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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2016

**Open to Public
Inspection**

For calendar year 2016, or tax year beginning 01-01-2016 , and ending 12-31-2016

Name of foundation Carrera-Joffe Foundation		A Employer identification number 68-0481048			
Number and street (or P O box number if mail is not delivered to street address) PO Box K		B Telephone number (see instructions) (530) 823-2409			
City or town, state or province, country, and ZIP or foreign postal code Rancho Santa Fe, CA 92067		C If exemption application is pending, check here ► <input type="checkbox"/>			
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 3,214,225	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		96,814	96,814	96,814	
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-334,281			
b Gross sales price for all assets on line 6a	5,992,588				
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		-237,467	96,814	96,814	
Operating and Administrative Expenses					
13 Compensation of officers, directors, trustees, etc					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		695	695		
c Other professional fees (attach schedule)		18,553	18,553		
17 Interest		31	31		
18 Taxes (attach schedule) (see instructions)		1,393	1,393		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses.					
Add lines 13 through 23		20,672	20,672		
25 Contributions, gifts, grants paid		204,080			204,080
26 Total expenses and disbursements. Add lines 24 and 25		224,752	20,672		204,080
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-462,219			
b Net investment income (if negative, enter -0-)			76,142		
c Adjusted net income (if negative, enter -0-)				96,814	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	94,044	35,761	35,761
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	56,619	52,629	54,806
	c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	3,148,972	3,024,720	3,123,658	
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ► _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,299,635	3,113,110	3,214,225	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► _____)			
	23	Total liabilities (add lines 17 through 22)	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	3,299,635	3,113,110	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	3,299,635	3,113,110	
	31	Total liabilities and net assets/fund balances (see instructions)	3,299,635	3,113,110	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,299,635
2	Enter amount from Part I, line 27a	2	-462,219
3	Other increases not included in line 2 (itemize) ►	3	275,694
4	Add lines 1, 2, and 3	4	3,113,110
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,113,110

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-334,281	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015			
2014			
2013			
2012			
2011			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XIII, line 4			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	1,523
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,523
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,523
6	Credits/Payments		
a	2016 estimated tax payments and 2015 overpayment credited to 2016	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	45
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,568
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2017 estimated tax ►	Refunded	11

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b	Yes	No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>				
c	Did the foundation file Form 1120-POL for this year?	1c	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	Yes	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	Yes	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	No
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►Margaret Carrera	Telephone no ►(530) 823-2409	
Located at ►PO Box K Rancho Santa Fe CA			ZIP+4 ►920670559
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15	► <input type="checkbox"/>	
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be RequiredFile **Form 4720** if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? If "Yes," list the years ► 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016)	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b	No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	No
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions)

List all officers, directors, trustees, foundation managers and their compensation. (See instructions.)				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, (e) other allowances
Margaret Carrera	President 1 00	0		
PO Box K Rancho Santa Fe, CA 920670559				
Philip M Joffe	CFO 1 00	0		
PO Box K Rancho Santa Fe, CA 920670559				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred (d) compensation	Expense account, (e) other allowances
NONE				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,196,929
b	Average of monthly cash balances.	1b	60,000
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,256,929
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	3,256,929
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	48,854
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,208,075
6	Minimum investment return. Enter 5% of line 5.	6	160,404

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	160,404
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	1,523
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,523
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	158,881
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	158,881
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	158,881

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	204,080
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	204,080
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	204,080
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				158,881
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2016				
a From 2011.				
b From 2012.				
c From 2013.				
d From 2014.				
e From 2015.	36,681			
f Total of lines 3a through e.	36,681			
4 Qualifying distributions for 2016 from Part XII, line 4 ► \$ 204,080				
a Applied to 2015, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				158,881
e Remaining amount distributed out of corpus	45,199			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	81,880			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a.	81,880			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.				
c Excess from 2014.				
d Excess from 2015.	36,681			
e Excess from 2016.	45,199			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed			
b	85% of line 2a			
c	Qualifying distributions from Part XII, line 4 for each year listed			
d	Amounts included in line 2c not used directly for active conduct of exempt activities			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or email address of the person to whom applications should be addressed
- b The form in which applications should be submitted and information and materials they should include
- c Any submission deadlines
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.					
5 Net rental income or (loss) from real estate					
a Debt-financed property.					14
b Not debt-financed property.					96,814
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					-334,283
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e).					-237,467
13 Total. Add line 12, columns (b), (d), and (e).					-237,467

(See worksheet in line 13 instructions to verify calculations)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4911 864 AB HIGH INCOME ADV	P	2016-02-25	2016-07-20
270 ALPS SECTOR DIVIDEND DOGS	P	2016-08-05	2016-12-13
7234 265 AQR MANAGED FUTURES STRATEGY I	P	2016-02-25	2016-06-07
1140 244 AQR MANAGED FUTURES STRATEGY I	P	2016-03-11	2016-06-07
523 105 AQR MANAGED FUTURES STRATEGY I	P	2016-03-17	2016-06-07
3195 722 AQR MANAGED FUTURES STRATEGY I	P	2016-02-25	2016-04-27
20837 665 BLACKROCK HI YIELD BD PTF INST	P	2013-12-02	2016-02-25
305 104 BLACKROCK HI YIELD BD PTF INST	P	2013-12-20	2016-02-25
25 865 BLACKROCK HI YIELD BD PTF INST	P	2013-12-20	2016-02-25
105 322 BLACKROCK HI YIELD BD PTF INST	P	2013-12-31	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price (f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
42,291	38,067	4,224
11,735	11,275	460
72,415	77,117	-4,702
11,414	11,573	-159
5,236	5,330	-94
31,638	34,066	-2,428
144,196	172,953	-28,757
2,111	2,502	-391
179	212	-33
729	865	-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4,224
			460
			-4,702
			-159
			-94
			-2,428
			-28,757
			-391
			-33
			-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
131 925 BLACKROCK HI YIELD BD PTF INST	P	2014-01-31	2016-02-25
117 011 BLACKROCK HI YIELD BD PTF INST	P	2014-02-28	2016-02-25
125 549 BLACKROCK HI YIELD BD PTF INST	P	2014-03-31	2016-02-25
771 701 BLACKROCK MULTI-ASSET INC INST	P	2016-02-25	2016-12-13
499 957 BLACKROCK MULTI-ASSET INC INST	P	2016-02-25	2016-07-20
108 937 BLACKROCK TOTAL RET I	P	2016-03-17	2016-06-07
8461 425 BLACKROCK TOTAL RET I	P	2016-02-25	2016-03-21
2392 871 BLACKROCK TOTAL RET I	P	2016-03-11	2016-03-21
17 476 BLACKROCK TOTAL RET I	P	2016-03-31	2016-06-07
0 281 BLACKROCK TOTAL RET I	P	2016-04-29	2016-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
913		1,086	-173
810		979	-169
869		1,047	-178
8,249		7,848	401
5,360		5,085	275
1,287		1,269	18
98,491		98,660	-169
27,853		27,733	120
206		205	1
3		3	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-173
			-169
			-178
			401
			275
			18
			-169
			120
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
0 297 BLACKROCK TOTAL RET I	P	2016-05-31	2016-06-07
6174 957 CLEARBRIDGE SMALL CAP GWTH I	P	2013-12-02	2016-02-25
153 188 CLEARBRIDGE SMALL CAP GWTH I	P	2013-12-12	2016-02-25
8 319 CLEARBRIDGE SMALL CAP GWTH I	P	2013-12-12	2016-02-25
5865 103 COHEN & STEERS PREF SEC&INC I	P	2015-08-18	2016-02-25
5551 471 COHEN & STEERS PREF SEC&INC I	P	2015-11-09	2016-02-25
150 838 COLUMBIA CONTRARIAN CORE Z	P	2016-02-25	2016-12-13
168 123 COLUMBIA CONTRARIAN CORE Z	P	2016-02-25	2016-07-20
31 CONS DISCRET SEL SECT SPDR FD	P	2016-02-25	2016-12-13
25 CONS DISCRET SEL SECT SPDR FD	P	2016-02-25	2016-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
4		3	1
146,408		180,000	-33,592
3,632		4,253	-621
197		231	-34
77,243		79,837	-2,594
73,113		75,437	-2,324
3,457		3,021	436
3,758		3,368	390
2,619		2,310	309
2,035		1,863	172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			1
			-33,592
			-621
			-34
			-2,594
			-2,324
			436
			390
			309
			172

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
251 CONS DISCRET SEL SECT SPDR FD	P	2016-02-25	2016-06-07
1431 CONS STAPLES SEL SECT SPDR FD	P	2016-02-25	2016-03-11
9026 553 DOUBLELINE TOTAL RETURN I	P	2016-02-25	2016-08-05
2574 53 DOUBLELINE TOTAL RETURN I	P	2016-03-11	2016-08-05
115 274 DOUBLELINE TOTAL RETURN I	P	2016-03-17	2016-08-05
1 981 DOUBLELINE TOTAL RETURN I	P	2016-06-09	2016-08-05
2702 27 DOUBLELINE TOTAL RETURN I	P	2016-07-20	2016-08-05
25 107 DOUBLELINE TOTAL RETURN I	P	2016-02-29	2016-08-05
38 661 DOUBLELINE TOTAL RETURN I	P	2016-03-31	2016-08-05
34 852 DOUBLELINE TOTAL RETURN I	P	2016-04-29	2016-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
19,958		18,704	1,254
74,954		73,922	1,032
98,570		98,660	-90
28,114		27,856	258
1,259		1,252	7
22		22	
29,509		29,563	-54
274		273	1
422		420	2
381		378	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,254
			1,032
			-90
			258
			7
			-54
			1
			2
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
33 084 DOUBLELINE TOTAL RETURN I	P	2016-05-31	2016-08-05
36 117 DOUBLELINE TOTAL RETURN I	P	2016-06-30	2016-08-05
45 907 DOUBLELINE TOTAL RETURN I	P	2016-07-29	2016-08-05
3102 557 DREYFUS STANDISH GLB FIX INC I	P	2016-02-25	2016-11-01
1303 895 DREYFUS STANDISH GLB FIX INC I	P	2016-03-11	2016-11-01
61 926 DREYFUS STANDISH GLB FIX INC I	P	2016-03-17	2016-11-01
0 105 DREYFUS STANDISH GLB FIX INC I	P	2016-06-09	2016-11-01
1297 539 DREYFUS STANDISH GLB FIX INC I	P	2016-07-20	2016-11-01
74 434 DREYFUS STANDISH GLB FIX INC I	P	2016-02-25	2016-10-18
1454 944 DREYFUS STANDISH GLB FIX INC I	P	2016-02-25	2016-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
361		359	2
394		395	-1
501		503	-2
67,481		66,084	1,397
28,360		27,643	717
1,347		1,319	28
2		2	
28,221		28,364	-143
1,627		1,585	42
31,878		30,990	888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2
			-1
			-2
			1,397
			717
			28
			-143
			42
			888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2800 FIRST TR ENERGY INC & GRW FUND	P	2015-05-04	2016-02-25
3642 FIRST TR ENERGY INC & GRW FUND	P	2013-03-11	2016-02-25
4687 FIRST TR ENERGY INC & GRW FUND	P	2013-05-03	2016-02-25
2035 FIRST TR ENERGY INC & GRW FUND	P	2014-11-19	2016-02-25
3428 FIRST TRUST DORSEY WRIGHT FO	P	2016-02-25	2016-08-05
590 FIRST TRUST DORSEY WRIGHT FO	P	2016-03-11	2016-08-05
160 FIRST TRUST DORSEY WRIGHT FO	P	2016-03-17	2016-08-05
181 FIRST TRUST DORSEY WRIGHT FO	P	2016-02-25	2016-07-20
989 548 GOLDMAN SACHS EMEG MKTS DEBT I	P	2016-07-20	2016-12-13
7589 199 GOLDMAN SACHS INT SMCP INSGH I	P	2016-02-25	2016-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
59,911		98,470	-38,559
77,927		122,493	-44,566
100,287		157,523	-57,236
43,543		74,352	-30,809
78,842		70,268	8,574
13,570		12,494	1,076
3,680		3,393	287
4,155		3,710	445
12,181		12,825	-644
79,231		73,919	5,312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-38,559
			-44,566
			-57,236
			-30,809
			8,574
			1,076
			287
			445
			-644
			5,312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1380 34 GOLDMAN SACHS INT SMCP INSGH I	P	2016-03-11	2016-07-20
90 31 GOLDMAN SACHS INT SMCP INSGH I	P	2016-03-17	2016-07-20
1 69 GUGGENHEIM LIMITED DURATION I	P	2016-02-25	2016-03-17
1125 199 GUGGENHEIM LIMITED DURATION I	P	2016-03-11	2016-03-17
4083 62 GUGGENHEIM LIMITED DURATION I	P	2016-02-25	2016-03-14
3023 264 GUGGENHEIM MACRO OPPORT I	P	2016-02-25	2016-03-11
24 849 HARTFORD CORE EQUITY I	P	2016-02-25	2016-12-13
2230 092 HARTFORD CORE EQUITY I	P	2016-02-25	2016-07-20
889 ISHARES 7-10 YR TREASRY BD ETF	P	2016-02-25	2016-04-12
269 ISHARES 7-10 YR TREASRY BD ETF	P	2016-03-11	2016-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
14,411		13,955	456
943		931	12
41		41	
27,230		27,185	45
98,701		98,619	82
74,614		73,919	695
627		560	67
55,329		50,244	5,085
98,131		98,570	-439
29,693		29,172	521

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			456
			12
			45
			82
			695
			67
			5,085
			-439
			521

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9 ISHARES 7-10 YR TREASRY BD ETF	P	2016-03-17	2016-04-12
332 ISHARES CORE HIGH DIVIDEND ETF	P	2016-03-02	2016-11-11
313 ISHARES CORE HIGH DIVIDEND ETF	P	2016-03-11	2016-11-11
268 ISHARES CORE HIGH DIVIDEND ETF	P	2016-03-14	2016-11-11
404 ISHARES CORE HIGH DIVIDEND ETF	P	2016-04-12	2016-11-11
208 ISHARES CORE HIGH DIVIDEND ETF	P	2016-04-28	2016-11-11
692 ISHARES CORE HIGH DIVIDEND ETF	P	2016-03-02	2016-07-20
211 ISHARES CORE U S AGGREGATE	P	2016-02-25	2016-12-13
186 ISHARES CORE U S AGGREGATE	P	2016-03-11	2016-12-13
424 ISHARES CORE U S AGGREGATE	P	2016-02-25	2016-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
993		983	10
26,255		25,131	1,124
24,753		24,212	541
21,194		20,802	392
31,949		31,750	199
16,449		16,511	-62
57,970		52,380	5,590
22,740		23,237	-497
20,045		20,368	-323
47,611		46,694	917

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			10
			1,124
			541
			392
			199
			-62
			5,590
			-497
			-323
			917

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
260 ISHARES CORE U S AGGREGATE	P	2016-02-25	2016-07-20
766 ISHARES EDGE MSCI MIN VOL USA	P	2016-05-04	2016-12-13
1 ISHARES EDGE MSCI MIN VOL USA	P	2016-06-29	2016-12-13
1949 ISHARES EDGE MSCI MIN VOL USA	P	2016-07-20	2016-12-13
788 ISHARES EDGE MSCI MIN VOL USA	P	2016-11-07	2016-12-13
1115 ISHARES IBOXX \$ H/Y COR BD ETF	P	2016-07-20	2016-12-13
356 ISHARES IBOXX INVEST GR COR BD	P	2016-03-21	2016-12-13
385 ISHARES IBOXX INVEST GR COR BD	P	2016-03-21	2016-08-05
99 ISHARES JP MORGAN EM BOND ETF	P	2016-03-21	2016-12-13
483 ISHARES JP MORGAN EM BOND ETF	P	2016-03-21	2016-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
29,211		28,633	578
35,022		33,742	1,280
46		45	1
89,110		91,570	-2,460
36,028		34,760	1,268
96,672		95,703	969
41,389		41,856	-467
47,217		45,266	1,951
10,897		10,942	-45
56,094		53,386	2,708

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			578
			1,280
			1
			-2,460
			1,268
			969
			-467
			1,951
			-45
			2,708

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6701 ISHARES MSCI JAPAN ETF	P	2016-02-25	2016-07-20
1052 ISHARES MSCI JAPAN ETF	P	2016-03-11	2016-07-20
333 ISHARES MSCI JAPAN ETF	P	2016-03-17	2016-07-20
52 ISHARES MSCI JAPAN ETF	P	2016-06-09	2016-07-20
19 ISHARES S&P MIDCAP 400 INDEX	P	2016-03-03	2016-09-02
155 ISHARES S&P MIDCAP 400 INDEX	P	2016-03-03	2016-07-20
22 ISHARES U.S. HEALTHCARE ETF	P	2016-02-25	2016-07-20
133 ISHARES U.S. HEALTHCARE ETF	P	2016-02-25	2016-06-07
40 ISHARES US FINANCIALS ETF	P	2016-11-11	2016-12-13
9195 202 IVA WORLDWIDE I	P	2010-04-28	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
79,975		74,019	5,956
12,555		12,073	482
3,974		3,808	166
621		617	4
2,999		2,630	369
23,975		21,455	2,520
3,398		3,043	355
19,928		18,396	1,532
4,099		3,817	282
146,571		141,422	5,149

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			5,956
			482
			166
			4
			369
			2,520
			355
			1,532
			282
			5,149

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
110 89 IVA WORLDWIDE I	P	2010-12-17	2016-02-25
60 625 IVA WORLDWIDE I	P	2010-12-17	2016-02-25
135 728 IVA WORLDWIDE I	P	2010-12-17	2016-02-25
107 745 IVA WORLDWIDE I	P	2011-12-19	2016-02-25
167 758 IVA WORLDWIDE I	P	2011-12-19	2016-02-25
400 07 IVA WORLDWIDE I	P	2011-12-19	2016-02-25
116 84 IVA WORLDWIDE I	P	2012-12-13	2016-02-25
232 868 IVA WORLDWIDE I	P	2012-12-13	2016-02-25
288 794 IVA WORLDWIDE I	P	2013-12-17	2016-02-25
62 447 IVA WORLDWIDE I	P	2013-12-17	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,768		1,837	-69
966		1,005	-39
2,164		2,249	-85
1,717		1,624	93
2,674		2,528	146
6,377		6,029	348
1,862		1,852	10
3,712		3,691	21
4,603		5,039	-436
995		1,090	-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	Adjusted basis	Excess of col (i) over col (j), if any	(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
			-69
			-39
			-85
			93
			146
			348
			10
			21
			-436
			-95

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
156 086 IVA WORLDWIDE I	P	2013-12-17	2016-02-25
1372 173 JP MORGAN STRAT INC OPP SEL	P	2016-02-25	2016-08-05
5566 393 LOCORR MARKET TREND I	P	2016-02-25	2016-07-20
1576 598 LOCORR MARKET TREND I	P	2016-03-11	2016-07-20
430 907 LOCORR MARKET TREND I	P	2016-03-17	2016-07-20
2947 999 LOCORR MARKET TREND I	P	2016-02-25	2016-04-27
3862 006 MATTHEWS ASIA DIVIDEND INV	P	2016-07-20	2016-12-13
8004 12 MATTHEWS ASIA DIVIDEND INV	P	2013-05-03	2016-02-25
114 222 MATTHEWS ASIA DIVIDEND INV	P	2013-06-20	2016-02-25
94 397 MATTHEWS ASIA DIVIDEND INV	P	2013-09-19	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,488		2,724	-236
15,794		14,902	892
67,687		70,637	-2,950
19,171		18,352	819
5,240		5,080	160
34,315		37,410	-3,095
61,638		63,499	-1,861
117,580		130,265	-12,685
1,678		1,670	8
1,387		1,507	-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-236
			892
			-2,950
			819
			160
			-3,095
			-1,861
			-12,685
			8
			-120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
114 909 MATTHEWS ASIA DIVIDEND INV	P	2013-05-13	2016-02-25
15 38 MATTHEWS ASIA DIVIDEND INV	P	2014-03-20	2016-02-25
3152 541 MATTHEWS ASIAN JAPAN INV	P	2016-07-20	2016-12-13
8 539 MATTHEWS ASIAN JAPAN INV	P	2016-12-07	2016-12-13
27 554 MATTHEWS ASIAN JAPAN INV	P	2016-12-07	2016-12-13
118 409 METROPOLITAN WEST TOT RET BD I	P	2016-03-17	2016-06-07
9160 652 METROPOLITAN WEST TOT RET BD I	P	2016-02-25	2016-03-21
2582 436 METROPOLITAN WEST TOT RET BD I	P	2016-03-11	2016-03-21
0 002 METROPOLITAN WEST TOT RET BD I	P	2016-03-17	2016-03-21
14 908 METROPOLITAN WEST TOT RET BD I	P	2016-03-31	2016-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,688		1,791	-103
226		226	
60,056		63,839	-3,783
163		161	2
525		520	5
1,289		1,275	14
98,660		98,660	
27,813		27,684	129
162		161	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-103
			-3,783
			2
			5
			14
			129
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
0 217 METROPOLITAN WEST TOT RET BD I	P	2016-04-29	2016-06-07
0 237 METROPOLITAN WEST TOT RET BD I	P	2016-05-31	2016-06-07
2162 633 OPPENHEIMER INTL SMLL-MID CO Y	P	2016-02-25	2016-06-14
380 578 OPPENHEIMER INTL SMLL-MID CO Y	P	2016-03-11	2016-06-14
57 177 OPPENHEIMER INTL SMLL-MID CO Y	P	2016-03-17	2016-06-14
1268 POWERSHARES SENIOR LOAN PORT	P	2016-08-05	2016-12-13
73 156 PRINCIPAL MIDCAP P	P	2015-11-10	2016-12-13
95 677 PRINCIPAL MIDCAP P	P	2015-11-10	2016-09-02
483 356 PRINCIPAL MIDCAP P	P	2015-11-10	2016-07-20
1961 765 PRINCIPAL MIDCAP P	P	2015-11-10	2016-03-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2			2
3			3
77,963		73,919	4,044
13,720		13,450	270
2,061		2,048	13
29,611		29,340	271
1,707		1,640	67
2,198		2,145	53
10,890		10,837	53
40,020		43,983	-3,963

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			4,044
			270
			13
			271
			67
			53
			53
			-3,963

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2600 354 REMS REAL EST VAL OPPORT INST	P	2014-02-10	2016-02-25
3094 059 REMS REAL EST VAL OPPORT INST	P	2014-04-21	2016-02-25
2 901 REMS REAL EST VAL OPPORT INST	P	2014-02-26	2016-02-25
37 819 REMS REAL EST VAL OPPORT INST	P	2014-03-27	2016-02-25
106 S & P 500 INDEX FUND	P	2016-02-25	2016-07-20
76 63 T ROWE PRICE BLUE CHIP GR	P	2016-02-25	2016-11-11
24 466 T ROWE PRICE BLUE CHIP GR	P	2016-02-25	2016-07-20
27694 313 TCW TOTAL RETURN BOND I	P	2013-08-19	2016-02-25
15625 TCW TOTAL RETURN BOND I	P	2014-07-02	2016-02-25
166 391 TCW TOTAL RETURN BOND I	P	2013-08-30	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
38,693		41,346	-2,653
46,040		50,000	-3,960
43		47	-4
563		600	-37
23,153		20,716	2,437
5,547		5,018	529
1,754		1,602	152
285,805		272,538	13,267
161,250		160,000	1,250
1,717		1,643	74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,653
			-3,960
			-4
			-37
			2,437
			529
			152
			13,267
			1,250
			74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
165 309 TCW TOTAL RETURN BOND I	P	2013-09-30	2016-02-25
164 083 TCW TOTAL RETURN BOND I	P	2013-11-01	2016-02-25
141 269 TCW TOTAL RETURN BOND I	P	2013-11-29	2016-02-25
142 677 TCW TOTAL RETURN BOND I	P	2013-12-30	2016-02-25
129 755 TCW TOTAL RETURN BOND I	P	2014-01-31	2016-02-25
103 983 TCW TOTAL RETURN BOND I	P	2014-02-28	2016-02-25
104 725 TCW TOTAL RETURN BOND I	P	2014-03-31	2016-02-25
1381 852 THORNBURG INV INC BUILDER I	P	2015-03-03	2016-02-25
10422 282 THORNBURG INV INC BUILDER I	P	2015-05-04	2016-02-25
8829 465 THORNBURG INV INC BUILDER I	P	2015-08-26	2016-02-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,706		1,651	55
1,693		1,659	34
1,458		1,427	31
1,472		1,431	41
1,339		1,316	23
1,073		1,055	18
1,081		1,058	23
25,246		30,000	-4,754
190,415		232,000	-41,585
161,314		175,000	-13,686

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			55
			34
			31
			41
			23
			18
			23
			-4,754
			-41,585
			-13,686

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11953 973 THORNBURG INV INC BUILDER I	P	2011-12-15	2016-02-25
8141 762 THORNBURG INV INC BUILDER I	P	2013-05-03	2016-02-25
15704 154 THORNBURG INV INC BUILDER I	P	2013-08-19	2016-02-25
10199 722 THORNBURG INV INC BUILDER I	P	2014-04-22	2016-02-25
1518 VANGUARD FTSE EUROPE ETF	P	2016-02-25	2016-12-13
226 VANGUARD FTSE EUROPE ETF	P	2016-03-11	2016-12-13
62 VANGUARD FTSE EUROPE ETF	P	2016-03-17	2016-12-13
129 VANGUARD FTSE EUROPE ETF	P	2016-06-09	2016-12-13
83 VANGUARD FTSE EUROPE ETF	P	2016-07-20	2016-12-13
98 VANGUARD FTSE EUROPE ETF	P	2016-02-25	2016-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
218,399		211,346	7,053
148,750		170,000	-21,250
286,915		310,000	-23,085
186,349		220,110	-33,761
73,184		69,520	3,664
10,896		10,917	-21
2,989		3,009	-20
6,219		6,435	-216
4,001		3,931	70
4,962		4,488	474

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			7,053
			-21,250
			-23,085
			-33,761
			3,664
			-21
			-20
			-216
			70
			474

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1267 389 VOYA REAL ESTATE I	P	2016-02-25	2016-11-11
638 741 VOYA REAL ESTATE I	P	2016-03-11	2016-11-11
1498 931 VOYA REAL ESTATE I	P	2016-03-17	2016-11-11
2502 055 VOYA REAL ESTATE I	P	2016-02-25	2016-07-20
72 959 VOYA REAL ESTATE I	P	2016-04-01	2016-11-11
35 137 VOYA REAL ESTATE I	P	2016-07-01	2016-11-11
29 136 VOYA REAL ESTATE I	P	2016-10-03	2016-11-11
147 WISDOMTREE EUROPE HEDGED EQUIT	P	2016-03-11	2016-03-17
1560 WISDOMTREE EUROPE HEDGED EQUIT	P	2015-03-03	2016-03-17
1752 WISDOMTREE TRUST JAPN HEDGE EQ	P	2016-02-25	2016-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
24,701		24,853	-152
12,449		13,133	-684
29,214		31,612	-2,398
56,747		49,065	7,682
1,422		1,542	-120
685		780	-95
568		620	-52
7,621		7,652	-31
80,874		99,786	-18,912
76,144		73,947	2,197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-152
			-684
			-2,398
			7,682
			-120
			-95
			-52
			-31
			-18,912
			2,197

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
246 WISDOMTREE TRUST JAPN HEDGE EQ	P	2016-03-11	2016-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
10,691		10,956	-265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-265

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Christian Brothers High School 4315 Martin Luther King Jr Blvd Sacramento, CA 95820	NA	NA	Establishes Scholarship Programs for Children that Lack Financial Resources but have a high degree of aptitude	53,480
Cristo Rey School 6200 McMahon Drive Sacramento, CA 95824	NA	NA	Scholarships	50,000
Sierra College Foundation 5000 Rocklin Road Rocklin, CA 95677	NA	NA	Scholarships	17,000
UC Davis School of Veterinary Medic University of California Davis, CA 95616	NA	NA	Provides funds to support Veterinary students that have financial hardship Funds to be used to offset tuition costs	10,600
Ocean Discovery Institute 211 Pacific Beach Drive Suite A San Diego, CA 92109	NA	NA	Funds to be used to support programs used in the direct education of children interested in science	10,000
San Pasqual Academy 17701 San Pasqual Valley Road Escondido, CA 92025	NA	NC	Student Scholarships	30,000
Preuss School UC San Diego 9500 Gilman Drive La Jolla, CA 92093	NA	NC	Student Scholarships	30,000
Feeding America 9455 Waples Street San Diego, CA 92121	NA	PC	Funds to be used to feed homeless	3,000
Total			►	204,080
3a				

TY 2016 Accounting Fees Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting	695	695	0	0

TY 2016 Investments Corporate Bonds Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 16000303

Software Version: 2016v3.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	52,629	54,806

TY 2016 Investments - Other Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 16000303

Software Version: 2016v3.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Mutual Funds	FMV	1,166,958	1,208,948
ETF	FMV	1,857,762	1,914,710

TY 2016 Other Professional Fees Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Asset Management Fees - Morgan Stanley	18,553	18,553	0	0

TY 2016 Taxes Schedule

Name: Carrera-Joffe Foundation

EIN: 68-0481048

Software ID: 16000303

Software Version: 2016v3.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign	1,308	1,308		
FTB	85	85		