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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation WEST FOUNDATION, INC.		A Employer identification number 72-6018637
Number and street (or P.O. box number if mail is not delivered to street address) 6121 FERN AVENUE, UNIT 122	Room/suite	B Telephone number (318) 371-2350
City or town, state or province, country, and ZIP or foreign postal code SHREVEPORT, LA 71105		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 659,853.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B interest on savings and temporary cash investments					
3					
4 Dividends and interest from securities		19,494.	19,494.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		7,281.			
b Gross sales price for all assets on line 6a 52,377.					
7 Capital gain net income (from Part IV, line 2)			7,281.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		26,775.	26,775.		
13 Compensation of officers, directors, trustees, etc		4,800.	2,400.		2,400.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		550.	55.		495.
c Other professional fees					
17 Interest					
18 Taxes STMT 3		819.	253.		218.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		848.	0.		848.
22 Printing and publications					
23 Other expenses STMT 4		7,543.	1,213.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		14,560.	3,921.		3,961.
25 Contributions, gifts, grants paid		39,955.			39,955.
26 Total expenses and disbursements. Add lines 24 and 25		54,515.	3,921.		43,916.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<27,740.>			
b Net investment income (if negative, enter -0-)			22,854.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	20,871.	19,996.	19,996.
	2 Savings and temporary cash investments	702.	704.	704.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	633,719.	639,153.	639,153.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		655,292.	659,853.	659,853.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
27 Capital stock, trust principal, or current funds	831,689.	831,689.		
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	<176,397.>	<171,836.>		
30 Total net assets or fund balances	655,292.	659,853.		
31 Total liabilities and net assets/fund balances	655,292.	659,853.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	655,292.
2 Enter amount from Part I, line 27a	2	<27,740.>
3 Other increases not included in line 2 (itemize) UNREALIZED GAIN ON INVESTMENTS	3	32,301.
4 Add lines 1, 2, and 3	4	659,853.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	659,853.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 52,377.		45,096.	7,281.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			7,281.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,281.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	36,170.	638,800.	.056622
2014	28,430.	627,258.	.045324
2013	37,503.	614,050.	.061075
2012	35,696.	604,665.	.059034
2011	33,802.	606,524.	.055731

2 Total of line 1, column (d)	2	.277786
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055557
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	641,971.
5 Multiply line 4 by line 3	5	35,666.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	229.
7 Add lines 5 and 6	7	35,895.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	43,916.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**3** Add lines 1 and 2**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**5** **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-**6** Credits/Payments:**a** 2016 estimated tax payments and 2015 overpayment credited to 2016**b** Exempt foreign organizations - tax withheld at source**c** Tax paid with application for extension of time to file (Form 8868)**d** Backup withholding erroneously withheld**7** Total credits and payments. Add lines 6a through 6d**8** Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached**9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed****10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid****11** Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ☒**Refunded** ☐**Part VII-A Statements Regarding Activities****1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities**c** Did the foundation file **Form 1120-POL** for this year?**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:(1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.**e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?**b** If "Yes," has it filed a tax return on **Form 990-T** for this year?

N/A

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV**8a** Enter the states to which the foundation reports or with which it is registered (see instructions) ☒

LA

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes," complete Part XIV**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► A DAVID EVANS Telephone no. ► (318) 458-4314		
Located at ► 6121 FERN AVENUE, UNIT 122, SHREVEPORT, LA		ZIP+4 ► 71105
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		4,800.	0.	6,330.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
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Expenses

Amount

Total. Add lines 1 through 3	0.
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	642,286.
b	Average of monthly cash balances	1b	9,461.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	651,747.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	651,747.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,776.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	641,971.
6	Minimum investment return. Enter 5% of line 5	6	32,099.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	32,099.
2a	Tax on investment income for 2016 from Part VI, line 5	2a	229.
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	229.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,870.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	31,870.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,870.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	43,916.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	43,916.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	229.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	43,687.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				31,870.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				4,864.
f Total of lines 3a through e	4,864.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$	43,916.			
a Applied to 2015, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				31,870.
e Remaining amount distributed out of corpus	12,046.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,910.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	16,910.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				4,864.
e Excess from 2016				12,046.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(p)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- ## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BAYLOR UNIVERSITY 1311 SOUTH 5TH STREET WACO, TX 76798	NONE	PC	EDUCATIONAL	9,000.
BOY SCOUTS OF AMERICA 3508 BEVERLY PLACE SHREVEPORT, LA 71104-4606	NONE	PC	CHARITABLE	100.
FIRST BAPTIST CHURCH 301 PENNSYLVANIA AVENUE MINDEN, LA 71055-5623	NONE	PC	RELIGIOUS	11,000.
L.S.U. TIGER ATHLETIC FOUNDATION P. O. BOX 711 BATON ROUGE, LA 70821	NONE	PC	EDUCATIONAL	10,405.
YMCA SHREVEPORT 400 MCNEIL STREET SHREVEPORT, LA 71101	NONE	PC	CHARITABLE	1,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				39,955.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMERICAN ELECTRIC POWER INC	P		11/07/16
b	AMERICAN EXPRESS COMPANY	P		11/07/16
c	WALT DISNEY COMPANY	P		11/07/16
d	WELLS FARGO #2958 SEE ATTACHED	P		
e	WELLS FARGO #2958 SEE ATTACHED	P		
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,218.		8,619.	3,599.
b 3,152.		2,754.	398.
c 6,301.		1,776.	4,525.
d 1,208.		1,180.	28.
e 28,183.		30,767.	<2,584.>
f 1,315.			1,315.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,599.
b			398.
c			4,525.
d			28.
e			<2,584.>
f			1,315.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	7,281.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MINDEN BANCORP, INC.	7,840.	0.	7,840.	7,840.	
WELLS FARGO - 2958	3,706.	1,315.	2,391.	2,391.	
WELLS FARGO - 6305	9,263.	0.	9,263.	9,263.	
TO PART I, LINE 4	20,809.	1,315.	19,494.	19,494.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HEARD, MCELROY & VESTAL	550.	55.		495.
TO FORM 990-PF, PG 1, LN 16B	550.	55.		495.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FORM 990-PF	348.	0.		0.
FOREIGN TAX WITHHELD	34.	34.		0.
PAYROLL TAXES	437.	219.		218.
TO FORM 990-PF, PG 1, LN 18	819.	253.		218.

FORM 990-PF	OTHER EXPENSES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	1,213.	1,213.		0.
INSURANCE	6,330.	0.		0.
TO FORM 990-PF, PG 1, LN 23	7,543.	1,213.		0.

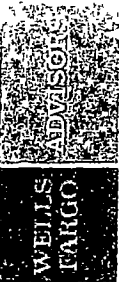
FORM 990-PF	CORPORATE STOCK		STATEMENT 5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
WELLS FARGO #6305	204,489.	204,489.	
MINDEN BANCORP COMMON STOCK	332,500.	332,500.	
WELLS FARGO #2958	102,164.	102,164.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	639,153.	639,153.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 6
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
C. O. WEST 282 CIRCLE W RANCH ROAD MINDEN, LA 71055	DIRECTOR/PRES 2.00	4,800.	0.	6,330.
LEATRICE D. WEST 282 CIRCLE W RANCH ROAD MINDEN, LA 71055	DIRECTOR/VP 2.00	0.	0.	0.
A. DAVID EVANS 1307 EAST TODD MINDEN, LA 71055	DIR/SEC-TREAS 1.00	0.	0.	0.
GLORIA EVANS 1307 EAST TODD MINDEN, LA 71055	DIR/ASST S-T 1.00	0.	0.	0.
SANDRA W JACKSON 338 CIRCLE W RANCH ROAD MINDEN, LA 71055	DIRECTOR 1.00	0.	0.	0.
CLAUDIA LEE 264 CIRCLE W RANCH ROAD MINDEN, LA 71055	DIRECTOR 1.00	0.	0.	0.
PEGGY WATERS 1502 EAMES MINDEN, LA 71055	DIRECTOR 1.00	0.	0.	0.
MARK D EVANS 9207 STONEBRIAR CIRCLE SHREVEPORT, LA 71115	DIRECTOR 1.00	0.	0.	0.
KAREN E ANTHONY 705 MUIRFIELD ROAD KELLER, TX 76248	DIRECTOR 1.00	0.	0.	0.
KATHY S BLAZEK 7607 BROKEN OAK LANE SUGARLAND, TX 77479	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		4,800.	0.	6,330.

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WEST FOUNDATION INC
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Proceeds from Broker and Barter Exchange Transactions

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
ABERDEEN TOTAL RETURN *	0 50300	09/30/2015	09/14/2016	6 87	6 58	0 00	0 00	0 29	Original Basis 6 59
BOND FUND CLASS I	0 45100	10/30/2015	09/14/2016	6 16	5 92	0 00	0 00	0 24	Box 6: Gross Proceeds
CUSIP 04315J209	0 81000	11/25/2015	09/14/2016	11 07	10 59	0 00	0 00	0 48	Box 6: Gross Proceeds
	0 85300	12/30/2015	09/14/2016	11 65	11 06	0 00	0 00	0 59	Box 6: Gross Proceeds
	0 53100	01/29/2016	09/14/2016	7 25	6 93	0 00	0 00	0 32	Box 6: Gross Proceeds
	0 39900	02/29/2016	09/14/2016	5 45	5 22	0 00	0 00	0 23	Box 6: Gross Proceeds
	0 30000	03/31/2016	09/14/2016	4 10	3 99	0 00	0 00	0 11	Box 6: Gross Proceeds
	0 30000	04/29/2016	09/14/2016	4 10	4 02	0 00	0 00	0 08	Box 6: Gross Proceeds
	0 30500	05/31/2016	09/14/2016	4 17	4 08	0 00	0 00	0 09	Box 6: Gross Proceeds
	0 30400	06/30/2016	09/14/2016	4 16	4 15	0 00	0 00	0 02	Box 6: Gross Proceeds
	0 31000	07/29/2016	09/14/2016	4 25	4 17	0 00	0 00	-0 01	Box 6: Gross Proceeds
	5 37100	08/31/2016	09/14/2016	\$73 40	\$70 97	\$0 00	\$0 00	\$2 43	Box 6: Gross Proceeds
Subtotals									
GOLDMAN SACHS TR *	0 87800	10/01/2015	09/14/2016	8 38	8 67	0 00	0 00	-0 29	Box 6: Gross Proceeds
STRATEGIC INCOME FD CL	1 04500	11/02/2015	09/14/2016	9 98	10 37	0 00	0 00	-0 39	Box 6: Gross Proceeds
CUSIP 38145C646	1 22500	12/01/2015	09/14/2016	11 70	12 12	0 00	0 00	-0 42	Box 6: Gross Proceeds
	5 66400	12/29/2015	09/14/2016	54 10	54 49	0 00	0 00	-0 39	Box 6: Gross Proceeds
	1 10700	01/04/2016	09/14/2016	10 57	10 65	0 00	0 00	-0 08	Box 6: Gross Proceeds
	1 15400	02/01/2016	09/14/2016	11 02	10 89	0 00	0 00	0 13	Box 6: Gross Proceeds
	0 83800	03/01/2016	09/14/2016	8 00	7 77	0 00	0 00	0 23	Box 6: Gross Proceeds
	0 49400	04/01/2016	09/14/2016	4 72	4 65	0 00	0 00	0 07	Box 6: Gross Proceeds

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Proceeds from Broker and Barrier Exchange Transactions continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
GOLDMAN SACHS TR STRATEGIC INCOME FD CL CUSIP 38145C646	0.95800	05/02/2016	09/14/2016	9.15	9.06	0.00	0.00	0.09	Box 6: Gross Proceeds
	1.11100	06/01/2016	09/14/2016	10.61	10.55	0.00	0.00	0.06	Box 6: Gross Proceeds
	0.86400	07/01/2016	09/14/2016	8.26	8.10	0.00	0.00	0.16	Box 6: Gross Proceeds
	0.86600	08/01/2016	09/14/2016	8.27	8.20	0.00	0.00	0.07	Box 6: Gross Proceeds
	0.72700	09/01/2016	09/14/2016	6.96	6.94	0.00	0.00	0.02	Box 6: Gross Proceeds
Subtotals	16.93100			\$161.72	\$162.46	\$0.00	\$0.00	(\$0.74)	
INVESTMENT MANAGERS SER TR OAK RIDGE CUSIP 46141P156	4.51000	12/11/2015	09/14/2016	162.41	160.56	0.00	0.00	1.85	Box 6: Gross Proceeds
JPMORGAN FUNDS JPMORGAN INTREPID VALUE CUSIP 4812A2306	0.48600	09/29/2015	09/14/2016	15.29	15.36	0.00	0.00	-0.07	Box 6: Gross Proceeds
	0.50700	12/14/2015	09/14/2016	15.95	15.94	0.00	0.00	0.01	Box 6: Gross Proceeds
	6.26500	12/14/2015	09/14/2016	197.16	196.84	0.00	0.00	0.32	Box 6: Gross Proceeds
	0.84500	12/22/2015	09/14/2016	26.59	26.57	0.00	0.00	0.02	Box 6: Gross Proceeds
	0.44500	03/30/2016	09/14/2016	14.00	13.86	0.00	0.00	0.14	Box 6: Gross Proceeds
	0.65500	06/29/2016	09/14/2016	20.63	19.90	0.00	0.00	0.73	Box 6: Gross Proceeds
Subtotals	9.20300			\$289.62	\$288.47	\$0.00	\$0.00	\$1.15	
OPPENHEIMER SENIOR FLOATING RATE FD CL Y CUSIP 68381K408	0.94700	10/01/2015	09/14/2016	7.48	7.47	0.00	0.00	0.01	Box 6: Gross Proceeds
	1.04300	11/02/2015	09/14/2016	8.24	8.19	0.00	0.00	0.05	Box 6: Gross Proceeds
	0.98200	12/01/2015	09/14/2016	7.76	7.58	0.00	0.00	0.18	Box 6: Gross Proceeds
	1.20400	01/04/2016	09/14/2016	9.51	9.13	0.00	0.00	0.38	Box 6: Gross Proceeds
	1.16300	02/01/2016	09/14/2016	9.19	8.65	0.00	0.00	0.54	Box 6: Gross Proceeds
	1.11400	03/01/2016	09/14/2016	8.80	8.20	0.00	0.00	0.60	Box 6: Gross Proceeds
	1.18500	04/01/2016	09/14/2016	9.36	8.96	0.00	0.00	0.40	Box 6: Gross Proceeds
	1.09500	05/02/2016	09/14/2016	8.65	8.42	0.00	0.00	0.23	Box 6: Gross Proceeds
	1.17100	06/01/2016	09/14/2016	9.25	9.10	0.00	0.00	0.15	Box 6: Gross Proceeds

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Proceeds from Broker and Barter Exchange Transactions, continued

Short Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1a)	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
OPPENHEIMER SENIOR FLOATING RATE FD CLY CUSIP 68381K408	1 12600 1 16700 1 08600 13.28300	07/01/2016 08/01/2016 09/01/2016	09/14/2016 09/14/2016 09/14/2016	8 90 9 23 8 60 \$104.97	8 73 9 14 8 55 \$102.12	0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 \$0.00	0 17 0 09 0 05 \$2.85	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
VICTORY PORTFOLIOS RS VALUE FD CLY CUSIP 92647Q470	0 76603 12 56199 13.32802	12/18/2015 12/18/2015	09/14/2016 09/14/2016	22 81 373 97 \$396.78	21 71 356 02 \$377.73	0 00 0 00 \$0.00	0 00 0 00 \$0.00	1 10 17 95 \$19.05	Box 6: Gross Proceeds Box 6: Gross Proceeds
Subtotals									
VIRTUS CHG EMERGING MARKETS CUSIP 92828T889	1 94500	12/23/2015	09/14/2016	19 55	17 37	0 00	0 00	2 18	Box 6: Gross Proceeds
Totals				\$1,208.45	\$1,179.68	\$0.00	\$0.00	\$28.77	

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Proceeds from Broker and Barter Exchange Transactions, continued

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INVESCO GLOBAL REAL ESTATE Y CUSIP 00142C219	3 24600	08/18/2015	09/14/2016	43 01	42 37	0 00	0 00	0 64	Box 6: Gross Proceeds
AMG FUNDS III MANAGERS LOOMIS SAYLES CUSIP 00170L834	7 76400	04/23/2015	09/14/2016	212 43	216 93	0 00	0 00	-4 50	Box 6: Gross Proceeds
ADVISORS INNER CIRCLE FD II INC NEW CHAMPLAIN CUSIP 00764Q405	12 94600	04/23/2015	09/14/2016	233 02	221 25	0 00	0 00	11 77	Box 6: Gross Proceeds
ABERDEEN TOTAL RETURN BOND FUND CLASS I CUSIP 04315J209	368 04300	04/23/2015	09/14/2016	5,027 46	4,935 46	0 00	0 00	92 00	Original Basis 4,939 13 Box 6: Gross Proceeds
	0 81800	04/30/2015	09/14/2016	11 17	10 91	0 00	0 00	0 26	Box 6: Gross Proceeds
	0 78500	05/29/2015	09/14/2016	10 72	10 40	0 00	0 00	0 32	Box 6: Gross Proceeds
	0 75600	06/30/2015	09/14/2016	10 32	9 87	0 00	0 00	0 45	Box 6: Gross Proceeds
	0 72000	07/31/2015	09/14/2016	9 83	9 44	0 00	0 00	0 39	Original Basis 9 45 Box 6: Gross Proceeds
	0 50900	08/31/2015	09/14/2016	6 95	6 65	0 00	0 00	0 30	Original Basis 6 66 Box 6: Gross Proceeds
Subtotals	371.63100			\$5,076.45	\$4,982.73	\$0.00	\$0.00	\$93.72	
COHEN & STEERS RLTY SHARES INC CUSIP 192476109	1 78800	04/23/2015	09/14/2016	130 45	140 47	0 00	0 00	-10 02	Box 6: Gross Proceeds
FIDELITY ADVISOR STRATEGIC INCOME CL I CUSIP 315920801	13 11300	04/23/2015	09/14/2016	159 98	160 60	0 00	0 00	-0 62	Original Basis: 161 30 Box 6: Gross Proceeds

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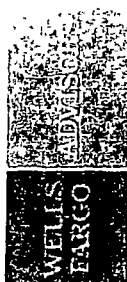
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Proceeds from Broker and Barter Exchange Transactions, continued

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GOLDMAN SACHS TR FINL SQUARE TREAS INSTRS CUSIP 38142B500	24 02000	04/23/2015	10/14/2016	24 02	24 02	0 00	0 00	0 00	Box 6: Gross Proceeds
GOLDMAN SACHS TR STRATEGIC INCOME FD CL CUSIP 38145C646	387 29600 0 24500 1 08100 1 16100 1 19000 1 03900 392.01200	04/23/2015 05/01/2015 06/01/2015 07/01/2015 08/03/2015 09/01/2015	09/14/2016 09/14/2016 09/14/2016 09/14/2016 09/14/2016 09/14/2016	3,698.68 2 33 10 32 11 08 11 36 9 92 \$3,743.89	3,896.20 2 47 10 95 11 66 11 95 10 35 \$3,943.58	0 00 0 00 0 00 0 00 0 00 0 00 \$0.00	0 00 0 00 0 00 0 00 0 00 0 00 \$0.00	-197 52 -0 14 -0 63 -0 58 -0 59 -0 43 (\$199.89)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
INVESTMENT MANAGERS SER TR OAK RIDGE CUSIP 46141P156	69 78400	04/23/2015	09/14/2016	2,512.92	3,000.00	0 00	0 00	-487 08	Box 6: Gross Proceeds
JPMORGAN FUNDS JPMORGAN INTREPID VALUE CUSIP 4812A2306	135 95600 0 54500 136.50100	04/23/2015 06/29/2015	09/14/2016 09/14/2016	4,278.53 17 15 \$4,295.68	4,977.33 19 85 \$4,997.18	0 00 0 00 \$0.00	0 00 0 00 \$0.00	-698 80 -2 70 (\$701.50)	Box 6: Gross Proceeds Box 6: Gross Proceeds Box 6: Gross Proceeds
MFS SER TR X EMERGING MKTS DEBT FD CUSIP 55273E640	17 32400	04/23/2015	09/14/2016	259.00	258.83	0 00	0 00	0 17	Box 6: Gross Proceeds
OPPENHEIMER INTL BD FD CLASS Y CUSIP 68380T509	42 22200	04/23/2015	09/14/2016	249.11	244.03	0 00	0 00	5 08	Original Basis 249.54 Box 6: Gross Proceeds

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Proceeds from Broker and Better Exchange Transactions continued

Long Term Gains or Losses (Box 2) For Covered Securities (Box 5)

Description CUSIP (Box 1a)	Quantity Sold (Box 1b)	Date Acquired (Box 1c)	Date Sold or Disposed (Box 1d)	Proceeds (Box 1e)	Cost or Other Basis (Box 1f)	Accrued Market Discount (Box 1g)	Wash Sale Loss Disallowed (Box 1h)	Gain/Loss Amount	Additional Information
OPPENHEIMER SENIOR FLOATING RATE FD CL Y CUSIP 68381K408	240 84200	04/23/2015	09/14/2016	1,902 65	1,967 67	0 00	0 00	-65 02	Box 6: Gross Proceeds
	0 12600	05/01/2015	09/14/2016	0 99	1 03	0 00	0 00	-0 04	Box 6: Gross Proceeds
	0 88200	06/01/2015	09/14/2016	6 96	7 19	0 00	0 00	-0 23	Box 6: Gross Proceeds
	0 90600	07/01/2015	09/14/2016	7 15	7 31	0 00	0 00	-0 16	Box 6: Gross Proceeds
	0 98300	08/03/2015	09/14/2016	7 76	7 93	0 00	0 00	-0 17	Box 6: Gross Proceeds
	0 91600	09/01/2015	09/14/2016	7 23	7 31	0 00	0 00	-0 08	Box 6: Gross Proceeds
Subtotals	244.65500			\$1,932.74	\$1,998.44	\$0.00	\$0.00	(\$65.70)	
THORNBURG INVT TR INVT INCOME BUILDER FD CUSIP 88521S467	133 93100	04/23/2015	09/14/2016	2,639 78	2,992 02	0 00	0 00	-352 24	Box 6: Gross Proceeds
VICTORY PORTFOLIOS RS VALUE FD CL Y CUSIP 92647Q470	141 36299	04/23/2015	09/14/2016	4,208 38	5,000 00	0 00	0 00	-791 62	Box 6: Gross Proceeds
	1 28599	08/18/2015	09/14/2016	38 28	43 94	0 00	0 00	-5 66	Box 6: Gross Proceeds
Subtotals	142.64898			\$4,246.66	\$5,043.94	\$0.00	\$0.00	(\$797.28)	
VIRTUS CHG EMERGING MARKETS CUSIP 92828T889	192 67800	04/23/2015	09/14/2016	1,936 41	2,000 00	0 00	0 00	-63 59	Box 6: Gross Proceeds
	14 05200	08/18/2015	09/14/2016	141 22	132 09	0 00	0 00	9 13	Box 6: Gross Proceeds
Subtotals	206.73000			\$2,077.63	\$2,132.09	\$0.00	\$0.00	(\$54.46)	
WELLS FARGO EMERGING MARKETS EQUITY FUND CUSIP 94975P751	16 62200	04/23/2015	09/14/2016	346 56	368 68	0 00	0 00	-22 12	Box 6: Gross Proceeds
Totals				\$28,183.13	\$30,767.18	\$0.00	\$0.00	(\$2,584.03)	

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss or Cost columns. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

