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Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2016

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning

, 2016, and ending

, 20

Name of foundation

KEITH B. OHNMEIS FOUNDATION

A Employer identification number

12-1582503

B Telephone number (see instructions)

817 321 2149

C If exemption application is pending, check here ☐

Number and street (or P O box number if mail is not delivered to street address)

500 COMMERCE STREET

Room/suite

700

City or town, state or province, country, and ZIP or foreign postal code

FT. WORTH, TX 76102-5478

G Check all that apply:

☐ Initial return ☐ Initial return of a former public charity

☐ Final return ☐ Amended return

☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 136,881.04

J Accounting method ☒ Cash ☐ Accrual

☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

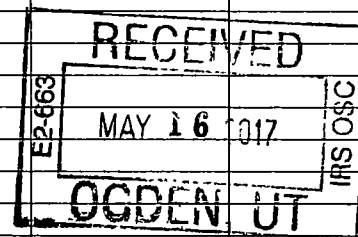
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	19,035.00			
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	4,370.00	4,370.00		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	290.83			
	b	Gross sales price for all assets on line 6a 18,948.84				
	7	Capital gain net income (from Part IV, line 2)		290.83		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0.00			
	11	Other income (attach schedule)	1,256.00	1,256.00		
		Partnership Income				
	12	Total. Add lines 1 through 11	24,951.83	5,916.83	0.00	
	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) Bank Fees 129.94 ADR Passthrough Fees \$7.42; Wire Transfer \$25	162.36	162.36		
	24	Total operating and administrative expenses. Add lines 13 through 23	162.36	162.36	0.00	0.00
	25	Contributions, gifts, grants paid	5,750.00			5,750.00
	26	Total expenses and disbursements. Add lines 24 and 25	5,912.36	162.36	0.00	5,750.00
	27	Subtract line 26 from line 12.				
	a	Excess of revenue over expenses and disbursements	19,039.47			
	b	Net investment income (if negative, enter -0-)		5,754.47		
	c	Adjusted net income (if negative, enter -0-)			0.00	



For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2016)

P 18

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,098.73	3,148.79	3,148.79
	2	Savings and temporary cash investments	243.47	82.35	82.35
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	50,519.24	48,027.60	52,699.90
	c	Investments—corporate bonds (attach schedule)	16,986.60	35,769.60	64,450.00
	11	Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	29,907.25	33,202.38	16,500.00
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	101,755.29	120,230.72	136,881.04
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.00	0.00	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	101,755.29	120,230.72	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	101,755.29	120,230.72	
	31	Total liabilities and net assets/fund balances (see instructions)	101,755.29	120,230.72	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	101,755.29
2	Enter amount from Part I, line 27a	2	19,039.47
3	Other increases not included in line 2 (itemize) ▶ Adjustment	3	.35
4	Add lines 1, 2, and 3	4	120,795.11
5	Decreases not included in line 2 (itemize) ▶ Adjust Basis of Legacy Reserves 8% Bond Accrued Int	5	564.89
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	120,230.22

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a .0174 Hercules Offshore	P	8/28/14; 9/22/14	4/1/16
b 1.999 Hercules Offshore	P	8/28/14; 9/22/14	5/27/16
c 100.0 General Motors	P	3/21/14	8/17/16
d 300.0 Invesco	P	12/31/07	8/19/16
e From Supplemental Pages			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 0.09		0	0.09
b 0.00		1,498.49	(1,498.49)
c 3,163.40		3,514.85	(351.45)
d 3,445.72		4,729.95	(1,284.23)
e 12,339.63		8,914.72	3,424.91

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	290.83
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	2,500.00	73,021.44	0.0342
2014	3,500.00	76,405.05	0.0458
2013	3,600.00	51,306.88	0.0702
2012	1,700.00	26,932.32	0.0631
2011	1,600.00	22,038.68	0.0726

2 Total of line 1, column (d)	2	0.2859
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.05718
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	81,414.61
5 Multiply line 4 by line 3	5	4,655.29
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	57.55
7 Add lines 5 and 6	7	4,712.84
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	5,750.00

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 050.0 MGM Resorts	P	3/18/15	11/3/16
b 100.0 MGM Resorts	P	3/18/15	11/3/16
c 050.0 Akamai Technologies	P	2/7/13	11/3/16
d 001.0 Telefonica SA	P	11/15/16	12/9/16
e 050.0 Akamai Technologies	P	2/7/13	7/19/16

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,282.22		1,130.65	151.57
b 2,564.45		2,261.30	303.15
c 3,309.17		1,746.87	1,562.30
d 2.89		2.87	.02
e 2,851.62		1,746.88	1,104.74

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="flex: 1;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">If gain, also enter in Part I, line 7</div> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">If (loss), enter -0- in Part I, line 7</div> </div> </div>	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	320.0 Resolute Energy Corp	P	5/1/15	7/19/16
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,329.28		2,026.15	303.13	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015			
2014			
2013			
2012			
2011			
2	Total of line 1, column (d)		2
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5		4
5	Multiply line 4 by line 3		5
6	Enter 1% of net investment income (1% of Part I, line 27b)		6
7	Add lines 5 and 6		7
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions		8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	57	55
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3 Add lines 1 and 2	3	57	55
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	57	55
6 Credits/Payments			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	0	00
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	57	55
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	00
11 Enter the amount of line 10 to be credited to 2017 estimated tax	11	0	00
Refunded			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ NONE (2) On foundation managers ► \$ NONE		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ NONE		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► TEXAS		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X	

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	X	
14 The books are in care of ► KEITH B. OHNMEIS Telephone no ► 817 321 2149 Located at ► 500 COMMERCE STREET, SUITE 700, FT. WORTH, TX ZIP+4 ► 76102-5478		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KEITH B. OHNMEIS 500 COMMERCE, FT. WORTH, TX 76102	PRESIDENT/TREASURER/TRUSTEE 1 HR./MONTH	0	0	0
THEODORE C. ANDERSON 3109 CARLISLE, DALLAS, TX 75204	TRUSTEE NONE	0	0	0
MARY E. EDMISTON 1901 N. AKARD ST., DALLAS, TX 75201	TRUSTEE NONE	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
				NONE
				NONE
				NONE
				NONE
				NONE
				NONE
Total number of other employees paid over \$50,000				NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
		NONE
		NONE
		NONE
		NONE
		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	NONE
2	NONE
3	NONE
4	NONE

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	NONE
2	NONE
All other program-related investments See instructions	
3	NONE
Total. Add lines 1 through 3	0.00

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	80,394.00
b	Average of monthly cash balances	1b	2,260.43
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	82,654.43
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	82,654.43
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	1,239.82
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	81,414.61
6	Minimum investment return. Enter 5% of line 5	6	4,070.73

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	4,070.73
2a	Tax on investment income for 2016 from Part VI, line 5	2a	57.55
b	Income tax for 2016 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	57.55
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,013.18
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	4,013.18
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,013.18

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	5,750.00
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,750.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	57.55
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,692.45

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				4,013.18
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011	506.72			
b From 2012	404.40			
c From 2013	1,111.03			
d From 2014				
e From 2015				
f Total of lines 3a through e	2,022.15			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ 5,750.00				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2016 distributable amount				4,013.18
e Remaining amount distributed out of corpus	1,736.82			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,758.97			
b Prior years' undistributed income Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		0.00		
e Undistributed income for 2015 Subtract line 4a from line 2a. Taxable amount—see instructions			0.00	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	506.72			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	3,252.25			
10 Analysis of line 9.				
a Excess from 2012	404.40			
b Excess from 2013	1,111.03			
c Excess from 2014				
d Excess from 2015				
e Excess from 2016	1,736.82			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶ Not Applicable
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0.00
b 85% of line 2a	0.00	0.00	0.00	0.00	0.00
c Qualifying distributions from Part XII, line 4 for each year listed					0.00
d Amounts included in line 2c not used directly for active conduct of exempt activities					0.00
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0.00	0.00	0.00	0.00	0.00
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0.00
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.00
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0.00
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.00
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.00
(3) Largest amount of support from an exempt organization					0.00
(4) Gross investment income					0.00

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

KEITH B. OHNMEIS

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
- b** The form in which applications should be submitted and information and materials they should include
- c** Any submission deadlines
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
Jackson Center for the Arts 240 S. Glenwood St. Jackson, WY 83001 Friends of Fish Creek P O Box 1014 Wilson, WY 83012	Not Applicable	Public	General Operating	1,000.00
Restoration Outreach 4209 Samuell Blvd Dallas, TX 75228	Not Applicable	Public	General Operating	1,000.00
Teton Raptor Center 5450 Wyoming 22 Wilson, WY 83014	Not Applicable	Public	General Operating	500.00
Wilson Volunteer Fire Department P O Box 31 Wilson, WY 83001	Not Applicable	Public	General Operating	2,000.00
Jackson Center for the Arts 240 S. Glenwood St. Jackson, WY 83001	Not Applicable	Public	General Operating	250.00
	Not Applicable	Public	General Operating	1,000.00
Total			3a	5,750.00
b <i>Approved for future payment</i>				
Total			3b	0.00

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					4,370.00
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					290.83
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue. a Plains All American					69
b	Fortress Transportation					601
c	Legacy Reserves LP					458
d	Other					128
e						
12	Subtotal. Add columns (b), (d), and (e)		0.00		0.00	5,916.83
13	Total. Add line 12, columns (b), (d), and (e)				13	5,916.83

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/11/17
Date


PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
MARY E. EDMISTON

Preparer's signature

Preparer's signature 

Date	4/24/17
------	---------

Check ☒ if self-employed

PTIN	P01470328
------	-----------

Firm's name ▶ MARY E. EDMISTON ATTORNEY

Firm's EIN ►

Firm's address ▶ 1901 N. AKARD ST. DALLAS, TX 75201-2305

Phone no 214 720 1488

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2016

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.**Name of the organization**

KEITH B. OHNMEIS FOUNDATION

Employer identification number

75-1582503

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization KEITH B. OHNMEIS FOUNDATION	Employer identification number 75-1582503
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	KEITH B. OHNMEIS 500 Commerce Street, Suite 700 Ft. Worth, TX 76102-5478	\$ 19,035.00	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

KEITH B. OHNMEIS FOUNDATION
P.O. BOX 1250
WILSON, WY 83014

TAX I D. 75-1582503
TAX YEAR 2016

CHARLES SCHWAB #4174-9655

PART X, LINES 1a and 1b

AVERAGE FAIR MARKET VALUE OF SECURITIES				AVERAGE OF MONTHLY CASH BALANCES		
JANUARY	65,997	59,616	62,807	243	474	358
FEBRUARY	59,616	56,251	57,934	474	765	620
MARCH	56,251	60,391	58,321	765	989	877
APRIL	60,391	65,003	62,697	989	1,109	1,049
MAY	65,003	69,648	67,325	1,109	900	1,005
JUNE	69,648	72,443	71,045	900	84	492
JULY	72,443	79,195	75,819	84	2,853	1,469
AUGUST	79,195	91,766	85,480	2,853	1,326	2,090
SEPTEMBER	91,766	99,874	95,820	1,326	1,386	1,356
OCTOBER	99,874	102,312	101,093	1,386	1,543	1,464
NOVEMBER	102,312	108,373	105,343	1,543	4,072	2,808
DECEMBER	108,373	133,710	121,041	4,072	82	2,077
TOTAL			964,724	TOTAL		15,665

KEITH B. OHNMEIS FOUNDATION
P.O. BOX 1250
WILSON, WY 83014

TAX I.D. 75-1582503
TAX YEAR 2016

BANK OF JACKSON HOLE CHECKING ACCOUNT DDAxx3285

PART X, LINES 1a and 1b

AVERAGE OF MONTHLY CASH BALANCES			
JANUARY	4,098 73	1,598 73	2,848 73
FEBRUARY	1,598 73	1,498 79	1,548 76
MARCH	1,498 79	1,498 79	1,498 79
APRIL	1,498 79	498 79	998 79
MAY	498 79	498 79	498 79
JUNE	498 79	498 79	498 79
JULY	498 79	498 79	498 79
AUGUST	498 79	498 79	498 79
SEPTEMBER	498 79	248 79	373 79
OCTOBER	248 79	248 79	248 79
NOVEMBER	248 79	248 79	248 79
DECEMBER	248 79	3,148 79	1,698 79
TOTAL			11,460 39

TOTAL AVERAGE BALANCE SECURITIES 80,394

TOTAL AVERAGE BALANCE CASH

2,260.43

This Period Year to Date

Income Summary

	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Cash Dividends	0.00	56.11	0.00	1,449.91
Partnership Distributions	0.00	0.00	0.00	1,256.00
Corporate Bond and Other Interest	0.00	880.00	0.00	3,442.50
Total Income	0.00	936.11	0.00	6,148.41
Accrued Interest Paid ⁴	0.00	0.00	0.00	(564.89)

⁴Certain accrued interest paid on taxable bonds may be deductible, consult your tax advisor

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
Schwab GOVT MONEY FUND SWGXX	82.3500	1.0000	82.35	0.01%	<1%
Total Money Market Funds [Sweep]			82.35		<1%
Total Money Market Funds [Sweep]			82.35		<1%

Investment Detail - Fixed Income

Corporate Bonds	Units Purchased	Par	Market Price	Cost Per Unit	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income	Yield to Maturity
GASTAR EXPLORAT 8.625%18	10,000.0000		98.0000	98.0000	9,800.00	7%	2,945.90	862.50	26.29%
DUE 05/15/18	10,000.0000		68.5410	68.5410	6,854.10	10/21/15	2,945.90	26.29%	
CALLABLE 05/15/17 AT 101.07800									
CUSIP 36729WAA1									
MOODY'S Caa3 S&P CC									

Accrued Interest: 110.21

Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)	Par	Units Purchased	Market Price Cost Per Unit	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Annual Income Yield to Maturity
EV ENERGY PARTNERS 8%19	27,000.0000		71.0000	19,170.00	14%	12,393.00	2,160.00
DUE 04/15/19	27,000 0000		25 1000	6,777 00	03/02/16	12,393 00	69 91%
CALLABLE 04/15/17 AT 100 00000							
CUSIP 26926XAB9							
MOODY'S Caa3 S&P CCC+							
RESOLUTE ENERGY C 8.5%20	20,000.0000		101.5000	20,300.00	15%	10,167.50	1,700.00
DUE 05/01/20	10,000 0000		48 2250	4,822 50	10/23/15	5,327 50	30 29%
CALLABLE 05/01/17 AT 102 12500	10,000 0000		53 1000	5,310 00	11/25/15	4,840 00	27 44%
CUSIP 76116AAB4							
MOODY'S Caa3							
Cost Basis				10,132 50			
LEGACY RESVS LP / L 8%20	22,000.0000		69.0000	15,180.00	11%	3,174 00	1,760.00
DUE 12/01/20	12,000 0000		50 1000	6,012 00	08/17/16	2,268 00	29 11%
CALLABLE 12/01/17 AT 102 00000	10,000 0000		59 9400	5,994 00	11/03/16	906 00	23 94%
CUSIP 52471TAB3							
MOODY'S Ca S&P CC							
Cost Basis				12,006 00			
Total Corporate Bonds	79,000.0000		Total Cost Basis:	64,450.00	48%	28,680.40	6,482.50
				35,769.60			
Total Accrued Interest for Corporate Bonds: 996.21							
Total Fixed Income	79,000.0000		Total Cost Basis:	64,450.00	48%	28,680.40	6,482.50
				35,769.60			

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment

Investment Detail - Fixed Income (continued)

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
A T & T INC	100.0000	42.5300	4,253.00	3%	858.25	4.51%	192.00
SYMBOL T	100.0000	33.9475	3,394.75	12/24/12	858.25	1468	Long-Term
CHEGG INC	300.0000	7.3800	2,214.00	2%	173.85	N/A	N/A
SYMBOL CHGG	300.0000	6.8005	2,040.15	02/05/15	173.85	695	Long-Term
ECLIPSE RESOURCES CO	300.0000	2.6700	801.00	<1%	(1,279.92)	N/A	N/A
SYMBOL ECR	300.0000	6.9364	2,080.92	01/02/15	(1,279.92)	729	Long-Term
FORTRESS TRANSPORTAT	600.0000	13.3000	7,980.00	6%	1,554.10	9.92%	792.00
SYMBOL FTAI	100.0000	11.1199	1,111.99	12/03/15	218.01	394	Long-Term
	300.0000	11.1198	3,335.96	12/03/15	654.04	394	Long-Term
	200.0000	9.8897	1,977.95	05/09/16	682.05	236	Short-Term
Cost Basis			6,425.90				
LEGACY RESERVES 8% PFD	500.0000	7.9400	3,970.00	3%	1,435.05	N/A	N/A
PFD	500.0000	5.0699	2,534.95	07/19/16	1,435.05	165	Short-Term
SYMBOL LGCYO							
NMI HOLDINGS INC	200.0000	10.6500	2,130.00	2%	318.05	N/A	N/A
CLASS A	200.0000	9.0597	1,811.95	11/24/14	318.05	768	Long-Term
SYMBOL NMIIH							

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	Account Assets Acquired	% of	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
OHA INVESTMENT CORP	1,400,000	1.7300	2,422.00	2%		(7,585.49)	13.87%	336.00
SYMBOL OHAI	225 0000	8 3295	1,874 14	01/13/09		(1,484 89)	2909	Long-Term
	75 0000	7 3332	549 99	12/24/12		(420 24)	1468	Long-Term
	100 0000	7 3282	732 82	12/24/12		(559 82)	1468	Long-Term
	100 0000	7 3332	733 32	12/24/12		(560 32)	1468	Long-Term
	100 0000	7 3332	733 32	12/24/12		(560 32)	1468	Long-Term
	100 0000	7 0999	709 99	12/27/12		(536 99)	1465	Long-Term
	100 0000	7 0999	709 99	12/27/12		(536 99)	1465	Long-Term
	200 0000	7 0998	1,419 97	12/27/12		(1,073 97)	1465	Long-Term
	100 0000	6 3598	635 98	11/21/14		(462 98)	771	Long-Term
	100 0000	6 3599	635 99	11/21/14		(462 99)	771	Long-Term
	100 0000	6 3599	635 99	11/21/14		(462 99)	771	Long-Term
	100 0000	6 3599	635 99	11/21/14		(462 99)	771	Long-Term
			10,007 49					
Cost Basis								
RESOLUTE ENERGY CORP	600,000	41.1900	24,714.00	18%		23,079.60	N/A	N/A
SYMBOL REN	9 2000	3 1989	29 43	07/28/15		349.52	522	Long-Term
	20 0000	3 1900	63 80	07/28/15		760 00	522	Long-Term
	20 0000	3 2000	64 00	07/28/15		759.80	522	Long-Term
	40 0000	3 1997	127 99	07/28/15		1,519 61	522	Long-Term
	40 0000	3 1997	127 99	07/28/15		1,519 61	522	Long-Term
	70 8000	3 1997	226 54	07/28/15		2,689 71	522	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term
	20 0000	2 4845	49 69	08/28/15		774 11	491	Long-Term

Statement Period
December 1-31

Investment Detail - Equities (continued)

[illegible]

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Investment Detail - Equities (continued)

Equities (continued)		Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Holding Days	Estimated Annual Income	Holding Period
VODAFONE GROUP	F	54.0000	24.4300	1,319.22	<1%	(1,386.20)	8.38%	1423	110.58	Long-Term
UNSPONSORED ADR		54.0000	50.1003	2,705.42	02/07/13	(1,386.20)				
1 ADR REPS 10 ORD SHS										
SYMBOL: VOD										
Total Equities						52,699.90	39%		1,637.31	
Total Cost Basis:						36,430.86				
						16,269.04				
										Accrued Dividend: 27.20
										Total Accrued Dividend for Equities: 27.20

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Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Holding Days	Estimated Annual Income	Holding Period
AMERICAN INTL GRO 21 WTS	100.0000	23.4600	2,346.00	2%	951.05	N/A	N/A	N/A	
WARRANTS EXP 01/19/21	100.0000	13.9495	1,394.95	11/13/12	951.05	1509	1509	Long-Term	
SYMBOL: AIGWS									
ENDURO ROYALTY UNT	2,000.0000	3.4500	6,900.00	5%	(8,488.44)	N/A	N/A	N/A	
SYMBOL: NDRO									
	200.0000	12.4797	2,495.95	12/18/13	(1,805.95)	1109	1109	Long-Term	
	300.0000	12.0255	3,607.65	12/27/13	(2,572.65)	1100	1100	Long-Term	
	100.0000	12.1365	1,213.65	01/03/14	(868.65)	1093	1093	Long-Term	
	200.0000	12.1365	2,427.30	01/03/14	(1,737.30)	1093	1093	Long-Term	
	250.0000	8.0298	2,007.45	11/21/14	(1,144.95)	771	771	Long-Term	

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Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity	Market Price	Market Value	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
ENDURO ROYALTY UNIT							
	250 0000	4 9198	1,229 95	05/01/15	(367 45)	610	Long-Term
	100 0000	3 4384	343 84	08/19/16	1 16	134	Short-Term
	100 0000	3 4384	343 84	08/19/16	1 16	134	Short-Term
	100 0000	3 4384	343 84	08/19/16	1 16	134	Short-Term
	100 0000	3 4384	343 84	08/19/16	1 16	134	Short-Term
	300 0000	3 4371	1,031 13	08/19/16	3 87	134	Short-Term
Cost Basis			15,388 44				
PACIFIC COAST OIL							
	800.0000	1.0700	856.00	<1%	(5,160.99)	N/A	N/A
ROYALTY TRUST	100 0000	17 4195	1,741 95	12/24/12	(1,634 95)	1468	Long-Term
SYMBOL ROYT	100 0000	13 8895	1,388 95	12/06/13	(1,281 95)	1121	Long-Term
	50 0000	13 3830	669 15	03/05/14	(615 65)	1032	Long-Term
	100 0000	13 3830	1,338 30	03/05/14	(1,231 30)	1032	Long-Term
	450 0000	1 9525	878 64	06/02/16	(397 14)	212	Short-Term
Cost Basis			6,016 99				
PLAINS ALL AMERICAN LP							
	200.0000	32 2900	6,458.00	5%	N/A	6.81%	440.00
SYMBOL PAA	200 0000	N/A	please provide	09/11/07	N/A	3399	Long-Term
Total Other Assets	3,100.0000		16,560.00	12%	(12,698.38)		440.00
		Total Cost Basis:	22,800.38				

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