Extended to November 15, 2017

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , and ending

Name of foundation: Tomarlee Foundation

A Employer identification number: 72-1569816

Number and street (or P O box number if mail is not delivered to street address): 10241 Highway 10 | Room/suite:

B Telephone number: 225-298-5200

City or town, state or province, country, and ZIP or foreign postal code: Ethel, LA 70730

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,412,790.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	52,699.	52,699.		Statement 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	21,653.			
	b Gross sales price for all assets on line 6a 326,789.				
	7 Capital gain net income (from Part IV, line 2)		21,653.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	74,352.	74,352.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees Stmt 2	1,300.	0.		0.
	c Other professional fees Stmt 3	10,028.	0.		0.
	17 Interest				
	18 Taxes Stmt 4	1,491.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	12,819.	0.		0.
	25 Contributions, gifts, grants paid	63,142.			63,142.
	26 **Total expenses and disbursements.** Add lines 24 and 25	75,961.	0.		63,142.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<1,609.>			
	b **Net investment income** (if negative, enter -0-)		74,352.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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623501 11-23-16 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2016)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	2,185.	3,280.	3,280.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock Stmt 5	447,998.	510,714.	767,451.
	c	Investments - corporate bonds Stmt 6	729,695.	664,274.	642,059.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,179,878.	1,178,268.	1,412,790.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,179,878.	1,178,268.	
	30	**Total net assets or fund balances**	1,179,878.	1,178,268.	
	31	**Total liabilities and net assets/fund balances**	1,179,878.	1,178,268.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,179,878.
2	Enter amount from Part I, line 27a	2	<1,609.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,178,269.
5	Decreases not included in line 2 (itemize) ▶ Rounding	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,178,268.

Form **990-PF** (2016)

623511 11-23-16

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	See Attached Statements			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	326,789.		305,136.	21,653.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				21,653.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	21,653.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	79,762.	1,463,220.	.054511
2014	59,444.	1,469,735.	.040445
2013	64,800.	1,386,241.	.046745
2012	63,633.	1,315,391.	.048376
2011	75,492.	1,294,131.	.058334

2 **Total** of line 1, column (d)	2	.248411
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049682
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	1,383,767.
5 Multiply line 4 by line 3	5	68,748.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	744.
7 Add lines 5 and 6	7	69,492.
8 Enter qualifying distributions from Part XII, line 4	8	63,142.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,487.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,487.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,487.
6	Credits/Payments:				
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	1,200.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,200.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	6.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** See Statement 7 ▶			9	293.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2017 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ LA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Marty Engquist Telephone no. ▶ 225-603-0838

Located at ▶ 10241 Highway 10, Ethel, LA ZIP+4 ▶ 70730-3801

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Rubye L Engquist 6218 Highway 963 Ethel, LA 70730	Director 1.00	0.	0.	0.
John M. Engquist 10241 Highway 10 Ethel, LA 70730	Chairman 1.00	0.	0.	0.
Martha M. Engquist 10241 Highway 10 Ethel, LA 70730	Director 1.00	0.	0.	0.
Kristan E. Dunne 5435 Creek View Lane Pace, FL 32571	Sect/Treas. 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,404,840.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,404,840.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,404,840.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,073.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,383,767.
6	**Minimum investment return** Enter 5% of line 5	6	69,188.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	69,188.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 1,487.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,487.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	67,701.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	67,701.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	67,701.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	63,142.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	63,142.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	63,142.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				67,701.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			63,142.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ $ 63,142.				
a Applied to 2015, but not more than line 2a			63,142.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2016 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				67,701.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2012				
b Excess from 2013				
c Excess from 2014				
d Excess from 2015				
e Excess from 2016				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Companion Animal Alliance 2680 Progress Road Baton Rouge, LA 70807	None	Public	Medical	5,000.
Cancer Services of Greater Baton Rouge 550 Lodbell Avenue Baton Rouge, LA 70806	None	Public	Medical	10,000.
Dream Day Foundation PO Box 40247 Baton Rouge, LA 70835	None	Public	Medical	10,000.
Mary Bird Perkins Cancer Center 4950 Essen Lane Baton Rouge, LA 70809	None	Public	Medical	10,000.
Pennington Biomedical Center 6400 Perkins Road Baton Rouge, LA 70808	None	Public	Medical	10,000.
Total See continuation sheet(s) ▶ 3a				63,142.
b Approved for future payment				
None				
Total ▶ 3b				0.

Form **990-PF** (2016)

623611 11-23-16

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	52,699.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	21,653.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		74,352.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 74,352.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 7-27-17 | Title Manager

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Charles R. Pevey	Charles R. Pevey	07/27/17		P00103577
Firm's name ▶ Hawthorn, Waymouth & Carroll, L.L.P.			Firm's EIN ▶ 72-0464428	
Firm's address ▶ 8555 United Plaza Blvd. - No. 200 Baton Rouge, LA 70809-9982			Phone no. 225-923-3000	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Putnam Diversified Inc Fd Cl Y	P	Various	06/23/16
b	Putnam Diversified Inc Fd Cl Y	P	Various	06/23/16
c	TCW T/R Bond Fd-I	P	11/15/10	10/13/16
d	Thermo Fisher Scientific Inc	P	12/09/09	10/13/16
e	TJX COX Inc	P	12/13/11	10/13/16
f	YUM China Hldgs Inc	P	03/12/10	11/22/16
g	3M Co	P	07/13/10	04/26/16
h	Angel Oak Multi-Strategy Inc Fd Cl I	P	06/11/14	10/13/16
i	California Resources Corp	P	03/12/10	03/24/16
j	California Resources Corp	P	Various	03/29/16
k	Celgene Corp	P	12/21/10	10/13/16
l	Double Line Total Ret Fd I	P	06/23/16	10/13/16
m	EMC Corp Mass	P	07/13/10	06/20/16
n	Express Scripts Hldg Co	P	12/10/12	10/13/16
o	Fedex Corp	P	11/08/10	10/13/16

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,923.		9,180.	<257.>
b	141,915.		164,305.	<22,390.>
c	2,800.		2,757.	43.
d	9,125.		2,875.	6,250.
e	4,469.		1,890.	2,579.
f	5,350.		2,010.	3,340.
g	11,654.		5,869.	5,785.
h	7,600.		8,194.	<594.>
i	1.		1.	0.
j	10.		14.	<4.>
k	12,595.		3,691.	8,904.
l	10,400.		10,400.	0.
m	8,476.		6,117.	2,359.
n	2,762.		2,176.	586.
o	3,421.		1,806.	1,615.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				<257.>
b				<22,390.>
c				43.
d				6,250.
e				2,579.
f				3,340.
g				5,785.
h				<594.>
i				0.
j				<4.>
k				8,904.
l				0.
m				2,359.
n				586.
o				1,615.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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04-01-16

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	iShares IBoxx	P	08/20/09	12/15/16
b	Loomis Sayles Strategic Inc Fd Cl Y	P	11/12/09	10/13/16
c	Merck & Co	P	07/13/10	10/13/16
d	Metlife	P	12/14/10	11/22/16
e	Novartis AG Spon ADR	P	07/06/09	06/20/16
f	T Rowe Price Group Inc	P	06/01/15	10/13/16
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	47,097.		42,290.	4,807.
b	11,300.		10,504.	796.
c	9,150.		5,335.	3,815.
d	11,468.		9,285.	2,183.
e	8,426.		4,318.	4,108.
f	9,847.		12,119.	<2,272.>
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				4,807.
b				796.
c				3,815.
d				2,183.
e				4,108.
f				<2,272.>
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	21,653.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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04-01-16

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THRIVE 1120 Government Street Baton Rouge, LA 70802	None	Public	Academic	17,142.
GBR Food Bank 10600 S Choctaw Drive Baton Rouge, LA 70815	None	Public	Medical	1,000.
Total from continuation sheets				18,142.

Form 990-PF Dividends and Interest from Securities Statement 1

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
UBS	52,699.	0.	52,699.	52,699.	
To Part I, line 4	52,699.	0.	52,699.	52,699.	

Form 990-PF Accounting Fees Statement 2

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting fees	1,300.	0.		0.
To Form 990-PF, Pg 1, ln 16b	1,300.	0.		0.

Form 990-PF Other Professional Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Fees	10,028.	0.		0.
To Form 990-PF, Pg 1, ln 16c	10,028.	0.		0.

Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Taxes Paid	1,491.	0.		0.
To Form 990-PF, Pg 1, ln 18	1,491.	0.		0.

Form 990-PF — Corporate Stock — Statement 5

Description	Book Value	Fair Market Value
UBS - Y8-00699 Equities	510,714.	767,451.
Total to Form 990-PF, Part II, line 10b	510,714.	767,451.

Form 990-PF — Corporate Bonds — Statement 6

Description	Book Value	Fair Market Value
UBS - Y8-00698 Fixed Income	593,941.	578,170.
UBS - Y8-00699 Fixed Income	70,333.	63,889.
Total to Form 990-PF, Part II, line 10c	664,274.	642,059.

Form 990-PF — Interest and Penalties — Statement 7

Tax due from Form 990-PF, Part VI	287.
Underpayment penalty	6.
Late payment interest	3.
Late payment penalty	4.
Total Amount Due	300.

Form 990-PF — Late Payment Penalty — Statement 8

Description	Date	Amount	Balance	Months	Penalty
Tax due	05/15/17	287.	287.	3	4.
Date filed	08/15/17		287.		
Total late payment penalty					4.

Form 990-PF | Late Payment Interest | Statement 9

Description	Date	Amount	Balance	Rate	Days	Interest
Tax due	05/15/17	287.	287.	.0400	92	3.
Date filed	08/15/17		290.			
Total late payment interest						3.

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0 08	0 00					
UBS BANK USA BUS ACCT	2,438 54	909 30					250,000 00
Total	**$2,438.62**	**$909.30**					

23

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES IBOXX $ INVT GRADE									
CORPORATE BOND ETF									
Symbol LQD									
Trade date Aug 20, 09	77 000	103 905	8,000 73	8,000 73	117 180	9,022 86	1,022 13		LT
Total reinvested	163 000	116 313		18,959 06	117 180	19,100 34	141 28		

continued next page

Your assets › **Fixed income** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI $939 Current yield 3 34%									
Security total	240 000	112 332	8,000 73	26,959 79		28,123 20	1,163 41	20,122 47	
MFS MULTIMARKET INC TR SBI									
Symbol MMT									
Trade date Mar 12, 02	5,000 000	6 068	30,340 95	30,340 95	6 060	30,300 00	-40 95		LT
Trade date Apr 23, 04	7,000 000	6 043	42,307 23	42,307 23	6 060	42,420 00	112 77		LT
Total reinvested	6,933 000	6 474		44,891 08	6 060	42,013 98	-2,877 10		
EAI $9,997 Current yield 8 71%									
Security total	18,933 000	6 208	72,648 18	117,539 26		114,733 98	-2,805 28	42,085 80	
Total			**$80,648.91**	**$144,499.05**		**$142,857.18**	**-$1,641.87**	**$62,208.27**	

Total estimated annual income: $10,936

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ANGEL OAK MULTI-STRATEGY									
INCOME FUND CLASS INSTL									
Symbol ANGIX									
Trade date Jun 11, 14	7,837 057	12 230	95,847 21	95,847 21	11 210	87,853 41	-7,993 80		LT
Total reinvested	1,516 847	11 725		17,785 24[2]	11 210	17,003 85	-781 39		
EAI $6,342 Current yield 6 05%									
Security total	9,353 904	12 148	95,847 21	113,632 45		104,857 26	-8,775 19	9,010 05	
DOUBLE LINE TOTAL RETURN									
FUND INSTL									
Symbol DBLTX									
Trade date Jun 23, 16	12,809 917	10 890	139,500 00	139,500 00	10 620	136,041 31	-3,458 69		ST

continued next page

24

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	248 824	10 869		2,704 59	10 620	2,642 51	-62 08		
EAI $5,210 Current yield 3 76%									
Security total	13,058 741	10 890	139,500 00	142,204 59		138,683 82	-3,520 77	-816 18	
LOOMIS SAYLES STRATEGIC									
INCOME FUND CLASS Y									
Symbol NEZYX									
Trade date Nov 12, 09	6,015 289	13 572	81,640 24	81,640 24	14 100	84,815 57	3,175 33		LT
Total reinvested	4,953 486	14 932		73,968 58	14 100	69,844 15	-4,124 43		
EAI $4,234 Current yield 2 74%									
Security total	10,968 775	14 187	81,640 24	155,608 82		154,659 72	-949 10	73,019 48	
TCW T/R BOND FD-I									
Symbol TGLMX									
Trade date Nov 15, 10	2,283 133	10 221	23,336 17	23,336 17	9 880	22,557 35	-778 82		LT
Total reinvested	1,473 122	9 951		14,660 19	9 880	14,554 44	-105 75		
EAI $927 Current yield 2 50%									
Security total	3,756 255	10 115	23,336 17	37,996 36		37,111 79	-884 57	13,775 62	
Total			**$340,323.62**	**$449,442.22**		**$435,312.59**	**-$14,129.63**	**$94,988.97**	

Total estimated annual income: $16,713

2 Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**909.30**	**0.16%**	**909.30**		
Fixed income	Closed end funds & Exchange traded products	142,857 18		144,499 05	10,936 00	-1,641 87
	Mutual funds	435,312 59		449,442 22	16,713 00	-14,129 63
	Total fixed income	**578,169.77**	**99.84%**	**593,941.27**	**27,649.00**	**-15,771.50**
Total		**$579,079.07**	**100.00%**	**$594,850.57**	**$27,649.00**	**-$15,771.50**

25

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA BUS ACCT	4,966 41	510 38					250,000 00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
ALLERGAN PLC								
Symbol AGN Exchange NYSE								
EAI $168 Current yield 1 33%	Jun 20, 16	60 000	235 809	14,148 59	210 010	12,600 60	-1,547 99	ST
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC	Jun 1, 15	20 000	546 480	10,929 60	792 450	15,849 00	4,919 40	LT
	Nov 22, 16	3 000	788 830	2,366 49	792 450	2,377 35	10 86	ST
Security total		23 000	578 091	13,296 09		18,226 35	4,930 26	
AMERICAN TOWER CORP REIT								
Symbol AMT Exchange NYSE								
EAI $348 Current yield 2 20%	Sep 4, 15	150 000	89 187	13,378 15	105 680	15,852 00	2,473 85	LT
ANADARKO PETROLEUM CORP								
Symbol APC Exchange NYSE								
EAI $21 Current yield 0 29%	Oct 9, 09	103 000	68 154	7,019 96	69 730	7,182 19	162 23	LT

continued next page

Account name: TOMARLEE FOUNDATION
Friendly account name: Tomarlee LCC
Account number: Y8 00699 25

Your Financial Advisor:
MUELLER/DELLINGER/JACKMAN
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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
APPLE INC Symbol AAPL Exchange OTC EAI $798 Current yield 1 97%	Dec 9, 09	350 000	27 951	9,782 91	115 820	40,537 00	30,754 09	LT
AT&T INC Symbol T Exchange NYSE EAI $913 Current yield 4 61%	Jul 6, 09	466 000	24 598	11,462 67	42 530	19,818 98	8,356 31	LT
BOEING COMPANY Symbol BA Exchange NYSE EAI $454 Current yield 3 65%	Sep 24, 14	80 000	127 489	10,199 19	155 680	12,454 40	2,255 21	LT
CELGENE CORP Symbol CELG Exchange OTC	Dec 21, 10	255 000	29 531	7,530 42	115 750	29,516 25	21,985 83	LT
CHEVRON CORP Symbol CVX Exchange NYSE EAI $518 Current yield 3 67%	Dec 9, 09	120 000	76 825	9,219 01	117 700	14,124 00	4,904 99	LT
CISCO SYSTEMS INC Symbol CSCO Exchange OTC EAI $572 Current yield 3 44%	Jun 19, 12	550 000	17 180	9,449 00	30 220	16,621 00	7,172 00	LT
CITIGROUP INC Symbol C Exchange NYSE EAI $141 Current yield 1 08%	Oct 13, 16	220 000	48 640	10,700 80	59 430	13,074 60	2,373 80	ST
COCA COLA CO COM Symbol KO Exchange NYSE EAI $784 Current yield 3 38%	Dec 9, 09	420 000	28 620	12,020 57	41 460	17,413 20	5,392 63	LT
	Oct 13, 16	140 000	41 796	5,851 55	41 460	5,804 40	-47 15	ST
Security total		560 000	31 915	17,872 12		23,217 60	5,345 48	
COMCAST CORP NEW CL A Symbol CMCSA Exchange OTC EAI $455 Current yield 1 59%	Jul 13, 10	414 000	19 091	7,903 72	69 050	28,586 70	20,682 98	LT
CSX CORPORATION Symbol CSX Exchange OTC EAI $281 Current yield 2 01%	Dec 9, 09	390 000	15 788	6,157 50	35 930	14,012 70	7,855 20	LT
CVS HEALTH CORP Symbol CVS Exchange NYSE EAI $320 Current yield 2 53%	Dec 15, 15	130 000	93 296	12,128 59	78 910	10,258 30	-1,870 29	LT
	Nov 22, 16	30 000	73 535	2,206 05	78 910	2,367 30	161 25	ST

continued next page

27

Account name: TOMARLEE FOUNDATION
Friendly account name: Tomarlee LCC
Account number: Y8 00699 25

Your Financial Advisor:
MUELLER/DELLINGER/JACKMAN
713-350-8000/888-299-1232

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
Security total		160 000	89 592	14,334 64		12,625 60	-1,709 04	
EXPRESS SCRIPTS HLDG CO								
Symbol ESRX Exchange OTC	Dec 10, 12	217 000	54 388	11,802 30	68 790	14,927 43	3,125 13	LT
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $501 Current yield 3 32%	Jan 27, 04	167 000	41 480	6,927 16	90 260	15,073 42	8,146 26	LT
FEDEX CORP								
Symbol FDX Exchange NYSE								
EAI $136 Current yield 0 86%	Nov 8, 10	85 000	90 306	7,676 07	186 200	15,827 00	8,150 93	LT
GENL ELECTRIC CO								
Symbol GE Exchange NYSE								
EAI $499 Current yield 3 04%	Jun 18, 12	520 000	19 799	10,295 97	31 600	16,432 00	6,136 03	LT
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC								
EAI $508 Current yield 2 63%	Feb 13, 14	70 000	81 929	5,735 09	71 610	5,012 70	-722 39	LT
	Oct 13, 16	200 000	73 142	14,628 58	71 610	14,322 00	-306 58	ST
Security total		270 000	75 421	20,363 67		19,334 70	-1,028 97	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $572 Current yield 2 87%	Dec 13, 11	550 000	23 580	12,969 00	36 270	19,948 50	6,979 50	LT
INTERCONTINENTALEXCHANGE GROUP								
Symbol ICE Exchange NYSE								
EAI $170 Current yield 1 21%	Oct 13, 16	250 000	53 320	13,330 03	56 420	14,105 00	774 97	ST
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $448 Current yield 3 37%	Jul 6, 09	80 000	100 929	8,074 36	165 990	13,279 20	5,204 84	LT
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $595 Current yield 2 22%	Dec 9, 09	190 000	41 335	7,853 83	86 290	16,395 10	8,541 27	LT
	Feb 17, 11	90 000	47 830	4,304 70	86 290	7,766 10	3,461 40	LT
	Nov 22, 16	30 000	77 936	2,338 10	86 290	2,588 70	250 60	ST
Security total		310 000	46 763	14,496 63		26,749 90	12,253 27	

continued next page

2 8

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
MCKESSON CORP								
Symbol MCK Exchange NYSE								
EAI $95 Current yield 0 80%	Apr 26, 16	70 000	177 234	12,406 41	140 450	9,831 50	-2,574 91	ST
	Nov 22, 16	15 000	140 443	2,106 65	140 450	2,106 75	0 10	ST
Security total		85 000	170 742	14,513 06		11,938 25	-2,574 81	
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI $482 Current yield 2 42%	Jan 27, 15	280 000	76 210	21,338 98	71 230	19,944 40	-1,394 58	LT
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $301 Current yield 3 20%	Jul 13, 10	160 000	36 544	5,847 05	58 870	9,419 20	3,572 15	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $373 Current yield 2 51%	Jan 27, 04	239 000	28 680	6,854 52	62 140	14,851 46	7,996 94	LT
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $353 Current yield 4 27%	Mar 12, 10	73 000	78 731	5,747 42	71 230	5,199 79	-547 63	LT
	Jun 18, 12	40 000	80 364	3,214 58	71 230	2,849 20	-365 38	LT
	Dec 8, 14	3 000	76 443	229 33	71 230	213 69	-15 64	LT
Security total		116 000	79 236	9,191 33		8,262 68	-928 65	
PACCAR INC								
Symbol PCAR Exchange OTC								
EAI $288 Current yield 1 50%	Sep 28, 15	300 000	52 240	15,672 25	63 900	19,170 00	3,497 75	LT
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $572 Current yield 2 88%	Dec 9, 09	190 000	61 880	11,757 20	104 630	19,879 70	8,122 50	LT
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDS A Exchange NYSE								
EAI $943 Current yield 5 88%	Feb 23, 12	240 000	73 549	17,651 98	54 380	13,051 20	-4,600 78	LT
	Earnings	55 000	57 592	3,167 60	54 380	2,990 90	-176 70	
Security total		295 000	70 575	20,819 58		16,042 10	-4,777 48	

continued next page

29

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI $316 Current yield 2 38%	Mar 12, 10	108 000	64 458	6,961 56	83 950	9,066 60	2,105 04	LT
	Jun 18, 12	50 000	66 546	3,327 34	83 950	4,197 50	870 16	LT
Security total		158 000	65 120	10,288 90		13,264 10	2,975 20	
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE								
EAI $66 Current yield 0 43%	Dec 9, 09	110 000	47 924	5,271 67	141 100	15,521 00	10,249 33	LT
TIME WARNER INC NEW								
Symbol TWX Exchange NYSE								
EAI $290 Current yield 1 67%	Jun 24, 15	140 000	87 481	12,247 34	96 530	13,514 20	1,266 86	LT
	Oct 13, 16	40 000	79 537	3,181 50	96 530	3,861 20	679 70	ST
Security total		180 000	85 716	15,428 84		17,375 40	1,946 56	
TJX COS INC NEW								
Symbol TJX Exchange NYSE								
EAI $354 Current yield 1 39%	Dec 13, 11	340 000	31 498	10,709 37	75 130	25,544 20	14,834 83	LT
TRAVELERS COS INC/THE								
Symbol TRV Exchange NYSE								
EAI $456 Current yield 2 19%	Dec 9, 09	170 000	50 098	8,516 76	122 420	20,811 40	12,294 64	LT
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $554 Current yield 2 41%	Dec 9, 09	210 000	67 374	14,148 54	109 620	23,020 20	8,871 66	LT
WALT DISNEY CO (HOLDING CO) DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $218 Current yield 1 49%	Dec 9, 09	140 000	30 716	4,300 24	104 220	14,590 80	10,290 56	LT
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI $298 Current yield 1 90%	Mar 12, 10	178 000	26 165	4,657 48	63 330	11,272 74	6,615 26	LT
	Nov 22, 16	70 000	62 072	4,345 09	63 330	4,433 10	88 01	ST
Security total		248 000	36 301	9,002 57		15,705 84	6,703 27	

continued next page

30

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized gain or loss ($)	Holding period
3M CO								
Symbol MMM Exchange NYSE								
EAI $240 Current yield 2 49%	Jul 13, 10	54 000	83 848	4,527 81	178 570	9,642 78	5,114 97	LT
Total				**$456,578.63**		**$709,110.63**	**$252,532.00**	

Total estimated annual income: $15,401

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, *including shares that have been realized as either a gain or a loss* It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BARRON'S 400 ETF									
Symbol BFOR									
Trade date Jun 1, 15	350 000	33 439	11,703 91	11,703 91	35 040	12,264 00	560 09		LT
Trade date Oct 13, 16	480 000	31 703	15,217 63	15,217 63	35 040	16,819 20	1,601 57		ST
EAI $227 Current yield 0 78%									
Security total	830 000	32 436	26,921 54	26,921 54		29,083 20	2,161 66	2,161 66	
VANECK VECTORS MORNINGSTAR									
WIDE MOAT ETF									
Symbol MOAT									
Trade date Jun 1, 15	340 000	31 115	10,579 25	10,579 25	34 830	11,842 20	1,262 95		LT
Trade date Oct 13, 16	500 000	33 270	16,635 00	16,635 00	34 830	17,415 00	780 00		ST
EAI $343 Current yield 1 17%									
Security total	840 000	32 398	27,214 25	27,214 25		29,257 20	2,042 95	2,042 95	
Total			**$54,135.79**	**$54,135.79**		**$58,340.40**	**$4,204.61**	**$4,204.61**	

Total estimated annual income: $570

31

Account name: TOMARLEE FOUNDATION
Friendly account name: Tomarlee LCC
Account number: Y8 00699 25

Your Financial Advisor:
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32

Your assets (continued)

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 30 ($)	Value on Dec 30 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
TEMPLETON GLBAL BOND ADV									
Symbol TGBAX									
Trade date May 14, 10	3,772 294	13 349	50,360 00	50,360 00	11 960	45,116 63	-5,243 37		LT
Total reinvested	1,569 597	12 724		19,973 05	11 960	18,772 38	-1,200 67		
EAI $1,650 Current yield 2 58%									
Security total	5,341 891	13 166	50,360 00	70,333 05		63,889 01	-6,444 04	13,529 01	

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**510.38**	**0.06%**	**510.38**		
Equities	Common stock	709,110 63		456,578 63	15,401 00	252,532 00
	Closed end funds & Exchange traded products	58,340 40		54,135 79	570 00	4,204 61
	Total equities	**767,451.03**	**92.26%**	**510,714.42**	**15,971.00**	**256,736.61**
Fixed income	**Mutual funds**	**63,889.01**	**7.68%**	**70,333.05**	**1,650.00**	**-6,444.04**
Total		**$831,850.42**	**100.00%**	**$581,557.85**	**$17,621.00**	**$250,292.57**

Account name: TOMARLEE FOUNDATION
Friendly account name: Tomarlee cash
Account number: Y8 01015 25

Your Financial Advisor:
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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 30 ($)	Price per share on Dec 30 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0 36	-22 07					
UBS BANK USA BUS ACCT	7,996 76	1,882 06					250,000 00
Total	**$7,997.12**	**$1,859.99**					

Your total assets

		Value on Dec 30 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**1,859.99**	**100.00%**	**1,859.99**		
Total		**$1,859.99**	**100.00%**	**$1,859.99**		

33