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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Open to Public Inspection

Department of the Treasury Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For	calend	ar year 2016 or tax year beginning , a	nd ending				
Nar	ne of fou	ndation	1.0		A Employ	yer Identification number	
		RI	CH C				
		ABOVE FOUNDATION, INC.				1374013	
		street (or P O box number if mail is not delivered to street address)	Roo	om/suite		0 - 978 - 9592	
_		COMMERCE PARKWAY state or province, country, and ZIP or foreign postal code			203	0-9/0-9392	
•	ELH			ļ	C If exem	ption application is pending, ch	eck here
			n of a former public cha	rity	D 1. For	eign organizations, check here	▶ □
0	JIICCK C	Final return Amended	•	,		•	
		Address change X Name char		1		eign organizations meeting the 6 test, check here and attach o	omputation •
			<u> </u>				
_		ype of organization X Section 501(c)(3) exempt private to				le foundation status was termin 507(b)(1)(A), check here	ated under
			e private foundation				
		ket value of all assets at J Accounting method.	X Cash Accr	uai		oundation is in a 60-month term ection 507(b)(1)(B), check here	_ 1
	_	ear (from Part II, col. (c), Uther (specify)			undere	Social Sol (S) (No), Gricon Hor.	
	ne 16)						(d) Disbursements
P	art i	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal	(a) Revenue and expenses per	(b) Net inve		(c) Adjusted net	for chantable
_		the amounts in column (a) (see instructions))	books	incom	ie .	income	purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule)					
•	2	Check ► X if the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments	110		110		
-	4	Dividends and interest from securities	28,683		28,683		
-i	5a	Gross rents					-
.) m	b	Net rental income or (loss)					
ĬŽ.	6a	Net gain or (loss) from sale of assets not on line 10	197,328				
7 <u>0</u>	Ь	Gross sales price for all assets on line 6a 4,457,207					
10	7	Capital gain net income (from Part IV, line 2)		19	96,792		
2	8	Net short-term capital gain				0	
200	9	Income modifications					
Ş١	10a	Gross sales less returns and allowances					
Revenue Revenue	Ь	Less Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					
	12	Total. Add lines 1 through 11	226,121	2	25,585	0	
	13	Compensation of officers, directors, trustees, etc.	53,000				
penses	14	Other employee salaries and wages					
ű	15	Pension plans, employee benefits			i		
	16a	Legal fees (attach schedule)					
Ú	Ь	Accounting fees (attach schedule) STMT 1	1,200				
Ĭ.	С	Other professional fees (attach schedule)					
rat	17	Interest					
ist	18_	Taxes (attach schedule) (see instructions) STMT 2	729		729		
Ę	19	Deprecation (attach/schedule) and depletion					
듇	20	Occupancy 0	 				
Operating and Administrative Ex	21	occupancy Stravel, conference≱႐ánd meetings	ļ				
ĭ	22	mining and publications [C]	ļ				
<u> </u>	23		11,294		7,625		
Ë	24	Other expenses (att.sch.) STMT 3 Total operating and administrative expenses.			ţ	ţ	
era	'	Add lines 13 through 23	66,223		8,354	0	0
ď	25	Contributions, gifts, grants paid	54,600				54,600
	26	Total expenses and disbursements. Add lines 24 and 25	120,823		8,354	0	54,600
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements	105,298				······································
	Ь	Net investment income (if negative, enter -0-)		2	17,231		
	<u> </u>	Adjusted net income (if negative, enter -0-)				0	

		Ralance Sheets Attached schedules and amounts in the description column	Beginning of year	End of	year	
		Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value	
-	1	Cash – non-interest-bearing				
	2	Savings and temporary cash investments	103,950	313,725	313,725	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
l	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other				
		disqualified persons (attach schedule) (see				
		instructions)				
	7	Other notes and loans receivable (att schedule)				
		Less allowance for doubtful accounts ▶ 0			_	
its	8	Inventories for sale or use	-			
Assets	9	Prepaid expenses and deferred charges				
٩	10a	Investments – U.S. and state government obligations (attach schedule)	1 020 004	007.101	001 600	
	b	Investments – corporate stock (attach schedule) SEE STMT 4	1,239,284	997,191	821,698	
	C	Investments – corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment basis				
	40	Less accumulated depreciation (attach sch)	 			
	12 13	Investments – mortgage loans Investments – other (attach schedule) SEE STATEMENT 5	150,811	288,427	245,558	
	14	Land, buildings, and equipment basis	130,611	200,427	245,556	
	1-4	Less accumulated depreciation (attach sch)				
	15	Other assets (describe)				
	16	Total assets (to be completed by all filers – see the		_		
		instructions Also, see page 1, item I)	1,494,045	1,599,343	1,380,981	
T	17	Accounts payable and accrued expenses		2,000,000	1,000,001	
	18	Grants payable				
es	19	Deferred revenue				
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons				
a	21	Mortgages and other notes payable (attach schedule)				
-	22	Other liabilities (describe ►)				
\perp	23	Total liabilities (add lines 17 through 22)	0	0		
es		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
alar	25	Temporarily restricted				
m	26	Permanently restricted				
Net Assets or Fund Balanc		Foundations that do not follow SFAS 117, check here				
띤		and complete lines 27 through 31.				
S	27	Capital stock, trust principal, or current funds				
set	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
As	29	Retained earnings, accumulated income, endowment, or other funds	1,494,045	1,599,343		
Ę	30	Total net assets or fund balances (see instructions)	1,494,045	1,599,343		
	31	Total liabilities and net assets/fund balances (see				
		instructions)	1,494,045	1,599,343		
	Total	Analysis of Changes in Net Assets or Fund Balances	ages with	— т		
T		net assets or fund balances at beginning of year — Part II, column (a), line 30 (must a of-year figure reported on pnor year's return)	agree with		1 404 045	
2				1 2	1,494,045 105,298	
	2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ▶ 3					
		ines 1, 2, and 3		4	1,599,343	
		eases not included in line 2 (itemize)		5	2,000,040	
		net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b),	line 30	6	1,599,343	
_	-			, 	222 55	

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Part IV Capital Gains a	nd Losses for Tax on Investme	nt Income				_
	cnbe the kınd(s) of property sold (e g , real estate, arehouse, or common stock, 200 shs MLC Co)	**	(b) How acquired P – Purchase D – Donation	(c) Date acq (mo , day.		
1a SEE WORKSHEET						_
b						
_c						
_d			 			
е			<u> </u>			_
(e) Gross sales pnce	(f) Depreciation allowed (or allowable)		other basis nse of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
<u>a</u>						—
_b						
_ c						—
_d						—
Complete only for assets showing	g gain in column (h) and owned by the four	dation on 12/31/69				—
Complete only for assets showing					Gains (Col. (h) gain minus	
(i) F M V as of 12/31/69	(J) Adjusted basis as of 12/31/69	1	s of col (i) (j), if any		k), but not less than -0-) or Losses (from col (h))	
_a						
_b						_
_c						_
d						_
_ <u>e</u>						—
2 Capital gain net income or (net ca	apital loss) If gain, also enter in F If (loss), enter -0- in F				196,79	<u>)2</u>
3 Net short-term capital gain or (los	s) as defined in sections 1222(5) and (6)					
If gain, also enter in Part I, line 8,	column (c) (see instructions) If (loss), ent	er -0- ın				
Part I, line 8					25,44	12
Part V Qualification U	nder Section 4940(e) for Reduc	<u>ed Tax on Net I</u>	<u>nvestment Income</u>			
(For optional use by domestic private	foundations subject to the section 4940(a)) tax on net investme	ent income.)			
If section 4940(d)(2) applies, leave the	s part blank					
Mas the foundation hable for the sect	on 4942 tax on the distributable amount o	fany voor in the boo	n normad?		Yes X N	No.
	fy under section 4940(e) Do not complete	• •	e periou r		Yes X N	10
			any ontro			—
1 Enter the appropriate amount in e	each column for each year, see the instruc	lions before making			(d)	_
Base penod years	(b) Adjusted qualifying distributions	Net valu	(c) e of nonchantable-use assets		Distribution ratio	
Calendar year (or tax year beginning in	<u>/</u>	,000	1,398,65	6	(col (b) divided by col (c)) 0.02859	<u> </u>
<u>2015</u> 2014		,000	1,567,45		0.02934	
2013		,100	2,440,96		0.02011	
2012	192	,994	3,353,68		0.05754	
2011	158	,500	4,704,71		0.03369	
2011		<u>, 500</u>		`	0.03303	
2 Total of line 1, column (d)				2	0.16929	38
* *	5-year base period – divide the total on line	2 hy 5 or hy the		-		-0
•	as been in existence if less than 5 years	z by o, or by the		3	0.03386	50
nombor or yours are rounded.	as seen in oxidence in loop than a year			<u> - </u>		
4 Enter the net value of noncharital	ple-use assets for 2016 from Part X, line 5			4	1,416,08	3 4
5 11 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					47 04	10
5 Multiply line 4 by line 3				5	47,94	- 9
6 Enter 1% of net investment incon	ne (1% of Part I, line 27b)			6	2,17	12
7 Add lines 5 and 6				7	50,12	21
	5					
8 Enter qualifying distributions from If line 8 is equal to or greater than	i Part XII, line 4 i line 7, check the box in Part VI, line 1b, a	ind complete that par	t using a 1% tax rate. Se	8 e the	54,60	10
Part VI instructions						

	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)	-			
1a	Exèmpt operating foundations described in section 4940(d)(2), check here ▶ and enter "N/A" on line 1					
	Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	1			2,	172
	here ▶ X and enter 1% of Part I, line 27b					
C	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of					
	Part I, line 12, col (b).					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				0
3	Add lines 1 and 2	3			2,	172
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4				0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5			2,	172
6	Credits/Payments					
а	2016 estimated tax payments and 2015 overpayment credited to 2016 6a					
b	Exempt foreign organizations – tax withheld at source 6b					
С	Tax paid with application for extension of time to file (Form 8868)					
d	Backup withholding erroneously withheld 6d					
7	Total credits and payments Add lines 6a through 6d	7				
8	Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached	8				<u> 15</u>
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			2,	<u> 187</u>
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1			
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ▶ Refunded ▶	11				
	Statements Regarding Activities					
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it				Yes	No
	participate or intervene in any political campaign?			1a		Χ
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see					
	Instructions for the definition)?			1b		Χ
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials					
	published or distributed by the foundation in connection with the activities					
С	Did the foundation file Form 1120-POL for this year?			1c		Χ
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year					
	(1) On the foundation \blacktriangleright \$ (2) On foundation managers \blacktriangleright \$					
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed					
_	on foundation managers > \$					1.7
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		Χ
	If "Yes," attach a detailed description of the activities					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of					V
4.	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		N1 /7\	4a		Χ
b	If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b		V.
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T			5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either					
•	By language in the governing instrument, or					
	By state legislation that effectively amends the governing instrument so that no mandatory directions that					
	conflict with the state law remain in the governing instrument?			6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part.)	α/		7	X	
8а	Enter the states to which the foundation reports or with which it is registered (see instructions)	•			- 1	
-	AL					
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General					
	(or designate) of each state as required by General Instruction G? If "No," attach explanation			8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or					
	4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? If "Yes,"					
	complete Part XIV			9		Х
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their					
	names and addresses			10		Х
			-	Form 99	IO-PF	

P	art VII-A ' Statements Regarding Activities (continued)					
	•				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			}		1
	meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	•		_11_		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified			}		
	person had advisory privileges? If "Yes," attach statement (see instructions)			12		_X_
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption applica	ation?		_13_	Χ	
	Website address ► N/A					
14	The books are in care of ▶ MICHAEL A PLAIA	elephone no 🕨	205-9	78-	959	2
	250 COMMERCE PARKWAY					
	Located at ▶ PELHAM AL	ZIP+4 ▶	35124	l		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here					
	and enter the amount of tax-exempt interest received or accrued during the year	▶ _	15			
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority				Yes	No
	over a bank, securities, or other financial account in a foreign country?			16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of					
	the foreign country			<u> </u>		<u> </u>
P	art VII-B Statements Regarding Activities for Which Form 4720 May Be Require	<u>d</u>				
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				Yes	No
1a	During the year did the foundation (either directly or indirectly)					ĺ
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes	X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a		التحا			
	disqualified person?	Yes	X No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes	X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes	X No			ĺ
	(5) Transfer any income or assets to a disqualified person (or make any of either available for		[]	ŀ		ĺ
	the benefit or use of a disqualified person)?	Yes	X No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the					ĺ
	foundation agreed to make a grant to or to employ the official for a period after	□.,	₩.			
	termination of government service, if terminating within 90 days.)	Yes	X No	Į.		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regula	tions	NT / 70	١		f
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		N/A	1b_		_
_	Organizations relying on a current notice regarding disaster assistance check here					ĺ
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that		N/A		1	ĺ
2	were not corrected before the first day of the tax year beginning in 2016?		IN / A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))					
	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and					ĺ
a	6e, Part XIII) for tax year(s) beginning before 2016?	Yes	X No	l		ĺ
	If "Yes," list the years ▶ 20 , 20 , 20 , 20		<u> </u>			l
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)					
-	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to					l
	all years listed, answer "No" and attach statement – see instructions)		N/A	2b		ĺ
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		•			
	▶ 20 , 20 , 20 , 20					ĺ
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise					
	at any time during the year?	Yes	X No			l
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or	_	_			
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the					
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of	i				Í
	the 10-, 15-, or 20-year first phase holding penod? (Use Schedule C, Form 4720, to determine if the					I
	foundation had excess business holdings in 2016)		N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose	s?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize it	8				
	chantable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2	2016?		4b		X
				Form 9 !	90-PF	F (2016)

								-
7	2 -	1	2	7	1	Λ	1	2
1	/ -	- 1		,	4	13	1	

22	ne	6

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	990-PF(2016) RISE ABOVE FOUNDATION, INC.		374013		Page
Pa	t VII-B Statements Regarding Activities for Which	Form 4720 May Be Re	equired (contin	nued)	
5a	During the year did the foundation pay or incur any amount to		_		12/8/2
	(1) Carry on propaganda, or otherwise attempt to influence legislation (se	ection 4945(e))?	Y	es X No	
	(2) Influence the outcome of any specific public election (see section 495	55), or to carry on,			
	directly or indirectly, any voter registration drive?		<u></u>	es X No	
	(3) Provide a grant to an individual for travel, study, or other similar purpo	oses?	Y	'es 🏻 No	
	(4) Provide a grant to an organization other than a charitable, etc., organ	ization described in			P\$: KO# 2
	section 4945(d)(4)(A)? (see instructions)		<u> </u>	es 🛚 No	1.71年。
	(5) Provide for any purpose other than religious, charitable, scientific, lite	rary, or educational			13 M _e
	purposes, or for the prevention of cruelty to children or animals?		<u> </u>	es X No	' `
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qual	ify under the exceptions desc	ribed in		
	Regulations section 53 4945 or in a current notice regarding disaster assi	stance (see instructions)?		N/A	5b
	Organizations relying on a current notice regarding disaster assistance cl	neck here		▶ _	
С	If the answer is "Yes" to question 5a(4), does the foundation claim exemp	tion from the tax			
	because it maintained expenditure responsibility for the grant?		N/A 🗌 Y	'es 🗌 No	12 / 12 Te
	If "Yes," attach the statement required by Regulations section 53 4945-5	(d).			[0] [* ·
6a	Did the foundation, during the year, receive any funds, directly or indirectly	y, to pay premiums			m 3 54 1952
	on a personal benefit contract?		Y	es X No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, o	n a personal benefit contract	7		6b X
	If "Yes" to 6b, file Form 8870				***
7a	At any time during the tax year, was the foundation a party to a prohibited	tax shelter transaction?	<u></u>	′es 🗓 No	
<u>b</u>	If "Yes," did the foundation receive any proceeds or have any net income			N/A	7b
Pa	hformation About Officers, Directors, Truste	es, Foundation Mana	gers, Highly P	aid Employe	es,
	and Contractors				
<u>1 L</u>	st all officers, directors, trustees, foundation managers and their co	empensation (see instruction	ons).		
	(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MI	CHAEL A PLAIA PELHAM	PRESIDENT			†
	COMMERCE PARKWAY AL 35124	0.00	0	(,
	'S ADAMS PELHAM	DIRECTOR			
25	COMMERCE PARKWAY AL 35124	0.00	12,000	(ol
	RISTA S PLAIA PELHAM	DIRECTOR			
	COMMERCE PARKWAY AL 35124	0.00	24,000	(o)
MA	RTIN ADAMS PELHAM	DIRECTOR			
25	COMMERCE PARKWAY AL 35124	0.00	17,000	(ol
	Compensation of five highest-paid employees (other than those inc "NONE."	luded on line 1 – see instru			
	(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NOI	NE				
					
					
					
[otal	number of other employees paid over \$50,000		<u> </u>	<u> </u>	• (
- cal	names of other employees paid over woodoo				990-PF (004

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Part VIII Information About Officers, Directors, Trustees, Foundaries and Contractors (continued)	ation Managers, Highly Paid Emp	oloyees,
3 Five highest-paid independent contractors for professional services (see instruc	ctions). If none, enter "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		
Total number of others receiving over \$50,000 for professional services		>
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct chantable activities during the tax year. Include relevant statistical information such organizations and other beneficianes served, conferences convened, research papers produced, etc.	as the number of	Expenses
1 N/A		
2		
		e
3		
4		
Part IX-B Summary of Program-Related Investments (see instruction Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	ctions)	
1 N/A		Amount
1 41/43		
2		

Form **990-PF** (2016)

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3

All other program-related investments. See instructions

Total. Add lines 1 through 3

qualifies for the section 4940(e) reduction of tax in those years

<u>Form</u>	990-PF (2016) RISE ABOVE FOUNDATION, INC. 72-1374013		Page o
Pa	Minimum Investment Return (All domestic foundations must complete this part. Foreign	foun	dations,
	see instructions.)		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes		1 000 011
а	Average monthly fair market value of securities	<u>1a</u>	1,228,811
b	Average of monthly cash balances	1b	208,838
С	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,437,649
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0		_
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,437,649
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see		
	instructions)	4	21,565
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,416,084
6	Minimum investment return. Enter 5% of line 5	6	70,804
Pa	It X! Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating for	ounda	ations
	and certain foreign organizations check here ▶ and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	70,804
2a	Tax on investment income for 2016 from Part VI, line 5		
b	Income tax for 2016 (This does not include the tax from Part VI)		
С	Add lines 2a and 2b	2c	2,172
3	Distributable amount before adjustments Subtract line 2c from line 1	3	68,632
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	68,632
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		,
	line 1	7	68,632
			· · · · ·
Pi	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	54,600
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	54,600
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
•	Enter 1% of Part I, line 27b (see instructions)	5	2,172
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	52,428
٠	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation		<u> </u>
	Treater the ampair, on the be deed in that the evident (b), in debedgeon yours when defending whether the loandation		

Form 990-PF (2016)

	art Air Undistributed Income (see instructions	<u>) </u>			
1	Distributable amount for 2016 from Part XI,	(a) Corpus	(b) Years pnor to 2015	(c) 2015	(d) 2016
	line 7				68,63
2	Undistributed income, if any, as of the end of 2016.				
а					
b	, 20				
3	Excess distributions carryover, if any, to 2016				
а					
b	From 2012 26,762				
C	From 2013				
d	From 2014				
е	From 2015	1	1		1
f	Total of lines 3a through e	26,762			1
4	Qualifying distributions for 2016 from Part XII,				
	line 4· ▶ \$54,600				1
а	Applied to 2015, but not more than line 2a]		1
b	Applied to undistributed income of prior years			4	
	(Election required – see instructions)				‡
С	Treated as distributions out of corpus (Election				<u> </u>
	required - see instructions)		•		
d	Applied to 2016 distributable amount				F4 60/
	Remaining amount distributed out of corpus				54,600
5	Excess distributions carryover applied to 2016	14,032		·	14.00
	(If an amount appears in column (d), the same	14/032			14,032
	amount must be shown in column (a))				Ī
6	Enter the net total of each column as				1
	indicated below:				
а	Corpus Add lines 3f, 4c, and 4e Subtract line 5	12,730			Ī
	Prior years' undistributed income Subtract	12,7730			
	line 4b from line 2b				
C	Enter the amount of prior years' undistributed			······	
	income for which a notice of deficiency has				•
	been issued, or on which the section 4942(a)				•
	tax has been previously assessed				<u> </u>
ď	Subtract line 6c from line 6b Taxable				
	amount – see instructions				
е	Undistributed income for 2015 Subtract line				
	4a from line 2a. Taxable amount – see				
	Instructions				
f	Undistributed income for 2016 Subtract lines				
	4d and 5 from line 1. This amount must be				
	distributed in 2017				
7	Amounts treated as distributions out of corpus				0
	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (Election may be				
	required—see instructions)				
	Excess distributions carryover from 2011 not				
	applied on line 5 or line 7 (see instructions)				+
	Excess distributions carryover to 2017.				
	Subtract lines 7 and 8 from line 6a	12,730			
	Analysis of line 9	12,730			
	Excess from 201212,730	1			
	Excess from 2013	1			
	Excess from 2014	I			
	Excess from 2015	I			
	Excess from 2016	#		`	
		Ŧ	1		

Park XIV Private Operating Foundations (see instructions and Part VII-A, question 9)	-	990-PF (2016) RISE ABOVE F			72-13740	13	Page 10
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b. Check box to indicate whether the foundation is a grivate operating doundation described in section	1a	•			, [
2a Enter the lesser of the adjusted net moment from Part Ix the minimum investment return from Part X for each year listed by Sol film 2a (e) 2016 (b) 2015 (c) 2014 (d) 2013 (e) 2013 (e) 701al solutions from Part XII, the 4 for each year listed d Amounts included in line 2 for the defectly for active conduct of exempt activities Outputs of the 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d from line 2d conduct of exempt activities Subtract line 2d conduct line 2d cond	_		· ·	•) – 10 – 10 – 10 – 10 – 10 – 10 – 10 – 10 –	42(-)(2) ==	2(-)(E)
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 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here		ownership of a partnership or other entity)	of which the foundation	on has a 10% or greater	interest.		
Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed. MICHAEL A PLAIA 205-978-9592. 250 COMMERCE PARKWAY PELHAM AL 35124. b The form in which applications should be submitted and information and materials they should include: NONE.		N/A	. <u> </u>				
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b The form in which applications should be submitted and information and materials they should include: NONE c Any submission deadlines				•			
b The form in which applications should be submitted and information and materials they should include: NONE c Any submission deadlines	_			35124	_		
NONE c Any submission deadlines	ь				should include:		
		NONE					
	С	NONE					

d Any restrictions or limitations on awards, such as by geographical areas, chantable fields, kinds of institutions, or other

factors: NONE Form 990-PF (2016) RISE ABOVE FOUNDATION, INC. 72-1374013 Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation show any relationship to Purpose of grant or status of any foundation manager contribution recipient Name and address (home or business) or substantial contributor Paid during the year SEE STATEMENT 6 54,600

Total		•	3a 54,600
b Approved for future payment N/A			
			,

Total

▶ 3b

Part XVI-A	Analysis of Income-Producing Act	ivities				
	ounts unless otherwise indicated.	1	d business income	Excluded	d by section 512, 513, or 514	(-)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program ser						
		<u> </u>		 		
		 				
		 		+		
				+		
e		 	_	 		
	d contracts from government agencies	·			 	
-	dues and assessments			 		
	savings and temporary cash investments					110
	nd interest from securities		 			28,683
5 Net rental in	come or (loss) from real estate:	/ /	., .,		1 450 6 4 11	
	anced property					
b Not deb	t-financed property					
6 Net rental in	come or (loss) from personal property					
7 Other invest	ment income					
8 Gain or (los:	s) from sales of assets other than inventory					197 , 328
	or (loss) from special events			-		
	or (loss) from sales of inventory	 		 		
	ue a	 				
		·		 		
		·		 		
·		<u> </u>		1	 	
12 Subtotal Ac	ld columns (b), (d), and (e)) &	0	226,121
		<u></u>		18 . 63		
13 Total. Add i	ine 12, columns (b), (d), and (e)	<u>l.u. () (</u>		<u> (15 . áð)</u>	13	
13 Total. Add i	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations)				13	
13 Total. Add I (See worksheet	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I See worksheet Part XVI-B	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
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13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121
13 Total. Add I (See worksheet Part XVI-B Line No.	ine 12, columns (b), (d), and (e) in line 13 instructions to verify calculations) Relationship of Activities to the Activities to the Activities to the Activity for which income in	ccomplishme	ent of Exempt Pu	urposes	13s	226,121

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Form 990-PF (20	16) RISE ABOV	JE FOUN	NDATION, I	NC.	72-1374013		Page 13
					ns and Relationships W		
	Exempt Organiza						
=	=				er organization described		Yes No
	• •	r than section	n 501(c)(3) organiza	ations) or ın sectı	on 527, relating to political		
organization		_4 4					
	om the reporting founda	ation to a nor	icnantable exempt	organization of			1a(1) X
(1) Cash (2) Other a	ecate						1a(1) X 1a(2) X
b Other trans							
	of assets to a noncharita	able exempt o	organization				1b(1) X
	ses of assets from a no		=	1			1b(2) X
• •	of facilities, equipment,		. •				1b(3) X
	ursement arrangements						1b(4) X
(5) Loans	or loan guarantees						1b(5) X
(6) Perform	nance of services or me	embership or	fundraising solicitat	tions			1b(6) X
c Sharing of	facılıtıes, equipment, ma	alling lists, oth	ner assets, or paid e	employees			1c X
					(b) should always show the fair		
	_	-			e foundation received less than		
					e goods, other assets, or service		
(a) Line no	(b) Amount involved	(c) Nam	ne of nonchantable exemp	ot organization	(d) Description of transfers	, transactions, and sharing	arrangements
V/ A	 	 		-	 		
	+						
		<u> </u>					
							
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	 	<u> </u>					
		 					
	 	 					
					l		
	lation directly or indirect n section 501(c) of the 0	-			. •		□ Vac ▼ Na
	mplete the following sch	•	nan section 501(c)(3)) or in section :	02//		Yes X No
	(a) Name of organization	icuule	(b) Type of o	rganization	(c) Des	cription of relationship	
N/A	<u></u>		, , , , , , , , , , , , , , , , , , ,				
							
							
					ules and statements, and to the best of a high preparer has any knowledge	ny knowledge and belief,	ıt ıs true,
00.1001, 1	and complete Declaration of p		an taxpayer) is based o	ii ali iiiloiiilaadii oi w	non preparer has any knowledge		scuss this return
Sign	~ 1		~ 1			with the prepar	rer shown below ns)? Yes No
lere	h//-t	- 1	1//20	. //	12/2017 /10	<i>Je-</i> L	<u>, []</u>
-	1 /2/1	_LU	NOW!		 (-	SIDENT	
*	rature of officer or trustee			Lyste	Title		
Pnnt/T	ype preparer's name			Preparer's signatur	°/	Date	Check if
aid monty	n touncon					61	C/1 self-employed
reparer TONY	5.50	S FREDL	HART BROWN	I WALF	RR & STEWART	1 2711	*****
Jse Only	<u>name ► BEV13</u> address ► 1521	S EBERH WEST N			THU & SIEMAKI	PIIN	3-1241501
Firm's		AN, AL	36301-13		-	Firm's EIN ► 0.3	
		, AL	<u> </u>			I FROME NO J.	Form 990-PF (2016)
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Capital Gains and Losses for Tax on Investment Income 990-PF 2016 Form For calendar year 2016, or tax year beginning and ending **Employer Identification Number** Name RISE ABOVE FOUNDATION, INC. 72-1374013 (b) How acquired P-Purchase D-Donation (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co (C) Date acquired (mo , day, yr) (d) Date sold (mo, day, yr) ALIBABA GROUP 05/05/15 03/08/16 (1) Р ALPHABET INCORPORATED 03/12/15 03/08/16 (2)AMAZON COM 07/23/15 03/08/16 (3)ANADARKO PETE P 02/10/16 03/08/16 (4)APACHE CORP P 02/10/16 03/08/16 (5) Р 03/12/15 AMER CORPORATION 03/08/16 (6)Р 03/08/16 (7)BERSHIRE HATHAWA 03/17/15 (8) BLACKROCK INCORPORATED P 03/12/15 03/08/16 BLACKROCK HEALTH SCIENCES Р 03/12/15 03/08/16 (9)BLACKROCK SCIENCE P 03/12/15 03/08/16 (10)Ρ 03/12/15 (11) CVS HEALTH 03/08/16 CALIFORNIA RES P 02/10/16 03/29/16 (12)CALIFORNIA RES CORP COM 06/13/16 (13)Ρ 02/10/16 CHEVRON CORP 02/10/16 03/08/16 (14)CONOCOPHILLIPS Р 02/10/16 03/08/16 (15) (f) Depreciation allowed (or allowable) (e) Gross sales price (g) Cost or other basis plus expense of sale (h) Gain or (loss) (e) plus (f) minus (g) 22,383 24**,**99<u>5</u> -2,612(1) 32**,**133 25,084 7,049 (2)29,346 4,122 <u>25,224</u> (3)15**,**375 2,050 13,325 (4)17,894 13,336 4,558 (5)49,936 -9,12440,812 (6)47**,**716 49,927 -2,211 (7)<u>22,3</u>83 25,535 -3,152(8)-10,68439,906 50,590 (9)-2,15244,935 47,087 (10)-931 24,257 25,188 (11)(12)11 9 (13)14,289 13<u>,</u>285 1,004 (14)16,252 13**,**329 2,923 (15)Satt of Sant Sant, by The in both of the Satur Satt of the Satt of the sally of the sally to Satur Satur Sant Satur Satu Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col (h) gain minus col (k), but not less than -0-) **Or** Losses (from col (h)) (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col (i) over col (j), if any -2,612(1)7,049 (2)(3)<u>4,122</u> 2,050 (4)<u>4,558</u> (5) -9,124(6)-2,2<u>1</u>1 (7)(8) -3,152

-10,684

-2,152

-931

1,004

2,923

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Capital Gains and Losses for Tax on Investment Income 990-PF 2016 Form For calendar year 2016, or tax year beginning and ending Name **Employer Identification Number** 72-1374013 RISE ABOVE FOUNDATION, (b) How acquired P-Purchase D-Donation (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co (C) Date acquired (mo , day, yr) (d) Date sold (mo, day, yr) DAVE & BUSTERS P 12/21/15 03/08/16 (1)P 03/08/16 06/08/16 DUKE ENERGY (2)P EOG RES INCORP 02/10/16 03/08/16 (3)07/29/15 Р 03/08/16 (4) **FACEBOOK** 03/12/15 FIDUS INVT Þ 03/08/16 (5)FIREEYE INCORP Р 03/08/16 (6)p 09/07/16 GENERAL MOTORS 11/10/16 (7) $\overline{03/12/15}$ GILEAD SCIENCES P 03/08/16 (8)P 03/12/15 GLAXOSMITHKLINE 03/08/16 (9)HESS Р 02/10/16 03/08/16 (10)HOME DEPOT Ρ 03/12/15 03/08/16 (11)P 06/07/16 **ISHARES** (12)ISHARES SILVER P 03/08/16 05/09/16 (13)KINDER MORGAN Р 09/12/16 (14)04/24/15 LAS VEGAS SANDS Ρ 03/08/16 (15) J. W. W. W. W. 8 2 20 6 (f) Depreciation allowed (or allowable) (h) Gain or (loss) (e) plus (f) minus (g) (e) Gross sales price (g) Cost or other basis plus expense of sale 13,236 15,036 -1,800(1)130,760 125,026 5,734 (2)14,811 13,304 1,507 (3) 28,019 24,996 3,023 (4)-2,36822,639 25,007 (5)18,281 49,876 -31.595 (6)25,685 25,001 684 (7)24,935 22,462 -2.473(8)21,407 24,994 -3,587(9) 16,167 13,304 2,863 (10)24,989 27,616 2,627 (11)464,613 457,197 (12)7.416 274,799 (13)250,046 24,753 58,979 80,014 -21,035 (14)21,920 25,009 -3,089(15)The description of the state of the same of the grant of the grant of the same of the same of the grant of gother 26 1/2 1 11 1 1 2 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Cot (h) gain minus col (k), but not less than -0-) **OF**Losses (from col (h)) (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col (i) over col (j), if any <u>-1,800</u> (1) 5,734 (2)1,507 (3)3,023 (4) -2,368(5) -31,595(6)684 (7)-2.473(8) -3,587(9) <u>(10)</u> 2,863 2,627 (11)7.416 (12)24,753 (13)-21**,**035 (14)

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Capital Gains and Losses for Tax on Investment Income 990-PF 2016 Form For calendar year 2016, or tax year beginning and ending Name **Employer Identification Number** RISE ABOVE FOUNDATION, INC. 72-1374013 (b) How acquired P-Purchase D-Donation (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co (C) Date acquired (mo , day, yr) (d) Date sold (mo , day, yr) NETFLIX 07/23/15 03/08/16 (1)OCCIDENTAL PETE CORP Ρ 02/10/16 03/18/16 (2)PHILLIPS 66 Ρ 09/12/16 (3)PIONEER NAT RES Ρ 02/10/16 03/08/16 <u>(4)</u> POWERSHARES TRUST P 05/09/16 07/06/16 000 (5)P RALPH LAUREN CORP 06/21/16 08/12/16 (6)REGENERON PHARMACEUTICALS Ρ 06/23/16 (7)07/28/16 ROYAL DUTCH SHELL Ρ 06/06/16 07/06/16 (8)SPDR GOLD P 03/08/16 05/09/16 (9) SPDR INDEX Ρ 03/12/15 03/08/16 (10)SPDR SERIES P 05/09/16 07/07/16 (11)SOUTHERN COMPANY P 03/08/16 09/12/16 (12)SWIFT TRANSN 06/15/16 07/22/16 (13)Ρ TARGET CORP Ρ 07/23/15 03/08/16 (14)TWITTER INCORP Ρ 03/08/16 (15)\$ 1.9 B 132/4 J. Y. (f) Depreciation allowed (or allowable) (e) Gross sales price (g) Cost or other basis plus expense of sale -2,883 22,284 25**,**167 (1)14,270 13,253 1,017 (2)67<u>,140</u> 63,302 3,838 (3)15,340 13,322 2,018 (4)253,250 3,246 250,004 (5)24,983 27<u>,665</u> 2,682 (6)57**,**122 <u>49,893</u> 7,229 (7)2**,**282 32**,**250 29,968 (8) 249,855 -983 248,872 (9)21,433 25,080 -3,647(10)273,086 249,996 23,090 (11)131,107 124,960 6,147 (12)56,148 49,988 6,160 (13)74,775 -230 75,005 (14)36**,**970 38,838 -1,868 The second of the transfer of the second of Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col (h) gain minus col (k), but not less than -0-) **OF** Losses (from col (h)) (i) FMV as of 12/31/69 (k) Excess of col (i) over col (j), if any (j) Adjusted basis as of 12/31/69 -2,883 (1) 1,017 (2)3**,**838 (3) 2,018 (4)3,246 (5)2,682 (6)7,229 (7)(8) 2,282 -983(9)

-3,647

23,090

6,147

6,160

-1,868

-230

(14)

(15)

Capital Gains and Losses for Tax on Investment Income 990-PF 2016 Form For calendar year 2016, or tax year beginning and ending Name **Employer Identification Number** RISE ABOVE FOUNDATION, INC. 72-1374013 (b) How acquired P-Purchase (C) Date acquired (mo , day, yr) (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co (d) Date sold (mo , day, yr) D-Donation 03/08/16UNDER ARMOUR Ρ (1)UNITED CONTL HLDGS Ρ 09/02/16 11/08/16 (2)UNITED STATES STEEL Ρ 06/17/16 (3)VALEANT PHARMACEUTICALS P 09/02/16 11/01/16 (4)VALERO ENERGY Ρ 07/28/16 09/12/16 (5)VANECK VECTORS OIL P 09/12/16 (6)09/02/16 (7)VANGUARD BOND INDEX Р 07/21/16 WF & COMPANY Р 03/12/15 03/08/16 (8)ZOES KITCHEN INCORP Р 03/12/15 03/08/16 (9) AMBARELL INC 03/12/15 03/08/16 (10)METRONIC PLC SHS Р 03/12/15 03/08/16 (11)CYBERARK SOFTWARE 05/28/15 Ρ (12)03/08/16 (13)STRATASYS LIMITED Ρ 06/15/15 03/08/16 CORE LABORATORIES 11/09/16 12/01/16 AFLAC INC Р 02/29/12 03/08/16 (e) Gross sales price (f) Depreciation allowed (or allowable) (g) Cost or other basis plus expense of sale (h) Gain or (loss) (e) plus (f) minus (g) 53,994 49,606 4,388 (1)57,673 50,061 7,612 (2)<u>221,515</u> 183,050 38,465 (3)35,435 49,947 -14,512(4)54,370 50,088 (5)4,282 226,998 244,402 7,404 (6)209**,**871 210,423 -552(7)44,537 (8) 50,040 -5**,**503 28**,**072 25,136 2,936 (9) 7,172 12**,**547 (10)-5,375 24,527 24,576 (11)-4914,825 25,010 -10,185(12)18,081 25,006 -6,925 (13)57,307 50,643 (14)<u>6,664</u> 65,342 52,029 13,313 (15)Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Gains (Col (h) gain minus il (k), but not less than -0-) OF Losses (from col (h)) (i) FMV as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col (i) over col (j), if any 4,388 (1) (2)7,612 (3) 38,465-14,512(4)4,282 (5)-17,404(6)-552 (7)(8) -5,5032,936 (9) -5**,**375 (10)-49(11)-10.185(12)-6,925(13)

> <u>6,664</u> 13,313

(15)

19477	0.4101			· ·
Form 990-PF	Capital Gains and Losse realendar year 2016, or tax year beginning	s for Tax on Investment Inc	come 	2016
Name	calendar year 2016, or tax year beginning	, and ending	Employer Ide	ntification Number
RISE ABOVE FO	UNDATION, INC.		72-1374	1013
(a) List and	d describe the kind(s) of property sold, e.g., real estate, brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P.Purchase	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1) ALTRIA GROUI	PINC	D-Donation P	03/12/15	06/23/16
2) APPLE INC		P	11/13/12	02/10/16
3) DISNEY WALT	·	P	12/14/07	02/10/16
4) JP MORGAN CI	HASE	P	11/26/07	03/08/16
5) KIMBERLY CLA	ARK CORP	Р	03/12/15	11/10/16
	ME CORP	Р	03/12/15	06/23/16
7) STARBUCKS CO		P	03/12/15	11/10/16
	MUNICATIONS INC	P	03/12/15	06/23/16
9) ISHARES SILV		P	00, 22, 20	03/31/16
10) SPDR GOLD TH		P		05/05/16
11)	-		-	03703710
12)				
13)				
14)				
15)				
10) 14			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<u>, , , , , , , , , , , , , , , , , , , </u>
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gair (e) plus (f	or (loss) minus (g)
31,681		25,017		6,664
2) 139 , 275		109,304		29,971
3) 140,461		50,130		90,331
48,641		34,088		14,553
5) 26,694		24,923	·	1,771
6) 31,757		24,629		7,128
7) 29,220		25,034		4,186
8) 28,052		24,991		3,061
9) 210		21/331		210
10) 162			-	162
11)				102
12)				
13)			-	
14)				
15)				
	was the second was a fine	A CONTRACTOR OF THE STATE OF TH	A 187 8. A 1	. 7
	showing gain in column (h) and owned by the fo		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	· Marine
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(I) Gains (Co col (k), but not les Losses (from	l (h) gain minus s than -0-) Or i col (h))
1)				6,664
2)				29,971
3)				90,331
4)				14,553
5)				1,771
6)	¬			7,128
7)		-	 	4,186
8)		<u> </u>	 	3,061
9)		-	 	210
10)			-	162
11)			 	
12)			 	
13)			 	

19477 Rise Above Foundation, Inc. 72-1374013 FYE: 12/31/2016

Federal Statements

unting Fees	
0 - Accol	
F, Part I.	
n 990-PF	
t 1 - Forr	
Statement	

Charitable Purpose	\$	\$		Charitable Purpose	w	\$		Charitable Purpose	\$, o	
Adjusted Net	S	\$	axes	Adjusted Net	v _r	S	xpenses	Adjusted Net	\$, c	
Net Investment	S	0 \$	PF, Part I, Line 18 - Ta	Net Investment	\$ 491 238	\$ 729	- Form 990-PF, Part I, Line 23 - Other Expenses	Net Investment	φ.	7,254	509.7	
Total	\$ 1,200	\$ 1,200	Statement 2 - Form 990-PF, Part I, Line 18 - Taxes	Total	\$ 491	\$ 729		Total	٠ «	7,254 371 1,120		F/7/11
Description	INDIRECT ACCOUNTING FEES	TOTAL)S	Description	EXCISE TAX FOREIGN TAXES	TOTAL	Statement 3	Description	S E S N E d X E	INVESTMENT MANAGEMENT FEES INVESTMENT EXPENSES MISCELLANEOUS OFFICE EXPENSE	OTHER COSTS TOTAL	

19477 Rise Above Foundation, Inc. 72-1374013 FYE: 12/31/2016

Federal Statements

	Fair Market Value	\$ 821,698 \$ 821,698
Investments	Basis of Valuation	COST
- Corporate Stock	End of Year	\$ 997,191
m 990-PF, Part II, Line 10b - Corporate Stock Investmen	Beginning of Year	\$ 1,239,284 \$ 1,239,284
Statement 4 - Form	Description	TOTAL

	Fair Market Value	\$ 245,558	\$ 245,558
tments	Basis of Valuation	COST	
II, Line 13 - Other Investmer	End of Year	288,427	288,427
Line 13	İ	ഗ	တ ျ
90-PF, Part II,	Beginning of Year	150,811	150,811
Form 9	ш	တ	ς, I
Statement 5 -	Description		
			TOTAL

19477 Rise Al 72-1374013 FYE: 12/31/20	Federal Statements 16
For	m 990-PF, Part XV, Line 2b - Application Format and Required Contents
NONE	Description
	Form 990-PF, Part XV, Line 2c - Submission Deadlines
	Description
NONE	
	Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations
	Description
NONE	
	•

19477 Rise Above Foundation, Inc. 72-1374013 FYE: 12/31/2016

Federal Statements

Statement 6 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

	Amount		2,000	2,000	c	7,000	1,000		2,000	3,000		1,000		3,000	7	1,000	1.000	1	009		10,000	,	1,000		000 ' T	1.000		1,000		2,000	
	Purpose		UNKESKICTED SUPPORT	UNRESTRICTED SUPPORT	Hacadila Ammotamadini		UNRESTRICTED SUPPORT		UNKESTRICTED SUPPORT	UNRESTRICTED SUPPORT		UNRESTRICTED SUPPORT		UNRESTRICTED SUPPORT		UNKESTRICTED SUPPORT	UNRESTRICTED SUPPORT		UNRESTRICTED SUPPORT		UNRESTRICTED SUPPORT		UNKESTRICTED SUPPORT	Hacadila Cameratani		UNRESTRICTED SUPPORT		UNRESTRICTED SUPPORT		UNRESTRICTED SUPPORT	
Address	Relationship Status	225 N MICHIGAN AVE	PO BOX 1585	: :	PO BOX 22718	2000 AIRPORT RD		1600 7TH AVE S	1510 5TH AVE S		216 CRAWFORD ST		3420 2ND AVE N		10/ N INDUSTRIAL DR	11000000	4411 LEMMON AVE #ZO1	1449 MEDICAL PARK DRIVE		2649 OLD ROCKY RIDGE RC		2012 14TH AVENUE		ZZI KING'S HOME DKIVE	1480 MCGIITBE BOAD		497 OAK STREET		1103 SELMA AVE		
Name	Address	_	CHICAGO IL 60601 ALL IN TEAM FOUNDATION	CLEMSON	AMERICAN CANCER			CAMP BRIDGES	CAMP SMILES-A-MILE	щ	DOTHAN RESCUE MISSION	DOTHAN AL 36301	DOWNTOWN JIMMIE HALE MISSION	BIRMINGHAM AL 35222	FLOKIDA VISION QUESI INC	OKANGE CITI FL 32/63	GENESIS SHELIEK, INC. DALLAS TX 75219	HEART GUILD OF BIRMINGHAM	BIRMINGHAM AL 35213	HOOVER ATHLETIC ASSN	HOOVER AL 35216		BIRMINGHAM AL 35205	KING S KANCH, INC.	SAV-A-I.TFE TNC	BIRMINGHAM AL 35216	SELMA AREA FOOD BANK	SELMA AL 36701	SELMA-DALLAS COUNTY PUBLIC LIBRARY	. SELMA AL 36703	

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19477 Rise Above Foundation, Inc.

72-1374013 FYE: 12/31/2016

Federal Statements

Statement 6 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

	Amount		2,000	2000		,	000 1 T	0	2,000	0	2000	6.000		54,600
	Ā	: 												
	 Purpose		UNRESTRICTED SUPPORT	UNRESTRICTED SUPPORT		UNRESTRICTED STIPPORT		TACACTA CHELLE		UNRESTRICTED STIPPORT		UNRESTRICTED SUPPORT		
Address	Status	ANCH DR	Y DRIVE		LY AVE		œ		I ROAD, SUITE		PELHAM PKWY			
Ac	Relationship	194 KINGS RANCH DR	8121 PARKWAY DRIVE		7353 KIMBERLY AVE		100 OSLO CIR		4899 BELFORT ROAD,		2834-H PELH			
Name	Address	SPIRIT OF HOPE YOUTH RANCH	WILSONVILLE AL 35186 THE WELLHOUSE	LEEDS AL 35094	THREE HOTS & A COT	BIRMINGHAM AL 35206	UNITED CEREBRAL PALSY OF	BIRMINGHAM AL 35211	WOUNDED WARRIOR PROJECT, INC.	JACKSONVILLE FL 32256	VINEYARD FAMILY SERVICE CENTER	PELHAM AL 35124	TOTA1.	