL COUNCIL OF JEWISH WOMEN			2016 FUND DRIVE	5,000.
NEW ORLEANS CENTER FOR CREATIVE ARTS			2016 FUND DRIVE	3,000.
NEW ORLEANS MUSICIANS CLINIC (LSU HEALTH SCIENCES CENTER)			2016 FUND DRIVE	5,000.
NEW ORLEANS MUSUEM OF ART			2016 FUND DRIVE	1,000.
Total from continuation sheets				

623631
04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD			2016 FUND DRIVE	5,000.
PRESERVATION RESOURCE CENTER			2016 FUND DRIVE	1,000.
ST BERNARD PROJECT			2016 FUND DRIVE	1,000.
SHAKESPEARE FESTIVAL AT TULANE			2016 FUND DRIVE	10,000.
SOUTHERN INSTITUTE OF EDUCATION AND RESEARCH			2016 FUND DRIVE	5,000.
ST. GEORGE'S EPISCOPAL SCHOOL			2015 FUND DRIVE	5,000.
TEACHING RESPONSIBLE EARTH EDUCATION			2016 FUND DRIVE	3,000.
THE HISTORIC NEW ORLEANS COLLECTION			2016 FUND DRIVE	2,000.
TULANE JEWISH STUDIES			2016 FUND DRIVE	3,000.
UNITED WAY OF NEW ORLEANS			2016 FUND DRIVE	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PRESERVATION HALL FOUNDATION			2016 FUND DRIVE	12,000.
VIEUX CARRE COMMISSION FOUNDATION			2016 FUND DRIVE	2,000.
WWOZ			2016 FUND DRIVE	3,000.
YAYA ARTS CENTER			2016 FUND DRIVE	5,000.
Total from continuation sheets				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990 .

OMB No 1545-0047

2016

Name of the organization
CAHN FAMILY FOUNDATION
C/O MR. JAMES CAHN

Employer identification number
72-6020106

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
CAHN FAMILY FOUNDATION C/O MR. JAMES CAHN	72-6020106

Part I **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DIXIE MILL 901 TCHOUPITOULAS STREET NEW ORLEANS, LA 701303819	$ 477,128.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
CAHN FAMILY FOUNDATION C/O MR. JAMES CAHN	72-6020106

Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	115 SHARES OF ALPHABET INC (GOOG) CUSIP: 02079K107	$ 80,100.	06/22/16
1	130 SHARES OF AMAZON COM INC (AMZN) CUSIP: 023135106	$ 92,649.	06/22/16
1	1,425 SHARES OF TIME WARNER INC (TWX) CUSIP: 887317303	$ 103,712.	06/22/16
1	1,575 SHARES OF WALT DISNEY CO (DIS) CUSIP: 254687106	$ 156,587.	06/22/16
1	800 SHARES OF NIKE INC (NKE) CUSIP: 654106103	$ 44,080.	06/22/16
		$	

Name of organization: CAHN FAMILY FOUNDATION C/O MR. JAMES CAHN

Employer identification number: 72-6020106

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB DIVIDENDS	29,443.	0.	29,443.	29,443.	
MISC INCOME	34.	0.	34.	34.	
NEUBERGER BERMAN DIVIDEND	71,947.	0.	71,947.	71,947.	
NEUBERGER BERMAN INTEREST	19,536.	0.	19,536.	19,536.	
TO PART I, LINE 4	120,960.	0.	120,960.	120,960.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NON DIVIDEND DISTRIBUTIONS	1,219.	1,219.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,219.	1,219.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND PROFESSIONAL	5,985.	5,985.		0.
TO FORM 990-PF, PG 1, LN 16B	5,985.	5,985.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEE	59,847.	59,847.		0.
INVESTMENT EXPENSES	23,615.	23,615.		0.
TO FORM 990-PF, PG 1, LN 16C	83,462.	83,462.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	10,554.	10,554.		0.
FOREIGN TAX	1,728.	1,728.		0.
TO FORM 990-PF, PG 1, LN 18	12,282.	12,282.		0.

FOOTNOTES STATEMENT 6

CAHN FAMILY FOUNDATION HEREBY ELECTS, PURSUANT TO IRS SEC.171(C), TO AMORTIZE BOND PREMIUM DURING THE TAXABLE YEAR AND FOR ALL SUBSEQUENT TAXABLE YEARS. THE FIRST TAXABLE YEAR TO WHICH THIS ELECTION APPLIES IS THE TAXPAYER'S CALENDAR YEAR ENDING DECEMBER 31, 2016.

BONDS ELIGIBLE FOR AMORTIZATION:
NEXTERA 2.3% DUE 4/1/19
BOARDWALK PIPELINES 5.75% DUE 9/15/19
PROCTOR AND GAMBLE CO 1.85% DUE 2/2/21
DISNEY WALT CO MTNS BE 2.3% DUE 2/12/21
APPLE INC 2.25% DUE 2/23/21
SIMON PROPERTY GROUP LP 2.5% DUE 1/8/16
MICROSOFT CORP 2.65% DUE 11/3/22
WELLS FARGO CO 3.45% DUE 2/13/23
LOWES COS INC 3.875% DUE 9/15/23
JOHNSON & JOHNSON 3.375% DUE 12/5/23
VERIZON COMMUNICATIONS INC 4.15% DUE 3/15/24
FIDELITY NATL INFORMATION SVCS 3.875% DUE 6/5/24
HCA INC 6.5% DUE 5/5/20
LIMITED BRANDS INC 6.625% DUE 4/1/21

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PREFERRED STOCK	0.	0.
CORPORATE STOCK	5,019,442.	5,821,810.
EQUITY MUTUAL FUNDS	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,019,442.	5,821,810.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	963,428.	960,995.
TOTAL TO FORM 990-PF, PART II, LINE 10C	963,428.	960,995.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 9
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
DIXIE MILL	901 TCHOUPITOULAS ST NEW ORLEANS, LA 70130

NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number: (212) 476-5505

Account No: 541-21122
Account Name. CAHN FAMILY FOUNDATION (KSE)
Recipient's Identification Number: XX-XXX0106
Account Executive No. . 887
ORIGINAL: 12/31/16

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option	Cost or Other Basis	REALIZED GAIN OR (LOSS)
05/18/16 H	04/01/16	***AGNICO EAGLE MINES LTD	AEM 008474108	182.00000	8,652.04	6,528.74	2,123 30
02/10/16 H	07/15/15	ASSURANT INC	AIZ 04621X108	50.00000	3,399.92	3,649.10	(249.18)
02/11/16 H	07/15/15	ASSURANT INC	AIZ 04621X108	50.00000	3,355.80	3,649.10	(293 30)
02/10/16 H	07/16/15	ASSURANT INC	AIZ 04621X108	75 00000	5,099 89	5,508.89	(409 00)
02/11/16 H	07/14/15	ASSURANT INC	AIZ 04621X108	75 00000	5,033.70	5,385 74	(352 04)
02/11/16 H	07/13/15	ASSURANT INC	AIZ 04621X108	165.00000	11,074 15	11,760 16	(686 01)
02/22/16 H	12/24/15	AT&T INC	T 00206R102	133 00000	4,884.59	4,611 26	273 33
01/15/16 H	11/18/15	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	148.00000	3,720 34	4,206.96	(486 62)
01/15/16 H	11/17/15	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	201.00000	5,052.62	5,848 07	(795 45)
05/03/16 H	08/04/15	JACOBS ENGINEERING GROUP INC	JEC 469814107	100.00000	4,491.52	4,246 50	245.02
05/03/16 H	08/03/15	JACOBS ENGINEERING GROUP INC	JEC 469814107	174 00000	7,815 25	7,381.48	433 77
02/11/16 H	08/12/15	MOTOROLA SOLUTIONS INC	MSI 620076307	61 00000	3,673 98	3,886 68	(212 70)
02/10/16 H	08/12/15	MOTOROLA SOLUTIONS INC	MSI 620076307	103 00000	6,333.33	6,562.76	(229 43)

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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Recipient's Identification Number XX-XXX0106
Account Executive No. 887
ORIGINAL: *12/31/16*

NEUBERGER BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
01/04/16	H	01/22/15	MINERALS TECHNOLOGIES INC	MTX 603158106	10 00000	435.88	612.94	(177 06)
01/06/16	H	01/22/15	MINERALS TECHNOLOGIES INC	MTX 603158106	35.00000	1,457.38	2,145 29	(687 91)
01/07/16	H	08/26/15	MINERALS TECHNOLOGIES INC	MTX 603158106	40.00000	1,604 12	1,958 28	(354 16)
01/07/16	H	01/21/15	MINERALS TECHNOLOGIES INC	MTX 603158106	40.00000	1,604.13	2,424 68	(820 55)
01/05/16	H	01/22/15	MINERALS TECHNOLOGIES INC	MTX 603158106	50 00000	2,164.08	3,064 69	(900 61)
01/07/16	H	01/21/15	MINERALS TECHNOLOGIES INC	MTX 603158106	60.00000	2,406 19	3,637 01	(1,230 82)
02/22/16	H	07/31/15	PITNEY BOWES INC	PBI 724479100	275 00000	4,859 22	5,723 99	(864 77)
01/19/16	H	03/19/15	TYSON FOODS INC-CL A	TSN 902494103	41 00000	2,039 03	1,638 08	400 95
01/20/16	H	03/18/15	TYSON FOODS INC-CL A	TSN 902494103	99.00000	4,861 56	3,920 18	941 38
01/19/16	H	03/18/15	TYSON FOODS INC-CL A	TSN 902494103	476.00000	23,672.61	18,848 56	4,824 05
02/10/16	H	12/30/15	VERIFONE SYSTEMS INC	PAY 92342Y109	56 00000	1,167 34	1,610.39	(443 05)
03/07/16	H	12/30/15	VERIFONE SYSTEMS INC	PAY 92342Y109	265.00000	6,868 20	7,620.58	(752 38)

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25TH FLOOR
NEW YORK, NY 10104

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Account Name. CAHN FAMILY FOUNDATION (KSE)
Recipient's Identification Number XX-XXX0106
Account Executive No. 887
ORIGINAL: *12/31/16*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
02/10/16 H	09/04/15	VERIFONE SYSTEMS INC	PAY 92342Y109	326 00000	6,795 58	9,937.49	(3,141.91)
		26 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)			**132,522.45**	**136,367.60**	**(3,845.15)**

2016 Supplemental Gain or (Loss) Information

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
02/16/16 H	02/27/14	ALLETE INC	ALE 018522300	25 00000	1,310 67	1,274 11	36 56
02/18/16 H	02/27/14	ALLETE INC	ALE 018522300	98.00000	5,220 71	4,994.54	226.17
02/17/16 H	02/27/14	ALLETE INC	ALE 018522300	144 00000	7,490.36	7,338 90	151.46
01/15/16 H	09/16/14	***ALLERGAN PLC	AGN G0177J108	36.00000	10,469.71	8,593.00	1,876 71
02/26/16 H	11/08/10	BOEING CO	BA 097023105	150.00000	17,852.04	10,531.88	7,320.16
02/26/16 H	01/10/13	BRISTOL MYERS SQUIBB CO	BMY 110122108	375 00000	23,486.18	12,708.78	10,777 40
02/22/16 H	11/14/14	CABOT OIL & GAS CORP	COG 127097103	105.00000	2,265.66	3,518 94 T	(1,253 28)
01/27/16 H	11/17/14	CABOT OIL & GAS CORP	COG 127097103	200 00000	3,902.39	6,755.54 T	(2,853.15)

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NEUBERGER BERMAN

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Account Name. CAHN FAMILY FOUNDATION (KSE)
Recipient's Identification Number: XX-XXX0106

Account Executive No. 887

ORIGINAL: 12/31/16

2016 Supplemental Gain or (Loss) Information (continued)

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Option	Cost or Other Basis		REALIZED GAIN OR (LOSS)
02/22/16	H	01/19/12	CABOT OIL & GAS CORP	COG 127097103	302.00000	6,516.47	4,766 50	T	1,749.97
01/27/16	H	11/14/14	CABOT OIL & GAS CORP	COG 127097103	700.00000	13,658 35	23,459.59	T	(9,801.24)
02/26/16	H	12/19/12	WALT DISNEY CO	DIS 254687106	150 00000	14,353.37	7,544 76	T	6,808 61
02/26/16	H	12/18/12	WALT DISNEY CO	DIS 254687106	450 00000	43,060.09	22,274 10	T	20,785 99
02/10/16	H	01/02/15	EXELON CORPORATION	EXC 30161N101	68 00000	2,094 68	2,539 78		(445.10)
02/22/16	H	01/02/15	EXELON CORPORATION	EXC 30161N101	192 00000	6,072 09	7,171 14		(1,099 05)
02/26/16	H	11/22/13	EXTRA SPACE STORAGE INC	EXR 30225T102	225 00000	18,926 27	9,362 79	T	9,563 48
02/26/16	H	01/16/13	FISERV INC	FISV 337738108	145 00000	14,227 79	5,835 63		8,392.16
01/14/16	H	09/08/14	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	12.00000	326 83	479.30		(152.47)
01/15/16	H	09/04/14	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	51 00000	1,282 01	1,988 21		(706.20)
01/14/16	H	09/08/14	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	53 00000	1,443.50	2,116.93		(673.43)
01/14/16	H	09/04/14	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	119.00000	3,241 05	4,639 17		(1,398.12)
01/14/16	H	09/05/14	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	230 00000	6,264.24	9,046 87		(2,782 63)

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NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number (212) 476-5505

Account No: 541-21122
Account Name CAHN FAMILY FOUNDATION (KSE)
Recipient's Identification Number: XX-XXX0106
Account Executive No: 887
ORIGINAL: *12/31/16*

NEUBERGER BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
01/14/16 H	09/12/14	GNC HOLDINGS INC CLASS A SHARES	GNC 36191G107	275.00000	7,489.85	10,875 65	(3,385 80)
01/07/16 H	05/15/14	MINERALS TECHNOLOGIES INC	MTX 603158106	10 00000	401 03	612 64	(211 61)
01/06/16 H	05/15/14	MINERALS TECHNOLOGIES INC	MTX 603158106	40 00000	1,665.58	2,450.55	(784.97)
01/04/16 H	05/14/14	MINERALS TECHNOLOGIES INC	MTX 603158106	180.00000	7,845.93	11,235 33	(3,389.40)
02/22/16 H	04/30/13	PITNEY BOWES INC	PBI 724479100	28.00000	494.75	383 91	110 84
02/22/16 H	02/02/15	PITNEY BOWES INC	PBI 724479100	40.00000	706.80	876 24	(169.44)
		27 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)			**222,068.40**	**183,374.78**	**38,693.62**

FOOTNOTES

A - Position carried at Average Cost.
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement. JPMSLLC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose.
E - Adjusted for option exercise or assignment.

DISPOSAL METHODS

Blank - FIFO (First In First Out); **L** - LIFO (Last In First Out), **S** - Specific Match (Versus Purchase Method), **H** - High Cost Method; **C** - Low Cost Method; **X** - High Cost Long-Term

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE)

Account No NBJ-036521 Customer Service 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
AGNICO EAGLE MINES LTD COM ISIN #CA00847, AEM, 008474108										
Sale	118 000	04/01/16	07/07/16	6,527 62	4,232.92			2,294 70		
Sale	205 000	02/11/16	09/28/16	11,281.03	7,292.00			3,989 03		
Sale	26 000	04/01/16	09/28/16	1,430.76	932 68			498 08		
Subtotals				**19,239.41**	**12,457.60**					
AGRIUM INC COM NPV ISIN #CA0089161081 SE, AGU, 008916108										
Sale	110 000	06/07/16	09/28/16	10,005 38	10,577 56		572.18	-572.18		
Sale	95 000	06/07/16	12/09/16	10,313 37	9,308.41			1,004 96		
Subtotals				**20,318.75**	**19,885.97**		**572.18**			
ALLERGAN PLC. COM USD0 0001, AGN, G0177J108										
Sale	33 000	06/28/16	10/31/16	6,974 33	7,440.71			-466.38		
Sale	36 000	07/27/16	10/31/16	7,608.36	9,370 81			-1,762 45		
Subtotals				**14,582.69**	**16,811.52**					
AMERICAN ELEC PWR CO, AEP, 025537101										
Sale	111.000	02/03/16	09/28/16	7,237.06	7,038 53			198 53		
AT&T INC COM, T, 00206R102										
Sale	158 000	12/24/15	07/07/16	6,705 02	5,478.03			1,226 99		
Sale	109.000	12/15/15	09/28/16	4,437.31	3,676.85			760 46		
Sale	92.000	12/24/15	09/28/16	3,745 28	3,189.74			555 52		
Subtotals				**14,887.59**	**12,344.62**					

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***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.**

2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE) Account No NBJ-036521 Customer Service. 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
BLACK HILLS CORP, BKH, 092113109										
Sale	69 000	02/10/16	09/09/16	4,022.47	3,650 76			371 71		
Sale	74 000	02/10/16	09/12/16	4,288 90	3,915 31			373 59		
Sale	134.000	02/10/16	09/28/16	8,401 66	7,089 89			1,311 77		
Sale	33.000	02/10/16	10/17/16	1,936.52	1,748 02			190.50		
Sale	45.000	02/11/16	10/17/16	2,640 72	2,348 49			292 23		
Sale	120 000	02/11/16	10/18/16	7,050 08	6,262.63			787.45		
Sale	40 000	02/11/16	10/19/16	2,370 72	2,087 54			283 18		
Subtotals				**30,711.07**	**27,100.64**					
CENTENE CORP DEL, CNC, 15135B101										
Sale	104.000	07/22/16	09/28/16	7,174 84	7,718.58			-543.74		
Sale	121.000	05/26/16	10/31/16	7,558 22	7,375 54			182.68		
Sale	137 000	05/27/16	10/31/16	8,557.65	8,479.31			78.34		
Sale	11.000	07/22/16	10/31/16	687 11	816 39		129.28	-129 28		
Sale	113 000	07/28/16	10/31/16	7,058 50	7,740 19		681 69	-681.69		
Subtotals				**31,036.32**	**32,130.01**		**810.97**			
HARRIS CORP DEL, HRS, 413875105										
Sale	61.000	11/17/15	09/28/16	5,611 88	4,962 07			649 81		
Sale	100 000	11/16/15	10/13/16	8,992.69	8,024 55			968.14		
Sale	10.000	11/17/15	10/13/16	899.27	813.45			85 82		
Sale	55 000	11/16/15	10/14/16	4,950 83	4,413 50			537 33		
Sale	78 000	05/03/16	10/14/16	7,021 17	5,964 58			1,056 59		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE)

Account No. NBJ-036521 Customer Service. 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in bold type)

Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
HARRIS CORP DEL, HRS, 413875105										
Sale	45 000	05/03/16	10/17/16	4,031 81	3,441 11			590 70		
Subtotals				**31,507.65**	**27,619.26**					
L-3 COMMUNICATIONS HLDGS INC, LLL, 502424104										
Sale	82.000	10/28/15	06/08/16	11,996 77	10,143 54			1,853.23		
Sale	36 000	10/28/15	09/28/16	5,533 07	4,453 26			1,079 81		
Subtotals				**17,529.84**	**14,596.80**					
LEIDOS HLDGS INC COM, LDOS, 525327102										
Sale	17 000	02/12/16	09/28/16	746.45	706 92			39.53		
Sale	100 000	02/18/16	09/28/16	4,390 92	4,353 04			37 88		
Sale	69 000	03/10/16	09/28/16	3,029 74	3,213 21			-183 47		
Sale	134 000	07/11/16	09/28/16	5,883.84	6,370 32			-486 48		
Sale	82.000	02/11/16	11/22/16	4,050.41	3,349 18			701.23		
Sale	95 000	02/12/16	11/22/16	4,692 55	3,950 43			742 12		
Sale	171 000	02/11/16	12/07/16	8,938 93	6,984 27			1,954 66		
Subtotals				**31,732.84**	**28,927.37**					
MACYS INC COM, M, 55616P104										
Sale	184 000	08/26/16	09/28/16	6,620 21	7,222 35		602.14	-602 14		
Sale	154 000	08/26/16	11/14/16	6,652.50	6,044.79			607 71		
Sale	37 000	08/26/16	11/14/16	1,598.33	1,479 89			118.44		
Sale	212 000	08/26/16	12/30/16	7,619 96	8,321,41			-701.45		
Sale	147.000	08/26/16	12/30/16	5,283.65	5,728 07			-442 42		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE)

Account No. NBJ-036521 Customer Service: 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
MACYS INC COM, M, 55616P104										
Sale	171 000	09/14/16	12/30/16	6,146 29	6,101 33			44 96		
Subtotals				**33,920.94**	**34,895.84**		**602.14**			
MOSAIC CO NEW COM, MOS, 61945C103										
Sale	798 000	08/02/16	09/15/16	20,697.27	22,091.67			-1,394 40		
PFIZER INC, PFE, 717081103										
Sale	319 000	01/21/16	07/22/16	11,719 96	9,785 81			1,934 15		
Sale	51.000	01/21/16	11/03/16	1,527.27	1,564.50			-37.23		
Subtotals				**13,247.23**	**11,350.31**					
SPECTRA ENERGY CORP COM, SE, 847560109										
Sale	120 000	04/12/16	09/14/16	5,012 47	3,717.52			1,294.95		
Sale	131 000	04/12/16	09/28/16	5,604.08	4,058 29			1,545.79		
Sale	277.000	04/12/16	11/02/16	11,204.32	8,581 26			2,623 06		
Subtotals				**21,820.87**	**16,357.07**					
TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH, TEVA, 881624209										
Sale	172 000	04/06/16	10/31/16	7,254 92	9,739 22			-2,484.30		
Sale	69 000	06/21/16	10/31/16	2,910.40	3,589 10			-678 70		
Subtotals				**10,165.32**	**13,328.32**					
VERIFONE SYS INC COMISIN #US92342Y1091 S, PAY, 92342Y109										
Sale	51.000	12/30/15	06/10/16	1,020 18	1,466 60			-446.42		
Sale	263 000	01/21/16	06/10/16	5,260 94	6,083 14			-822.20		
Subtotals				**6,281.12**	**7,549.74**					

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2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE) Account No. NBJ-036521 Customer Service 212-476-9000
Recipient ID No **-***0106 Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TOTALS				324,915.97	304,485.27	0.00	1,985.29		0.00	
		Short-Term Realized Gain						32,865.63		
		Short-Term Realized Loss						-12,434.93		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE)

Account No. NBJ-036521 Customer Service: 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
ALLERGAN PLC. COM USD0 0001, AGN, G0177J108										
Sale	37.000	09/16/14	10/31/16	7,819 70	8,831.69			-1,011.99		
ALLETE INC COM NEW, ALE, 018522300										
Sale	20 000	02/27/14	09/09/16	1,191.51	995 00			196.51		
Sale	1 000	02/27/14	09/09/16	59 58	50 96			8 62		
Sale	139 000	02/27/14	09/12/16	8,274 55	6,915 25			1,359 30		
Sale	125 000	02/27/14	09/13/16	7,374 13	6,218 75			1,155 38		
Sale	118 000	02/27/14	09/14/16	6,926 45	5,870 50			1,055 95		
Subtotals				**23,826.22**	**20,050.46**					
APPLE INC, AAPL, 037833100										
Sale	238.000	01/28/13	09/28/16	27,129 07	15,303 09			11,825 98		
Sale	64 000	01/28/13	11/10/16	6,895 03	4,115 11			2,779 92		
Subtotals				**34,024.10**	**19,418.20**					
CABOT OIL & GAS CP COM, COG, 127097103										
Sale	185 000	01/19/12	09/28/16	4,680 43	2,919 87			1,760 56		
CISCO SYS INC COM, CSCO, 17275R102										
Sale	292 000	07/27/15	09/28/16	9,189 09	8,289 12			899 97		
EXELON CORP, EXC, 30161N101										
Sale	146 000	12/08/14	07/07/16	5,242.24	5,220 23			22 01		
Sale	15 000	01/02/15	07/07/16	538 59	560.25			-21.66		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FNDTN (KSE) Account No. NBJ-036521 Customer Service 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
EXELON CORP, EXC, 30161N101										
Sale	323 000	12/08/14	09/28/16	11,004.37	11,548 87			-544 50		
Subtotals				**16,785.20**	**17,329.35**					
PFIZER INC, PFE, 717081103										
Sale	840 000	08/03/10	11/03/16	25,155.10	13,667 84			11,487.26		
PITNEY BOWES INC, PBI, 724479100										
Sale	697.000	04/30/13	06/08/16	13,299 93	9,556.57			3,743.36		
Sale	420 000	04/30/13	06/14/16	7,564 70	5,758 62			1,806.08		
Sale	420 000	04/30/13	06/15/16	7,587.97	5,758 62			1,829.35		
Subtotals				**28,452.60**	**21,073.81**					
TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH, TEVA, 881624209										
Sale	106.000	12/31/14	09/28/16	5,174 82	6,086 44			-911.62		
Sale	369 000	12/31/14	10/31/16	15,564.34	21,187 68			-5,623 34		
Subtotals				**20,739.16**	**27,274.12**					
VERIFONE SYS INC COMISIN #US92342Y1091 S, PAY, 92342Y109										
Sale	695 000	12/30/13	06/10/16	13,902 47	17,779 97			-3,877 50		
TOTALS				**184,574.07**	**156,634.43**	**0.00**	**0.00**		**0.00**	
	Long-Term Realized Gain							**39,930.25**		
	Long-Term Realized Loss							**-11,990.61**		

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

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Cahn Family Foundation
FYE 12/31/2016
Charles Schwab 9926-0566
Realized Gain Loss

Total Known Realized Gain/(Loss) $/%		Total Known Short Term Gain/(Loss)	Total Known Long-Term	Total Known Proceeds	Total Known Cost Basis
	184042 82	($50,551 72)	$234,594.54	$1,551,891 66	$1,375,830.81
FMV Adjustment			($132 724 77)		
			$101 869 77		

Symbol	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term Realized	Long Term Realized Gain/Loss	Total Realized Gain/Loss	Wash Sale Disallowed	ST	LT
LULU	01/04/16	05/21/15	50	2 792 05	3,016 81	(224 76)		(224 76)		(224 76)	
MCD	01/06/16	07/16/15	50	5,915 95	4,889 28	1 026 67		1 026 67		1 026 67	
MCD	01/08/16	07/17/15	50	5,818 46	4,871 95	946 51		946 51		946 51	
GIS	01/08/16	02/26/15	75	4 156 23	4 012 72	143 51		143.51		143.51	
GIS	01/11/16	02/24/15	50	2 777 29	2 674 48	102 81		102 81		102 81	
GIS	01/11/16	02/26/15	25	1,388 64	1 337 57	51 07		51 07		51 07	
GIS	01/12/16	02/24/15	75	4 195 13	4 011 73	183 40		183 40		183 40	
GIS	01/13/16	02/14/15	25	1 382 17	1 337 24	44 93		44 93		44 93	
GIS	01/13/16	03/03/15	50	2 764 33	2 664 99	99 34		99 34		99.34	
GIS	01/14/16	03/04/15	100	5,541 95	5,322 96	218 99		218 99		218 99	
GIS	02/18/15	03/03/15	50	2,770 98	2 664 98	106 00		106 00		106 00	
GIS	02/20/15	03/13/15	50	2,770 97	2 618 76	152 21		152 21		152 21	
GIS	02/25/15	03/10/15	75	4 156 47	3,913 55	242 92		242 92		242 92	
GIS	02/27/15	03/06/15	275	15 010 61	14,341 13	669 48		669 48		669 48	
GIS	03/02/15	03/10/15	25	1,364 61	1,304 51	60 10		60 10		60 10	
GIS	03/02/15	03/18/15	100	5,458 39	5 170 88	287 51		287.51		287 51	
GIS	03/12/15	05/04/15	25	2,960 30	2 974 86	(14 56)		(14 56)		(14 56)	
BUD	01/20/16	08/21/15	75	8 581 43	8,205 63	375 80		375 80		375 80	
MCD	01/21/16	07/23/15	50	5,895 00	4 861 96	1 033 04		1 033 04		1 033 04	
MCD	01/25/16	07/23/15	25	3,028 49	2 430 98	597 51		597 51		597 51	
WMT	01/25/16	09/09/15	25	1 587 39	1,639 96	(52 57)		(52 57)		(52 57)	
WMT	01/25/16	10/13/15	75	4,762 15	5 004 66	(242 51)		(242.51)		(242 51)	
WMT	01/27/16	09/09/15	50	3 209 00	3,279 91	(70 91)		(70 91)		(70 91)	
CAKE	02/01/16	10/16/15	75	3 672 08	3,959 88	(287 80)		(287 80)		(287 80)	
WMT	02/03/16	09/09/15	25	1,649 42	1 639 96	9 46		9 46		9 46	
WMT	02/03/16	10/01/15	25	1,649 42	1 611 90	37 52		37 52		37 52	
WMT	02/05/16	09/10/16	25	1 675 01	1,604 42	70 59		70 59		70 59	
WMT	02/05/16	10/01/15	25	1 675 01	1 611 89	63 12		63 12		63 12	
CAKE	02/22/16	10/21/15	100	4 997 79	5 220 27	(222 48)		(222 48)		(222 48)	
CAKE	02/23/16	10/21/15	25	1 256 42	1,305 07	(48 65)		(48 65)		(48 65)	
RL	03/11/16	03/11/15	25	2,450 31	3 223 41	(773 10)		(773 10)		(773 10)	
RL	03/18/16	04/03/15	25	2 445 48	3 198 42	(752 94)		(752 94)		(752 94)	
RL	03/18/16	07/27/15	25	2 445 46	3 145 71	(700 25)		(700 25)		(700 25)	
RL	03/21/16	08/05/15	25	2,483 92	3 060 86	(576 94)		(576 94)		(576 94)	
GWW	03/21/16	08/19/15	25	2,483 91	2 905 63	(421 72)		(421 72)		(421 72)	
AAPL	03/21/16	05/06/15	25	2 660 97	3 114 74	(453 77)		(453 77)		(453 77)	
TIF	03/22/16	11/06/15	50	3 640 97	4 067 47	(426 50)		(426 50)		(426 50)	
AAPL	04/04/16	08/04/15	50	5,556 65	5 720 42	(163 77)		(163 77)	[illegible]	0 00	
MCD	04/05/16	06/03/15	25	3 179 98	2 417 58	762 40		762 40		762 40	
MCD	04/07/16	06/03/15	25	3 191 67	2 417 58	774 09		774 09		774 09	
AAPL	04/08/16	04/30/15	25	2 703 52	3,232 53	(529 01)		(529 01)		(529 01)	
AAPL	04/11/16	04/30/15	25	2,730 87	3 232 53	(501 66)		(501 66)		(501 66)	
AAPL	04/12/16	08/12/15	25	2,741 29	2,763 72	(22 43)		(22 43)	(22 43)	0 00	
TIF	04/26/16	11/10/15	50	3 623 06	3 977 97	(354 91)		(354 91)		(354 91)	
AMCX	05/06/16	10/13/15	50	3,412 77	3 718 11	(305 34)		(305 34)		(305 34)	
FB	05/13/16	04/15/16	25	2,991 51	2 750 91	240 60		240 60		240 60	
TIF	05/18/16	10/22/15	75	4 795 98	5,921.37	(1 125 39)		(1 125 39)		(1 125.39)	
TIF	05/18/16	11/10/15	25	1 598 65	1 988 98	(390 33)		(390 33)		(390 33)	
TIF	05/19/16	10/22/15	100	6,357 90	7 895 17	(1 537 27)		(1,537 27)		(1 537 27)	
TIF	05/19/16	10/23/15	100	6,357 89	7,866 35	(1 508 46)		(1 508 46)		(1 508 46)	
CAKE	05/19/16	10/29/15	75	3 611 70	3 720 90	(109 20)		(109 20)		(109 20)	
TIF	05/20/16	11/19/15	50	3,210 80	3 730 84	(520 04)		(520 04)		(520 04)	
TIF	05/20/16	11/11/15	50	3 210 80	3,888 45	(677 65)		(677 65)		(677 65)	
TIF	05/20/16	01/13/16	50	3,210 79	3 355 95	(145 16)		(145 16)		(145 16)	
TIF	05/20/16	01/08/16	50	3 210 80	3 473 00	(262 20)		(262 20)		(262 20)	
TWTR	05/25/16	11/12/15	100	1,439 46	2 640 28	(1 200 82)		(1 200 82)		(1 200 82)	
TWTR	05/25/16	11/13/15	75	1,079 61	1 916 42	(836 81)		(836 81)		(836 81)	
TWTR	05/25/16	11/10/15	225	3 238 80	6 046 74	(2,807 94)		(2 807 94)	[illegible]	(1 559 97)	
TWTR	05/25/16	11/11/15	425	6 117 74	11 265 97	(5,148 23)		(5 148 23)		(5 148 23)	
TWTR	05/25/16	12/08/15	50	719 74	1,191 48	(471 74)		(471 74)		(471 74)	
TWTR	05/25/16	12/15/15	100	1,439 45	2 407 54	(968 09)		(968 09)		(968 09)	
TWTR	05/25/16	11/20/15	225	3 238 80	5,889 08	(2,650 28)		(2 650 28)		(2 650 28)	
TWTR	05/25/16	12/02/15	175	2 519 08	4 460 92	(1 941 84)		(1 941 84)		(1 941 84)	
TWTR	05/26/16	01/11/16	225	3,218 81	4,399 49	(1 180 68)		(1 180 68)		(1 180 68)	
TWTR	05/26/16	01/12/16	100	1,430 58	1,963 42	(532 84)		(532 84)		(532.84)	
TWTR	05/26/16	01/08/16	125	1,788 23	2 486 15	(697 92)		(697 92)		(697 92)	
TWTR	05/26/16	12/08/15	50	715 30	1 191 47	(476 17)		(476 17)		(476 17)	
TWTR	05/26/16	01/06/16	100	1,430 58	2 202 41	(771 83)		(771 83)		(771 83)	
TWTR	05/26/16	02/25/16	100	1 430 58	1 764 42	(333 84)		(333 84)		(333.84)	
TWTR	05/26/16	03/01/16	100	1 430 53	1 797 63	(367 10)		(367 10)		(367 10)	
TWTR	05/26/16	01/20/16	75	1 072 94	1,217 51	(144 57)		(144 57)		(144 57)	
TWTR	05/26/16	01/14/16	150	2 145 88	2,655 09	(509 21)		(509 21)		(509 21)	
TWTR	05/26/16	01/19/16	200	2 861 16	3 386 63	(525 47)		(525 47)		(525 47)	
TWTR	05/27/16	02/02/16	100	1 477.54	1 622 33	(144 79)		(144 79)		(144 79)	
TWTR	05/27/16	01/20/16	25	369 40	405 84	(36 44)		(36 44)		(36 44)	
TWTR	05/27/16	11/10/15	100	1 477 54	2,696 44	(1 218 90)		(1 218 90)		(1 218 90)	
TWTR	05/27/16	02/11/16	100	1 477 54	1 440 32	37 22		37 22		37 22	
WMT	05/31/16	09/10/15	100	7,068 66	6 417 67	650 99		650 99		650.99	
TWX	06/02/16	08/05/15	50	3,814 04	4 084 51	(270 47)		(270 47)		(270 47)	
AAPL	06/03/16	10/08/15	25	2 445 83	2,723 01	(277 18)		(277 18)	(277 18)	0 00	
AAPL	06/03/16	12/17/15	25	2 445 84	2 738 70	(292 86)		(292 86)	(292 86)	0 00	
LULU	06/03/16	04/11/16	50	3,354 25	3 072 37	281 88		281 88		281 88	
LULU	06/03/16	09/17/15	25	1 677 14	1,341 61	335 53		335.53		335 53	
AAPL	06/06/16	12/17/15	25	2 460 03	2 550 71	(90 68)		(90 68)	(90 68)	0 00	
LULU	06/09/16	09/17/15	100	7,212 97	5 366.44	1 846 53		1,846.53		1 846 53	
WMT	06/10/16	09/04/15	50	3 544 31	3,198 44	345 87		345 87		345 87	
LULU	06/13/16	09/17/15	25	1,759 65	1 341 61	418 04		418 04		418 04	
GOOG	06/14/16	04/22/16	5	3,595.97	3 614 91	(18.94)		(18 94)		(18 94)	
DIS	06/23/16	08/05/15	25	2 471 83	2,804 29	(332 46)		(332 46)		(332 46)	
DIS	06/23/16	05/16/16	25	2,471 83	2 514 93	(43 10)		(43 10)		(43 10)	
DIS	06/23/16	04/29/16	50	4,943 66	5,156.51	(212 85)		(212.85)		(212.85)	
GOOG	06/23/16	04/26/16	5	3,446 04	3 559 89	(113 85)		(113 85)		(113.85)	
GOOG	06/23/16	04/22/16	5	3 446 04	3,614 91	(168 87)		(168 87)		(168 87)	
DIS	06/23/16	08/05/15	50	4 943 66	5,612 34	(668 68)		(668 68)	(334 34)	(334 34)	
DIS	06/23/16	08/20/15	50	4,943 66	5,067 19	(123 53)		(123 53)		(123.53)	
DIS	06/23/16	03/18/16	50	4,943 66	4 969 27	(25 61)		(25 61)		(25.61)	
DIS	06/23/16	09/10/15	25	2 471 83	2,568 95	(97 12)		(97 12)		(97 12)	
DIS	06/23/16	09/04/15	50	4,943 66	5,075 45	(131 79)		(131 79)		(131 79)	
NKE	06/23/16	04/12/16	75	4,086 22	4,237 31	(151 09)		(151 09)		(151 09)	

Symbol	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term Realized	Long Term Realized Gain/Loss	Total Realized Gain/Loss	Wash Sale Disallowed	ST	LT
NKE	06/23/16	05/16/16	25	1,362 07	1 408 58	(46 51)		(46 51)		(46 51)	
TWX	06/23/16	08/20/15	50	3 618 51	3 752 13	(133 62)		(133 62)		(133 62)	
TWX	06/23/16	08/05/15	50	3 618.51	4 084 50	(465 99)		(465 99)		(465 99)	
NKE	06/23/16	04/12/16	75	4,086 31	4,296 93	(210 62)		(210 62)	(210 62)	0 00	
NKE	06/23/16	04/04/16	50	2 724 21	2 991 42	(267 21)		(267 21)	(267 21)	0.00	
NKE	06/23/16	01/15/16	75	4,086.31	4,313 13	(226 82)		(226 82)	(226 82)	0 00	
NKE	06/23/16	05/16/16	75	4 086 31	4 285 35	(199 04)		(199 04)	(199 04)	0.00	
NKE	06/23/16	01/15/16	50	2,724 21	2 932 08	(207 87)		(207 87)		(207 87)	
NKE	06/23/16	01/15/16	25	1 362 10	1 442 42	(80 32)		(80 32)		(80 32)	
NKE	06/23/16	04/04/16	50	2 724 21	3 000 83	(276 62)		(276 62)		(276 62)	
NKE	06/23/16	05/18/16	75	4 086 31	4 233 50	(147 19)		(147 19)	(49 06)	(98 13)	
LULU	06/27/16	06/23/16	125	8 442 96	8 943 11	(500 15)		(500 15)		(500 15)	
CMCSA	06/28/16	06/23/16	50	3 121 58	3 140 00	(18 42)		(18 42)		(18 42)	
LULU	07/07/16	06/23/16	75	5,616 62	5,365 86	250 76		250 76		250 76	
CMCSA	07/08/16	06/23/16	50	3 330 06	3,140 00	190 06		190 06		190 06	
LULU	07/08/16	09/18/15	25	1,903 82	1,335 53	568 29		568 29		568.29	
FB	07/20/16	06/23/16	25	3 033 54	2 855 71	177 83		177 83		177 83	
LULU	07/25/16	09/18/15	50	3 869 30	2,671 05	1 198 25		1 198 25		1,198 25	
FB	07/27/16	06/23/16	50	6 104 12	5 711 42	392 70		392 70		392 70	
AMZN	08/02/16	03/21/16	5	3 799 06	2 726 63	1 072 43		1 072 43		1 072 43	
LULU	08/15/16	09/18/15	50	4,005 19	2 671 05	1 334 14		1,334 14		1,334 14	
WSN	08/25/16	10/14/15	75	4 157 19	5,571 58	(1 414 39)		(1 414 39)		(1,414 39)	
WSN	08/25/16	10/13/15	50	2 771 46	3,799 71	(1 028 25)		(1 028 25)		(1 028 25)	
WSN	08/25/16	08/27/15	50	2 771 46	3,902 06	(1.130 60)		(1 130 60)		(1 130 60)	
WSN	08/25/16	10/29/15	50	2,771 46	3 623 90	(852 44)		(852 44)		(852 44)	
LULU	08/25/16	09/24/15	50	4,011 18	2 625 31	1 385 87		1,385 87		1 385.87	
WSN	08/25/16	11/20/15	50	2,771 44	3,134 53	(363 09)		(363 09)		(363 09)	
WSN	08/25/16	11/13/15	75	4 157 19	5 092 79	(935 60)		(935 60)		(935 60)	
FB	09/07/16	02/05/16	25	3 277 69	2 625 31	652 38		652 38		652.38	
FB	09/07/16	04/13/16	25	3,277 69	2,693 30	584 39		584 39		584.39	
FB	09/07/16	04/27/16	25	3,277 70	2,676 83	600 87		600 87		600 87	
AAPL	09/15/16	06/23/16	25	2 873 90	2 396 29	477 61		477 61		477 61	
MCD	09/29/16	06/23/16	25	2,889 70	3 024 16	(134 46)		(134 46)	(134 46)	0 00	
MCD	09/29/16	06/02/16	50	5,779 40	6,064 00	(284 60)		(284 60)	(284 60)	0 00	
MCD	09/29/16	07/26/16	25	2,889 69	3 051 43	(161 74)		(161 74)		(161 74)	
WMT	10/04/16	08/19/16	50	3,588 25	3 686 01	(97 76)		(97 76)		(97 76)	
AMCX	10/07/16	10/20/15	25	1 271 36	1 781 49	(510 13)		(510 13)		(510 13)	
NSRGY	10/20/16	06/23/16	50	3 724 30	3,761 14	(36 84)		(36 84)	(36 84)	0 00	
NSRGY	10/21/16	06/23/16	100	7,325 73	7 522.28	(196 55)		(196 55)	(196 55)	0 00	
PYPL	10/24/16	09/20/16	75	3 304 26	3 002 90	301 36		301 36		301 36	
PYPL	10/24/16	09/19/16	75	3 304 26	3 007 29	296 97		296 97		296 97	
V	10/24/16	06/23/16	60	4 976 10	4 623 35	352 75		352 75		352 75	
NSRGY	10/25/16	06/23/16	150	10 854 54	11 283 43	(428 89)		(428 89)	(214 44)	(214 45)	
AAPL	10/26/16	09/29/16	50	5 748 18	5,602 35	145 83		145 83		145 83	
CCL	11/03/16	01/14/16	250	12 070 67	12 990 01	(919 34)		(919 34)		(919 34)	
WSM	11/10/16	11/20/15	25	1,276 82	1,567 26	(290 44)		(290 44)		(290 44)	
WMT	11/10/16	06/23/16	150	10 736 39	10 785 69	(49 30)		(49 30)	(49 30)	(0 00)	
WSM	11/10/16	12/14/15	50	2 553 63	2,958 45	(404 82)		(404 82)		(404 82)	
WSM	11/10/16	01/08/16	50	2,553 59	2,775 24	(221 65)		(221 65)		(221 65)	
WSM	11/10/16	01/04/16	75	3 830 43	4,329 68	(499 25)		(499 25)		(499 25)	
WSM	11/14/16	03/31/16	50	2 653 88	2 754 01	(100 13)		(100 13)		(100 13)	
WSM	11/14/16	01/08/16	25	1 326 94	1,387 62	(60 68)		(60 68)		(60 68)	
AMCX	11/16/16	03/08/16	75	4 017 76	5,012.77	(995 01)		(995 01)		(995 01)	
WSM	11/17/16	06/23/16	50	2 665 49	2 709 87	(44 38)		(44 38)		(44 38)	
WSM	11/17/16	03/31/16	25	1 332 74	1 377 01	(44 27)		(44 27)		(44 27)	
MAR	11/17/16	08/02/16	50	3,868 10	3,528 38	339 72		339 72		339 72	
WSM	11/18/16	06/23/16	75	3,960 76	4,064 81	(104 05)		(104 05)		(104 05)	
WSM	11/21/16	06/23/16	75	3 997 11	4 064 81	(67 70)		(67 70)		(67 70)	
AMCX	11/22/16	02/25/16	75	3 956 00	4,925 81	(969 81)		(969 81)		(969 81)	
MAR	12/01/16	09/22/16	20	1,591 24	1 401 34	189 90		189 90		189 90	
MAR	12/01/16	09/23/16	100	7 956 17	6 893 24	1 062 93		1,062 93		1 062 93	
MAR	12/01/16	06/23/16	5	397 82	343 40	54 42		54 42		54 42	
MAR	12/01/16	09/19/16	50	3 978 11	3,436 90	541 21		541 21		541 21	
AMCX	12/05/16	02/25/16	25	1 343 30	1,641 94	(298 64)		(298 64)		(298 64)	
AMCX	12/05/16	07/14/16	50	2 686 60	2 947 70	(261 10)		(261 10)		(261 10)	
LULU	12/07/16	09/08/16	50	2 985 98	3,354 62	(368 64)		(368 64)	(368 64)	0 00	
LULU	12/07/16	09/23/16	25	1 492 97	1 643 30	(150 33)		(150 33)	(150 33)	0 00	
MAR	12/07/16	06/23/16	50	4,171 21	3 433 96	737 25		737 25		737 25	
MAR	12/13/16	06/23/16	75	6 313 83	5,150 93	1 162 90		1 162 90		1,162 90	
FIT	12/16/16	09/09/16	825	6 212 19	12,023 10	(5 810 91)		(5 810 91)		(5 810 91)	
FIT	12/16/16	09/13/16	550	4,141 46	8,076 74	(3 935 28)		(3,935 28)		(3 935 28)	
MAR	12/16/16	09/26/16	30	2 550.51	2 030 37	520 14		520 14		520 14	
MAR	12/16/16	06/03/16	25	2,125 46	1 695 20	430 26		430 26		430 26	
MAR	12/16/16	06/23/16	45	3 825 81	3 090 56	735 25		735 25		735.25	
FIT	12/16/16	10/07/16	200	1,505 99	2 848.29	(1 342 30)		(1 342.30)		(1,342 30)	
FIT	12/16/16	10/21/16	75	564 71	1 064 48	(499 77)		(499 77)		(499 77)	
FIT	12/16/16	10/06/16	200	1,505 99	2 926 29	(1 420 30)		(1,420 30)		(1 420 30)	
FIT	12/16/16	09/20/16	200	1 505 99	3 203 67	(1 697 68)		(1,697 68)		(1 697 68)	
FIT	12/16/16	09/29/16	425	3,200 22	6 474 31	(3 274 09)		(3 274.09)		(3,274 09)	
FIT	12/19/16	10/21/16	300	2 244 87	4,257 92	(2 013 05)		(2 013 05)		(2 013 05)	
AMCX	12/20/16	06/23/16	25	1 293 14	1,468.07	(174 93)		(174.93)		(174 93)	
AMCX	12/20/16	07/13/16	75	3,879 36	4 418.49	(539 13)		(539 13)		(539 13)	
FIT	12/21/16	10/13/16	225	1,663 27	2 982 51	(1 319 24)		(1,319 24)		(1 319 24)	
FIT	12/21/16	10/14/16	200	1 478 47	2 639 49	(1 161 02)		(1,161 02)		(1,161 02)	
AMCX	12/21/16	06/23/16	100	5 203 32	5,872 26	(668 94)		(668 94)		(668.94)	
FIT	12/21/16	10/25/16	200	1 478 43	2 756 11	(1,277 68)		(1 277 68)		(1 277 68)	
FIT	12/21/16	10/24/16	200	1 478 47	2,811 61	(1 333 14)		(1 333 14)		(1 333 14)	
FIT	12/21/16	10/21/16	275	2 032 89	3,903 10	(1 870 21)		(1 870 21)		(1 870 21)	
MAR	12/22/16	09/26/16	20	1 669 80	1 353 58	316 22		316 22		316 22	
MAR	12/22/16	10/06/16	5	417 45	338.10	79 35		79 35		79.35	
MSFT	12/22/16	12/01/16	150	9,549 02	8,882 81	666 21		666 21		666 21	
AMCX	12/28/16	06/23/16	75	3 951 25	4,404 20	(452 95)		(452.95)		(452 95)	
ST Totals				$650,455 91	$705,824 77	($55,368 86)	$0 00	($55 368.86)	($4 817 14)	($50 551 72)	
LULU	01/04/16	11/25/13	25	1,396.03	1,602 40		(206 37)	(206 37)			(206.37)
LULU	01/04/16	11/21/13	25	1 396.02	1,560 49		(164 47)	(164 47)			(164 47)
LULU	01/04/16	11/25/13	25	1,396 03	1 602 39		(206.36)	(206 36)			(206 36)
LULU	01/07/16	11/21/13	25	1,378.84	1 560 49		(181 65)	(181 65)			(181 65)
LULU	01/07/16	12/05/14	50	2 757 67	2,269 61		488 06	488 06			488 06
BUD	01/20/16	08/02/13	25	2,860 48	2 452.74		407 74	407 74			407 74
BUD	01/20/16	08/05/13	50	5 720.97	4 896 45		824 52	824.52			824 52
LVMUY	02/10/16	09/09/14	100	3 333 98	3 460.31		(126.33)	(126 33)			(126.33)
CAKE	02/23/16	04/04/14	25	1 256 44	1,193 43		63 01	63 01			63 01
CAKE	02/24/16	04/04/14	25	1,263 12	1,193 42		69 70	69 70			69 70
CAKE	02/24/16	05/30/14	75	3,789.31	3 444 41		344 90	344 90			344 90
LULU	03/01/16	12/26/13	50	3 135 95	2 603 24		532 71	532 71			532 71
LULU	03/02/16	10/29/14	75	4,743 46	3,078.26		1,665 20	1 665 20			1,665 20
CAKE	03/04/16	05/20/14	25	1,283 20	1,101 82		181 38	181 38			181.38
CAKE	03/04/16	05/30/14	25	1,283 19	1,148.14		135.05	135 05			135.05
RL	04/12/16	10/29/13	15	1,366.93	2,480.12		(1 113 19)	(1 113 19)			(1 113 19)
RL	04/12/16	09/27/13	10	911 28	1 658.54		(747.26)	(747 26)			(747 26)

Symbol	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term Realized	Long Term Realized Gain/Loss	Total Realized Gain/Loss	Wash Sale Disallowed	ST	LT
RL	04/12/16	01/15/15	25	2,278 23	4,253 17		(1,974 94)	(1,974 94)			(1,974 94)
RL	04/13/16	09/30/13	50	4 666 96	8 245 10		(3 578 14)	(3 578 14)			(3 578 14)
RL	04/13/16	09/10/13	40	3,733.57	6 535 48		(2,801 91)	(2 801 91)			(2 801 91)
RL	04/13/16	01/16/14	25	2 333 48	4 131 20		(1 797 72)	(1,797 72)			(1 797 72)
RL	04/13/16	10/29/13	10	933 39	1 653 42		(720 03)	(720 03)			(720 03)
RL	04/15/16	09/10/13	50	4 716 94	8 169 36		(3 452 42)	(3 452 42)			(3 452 42)
RL	04/20/16	09/10/13	35	3 320 78	5,718 55		(2 397 77)	(2 397 77)			(2 397 77)
RL	04/20/16	01/22/14	15	1 423 19	2 405 13		(981 94)	(981 94)			(981 94)
RL	04/20/16	02/28/14	25	2 371 97	4 040 55		(1,668 58)	(1,668 58)			(1 668 58)
RL	04/26/16	10/16/13	15	1 416 94	2 382 58		(965 64)	(965 64)			(965 64)
RL	04/26/16	01/23/14	25	2 361 57	3 984 56		(1 622 99)	(1 622 99)			(1,622 99)
RL	04/26/16	01/22/14	10	944 62	1 603 42		(658 80)	(658 80)			(658 80)
RL	04/27/16	10/16/13	10	949 02	1 588 39		(639 37)	(639 37)			(639 37)
RL	04/27/16	03/25/14	25	2 372 56	3 922 91		(1,550 35)	(1 550 35)			(1,550 35)
RL	04/27/16	04/23/14	15	1,423 54	2 318 86		(895 32)	(895 32)			(895 32)
RL	04/28/16	04/23/14	10	949 87	1 545 90		(596 03)	(596 03)			(596 03)
RL	04/28/16	03/06/15	25	2,374 70	3,316 65		(941 95)	(941 95)			(941 95)
RL	04/28/16	05/12/14	25	2,374 69	3 700 08		(1 325 39)	(1,325 39)			(1 325 39)
PCLN	04/29/16	09/12/14	5	6 720 90	5 831 03		889 87	889 87			889 87
CAKE	05/02/16	05/20/14	75	3,898 56	3 305 45		593 11	593 11			593 11
CAKE	05/03/16	08/06/13	75	3 927 72	3 275 24		652 48	652 48			652 48
GOOG	06/01/16	03/27/14	0 55	400.99	305 76		95 23	95 23			95 23
GOOG	06/01/16	04/17/14	0 05	40 25	29 27		10 98	10 98			10 98
GOOG	06/01/16	06/16/14	0 04	30 19	22 32		7 87	7 87			7 87
GOOG	06/01/16	11/13/14	0 03	20 13	14 95		5 18	5 18			5 18
GOOG	06/01/16	11/14/14	4 01	2 942 42	2 184 65		757 77	757 77			757 77
GOOG	06/01/16	11/28/14	4 32	3 163 83	2 337 78		826 05	826 05			826 05
GOOG	06/01/16	11/17/14	1	733 08	536 13		196 95	196 95			196 95
AAPL	06/08/16	04/30/15	25	2 473 97	3 137 94		(663 97)	(663 97)	(663.97)		0 00
AAPL	06/08/16	05/21/15	25	2 473 96	3 026 89		(552 93)	(552 93)	(552 93)		0 00
AAPL	06/08/16	05/21/15	25	2 473 95	3 026.88		(552 93)	(552.93)	(552 93)		0 00
UPS	06/10/16	03/03/15	50	5 211 10	5,081 40		129 70	129 70			129 70
UPS	06/10/16	03/04/15	25	2 605.54	2 517 87		87 67	87 67			87 67
LULU	06/13/16	01/02/14	25	1 759 65	1 227 01		532 64	532 64			532 64
CAKE	06/15/16	08/06/13	100	5,047 49	4,366.99		680 50	680 50			680 50
LULU	06/16/16	01/02/14	25	1 784 15	1 210 41		573 74	573 74			573 74
LULU	06/16/16	01/02/14	25	1 784 16	1,227 01		557 15	557 15			557 15
PCLN	06/16/16	12/02/14	5	6,552 80	5 708 81		843 99	843 99			843 99
UPS	06/17/16	03/04/15	25	2 608 04	2,517 86		90 18	90 18			90 18
CAKE	06/22/16	08/06/13	75	3 673 85	3 275 24		398 61	398 61			398 61
DIS	06/23/16	02/10/12	600	59 323 68	24,795 58		34 528 10	34 528 10			34,528 10
GOOG	06/23/16	04/24/14	5 01	3 455 49	2,642 25		813 24	813 24			813 24
GOOG	06/23/16	04/28/14	10	6 910 98	5 135 35		1 775 63	1 775 63			1 775 63
GOOG	06/23/16	05/07/14	5 01	3 455 49	2 540 13		915 36	915 36			915 36
GOOG	06/23/16	11/17/14	4 01	2 766 28	2,151 86		614 42	614 42			614 42
GOOG	06/23/16	11/19/14	5 01	3 455 49	2 659 55		795 94	795 94			795 94
GOOG	06/23/16	11/20/14	5 01	3,455 49	2,675 75		779 74	779 74			779 74
GOOG	06/23/16	11/28/14	0 7	481 06	378 10		102 96	102 96			102 96
GOOG	06/23/16	12/01/14	10	6 910 98	5,341 80		1,569 18	1 569 18			1 569 18
GOOG	06/23/16	12/05/14	15	10 366 46	7 943 28		2 423 18	2 423 18			2 423 18
GOOG	06/23/16	12/16/14	5 14	3,540 63	2,593 91		946 72	946 72			946 72
DIS	06/23/16	08/05/13	150	14,830 95	9 862 18		4 968 77	4,968 77			4 968 77
DIS	06/23/16	08/02/13	225	22 246 43	14 777 28		7 469 15	7 469 15			7 469 15
AMZN	06/23/16	01/20/15	10	7,183 13	2 878 42		4 304 71	4,304 71			4,304 71
AMZN	06/23/16	01/15/15	5	3,591 57	1 443 68		2 147 89	2,147 89			2,147 89
NKE	06/23/16	01/16/15	25	1,362 08	1 157 47		204 61	204 61			204 61
AMZN	06/23/16	01/15/15	90	64 648 07	25 941 56		38 706 51	38 706 51			38,706 51
AMZN	06/23/16	01/20/15	10	7,183 12	2 871 71		4,311 41	4,311 41			4 311 41
TWX	06/23/16	08/02/13	225	16 283 24	13 736 23		2 547 01	2 547 01			2,547 01
TWX	06/23/16	08/06/13	550	39 803 49	33 907 61		5 895 88	5 895 88			5 895 88
TWX	06/23/16	01/09/14	75	5,427 75	4 783 83		643 92	643 92			643 92
TWX	06/23/16	02/25/14	100	7,237 00	6,222 36		1 014 64	1 014 64			1,014 64
CAKE	06/24/16	08/05/13	151	7 392 08	6 566 20		825 88	825 88			825 88
CAKE	06/24/16	08/12/14	99	4 846 45	4,322 85		523 60	523 60			523 60
CAKE	06/27/16	08/05/13	74	3 508 35	3 217 88		290 47	290 47			290 47
AMZN	06/27/16	01/20/15	10	6 833 90	2 871 71		3 962 19	3 962 19			3 962 19
AMZN	06/28/16	01/20/15	5	3,516 77	1,435 86		2 080 91	2 080 91			2 080 91
LULU	07/08/16	01/02/14	25	1 903 82	1,210 41		693 41	693 41			693 41
DEO	07/11/16	08/07/13	25	2 831 49	3 266 71		(435 22)	(435 22)	(435 22)		0 00
AMZN	07/11/16	01/20/15	5	3 764 92	1 435 85		2,329 07	2 329 07			2 329 07
UPS	07/14/16	06/25/15	25	2,778 89	2 477 45		301 44	301 44			301 44
GOOG	07/20/16	08/02/13	0 10982	81 26	49 40		31 86	31 86			31 86
GOOG	07/20/16	12/16/14	4 89018	3 618 22	2 469 14		1,149 08	1 149 08			1 149 08
AMZN	07/21/16	01/20/15	5	3 728 47	1,435 86		2 292 61	2,292 61			2 292 61
AAPL	07/21/16	12/15/14	25	2,504 74	2 708 18		(203 44)	(203 44)	(203 44)		0 00
AAPL	07/22/16	01/05/15	50	4,926 51	5,361 31		(434 80)	(434 80)	(434 80)		0 00
AAPL	07/22/16	01/15/15	25	2 463 24	2 688 95		(225 71)	(225 71)	(225 71)		0 00
DEO	07/28/16	08/07/13	25	2,921 51	3,009 70		(88 19)	(88 19)			(88 19)
AAPL	07/28/16	01/05/15	50	5 188 49	5,361.31		(172 82)	(172.82)			(172 82)
DEO	07/28/16	11/01/13	25	2,921 50	3 158.49		(236 99)	(236 99)			(236 99)
GOOG	07/28/16	08/02/13	1	742 43	449 80		292 63	292 63			292 63
GOOG	07/28/16	08/02/13	4	2 969 72	1 799 21		1,170.51	1 170 51			1 170 51
GOOG	07/29/16	08/02/13	5	3 879 72	2,249 01		1 630 71	1 630 71			1,630 71
GOOG	08/01/16	08/02/13	1	775 25	449 80		325 45	325 45			325 45
GOOG	08/01/16	08/02/13	4	3,100 99	1 799 21		1,301 78	1,301 78			1 301 78
AMZN	08/02/16	01/20/15	5	3,799 05	1 435 85		2 363 20	2,363 20			2,363 20
GOOG	08/02/16	08/02/13	6	4 622.32	2 698 81		1 923.51	1 923 51			1 923.51
GOOG	08/02/16	08/02/13	4	3 081 56	1 799 21		1,282 35	1 282 35			1 282 35
TWX	08/03/16	02/09/12	75	5,862 66	2,701 15		3 161 51	3,161 51			3,161.51
TWX	08/03/16	02/22/11	25	1 954 21	899 38		1 054 83	1 054 83			1 054 83
TWX	08/15/16	02/22/11	25	2 018 54	899 38		1,119 16	1 119 16			1,119 16
TWX	08/15/16	02/22/11	75	6 055 57	2 698 15		3 357 42	3 357 42			3 357 42
NSRGY	08/16/16	12/26/13	75	6 125 92	5,457 34		668 58	668 58			668 58
TWX	08/18/16	02/22/11	50	4 043.53	1 798 76		2 244 77	2 244 77			2,244 77
TWX	08/19/16	02/14/11	25	2 016 72	880 25		1 136 47	1 136 47			1,136 47
TWX	08/19/16	02/22/11	25	2 016 73	899 38		1 117 35	1,117 35			1 117 35
V	09/02/16	08/05/14	120	9 809 98	6,327 40		3 482 58	3 482.58			3,482 58
V	09/02/16	08/07/14	5	408 75	262.62		146 13	146 13			146 13
V	09/07/16	08/07/14	35	2,904 30	1,838.33		1,065 97	1 065 97			1 065 97
V	09/07/16	06/23/14	40	3,319 21	2,090.23		1,228 98	1 228 98			1,228.98
AAPL	09/07/16	01/16/15	50	5,384 58	5 291 54		93 04	93 04			93 04
AAPL	09/07/16	01/06/15	75	8,076 86	7,942 38		134 48	134 48			134 48
V	09/08/16	06/23/14	50	4,139.51	2 612 79		1,526 72	1 526 72			1,526 72
AAPL	09/09/16	08/01/13	50	5,211 43	3 251 60		1 959 83	1,959.83			1,959 83
AAPL	09/09/16	01/16/15	25	2,605 70	2,645 77		(40.07)	(40 07)			(40 07)
AAPL	09/12/16	08/01/13	100	10 470 93	6,503 20		3 967 73	3 967 73			3,967 73
V	09/12/16	06/23/14	125	10,209 69	6,531 98		3 677 71	3,677 71			3 677 71
AAPL	09/13/16	08/01/13	150	16,222 01	9 754 80		6 467 21	6,467 21			6 467 21
AAPL	09/14/16	08/01/13	50	5,569 28	3,251 60		2,317 68	2,317 68			2,317 68
AAPL	09/15/16	08/01/13	20	2,299 13	1,300 64		998 49	998 49			998 49
AAPL	09/15/16	05/15/15	25	2,873 90	3,060.27		(186 37)	(186 37)			(186.37)

Symbol	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term Realized	Long Term Realized Gain/Loss	Total Realized Gain/Loss	Wash Sale Disallowed	ST	LT
AAPL	09/15/16	06/05/15	5	574 79	589 85		(15 06)	(15 06)			(15 06)
UPS	09/20/16	06/25/15	25	2,705 62	2 477 45		228 17	228 17			228 17
UPS	09/20/16	09/23/14	25	2 705 63	2 440 30		265 33	265 33			265.33
V	09/20/16	06/27/14	35	2 886 22	1,826.53		1 059 69	1 059 69			1 059 69
V	09/20/16	06/23/14	15	1 236 96	783 84		453 12	453 12			453 12
V	09/26/16	06/24/14	5	408 72	260 85		147 87	147 87			147 87
V	09/26/16	06/27/14	45	3 678 35	2,348 39		1 329 96	1 329 96			1 329 96
GOOG	09/27/16	08/02/13	4 97255	3 893 03	2 236 65		1 656 38	1 656 38			1,656 38
GOOG	09/27/16	08/05/13	0 02746	21 49	12 34		9 15	9 15			9 15
DEO	09/28/16	11/01/13	75	8 753 73	9 475 46		(721 73)	(721 73)			(721 73)
PCLN	09/29/16	06/26/15	5	7 318.29	5,735 41		1 582 88	1 582 88			1 582 88
GOOG	09/30/16	08/05/13	1	776 45	449 49		326 96	326 96			326 96
GOOG	09/30/16	08/05/13	4	3 105 78	1 797 96		1 307.82	1 307 82			1 307 82
V	09/30/16	06/24/14	50	4 111 61	2 608 48		1 503 13	1 503 13			1 503 13
PCLN	10/04/16	07/08/15	5	7 431 25	5,656 45		1 774 80	1 774 80			1 774 80
V	10/06/16	06/24/14	75	6,241 21	3 912 71		2 328 40	2 328 40			2,328 40
PCLN	10/06/16	12/10/14	5	7 441 73	5,598.49		1,843 24	1 843 24			1,843 24
PCLN	10/07/16	10/09/14	5	7 405 57	5,569 43		1 836 14	1 836 14			1,836 14
UPS	10/11/16	09/10/14	25	2 708 66	2 439 06		269 60	269 60			269 60
UPS	10/11/16	09/23/14	25	2 708 66	2 440 29		268.37	268 37			268 37
UPS	10/14/16	09/10/14	25	2 702 82	2 439 06		263 76	263.76			263 76
TWX	10/17/16	02/14/11	50	3 949 01	1 760 51		2 188 50	2,188.50			2,188.50
LVMUY	10/19/16	08/28/14	50	1 827 08	1 714 64		112 44	112 44			112 44
LVMUY	10/19/16	09/16/14	125	4,567 69	4 320 63		247.06	247.06			247 06
V	10/21/16	06/24/14	30	2 465 48	1 565 09		900.39	900 39			900 39
V	10/21/16	06/26/14	45	3,698 23	2,346 60		1 351 63	1,351 63			1,351 63
V	10/24/16	06/26/14	15	1 244 03	782 20		461 83	461 83			461 83
NSRGY	10/25/16	10/07/15	25	1 809 10	1 904 93		(95 83)	(95 83)	(95 83)		0 00
LVMUY	10/26/16	08/28/14	50	1 825 36	1,714 63		110 73	110 73			110 73
LVMUY	10/26/16	08/29/14	75	2 738 05	2,560 50		177 55	177.55			177.55
PCLN	11/08/16	11/04/14	5	7,846 45	5 495 38		2 351 07	2,351 07			2 351 07
PCLN	11/08/16	11/05/14	5	7 846 45	5,465 52		2,380 93	2 380 93			2,380 93
UPS	11/08/16	10/28/15	25	2 784 71	2 572.57		212 14	212 14			212 14
CMCSA	11/11/16	10/27/15	150	9 808 75	9,235 50		573.25	573 25			573 25
DIS	11/11/16	02/10/12	250	24,385 07	10,331.49		14 053 58	14 053 58			14 053 58
UPS	11/14/16	10/28/15	25	2 838 21	2 572.56		265 65	265 65			265 65
UPS	11/14/16	09/10/14	25	2,838 23	2,439 06		399 17	399 17			399 17
UPS	11/14/16	08/29/14	25	2 838 23	2 436 84		401 39	401 39			401 39
CMCSA	11/14/16	10/27/15	50	3,370 30	3,078 50		291 80	291 80			291 80
CMCSA	11/17/16	10/27/15	100	6,784.51	6,157 00		627 51	627 51			627 51
UPS	11/18/16	08/29/14	25	2 839 83	2,436 85		402 98	402 98			402 98
WSM	11/22/16	08/29/14	50	2,739 75	3 298 45		(558 70)	(558 70)			(558 70)
TWX	11/22/16	02/14/11	50	4,612 15	1,760 51		2 851 64	2 851 64			2,851 64
WSM	11/22/16	04/28/15	50	2,739 74	3,769 30		(1 029 56)	(1 029 56)			(1,029.56)
WSM	11/22/16	04/27/15	75	4 109 62	5 695 30		(1 585 68)	(1,585 68)			(1 585 68)
WSM	11/22/16	09/05/14	50	2 739 75	3 219 41		(479 66)	(479 66)			(479 66)
CMCSA	11/23/16	11/19/15	50	3 465 97	3,139 44		326 53	326 53			326 53
UPS	12/01/16	08/28/14	25	2,901 83	2,436 81		465 02	465 02			465 02
WSM	12/01/16	09/22/11	50	2 732 72	1 573 09		1 159 63	1,159 63			1 159 63
TWX	12/01/16	02/14/11	125	11,550.88	4,401 27		7 149 61	7 149 61			7 149 61
UPS	12/01/16	08/29/14	25	2 901 82	2 436 84		464 98	464 98			464 98
WSM	12/01/16	01/29/14	25	1 366 36	1 326 99		39 37	39 37			39 37
WSM	12/01/16	09/05/14	50	2 732 72	3,219 40		(486 68)	(486 68)			(486 68)
WSM	12/05/16	10/03/11	75	4,131.00	2 209 28		1,921 72	1 921 72			1,921 72
WSM	12/07/16	08/19/11	50	2,778 31	1,443 07		1 335 24	1,335 24			1,335.24
WSM	12/07/16	10/03/11	25	1 389 15	736 43		652 72	652 72			652 72
DIS	12/07/16	02/10/12	75	7,579 44	3 099 45		4 479 99	4,479 99			4 479.99
LULU	12/08/16	09/24/15	25	1 755 91	1 312 65		443 26	443 26			443 26
LULU	12/08/16	10/21/15	25	1 755 90	1,305 43		450 47	450 47			450 47
DIS	12/08/16	02/10/12	25	2 564 75	1 033 15		1,531 60	1,531 60			1 531 60
UPS	12/12/16	08/28/14	25	2 992 26	2 436 81		555 45	555 45			555 45
TWX	12/29/16	12/08/15	50	4 803 46	3 467 25		1 336 21	1 336 21			1 336 21
TWX	12/30/16	02/14/11	75	7,205 72	2 640 77		4 564 95	4,564 95			4 564 95
FMV Step Up AMZN					55,206 45		(55 206 45)	(55 206 45)			(55 206 45)
FMV Step Up TWX					22 328.51		(22,328 51)	(22,328 51)			(22 328.51)
FMV Step Up DIS					55 189 81		(55 189 81)	(55 189 81)			(55 189.81)
LT Totals				$901 435 75	$802,730 81	$0 00	$231,429 71	$231,429 71	($3 164 83)	$0 00	$101 869 77
Totals				$1 551 891 66	$1 508 555 58	($55 368 86)	$231 429 71	$176 060 85	($7 981 97)	($50 551 72)	$101 869 77
Totals Provided				1 551 891 66	$1,375 830 81						
										($50 551 72)	$101 869 77
										Total Gain	($51 318 05)

NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number: (212) 476-5505

Account No. 541-18483
Account Name: CAHN FAMILY FOUNDATION(KAM)
Recipient's Identification Number: XX-XXX0106
Account Executive No: N47
ORIGINAL: *12/31/16*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis		REALIZED GAIN OR (LOSS)
01/20/16	H	07/14/15	COVANTA HLDG CORP SR NT	22282EAD4	30,000.00000	30,300.00	31,512.38		(1,212.38)
05/03/16	H	09/15/15	STARBUCKS CORP SR UNSECURED	855244AE9	35,000.00000	35,036.75	35,000.00		36.75
			2 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)			**65,336.75**	**66,512.38**		**(1,175.63)**

2016 Supplemental Gain or (Loss) Information

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis		REALIZED GAIN OR (LOSS)
02/25/16	H	05/20/11	***SEASPAN CORPORATION CUMULATIVE RED PERP PFD SHS 9.50% SER C	Y75638125	1,500.00000	36,424.90	30,919 45	T	5,505.45
05/05/16	H	07/09/13	GENERAL ELEC CAP CORP UNSECURED	36962G7A6	25,000.00000	25,025.70	25,000.00		25 70
03/01/16	H	02/23/11	KINDER MORGAN ENERGY PARTNERS SR NT	494550BG0	45,000 00000	45,000.00	44,991.90		8.10
04/04/16	H	10/27/11	VERIZON COMMUNICATIONS INC	92343VBD5	25,000 00000	25,180 00	24,843.25		336 75
05/05/16	H	04/30/15	ECOLAB INC	278865AQ3	5,000.00000	5,006.40	5,004.11		2 29
05/17/16	H	04/30/15	ECOLAB INC	278865AQ3	30,000 00000	30,045 90	30,024.15		21.75
02/26/16	H	02/21/13	J P MORGAN CHASE & CO SR UNSECURED	46623EJV2	25,000.00000	25,000 00	25,000 00		0.00
			7 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)			**191,682.90**	**185,782.86**		**5,900.04**

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION (KAM) Account No. **NBJ-035420** Customer Service: 212-476-9000
Recipient ID No. ****-***0106** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
ALLY FINL INC NOTE 3 31285% 07/18/2016, 02005NAQ3										
Redemption	25,000 000	07/15/13	07/18/16	25,000.00	25,000 00			0 00		
DELPHI CORP NOTE CALL MAKE WHOLE 5.00000, 247126AH8										
Redemption	30,000 000	04/07/15	09/30/16	32,299 50	32,355 20			-55 70		
ZIMMER BIOMET HLDGS INC 1.45000% 04/01/2, 98956PAJ1										
Sale	20,000.000	07/07/15	08/17/16	19,989.80	20,008.17			-18 37		
TOTALS				**77,289.30**	**77,363.37**	**0.00**	**0.00**		**0.00**	
	Long-Term Realized Gain							**0.00**		
	Long-Term Realized Loss							**-74.07**		

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers.

001180 FIEW3S01 006392

***Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement is based on IRS information reporting requirements as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.**

NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number: (212) 476-5505

Account No: 541-28365
Account Name CAHN FAMILY FOUNDATION
Recipient's Identification Number: XX-XXX0106
Account Executive No: Z37
ORIGINAL: *12/31/16*

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2016 Supplemental Gain or (Loss) Information

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis		REALIZED GAIN OR (LOSS)
01/20/16	G	03/02/15	***ENBRIDGE INC	ENB 29250N105	100.00000	2,834.61	4,639.50		(1,804.89)
02/25/16	S	09/18/15	LULULEMON ATHLETICA INC	LULU 550021109	50 00000	3,087.69	2,681.04		406.65
02/16/16	G	04/17/15	ZIMMER BIOMET HOLDINGS INC	ZBH 98956P102	200.00000	18,642.69	22,831 54		(4,188.85)
			3 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)			**24,564.99**	**30,152.08**		**(5,587.09)**

2016 Supplemental Gain or (Loss) Information

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis		REALIZED GAIN OR (LOSS)
02/10/16	G	09/05/12	AMERICAN WTR WKS CO INC	AWK 030420103	50.00000	3,323.93	1,847.43		1,476.50
03/02/16	G	09/05/12	AMERICAN WTR WKS CO INC	AWK 030420103	175.00000	11,978.36	6,465.99		5,512.37
01/29/16	S	12/08/14	ALPHABET INC CLASS A COMMON STOCK	GOOGL 02079K305	6.00000	4,559.18	3,168.83		1,390 35
01/20/16	G	05/20/13	***BHP BILLITON LTD SPONSORED ADR	BHP 088606108	50.00000	967.88	3,170.39	T	(2,202.51)
01/20/16	G	09/07/12	***BHP BILLITON LTD SPONSORED ADR	BHP 088606108	325 00000	6,291.19	20,520.27	T	(14,229.08)
03/11/16	G	08/17/12	BRISTOL MYERS SQUIBB CO	BMY 110122108	100 00000	6,492.57	3,170.95		3,321 62

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Account Executive No.: Z37
ORIGINAL: *12/31/16*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis		REALIZED GAIN OR (LOSS)
01/12/16	G	06/18/13	***CANADIAN PACIFIC RAILWAY LTD USD ISSUE	CP 13645T100	150 00000	16,694 81	18,585.69		(1,890 88)
05/31/16	S	09/07/12	CME GROUP INC	CME 12572Q105	50 00000	4,892.45	2,864 85		2,027.60
04/26/16	G	01/05/15	COLUMBIA PIPELINE GROUP INC COM	198280109	450.00000	11,607.58	12,164 89		(557 31)
01/20/16	G	05/30/13	***ENBRIDGE INC	ENB 29250N105	50 00000	1,417.30	2,202 26		(784.96)
01/20/16	G	12/08/14	***ENBRIDGE INC	ENB 29250N105	100 00000	2,834 61	4,920 75		(2,086 14)
01/21/16	G	09/05/12	***ENBRIDGE INC	ENB 29250N105	200 00000	6,033 44	7,745.70		(1,712.26)
01/20/16	G	09/05/12	***ENBRIDGE INC	ENB 29250N105	350.00000	9,921.12	13,554 98		(3,633 86)
02/10/16	G	10/01/12	EVERSOURCE ENERGY COM	ES 30040W108	475.00000	26,147.13	18,354.25		7,792 88
03/30/16	G	12/08/14	LULULEMON ATHLETICA INC	LULU 550021109	50 00000	3,371.55	2,241.37		1,130 18
02/10/16	G	01/05/15	NISOURCE INC COM	NI 65473P105	450.00000	9,682 25	6,813 10	T	2,869 15
01/20/16	G	01/02/15	OUTFRONT MEDIA INC COM	OUT 69007J106	103 00000	2,128 31	2,730 47	T	(602.16)
01/20/16	G	12/08/14	OUTFRONT MEDIA INC COM	OUT 69007J106	225 00000	4,649.22	6,140 99		(1,491.77)
01/20/16	G	04/22/14	OUTFRONT MEDIA INC COM	OUT 69007J106	350 00000	7,232 13	10,605 28	T	(3,373 15)

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ORIGINAL: 12/31/16

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
02/01/16	G	09/12/13	VISA INC CL A COMMON STOCK	V 92826C839	50 00000	3,724 76	2,334.37	1,390.39
01/29/16	G	06/25/13	VERISK ANALYTICS INC COM	VRSK 92345Y106	125 00000	9,123 59	7,242.18	1,881.41
02/16/16	G	06/25/13	VERISK ANALYTICS INC COM	VRSK 92345Y106	150 00000	10,245.49	8,690 61	1,554.88
04/18/16	G	12/09/14	VALMONT INDUSTRIES INC	VMI 920253101	225.00000	27,190 85	29,882 54	(2,691 69)
06/07/16	G	01/05/15	WEX INC	WEX 96208T104	50.00000	4,761 37	4,847 20	(85 83)
02/10/16	S	11/07/11	WEC ENERGY GROUP INC	WEC 92939U106	25.00000	1,410 44	816 43	594 01
02/10/16	S	11/07/11	WEC ENERGY GROUP INC	WEC 92939U106	50 00000	2,820.90	1,632.85	1,188 05
04/29/16	G	03/06/13	WEYERHAEUSER CO	WY 962166104	25.00000	800 57	765 31	35 26
04/19/16	S	05/20/13	WEYERHAEUSER CO	WY 962166104	150 00000	4,783.12	4,887 00	(103.88)
04/19/16	S	05/21/13	WEYERHAEUSER CO	WY 962166104	200 00000	6,377 50	6,455 62	(78.12)
04/29/16	G	05/21/13	WEYERHAEUSER CO	WY 962166104	225.00000	7,205 18	7,262.57	(57.39)
			30 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)			**218,668.78**	**222,085.12**	**(3,416.34)**

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION — Account No **NBJ-039369** — Customer Service. 212-476-9000
Recipient ID No. ****-***0106** — Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
LULULEMON ATHLETICA INC COM ISIN #US5500, LULU, 550021109										
Sale	25 000	09/18/15	06/08/16	1,786 46	1,340 52			445.94		
Sale	50 000	10/29/15	06/08/16	3,572 91	2,444 57			1,128.34		
Subtotals				**5,359.37**	**3,785.09**					
TOTALS				**5,359.37**	**3,785.09**	**0.00**	**0.00**		**0.00**	
		Short-Term Realized Gain						**1,574.28**		
		Short-Term Realized Loss						**0.00**		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No NBJ-039369 Customer Service. 212-476-9000
Recipient ID No **-***0106 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
COTY INC COM USD0 01CL A, COTY, 222070203										
Cash In Lieu	0.163	10/19/12	10/06/16	4.06	2 87			1 19		
Cash In Lieu	0 098	01/18/13	10/06/16	2 43	1.75			0.68		
Cash In Lieu	0.065	06/18/13	10/06/16	1 62	1 32			0 30		
Sale	74 000	10/19/12	10/31/16	1,699 74	1,307 35			392 39		
Sale	44.400	01/18/13	10/31/16	1,019 84	794 28			225 56		
Sale	29 600	06/18/13	10/31/16	679 89	600 14			79 75		
Subtotals				**3,407.58**	**2,707.71**					
DARDEN RESTAURANTS, DRI, 237194105										
Sale	125 000	10/31/14	09/29/16	7,626 89	5,770 71			1,856 18		
Sale	250 000	10/31/14	09/30/16	15,212 19	11,541 41			3,670 78		
Subtotals				**22,839.08**	**17,312.12**					
DUNKIN BRANDS GROUP INC COM USD0 001, DNKN, 265504100										
Sale	340 000	03/06/13	09/28/16	16,932.31	13,114 62			3,817 69		
GENERAL MTRS CO COM, GM, 37045V100										
Sale	225 000	12/12/13	11/10/16	7,310 69	9,049 90			-1,739 21		
Sale	200 000	01/16/14	11/10/16	6,498 40	7,802.12			-1,303 72		
Subtotals				**13,809.09**	**16,852.02**					
JPMORGAN CHASE & CO, JPM, 46625H100										
Sale	100 000	05/31/13	06/30/16	6,177 10	5,519 51			657 59		

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
LAMB WESTON HLDGS INC COM, LW, 513272104										
Cash In Lieu	0 333	08/12/15	11/16/16	10 42	10 06			0 36		
LULULEMON ATHLETICA INC COM ISIN #US5500, LULU, 550021109										
Sale	100 000	12/08/14	06/08/16	7,145 82	4,482.75			2,663 07		
NIELSEN HLDGS PLC FORMERLY NIELSEN HLDGS, NLSN, G6518L108										
Sale	325 000	06/18/13	11/21/16	13,840 79	11,388 36			2,452 43		
Sale	100.000	12/09/14	11/21/16	4,258 71	4,389 06			-130.35		
Subtotals				**18,099.50**	**15,777.42**					
WEX INC COM, WEX, 96208T104										
Sale	50 000	01/05/15	07/13/16	4,724.11	4,847 20			-123.09		
Sale	50.000	01/05/15	07/22/16	4,777 83	4,847 20			-69.37		
Subtotals				**9,501.94**	**9,694.40**					
TOTALS				**97,922.84**	**85,470.61**	**0.00**	**0.00**		**0.00**	
		Long-Term Realized Gain						**15,817.97**		
		Long-Term Realized Loss						**-3,365.74**		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No NBJ-039369 Customer Service: 212-476-9000
Recipient ID No **-***0106 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Transactions for which Term is Unknown

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a *Description of property, Stock or Other Symbol, CUSIP*

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
ENBRIDGE ENERGY MGMTLLC SHS UNITS, EEQ, 29250X103										
Cash In Lieu	0 302	Unknown	08/15/16	7 34	Unknown					
Cash In Lieu	0.315	Unknown	11/15/16	7.48	Unknown					
Subtotals				**14.82**	**Unknown**					
TOTALS				**14.82**	**Unknown**	**0.00**	**0.00**		**0.00**	

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

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NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number (212) 476-5505

Account No: 541-27337
Account Name. CAHN FAMILY FOUNDATION(BOLTON)
Recipient's Identification Number XX-XXX0106
Account Executive No. N13
ORIGINAL: *12/31/16*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premiums	Cost or Other Basis	REALIZED GAIN OR (LOSS)
01/05/16	H	02/26/15	***ALLERGAN PLC	AGN G0177J108	90.00000	27,838.76	26,051.59	1,787.17
02/08/16	H	09/08/15	BRISTOL MYERS SQUIBB CO	BMY 110122108	150 00000	8,984 38	8,695 59	288.79
04/07/16	H	12/04/15	COOPER COMPANIES INC NEW (THE)	COO 216648402	50.00000	7,830.54	6,448 01	1,382.53
01/29/16	H	09/01/15	CVS HEALTH CORPORATION	CVS 126650100	100.00000	9,499.46	10,067.56	(568.10)
02/17/16	H	11/13/15	CONCHO RESOURCES INC	CXO 20605P101	50.00000	4,775.78	5,107.50	(331.72)
02/17/16	H	11/11/15	CONCHO RESOURCES INC	CXO 20605P101	50 00000	4,775.79	5,364 00	(588 21)
02/17/16	H	11/06/15	CONCHO RESOURCES INC	CXO 20605P101	175 00000	16,715.25	19,799 68	(3,084 43)
02/18/16	H	01/14/16	CHURCH & DWIGHT CO INC	CHD 171340102	50.00000	4,475.26	3,919 27	555 99
06/03/16	H	04/01/16	DEVON ENERGY CORPORATION NEW	DVN 25179M103	150 00000	5,386 62	3,954 15	1,432.47
01/20/16	H	04/30/15	IPG PHOTONICS CORP	IPGP 44980X109	50 00000	3,894 26	4,412.06	(517 80)
01/20/16	H	11/17/15	MARRIOTT INTERNATIONAL CLASS A	MAR 571903202	50 00000	2,828 23	3,678 29	(850.06)
01/20/16	H	08/19/15	MARRIOTT INTERNATIONAL CLASS A	MAR 571903202	150.00000	8,484.70	10,777 89	(2,293 19)
01/20/16	H	08/20/15	MARRIOTT INTERNATIONAL CLASS A	MAR 571903202	200.00000	11,312.93	14,073.84	(2,760.91)

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number (212) 476-5505

Account No 541-27337
Account Name: CAHN FAMILY FOUNDATION(BOLTON)
Recipient's Identification Number: XX-XXX0106
Account Executive No: N13
ORIGINAL: *12/31/16*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information (continued)
Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
01/20/16 H	11/02/15	MOODYS CORP	MCO 615369105	25 00000	2,079.41	2,377.03	(297 62)
01/14/16 H	02/11/15	PITNEY BOWES INC	PBI 724479100	350 00000	6,493 57	7,944.09	(1,450 52)
		15 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)			**125,374.94**	**132,670.55**	**(7,295.61)**

2016 Supplemental Gain or (Loss) Information
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
02/09/16 H	07/29/14	***ASML HOLDING N V N Y REGISTRY SHS 2012	ASML N07059210	125.00000	10,682 94	10,432 40	250 54
04/07/16 H	12/17/13	COOPER COMPANIES INC NEW (THE)	COO 216648402	25 00000	3,915 27	3,009 57	905.70
05/26/16 H	02/03/14	COOPER COMPANIES INC NEW (THE)	COO 216648402	25.00000	4,058.39	2,978.55	1,079 84
05/26/16 H	12/17/13	COOPER COMPANIES INC NEW (THE)	COO 216648402	25.00000	4,058.39	3,009.57	1,048 82
05/27/16 H	02/03/14	COOPER COMPANIES INC NEW (THE)	COO 216648402	25 00000	4,056 18	2,978 55	1,077 63
05/31/16 H	02/03/14	COOPER COMPANIES INC NEW (THE)	COO 216648402	50 00000	8,158 52	5,957.11	2,201 41
04/14/16 H	09/28/11	WALT DISNEY CO	DIS 254687106	50 00000	4,936 14	1,541.88	3,394 26

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1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number: (212) 476-5505

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Account Name CAHN FAMILY FOUNDATION(BOLTON)
Recipient's Identification Number: XX-XXX0106
Account Executive No N13
ORIGINAL: *12/31/16*

NEUBERGER BERMAN

2016 Supplemental Gain or (Loss) Information (continued)
Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
01/25/16	H	05/24/10	FISERV INC	FISV 337738108	100 00000	9,091.63	2,351.90	6,739.73
01/20/16	H	09/23/14	IPG PHOTONICS CORP	IPGP 44980X109	70 00000	5,451 96	4,747.08	704.88
05/24/16	H	05/23/13	INTUIT INC	INTU 461202103	50.00000	5,331.46	2,930.00	2,401 46
01/07/16	H	07/25/12	ESTEE LAUDER COMPANIES INC CL A	EL 518439104	100.00000	8,341.55	5,229.47	3,112.08
01/20/16	H	07/25/12	ESTEE LAUDER COMPANIES INC CL A	EL 518439104	200.00000	16,290.44	10,458.93	5,831.51
01/20/16	H	08/30/13	MOODYS CORP	MCO 615369105	40.00000	3,327 05	2,538 35	788.70
01/20/16	H	03/27/14	MOODYS CORP	MCO 615369105	50 00000	4,158 81	3,885 14	273.67
01/20/16	H	03/03/14	MOODYS CORP	MCO 615369105	50.00000	4,158 81	3,926 74	232.07
01/14/16	H	12/17/13	PITNEY BOWES INC	PBI 724479100	50.00000	927 65	1,099.47	(171 82)
01/14/16	H	04/28/14	PITNEY BOWES INC	PBI 724479100	100.00000	1,855.31	2,450 29	(594 98)
01/14/16	H	12/13/13	PITNEY BOWES INC	PBI 724479100	350.00000	6,493 57	7,780 08	(1,286.51)
01/14/16	H	12/16/13	PITNEY BOWES INC	PBI 724479100	550 00000	10,204.18	12,200 43	(1,996 25)
02/29/16	H	08/19/13	SANDISK CORP	80004C101	150 00000	10,918 05	8,233 38	2,684 67

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ORIGINAL: *12/31/16*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
02/29/16	H	08/08/13	SANDISK CORP	80004C101	250.00000	18,196.75	14,469 05	3,727.70
01/14/16	H	05/24/10	***SCHLUMBERGER LTD	SLB 806857108	25 00000	1,614.43	1,458 13	156 30
01/14/16	H	05/24/10	***SCHLUMBERGER LTD	SLB 806857108	270.00000	17,435 78	15,747 86	1,687.92
01/14/16	H	12/20/12	TOLL BROTHERS INC	TOL 889478103	50.00000	1,481.79	1,609 35	(127.56)
01/14/16	H	08/08/13	TOLL BROTHERS INC	TOL 889478103	125 00000	3,704.48	3,957 41	(252 93)
01/14/16	H	12/20/12	TOLL BROTHERS INC	TOL 889478103	175.00000	5,186 27	5,632 72	(446 45)
01/14/16	H	12/21/12	TOLL BROTHERS INC	TOL 889478103	200.00000	5,927 17	6,307 07	(379.90)
01/14/16	H	12/17/12	TOLL BROTHERS INC	TOL 889478103	300 00000	8,890.76	9,582.78	(692.02)
			28 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)			**188,853.73**	**156,503.26**	**32,350.47**

FOOTNOTES

A - Position carried at Average Cost
E - Adjusted for option exercise or assignment

DISPOSAL METHODS

Blank - FIFO (First In First Out), **L** - LIFO (Last In First Out), **S** - Specific Match (Versus Purchase Method), **H** - High Cost Method, **C** - Low Cost Method, **X** - High Cost Long-Term

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04341160560020036019

2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No NBJ-038717 Customer Service: 212-476-9000
Recipient ID No **-***0106 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CDW CORP COM USD0 01, CDW, 12514G108										
Sale	150 000	02/09/16	11/16/16	7,505 64	5,241 66			2,263 98		
DOVER CORP, DOV, 260003108										
Sale	100 000	10/20/15	08/23/16	7,443 23	6,134 59			1,308 64		
FIDELITY NATIONAL INFORMATION SERVICESIN, FIS, 31620M106										
Sale	100 000	03/11/16	08/23/16	7,979 93	6,257 20			1,722 73		
MARRIOTT INTL INC CL A, MAR, 571903202										
Sale	400 000	03/24/16	08/23/16	29,308.40	27,756 52			1,551 88		
Sale	50.000	04/01/16	08/23/16	3,663 55	3,366.48			297.07		
Subtotals				**32,971.95**	**31,123.00**					
NEXTERA ENERGY INC COM, NEE, 65339F101										
Sale	175 000	01/07/16	07/18/16	22,459 55	18,312 98			4,146.57		
PFIZER INC, PFE, 717081103										
Sale	250 000	01/05/16	10/28/16	8,004 45	8,026 95			-22 50		
TOTALS				**86,364.75**	**75,096.38**	**0.00**	**0.00**		**0.00**	
		Short-Term Realized Gain						**11,290.87**		
		Short-Term Realized Loss						**-22.50**		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No NBJ-038717 Customer Service 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
APPLE INC, AAPL, 037833100										
Sale	45 000	05/24/10	08/15/16	4,919.84	1,606.83			3,313.01		
CDW CORP COM USD0 01, CDW, 12514G108										
Sale	200 000	12/16/14	11/16/16	10,007.52	6,690 48			3,317 04		
CME GROUP INC, CME, 12572Q105										
Sale	25 000	01/13/14	08/22/16	2,645.48	1,861.95			783.53		
Sale	75 000	02/24/14	08/22/16	7,936 46	5,589.83			2,346.63		
Subtotals				**10,581.94**	**7,451.78**					
COMCAST CORP NEW CL A, CMCSA, 20030N101										
Sale	75 000	10/23/14	11/14/16	5,074.82	3,990.71			1,084.11		
Sale	175 000	10/24/14	11/14/16	11,841 26	9,497.13			2,344 13		
Subtotals				**16,916.08**	**13,487.84**					
COSTCO WHOLESALE CORP, COST, 22160K105										
Sale	25 000	02/20/14	06/24/16	3,900 84	2,875 70			1,025 14		
DANAHER CORP COM USD0 01, DHR, 235851102										
Sale	125 000	05/24/10	08/22/16	10,097.32	3,812 16			6,285 16		
DISNEY WALT CO, DIS, 254687106										
Sale	75 000	09/28/11	12/19/16	7,925.38	2,312 82			5,612.56		
DOVER CORP, DOV, 260003108										
Sale	50.000	02/03/14	08/23/16	3,721 62	3,463.71			257 91		
Sale	50 000	02/04/14	08/23/16	3,721 62	3,470.15			251.47		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No NBJ-038717 Customer Service. 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4 Federal Income Tax Withheld**	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
DOVER CORP, DOV, 260003108										
Sale	25 000	03/23/12	12/01/16	1,896 96	1,298.82			598 14		
Sale	50 000	10/20/15	12/01/16	3,793 93	3,067 30			726 63		
Subtotals				**13,134.13**	**11,299.98**					
FEDEX CORP COM, FDX, 31428X106										
Sale	150 000	04/09/15	08/23/16	25,261 79	25,897.21			-635 42		
Sale	75 000	04/16/15	08/23/16	12,630.89	12,731.72			-100 83		
Subtotals				**37,892.68**	**38,628.93**					
FISERV INC, FISV, 337738108										
Sale	75 000	05/24/10	06/09/16	8,041 31	1,763 92			6,277 39		
FORTIVE CORP COM, FTV, 34959J108										
Sale	212.000	05/24/10	07/05/16	10,297 35	3,917 42			6,379 93		
JOHNSON & JOHNSON, JNJ, 478160104										
Sale	50 000	01/21/14	08/23/16	5,978.03	4,663.03			1,315 00		
Sale	100 000	01/21/14	11/10/16	11,956 09	9,326 07			2,630.02		
Subtotals				**17,934.12**	**13,989.10**					
LENNAR CORP CL A, LEN, 526057104										
Sale	200 000	07/08/13	07/25/16	9,785 02	6,676 74			3,108 28		
PFIZER INC, PFE, 717081103										
Sale	400.000	08/24/15	10/17/16	13,021 55	13,054 64			-33 09		
Sale	300 000	08/24/15	10/27/16	9,754 85	9,790 98			-36 13		

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Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
PFIZER INC, PFE, 717081103										
Sale	50 000	08/24/15	10/28/16	1,600 89	1,631.83			-30.94		
Subtotals				**24,377.29**	**24,477.45**					
WHITEWAVE FOODS CO COM, WWAV, 966244105										
Sale	450 000	02/05/14	08/15/16	24,814 97	10,231 33			14,583 64		
Sale	50.000	02/05/14	06/09/16	2,301 72	1,136 82			1,164 90		
Subtotals				**27,116.69**	**11,368.15**					
TOTALS				**212,927.51**	**150,359.30**	**0.00**	**0.00**		**0.00**	
	Long-Term Realized Gain							63,404.62		
	Long-Term Realized Loss							-836.41		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No NBJ-038717 Customer Service. 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number. 04-3523587

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Transactions for which Term is Unknown

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
FORTIVE CORP COM, FTV, 34959J108										
Cash In Lieu	0 500	Unknown	07/08/16	24 06	Unknown					
TOTALS				**24.06**	**Unknown**	**0.00**	**0.00**		**0.00**	

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers.

001661 FIEW3S01 009282

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No. **NBJ-039920** Customer Service. 212-476-9000
Recipient ID No. ****-***0108** Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
NB INTERNATIONAL EQUITY FUND INSTL, NBIIX, 641224811										
Sale	283 666	12/18/15	08/23/16	3,174 22	3,074.94			99 28		
TOTALS				**3,174.22**	**3,074.94**	**0.00**	**0.00**		**0.00**	
		Short-Term Realized Gain						**99.28**		
		Short-Term Realized Loss						**0.00**		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No **NBJ-039920** Customer Service. 212-476-9000

Recipient ID No. ****-***0106** Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
NB INTERNATIONAL EQUITY FUND INSTL, NBIIX, 641224811										
Sale	22,090.059	04/29/15	08/23/16	247,187 76	260,000.00			-12,812 24		
TOTALS				**247,187.76**	**260,000.00**	**0.00**	**0.00**		**0.00**	
		Long-Term Realized Gain						**0.00**		
		Long-Term Realized Loss						**-12,812.24**		

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

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NEUBERGER BERMAN BD LLC 1290 AVE OF THE AMERICAS 25TH FLOOR NEW YORK, NY 10104	Account No: 541-32228 Account Name. CAHN FAMILY FOUNDATION Recipient's Identification Number: XX-XXX0106 Account Executive No: E74
Telephone Number (212) 476-5505	*CORRECTED:* *02/25/17*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option	Cost or Other Basis	REALIZED GAIN OR (LOSS)
01/11/16	H	04/28/15	AFFYMETRIX INC	00826T108	115.00000	1,577.92	1,415.65	162 27
01/11/16	H	09/03/15	AFFYMETRIX INC	00826T108	120.00000	1,646.53	1,082.72	563 81
04/12/16	H	04/28/15	ACXIOM CORP	ACXM 005125109	5.00000	106.81	91 20	15 61
04/08/16	H	04/28/15	ACXIOM CORP	ACXM 005125109	25.00000	535 55	456.00	79 55
04/11/16	H	04/28/15	ACXIOM CORP	ACXM 005125109	25.00000	537.87	456.00	81.87
04/19/16	H	12/31/15	AVERY DENNISON CORP	AVY 053611109	5.00000	367.35	316.91	50.44
04/19/16	H	10/29/15	AVERY DENNISON CORP	AVY 053611109	5.00000	367.35	327.27	40.08
05/20/16	H	08/03/15	CROWN MEDIA HLDGS INC CL A	228411104	185.00000	934.25	841.25	93.00
04/08/16	H	10/29/15	CADENCE DESIGN SYSTEMS INC	CDNS 127387108	5.00000	117.24	110.88	6.36
04/08/16	H	12/31/15	CADENCE DESIGN SYSTEMS INC	CDNS 127387108	45.00000	1,055.18	943.86	111.32
04/11/16	H	04/28/15	DOLBY LABORATORIES INC CL A	DLB 25659T107	5 00000	214 87	205.80	9.07
04/28/16	H	06/17/15	DOLBY LABORATORIES INC CL A	DLB 25659T107	10 00000	465.73	397 96	67.77
05/12/16	H	06/17/15	DOLBY LABORATORIES INC CL A	DLB 25659T107	15.00000	678.35	596 94	81.41

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number: (212) 476-5505

Account No: 541-32228
Account Name: CAHN FAMILY FOUNDATION
Recipient's Identification Number. XX-XXX0106

Account Executive No: E74

CORRECTED: *02/25/17*

NEUBERGER | BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option	Cost or Other Basis		REALIZED GAIN OR (LOSS)
04/11/16	H	06/17/15	DOLBY LABORATORIES INC CL A	DLB 25659T107	20 00000	859.48	795.92		63.56
03/14/16	H	08/26/15	FRESH MARKET INC	35804H106	15.00000	426 29	296 23		130 06
04/04/16	H	08/26/15	FRESH MARKET INC	35804H106	20.00000	568.42	394.97		173 45
04/08/16	H	04/28/15	GIGAMON INC COM	GIMO 37518B102	10.00000	300.66	291.30		9.36
04/11/16	H	04/28/15	GIGAMON INC COM	GIMO 37518B102	10 00000	305.08	291.30		13.78
03/17/16	H	04/28/15	GIGAMON INC COM	GIMO 37518B102	20.00000	598.45	582.60		15.85
03/10/16	H	12/31/15	LUMENTUM HOLDINGS INC COM	LITE 55024U109	20.00000	488.62	440.80		47 82
03/10/16	H	04/28/15	LUMENTUM HOLDINGS INC COM	LITE 55024U109	21 00000	513.05	562.91		(49.86)
03/18/16	S	04/28/15	MANITOWOC FOODSERVICE INC COM	MFS 563568104	70.00000	1,063.00	1,059.61	T	3.39
03/17/16	H	04/28/15	NRG ENERGY INC	NRG 629377508	45 00000	627.12	1,134.45		(507.33)
04/19/16	H	12/31/15	OWENS CORNING	OC 690742101	25.00000	1,282.54	1,182 76		99.78
04/21/16	H	04/28/15	II VI INC	IIVI 902104108	35 00000	785.45	665.00		120.45
06/03/16	H	10/29/15	XURA INC COM	98420V107	10.00000	247.83	259.63		(11.80)

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NEUBERGER BERMAN BD LLC
1290 AVE OF THE AMERICAS
25TH FLOOR
NEW YORK, NY 10104

Telephone Number: (212) 476-5505

Account No:	541-32228
Account Name:	CAHN FAMILY FOUNDATION
Recipient's Identification Number:	XX-XXX0106
Account Executive No:	E74
CORRECTED:	*02/25/17*

NEUBERGER BERMAN

2016 Supplemental Gain or (Loss) Information (continued)

Short-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
06/03/16	H	12/31/15	XURA INC COM	98420V107	45.00000	1,115.25	1,101.32	13 93
06/03/16	H	06/16/15	XURA INC COM	98420V107	80.00000	1,982.68	1,720.44	262.24
			28 ITEMS - Total Short-Term Not Reported Transactions Gain or (Loss)			**19,768.92**	**18,021.68**	**1,747.24**

2016 Supplemental Gain or (Loss) Information

Long-Term Gain or (Loss) from Transactions not Reported to IRS

Date of Sale or Exchange		Date of Acquisition	Description	Symbol / CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN OR (LOSS)
05/20/16	H	04/28/15	CROWN MEDIA HLDGS INC CL A	228411104	180.00000	909.00	700.20	208 80
06/03/16	H	04/28/15	XURA INC COM	98420V107	55.00000	1,363.09	1,313.40	49.69
			2 ITEMS - Total Long-Term Not Reported Transactions Gain or (Loss)			**2,272.09**	**2,013.60**	**258.49**

FOOTNOTES

A - Position carried at Average Cost.
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement. JPMSLLC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose.
E - Adjusted for option exercise or assignment.

DISPOSAL METHODS

Blank - FIFO (First In First Out); **L** - LIFO (Last In First Out); **S** - Specific Match (Versus Purchase Method); **H** - High Cost Method; **C** - Low Cost Method, **X** - High Cost Long-Term

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION | Account No **NBJ-041428** | Customer Service. 212-476-9000
Recipient ID No ****-***0106** | Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
ACCURAY INC DEL COM, ARAY, 004397105										
Sale	40 000	04/27/16	12/09/16	209 08	213 46			-4 38		
Sale	100.000	07/22/16	12/09/16	522 68	526 76			-4 08		
Subtotals				**731.76**	**740.22**					
ALLSCRIPTS HEALTHCARE SOLUTIONSINC COM, MDRX, 01988P108										
Sale	130 000	12/31/15	12/08/16	1,414 83	2,005 48		227 17	-590 65		
BROCADE COMMUNICATIONS SYS INC COM NEW, BRCD, 111621306										
Sale	20 000	11/24/15	11/04/16	246.13	185.75			60.38		
CADENCE DESIGN SYSTEMS INC, CDNS, 127387108										
Sale	25.000	12/31/15	11/15/16	636 11	524 37			111.74		
CEVA INC COM, CEVA, 157210105										
Sale	10.000	12/31/15	09/19/16	350 56	237 32			113.24		
CHARLES RIV LABORATORIES INTL INC, CRL, 159864107										
Sale	35 000	12/31/15	12/08/16	2,547 39	2,839 22			-291.83		
CHEMTURA CORPORATIONUSD0 01 ISIN #US1638, CHMT, 163893209										
Sale	5 000	10/29/15	08/23/16	156.55	154.69			1 86		
Sale	10 000	12/31/15	09/27/16	326 31	274 38			51.93		
Subtotals				**482.86**	**429.07**					
CONVERGYS CORP, CVG, 212485106										
Sale	5 000	10/29/15	08/09/16	144 70	131 78			12 92		
Sale	5 000	12/31/15	08/09/16	144 69	125 47			19 22		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No. NBJ-041428 Customer Service: 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
CONVERGYS CORP, CVG, 212485106										
Sale	15 000	12/31/15	08/18/16	444.64	376 39			68 25		
Subtotals				**734.03**	**633.64**					
COVANTA HLDG CORP COM, CVA, 22282E102										
Sale	100.000	12/31/15	12/08/16	1,434.79	1,520 65			-85 86		
CROCS INC COM, CROX, 227046109										
Sale	25 000	03/01/16	12/08/16	190 81	209 11			-18 30		
Sale	20.000	08/04/16	12/08/16	152.64	171 22			-18.58		
Subtotals				**343.45**	**380.33**					
DANAOS CORPORATION SHS, DAC, Y1968P105										
Sale	110 000	12/31/15	12/08/16	335 70	630.04			-294.34		
DYNEGY INC NEW DEL COM, DYN, 26817R108										
Sale	70 000	03/17/16	12/08/16	568 15	923 36			-355.21		
Sale	15 000	08/04/15	06/21/16	247.84	369.05			-121 21		
Sale	35 000	08/04/15	06/22/16	586.00	881.11			-295 11		
Subtotals				**1,381.99**	**2,153.52**					
DYNEGY INC NEW DEL UNIT EX 070119, DYNC, 26817R405										
Sale	15 000	06/21/16	12/08/16	937 47	1,560.75			-623.28		
Sale	5.000	06/22/16	12/08/16	312 49	516 14			-203 65		
Subtotals				**1,249.96**	**2,076.89**					

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No. NBJ-041428 Customer Service. 212-476-9000
Recipient ID No. **-***0106 Payer's Fed ID Number. 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
ELIZABETH ARDEN INC COM ISIN #US28660G10, 28660G106										
Sale	30 000	03/22/16	06/17/16	411 61	239 30			172.31		
EXPRESS INC COM, EXPR, 30219E103										
Sale	70 000	12/31/15	12/08/16	849 73	1,215 35			-365 62		
FIRST NIAGARA FINL GP INC COM, 33582V108										
Sale	20 000	12/31/15	07/21/16	205 58	217 59			-12 01		
GNC HLDGS INC COM CLA, GNC, 36191G107										
Sale	40.000	01/06/16	10/18/16	783 60	1,274 50			-490 90		
Sale	5 000	01/14/16	10/19/16	108 74	137 16			-28.42		
Sale	5 000	02/11/16	10/19/16	108 73	134 49			-25 76		
Sale	5 000	02/11/16	10/21/16	105 97	134 49			-28 52		
Sale	15 000	04/28/16	10/21/16	317 92	400 64			-82.72		
Sale	20 000	05/05/16	10/21/16	423.90	517.79			-93.89		
Subtotals				**1,848.86**	**2,599.07**					
HEARTWARE INTL INC COM, 422368100										
Sale	25 000	03/10/16	06/27/16	1,439.67	741.65			698 02		
II VI INC COM, IIVI, 902104108										
Sale	15 000	12/31/15	09/22/16	353 84	281 25			72 59		
IROBOT CORP COM, IRBT, 462726100										
Sale	5 000	02/11/16	12/13/16	300 73	148 83			151 90		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

Account No. NBJ-041428 Customer Service: 212-476-9000
Recipient ID No **-***0106 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation

2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
MELLANOX TECHNOLOGIES LTD COMSTK ILS0 01, MLNX, M51363113										
Sale	10 000	04/21/16	12/08/16	402.39	469.62			-67.23		
Sale	5 000	05/12/16	12/08/16	201 19	204 92			-3 73		
Sale	20 000	09/27/16	12/08/16	804 77	846.48			-41 71		
Sale	10 000	11/16/16	12/08/16	402.39	448.67			-46 28		
Subtotals				**1,810.74**	**1,969.69**					
MYRIAD GENETICS INC, MYGN, 62855J104										
Sale	40.000	08/18/16	10/18/16	795.86	853.09			-57.23		
Sale	20 000	08/25/16	10/18/16	397 94	423.53			-25 59		
Sale	25 000	09/27/16	10/18/16	497.41	552.17			-54.76		
Subtotals				**1,691.21**	**1,828.79**					
OFFICE DEPOT INC, ODP, 676220106										
Sale	125 000	12/08/15	12/08/16	641 36	697.99			-56.63		
Sale	65 000	05/12/16	12/08/16	333 50	217 44			116.06		
Subtotals				**974.86**	**915.43**					
OWENS CORNING NEW COM, OC, 690742101										
Sale	15 000	12/31/15	07/26/16	823 88	709.66			114 22		
RESTORATION HARDWAREHLDGS INC COM, RH, 761283100										
Sale	15.000	09/29/16	12/08/16	580 20	482.89			97.31		
RUDOLPH TECH INC, RTEC, 781270103										
Sale	35 000	12/31/15	11/23/16	685 60	502 19			183.41		

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2016 Informational Tax Reporting Statement

CAHN FAMILY FOUNDATION

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Recipient ID No. **-***0106 Payer's Fed ID Number. 04-3523567

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2016 Proceeds from Broker and Barter Exchange Transactions*

Short-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TELEPHONE & DATA SYSTEMS INC COM USD0.01, TDS, 879433829										
Sale	20 000	12/31/15	11/18/16	521.18	522 68			-1 50		
TETRA TECHNOLOGIES INC DEL, TTI, 88162F105										
Sale	150 000	12/31/15	12/08/16	745 49	1,137 86			-392.37		
UMPQUA HOLDINGS CORP, UMPQ, 904214103										
Sale	25 000	12/31/15	11/04/16	375 81	402 09			-26 28		
Sale	60 000	12/31/15	11/07/16	917 88	965 02			-47 14		
Subtotals				**1,293.69**	**1,367.11**					
UNITED STATES CELLULAR CORP, USM, 911684108										
Sale	15 000	12/31/15	11/21/16	534.80	616 39			-81.59		
VERIFONE SYS INC COM, PAY, 92342Y109										
Sale	30 000	12/15/15	12/08/16	495 60	754 90			-259 30		
Sale	10.000	06/08/16	12/08/16	165.21	200 44			-35.23		
Subtotals				**660.81**	**955.34**					
TOTALS				**27,622.04**	**30,807.57**	**0.00**	**227.17**		**0.00**	
		Short-Term Realized Gain						**2,045.36**		
		Short-Term Realized Loss						**-5,230.89**		

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
ACCURAY INC DEL COM, ARAY, 004397105										
Sale	150.000	04/28/15	12/08/16	776.71	1,348 50			-571.79		
Sale	80.000	06/17/15	12/08/16	414.25	553 05			-138 80		
Sale	55 000	06/17/15	12/09/16	287.48	380 22			-92 74		
Sale	45 000	10/09/15	12/09/16	235 21	240 37			-5.16		
Subtotals				**1,713.65**	**2,522.14**					
ACXIOM CORP, ACXM, 005125109										
Sale	20 000	04/28/15	11/23/16	538 83	364 80			174.03		
Sale	20 000	05/18/15	11/23/16	538 82	344 96			193.86		
Subtotals				**1,077.65**	**709.76**					
ALLSCRIPTS HEALTHCARE SOLUTIONSINC COM, MDRX, 01988P108										
Sale	145 000	04/28/15	12/08/16	1,578.10	1,876 30			-298.20		
Sale	15 000	10/29/15	12/08/16	163.25	212 40		49 15	-49 15		
Subtotals				**1,741.35**	**2,088.70**		**49.15**			
BANKRATE INC COM USD0.01, RATE, 06647F102										
Sale	80 000	04/28/15	11/10/16	735 67	999 20			-263 53		
Sale	80 000	06/17/15	11/10/16	735.67	1,104 74			-369 07		
Sale	15 000	06/18/15	11/10/16	137.94	172.82			-34 88		
Subtotals				**1,609.28**	**2,276.76**					
BROCADE COMMUNICATIONS SYS INC COM NEW, BRCD, 111621306										
Sale	60 000	04/28/15	08/25/16	609 95	724.20			-114.25		
Sale	55 000	04/28/15	11/04/16	678 84	663 85			12.99		

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

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1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
BROCADE COMMUNICATIONS SYS INC COM NEW, BRCD, 111621306										
Sale	10 000	10/29/15	11/04/16	123 06	103 49			19.57		
Subtotals				**1,409.85**	**1,491.54**					
CADENCE DESIGN SYSTEMS INC, CDNS, 127387108										
Sale	75 000	04/28/15	11/15/16	1,908 32	1,458 00			450.32		
CHARLES RIV LABORATORIES INTL INC, CRL, 159864107										
Sale	40.000	04/28/15	12/08/16	2,911 31	3,088 80			-177.49		
CHEMTURA CORPORATIONUSD0 01 ISIN #US1638, CHMT, 163893209										
Sale	15 000	04/28/15	08/23/16	469 63	451 35			18 28		
Sale	40 000	04/28/15	09/26/16	1,304 36	1,203 60			100 76		
Sale	30.000	04/28/15	09/27/16	978 94	902 70			76 24		
Subtotals				**2,752.93**	**2,557.65**					
CIENA CORP COM NEW, CIEN, 171779309										
Sale	55 000	04/28/15	08/12/16	1,145 89	1,202.30			-56.41		
CONVERGYS CORP, CVG, 212485106										
Sale	10.000	04/28/15	08/18/16	296.42	229 00			67.42		
Sale	20 000	04/28/15	08/24/16	596 07	458 00			138 07		
Subtotals				**892.49**	**687.00**					
COVANTA HLDG CORP COM, CVA, 22282E102										
Sale	100.000	04/28/15	12/08/16	1,434 80	1,987 88			-553 08		

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
CROCS INC COM, CROX, 227046109										
Sale	55 000	04/28/15	12/08/16	419.77	738.10			-318 33		
Sale	75 000	09/30/15	12/08/16	572.42	986.86			-414.44		
Subtotals				**992.19**	**1,724.96**					
DANAOS CORPORATION SHS, DAC, Y1968P105										
Sale	125 000	04/28/15	12/08/16	381 48	810 00			-428.52		
Sale	10 000	10/29/15	12/08/16	30.52	60.44			-29.92		
Subtotals				**412.00**	**870.44**					
DYNEGY INC NEW DEL COM, DYN, 26817R108										
Sale	45 000	08/04/15	12/08/16	365 24	1,107.15			-741 91		
Sale	60 000	04/28/15	06/21/16	991.38	1,981 20			-989 82		
Subtotals				**1,356.62**	**3,088.35**					
ELIZABETH ARDEN INC COM ISIN #US28660G10, 28660G106										
Sale	35 000	04/28/15	06/17/16	480.20	512.75			-32.55		
Sale	60 000	05/13/15	06/17/16	823 20	863 83			-40 63		
Subtotals				**1,303.40**	**1,376.58**					
EXPRESS INC COM, EXPR, 30219E103										
Sale	70 000	04/28/15	12/08/16	849 73	1,206 80			-357 07		
Sale	5 000	10/29/15	12/08/16	60 70	96 50			-35 80		
Subtotals				**910.43**	**1,303.30**					

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

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Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
FIRST NIAGARA FINL GP INC COM, 33582V108										
Sale	90.000	04/28/15	07/21/16	925 12	788 40			136 72		
II VI INC COM, IIVI, 902104108										
Sale	40 000	04/28/15	09/22/16	943 56	760 00			183 56		
IROBOT CORP COM, IRBT, 462726100										
Sale	25 000	05/07/15	11/23/16	1,370 44	814 68			555 76		
Sale	5 000	05/07/15	12/13/16	300.74	162 93			137 81		
Subtotals				**1,671.18**	**977.61**					
ITRON INC, ITRI, 465741106										
Sale	20 000	04/28/15	11/03/16	1,209.44	742 40			467 04		
MANITOWOC FOODSERVICE INC COM, MFS, 563568104										
Sale	5 000	04/28/15	08/04/16	90 45	75 69			14 76		
Sale	30.000	07/30/15	08/04/16	542 71	394 43			148 28		
Sale	80 000	07/30/15	11/18/16	1,410 01	1,051 81			358.20		
Subtotals				**2,043.17**	**1,521.93**					
MELLANOX TECHNOLOGIES LTD COMSTK ILS0 01, MLNX, M51363113										
Sale	55 000	04/28/15	12/08/16	2,213.12	2,608 10			-394.98		
NRG ENERGY INC COM NEW, NRG, 629377508										
Sale	35 000	04/28/15	12/08/16	434 35	882.35			-448 00		
Sale	115 000	08/04/15	12/08/16	1,427.14	2,426 01			-998 87		

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
NRG ENERGY INC COM NEW, NRG, 629377508										
Sale	15 000	10/29/15	12/08/16	186 14	194.20			-8 06		
Subtotals				**2,047.63**	**3,502.56**					
OFFICE DEPOT INC, ODP, 676220106										
Sale	125 000	04/28/15	12/08/16	641 36	1,147.50			-506 14		
Sale	10 000	10/29/15	12/08/16	51 31	75.89			-24 58		
Sale	65 000	12/07/15	12/08/16	333 51	363 29			-29 78		
Subtotals				**1,026.18**	**1,586.68**					
QUANTUM CORP DLT & STORAGE, QTM, 747906204										
Sale	290.000	04/28/15	12/08/16	250 99	597 40			-346 41		
Sale	220 000	05/26/15	12/08/16	190.40	416 48			-226 08		
Sale	40.000	05/27/15	12/08/16	34 62	75 69			-41 07		
Sale	135.000	07/14/15	12/08/16	116 84	227 68			-110 84		
Subtotals				**592.85**	**1,317.25**					
RUDOLPH TECH INC, RTEC, 781270103										
Sale	35 000	04/28/15	11/23/16	685.60	466 20			219 40		
Sale	5 000	10/29/15	11/23/16	97 95	63 75			34 20		
Subtotals				**783.55**	**529.95**					
SEACHANGE INTL INC COM, SEAC, 811699107										
Sale	125 000	06/11/15	12/08/16	330.33	958.80			-628 47		
Sale	125.000	04/28/15	12/09/16	324 80	863.75			-538 95		
Subtotals				**655.13**	**1,822.55**					

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

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1a Description of property, Stock or Other Symbol, CUSIP

Action	Quantity	**1b** Date Acquired	**1c** Date Sold or Disposed	**1d** Proceeds	**1e** Cost or Other Basis (a)	**1f** Accrued Market Discount	**1g** Wash Sale Loss Disallowed	Gain/Loss (-)	**4** Federal Income Tax Withheld	**14** State **16** State Tax Withheld
SELECT COMFORT CORP COM, SCSS, 81616X103										
Sale	35 000	04/28/15	12/08/16	790 29	1,151 15			-360 86		
Sale	35 000	07/23/15	12/08/16	790.30	917.28			-126 98		
Subtotals				**1,580.59**	**2,068.43**					
TELEPHONE & DATA SYSTEMS INC COM USD0 01, TDS, 879433829										
Sale	25 000	04/28/15	11/18/16	651 47	671 50			-20 03		
TETRA TECHNOLOGIES INC DEL, TTI, 88162F105										
Sale	160.000	04/28/15	12/08/16	795 20	1,148 80			-353.60		
Sale	10 000	10/29/15	12/08/16	49.69	68.36			-18 67		
Subtotals				**844.89**	**1,217.16**					
UMPQUA HOLDINGS CORP, UMPQ, 904214103										
Sale	95 000	04/28/15	11/04/16	1,428.05	1,627.35			-199 30		
Sale	10 000	10/29/15	11/04/16	150.32	171.77			-21 45		
Subtotals				**1,578.37**	**1,799.12**					
UNITED STATES CELLULAR CORP, USM, 911684108										
Sale	15 000	04/28/15	11/21/16	534 80	565 95			-31 15		
VERIFONE SYS INC COM, PAY, 92342Y109										
Sale	35 000	04/28/15	12/08/16	578 20	1,233 75			-655 55		
Sale	5 000	10/29/15	12/08/16	82 59	152.62			-70 03		
Subtotals				**660.79**	**1,386.37**					

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2016 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

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Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (a)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
1a Description of property, Stock or Other Symbol, CUSIP										
VERINT SYS INC COM, VRNT, 92343X100										
Sale	55 000	04/28/15	12/08/16	1,885.34	3,466 59			-1,581 25		
Sale	45.000	06/04/15	12/08/16	1,542.56	2,878.82			-1,336.26		
Sale	15 000	09/03/15	12/08/16	514.18	709 77			-195 59		
Sale	5.000	09/25/15	12/08/16	171 39	216.15			-44 76		
Sale	25 000	12/03/15	12/08/16	856.98	992.37			-135.39		
Subtotals				**4,970.45**	**8,263.70**					
TOTALS				**48,504.45**	**60,563.82**	**0.00**	**49.15**		**0.00**	
		Long-Term Realized Gain						**3,507.27**		
		Long-Term Realized Loss						**-15,566.64**		

(a) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers.

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