Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2016

Open to Public Inspection

For calendar year 2016 or tax year beginning , 2016, and ending ,

Name of foundation: The Peltier Foundation

A Employer identification number: 72-1416778

Number and street (or P O box number if mail is not delivered to street address): 101 St. Louis St. | Room/suite:

B Telephone number (see instructions): (985) 447-4033

City or town, state or province, country, and ZIP or foreign postal code: Thibodaux LA 70301

C If exemption application is pending, check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 18,754,846.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE 1 Contributions, gifts, grants, etc received (attach schedule)				
2 Check ► [X] if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	141,881.			
4 Dividends and interest from securities	272,954.			
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	45,003.	L-6a Stmt		
b Gross sales price for all assets on line 6a 2,437,849.				
7 Capital gain net income (from Part IV, line 2)		45,003.		
8 Net short-term capital gain			45,003.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) See Line 11 Stmt	357,396.	357,396.		
12 **Total** Add lines 1 through 11	817,234.	402,399.	45,003.	
OPERATING AND ADMINISTRATIVE EXPENSES 13 Compensation of officers directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) L-16a Stmt	22,303.	22,303.		
b Accounting fees (attach sch) L-16b Stmt	9,060.	9,060.		
c Other professional fees (attach sch) L-16c Stmt	76,550.	76,550.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	42,505.	42,490.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	5,741.	5,741.		
24 **Total operating and administrative expenses** Add lines 13 through 23	156,159.	156,144.		
25 Contributions, gifts, grants paid	1,016,047.			1,016,047.
26 **Total expenses and disbursements** Add lines 24 and 25	1,172,206.	156,144.		1,016,047.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	-354,972.			
b **Net investment income** (if negative, enter -0-)		246,255.		
c **Adjusted net income** (if negative, enter -0-)			45,003.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	3,196.	14,006.	14,006.
	2 Savings and temporary cash investments	10,229,025.	9,709,027.	9,866,898.
	3 Accounts receivable ▸			
	Less allowance for doubtful accounts ▸			
	4 Pledges receivable ▸			
	Less allowance for doubtful accounts ▸			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▸			
	Less allowance for doubtful accounts ▸			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	6,135,934.	6,291,701.	7,093,700.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis ▸			
	Less accumulated depreciation (attach schedule) ▸			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis ▸			
	Less accumulated depreciation (attach schedule) ▸			
	15 Other assets (describe ▸ L-15 Stmt)	1,032,929.	1,032,929.	1,780,242.
	16 Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	17,401,084.	17,047,663.	18,754,846.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▸)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ▸ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	12,015,693.	11,662,269.	
	25 Temporarily restricted			
	26 Permanently restricted	5,385,391.	5,385,394.	
	Foundations that do not follow SFAS 117, check here ▸ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	-		
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	17,401,084.	17,047,663.	
	31 Total liabilities and net assets/fund balances (see instructions)	17,401,084.	17,047,663.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,401,084.
2	Enter amount from Part I, line 27a	2	-354,972.
3	Other increases not included in line 2 (itemize) ▸ Tax refund	3	1,551.
4	Add lines 1, 2, and 3	4	17,047,663.
5	Decreases not included in line 2 (itemize) ▸	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	17,047,663.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Schedule 1	P	various	various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,437,849.		2,392,846.	45,003.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0.	0.	0.	45,003.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) . . . If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 . . . **2** 45,003.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . **3** 45,003.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	1,050,591.	20,623,249.	0.050942
2014	975,422.	21,496,681.	0.045375
2013	886,841.	20,012,904.	0.044313
2012	860,409.	18,374,804.	0.046825
2011	720,348.	17,991,364.	0.040039

2	**Total** of line 1, column (d)	2	0.227494
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045499
4	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	18,356,590.
5	Multiply line 4 by line 3	5	835,206.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,463.
7	Add lines 5 and 6	7	837,669.
8	Enter qualifying distributions from Part XII, line 4	8	1,016,047.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1		
	Date of ruling or determination letter (attach copy of letter if necessary — see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	2,463.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,463.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,463.
6	Credits/Payments		
a	2016 estimated tax pmts and 2015 overpayment credited to 2016 — 6a: 5,040.		
b	Exempt foreign organizations — tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	5,040.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	2,577.
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ► 2,577. Refunded ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ (2) On foundation managers ► $			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► LOUISIANA			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of ► Stephen Peltier Telephone no ► (985) 447-4033 Located at ► 101 St. Louis St. Thibodaux, 70301 LA ZIP + 4 ► 70301			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2016 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)*	3 b		X
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5 b		
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53 4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6 b		X
If 'Yes' to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		7 b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Stephen Peltier 101 St Louis St Thibodaux LA 70301	Sec/Treas 5.00	22,303.	0.	0.
Sarah Naquin 101 St Louis St Thibodaux LA 70301	Vice-Pres 5.00	0.	0.	0.
Dr. James Peltier 101 St Louis St Thibodaux LA 70301	President 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None	None	
Total number of others receiving over $50,000 for professional services ▶		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	6,710,952.
b	Average of monthly cash balances	1b	10,144,938.
c	Fair market value of all other assets (see instructions)	1c	1,780,242.
d	**Total** (add lines 1a, b, and c)	1d	18,636,132.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	18,636,132.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	279,542.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,356,590.
6	**Minimum investment return.** Enter 5% of line 5	6	917,830.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	917,830.
2a	Tax on investment income for 2016 from Part VI, line 5 — 2a: 2,463.		
b	Income tax for 2016. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	2,463.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	915,367.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	915,367.
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	915,367.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	1,016,047.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,016,047.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,463.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,013,584.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				915,367.
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only			1,015,855.	
b Total for prior years 20 __ , 20 __ , 20 __				
3 Excess distributions carryover, if any, to 2016				
a From 2011 — 0.				
b From 2012 — 0.				
c From 2013 — 0.				
d From 2014 — 0.				
e From 2015 — 0.				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ $ 1,016,047.				
a Applied to 2015, but not more than line 2a			1,015,855.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2016 distributable amount				192.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2016 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				915,175.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2017.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2012 — 0.				
b Excess from 2013 — 0.				
c Excess from 2014 — 0.				
d Excess from 2015 — 0.				
e Excess from 2016 — 0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Peltier Foundation
101 St. Louis St.
Thibodaux LA 70301 (985) 447-4033

b The form in which applications should be submitted and information and materials they should include

Letter of request

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

501(c)(3) organizations

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
see schedule 13 LA 70301		501(c)(3)	schedule #13	1,016,047.
Total . . . ► **3a**				1,016,047.
b *Approved for future payment*				
Total . . . ► **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	141,881.	
4 Dividends and interest from securities			14	272,954.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	45,003.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Royalties			14	350,316.	
b Miscellaneous			14	6,195.	
c Lease Bonus			14	885.	
d					
e					
12 Subtotal Add columns (b), (d), and (e)				817,234.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 817,234.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
n/a	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] Signature of officer or trustee | 5/9/17 Date | Sec/Treasurer Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Ann T Boudreaux	[signature]	05/02/17	X	P00841336
Firm's name ► Ann T. Boudreaux, CPA			Firm's EIN ► 72-1047611	
Firm's address ► 901 Ridgefield Road Thibodaux LA 70301			Phone no (985) 446-0994	

Peltier Foundation 72-1416778
Form 990PF 2016
Line 6a
Schedule 1

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

45179303

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses The amounts shown below are for informational purposes*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	19,021.44	19,122 63	0.00	0.00	-101.19
Long	F (Form 1099-B not received)	204,610 67	300,412 92	0 00	0.00	-95,802.25
Undetermined	C or F (Form 1099-B not received)	~~4,122.16~~	0 00	0.00	0.00	0 00
	Grand total	~~**227,754.27**~~	**319,535.55**	**0.00**	**0.00**	**-95,903.44**

Withholding	Amount
Federal income tax withheld	0.00

223,632.11

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

71363353

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses The amounts shown below are for informational purposes*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	94,267.78	100,826.11	0 00	0 00	-6,558 33
Long	F (Form 1099-B not received)	691,478.67	638,945.05	0.00	0.00	52,533.62
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0 00	0.00
	Grand total	**785,746.45**	**739,771.16**	**0.00**	**0.00**	**45,975.29**

Withholding	Amount
Federal income tax withheld	0.00

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

45153811

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	17,421.97	15,518.19	0.00	0.00	1,903.78
Long	F (Form 1099-B not received)	270,392 39	239,124 67	0.00	0 00	31,267.72
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Grand total	**287,814.36**	**254,642.86**	**0.00**	**0.00**	**33,171.50**

Withholding	Amount
Federal income tax withheld	0.00

Peltier Foundation 72-1416778
Form 990PF 2016
Line 6a
Schedule 1 (cont'd)

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

#39761589

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	163,087.63	175,498.17	0.00	0.00	-12,410.54
Long	F (Form 1099-B not received)	201,760.83	162,478.88	0.00	0.00	39,281.95
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Grand total	**364,848.46**	**337,977.05**	**0.00**	**0.00**	**26,871.41**

Withholding	Amount
Federal income tax withheld	0.00

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

22492633

Refer to the Proceeds not reported to the IRS *pages to ensure that you consider all relevant items to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	C (Form 1099-B not received)	557,859.55	551,093.41	0.00	0.00	6,766.14
Long	F (Form 1099-B not received)	217,948.64	189,826.14	0.00	0.00	28,122.50
Undetermined	C or F (Form 1099-B not received)	~~-80.84~~	0.00	0.00	0.00	0.00
	Grand total	~~**775,000.00**~~	**740,919.55**	**0.00**	**0.00**	**34,888.64**

Withholding	Amount
Federal income tax withheld	0.00

775,808.19

Totals 2,437,849 2,392,846 45,003

Peltier Foundation, Inc
Form 990-PF
Schedule 6-Stocks on hand
72-1416778

	12/31/2015	12/31/2016
Capital One Inv #4R6-501560	-	
4.76% membership interest West Fork Land Co LLC	13,423 46	13,902 46
3-74/100 shares Lafourche Sugar Cane Co. common	-	
1-6/10 shares Lafourche Sugar Cane Co preferred	-	
40 shares Terra Firma Trust	-	
1 share Dixie Lafourche Club	19,200 00	19,200 00
25-60/100 units of Lafourche Sugars, LLC	8,960.00	8,960 00
94810% membership Peltier Farms, LLC	17,683 00	18,658 50
	59,266 46	60,720.96

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/17/16	HASBRO INC C HAS	33	77.79	2,567 07	82 1015	2,709 35 2,709 35	-142 28	67	2 62%
02/16/12	HERSHEY COMPANY C HSY	8	103 43	827 44	60 6788	485 43 485 43	342 01	19	2 39%
03/16/12		3		310 29	59 9900	179 97 179 97	130.32	7	
04/11/12		3		310 29	60.5500	181 65 181 65	128.64	7	
04/24/12		17		1,758 31	66 4688	1,129 97 1,129 97	628.34	42	
05/14/12		5		517.15	69 2200	346 10 346.10	171 05	12	
06/12/12		4		413 72	67.3800	269.52 269 52	144 20	9	
02/04/13		12		1,241 16	79 5383	954 46 954 46	286 70	29	
02/19/13		14		1,448 02	81 6964	1,143 75 1,143 75	304 27	34	
09/16/15		8		827 44	93.3563	746 85 746 85	80.59	19	

EQUITIES AND OPTIONS continue on page 25

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	GRANITE CONSTR INC (continued)	17		935 00	33.1800	564.06 564 06	370 94	8	
Total		141		7,755.00	35.4792	5,002.57 5,002.57	2,752.43	71	
07/06/15	HARTFORD FINANCIAL C SERVICES GROUP INC HIG	38	47 65	1,810.70	43 7468	1,662 38 1,662 38	148.32	34	1 93%
07/14/15		32		1,524 80	45 7341	1,463 49 1,463 49	61.31	29	
07/15/15		62		2,954 30	46 8823	2,906 70 2,906.70	47 60	57	
08/13/15		37		1,763.05	50.7151	1,876.46 1,876 46	-113 41	34	
08/13/15		12		571 80	48 9000	586 80 586 80	-15 00	11	
10/29/15		32		1,524 80	46.9900	1,503.68 1,503.68	21.12	29	
11/28/16		80		3,812.00	47 4555	3,796 44 3,796.44	15.56	73	
Total		293		13,961.45	47.0852	13,795.95 13,795.95	165.50	267	

EQUITIES AND OPTIONS continue on page 24

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/04/15	GENERAL MOTORS COMPANY (continued)	66		2,299 44	31 6024	2,085 76 2,085 76	213 68	100	
10/29/15		38		1,323 92	34 4300	1,308 34 1,308.34	15 58	57	
11/07/16		39		1,358 76	32.0200	1,248 78 1,248.78	109 98	59	
Total		205		7,142.20	32.2471	6,610.66 6,610.66	531.54	310	
04/28/14	GILEAD SCIENCES INC C GILD	36	71 61	2,577 96	75 1919	2,706 91 2,706.91	-128.95	67	2 63%
10/29/15		8		572 88	109 6700	877 36 877 36	-304 48	15	
Total		44		3,150.84	81.4607	3,584.27 3,584.27	-433.43	82	
06/15/15	GRANITE CONSTR INC C GVA	25	55.00	1,375 00	36 3632	909 08 909 08	465 92	13	0 95%
06/16/15		58		3,190 00	36 6221	2,124 08 2,124 08	1,065.92	30	
07/09/15		19		1,045.00	34.9753	664 53 664.53	380 47	9	
09/08/15		22		1,210 00	33 6736	740 82 740 82	469 18	11	

EQUITIES AND OPTIONS continue on page 23

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

LPL Financial

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/04/16	FEDEX CORP (continued)	15		2,793.00	163 9433	2,459.15 2,459.15	333 85	24	
04/06/16		20		3,724 00	162 2455	3,244 91 3,244 91	479.09	32	
Total		55		10,241.00	161.8293	8,900.61 8,900.61	1,340.39	88	
01/21/16	FLUOR CORP NEW C FLR	9	52 52	472 68	41.4389	372 95 372 95	99.73	7	1 60%
01/22/16		67		3,518 84	42 8428	2,870 47 2,870.47	648.37	56	
Total		76		3,991.52	42.6766	3,243.42 3,243.42	748.10	63	
08/11/14	FORTINET INC C FTNT	27	30 12	813 24	25 3326	683 98 683 98	129 26	—	—
11/14/14		53		1,596 36	27.1702	1,440 02 1,440.02	156.34	—	
10/29/15		33		993.96	34.2676	1,130 83 1,130 83	-136 87	—	
Total		113		3,403.56	28.8038	3,254.83 3,254.83	148.73	—	
08/03/15	GENERAL MOTORS COMPANY C GM	62	34 84	2,160 08	31.7384	1,967 78 1,967 78	192.30	94	4 36%

EQUITIES AND OPTIONS continue on page 22

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	ALPHABET INC C CL C GOOG	1	771 82	771.82	310 5400	310.54 310 54	461.28	—	—
06/12/12		1		771 82	280.1400	280 14 280 14	491.68	—	
11/06/12		3		2,315 46	341 9500	1,025 85 1,025 85	1,289 61	—	
04/16/13		2		1,543.64	395.6500	791.30 791 30	752.34	—	
10/29/15		1		771.82	715 9600	715 96 715 96	55 86	—	
Total		8		6,174.56	390.4738	3,123.79 3,123.79	3,050.77	—	
01/24/11	ALPHABET INC C CL A GOOGL	1	792 45	792 45	304.4500	304 45 304 45	488.00	—	—
02/03/12		2		1,584.90	298 4600	596 92 596 92	987.98	—	
02/14/12		1		792.45	305 2900	305 29 305 29	487.16	—	
04/11/12		1		792 45	317 4600	317 46 317 46	474 99	—	

EQUITIES AND OPTIONS continue on page 5

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

CASH AND CASH EQUIVALENTS

Description	Current Balance
Cash	$238 53
Money Market Funds	7,717 73
TOTAL CASH AND CASH EQUIVALENTS	$7,956.26

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/31/12	A O SMITH C AOS	40	$47 35	$1,894 00	$15 0535	$602.14 602.14	$1,291 86	$19	1 01%
12/20/12		60		2,841 00	15 7723	946 34 946 34	1,894 66	28	
10/29/15		22		1,041 70	38 0000	836 00 836 00	205.70	10	
Total		122		5,776.70	19.5449	2,384.48 2,384.48	3,392.22	57	
09/28/16	ALASKA AIR GROUP INC C ALK	31	88 73	2,750 63	66 9987	2,076.96 2,076 96	673 67	34	1 24%
10/05/16		19		1,685 87	68 3895	1,299 40 1,299.40	386 47	20	
Total		50		4,436.50	67.5272	3,376.36 3,376.36	1,060.14	54	

EQUITIES AND OPTIONS continue on page 4

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	EXXON MOBIL CORP (continued)	15		1,353.90	82.1900	1,232 85 1,232 85	121 05	45	
12/17/15		28		2,527.28	78 4075	2,195 41 2,195 41	331.87	84	
Total		80		7,220.80	82.6451	6,611.61 6,611.61	609.19	240	
01/17/14	FACEBOOK INC CL A C FB	23	115 05	2,646.15	57.2930	1,317.74 1,317 74	1,328 41	—	—
01/22/14		22		2,531 10	57.6255	1,267 76 1,267 76	1,263 34	—	
01/30/14		46		5,292 30	62 0480	2,854 21 2,854 21	2,438 09	—	
07/24/14		15		1,725 75	76 2273	1,143 41 1,143 41	582 34	—	
10/29/15		17		1,955 85	104 6276	1,778 67 1,778 67	177.18	—	
Total		123		14,151.15	67.9820	8,361.79 8,361.79	5,789.36	—	
11/10/16	FASTENAL COMPANY C FAST	91	46 98	4,275.18	44 8495	4,081 30 4,081 30	193 88	109	2.55%
03/17/16	FEDEX CORP C FDX	20	186.20	3,724.00	159 8275	3,196 55 3,196 55	527 45	32	0.86%

EQUITIES AND OPTIONS continue on page 21

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/23/14	EAST WEST BANCORP INC (continued)	31		1,575.73	34 9265	1,082 72 1,082.72	493 01	24	
10/29/15		20		1,016.60	41 4880	829 76 829 76	186.84	16	
Total		140		7,116.20	27.4940	3,849.16 3,849.16	3,267.04	110	
10/26/15	EBAY INC C EBAY	29	29.69	861 01	28 0724	814.10 814.10	46 91	—	—
10/29/15		16		475 04	28 1731	450 77 450.77	24 27	—	
11/04/15		93		2,761.17	29 2549	2,720.71 2,720.71	40.46	—	
01/20/16		59		1,751.71	25 5236	1,505.89 1,505 89	245.82	—	
07/21/16		35		1,039.15	30 0854	1,052 99 1,052.99	-13.84	—	
Total		232		6,888.08	28.2089	6,544 46 6,544 46	343.62	—	
05/07/15	EXXON MOBIL CORP C XOM	14	90 26	1,263 64	87 7436	1,228 41 1,228 41	35 23	42	3 32%
06/09/15		23		2,075 98	84 9974	1,954 94 1,954.94	121.04	69	

EQUITIES AND OPTIONS continue on page 20

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/12/15	DOMINION DIAMOND CORP (continued)	126		1,219 68	9 0941	1,145 86 1,145 86	73 82	50	
Total		218		2,110 24	9.8432	2,145.81 2,145.81	-35.57	86	
11/09/15	DOW CHEMICAL COMPANY C DOW	65	57.22	3,719 30	51 8132	3,367 86 3,367.86	351 44	119	3 22%
11/10/15		32		1,831 04	51.4997	1,647.99 1,647 99	183 05	58	
12/17/15		35		2,002 70	50 5874	1,770 56 1,770 56	232.14	64	
Total		132		7,553.04	51.4122	6,786.41 6,786.41	766.63	241	
06/20/16	DU PONT E I DE NEMOURS C & COMPANY DD	49	73 40	3,596 60	67 9943	3,331 72 3,331 72	264 88	74	2 07%
07/25/16	EAGLE MATERIALS INC C EXP	22	98 53	2,167 66	85 5786	1,882 73 1,882.73	284 93	8	0 41%
05/24/12	EAST WEST BANCORP INC C EWBC	31	50 83	1,575 73	22 4971	697 41 697 41	878 32	24	1 57%
06/12/12		13		660 79	21.1500	274 95 274 95	385 84	10	
06/13/12		45		2,287 35	21 4293	964 32 964.32	1,323.03	36	

EQUITIES AND OPTIONS continue on page 19

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/08/16	CONAGRA BRANDS INC (continued)	34		1,344.70	37.5200	1,275.68 1,275 68	69 02	27	
Total		119		4,706.45	37.1180	4,417.04 4,417.04	289.41	94	
03/07/16	DANAHER CORP C DHR	18	77 84	1,401 12	69 0244	1,242 44 1,242 44	158.68	9	0.64%
03/14/16		17		1,323 28	69 9088	1,188 45 1,188 45	134.83	8	
Total		35		2,724.40	69.4540	2,430.89 2,430.89	293.51	17	
07/06/16	DEAN FOODS COMPANY NEW C DF	121	21 78	2,635.38	18.8712	2,283 42 2,283 42	351 96	43	1 65%
11/21/16		86		1,873 08	20 1383	1,731 89 1,731.89	141.19	30	
11/22/16		53		1,154.34	20.1377	1,067.30 1,067.30	87.04	19	
Total		260		5,662.80	19.5485	5,082.61 5,082.61	580.19	92	
10/27/15	DOMINION DIAMOND CORP C DDC	81	9 68	784.08	10 9670	888.33 888.33	-104 25	32	4.13%
10/29/15		11		106 48	10 1473	111.62 111 62	-5 14	4	

EQUITIES AND OPTIONS continue on page 18

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/16/16	CITIZENS FINANCIAL C GROUP INC CFG	74	35.63	2,636.62	23 4380	1,734 41 1,734 41	902.21	35	1.35%
08/17/16		74		2,636 62	23 5184	1,740 36 1,740.36	896 26	35	
08/29/16		92		3,277.96	24 5016	2,254 15 2,254.15	1,023.81	44	
09/12/16		102		3,634 26	24 8160	2,531 23 2,531 23	1,103.03	48	
10/06/16		62		2,209 06	25 5792	1,585 91 1,585.91	623 15	29	
11/01/16		67		2,387.21	26 7925	1,795 10 1,795.10	592 11	32	
Total		471		16,781.73	24.7158	11,641.16 11,641.16	5,140.57	223	
11/28/16	COLGATE-PALMOLIVE C COMPANY CL	158	65.44	10,339.52	66.5320	10,512 06 10,512 06	-172 54	246	2.38%
10/14/16	CONAGRA BRANDS INC C CAG	57	39 55	2,254.35	36 8325	2,099 45 2,099 45	154 90	45	2 02%
10/18/16		28		1,107 40	37 2111	1,041.91 1,041.91	65.49	22	

EQUITIES AND OPTIONS continue on page 17

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/09/15	CHURCHILL DOWNS INC C CHDN	7	150 45	1,053 15	149.9471	1,049 63 1,049 63	3.52	9	0.88%
11/13/15		6		902.70	148 9650	893 79 893 79	8.91	7	
Total		13		1,955.85	149.4938	1,943.42 1,943.42	12.43	16	
10/10/16	CIENA CORP NEW C CIEN	153	24.41	3,734 73	22.4388	3,433 14 3,433 14	301.59	—	—
10/12/16		84		2,050 44	20.6087	1,731 13 1,731.13	319 31	—	
12/09/16		16		390.56	24 6469	394.35 394 35	-3.79	—	
Total		253		6,175.73	21.9708	5,558.62 5,558.62	617.11	—	
08/14/15	CISCO SYSTEMS INC C CSCO	126	30.22	3,807.72	28 9276	3,644 88 3,644 88	162.84	131	3 44%
10/26/15		74		2,236.28	28 9653	2,143.43 2,143 43	92.85	76	
10/29/15		143		4,321 46	29 0700	4,157.01 4,157 01	164.45	148	
Total		343		10,365.46	28.9951	9,945.32 9,945.32	420.14	355	

EQUITIES AND OPTIONS continue on page 16

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Peltier Foundation
72-1416778 Part II
Form 990 PF 2016 Line 10 b
Schedule 8

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	CHEVRON CORP (continued)	6		706 20	101.1300	606 78 606 78	99 42	25	
04/23/12		12		1,412 40	102 0967	1,225.16 1,225.16	187 24	51	
05/14/12		7		823 90	102.1900	715.33 715.33	108 57	30	
06/12/12		7		823 90	100 3700	702 59 702.59	121 31	30	
10/29/15		5		588.50	89 5900	447 95 447 95	140 55	21	
11/09/15		13		1,530 10	92 5138	1,202 68 1,202 68	327.42	56	
01/20/16		44		5,178 80	76 7016	3,374 87 3,374 87	1,803 93	190	
01/21/16		13		1,530 10	80 6762	1,048.79 1,048 79	481 31	56	
11/14/16		30		3,531.00	105 9537	3,178 61 3,178 61	352 39	129	
Total		141		16,595.70	91.8128	12,945.60 12,945.60	3,650.10	605	

EQUITIES AND OPTIONS continue on page 15

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/13/16	CALIFORNIA WTR SVC GRP (continued)	47		1,593 30	32.0155	1,504.73 1,504 73	88.57	32	
Total		316		10,712.40	22.9476	7,251.45 7,251.45	3,460.95	213	
04/25/16	CARPENTER TECHNOLGY CORP C CRS	13	36.17	470 21	35.7938	465 32 465.32	4 89	9	1.99%
04/26/16		29		1,048.93	35 2769	1,023 03 1,023 03	25.90	20	
05/23/16		34		1,229 78	30 8209	1,047 91 1,047.91	181 87	24	
Total		76		2,748.92	33.3718	2,536.26 2,536.26	212.66	53	
03/16/16	CHEMICAL FINANCIAL CORP C CHFC	47	54 17	2,545 99	34 6345	1,627 82 1,627 82	918 17	50	1 99%
04/21/16		26		1,408 42	38 5262	1,001 68 1,001 68	406 74	28	
09/12/16		42		2,275 14	43 9826	1,847 27 1,847.27	427 87	45	
Total		115		6,229.55	38.9284	4,476.77 4,476.77	1,752.78	123	
03/16/12	CHEVRON CORP C CVX	4	117.70	470 80	110 7100	442 84 442 84	27.96	17	3.67%

EQUITIES AND OPTIONS continue on page 14

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	CALIFORNIA WTR SVC GRP (continued)	9		305.10	18 3300	164 97 164 97	140 13	6	
03/16/12		9		305 10	18 2400	164 16 164 16	140.94	6	
04/11/12		8		271 20	17 6200	140 96 140.96	130.24	5	
05/14/12		10		339 00	17.6600	176 60 176 60	162.40	6	
06/12/12		10		339 00	17 6600	176 60 176 60	162.40	6	
01/21/14		25		847 50	22 7468	568 67 568 67	278.83	17	
01/22/14		44		1,491.60	22 7993	1,003 17 1,003 17	488 43	30	
02/27/15		34		1,152 60	25 4429	865 06 865 06	287.54	23	
09/23/15		22		745 80	21 7514	478 53 478 53	267.27	15	
10/29/15		32		1,084 80	22.8200	730.24 730 24	354.56	22	

EQUITIES AND OPTIONS continue on page 13

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	BLACKROCK INC C BLK	1	380 54	380.54	192 5500	192 55 192 55	187 99	9	2 41%
03/16/12		2		761 08	204 6200	409 24 409 24	351 84	18	
04/11/12		2		761 08	198.4600	396 92 396 92	364.16	18	
05/14/12		2		761.08	177 8200	355 64 355 64	405 44	18	
06/12/12		2		761.08	171.9600	343 92 343 92	417 16	18	
07/10/12		8		3,044 32	172 6050	1,380 84 1,380 84	1,663 48	73	
10/17/12		7		2,663.78	189.1929	1,324.35 1,324.35	1,339 43	64	
10/29/15		4		1,522 16	348.4400	1,393 76 1,393.76	128 40	36	
Total		28		10,655.12	207.0436	5,797.22 ✓ 5,797.22	4,857.90	254	
04/27/09	CALIFORNIA WTR SVC GRP C CWT	66	33 90	2,237.40	19 3600	1,277 76 1,277.76	959.64	45	2.04%

EQUITIES AND OPTIONS continue on page 12

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/29/16	BANK AMERICA CORP (continued)	147		3,248.70	15 9389	2,343 02 2,343.02	905 68	44	
09/12/16		166		3,668 60	15 8316	2,628 05 2,628 05	1,040 55	49	
Total		539		11,911.90	15.3146	8,254.56 8,254.56	3,657.34	159	
06/17/16	BAXTER INTERNATIONAL INC C BAX	75	44 34	3,325 50	44 3605	3,327 04 3,327 04	-1.54	39	1 17%
08/22/16		70		3,103.80	48 0417	3,362 92 3,362 92	-259 12	36	
10/25/16		47		2,083.98	49 1000	2,307 70 2,307.70	-223 72	24	
Total		192		8,513 28	46.8628	8,997.66 8,997.66	-484.38	99	
11/18/16	BEST BUY COMPANY INC C BBY	77	42 67	3,285 59	45 3114	3,488 98 3,488 98	-203 39	86	2.62%
11/22/16		34		1,450.78	46 8653	1,593 42 1,593 42	-142 64	38	
12/05/16		59		2,517 53	47 0678	2,777 00 2,777.00	-259 47	66	
Total		170		7,253.90	46.2318	7,859.40 7,859.40	-605.50	190	

EQUITIES AND OPTIONS continue on page 11

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	ARES CAPITAL CORP (continued)	8		131 92	15 9300	127 44 127 44	4 48	12	
05/14/12		10		164.90	15 5700	155 70 155 70	9 20	15	
06/12/12		9		148 41	15 5000	139 50 139.50	8 91	13	
12/09/13		65		1,071 85	18.3486	1,192 66 1,192.66	-120 81	98	
12/10/13		55		906 95	17.7824	978 03 978.03	-71 08	83	
12/12/13		61		1,005 89	17.3811	1,060.25 1,060 25	-54.36	92	
10/29/15		44		725.56	15.3300	674.52 674 52	51.04	66	
Total		329		5,425.21	16.7690	5,517.01 5,517.01	-91.80	493	
05/19/16	BANK AMERICA CORP C BAC	113	22 10	2,497 30	14.5500	1,644 15 1,644 15	853.15	33	1 36%
05/23/16		113		2,497 30	14 5074	1,639.34 1,639 34	857 96	33	

EQUITIES AND OPTIONS continue on page 10

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/14/14	APPLE INC (continued)	14		1,621 48	77.4379	1,084 13 1,084 13	537 35	31	
08/18/14		17		1,968.94	99 0500	1,683 85 1,683.85	285 09	38	
01/07/15		13		1,505.66	107 8000	1,401 40 1,401 40	104.26	29	
10/29/15		9		1,042.38	119 8100	1,078 29 1,078 29	-35 91	20	
09/16/16		22		2,548 04	114.9195	2,528 23 2,528 23	19 81	50	
Total		86		9,960.52	100.6536	8,656.21 8,656.21	1,304.31	193	
10/24/11	ARES CAPITAL CORP C ARCC	23	16 49	379 27	15 2504	350 76 350 76	28.51	34	9 22%
10/25/11		36		593 64	15 1494	545.38 545.38	48 26	54	
02/14/12		9		148 41	16 3800	147 42 147 42	0.99	13	
03/16/12		9		148 41	16.1500	145 35 145 35	3 06	13	

EQUITIES AND OPTIONS continue on page 9

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/14/12	AMGEN INC (continued)	8		1,169.68	70 5800	564 64 564 64	605 04	36	
06/12/12		7		1,023.47	68 4300	479 01 479.01	544.46	32	
07/30/14		20		2,924.20	130 2870	2,605 74 2,605 74	318.46	92	
03/18/15		7		1,023 47	163.0257	1,141.18 1,141 18	-117.71	32	
10/29/15		7		1,023 47	160 3100	1,122.17 1,122 17	-98.70	32	
Total		54		7,895.34	115.6831	6,246.89 6,246.89	1,648.45	247	
09/13/16	APACHE CORP C APA	59	63.47	3,744 73	57 8700	3,414 33 3,414 33	330 40	59	1 58%
09/14/16		29		1,840 63	57.2286	1,659 63 1,659 63	181.00	29	
10/18/16		57		3,617 79	62.6793	3,572.72 3,572.72	45 07	57	
Total		145		9,203.15	59.6323	8,646.68 8,646.68	556.47	145	
12/03/13	APPLE INC C AAPL	11	115 82	1,274.02	80 0282	880.31 880.31	393 71	25	1.97%

EQUITIES AND OPTIONS continue on page 8

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/26/16	AMERICAN EXPRESS COMPANY (continued)	26		1,926 08	66 5869	1,731.26 1,731 26	194 82	33	
Total		76		5,630.08	66.9611	5,089.04 5,089.04	541.04	97	
02/14/12	AMERICAN STS WATER C COMPANY AWR	6	45 56	273.36	18 3400	110.04 110 04	163.32	5	2.12%
03/16/12		16		728 96	18 5150	296 24 296 24	432 72	15	
04/11/12		16		728 96	17 6350	282 16 282.16	446 80	15	
05/14/12		18		820 08	18 5000	333 00 333 00	487 08	17	
06/12/12		16		728.96	18 9250	302 80 302 80	426 16	15	
10/29/15		15		683.40	40 5373	608 06 608.06	75.34	14	
Total		87		3,963.72	22.2103	1,932.30 1,932.30	2,031.42	81	
04/11/12	AMGEN INC C AMGN	5	146 21	731 05	66 8300	334 15 334.15	396.90	23	3 15%

EQUITIES AND OPTIONS continue on page 7

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/12/12	ALPHABET INC (continued)	1		792.45	281 0500	281.05 281 05	511.40	—	
11/06/12		3		2,377 35	343.0500	1,029 15 1,029.15	1,348 20	—	
04/16/13		2		1,584 90	396.9250	793 85 793 85	791.05	—	
10/29/15		2		1,584.90	743.2500	1,486 50 1,486.50	98.40	—	
Total		13		10,301.85	393.4362	5,114.67 5,114 67	5,187.18	—	
08/15/16	AMAZON COM INC C AMZN	9	749.87	6,748.83	768 9867	6,920 88 6,920.88	-172.05	—	—
10/20/14	AMERICAN ELECTRIC POWER C COMPANY INC AEP	1	62 96	62.96	55 3300	55 33 55 33	7.63	2	3.75%
08/26/15		41		2,581 36	53 2422	2,182.93 2,182 93	398.43	96	
10/29/15		16		1,007 36	55.8500	893.60 893.60	113.76	37	
Total		58		3,651.68	53.9976	3,131.86 3,131.86	519.82	135	
10/21/16	AMERICAN EXPRESS COMPANY C AXP	50	74 08	3,704 00	67 1556	3,357 78 3,357.78	346.22	64	1 73%

EQUITIES AND OPTIONS continue on page 6

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	HERSHEY COMPANY (continued)	10		1,034.30	89.0280	890.28 890 28	144 02	24	
Total		84		8,688.12	75.3331	6,327.98 6,327.98	2,360.14	202	
08/08/12	HOME DEPOT INC C HD	32	134.08	4,290.56	52 5709	1,682 27 1,682 27	2,608 29	88	2.06%
08/17/12		17		2,279.36	57 0876	970.49 970 49	1,308.87	46	
12/03/12		17		2,279.36	65 1053	1,106 79 1,106.79	1,172.57	46	
03/04/13		28		3,754 24	69.5514	1,947 44 1,947.44	1,806 80	77	
05/21/13		10		1,340 80	78.4340	784.34 784 34	556 46	27	
10/29/15		15		2,011 20	123 2600	1,848.90 1,848.90	162 30	41	
Total		119		15,955.52	70.0860	8,340.23 8,340.23	7,615.29	325	
09/06/13	HONEYWELL INTL INC C HON	16	115 85	1,853 60	80 3219	1,285.15 1,285 15	568 45	42	2.30%
10/04/13		30		3,475 50	81 9357	2,458 07 2,458.07	1,017 43	79	

EQUITIES AND OPTIONS continue on page 26

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/21/14	HONEYWELL INTL INC (continued)	11		1,274 35	97.7791	1,075.57 1,075.57	198 78	29	
01/29/15		14		1,621 90	99 3593	1,391 03 1,391 03	230 87	37	
10/29/15		13		1,506 05	101 8654	1,324 25 1,324.25	181 80	34	
Total		84		9,731.40	89.6913	7,534.07 7,534.07	2,197.33	221	
02/14/12	HOSPITALITY PPTYS TR C SBI HPT	5	31.74	158 70	24 8700	123.24 123 24	35 46	10	6.43%
03/16/12		11		349 14	25 6000	279 13 279 13	70 01	22	
04/11/12		11		349 14	26 1500	285 18 285.18	63 96	22	
05/14/12		13		412.62	25 3100	326.12 326 12	86 50	26	
06/12/12		12		380 88	23 2300	275.97 275.97	104 91	24	
10/07/14		57		1,809.18	26 8181	1,518 80 1,518.80	290 38	116	

EQUITIES AND OPTIONS continue on page 27

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	HOSPITALITY PPTYS TR (continued)	21		666.54	27 0000	567 00 567.00	99 54	42	
12/04/15		37		1,174.38	27.0873	1,002 23 1,002.23	172.15	75	
Total		167		5,300.58	26.2136	4,377.67 4,377.67	922.91	337	
04/19/13	INTEL CORP C INTC	44	36.27	1,595 88	22.4000	985 60 985.60	610.28	45	2 87%
06/04/13		36		1,305 72	25 4783	917 22 917 22	388 50	37	
08/14/14		23		834 21	33.9661	781 22 781 22	52 99	23	
10/06/15		33		1,196 91	31 7900	1,049 07 1,049 07	147 84	34	
10/15/15		38		1,378 26	32 7700	1,245 26 1,245.26	133 00	39	
10/29/15		23		834 21	34 0800	783 84 783 84	50.37	23	
02/02/16		75		2,720 25	29 9673	2,247 55 2,247.55	472 70	78	

EQUITIES AND OPTIONS continue on page 28

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/09/16	INTEL CORP (continued)	37		1,341 99	28.7800	1,064 86 1,064 86	277.13	38	
Total		309		11,207.43	29.3677	9,074.62 9,074.62	2,132.81	317	
02/13/15	INTERCONTINENTAL C EXCHANGE INC ICE	65	56 42	3,667 30	46 7491	3,038.69 3,038.69	628 61	44	1.21%
09/02/15		40		2,256 80	44.9055	1,796 22 1,796 22	460 58	27	
10/29/15		15		846 30	51.9793	779.69 779.69	66.61	10	
Total		120		6,770.40	46.7883	5,614.60 5,614.60	1,155.80	81	
12/07/16	JACOBS ENGINEERNG GROUP C JEC	58	57.00	3,306 00	60 9600	3,535 68 3,535 68	-229 68	—	—
04/15/13	JOHNSON & JOHNSON C JNJ	44	115.21	5,069.24	82.6370	3,636.03 3,636 03	1,433 21	140	2.78%
04/19/13		21		2,419 41	84 0648	1,765 36 1,765 36	654.05	67	
05/07/13		17		1,958.57	85 3794	1,451.45 1,451.45	507 12	54	
10/29/15		10		1,152 10	100.7200	1,007 20 1,007.20	144 90	32	

EQUITIES AND OPTIONS continue on page 29

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/26/16	JOHNSON & JOHNSON (continued)	14		1,612 94	99.5100	1,393 14 1,393.14	219.80	44	
Total		106		12,212.26	87.2942	9,253.18 9,253.18	2,959.08	337	
02/03/15	JPMORGAN CHASE & C COMPANY JPM	68	86 29	5,867.72	56 2109	3,822 34 3,822.34	2,045.38	130	2 23%
02/12/15		34		2,933.86	59 4776	2,022 24 2,022 24	911.62	65	
05/19/15		23		1,984 67	66.9813	1,540.57 1,540 57	444.10	44	
10/29/15		21		1,812 09	65 0800	1,366 68 1,366.68	445 41	40	
04/13/16		24		2,070 96	61 5692	1,477 66 1,477.66	593.30	46	
Total		170		14,669.30	60.1735	10,229.49 10,229.49	4,439.81	325	
07/15/15	LABORATORY CORP OF AMER C HOLDINGS NEW LH	14	128 38	1,797.32	122 8164	1,719 43 1,719 43	77.89	—	—
10/29/15		10		1,283 80	122 7480	1,227.48 1,227 48	56 32	—	

EQUITIES AND OPTIONS continue on page 30

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/16/16	LABORATORY CORP OF AMER (continued)	17		2,182 46	103.1665	1,753 83 1,753 83	428.63	—	
Total		41		5,263.58	114.6522	4,700.74 4,700.74	562.84	—	
03/05/13	LAM RESEARCH CORP C LRCX	27	105.73	2,854 71	43 4056	1,171.95 1,171.95	1,682.76	48	1.70%
05/01/13		22		2,326 06	45.7777	1,007.11 1,007 11	1,318 95	39	
10/29/15		10		1,057 30	75 5980	755 98 755 98	301 32	18	
Total		59		6,238.07	49.7464	2,935 04 2,935.04	3,303.03	105	
08/11/16	MACYS INC C M	12	35.81	429.72	38 7458	464 95 464 95	-35 23	18	4 22%
08/19/16		31		1,110 11	40 3203	1,249 93 1,249 93	-139.82	46	
09/08/16		53		1,897.93	36 2179	1,919 55 1,919 55	-21 62	80	
Total		96		3,437.76	37.8586	3,634.43 3,634 43	-196.67	144	
08/08/11	MARSH & MCLENNAN COS INC C MMC	23	67 59	1,554 57	26 5574	610 82 610 82	943 75	31	2 01%

EQUITIES AND OPTIONS continue on page 31

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	MARSH & MCLENNAN COS INC (continued)	10		675.90	31.7600	317 60 317 60	358 30	13	
03/16/12		10		675 90	33 0300	330 30 330 30	345.60	13	
04/11/12		9		608 31	31 7900	286 11 286 11	322 20	12	
05/14/12		11		743 49	32 7400	360 14 360 14	383.35	14	
06/12/12		10		675 90	32.0100	320 10 320 10	355 80	13	
10/29/15		10		675.90	56.0380	560 38 560 38	115 52	13	
01/25/16		34		2,298 06	52.0176	1,768.60 1,768 60	529.46	46	
Total		117		7,908.03	38.9235	4,554.05 4,554.05	3,353.98	155	
03/02/15	MEDTRONIC PLC C MDT	10	71 23	712.30	78.6850	779 46 779 46	-67.16	17	2 41%
04/14/15		20		1,424 60	77 8000	1,545 16 1,545 16	-120 56	34	

EQUITIES AND OPTIONS continue on page 32

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	MEDTRONIC PLC (continued)	7		498.61	73.4400	514.08 514 08	-15.47	12	
12/04/15		20		1,424 60	78 1935	1,563.87 1,563 87	-139 27	34	
01/27/16		36		2,564.28	76 0181	2,736 65 2,736 65	-172 37	61	
Total		93		6,624.39	76.7658	7,139.22 7,139.22	-514.83	158	
08/05/16	MERCK & COMPANY INC NEW C MRK	57	58 87	3,355.59	61.3633	3,497.71 3,497 71	-142.12	107	3.19%
08/08/16		54		3,178 98	62 4393	3,371.72 3,371.72	-192.74	101	
08/09/16		27		1,589.49	62 7889	1,695 30 1,695.30	-105.81	50	
08/10/16		29		1,707 23	62 8690	1,823 20 1,823.20	-115.97	54	
Total		167		9,831.29	62.2032	10,387.93 10,387.93	-556.64	312	
02/02/16	MICROCHIP TECHNOLOGY INC C MCHP	37	64 15	2,373.55	43 4632	1,608 14 1,608.14	765.41	53	2.25%

EQUITIES AND OPTIONS continue on page 33

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/16/16	MICROCHIP TECHNOLOGY INC (continued)	40		2,566 00	41.5493	1,661 97 1,661.97	904.03	57	
Total		77		4,939.55	42.4690	3,270.11 3,270.11	1,669.44	110	
10/05/16	MICRON TECHNOLOGY INC C MU	184	21.92	4,033 28	17 9512	3,303.02 3,303 02	730.26	—	—
10/06/16		101		2,213.92	17 7778	1,795 56 1,795 56	418 36	—	
11/07/16		94		2,060.48	17.2900	1,625 26 1,625.26	435.22	—	
12/22/16		88		1,928.96	23 2422	2,045.31 2,045 31	-116 35	—	
Total		467		10,236.64	18.7776	8,769.15 8,769.15	1,467.49	—	
11/22/16	MICROSEMI CORP C MSCC	43	53 97	2,320 71	55 6786	2,394 18 2,394 18	-73.47	—	—
11/23/16		32		1,727.04	55 6341	1,780.29 1,780.29	-53.25	—	
11/28/16		50		2,698 50	56 1922	2,809 61 2,809 61	-111.11	—	
Total		125		6,746.25	55.8726	6,984.08 6,984.08	-237.83	—	

EQUITIES AND OPTIONS continue on page 34

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/27/14	MICROSOFT CORP C MSFT	50	62 14	3,107.00	36 1220	1,806 10 1,806 10	1,300.90	78	2 51%
01/30/14		45		2,796 30	36 4220	1,638 99 1,638 99	1,157 31	70	
02/06/14		21		1,304 94	36 1200	758.52 758 52	546 42	32	
10/23/15		28		1,739 92	53 2400	1,490 72 1,490 72	249 20	43	
10/29/15		19		1,180.66	53.3800	1,014 22 1,014 22	166.44	29	
Total		163		10,128.82	41.1567	6,708.55 6,708.55	3,420.27	252	
06/12/12	MIDDLEBY CORP C MIDD	3	128 81	386 43	32 4800	97 44 97 44	288.99	—	—
11/14/12		18		2,318 58	41 8333	753 00 753.00	1,565 58	—	
10/29/15		8		1,030 48	117 4700	939 76 939.76	90 72	—	
Total		29		3,735.49	61.7310	1,790.20 1,790.20	1,945.29	—	
09/23/16	MOTOROLA SOLUTIONS INC C NEW MSI	46	82 89	3,812 94	76 3070	3,510.12 3,510 12	302 82	86	2.27%

EQUITIES AND OPTIONS continue on page 35

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/19/16	NABORS INDUSTRIES LTD C NBR	227	16 40	3,722 80	15 7783	3,581 67 3,581 67	141.13	54	1 46%
11/30/15	NATIONAL INSTRUMENTS C CORP NATI	32	30 82	986 24	31 4322	1,005 83 1,005 83	-19.59	25	2 60%
12/09/15		34		1,047 88	29 7282	1,010 76 1,010 76	37 12	27	
07/27/16		33		1,017 06	29.4097	970.52 970 52	46 54	26	
Total		99		3,051.18	30.1728	2,987.11 2,987.11	64 07	78	
08/27/13	NICE LTD C SPONS ADR NICE	4	68 76	275 04	37.2000	148.80 148 80	126 24	2	0 93%
09/20/13		23		1,581.48	41.1422	946 27 946.27	635 21	14	
09/23/13		23		1,581.48	41 2874	949.61 949 61	631 87	14	
09/16/14		22		1,512 72	41.3055	908.72 908.72	604 00	14	
09/19/14		25		1,719 00	40.7752	1,019.38 1,019 38	699.62	16	

EQUITIES AND OPTIONS continue on page 36

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/01/14	NICE LTD (continued)	23		1,581 48	41 2352	948 41 948 41	633.07	14	
10/29/15		17		1,168 92	61.5100	1,045.67 1,045.67	123 25	10	
Total		137		9,420.12	43.5537	5,966.86 5,966.86	3,453.26	84	
11/20/14	NIKE INC C CL B NKE	26	50 83	1,321 58	48 6169	1,264 04 1,264.04	57.54	18	1 42%
12/19/14		20		1,016 60	46 8920	937 84 937.84	78 76	14	
03/20/15		34		1,728 22	51 3753	1,746 76 1,746 76	-18.54	24	
10/29/15		18		914.94	65 4450	1,178 01 1,178.01	-263 07	12	
Total		98		4,981.34	52.3128	5,126.65 5,126.65	-145.31	68	
10/28/13	NISOURCE INC C NI	76	22 14	1,682.64	11 5533	878 05 878 05	804 59	50	2 98%
04/03/14		38		841.32	12.7484	484.44 484.44	356 88	25	

EQUITIES AND OPTIONS continue on page 37

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/14	NISOURCE INC (continued)	21		464 94	12.4862	262 21 262 21	202 73	13	
10/29/15		18		398 52	18 8100	338 58 338 58	59 94	11	
Total		153		3,387.42	12.8319	1,963.28 1,963.28	1,424.14	99	
09/21/16	OSI SYSTEMS INC C OSIS	31	76.12	2,359.72	65 0910	2,017.82 2,017.82	341.90	—	—
10/28/16		25		1,903 00	72 7060	1,817.65 1,817.65	85.35	—	
Total		56		4,262.72	68.4905	3,835.47 3,835.47	427.25	—	
07/24/14	PAYCHEX INC C PAYX	34	60 88	2,069 92	42 6126	1,448 83 1,448 83	621 09	62	3.02%
08/04/14		33		2,009.04	40.8024	1,346 48 1,346 48	662 56	60	
10/29/15		13		791 44	51 5877	670 64 670 64	120 80	23	
Total		80		4,870.40	43.3244	3,465.95 3,465.95	1,404.45	145	
05/02/13	PEPSICO INC C PEP	40	104 63	4,185 20	82 3875	3,295 50 3,295 50	889 70	120	2 88%

EQUITIES AND OPTIONS continue on page 38

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
05/06/13	PEPSICO INC (continued)	28		2,929 64	82.4896	2,309 71 2,309 71	619 93	84	
04/28/14		8		837 04	86 4900	691 92 691 92	145.12	24	
08/11/14		11		1,150.93	91 7091	1,008.80 1,008.80	142 13	33	
09/17/14		19		1,987.97	92 4000	1,755 60 1,755.60	232 37	57	
07/14/15		10		1,046 30	97.0070	970.07 970 07	76 23	30	
10/29/15		15		1,569 45	102 5600	1,538 40 1,538 40	31 05	45	
10/10/16		21		2,197 23	106.2910	2,232 11 2,232.11	-34.88	63	
Total		152		15,903.76	90.8034	13,802.11 13,802.11	2,101.65	456	
10/30/13	PFIZER INC C PFE	5	32 48	162 40	30 9180	154.59 154 59	7 81	6	3 94%
11/14/13		35		1,136 80	31 8700	1,115 45 1,115 45	21.35	44	

EQUITIES AND OPTIONS continue on page 39

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/28/14	PFIZER INC (continued)	53		1,721.44	30 4600	1,614 38 1,614.38	107.06	67	
09/02/15		70		2,273 60	31 5627	2,209 39 2,209 39	64.21	89	
10/29/15		53		1,721 44	34 6698	1,837.50 1,837 50	-116 06	67	
Total		216		7,015 68	32.0894	6,931.31 6,931.31	84.37	273	
04/29/15	PG&E CORP C PCG	52	60 77	3,160 04	53 3031	2,771.76 2,771 76	388.28	101	3 23%
10/29/15		15		911.55	51 6600	774 90 774 90	136.65	29	
01/20/16		32		1,944 64	50.9925	1,631 76 1,631.76	312.88	62	
Total		99		6,016.23	52.3073	5,178.42 5,178.42	837.81	192	
01/07/16	PINNACLE FOODS INC DE C PF	9	53.45	481 05	42 6378	383 74 383 74	97 31	10	2.13%
01/08/16		42		2,244 90	43 1086	1,810 56 1,810 56	434 34	47	

EQUITIES AND OPTIONS continue on page 40

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/11/16	PINNACLE FOODS INC DE (continued)	25		1,336 25	43.8728	1,096.82 1,096 82	239 43	28	
Total		76		4,062.20	43.3042	3,291.12 3,291.12	771.08	85	
05/03/16	PROCTER & GAMBLE COMPANY C PG	22	84.08	1,849.76	81.3155	1,788.94 1,788.94	60.82	58	3.19%
10/10/16		45		3,783 60	89 4662	4,025 98 4,025 98	-242.38	120	
11/23/16		42		3,531.36	82.6843	3,472.74 3,472.74	58.62	112	
Total		109		9,164.72	85.2079	9,287.66 9,287.66	-122.94	290	
03/12/15	PROOFPOINT INC C PFPT	21	70.65	1,483.65	55.9919	1,175.83 1,175 83	307.82	—	—
03/13/15		21		1,483.65	56 2490	1,181.23 1,181 23	302.42	—	
03/24/15		15		1,059.75	57 5487	863.23 863.23	196.52	—	
10/20/15		20		1,413 00	58.4010	1,168.02 1,168.02	244.98	—	

EQUITIES AND OPTIONS continue on page 41

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	PROOFPOINT INC (continued)	9		635.85	67 6978	609 28 609.28	26 57	—	
Total		86		6,075.90	58.1115	4,997.59 4,997.59	1,078.31	—	
01/04/16	RAYTHEON COMPANY NEW C RTN	27	142 00	3,834.00	122.1630	3,298 40 3,298 40	535.60	79	2 06%
01/07/16		17		2,414 00	123.2271	2,094.86 2,094.86	319 14	49	
01/08/16		7		994.00	121.9771	853 84 853 84	140 16	20	
05/09/16		12		1,704 00	130 5300	1,566 36 1,566 36	137 64	35	
Total		63		8,946.00	124.0232	7,813.46 7,813.46	1,132.54	183	
10/07/15	REPUBLIC SERVICES INC C RSG	102	57 05	5,819 10	42 3670	4,321.43 4,321.43	1,497.67	130	2 24%
10/09/15		32		1,825 60	43 2844	1,385 10 1,385.10	440.50	40	
10/29/15		17		969 85	43 8000	744 60 744 60	225.25	21	
Total		151		8,614.55	42.7227	6,451.13 6,451.13	2,163.42	191	

EQUITIES AND OPTIONS continue on page 42

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[3]	Estimated 30-Day Yield[a]
08/09/10	ROYAL DUTCH SHELL PLC C SPONSORED ADR REPSTG B SHARES RDS/B	3	57 97	173 91	56.2067	168 62 168 62	5 29	11	6 49%
02/28/11		15		869.55	72 0047	1,080.07 1,080 07	-210 52	56	
02/14/12		3		173.91	73.6800	221 04 221 04	-47.13	11	
03/16/12		4		231 88	72.6400	290 56 290 56	-58 68	15	
04/11/12		4		231 88	69 2700	277 08 277 08	-45 20	15	
05/14/12		4		231.88	67 3400	269 36 269.36	-37.48	15	
06/12/12		4		231 88	66.5900	266 36 266 36	-34.48	15	
04/26/13		20		1,159 40	68 5330	1,370.66 1,370 66	-211.26	75	
04/30/15		27		1,565 19	64 6033	1,744.29 1,744 29	-179.10	101	

EQUITIES AND OPTIONS continue on page 43

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	ROYAL DUTCH SHELL PLC (continued)	11		637 67	52.7200	579 92 579 92	57.75	41	
05/18/16		64		3,710.08	50.7072	3,245 26 3,245 26	464 82	240	
Total		159		9,217.23	59.8316	9,513.22 9,513.22	-295.99	595	
10/07/15	SABRE CORP C SABR	21	24.95	523 95	28 8038	602.99 602 99	-79.04	10	2 08%
10/08/15		49		1,222 55	28 9896	1,416 08 1,416 08	-193 53	25	
10/13/15		48		1,197 60	29 3540	1,404.67 1,404 67	-207 07	24	
10/29/15		26		648 70	29.3677	761.22 761 22	-112 52	13	
11/11/15		53		1,322 35	29 1794	1,541 74 1,541.74	-219.39	27	
Total		197		4,915.15	29.0695	5,726.70 5,726.70	-811.55	99	
01/19/16	SENIOR HOUSING C PROPERTIES TRUST SBI SNH	76	18 93	1,438 68	15.3300	1,165.08 1,165 08	273.60	118	8 24%

EQUITIES AND OPTIONS continue on page 44

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/01/16	SENIOR HOUSING (continued)	82		1,552.26	14 6596	1,202 09 1,202 09	350 17	127	
02/03/16		69		1,306 17	15 1109	1,042 65 1,042 65	263 52	107	
Total		227		4,297.11	15.0212	3,409.82 3,409.82	887.29	352	
02/16/11	SNAP ON INC C SNA	19	171.27	3,254.13	58.8979	1,119.06 1,119 06	2,135.07	53	1 66%
02/14/12		3		513 81	61 6300	184 89 184 89	328 92	8	
03/16/12		2		342 54	61 8300	123 66 123 66	218 88	5	
04/11/12		3		513 81	58 5000	175 50 175 50	338 31	8	
05/14/12		2		342.54	60.8700	121 74 121.74	220 80	5	
06/12/12		3		513 81	62.8300	188 49 188 49	325 32	8	

EQUITIES AND OPTIONS continue on page 45

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	SNAP ON INC (continued)	3		513.81	164 3867	493 16 493.16	20 65	8	
Total		35		5,994.45	68.7571	2,406.50 2,406.50	3,587.95	95	
01/27/15	STARBUCKS CORP C SBUX	40	55 52	2,220 80	44 3158	1,772 63 1,772 63	448.17	40	1 80%
01/29/15		24		1,332 48	44 5242	1,068 58 1,068 58	263.90	24	
02/04/15		20		1,110 40	44.5900	891.80 891.80	218.60	20	
02/12/15		46		2,553 92	45.8437	2,108 81 2,108 81	445.11	46	
10/29/15		16		888 32	62 3000	996 80 996.80	-108 48	16	
02/23/16		41		2,276.32	58 5437	2,400.29 2,400 29	-123 97	41	
02/24/16		27		1,499 04	58.2256	1,572.09 1,572 09	-73.05	27	
Total		214		11,881.28	50.5187	10,811.00 10,811.00	1,070.28	214	
10/25/16	SYMANTEC CORP C SYMC	82	23.89	1,958.98	24 9496	2,045 87 2,045 87	-86.89	24	1 26%

EQUITIES AND OPTIONS continue on page 46

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/26/16	SYMANTEC CORP (continued)	53		1,266 17	25.0100	1,325 53 1,325.53	-59 36	15	
11/01/16		66		1,576 74	25 2047	1,663.51 1,663 51	-86.77	19	
11/07/16		72		1,720 08	24.2699	1,747 43 1,747 43	-27.35	21	
Total		273		6,521.97	24.8437	6,782.34 6,782.34	-260.37	79	
10/25/16	T-MOBILE US INC C TMUS	40	57 51	2,300 40	50.6950	2,027 80 2,027.80	272 60	110	4 78%
10/26/16		62		3,565 62	49.7108	3,082 07 3,082 07	483 55	170	
10/28/16		33		1,897.83	49 7852	1,642.91 1,642 91	254 92	90	
Total		135		7,763.85	50.0206	6,752 78 6,752.78	1,011.07	370	
10/03/11	TIME WARNER INC NEW C TWX	4	96 53	386 12	28.1800	112 72 112 72	273.40	6	1 67%
02/14/12		6		579 18	36 2417	217.45 217 45	361.73	9	
03/16/12		6		579 18	34 4367	206 62 206 62	372.56	9	

EQUITIES AND OPTIONS continue on page 47

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	TIME WARNER INC NEW (continued)	6		579 18	34 3700	206 22 206 22	372.96	9	
05/14/12		7		675 71	34.3700	240.59 240 59	435 12	11	
06/12/12		6		579 18	33.7267	202 36 202 36	376 82	9	
02/15/13		28		2,702 84	51 1896	1,433 31 1,433.31	1,269.53	45	
07/17/13		21		2,027 13	59 1919	1,243.03 1,243.03	784.10	33	
10/29/15		12		1,158 36	75 3800	904 56 904 56	253 80	19	
Total		96		9,266.88	49.6548	4,766.86 4,766.86	4,500.02	150	
06/04/12	TRAVELERS COMPANIES INC C TRV	16	122 42	1,958 72	60.2700	964.32 964 32	994.40	42	2.19%
06/12/12		7		856.94	61 7100	431.97 431 97	424.97	18	

EQUITIES AND OPTIONS continue on page 48

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	TRAVELERS COMPANIES INC (continued)	8		979.36	114.4075	915.26 915 26	64 10	21	
Total		31		3,795.02	74.5661	2,311.55 2,311.55	1,483.47	81	
12/15/16	UNIT CORP C UNT	68	26 87	1,827 16	25 7409	1,750 38 1,750 38	76 78	—	—
12/19/16		67		1,800.29	27 0678	1,813 54 1,813.54	-13.25	—	
Total		135		3,627.45	26.3994	3,563.92 3,563.92	63.53	—	
11/03/16	UNITEDHEALTH GROUP INC C UNH	42	160 04	6,721 68	138 4193	5,813 61 5,813.61	908.07	105	1.56%
11/14/16		39		6,241 56	149 7854	5,841.63 5,841.63	399.93	97	
11/29/16		7		1,120 28	157 8243	1,104 77 1,104.77	15.51	17	
Total		88		14,083.52	145.0001	12,760.01 12,760.01	1,323.51	219	
12/09/14	VAIL RESORTS INC C MTN	10	161.31	1,613.10	90.3250	903.25 903.25	709 85	32	2 01%
12/10/14		6		967 86	88.7783	532.67 532 67	435.19	19	

EQUITIES AND OPTIONS continue on page 49

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/29/15	VAIL RESORTS INC (continued)	3		483 93	114.5900	343 77 343 77	140 16	9	
Total		19		3,064.89	93.6679	1,779.69 1,779.69	1,285 20	60	
02/25/13	VERIZON COMMUNICATIONS C INC VZ	28	53 38	1,494 64	45.6386	1,277.88 1,277 88	216 76	64	4.33%
03/11/13		58		3,096.04	47 7600	2,770.08 2,770.08	325.96	133	
11/14/13		37		1,975 06	50 3081	1,861 40 1,861 40	113 66	85	
01/27/15		65		3,469 70	46.6565	3,032 67 3,032.67	437 03	150	
10/29/15		25		1,334 50	46 2900	1,157.25 1,157 25	177.25	57	
Total		213		11,369.94	47.4145	10,099.28 10,099.28	1,270.66	489	
04/27/09	WALT DISNEY CO C DIS	21	104.22	2,188 62	19 7600	414 96 414 96	1,773 66	32	1.50%
02/14/12		7		729.54	41 4800	290 36 290.36	439.18	10	

EQUITIES AND OPTIONS continue on page 50

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/16/12	WALT DISNEY CO (continued)	6		625.32	43 4100	260 46 260.46	364.86	9	
04/11/12		6		625 32	41.5900	249 54 249 54	375.78	9	
05/14/12		7		729 54	45 4400	318 08 318 08	411.46	10	
06/12/12		6		625 32	46 1700	277 02 277.02	348.30	9	
05/13/13		21		2,188.62	67.2643	1,412 55 1,412 55	776 07	32	
05/05/15		4		416.88	111 0700	444 28 444.28	-27 40	6	
10/29/15		12		1,250 64	114.7150	1,376 58 1,376 58	-125 94	18	
Total		90		9,379.80	56.0426	5,043.83 5,043 83	4,335.97	135	
03/08/16	WOODWARD INC C WWD	20	69 05	1,381 00	48 8935	977 87 977.87	403 13	8	0 64%
03/16/16		18		1,242 90	50.9561	917.21 917 21	325 69	7	

EQUITIES AND OPTIONS continue on page 51

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/21/16	WOODWARD INC (continued)	17		1,173 85	54.6247	928 62 928 62	245.23	7	
Total		55		3,797.75	51.3400	2,823 70 2,823.70	974.05	22	
06/28/16	XYLEM INC C XYL	38	49 52	1,881.76	42 9982	1,633 93 1,633 93	247.83	23	1 25%
06/29/16		37		1,832 24	43.6332	1,614 43 1,614 43	217 81	22	
12/07/16		26		1,287 52	52.1608	1,356.18 1,356.18	-68.66	16	
Total		101		5,001.52	45.5895	4,604 54 4,604.54	396.98	61	
TOTAL EQUITIES AND OPTIONS				$700,009.09		$566,993.53 $566,993.53	$133,015.56	$14,743	

C Dividends and/or capital gains distributed by this security will be distributed as cash

Value of Your LPL Financial Account	Market Value	Cost Basis/ Purchase Cost[2]	Unrealized Gain or Loss	Estimated Annual Income

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.
2 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

Peltier Foundation
72-1416778 Part II
Form 990 PF 2016 Line 10 b
Schedule 9

Account Holdings as of December 31, 2016

CASH AND CASH EQUIVALENTS

Description	Current Balance
Cash	-$4,411 07
Money Market Funds	58,385.14
TOTAL CASH AND CASH EQUIVALENTS	**$53,974.07**

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/10/12	ABBVIE INC C ABBV	93	$62 62	$5,823 66	$29.1742	$2,713.20 2,713.20	$3,110 46	$238	4 09%
02/14/12		11		688 82	28.4882	313 37 313 37	375 45	28	
03/13/12		10		626.20	30 6370	306 37 306 37	319 83	25	
04/11/12		13		814 06	31 1523	404 98 404 98	409.08	33	
05/14/12		12		751 44	32 2033	386.44 386 44	365.00	30	
06/18/12		12		751 44	32 6500	391.80 391 80	359.64	30	
08/20/13		6		375.72	43 1483	258 89 258 89	116 83	15	

EQUITIES AND OPTIONS continue on page 4

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/03/13	ABBVIE INC (continued)	7		438.34	42.5400	297.78 297.78	140.56	17	
09/18/13		7		438 34	47 8800	335.16 335.16	103.18	17	
10/18/13		7		438 34	48 4100	338 87 338 87	99.47	17	
11/18/13		163		10,207 06	49 7299	8,105.98 8,105 98	2,101.08	417	
11/20/13		136		8,516.32	48.3090	6,570 02 6,570 02	1,946.30	348	
12/17/13		13		814 06	53 3400	693.42 693 42	120.64	33	
Total		490		30,683.80	43.0944	21,116.28 21,116.28	9,567.52	1,248	
09/12/12	AFLAC INC C AFL	314	69.60	21,854 40	48 6098	15,263 48 15,263 48	6,590.92	540	2 47%
08/20/13		1		69.60	59.5400	59 54 59.54	10 06	1	
09/03/13		13		904 80	58.0500	754 65 754 65	150 15	22	

EQUITIES AND OPTIONS continue on page 5

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/18/13	AFLAC INC (continued)	14		974 40	62 5900	876.26 876 26	98.14	24	
10/18/13		13		904 80	65.7600	854 88 854 88	49.92	22	
11/18/13		9		626 40	67.3000	605 70 605 70	20.70	15	
12/17/13		17		1,183.20	65 9888	1,121 81 1,121.81	61 39	29	
Total		381		26,517.60	51.2764	19,536.32 19,536.32	6,981.28	653	
10/27/16	ALLISON TRANSMISSION C HLDGS INC ALSN	370	33 69	12,465 30	29.2157	10,809.82 10,809 82	1,655 48	222	1 78%
05/05/09	ALTRIA GROUP INC C MO	210	67 62	14,200 20	16.4600	3,456 59 3,456.59	10,743 61	512	3 61%
05/07/09		5		338 10	17 1000	85 50 85 50	252.60	12	
04/06/10		11		743.82	21 0300	231 33 231.33	512 49	26	
02/14/12		38		2,569.56	29 1400	1,107 32 1,107.32	1,462 24	92	

EQUITIES AND OPTIONS continue on page 6

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/13/12	ALTRIA GROUP INC (continued)	26		1,758.12	30.0800	782 08 782.08	976 04	63	
04/11/12		31		2,096.22	31 1900	966 89 966.89	1,129 33	75	
05/14/12		33		2,231 46	31.8600	1,051 38 1,051.38	1,180.08	80	
06/18/12		29		1,960 98	33 8900	982 81 982 81	978.17	70	
09/03/13		18		1,217.16	33 8200	608.76 608.76	608 40	43	
09/18/13		16		1,081 92	35 7000	571 20 571 20	510 72	39	
10/18/13		17		1,149 54	35 8300	609 11 609 11	540.43	41	
11/18/13		18		1,217 16	37.9700	683 46 683.46	533.70	43	
12/17/13		12		811 44	37 5300	450 36 450 36	361 08	29	
Total		464		31,375 68	24.9715	11,586.79 11,586.79	19,788.89	1,125	

EQUITIES AND OPTIONS continue on page 7

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/10/12	AMERICAN ELECTRIC POWER C COMPANY INC AEP	188	62.96	11,836 48	41 3673	7,777 05 7,777 05	4,059 43	443	3 75%
02/14/12		24		1,511 04	39 6100	950 64 950 64	560 40	56	
03/13/12		21		1,322 16	39 0700	820 47 820 47	501 69	49	
04/11/12		27		1,699 92	37 2900	1,006 83 1,006 83	693 09	63	
05/14/12		24		1,511 04	38.3100	919.44 919 44	591 60	56	
06/18/12		24		1,511.04	40 0500	961 20 961 20	549.84	56	
09/03/13		14		881 44	42.2700	591 78 591 78	289 66	33	
09/18/13		13		818 48	44.4700	578 11 578 11	240 37	30	
10/18/13		13		818 48	44 8900	583 57 583.57	234 91	30	
11/18/13		16		1,007.36	48 2600	772.16 772 16	235.20	37	

EQUITIES AND OPTIONS continue on page 8

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	AMERICAN ELECTRIC POWER (continued)	9		566 64	45.8200	412 38 412 38	154 26	21	
Total		373		23,484.08	41.2162	15,373.63 15,373.63	8,110.45	874	
07/07/09	AMERICAN FINANCIAL GRP C INC OHIO AFG	119	88 12	10,486 28	21.1796	2,520.37 2,520.37	7,965.91	148	1 42%
12/14/10		57		5,022 84	32.6396	1,860 46 1,860 46	3,162 38	71	
02/14/12		23		2,026 76	37.1000	853 30 853 30	1,173 46	28	
03/13/12		19		1,674 28	38.4900	731.31 731 31	942 97	23	
04/11/12		24		2,114 88	37.5300	900 72 900 72	1,214.16	30	
05/14/12		25		2,203 00	39.4400	986 00 986.00	1,217.00	31	
06/18/12		22		1,938 64	39.2800	864.16 864 16	1,074 48	27	
08/20/13		7		616 84	51.6800	361 76 361 76	255.08	8	

EQUITIES AND OPTIONS continue on page 9

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/03/13	AMERICAN FINANCIAL GRP (continued)	13		1,145.56	51.8200	673 66 673.66	471 90	16	
09/18/13		12		1,057 44	54.1400	649.68 649 68	407.76	15	
10/18/13		13		1,145.56	55.1900	717.47 717.47	428.09	16	
11/18/13		11		969 32	56.6000	622 60 622 60	346 72	13	
12/17/13		10		881 20	55 0800	550 80 550.80	330 40	12	
Total		355		31,282.60	34.6262	12,292.29 12,292.29	18,990.31	438	
11/05/12	AMERIPRISE FINANCIAL INC C AMP	238	110 94	26,403.72	60 0980	14,303 32 14,303.32	12,100 40	714	2.70%
08/20/13		1		110 94	87 2000	87.20 87.20	23.74	3	
09/03/13		11		1,220.34	86 6000	952 60 952.60	267.74	33	
09/18/13		10		1,109 40	93 8600	938 60 938 60	170 80	30	

EQUITIES AND OPTIONS continue on page 10

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	AMERIPRISE FINANCIAL INC (continued)	10		1,109 40	99.3200	993 20 993 20	116 20	30	
11/18/13		6		665 64	105 9300	635 58 635.58	30 06	18	
12/17/13		14		1,553 16	108 7400	1,522 36 1,522.36	30 80	42	
Total		290		32,172.60	67.0099	19,432.86 19,432.86	12,739.74	870	
08/23/11	ARTHUR J GALLAGHER & C COMPANY AJG	219	51 96	11,379.24	26.3885	5,779 08 5,779.08	5,600.16	332	2 93%
02/14/12		28		1,454.88	35 1100	983 08 983 08	471 80	42	
03/13/12		24		1,247 04	35 7800	858 72 858 72	388 32	36	
04/11/12		34		1,766 64	34 8100	1,183 54 1,183.54	583.10	51	
05/14/12		25		1,299 00	35 6600	891 50 891 50	407 50	38	
06/18/12		28		1,454 88	34 4000	963 20 963 20	491 68	42	

EQUITIES AND OPTIONS continue on page 11

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
08/20/13	ARTHUR J GALLAGHER & (continued)	7		363.72	42 9300	300.51 300 51	63 21	10	
09/03/13		16		831 36	41 4200	662 72 662.72	168 64	24	
09/18/13		15		779 40	44 6800	670 20 670.20	109 20	22	
10/18/13		16		831 36	46 8400	749 44 749 44	81 92	24	
11/18/13		15		779 40	47.8500	717 75 717.75	61 65	22	
12/17/13		15		779.40	45 6800	685 20 685.20	94.20	22	
Total		442		22,966.32	32.6809	14,444.94 14,444.94	8,521.38	665	
05/05/09	AT&T INC C T	179	42.53	7,612.87	26.5175	4,746 63 4,746 63	2,866.24	350	4 61%
11/20/09		49		2,083.97	26 0876	1,278 29 1,278 29	805 68	96	
01/08/10		42		1,786.26	26.9276	1,130.96 1,130.96	655.30	82	

EQUITIES AND OPTIONS continue on page 12

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/10	AT&T INC (continued)	16		680.48	28.4500	455.20 455.20	225 28	31	
04/01/11		8		340 24	30 6400	245 12 245 12	95.12	15	
02/14/12		68		2,892 04	29.9100	2,033.88 2,033 88	858 16	133	
03/13/12		54		2,296 62	31 6100	1,706.94 1,706 94	589 68	105	
04/11/12		72		3,062.16	30 3900	2,188.08 2,188 08	874.08	141	
05/14/12		62		2,636 86	33 4900	2,076 38 2,076 38	560 48	121	
06/18/12		62		2,636.86	35 8300	2,221 46 2,221.46	415 40	121	
08/20/13		6		255 18	33.8800	203.28 203.28	51.90	11	
09/03/13		33		1,403 49	33 5552	1,107 32 1,107 32	296 17	64	
09/18/13		33		1,403 49	34.8100	1,148 73 1,148 73	254.76	64	

EQUITIES AND OPTIONS continue on page 13

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	AT&T INC (continued)	33		1,403 49	34.6400	1,143.12 1,143.12	260 37	64	
11/18/13		30		1,275 90	35 5500	1,066 50 1,066.50	209 40	58	
12/17/13		30		1,275 90	33 7900	1,013 70 1,013 70	262.20	58	
11/18/15		534		22,711 02	33 3888	17,829 63 17,829.63	4,881 39	1,046	
Total		1,311		55,756.83	31.7279	41,595.22 41,595.22	14,161.61	2,560	
03/09/11	BLACKROCK INC C BLK	34	380 54	12,938 36	197 6800	6,721 12 6,721 12	6,217.24	311	2 41%
02/14/12		6		2,283.24	190 2700	1,141 62 1,141 62	1,141 62	54	
03/13/12		4		1,522.16	201 9700	807 88 807 88	714 28	36	
04/11/12		4		1,522 16	198 4800	793 92 793 92	728 24	36	
05/14/12		4		1,522.16	177.6500	710 60 710 60	811 56	36	

EQUITIES AND OPTIONS continue on page 14

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	BLACKROCK INC (continued)	5		1,902 70	174.1300	870 65 870 65	1,032.05	45	
12/27/12		10		3,805.40	205.9600	2,059 60 2,059 60	1,745 80	91	
08/20/13		2		761.08	266 4800	532.96 532.96	228.12	18	
09/03/13		3		1,141.62	262 5400	787.62 787.62	354 00	27	
09/18/13		3		1,141.62	279 2500	837.75 837.75	303 87	27	
10/18/13		3		1,141.62	301 9600	905 88 905 88	235 74	27	
11/18/13		4		1,522 16	305 1400	1,220 56 1,220.56	301 60	36	
Total		82		31,204.28	212.0751	17,390.16 17,390.16	13,814.12	744	
05/05/09	CHEVRON CORP C CVX	145	117.70	17,066.50	65 4476	9,489 90 9,489 90	7,576 60	626	3.67%
05/07/09		2		235 40	68 5500	137 10 137.10	98 30	8	

EQUITIES AND OPTIONS continue on page 15

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/08/10	CHEVRON CORP (continued)	4		470 80	79 1800	316 72 316 72	154 08	17	
04/01/11		1		117 70	108 3700	108 37 108 37	9 33	4	
02/14/12		21		2,471.70	105 7700	2,221 17 2,221 17	250 53	90	
03/13/12		17		2,000 90	111 2200	1,890.74 1,890 74	110 16	73	
04/11/12		23		2,707 10	101 1900	2,327 37 2,327 37	379 73	99	
05/14/12		19		2,236.30	102.1600	1,941 04 1,941 04	295 26	82	
06/18/12		19		2,236 30	103 5900	1,968.21 1,968.21	268 09	82	
08/20/13		1		117 70	118 9000	118 90 118 90	-1.20	4	
09/03/13		11		1,294 70	120 3600	1,323.96 1,323 96	-29 26	47	
09/18/13		10		1,177 00	125 9000	1,259 00 1,259 00	-82.00	43	

EQUITIES AND OPTIONS continue on page 16

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	CHEVRON CORP (continued)	11		1,294.70	119.6900	1,316 59 1,316.59	-21.89	47	
11/18/13		11		1,294 70	120 1000	1,321.10 1,321.10	-26.40	47	
12/17/13		8		941 60	119 0000	952.00 952 00	-10.40	34	
Total		303		35,663.10	88.0930	26,692.17 26,692.17	8,970.93	1,303	
10/08/12	CISCO SYSTEMS INC C CSCO	728	30 22	22,000.16	18 9800	13,817 44 13,817 44	8,182.72	757	3 44%
05/30/13		222		6,708 84	24 5399	5,447 86 5,447 86	1,260 98	230	
08/20/13		7		211.54	24 4600	171 22 171 22	40.32	7	
09/03/13		41		1,239 02	23 5600	965.96 965 96	273 06	42	
09/18/13		41		1,239 02	24 6900	1,012 29 1,012 29	226 73	42	
10/18/13		42		1,269.24	23 0800	969.36 969 36	299 88	43	

EQUITIES AND OPTIONS continue on page 17

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	CISCO SYSTEMS INC (continued)	36		1,087 92	21 6600	779.76 779.76	308 16	37	
12/17/13		35		1,057.70	21 0400	736 40 736 40	321.30	36	
Total		1,152		34,813.44	20.7468	23,900.29 23,900 29	10,913.15	1,194	
04/01/14	CME GROUP INC CLASS A C CME	324	115.35	37,373 40	73 0423	23,665 71 23,665 71	13,707 69	777	2.08%
09/27/16	COCA-COLA COMPANY C KO	757	41 46	31,385.22	42.3023	32,022 84 32,022 84	-637 62	1,059	3 38%
04/14/16	CRANE COMPANY C CR	156	72 12	11,250.72	55.7144	8,691.44 8,691.44	2,559 28	205	1 83%
11/29/16	CROWN CASTLE INTL CORP C NEW CCI	243	86 77	21,085.11	85 0494	20,667 00 20,667 00	418 11	923	4.38%
03/13/13	EATON CORP PLC C ETN	188	67.09	12,612.92	63 2399	11,006 45 11,006 45	1,606.47	428	3.40%
08/20/13		1		67.09	64.3500	60 02 60 02	7.07	2	
09/03/13		8		536.72	63 4400	472 82 472 82	63 90	18	
09/18/13		8		536 72	69 3000	519.70 519 70	17 02	18	

EQUITIES AND OPTIONS continue on page 18

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	EATON CORP PLC (continued)	8		536 72	68.6300	514 31 514 31	22 41	18	
11/18/13		7		469 63	72 3600	477 40 477 40	-7.77	15	
12/17/13		10		670 90	73 4500	692 90 692 90	-22.00	22	
12/22/15		278		18,651.02	52 2015	14,512 03 14,512 03	4,138.99	633	
Total		508		34,081.72	55.6213	28,255.63 28,255.63	5,826.09	1,154	
11/19/14	EMERSON ELECTRIC COMPANY C EMR	268	55 75	14,941 00	64 1226	17,184 85 17,184 85	-2,243 85	514	3.44%
05/05/09	EXXON MOBIL CORP C XOM	161	90 26	14,531 86	67 1999	10,819 18 10,819 18	3,712 68	483	3 32%
05/07/09		1		90 26	68 6400	68 64 68 64	21.62	3	
11/18/10		3		270 78	70.2500	210 75 210 75	60 03	9	
04/01/11		1		90 26	84 7100	84 71 84.71	5 55	3	

EQUITIES AND OPTIONS continue on page 19

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Peltier Foundation
72-1416778 Part II
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Schedule 9

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	EXXON MOBIL CORP (continued)	24		2,166 24	84 0700	2,017 68 2,017 68	148.56	72	
03/13/12		19		1,714 94	86 8600	1,650.34 1,650 34	64 60	57	
04/11/12		26		2,346.76	82 5500	2,146 30 2,146.30	200 46	78	
05/14/12		20		1,805.20	82.3900	1,647.80 1,647.80	157 40	60	
06/18/12		22		1,985 72	82 8800	1,823.36 1,823 36	162.36	66	
07/09/12		50		4,513.00	83 6800	4,184 00 4,184 00	329.00	150	
09/03/13		15		1,353.90	87 2600	1,308.90 1,308.90	45 00	45	
09/18/13		13		1,173 38	89 5900	1,164 67 1,164.67	8 71	39	
10/18/13		15		1,353 90	87 4600	1,311.90 1,311.90	42.00	45	
11/18/13		62		5,596 12	95 5698	5,925 33 5,925 33	-329 21	186	

EQUITIES AND OPTIONS continue on page 20

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/20/13	EXXON MOBIL CORP (continued)	1		90 26	95 3700	95 37 95.37	-5.11	3	
12/17/13		13		1,173 38	96 4900	1,254 37 1,254 37	-80 99	39	
Total		446		40,255.96	80.0747	35,713.30 35,713.30	4,542.66	1,338	
03/20/12	FIDELITY NATIONAL C INFORMATION SERVICES INC FIS	106	75 64	8,017.84	32.6877	3,464 90 3,464 90	4,552 94	110	1.37%
04/04/12		105		7,942.20	32 8471	3,448 95 3,448.95	4,493 25	109	
04/11/12		18		1,361 52	32 7000	588 60 588 60	772 92	18	
05/14/12		25		1,891 00	32 5500	813 75 813.75	1,077.25	26	
06/18/12		22		1,664 08	33.1100	728 42 728 42	935.66	22	
08/20/13		6		453 84	45 7883	274 73 274 73	179 11	6	
09/03/13		12		907 68	44 6300	535.56 535.56	372 12	12	

EQUITIES AND OPTIONS continue on page 21

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/18/13	FIDELITY NATIONAL (continued)	12		907 68	46.9800	563 76 563 76	343.92	12	
10/18/13		13		983.32	47 4192	616 45 616 45	366.87	13	
11/18/13		13		983 32	50 7492	659 74 659 74	323.58	13	
12/17/13		10		756 40	51 0000	510 00 510 00	246 40	10	
Total		342		25,868.88	35.6867	12,204.86 12,204.86	13,664.02	351	
02/08/16	FIRSTENERGY CORP C FE	594	30 97	18,396.18	32 8356	19,504 35 19,504 35	-1,108 17	855	4 65%
05/05/09	GENERAL ELECTRIC COMPANY C GE	516	31 60	16,305.60	13 1188	6,769 30 6,769 30	9,536 30	495	3 04%
05/07/09		57		1,801 20	14.2500	812 25 812.25	988.95	54	
11/20/09		161		5,087 60	15 4891	2,493 75 2,493 75	2,593 85	154	
01/08/10		5		158 00	16 5500	82 75 82 75	75.25	4	

EQUITIES AND OPTIONS continue on page 22

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/06/10	GENERAL ELECTRIC COMPANY (continued)	30		948 00	18.6200	558 60 558.60	389 40	28	
04/01/11		15		474 00	20 3500	305 25 305 25	168 75	14	
02/14/12		112		3,539 20	18 8700	2,113 44 2,113.44	1,425 76	107	
03/13/12		87		2,749 20	19 6299	1,707 80 1,707.80	1,041 40	83	
04/11/12		125		3,950.00	19.0700	2,383.75 2,383.75	1,566 25	120	
05/14/12		91		2,875.60	18 7800	1,708 98 1,708 98	1,166 62	87	
06/18/12		102		3,223.20	19 8190	2,021 54 2,021.54	1,201 66	97	
08/20/13		14		442 40	23.6600	331 24 331 24	111.16	13	
09/03/13		58		1,832 80	23 0600	1,337 48 1,337 48	495.32	55	
09/18/13		56		1,769 60	24 7900	1,388.24 1,388.24	381 36	53	

EQUITIES AND OPTIONS continue on page 23

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	GENERAL ELECTRIC COMPANY (continued)	57		1,801.20	25 6100	1,459.77 1,459.77	341.43	54	
11/18/13		52		1,643 20	27 3900	1,424 28 1,424.28	218.92	49	
12/17/13		48		1,516 80	27 0000	1,296 00 1,296 00	220 80	46	
Total		1,586		50,117 60	17.7771	28,194.42 28,194.42	21,923.18	1,513	
06/03/14	HCP INC C HCP	428	29 72	12,720 16	42 0891	17,947 43 17,947 43	-5,227 27	633	4 98%
05/05/09	HOME DEPOT INC C HD	122	134 08	16,357 76	25.9649	3,167 72 3,167.72	13,190.04	336	2.06%
05/07/09		32		4,290 56	26 1300	836 16 836 16	3,454 40	88	
04/06/10		7		938 56	32 5700	227 99 227.99	710 57	19	
04/01/11		3		402.24	37 5800	112 74 112 74	289.50	8	
02/14/12		27		3,620 16	45 7900	1,236 33 1,236 33	2,383 83	74	

EQUITIES AND OPTIONS continue on page 24

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/13/12	HOME DEPOT INC (continued)	18		2,413 44	49 1200	884 16 884 16	1,529.28	49	
04/11/12		27		3,620 16	49 7300	1,342.71 1,342.71	2,277 45	74	
05/14/12		19		2,547 52	50 2000	953 80 953 80	1,593.72	52	
06/18/12		22		2,949 76	52 2200	1,148 84 1,148 84	1,800.92	60	
09/03/13		12		1,608.96	74 4000	892 80 892 80	716.16	33	
09/18/13		12		1,608 96	77 2400	926 88 926 88	682.08	33	
10/18/13		12		1,608.96	74 9500	899 40 899.40	709 56	33	
11/18/13		13		1,743 04	79.9200	1,038 96 1,038 96	704.08	35	
12/17/13		8		1,072.64	78 8500	630 80 630.80	441.84	22	
Total		334		44,782.72	42.8122	14,299.29 14,299.29	30,483.43	916	

EQUITIES AND OPTIONS continue on page 25

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/13/16	HP INC C HPQ	1,832	14 84	27,186.88	10.4869	19,212 00 19,212.00	7,974.88	972	3 58%
06/17/15	INTEL CORP C INTC	928	36 27	33,658.56	31.8575	29,563 76 29,563 76	4,094.80	965	2 87%
03/03/16	INTERNATIONAL PAPER C COMPANY IP	700	53 06	37,142 00	37 9685	26,577 95 26,577 95	10,564 05	1,295	3 49%
06/28/16	INTL BUSINESS MACHINES C CORP IBM	106	165 99	17,594.94	144 3692	15,303.14 15,303 14	2,291 80	593	3 37%
02/07/12	INVESCO LTD C IVZ	168	30 34	5,097.12	23 6599	3,974 86 3,974 86	1,122 26	188	3 69%
02/14/12		22		667 48	23 8300	524 26 524 26	143.22	24	
03/13/12		19		576 46	25 9800	493 62 493.62	82.84	21	
04/11/12		28		849.52	24 8300	695 24 695 24	154 28	31	
05/14/12		18		546 12	22 7800	410 04 410 04	136 08	20	
06/18/12		22		667 48	21 6900	477 18 477.18	190 30	24	

EQUITIES AND OPTIONS continue on page 26

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
10/24/12	INVESCO LTD (continued)	118		3,580 12	24.2984	2,867 21 2,867 21	712 91	132	
12/19/12		126		3,822 84	26 3190	3,316.19 3,316.19	506.65	141	
08/20/13		8		242 72	31 0800	248 64 248 64	-5 92	8	
09/03/13		23		697 82	30 4600	700 58 700 58	-2 76	25	
09/18/13		22		667 48	32.0800	705.76 705.76	-38 28	24	
10/18/13		23		697.82	32.8800	756 24 756 24	-58.42	25	
11/18/13		18		546 12	33 8400	609.12 609 12	-63 00	20	
12/17/13		22		667 48	34.5100	759.22 759 22	-91 74	24	
Total		637		19,326.58	25.9626	16,538.16 16,538.16	2,788.42	707	
11/05/13	JOHNSON & JOHNSON C JNJ	255	115.21	29,378 55	92.8454	23,675 58 23,675 58	5,702 97	816	2 78%

EQUITIES AND OPTIONS continue on page 27

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	JOHNSON & JOHNSON (continued)	8		921 68	94 2600	754 08 754 08	167 60	25	
12/17/13		10		1,152.10	90 6100	906 10 906.10	246 00	32	
01/14/15		85		9,792 85	103 9999	8,839 99 8,839 99	952 86	272	
08/11/15		91		10,484 11	98 9341	9,003.00 9,003 00	1,481 11	291	
Total		449		51,729.29	96.1665	43,178.75 43,178.75	8,550.54	1,436	
05/05/09	JPMORGAN CHASE & C COMPANY JPM	129	86 29	11,131 41	35 0400	4,520 16 4,520 16	6,611 25	247	2 23%
05/07/09		7		604.03	38 5000	269 50 269 50	334 53	13	
04/06/10		11		949 19	45 9200	505 12 505 12	444 07	21	
04/06/11		79		6,816 91	47 1689	3,726 34 3,726 34	3,090.57	151	
09/20/11		75		6,471 75	32 6895	2,451 71 2,451 71	4,020 04	144	

EQUITIES AND OPTIONS continue on page 28

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
01/05/12	JPMORGAN CHASE & (continued)	54		4,659 66	34 9991	1,889 95 1,889.95	2,769.71	103	
02/14/12		55		4,745.95	37 5300	2,064 15 2,064.15	2,681 80	105	
03/13/12		48		4,141 92	43.3800	2,082 24 2,082.24	2,059.68	92	
04/11/12		66		5,695.14	43 8800	2,896 08 2,896 08	2,799.06	126	
05/14/12		52		4,487.08	36 1800	1,881 36 1,881.36	2,605 72	99	
06/18/12		55		4,745 95	34 7900	1,913 45 1,913.45	2,832 50	105	
08/20/13		8		690 32	51 9500	415 60 415 60	274 72	15	
09/03/13		31		2,674 99	51 1000	1,584 10 1,584 10	1,090 89	59	
09/18/13		30		2,588 70	53 3900	1,601 70 1,601 70	987.00	57	
10/18/13		31		2,674 99	53.9900	1,673.69 1,673.69	1,001 30	59	

EQUITIES AND OPTIONS continue on page 29

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	JPMORGAN CHASE & (continued)	25		2,157 25	55.8300	1,395 75 1,395.75	761.50	48	
12/17/13		31		2,674 99	55 8800	1,732.28 1,732 28	942 71	59	
Total		787		67,910.23	41.4272	32,603.18 32,603.18	35,307.05	1,503	
05/15/14	KAR AUCTION SVCS INC C KAR	387	42 62	16,493 94	29.0719	11,250 84 11,250 84	5,243 10	495	3 00%
09/22/14	KIMBERLY CLARK CORP C KMB	269	114 12	30,698.28	103.4623	27,831 35 27,831 35	2,866.93	989	3 22%
08/17/10	LIBERTY PROPERTY TRUST C LPT	105	39.50	4,147.50	30 6718	3,139.61 3,139 61	1,007 89	199	4 81%
09/01/10		50		1,975 00	30 9298	1,507 93 1,507 93	467 07	95	
02/14/12		35		1,382 50	33 2400	1,143 36 1,143.36	239.14	66	
03/13/12		19		750 50	34 8600	651 48 651 48	99 02	36	
04/11/12		25		987 50	34 9300	861 31 861 31	126 19	47	
05/14/12		21		829 50	36 3700	753 75 753 75	75.75	39	

EQUITIES AND OPTIONS continue on page 30

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	LIBERTY PROPERTY TRUST (continued)	22		869 00	35 7200	775 24 775 24	93.76	41	
09/03/13		12		474.00	33 9200	403 60 403 60	70 40	22	
09/18/13		12		474 00	36.8700	439 00 439 00	35 00	22	
10/18/13		12		474 00	37 4600	446 08 446 08	27.92	22	
11/18/13		13		513.50	34 7300	447 77 447.77	65 73	24	
12/17/13		7		276 50	34 1100	236.81 236 81	39 69	13	
01/14/15		219		8,650 50	39 9618	8,748 72 8,748.72	-98 22	416	
Total		552		21,804.00	35.4251	19,554.66 19,554.66	2,249.34	1,042	
02/14/12	LILLY ELI & COMPANY C LLY	32	73 55	2,353.60	38 3500	1,227 20 1,227.20	1,126 40	66	2 83%
03/13/12		21		1,544 55	40 2000	844 20 844 20	700.35	43	

EQUITIES AND OPTIONS continue on page 31

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	LILLY ELI & COMPANY (continued)	26		1,912.30	39 4500	1,025 70 1,025 70	886.60	54	
05/14/12		27		1,985 85	41 1300	1,110 51 1,110 51	875 34	56	
06/18/12		25		1,838 75	41 9700	1,049 25 1,049.25	789 50	52	
09/03/13		15		1,103 25	51 1400	767 10 767 10	336 15	31	
09/18/13		14		1,029 70	53 9500	755 30 755 30	274.40	29	
10/18/13		13		956.15	49.5500	644 15 644 15	312 00	27	
11/18/13		14		1,029.70	50.8100	711 34 711 34	318 36	29	
12/17/13		10		735 50	49 0000	490 00 490 00	245 50	20	
Total		197		14,489 35	43.7805	8,624.75 8,624.75	5,864.60	407	
05/06/13	LOCKHEED MARTIN CORP C LMT	43	249 94	10,747 42	102 3688	4,401.86 4,401 86	6,345 56	313	2 91%

EQUITIES AND OPTIONS continue on page 32

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/03/13	LOCKHEED MARTIN CORP (continued)	7		1,749.58	123 7600	866.32 866.32	883.26	50	
09/18/13		7		1,749.58	130.2600	911.82 911 82	837.76	50	
10/18/13		7		1,749.58	128 9400	902 58 902.58	847.00	50	
11/18/13		3		749.82	138 4600	415 38 415 38	334 44	21	
12/17/13		7		1,749 58	140.6900	984 83 984.83	764 75	50	
Total		74		18,495.56	114.6323	8,482.79 8,482.79	10,012.77	534	
08/25/16	LYONDELLBASELL C INDUSTRIES N V ORD SHS CL A LYB	279	85 78	23,932 62	78.5482	21,914 96 21,914 96	2,017 66	948	3.96%
03/03/16	MARATHON PETROLEUM CORP C MPC	449	50 35	22,607.15	36 8208	16,532 56 16,532 56	6,074 59	646	2.86%
08/04/10	MARSH & MCLENNAN COS INC C MMC	225	67.59	15,207.75	23.7485	5,343.41 5,343 41	9,864.34	306	2.01%
04/01/11		2		135 18	30 0000	60 00 60 00	75.18	2	

EQUITIES AND OPTIONS continue on page 33

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	MARSH & MCLENNAN COS INC (continued)	28		1,892.52	31.8300	891.24 891.24	1,001 28	38	
03/13/12		25		1,689 75	32 6900	817 25 817 25	872.50	34	
04/11/12		32		2,162 88	31.7900	1,017 28 1,017.28	1,145 60	43	
05/14/12		30		2,027 70	32.7200	981 60 981 60	1,046.10	40	
06/18/12		29		1,960 11	31 3300	908.57 908 57	1,051 54	39	
08/20/13		13		878 67	41 9100	544.83 544 83	333 84	17	
09/03/13		17		1,149 03	41.4500	704 65 704 65	444.38	23	
09/18/13		16		1,081 44	43 7300	699 68 699 68	381.76	21	
10/18/13		17		1,149 03	45 4900	773 33 773 33	375.70	23	
11/18/13		18		1,216 62	47 3900	853 02 853 02	363.60	24	

EQUITIES AND OPTIONS continue on page 34

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	MARSH & MCLENNAN COS INC (continued)	12		811.08	47.4200	569 04 569 04	242 04	16	
Total		464		31,361.76	30.5256	14,163.90 14,163.90	17,197.86	626	
05/05/09	MERCK & COMPANY INC NEW C MRK	67	58.87	3,944 29	24 6594	1,652.18 1,652 18	2,292 11	125	3 19%
05/07/09		6		353 22	24 5900	147 54 147 54	205.68	11	
01/08/10		117		6,887 79	37.7395	4,415.52 4,415 52	2,472 27	219	
10/11/10		112		6,593.44	36.7495	4,115 94 4,115.94	2,477.50	210	
02/14/12		49		2,884 63	38.0200	1,862 98 1,862 98	1,021.65	92	
03/13/12		34		2,001 58	38 2600	1,300 84 1,300 84	700 74	63	
04/11/12		41		2,413.67	38 5200	1,579.32 1,579.32	834 35	77	
05/14/12		43		2,531 41	38 2800	1,646 04 1,646 04	885 37	80	

EQUITIES AND OPTIONS continue on page 35

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	MERCK & COMPANY INC NEW (continued)	40		2,354.80	38 8600	1,554 40 1,554 40	800 40	75	
02/20/13		113		6,652 31	42 4796	4,800 19 4,800.19	1,852.12	212	
08/20/13		7		412 09	48 1300	336 91 336 91	75.18	13	
09/03/13		28		1,648 36	47 1100	1,319 08 1,319 08	329.28	52	
09/18/13		26		1,530.62	48 4100	1,258 66 1,258 66	271.96	48	
10/18/13		28		1,648.36	46 5600	1,303 68 1,303 68	344 68	52	
11/18/13		168		9,890.16	47.8545	8,039.55 8,039 55	1,850 61	315	
11/20/13		6		353 22	48 0800	288 48 288 48	64.74	11	
12/17/13		34		2,001 58	47 9700	1,630 98 1,630.98	370.60	63	
Total		919		54,101.53	40.5357	37,252.29 37,252.29	16,849.24	1,718	

EQUITIES AND OPTIONS continue on page 36

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/04/15	METLIFE INC C MET	406	53 89	21,879 34	51.2581	20,810 77 20,810.77	1,068 57	649	2.97%
11/18/10	MICROSOFT CORP C MSFT	291	62.14	18,082.74	25 9781	7,559.64 7,559 64	10,523.10	453	2.51%
04/01/11		6		372 84	25.3900	152.34 152.34	220 50	9	
02/14/12		41		2,547.74	30.1000	1,234.10 1,234.10	1,313.64	63	
03/13/12		33		2,050.62	32.6500	1,077.45 1,077.45	973 17	51	
04/11/12		45		2,796 30	30.3500	1,365.75 1,365 75	1,430 55	70	
05/14/12		36		2,237 04	30 9300	1,113.48 1,113 48	1,123.56	56	
06/18/12		38		2,361.32	29.8100	1,132 78 1,132.78	1,228 54	59	
08/20/13		13		807 82	31.7300	412.49 412 49	395 33	20	
09/03/13		23		1,429 22	31 3500	721 05 721 05	708 17	35	

EQUITIES AND OPTIONS continue on page 37

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
09/18/13	MICROSOFT CORP (continued)	21		1,304.94	33 1500	696 15 696 15	608 79	32	
10/18/13		22		1,367 08	34 8800	767 36 767 36	599.72	34	
11/18/13		27		1,677.78	37 2900	1,006 83 1,006.83	670 95	42	
12/17/13		11		683 54	36 4100	400 51 400 51	283 03	17	
07/29/14		148		9,196.72	43.9499	6,504.59 6,504.59	2,692.13	230	
Total		755		46,915.70	31.9795	24,144.52 24,144.52	22,771.18	1,171	
05/26/16	NORFOLK SOUTHERN CORP C NSC	264	108 07	28,530.48	84 1792	22,223 31 22,223.31	6,307.17	623	2 18%
12/17/09	OCCIDENTAL PETROLEUM C CORP OXY	50	71 23	3,561 50	75 4822	3,774 11 3,774 11	-212.61	152	4.27%
01/08/10		2		142 46	79 7550	159.51 159.51	-17.05	6	
06/17/10		50		3,561 50	83 2292	4,161.46 4,161.46	-599.96	152	

EQUITIES AND OPTIONS continue on page 38

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
02/14/12	OCCIDENTAL PETROLEUM (continued)	15		1,068.45	99 8427	1,497.64 1,497 64	-429 19	45	
03/13/12		11		783.53	96 2682	1,058.95 1,058 95	-275.42	33	
04/11/12		16		1,139 68	86 7200	1,387 52 1,387 52	-247 84	48	
05/14/12		12		854 76	79 2233	950 68 950.68	-95 92	36	
06/18/12		14		997.22	80 2543	1,123 56 1,123.56	-126.34	42	
05/14/13		78		5,555 94	87.9272	6,858 32 6,858 32	-1,302 38	237	
08/20/13		4		284.92	82 8950	331.58 331.58	-46 66	12	
09/03/13		11		783.53	84 8891	933 78 933.78	-150.25	33	
09/18/13		10		712 30	88 8690	888 69 888 69	-176 39	30	
10/18/13		11		783 53	94.5145	1,039.66 1,039 66	-256 13	33	

EQUITIES AND OPTIONS continue on page 39

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/18/13	OCCIDENTAL PETROLEUM (continued)	11		783 53	93.6091	1,029 70 1,029.70	-246 17	33	
12/17/13		8		569 84	87 1338	697 07 697 07	-127 23	24	
Total		303		21,582.69	85 4529	25,892.23 25,892.23	-4,309.54	916	
05/05/09	PFIZER INC C PFE	381	32 48	12,374 88	14 3500	5,467 35 5,467 35	6,907.53	487	3 94%
05/07/09		56		1,818 88	13 9400	780 64 780 64	1,038.24	71	
10/16/09		119		3,865.12	17 6875	2,104 81 2,104 81	1,760.31	152	
01/08/10		11		357 28	18 6700	205 37 205 37	151 91	14	
09/01/10		120		3,897.60	16 3399	1,960 79 1,960 79	1,936.81	153	
11/18/10		27		876 96	16 8900	456 03 456 03	420 93	34	
04/01/11		10		324 80	20.3700	203 70 203 70	121 10	12	

EQUITIES AND OPTIONS continue on page 40

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/14/12	PFIZER INC (continued)	99		3,215 52	21.2351	2,102.27 2,102.27	1,113 25	126	
03/13/12		81		2,630.88	22 0100	1,782.81 1,782.81	848 07	103	
04/11/12		94		3,053 12	22.0500	2,072 70 2,072 70	980 42	120	
05/14/12		107		3,475 36	22 6194	2,420 28 2,420 28	1,055 08	136	
06/18/12		94		3,053 12	22 6900	2,132.86 2,132.86	920 26	120	
08/20/13		20		649 60	28.7000	574 00 574 00	75 60	25	
09/03/13		54		1,753.92	27 9700	1,510 38 1,510.38	243.54	69	
09/18/13		52		1,688 96	29 0400	1,510 08 1,510 08	178 88	66	
10/18/13		53		1,721.44	30.4600	1,614.38 1,614 38	107.06	67	
11/18/13		45		1,461 60	32.0600	1,442 70 1,442.70	18.90	57	

EQUITIES AND OPTIONS continue on page 41

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	PFIZER INC (continued)	46		1,494 08	30.1900	1,388.74 1,388 74	105 34	58	
Total		1,469		47,713.12	20.2382	29,729.89 29,729.89	17,983.23	1,870	
10/11/10	PHILIP MORRIS INTL INC C PM	137	91 49	12,534 13	56 7300	7,772 01 7,772 01	4,762 12	569	4 55%
02/14/12		18		1,646.82	81 2500	1,462 50 1,462 50	184.32	74	
03/13/12		16		1,463.84	85 7300	1,371 68 1,371 68	92.16	66	
04/11/12		20		1,829.80	87.5100	1,750 20 1,750 20	79 60	83	
05/14/12		18		1,646 82	85 5800	1,540.44 1,540 44	106 38	74	
06/18/12		19		1,738.31	88 3900	1,679 41 1,679.41	58 90	79	
09/03/13		10		914 90	83 4100	834 10 834 10	80 80	41	
09/18/13		10		914 90	89 5500	895.50 895 50	19 40	41	

EQUITIES AND OPTIONS continue on page 42

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
10/18/13	PHILIP MORRIS INTL INC (continued)	10		914 90	86 8100	868 10 868 10	46.80	41	
11/18/13		7		640 43	91 6600	641 62 641 62	-1.19	29	
12/17/13		12		1,097 88	84 5200	1,014 24 1,014 24	83.64	49	
01/14/15		125		11,436 25	82 8738	10,359 23 10,359 23	1,077 02	520	
Total		402		36,778.98	75.0971	30,189.03 30,189.03	6,589.95	1,666	
07/17/13	PNC FINANCIAL SERVICES C GROUP INC PNC	157	116 96	18,362 72	73 7871	11,584 58 11,584 58	6,778 14	345	1.88%
09/03/13		7		818 72	72 3300	506 31 506 31	312 41	15	
09/18/13		7		818 72	75.3300	527 31 527 31	291 41	15	
10/18/13		7		818 72	74 9500	524 65 524 65	294 07	15	
11/18/13		4		467 84	75.9200	303.68 303 68	164 16	8	

EQUITIES AND OPTIONS continue on page 43

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	PNC FINANCIAL SERVICES (continued)	9		1,052 64	74 7900	673.11 673 11	379 53	19	
Total		191		22,339.36	73.9248	14,119.64 14,119.64	8,219.72	417	
05/05/09	PROCTER & GAMBLE COMPANY C PG	31	84 08	2,606 48	49.9200	1,547 52 1,547 52	1,058 96	83	3.19%
06/03/09		52		4,372.16	53 6288	2,788 70 2,788.70	1,583.46	139	
01/08/10		2		168 16	60.1200	120 24 120 24	47.92	5	
05/20/11		50		4,204 00	67 4190	3,370 95 3,370.95	833 05	133	
02/14/12		20		1,681 60	64.2100	1,284 20 1,284 20	397 40	53	
03/13/12		15		1,261.20	67.8800	1,018 20 1,018 20	243.00	40	
04/11/12		18		1,513 44	66 5400	1,197 72 1,197 72	315.72	48	
05/14/12		20		1,681 60	63.7300	1,274.60 1,274 60	407 00	53	

EQUITIES AND OPTIONS continue on page 44

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	PROCTER & GAMBLE COMPANY (continued)	17		1,429 36	61 8900	1,052.13 1,052.13	377 23	45	
08/20/13		1		84 08	79.8800	79 88 79 88	4.20	2	
08/22/13		77		6,474 16	79 5396	6,124 55 6,124.55	349.61	206	
09/03/13		11		924 88	77.5900	853 49 853 49	71.39	29	
09/18/13		13		1,093 04	79.9900	1,039 87 1,039 87	53.17	34	
10/18/13		13		1,093.04	79.4800	1,033 24 1,033 24	59.80	34	
11/18/13		11		924.88	84 2200	926 42 926 42	-1.54	29	
12/17/13		13		1,093 04	80 9600	1,052.48 1,052.48	40.56	34	
05/07/15		105		8,828.40	80.3482	8,436 56 8,436.56	391 84	281	

EQUITIES AND OPTIONS continue on page 45

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
07/16/15	PROCTER & GAMBLE COMPANY (continued)	94		7,903.52	82 3599	7,741 83 7,741 83	161.69	251	
Total		563		47,337.04	72.7222	40,942.58 40,942.58	6,394.46	1,499	
02/05/14	PROLOGIS INC C PLD	727	52 79	38,378 33	38 9517	28,317 89 28,317 89	10,060.44	1,221	3 18%
06/03/09	PRUDENTIAL FINANCIAL INC C PRU	49	104 06	5,098 94	40 6890	1,993 76 1,993 76	3,105.18	137	2 69%
07/29/09		43		4,474 58	42 0388	1,807.67 1,807.67	2,666.91	120	
04/06/10		7		728 42	64 1100	448 77 448 77	279.65	19	
12/14/10		32		3,329.92	56 5800	1,810 56 1,810 56	1,519 36	89	
04/01/11		6		624 36	62 4700	374 82 374.82	249.54	16	
02/14/12		19		1,977 14	58.8000	1,117.20 1,117 20	859 94	53	
03/13/12		15		1,560 90	63 0100	945 15 945.15	615.75	42	

EQUITIES AND OPTIONS continue on page 46

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	PRUDENTIAL FINANCIAL INC (continued)	23		2,393.38	60 3700	1,388 51 1,388 51	1,004 87	64	
05/14/12		15		1,560 90	50.4000	756 00 756 00	804.90	42	
06/18/12		17		1,769 02	47 3600	805.12 805 12	963 90	47	
08/20/13		1		104 06	77 6400	77.64 77.64	26.42	2	
09/03/13		10		1,040 60	75 8300	758 30 758 30	282 30	28	
09/18/13		9		936 54	81 1600	730.44 730 44	206.10	25	
10/18/13		10		1,040 60	82 3800	823 80 823 80	216.80	28	
11/18/13		8		832 48	89 5900	716.72 716.72	115 76	22	
12/17/13		9		936.54	88 0400	792 36 792 36	144.18	25	

EQUITIES AND OPTIONS continue on page 47

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/11/14	PRUDENTIAL FINANCIAL INC (continued)	106		11,030 36	90.2390	9,565.33 9,565 33	1,465.03	296	
Total		379		39,438.74	65.7313	24,912.15 24,912.15	14,526.59	1,055	
10/06/15	QUALCOMM INC C QCOM	433	65 20	28,231.60	56 4581	24,446 36 24,446 36	3,785 24	917	3 25%
10/27/16	RAYONIER INC C RYN	405	26 60	10,773 00	26.6419	10,789.95 10,789 95	-16.95	405	3 76%
08/11/15	SCHLUMBERGER LTD C SLB	205	83 95	17,209.75	83 5651	17,130 85 17,130 85	78.90	410	2 38%
07/27/16		137		11,501 15	80.1899	10,986 02 10,986 02	515 13	274	
Total		342		28,710.90	82.2131	28,116.87 28,116.87	594.03	684	
12/29/16	STAPLES INC C SPLS	1,900	9.05	17,195 00	9 1149	17,318 31 17,318 31	-123 31	912	5 30%
10/21/14	THOMSON REUTERS CORP C TRI	596	43.78	26,092.88	36 3067	21,638 81 21,638 81	4,454 07	810	3 11%
05/11/11	U S BANCORP DE NEW C USB	287	51.37	14,743 19	25.3582	7,277.80 7,277.80	7,465.39	321	2 18%
02/14/12		59		3,030 83	28 7851	1,698 32 1,698.32	1,332 51	66	

EQUITIES AND OPTIONS continue on page 48

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/13/12	U S BANCORP DE NEW (continued)	34		1,746.58	30 9300	1,051 62 1,051 62	694.96	38	
04/11/12		47		2,414 39	30 8900	1,451.83 1,451 83	962 56	52	
05/14/12		37		1,900 69	31 7800	1,175.86 1,175.86	724 83	41	
06/18/12		39		2,003 43	31 5600	1,230.84 1,230 84	772.59	43	
12/27/12		15		770 55	31.7200	475 80 475 80	294.75	16	
08/20/13		11		565.07	36 7800	404 58 404.58	160 49	12	
09/03/13		23		1,181.51	36 0200	828.46 828.46	353.05	25	
09/18/13		22		1,130 14	38 0100	836 22 836 22	293.92	24	
10/18/13		23		1,181.51	37 9400	872.62 872 62	308.89	25	
11/18/13		18		924 66	38 2300	688.14 688.14	236.52	20	

EQUITIES AND OPTIONS continue on page 49

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	U S BANCORP DE NEW (continued)	22		1,130.14	39 1900	862.18 862.18	267.96	24	
Total		637		32,722.69	29.5985	18,854.27 18,854.27	13,868.42	707	
12/29/16	UNITED TECHNOLOGIES CORP C UTX	262	109 62	28,720 44	110.5376	28,960 85 28,960 85	-240.41	691	2.41%
05/05/09	VERIZON COMMUNICATIONS C INC VZ	251	53.38	13,398 38	28 8802	7,248 92 7,248 92	6,149.46	579	4 33%
01/08/10		23		1,227.74	29 6030	680 87 680 87	546 87	53	
11/18/10		10		533 80	32 7100	327 10 327.10	206.70	23	
02/14/12		49		2,615 62	37 8100	1,852 69 1,852 69	762.93	113	
03/13/12		33		1,761.54	39.4900	1,303 17 1,303 17	458.37	76	
04/11/12		43		2,295.34	37 4100	1,608 63 1,608 63	686 71	99	
05/14/12		37		1,975.06	40.9800	1,516 26 1,516 26	458.80	85	

EQUITIES AND OPTIONS continue on page 50

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
06/18/12	VERIZON COMMUNICATIONS (continued)	38		2,028 44	43.9600	1,670 48 1,670 48	357 96	87	
12/27/12		18		960.84	43.0789	775 42 775 42	185.42	41	
08/20/13		8		427 04	47.6900	381 52 381.52	45.52	18	
09/03/13		22		1,174 36	46.2800	1,018 16 1,018.16	156 20	50	
09/18/13		22		1,174.36	48.5100	1,067.22 1,067 22	107.14	50	
10/18/13		22		1,174 36	49.9500	1,098 90 1,098.90	75.46	50	
11/18/13		20		1,067.60	50 5600	1,011 20 1,011 20	56 40	46	
12/17/13		19		1,014 22	47.5500	903 45 903 45	110.77	43	
07/27/16		257		13,718 66	55 3992	14,237 60 14,237 60	-518 94	593	
Total		872		46,547.36	42.0890	36,701.59 36,701.59	9,845.77	2,006	

EQUITIES AND OPTIONS continue on page 51

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/04/14	WAL-MART STORES INC C WMT	393	69 12	27,164 16	75 0026	29,476 04 29,476 04	-2,311.88	786	2 89%
05/07/15		115		7,948 80	78 2483	8,998 56 8,998 56	-1,049 76	230	
04/14/16		115		7,948 80	68 8844	7,921 71 7,921 71	27.09	230	
Total		623		43,061.76	74 4724	46,396.31 46,396.31	-3,334.55	1,246	
05/05/09	WELLS FARGO & CO NEW C WFC	265	55 11	14,604.15	22 9075	6,070 50 6,070.50	8,533 65	402	2.76%
05/07/09		44		2,424 84	27 6600	1,217.04 1,217 04	1,207 80	66	
04/06/10		14		771 54	32 1793	450 51 450.51	321.03	21	
04/01/11		6		330 66	32 0700	192 42 192 42	138 24	9	
02/14/12		35		1,928 85	30 1700	1,055 95 1,055 95	872 90	53	
03/13/12		35		1,928 85	33 3800	1,168 30 1,168 30	760.55	53	

EQUITIES AND OPTIONS continue on page 52

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/11/12	WELLS FARGO & CO NEW (continued)	46		2,535.06	33 8500	1,557.10 1,557 10	977 96	69	
05/08/12		136		7,494.96	33 2199	4,517 91 4,517.91	2,977.05	206	
05/14/12		56		3,086 16	32 6800	1,830 08 1,830 08	1,256 08	85	
06/18/12		54		2,975.94	32 4700	1,753 38 1,753 38	1,222 56	82	
12/27/12		97		5,345.67	33.9099	3,289.26 3,289 26	2,056.41	147	
08/20/13		11		606 21	42 5100	467.61 467.61	138.60	16	
09/03/13		35		1,928 85	41.2500	1,443.75 1,443.75	485.10	53	
09/18/13		34		1,873 74	43.5800	1,481 72 1,481 72	392 02	51	
10/18/13		35		1,928 85	42 6800	1,493.80 1,493.80	435.05	53	
11/18/13		32		1,763.52	43 6900	1,398 08 1,398 08	365 44	48	

EQUITIES AND OPTIONS continue on page 53

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Account Holdings as of December 31, 2016

CASH AND CASH EQUIVALENTS

Description	*Current Balance*
Money Market Funds	$69,935 99
TOTAL CASH AND CASH EQUIVALENTS	**$69,935.99**

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Estimated Annual Income*[a]	*Estimated 30-Day Yield*[a]
07/21/15* *Purchases*	ALLIANZGI R SHORT DURATION HIGH INCOME CL P ASHPX	8,448 139	$15 13	$127,820 34	$15 45	$130,495.83 120,214.49	-$2,675 49	$7,679	6 01%
03/31/16* *Purchases*	ANGEL OAK R MULTI STRATEGY INCOME INSTL CL ANGIX	4,903.735	11 21	54,970 86	11 03	54,112 44 51,650 48	858.42	4,042	7.36%
07/25/13* *Purchases*	BARON R ASSET INSTL CL BARIX	1,584 435	59 56	94,368 94	60 15	95,308.70 79,135.15	-939 76	—	—
02/11/16* *Purchases*	FEDERATED R STRATEGIC VALUE DIVIDEND INSTL CL SVAIX	52,981 208	5 91	313,118 93	5.78	306,184.57 291,294 71	6,934.36	9,794	3.13%
07/22/11* *Purchases*	HARBOR R CAP APPREC INSTL CL HACAX	1,967.298	56 65	111,447.43	40 99	80,649.01 74,018 81	30,798.42	92	0 09%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 28

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

Account Holdings as of December 31, 2016

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
11/19/13* *Purchases*	LOOMIS SAYLES R GROWTH CL Y LSGRX	13,040.403	11.99	156,354 43	9.09	118,569.68 115,400.35	37,784.75	804	0 52%
06/12/14* *Purchases*	MAINGATE R MLP CL I IMLPX	11,622.513	10 47	121,687.71	10 87	126,302 37 115,835 87	-4,614.66	7,314	6.02%
09/18/12* *Purchases*	MAINSTAY R EPOCH GLOBAL EQUITY YIELD CL I EPSYX	8,968 92	17.64	158,211 74	16.64	149,235.41 126,383.25	8,976 33	4,994	3.16%
03/15/16* *Purchases*	MFS VALUE R CL I MEIIX	4,704 748	36.24	170,500.06	34.14	160,600.13 154,738.85	9,899.93	2,913	1 71%
02/11/16* *Purchases*	PIMCO INVESTMENT R GRADE CORP BOND CL P PBDPX	6,574 393	10 21	67,124 55	9.87	64,856 90 62,840 72	2,267.65	2,599	3.88%
07/22/11* *Purchases*	ROYCE R DIVIDEND VALUE INVESTMENT CL RDVIX	29,900.881	7.18	214,688.32	7 18	214,757.84 142,596.60	-69.52	4,049	1 89%
03/31/16* *Purchases*	VANGUARD R INTERMEDIATE TERM TREASURY ADMIRAL CL VFIUX	6,071.841	11 09	67,336.71	11.58	70,335.56 68,867.31	-2,998.85	1,165	1 74%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 29

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

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Account Holdings as of December 31, 2016

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/15/16* Purchases	WELLS FARGO R DIVERSIFIED INCOME BUILDER ADMIN CL EKSDX	15,754.762	5.99	94,371.02	5 58	87,915 53 84,855 51	6,455 49	3,351	3 56%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				**$1,752,001.04**		**$1,659,323.97** **$1,487,832.10**	**$92,677.07**	**$48,796**	

* *Date of Earliest Acquisition*
R *Dividends and/or capital gains distributed by this security will be reinvested.*

Value of Your LPL Financial Account

Market Value	Cost Basis/ Purchase Cost[2]	Unrealized Gain or Loss	Estimated Annual Income
	[illegible]		

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*
2 *Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments*

Account Holdings as of December 31, 2016

CASH AND CASH EQUIVALENTS

Description	Current Balance
Money Market Funds	$39,793 77
TOTAL CASH AND CASH EQUIVALENTS	$39,793.77

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
02/17/16	ISHARES GOLD TRUST C IAU	3,242	$11 08	$35,921 36	$11 6786	$37,862.08 37,862 08	-$1,940 72	—	—
TOTAL EQUITIES AND OPTIONS				$35,921.36		$37,862.08 $37,862.08	-$1,940.72	—	

C Dividends and/or capital gains distributed by this security will be distributed as cash

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
07/21/15 *Purchases*	ISHARES MBS ETF MBB	364	$106 34	$38,707.76	$108 87	$39,628 68 39,628 68	-$920.92	$871	2.25%
06/16/16 *Purchases*	ISHARES U S TREASURY BOND ETF GOVT	1,520	24 93	37,893.60	26.15	39,747 09 39,747.09	-1,853 49	572	1 51%
12/07/16 *Purchases*	ISHARES CORE S&P SMALL CAP ETF IJR	293	137.52	40,293.36	138 06	40,451 54 40,451 54	-158.18	270	0 67%
10/14/15* *Purchases*	ISHARES CORE U S AGGREGATE BOND ETF AGG	3,505	108.06	378,750.30	110.32	386,675 24 386,675 24	-7,924.94	9,839	2.60%

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 11

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
a *Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.*

LPL Financial

Account Holdings as of December 31, 2016

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
03/20/13* Purchases	SPDR S&P MID CAP 400 ETF MDY	636	301 73	191,900 28	252.48	160,577 67 160,577 67	31,322.61	2,504	1 31%
01/21/16* Purchases	SPDR S&P 500 ETF SPY	181	223.53	40,458 93	188 93	34,196 11 34,196 11	6,262.82	821	2 03%
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				**$728,004.23**		**$701,276.33**	**$26,727.90**	**$14,877**	
	* Date of Earliest Acquisition			763,926		- 739,138			

Value of Your LPL Financial Account	Market Value	Cost Basis/ Purchase Cost[2]	Unrealized Gain or Loss	Estimated Annual Income

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.
2 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
12/17/13	WELLS FARGO & CO NEW (continued)	27		1,487.97	43 6400	1,178 28 1,178 28	309 69	41	
Total		962		53,015.82	31.7731	30,565.69 30,565.69	22,450.13	1,455	
TOTAL EQUITIES AND OPTIONS				$1,865,142.20		$1,366,177.95 $1,366,177.95	$498,964.25	$57,930	

C Dividends and/or capital gains distributed by this security will be distributed as cash.

Value of Your LPL Financial Account

	Market Value	Cost Basis/ Purchase Cost[2]	Unrealized Gain or Loss	Estimated Annual Income

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.
2 Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments

Account Holdings as of December 31, 2016

CASH AND CASH EQUIVALENTS

Description	Current Balance
Cash	$26.13
Money Market Funds	70,805 41
TOTAL CASH AND CASH EQUIVALENTS	**$70,831.54**

EQUITIES AND OPTIONS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
N/A	AXA SA SPONSORED ADR C AXAHY	53	$25 20	$1,335 60	N/A	N/A 1759.87	N/A	$66	4 96%
N/A	CAPITAL ONE C FINANCIAL CORP COF	5,992	87.24	522,742 08	N/A	N/A 429,475.38	N/A	9,587	1 83%
N/A	CITIGROUP INC NEW C C	70	59 43	4,160.10	N/A	N/A 32004.00	N/A	44	1 08%
N/A	GENERAL ELECTRIC COMPANY C GE	900	31 60	28,440.00	N/A	N/A 34254.00	N/A	864	3 04%
N/A	JPMORGAN CHASE & C COMPANY JPM	191	86.29	16,481.39	N/A	N/A 8337.15	N/A	366	2 23%
N/A	NISSAN MTR LTD NEW C SPONSORED ADR NSANY	1,000	20 00	20,000 00	N/A	N/A 20710.00	N/A	820	4 10%
N/A	REGIONS FINANCIAL C CORP NEW RF	195	14.36	2,800 20	N/A	N/A 5672.55	N/A	50	1 81%

EQUITIES AND OPTIONS continue on page 4

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

EQUITIES AND OPTIONS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
N/A	TRAVELERS COMPANIES INC C TRV	39	122 42	4,774 38	N/A	N/A 1907.00	N/A	104	2 19%
N/A	WAL-MART STORES INC C WMT	550	69.12	38,016 00	N/A	N/A 25036.00	N/A	1,100	2.89%
TOTAL EQUITIES AND OPTIONS				$638,749.75		0.00 559155.95	—	$13,001	

C Dividends and/or capital gains distributed by this security will be distributed as cash.

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
N/A	AB R GROWTH & INCOME CL A CABDX	14,457 288	$5 54	$80,093 37	N/A	N/A — 76,775.91	N/A	$795	0.99%
12/26/07* Purchases		5,582 609		30,927.65	4 74	~~26,437 62~~ + 7239.34	4,490.03	299	
Total		20,039.897		111,021.02	N/A	84015.25	4,490.03	1,094	
N/A	FRANKLIN R INCOME CL A FKINX	29,102.834	2 31	67,227 54	N/A	N/A — 127362.33	N/A	3,491	5.19%
02/05/07* Purchases		25,952 708		59,950 76	2 21	~~57,239 97~~ 548.11	2,710 79	3,060	
Total		55,055.542		127,178 30	N/A	~~57,239.97~~ — 127,910.44	2,710.79	6,551	

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS continue on page 5

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

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Account Holdings as of December 31, 2016

MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Estimated Annual Income[a]	Estimated 30-Day Yield[a]
04/16/14* Purchases	OPPENHEIMER R STEELPATH MLP SELECT 40 CL C MLPEX	9,727 856	9.15	89,009 88	10 96	106,578.18 90,065 75 116394.82	-17,568 30	6,857	7.72% -
TOTAL MUTUAL FUNDS, ETFs AND CLOSED-END FUNDS				$327,209.20		~~$190,255.77~~ ~~$90,065.75~~ 328320.51	-$10,367.48	$14,502	

* Date of Earliest Acquisition
R Dividends and/or capital gains distributed by this security will be reinvested.

UNIT INVESTMENT TRUSTS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
08/16/16	FIRST TRUST MLP ENERGY 50 MO CE RE 30288Y212	3,004	$10.5074	$31,564 23	$10 47	$31,450 57	$113 66	—	$1,949
09/26/16		32 511		341 60	10.19	331 34	10.26	—	21
10/25/16		9.576		100.62	10.34	98.99	1.63	—	6
11/25/16		8 011		84 17	10.30	82 55	1 62	—	5
12/27/16		34.754		365 18	10.40	361 61	3.57	—	22
Total		3,088.852		32,455.80	10 47	32,325.06	130.74	—	2,003

UNIT INVESTMENT TRUSTS continue on page 6

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.
a Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.

Account Holdings as of December 31, 2016

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
03/29/16	FIRST TRUST ALTERNATIVE INCM 16 MO RE 30289M803	8,575	11.2436	96,413 87	10.22	87,642.36	8,771 51	—	6,172
04/25/16		65.002		730 86	10.27	667 69	63 17	—	46
05/25/16		38 507		432 96	10.41	400 92	32.04	—	27
06/27/16		45 548		512.12	10 72	488 32	23.80	—	32
07/25/16		73 187		822 88	11 02	806.35	16 53	—	52
08/25/16		31 114		349.83	11.12	346 11	3 72	—	22
09/26/16		45.679		513.60	10.99	502 08	11 52	—	32
10/25/16		72 689		817 29	10 78	783 91	33 38	—	52
11/25/16		34 26		385.20	10 90	373.30	11 90	—	24

UNIT INVESTMENT TRUSTS continue on page 7

LPL Financial

Account Holdings as of December 31, 2016

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
12/27/16	FIRST TRUST (continued)	48 477		545.06	11.15	540 66	4 40	—	34
12/27/16		35 754		402 00	11.15	398 76	3 24	—	25
Total		9,065.217		101,925.67	10.25	92,950.46	8,975.21	—	6,518
03/29/16	FIRST TRUST MLP ENERGY 49 MO CE RE 30289N561	6,119	11.5882	70,908 19	9.73	59,566 12	11,342 07	—	4,537
04/25/16		8.475		98 21	10.61	89 95	8 26	—	6
05/25/16		30.123		349 07	10 72	322.92	26 15	—	22
06/27/16		69 333		803 44	10.96	759 85	43.59	—	51
07/25/16		18.965		219 77	11 20	212.34	7 43	—	14
08/25/16		19.224		222.77	11.53	221.73	1 04	—	14
09/26/16		67.352		780 49	11 50	774 37	6.12	—	49

UNIT INVESTMENT TRUSTS continue on page 8

Account Holdings as of December 31, 2016

UNIT INVESTMENT TRUSTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis	Unrealized Gain or Loss	Accrued Interest	Estimated Annual Income
10/25/16	FIRST TRUST (continued)	18.142		210 23	11 59	210 24	-0.01	—	13
11/25/16		19.042		220 66	11.64	221.64	-0 98	—	14
12/27/16		68 247		790 87	11 52	786 02	4 85	—	50
Total		6,437.903		74,603.70	9.81	63,165.18	11,438.52	—	4,770
TOTAL UNIT INVESTMENT TRUSTS				$208,985.17		$188,440.70	$20,544.47	—	$13,291

ALTERNATIVE INVESTMENTS

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
05/30/14	COLE CREDIT PROPERTY K TRUST IV 19326U100	145 814	$9 92	$1,446 47	$9.5000	$1,322 79	$123.68	Non-Traded REITs
07/02/14		141.898		1,407.63	9.5000	1,291 10 —	116 53	Non-Traded REITs
09/04/13		25,000		248,000 00	9.9409	237,799 72 —	10,200 28	Non-Traded REITs
09/30/13		117 173		1,162.36	9 4585	1,058 12 —	104 24	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 9

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

LPL Financial

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
10/31/13	COLE CREDIT PROPERTY (continued)	140 36		1,392 37	9.4796	1,270.45 —	121 92	Non-Traded REITs
11/29/13		136.592		1,354 99	9 4993	1,239 01 —	115.98	Non-Traded REITs
12/31/13		141 908		1,407 73	9 5000	1,287 35 —	120.38	Non-Traded REITs
01/31/14		142.701		1,415.59	9.5000	1,294.57 —	121.02	Non-Traded REITs
02/28/14		129.612		1,285 75	9 5000	1,175 79 —	109.96	Non-Traded REITs
03/31/14		144 223		1,430 69	9 5000	1,308.32 —	122.37	Non-Traded REITs
04/30/14		140 351		1,392 28	9 5000	1,272.83 —	119 45	Non-Traded REITs
08/04/14		147 421		1,462 42	9.5000	1,345 50 —	116 92	Non-Traded REITs
09/03/14		148 245		1,470 59	9.5000	1,357 02 —	113.57	Non-Traded REITs
10/02/14		144 264		1,431 10	9 5000	1,324 36 —	106 74	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 10

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
11/04/14	COLE CREDIT PROPERTY (continued)	149 879		1,486 80	9 5000	1,380.42	106 38	Non-Traded REITs
12/02/14		145 856		1,446 89	9 5000	1,347 34	99 55	Non-Traded REITs
01/05/15		151.533		1,503.21	9.5000	1,403.15	100.06	Non-Traded REITs
02/03/15		152.379		1,511 60	9 5000	1,414.37	97 23	Non-Traded REITs
03/03/15		138 402		1,372 95	9.5000	1,287 41	85 54	Non-Traded REITs
04/02/15		154 004		1,527 72	9 5000	1,436 00	91 72	Non-Traded REITs
05/04/15		149 869		1,486 70	9 5000	1,400 68	86 02	Non-Traded REITs
06/02/15		155 702		1,544 56	9.5000	1,458 68	85 88	Non-Traded REITs
07/02/15		151 521		1,503 09	9.5000	1,422 77	80 32	Non-Traded REITs
08/04/15		157.419		1,561.60	9 5000	1,481 66	79.94	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 11

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*

LPL Financial

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
09/02/15	COLE CREDIT PROPERTY (continued)	158 299		1,570 33	9 5000	1,493 47	76 86	Non-Traded REITs
10/02/15		150 872		1,496 65	9 7000	1,456.83	39 82	Non-Traded REITs
11/03/15		156 727		1,554 73	9 7000	1,516 87	37 86	Non-Traded REITs
12/02/15		152.501		1,512 81	9 7000	1,479 26	33.55	Non-Traded REITs
01/05/16		158 42		1,571 53	9 7000	1,536 67	34 86	Non-Traded REITs
02/02/16		158 752		1,574 82	9 7000	1,539 89	34 93	Non-Traded REITs
03/02/16		149 32		1,481.25	9 7000	1,448 40	32 85	Non-Traded REITs
04/04/16		160 432		1,591 48	9 7000	1,556.19	35 29	Non-Traded REITs
05/03/16		156 104		1,548 55	9 7000	1,514.21	34 34	Non-Traded REITs
06/02/16		162 159		1,608 62	9 7000	1,572 94	35.68	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 12

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	*Description/Secunty ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost*[1]	*Unrealized Gain or Loss*	*Category*
08/15/16	COLE CREDIT PROPERTY (continued)	158 645		1,573.76	9.7000	1,538.85 —	34.91	Non-Traded REITs
08/18/16		0.086		0.85	9 6512	0.83 —	0.02	Non-Traded REITs
08/19/16		163 043		1,617 39	9.7000	1,581.52 —	35 87	Non-Traded REITs
09/02/16		164.657		1,633.40	9 7000	1,597.17 —	36.23	Non-Traded REITs
10/04/16		160.352		1,590 69	9.7000	1,555.41 —	35 28	Non-Traded REITs
11/02/16		166 571		1,652 38	9.7000	1,615 74 —	36 64	Non-Traded REITs
12/02/16		158.483		1,572.15	9 9200	1,572 15 —	—	Non-Traded REITs
Total		30,862.549		$306,156.48	9.4923	292,955.81 —	13,200.67	
09/30/14	FS ENERGY & POWER K 30264D109	102.808	7 35	755 64	9 9000	1,017.80	-262.16	Non-Traded BDCs
09/03/13		13,953 488		102,558.13	10.7500	150,000.00 —	-47,441 87	Non-Traded BDCs

ALTERNATIVE INVESTMENTS continue on page 13

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
09/24/13	FS ENERGY & POWER (continued)	72 781		534.94	9 6751	704.16 —	-169 22	Non-Traded BDCs
10/29/13		91.452		672 17	9 6750	884.80 —	-212 63	Non-Traded BDCs
11/26/13		73.637		541 23	9 6750	712.44 —	-171.21	Non-Traded BDCs
12/31/13		92.185		677 56	9 7200	896 04 —	-218 48	Non-Traded BDCs
01/28/14		74 502		547 59	9 7200	724 16 —	-176 57	Non-Traded BDCs
02/25/14		74 635		548 57	9 7650	728.81 —	-180 24	Non-Traded BDCs
03/25/14		74.94		550.81	9 8100	735.16 —	-184 35	Non-Traded BDCs
04/29/14		94.597		695 29	9.8100	928 00 —	-232.71	Non-Traded BDCs
05/27/14		76 171		559 86	9 8100	747 24 —	-187.38	Non-Traded BDCs
06/24/14		78.695		578 41	9.8550	775.54 —	-197 13	Non-Traded BDCs

ALTERNATIVE INVESTMENTS continue on page 14

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
07/29/14	FS ENERGY & POWER (continued)	101.551		746.40	9.9000	1,005.35 —	-258 95	Non-Traded BDCs
08/26/14		81.798		601.22	9.9000	809 80 —	-208.58	Non-Traded BDCs
10/30/14		82 812		608 67	9 9000	819.84 —	-211 17	Non-Traded BDCs
11/28/14		84 811		623 36	9 7200	824 36 —	-201 00	Non-Traded BDCs
01/02/15		117 489		863.54	8 8200	1,036 25 —	-172.71	Non-Traded BDCs
01/29/15		96 69		710.67	8 6400	835 40 —	-124 73	Non-Traded BDCs
02/26/15		96 298		707.79	8 7300	840.68 —	-132 89	Non-Traded BDCs
04/02/15		121.123		890 25	8.7300	1,057.40 —	-167 15	Non-Traded BDCs
04/30/15		97 654		717 76	8.7300	852 52 —	-134.76	Non-Traded BDCs
05/28/15		97 261		714 87	8 8200	857 84 —	-142 97	Non-Traded BDCs

ALTERNATIVE INVESTMENTS continue on page 15

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
07/02/15	FS ENERGY & POWER (continued)	122.324		899 08	8 8200	1,078 90 —	-179 82	Non-Traded BDCs
07/30/15		100.15		736 10	8.6850	869 80 —	-133 70	Non-Traded BDCs
08/27/15		105.139		772 77	8 3250	875 28 —	-102.51	Non-Traded BDCs
10/01/15		139 841		1,027.83	7 8750	1,101.25 —	-73 42	Non-Traded BDCs
10/29/15		113 487		834 13	7.8300	888 60 —	-54 47	Non-Traded BDCs
11/27/15		119 786		880 43	7.4700	894.80 —	-14.37	Non-Traded BDCs
12/31/15		166 911		1,226 80	6 7500	1,126.65 —	100 15	Non-Traded BDCs
01/28/16		140 5		1,032 67	6 4800	910 44 —	122 23	Non-Traded BDCs
02/25/16		146 775		1,078 80	6 2550	918 08 —	160 72	Non-Traded BDCs
03/31/16		182.443		1,340 96	6 3450	1,157 60 —	183 36	Non-Traded BDCs

ALTERNATIVE INVESTMENTS continue on page 16

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
04/28/16	FS ENERGY & POWER (continued)	142 472		1,047.17	6.5700	936 04 —	111.13	Non-Traded BDCs
06/02/16		175 951		1,293 24	6.7050	1,179 75 —	113 49	Non-Traded BDCs
06/30/16		134 087		985.54	7 1100	953 36 —	32 18	Non-Traded BDCs
07/28/16		131.781		968 59	7 2900	960.68 —	7 91	Non-Traded BDCs
09/01/16		165 96		1,219 80	7 2900	1,209 85 —	9 95	Non-Traded BDCs
09/29/16		131.572		967.05	7.4250	976 92 —	-9 87	Non-Traded BDCs
10/27/16		130 949		962 48	7 5150	984 08 —	-21.60	Non-Traded BDCs
12/01/16		164 87		1,211 79	7 5150	1,239 00 —	-27.21	Non-Traded BDCs
Total		18,352.376		$134,889.96	10.1379	186,054.67 —	-51,164.71	
09/30/14	HINES GLOBAL REIT K 433240108	112.587	10 24	1,152.89	9 8800	1,071 49	81.40	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 17

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	*Description/Security ID*	*Quantity*	*Price*	*Market Value*	*Unit Cost*	*Cost Basis/ Purchase Cost[1]*	*Unrealized Gain or Loss*	*Category*
10/31/14	HINES GLOBAL REIT (continued)	116.968		1,197.75	9.8800	1,113 20 —	84.55	Non-Traded REITs
08/22/13		19,455.253		199,221 79	10 2131	191,622 41 —	7,599.38	Non-Traded REITs
08/30/13		35.464		363.15	9 7093	331.48 —	31.67	Non-Traded REITs
09/30/13		106.581		1,091 39	9 7296	998.34 —	93 05	Non-Traded REITs
10/31/13		110 737		1,133 95	9 7501	1,039 49 —	94 46	Non-Traded REITs
11/29/13		107 773		1,103 60	9 7700	1,013.80 —	89.80	Non-Traded REITs
12/31/13		111.97		1,146.57	9 7700	1,053.32 —	93.25	Non-Traded REITs
01/31/14		112 605		1,153.08	9.7700	1,059 27 —	93.81	Non-Traded REITs
02/28/14		102.281		1,047.36	9.7700	962.13 —	85.23	Non-Traded REITs
03/31/14		112.548		1,152 49	9.8800	1,071 14 —	81 35	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 18

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
04/30/14	HINES GLOBAL REIT (continued)	109 524		1,121.53	9 8800	1,042 34	79 19	Non-Traded REITs
05/30/14		113 797		1,165 28	9.8800	1,082 99	82 29	Non-Traded REITs
06/30/14		110 735		1,133.93	9.8800	1,053 85	80 08	Non-Traded REITs
07/31/14		115.053		1,178.14	9 8800	1,094 90	83.24	Non-Traded REITs
08/29/14		115.685		1,184 61	9 8800	1,100 95	83 66	Non-Traded REITs
12/02/14		113.83		1,165 62	9 8800	1,084 85	80 77	Non-Traded REITs
01/06/15		118 254		1,210.92	9.8800	1,130 52	80 40	Non-Traded REITs
02/03/15		118 915		1,217 69	9 8800	1,140 25	77 44	Non-Traded REITs
03/03/15		108 004		1,105.96	9 8800	1,038.34	67 62	Non-Traded REITs
04/02/15		125 778		1,287.97	9 4400	1,157.94	130.03	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 19

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest

LPL Financial

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
05/04/15	HINES GLOBAL REIT (continued)	122.443		1,253 82	9 4400	1,130 47 —	123.35	Non-Traded REITs
06/02/15		127 225		1,302.78	9 4400	1,178 39 —	124.39	Non-Traded REITs
07/02/15		123 845		1,268 17	9 4400	1,150 64 —	117 53	Non-Traded REITs
08/04/15		128.697		1,317 86	9.4400	1,199.54 —	118.32	Non-Traded REITs
09/02/15		129.451		1,325.58	9 4400	1,210 43 —	115.15	Non-Traded REITs
10/02/15		126 007		1,290.31	9 4400	1,182 15 —	108 16	Non-Traded REITs
11/03/15		130 957		1,341.00	9 4400	1,232.47 —	108.53	Non-Traded REITs
12/02/15		127 468		1,305.27	9 4400	1,203.30 —	101.97	Non-Traded REITs
01/05/16		132 467		1,356 46	9 4400	1,250 49 —	105 97	Non-Traded REITs
02/03/16		133 233		1,364.31	9 4400	1,257 72 —	106.59	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 20

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
03/02/16	HINES GLOBAL REIT (continued)	115.575		1,183.49	10 2400	1,183.49 —	—	Non-Traded REITs
04/04/16		124 156		1,271.36	10 2400	1,271 36 —	—	Non-Traded REITs
05/03/16		120 815		1,237.14	10 2400	1,237.15 —	-0.01	Non-Traded REITs
06/02/16		125.483		1,284 94	10 2400	1,284.95 —	-0 01	Non-Traded REITs
07/05/16		122.084		1,250 14	10 2400	1,250 14 —	—	Non-Traded REITs
08/02/16		126 82		1,298.64	10 2400	1,298 64 —	—	Non-Traded REITs
09/02/16		127 508		1,305.68	10.2400	1,305.68 —	—	Non-Traded REITs
10/04/16		124 048		1,270 25	10 2400	1,270 25 —	—	Non-Traded REITs
11/02/16		128 869		1,319 62	10 2400	1,319 62 —	—	Non-Traded REITs

ALTERNATIVE INVESTMENTS continue on page 21

1 Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.

LPL Financial

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
12/02/16	HINES GLOBAL REIT (continued)	125.386		1,283.95	10 2400	1,283 95 —	—	Non-Traded REITs
Total		24,156.879		$247,366.44	9.8094	236,963.83 —	10,402.61	
10/01/15	HMS INCOME FUND 40427D102	10,101.01	7.92	79,999 99	9 9000	100,000 00	-20,000.01	Non-Traded BDCs
11/03/15		68 787		544 79	8 7300	600.51 —	-55 72	Non-Traded BDCs
12/02/15		68.029		538.79	8 6000	585 05 —	-46 26	Non-Traded BDCs
01/05/16		75 133		595.05	8 1000	608 58 —	-13 53	Non-Traded BDCs
02/03/16		77.415		613 13	7 9200	613 13 —	—	Non-Traded BDCs
03/02/16		75.546		598.32	7.6500	577 93 —	20.39	Non-Traded BDCs
04/04/16		80.385		636 65	7.7400	622.18 —	14 47	Non-Traded BDCs
05/03/16		77 503		613.82	7.8300	606 85 —	6 97	Non-Traded BDCs

ALTERNATIVE INVESTMENTS continue on page 22

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
06/02/16	HMS INCOME FUND (continued)	79.737		631.52	7.9200	631 52 —	—	Non-Traded BDCs
07/05/16		77.76		615.86	7.9200	615 86 —	—	Non-Traded BDCs
08/02/16		80.029		633 83	8.0100	641 03 —	-7 20	Non-Traded BDCs
09/02/16		80 615		638 47	8.0100	645 73 —	-7 26	Non-Traded BDCs
10/04/16		78 583		622.38	8 0100	629 45 —	-7.07	Non-Traded BDCs
11/02/16		80 9		640 73	8.1000	655 29 —	-14.56	Non-Traded BDCs

ALTERNATIVE INVESTMENTS continue on page 23

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest*

LPL Financial

Account Holdings as of December 31, 2016

ALTERNATIVE INVESTMENTS (continued)

Date Acquired	Description/Security ID	Quantity	Price	Market Value	Unit Cost	Cost Basis/ Purchase Cost[1]	Unrealized Gain or Loss	Category
12/02/16	HMS INCOME FUND (continued)	78 368		620 68	8 1500	638 70	-18 02	Non-Traded BDCs
Total		11,179.8		$88,544.01	9.7204	108,671.81	-20,127 80	
TOTAL ALTERNATIVE INVESTMENTS				$776,956.89		$824,646.12	-$47,689.23	
				1,951,901		1900,563		

Cost Basis and Unrealized Gain or Loss Totals include alternative investment totals when available
K Based on an independent appraisal

Value of Your LPL Financial Account

	Market Value	Cost Basis/ Purchase Cost[2]	Unrealized Gain or Loss	Estimated Annual Income

1 *Purchase Cost equals Cost Basis of Equities and Mutual Funds less any reinvested dividends and interest.*
2 *Purchase Cost equals Cost Basis less any reinvested dividends, interest, Fixed Income and Alternative Investments*

THE PELTIER FOUNDATION
DONATIONS AS OF 12/31/2016

YEAR	TOTAL TO BE DONATED	PLEDGED AMOUNT		DATE PAID
2016	$ 1,015,855.00			
1)	**Thibodaux Lions Club** P.O. Box 461 Thibodaux, LA 70302 **for AllStar basketball game**	$	3,000.00	2/25/2016
2)	**Food for the Poor, Inc.** c/o Rev. Thomas R. Bouterie P.O. Box 56907 New Orleans, LA 70156-6907 **for 5-$3200 units in Honduras (four for one)**	$	16,000.00	4/11/2016
3)	**Bayou Community Foundation** P.O. Box 582 Houma, LA 70361 **for Al Danos, Jr. Memorial Lagniappe Fund**	$	2,500.00	4/25/2016
4)	**Arete Scholars** P. O. Box 3148 St. Francisville, LA 70775 **for Catholic school scholarships**	$	25,000.00	4/25/2016
5)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, LA 70395 **for Cursillo Movement**	$	6,000.00	5/17/2016
6)	**Edward Douglas White Catholic High School** 555 Cardinal Drive Thibodaux, LA 70301 **for general fund**	$	50,000.00	7/28/2016

7)	**Nicholls Foundation** P.O. Box 2066 Thibodaux, LA 70310 **for general fund**	$	50,000.00	7/28/2016
8)	**LSU Foundation** 3838 West Lakeshore Drive Baton Rouge, LA 70808 **for general fund**	$	50,000.00	7/28/2016
9)	**Sisters of Mount Carmel** P.O. Box 476 Lacombe, LA 70445 **for general fund**	$	15,000.00	7/28/2016
10)	**St. Joseph Abbey & Seminary College** 75376 River Road Saint Benedict, LA 70457 **for general fund**	$	25,000.00	7/28/2016
11)	**Bayou Community Foundation** P.O. Box 582 Houma, LA 7361 **for general fund**	$	25,000.00	7/28/2016
12)	**Restore or Retreat, Inc.** P.O. Box 2048 - NSU Thibodaux, LA 70310 **for general fund**	$	10,000.00	7/28/2016
13)	**The USO** P.O. Box 96860 Washington, DC 20077-7677 **for general fund**	$	10,000.00	7/28/2016
14)	**Boy Scouts of America** **Southeast Louisiana Council** 4200 South I-10 Service Road W Suite 101 Metairie, LA 70001 **for general fund**	$	10,000.00	7/28/2016

15)	**St. John's Historic Cemetery Association, Inc.** 718 Jackson Street Thibodaux, LA 70301 **for general fund**	$	5,000.00	7/28/2016
16)	**St. Mary's Residential Training School** P.O. Drawer 7768 Alexandria, LA 71306 **for general fund**	$	10,000.00	7/28/2016
17)	**St. Joseph Co-Cathedral** 721 Canal Boulevard Thibodaux, LA 70301 **for Heaven's Handymen**	$	15,000.00	7/28/2016
18)	**The Thibodaux Senior Citizens Center, Inc.** 1229 Canal Boulevard Thibodaux, LA 70301 **for annual holiday social**	$	5,000.00	7/28/2016
19)	**Lafourche Crossing 308 Volunteer Fire Department** 691 Highway 308 Thibodaux, LA 70301 **for purchase of air packs**	$	6,000.00	7/28/2016
20)	Most Rev. Bishop Shelton Fabre **Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, Louisiana 70395 **for Chaplain at Thibodaux Regional Medical Center**	$	40,000.00	7/28/2016
21)	**CASA of Lafourche** P.O. Box 1343 Thibodaux, LA 70302 **for general fund**	$	10,000.00	7/28/2016
22)	**St. Genevieve Catholic Church** 815 Barbier Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016

23)	**St. John the Evangelist Catholic Church** 2085 St. Mary Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016
24)	**St. Charles Borromeo Catholic Church** 1985 Highway 308 Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016
25)	**Christ the Redeemer Catholic Church** 720 Talbot Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016
26)	**Our Lady of the Isle Church** P.O. Box 885 Grand Isle, LA 70358 **for general fund**	$	10,000.00	7/28/2016
27)	Father Sabino "Benie" Rebosura, II **Our Lady of Prompt Succor Catholic Church** 529 Highway 20 Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016
28)	**Brothers of the Sacred Heart Foundation** 4600 Elysian Fields Avenue New Orleans, LA 70122 **for general fund**	$	10,000.00	7/28/2016
29)	**St. Luke Catholic Church** 300 East 11th Street Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016

30)	**Diocese of Houma-Thibodaux** P.O. Box 505 Schriever, LA 70395 **for seminarian's burse in the name of "The Peltier Foundation"**	$	15,000.00	7/28/2016
31)	**St. Joseph Elementary School** 501 Cardinal Drive Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016
32)	**St. Genevieve Elementary School** 807 Barbier Avenue Thibodaux, LA 70301 **for general fund**	$	10,000.00	7/28/2016
33)	**Fletcher Foundation** 1407 Highway 311 Schriever, LA 70395 **for general fund**	$	25,000.00	7/28/2016
34)	**Louisiana Pediatric Cardiology Foundation** 2137-A Quail Run Drive, Suite A Baton Rouge, LA 70808 **for general fund**	$	20,000.00	7/28/2016
35)	**Good Samaritan Food Bank** 100 Birch Street Thibodaux, LA 70301 **for general fund**	$	25,000.00	7/28/2016
36)	**St. Vincent dePaul Triparish Community Pharmacy** 7385 Main Street Houma, LA 70360 **for general fund**	$	25,000.00	7/28/2016
37)	**Public Affairs Research Council of Louisiana, Inc.** P.O. Box 14776 Baton Rouge, LA 70898-4776 **for general fund**	$	10,000.00	7/28/2016

38)	**Tiger Band from Bayouland Parent Organization, Inc.** 2492 Pineridge Street Thibodaux, LA 70301 **to replace instruments and equipment**	$	6,800.00	7/28/2016
39)	**St. Eloi Catholic Church** 1335 Bayou Dularge Road Theriot, LA 70397 **for music issue books and covers**	$	700.00	8/18/2016
40)	**Baton Rouge Area Foundation** 100 North Street, Suite 900 Baton Rouge, LA 70802 **for disaster recovery**	$	10,000.00	8/18/2016
41)	**Community Foundation of Acadiana** 1035 Camellia Blvd., Suite 100 Lafayette, LA 70508 **for disaster recovery**	$	10,000.00	8/18/2016
42)	**City of Thibodaux** P.O. Box 5418 Thibodaux, LA 70302 **for Thibodaux Police riot gear**	$	25,046.71	8/23/2016
43)	~~**Arete Scholars Louisiana**~~ ~~P. O. Box 3148~~ ~~St. Francisville, LA 70775~~ ~~**for Catholic school scholarships**~~	$	~~23,750.00~~	~~8/31/2016~~
44)	**Franciscan Missionary Sisters for Africa** 172 Foster Street Brighton, MA 02135 **for general fund**	$	5,000.00	12/19/2016
45)	**The National World War II Museum** 945 Magazine Street New Orleans, LA 70130-3813 **for general fund**	$	10,000.00	12/19/2016

46)	**Thibodaux Volunteer Fire Department** P.O. Box 1421 Thibodaux, LA 70302 **for general fund**	$	25,000.00	12/19/2016
47)	**Hope for Animals** P.O. Box 1341 Thibodaux, LA 70302 **for general fund**	$	5,000.00	12/19/2016
48)	**Toys for Tots** P.O. Box 4002036 Des Moines, IA 50340-2036 **for general fund**	$	5,000.00	12/19/2016
49)	**Council for a Better Louisiana** P.O. Box 4308 Baton Rouge, LA 70821 **for general fund**	$	10,000.00	12/19/2016
50)	**Nicholls Foundation** **for Chef John Folse Culinary Institute** P.O. Box 2099 Nicholls State University Thibodaux, LA 70310	$	5,000.00	12/19/2016
51)	**Thibodaux Area Christmas Toy Drive** P.O. Box 1014 Thibodaux, LA 70302 **for general fund**	$	5,000.00	12/19/2016
52)	**Diocese of Houma-Thibodaux** **for Priests' Retirement Fund** P.O. Box 505 Schriever, LA 70395	$	50,000.00	12/19/2016
53)	**Edward Douglas White Catholic High School** 555 Cardinal Drive Thibodaux, LA 70301 **for general fund**	$	50,000.00	12/19/2016

54)	**St. Genevieve Elementary School** 807 Barbier Avenue Thibodaux, LA 70301 **for general fund**	$	50,000.00	12/19/2016
55)	**St. Joseph Catholic Elementary School** 501 Cardinal Drive Thibodaux, LA 70301 **for general fund**	$	50,000.00	12/19/2016
56)	**Nicholls Foundation for Endowed NSU Alumni Federation Scholarships** P.O. Box 2158 Nicholls State University Thibodaux, LA 70310	$	25,000.00	12/19/2016
57)	**Bayou Community Foundation** P.O. Box 582 Houma, LA 70361 **for general fund**	$	45,000.00	12/19/2016
58)	**Diocese of Houma-Thibodaux for Priests' Retirement Fund** P.O. Box 505 Schriever, LA 70395	$	5,000.00	12/19/2016
59)	**Bayou Community Band, Inc.** 850 Bayou Road Thibodaux, LA 70301 **for general fund**	$	10,000.00	12/19/2016

Total Committed for year 2016 ----------------------$ 1016,046.71✓ ~~1,039,796.71~~

Total Uncommitted for year 2016-------------------$ 0.00